

ORIGINAL ARTICLE

Treatment of malignant pancreatic neuroendocrine neoplasms: middle-term (2-year) outcomes of a prospective observational multicentre study

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Abstract

Background: Information on malignant pancreatic neuroendocrine neoplasms (pNENs) is mostly from retrospective studies in highly selected patients. The aim of this prospective, multicentre study was to assess treatment and outcomes of malignant pNENs in clinical practice.

Patients and methods: Consecutive patients with newly diagnosed, histologically-proven pNENs were included and followed-up for 2 years. Tumours were defined as malignant when nodal or distant metastases were present or invasion of extrapancreatic structures/organs was evident.

Results: A total of 140 patients with malignant pNENs were included. Ninety-eight patients (70.0%) underwent a surgical resection (76 radical and 22 palliative). Other non-surgical treatments were used in 101 patients (72.1%): somatostatin analogues ($n = 63$), chemotherapy ($n = 30$), ablative treatments ($n = 15$) and peptide-receptor radionuclide therapy ($n = 14$). No relationship was observed between the 2010 WHO classification and type of treatment. A surgical resection was more often performed in incidentally detected tumours located in the pancreas body tail. Two-year progression-free survival was 63.8%: 82% after a radical resection, 44% after a palliative resection and 41% without a resection. A radical resection and Ki67 proliferative index $>5\%$ and $>10\%$ were the only significant prognostic determinants in multivariate analysis.

Conclusions: A radical resection is the cornerstone treatment of malignant pNENs and represents, together with Ki67 assessment, the most powerful prognostic factor for 2-year outcomes.

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Introduction

Pancreatic neuroendocrine neoplasms (pNENs) are rare and heterogeneous entities, ranging from small asymptomatic lesions to highly malignant metastatic tumours. Although known to be uncommon, knowledge of their real incidence remains limited, and there is also a lack of data on the natural history of the disease, the different treatments used and therapeutic outcomes.^{1,2} Whereas a complete surgical resection is thought to be the only

curative treatment for pNENs,^{3,4} many other treatments have been proposed and are currently utilized in different stages of malignant disease. These include medical therapy with somatostatin analogues,⁵ chemotherapy,⁶ new biological drugs (such as everolimus and sunitinib),^{7,8} peptide-receptor radionuclide therapy (PRRT),⁹ ablative therapy of liver metastases (embolization, chemoembolization and radiofrequency ablation),¹⁰ and palliative surgical resection (debulking).^{11,12} However, available data on the frequency of use of treatments and associated outcomes are

limited, with evidence usually from specialized centres with highly selected patients that cannot readily be applied to the more general patient population.

To help address this lack of data, in 2004 the Italian Association for the Study of the Pancreas (AISP) initiated a prospective, observational, multicentre study on the clinicopathological features and management of pNENs. A total of 310 patients with newly diagnosed, histologically-proven pNENs were identified with data at diagnosis having been previously reported.¹³ The present study reports the different treatments utilized in the subgroup of 140 patients with malignant tumours and analyses middle-term (2 years) outcomes associated with different clinicopathological features and therapeutic options.

Patients and methods

All newly diagnosed adult patients with pNENs observed consecutively from June 2004 to March 2007 in the 24 participating centres (listed in Appendix A1) were included in the study.

Criteria for recruitment, histological diagnosis and classification have been previously reported in detail.¹³ Tumours were defined as malignant when nodal or distant metastases were present or invasion of extrapancreatic structures/organs were evident. The Ki67 proliferative index was expressed as a percentage based on the count of Ki67-positive cells in 2000 tumour cells in areas with the highest immunostaining using the MIB1 antibody (DBA, Milan, Italy) and were stratified into three groups: Ki67 < 2%, Ki67 ≥ 2% and ≤ 20% and Ki67 > 20%. Patients were also categorized using Ki67 cut-off values of 5% and 10% according to Scarpa *et al.*¹⁴ After inclusion, each patient was followed-up for a minimum of 2 years, with clinical and biochemical evaluations at 6-month intervals as well as a contrast-enhanced total body CT scan in patients for whom disease progression was suspected. The study had an observational non-interventional design as each diagnostic investigation and medical or surgical treatment was performed according to the current clinical practice of each individual centre.

Parameters recorded at inclusion in the study and methods of data recording have been previously described.¹³ A surgical resection was considered complete if neither a gross residual tumour nor metastases were detectable at the end of the procedure (radical resection); otherwise, a resection was considered to be palliative. At each follow-up observation, the following data were recorded: modifications in symptoms, biochemical data, imaging data, variations in medical treatment, new surgical treatments, other new treatments and disease status (disease-free, stable residual disease and disease progression). Progression was defined according to RECIST criteria.¹⁵ Death of the patient and cause of death were recorded. Data were collected and tabulated centrally. During the study period, a careful monitoring process was implemented and at the end of the study additional quality control (concerning completeness and congruence of each chart) was performed. The study was approved by the Ethical Committee of each

participating centre and informed consent was obtained from all patients.

Statistical analysis

Data are presented as median, mean, standard deviation (SD) and 95% confidence intervals (95% CI). Additional statistical tests (Student's *t*-test, chi-squared test, Pearson's test, Fisher's exact test, the Levene test and ANOVA) were utilized when appropriate. Relationships between the variables were tested using regression analysis. The difference was considered significant for a *P*-value < 0.05. Statistical analysis was performed using SPSS software version 10 (SPSS Inc., Chicago, IL, USA). Parameters were included in multivariate analysis if statistically significant at univariate analysis, with a *P*-value less than 0.05.

Results

A total of 310 patients with pNENs were eligible for study inclusion. Twenty-seven patients (8.7%) were lost at follow-up and were excluded from the study. Of the 283 remaining, 140 (49.5%) had pNENs classified as malignant and were included in this analysis.

There were 77 males (55%) and 63 females (45%). The mean age was 58.7 ± 14.4 years, with the largest proportion in the 50–59 years age range (37.1%). Clinicopathological features are reported in Table 1: only 16 patients (11.4%) had functioning pNENs, which were as a result of gastrin production (*n* = 8), excess insulin production (*n* = 3) or other hormones (glucagonoma, *n* = 2; somatostatinoma, *n* = 2; ACTH-producing tumour, *n* = 1). In symptomatic patients, the main symptoms reported were pain (*n* = 47, 37.9%), weight loss (*n* = 27, 21.8%) and jaundice (*n* = 21, 16.9%). The body tail of the pancreas was the most frequent site of the tumour (*n* = 81, 57.8%). According to the 2010 WHO classification,¹⁶ the majority of patients were classified as NET-G2 (*n* = 57, 40.7%), whereas according to TNM classification (UICC-WHO)^{16,17} more than half were classified as having stage IV disease (*n* = 80, 57.1%).

A surgical resection represented the most frequent treatment: 76 patients (54.3%) underwent a radical resection and 22 underwent a palliative resection (15.7%). The type of radical resection was pancreatoduodenectomy in 27 patients (35.5%), distal pancreatectomy in 41 patients (53.9%), total pancreatectomy in 5 patients (6.6%) and middle pancreatectomy in 3 patients (3.9%). A palliative resection was done by pancreatoduodenectomy (*n* = 8, 36.4%) or distal pancreatectomy (*n* = 14, 63.6%). Nine patients (11.8%) undergoing a radical resection and 13 (59.1%) undergoing a palliative resection also underwent a liver resection. Forty-two patients (30%) had no resection of the tumour, although 12 of these underwent non-resective surgery (exploratory laparotomy in 11 patients and a by-pass procedure in one patient).

A total of 101 patients (72.1%) received other non-surgical treatments; some patients received more than one treatment

Table 1 Demographic characteristics, clinicopathological features and treatments performed in 140 patients with malignant pancreatic neuroendocrine tumours, overall and according to the 2010 WHO classification¹⁶

Characteristic	Overall (n = 140)	NET-G1 (n = 40)	NET-G2 (n = 57)	NEC-G3 (n = 34)	P-value
Male	77 (55.0%)	21	31	22	0.533
Age (mean), years	58.7	57.1	58.3	59.1	0.771
Non-functioning	124 (88.6%)	33	49	33	0.124
Symptomatic	81 (57.8%)	20	28	24	0.104
Primary tumour diameter (mean), mm	42.5	36.7	45.9	41.6	0.023
Lymph node metastases	89 (63.6%)	30	28	28	0.002
Hepatic metastases	66 (47.1%)	16	31	16	0.384
Radical resection	76 (54.3%)	22	32	18	0.974
Palliative resection	22 (15.7%)	6	11	5	0.871
No resection	40 (30.0%)	12	14	11	0.922
Liver resection	22 (15.7%)	5	10	7	0.683
Somatostatin analogues	63 (45.0%)	20	32	11	0.091
PRRT	14 (10.0%)	3	6	4	0.781
Ablative treatments	15 (10.7%)	4	6	4	1.000
Chemotherapy	30 (21.4%)	1	13	14	0.002

Nine patients were not classified because grading assessment was not available. Bold indicates significant *P*-value. PRRT, peptide receptor radio therapy

Table 2 Demographic characteristics, clinicopathological features and treatments performed in 140 patients with malignant pancreatic neuroendocrine tumours undergoing a radical resection (group A), palliative resection (group B) or without resection (group C)

Characteristic	Group A (n = 76)	Group B (n = 22)	Group C (n = 42)	P-value
Male	40	12	25	0.797
Age (mean), years	56.9	62.7	60.6	0.840
Non-functioning	67	17	40	0.095
Symptomatic	34	12	35	0.000
Primary tumour diameter (mean), mm	43.4	45.0	42.1	0.018
Tumour site:				
Pancreatic head	25	8	19	0.048
Pancreatic body-tail	46	14	21	0.234
Pancreatic diffuse	5	0	2	(*)
Lymph node metastases	47	15	27	0.861
Hepatic metastases	9	21	36	0.000
Liver resection	9	13	0	0.000
Somatostatin analogues	19	18	26	0.408
PRRT	2	1	11	(*)
Ablative treatments	3	8	4	(*)
Chemotherapy	13	2	15	0.042

(*) not applicable due to the small numbers. Bold indicates significant *P*-value. PRRT, peptide receptor radio therapy.

(Table 1). The distribution of treatments according to the type of surgery (radical resection, palliative resection and no resection) is shown in Table 2.

At 2-year follow-up, 62 patients (44.3%) were disease free, 25 had stable residual disease (17.8%) and 21 had progressive disease

(15.0%). Thirty-two patients (22.8%) died from their disease during follow-up. The median follow-up was 20.9 months. Overall, 2-year progression-free survival (PFS) was 63.8% (Fig. 1).

Patients were divided into three groups according to the 2010 WHO classification (Table 1). The type of surgical treatment was

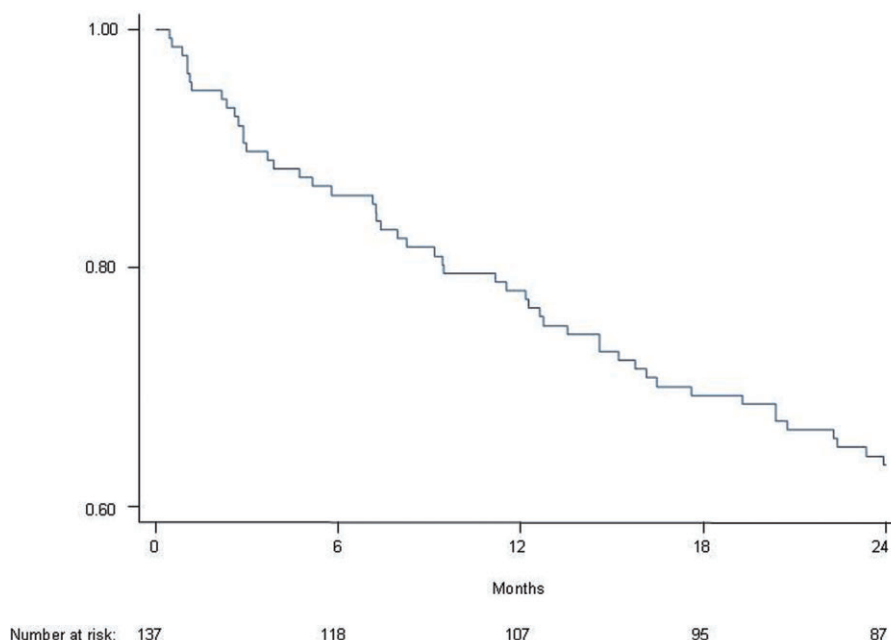


Figure 1 Overall progression-free survival curve of 140 patients with a malignant pancreatic neuroendocrine tumour

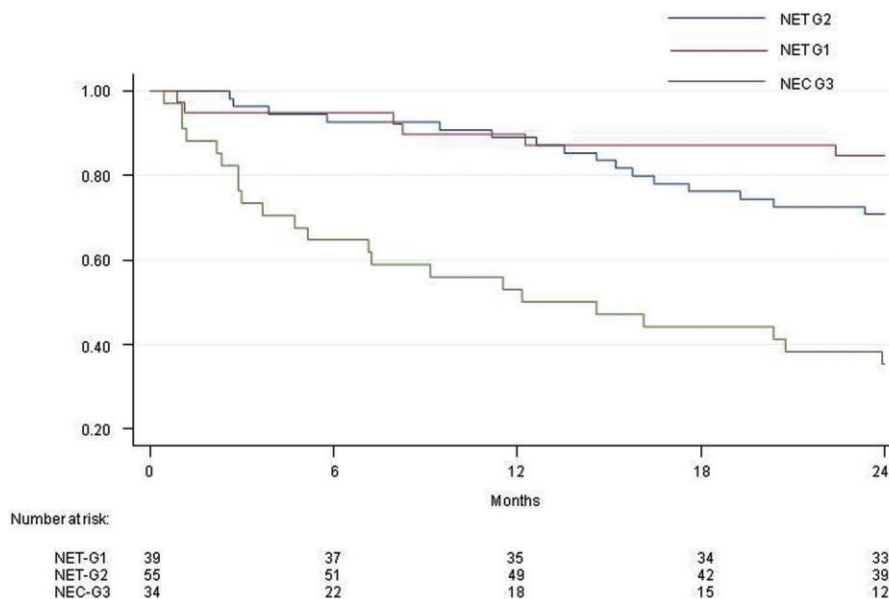


Figure 2 Progression-free survival curves of 131 patients with a malignant pancreatic neuroendocrine tumour, according to the 2010 WHO classification (40 NET G1, 57 NET G2, 34 NEC G3). (NET G1 versus NET G2: $P = 0.155$; NET G1 versus NEC G3: 0.000)

the same across the three groups, as were non-surgical treatments with the exception of more frequent use of chemotherapy in NEC-G3 patients. As expected, the prognosis was correlated with the stage of the neoplasm: in Fig. 2, PFS curves according to the 2010 WHO classification are reported, confirming a significant correlation between survival and stage of the neoplasm ($P < 0.001$).

Patients were also divided according to the type of surgical treatment received: a radical resection (group A), a palliative resection (group B) or no surgical resection (group C); clinicopathological features and other treatments performed are reported in Table 2. Figure 3 shows PFS curves of patients divided by type of surgical procedure, confirming a significant better 2-year survival for patients undergoing a radical resection ($P <$

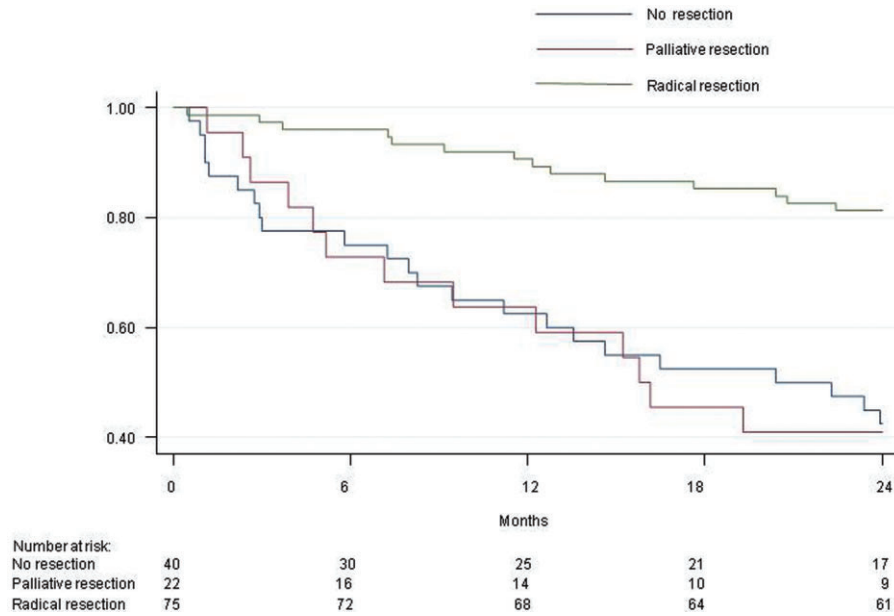


Figure 3 Progression-free survival curves of 140 patients with a malignant pancreatic neuroendocrine tumour undergoing a radical resection (76 patients), palliative resection (22 patients) or no resection (42 patients). Radical resection versus no resection: $P = 0.000$; palliative resection versus no resection: $P = 0.868$

0.0001). Among patients undergoing a palliative resection, survival was significantly correlated with Ki67 values: in particular, 14 patients with a Ki67 value $\leq 5\%$ had a 2-year PFS of 54% compared with 18% in 8 patients with Ki67 values $> 5\%$ ($P < 0.001$). Thirteen patients with concomitant liver resection had a slightly worse 2-year PFS than 9 patients without liver resection, although this was not significant (35% versus 58%, $P = 0.168$).

In univariate analysis, poor histological differentiation, Ki67 values $> 5\%$, Ki67 values $> 10\%$, the presence of symptoms, liver or lymph node metastases and a non-radical resection were significantly correlated with poor 2-year PFS (Table 3). In multivariate analysis, a radical resection and Ki67 values $> 5\%$ or $> 10\%$ were significantly correlated with overall survival (OS), whereas a radical resection was the only parameter significantly correlated with PFS (Table 4).

Discussion

Information on pNENs is mostly derived from small, retrospective, uncontrolled studies conducted on highly selected patients. The present data offer the advantage of including a non-selected group of patients diagnosed with malignant pNENs, and represents a true picture of current therapeutic practice in Italy, especially considering that the study enrolled about one-quarter of cases expected in the entire country.¹³ This prospective, multicentre analysis confirms that a surgical resection, when feasible, should be the cornerstone of treatment in this cohort. In addition, a radical resection represents the most significant prognostic factor for both increased PFS and OS.

The present analysis was limited to patients with malignant disease, although the distinction between benign and malignant pNENs is quite complex and controversial as shown by the several classification systems proposed in recent years.^{16–18} For clinically benign pNENs, surgery without the requirement for additional treatments is standard practice with the choice of surgical procedure usually the main clinical decision.¹⁹ In comparison, the clinical management of malignant pNENs is much more complex, with a range of different surgical and non-surgical therapies that may be used in combination and/or at different disease stages.²⁰ At present, there are no clear guidelines or recommendations on the best treatment strategy to be used. The choice of treatment often reflects the specific clinical experience and competence of the clinician and/or centre, rather than being based on the best clinical evidence.

The present study provides information on the type of treatments performed in patients with malignant pNENs in the first 2 years after diagnosis and show that a surgical resection remains by far the most frequently performed treatment. General agreement exists that complete removal of the tumour should be the first-line therapeutic approach whenever technically feasible²¹ and the present data confirm this attitude.

The role of palliative surgery is under debate,^{11,22–25} with recent data failing to show any survival advantage after a primary tumour resection in the presence of liver metastases²⁶ and the European NeuroEndocrine Tumor Society's (ENETS) guidelines do not recommend debulking for unresectable primary NF-pNENs.⁴ In the present series, the main reason for a non-radical resection was the presence of hepatic metastases. Results after a palliative resection in this study are unsatisfactory, with a

Table 3 Univariate analysis for 2-year progression-free survival

Variables	Hazard ratio (95% CI)	P
Radical resection		
No	1.0	
Yes	0.23 (0.12–0.55)	0.000
Histology		
Well differentiated	1.0	
Poorly differentiated	6.3 (3.08–12.98)	0.000
Tumour site		
Body-tail	1.0	
Head	1.07 (2.08–12.98)	0.864
Hormonal syndrome		
No	1.0	
Yes	0.26 (0.03–1.78)	0.186
Presence of symptoms		
No	1.0	
Yes	3.4 (1.45–8.66)	0.006
Ki 67 values		
<2%	1.0	
3–5%	5.1 (0.58–46.49)	0.147
6–10%	21.3 (2.69–177.86)	0.004
>10%	28.5 (3.88–220.14)	0.001
Lymph node metastases		
No	1.0	
Yes	2.6 (1.06–6.35)	0.033
Hepatic metastases		
No	1.0	
Yes	3.8 (1.65–8.30)	0.001
Somatostatin analogues		
No	1.0	
Yes	1.0 (0.48–1.98)	0.995
Chemotherapy		
No	1.0	
Yes	1.8 (0.48–3.57)	0.116
PRRT		
No	1.0	
Yes	0.7 (0.27–1.86)	0.540
Ablative treatments		
No	1.0	
Yes	0.9 (0.32–2.64)	0.974

PRRT, peptide receptor radio therapy. Bold indicates significant *P* value.

2-year PFS rate significantly worse than that observed after a radical resection and similar to that of patients without a resection. Moreover, no survival advantage was observed in the subgroup of palliative resection patients with concomitant liver resection. This confirms the limited therapeutic benefit of palliative surgery in malignant pNENs. However, it should be noted that the 2-year prognosis of the subgroup of patients with a Ki67

Table 4 Cox multivariate analysis for overall and progression-free survival

Variable	Hazard risk	95% CI	P
Overall survival			
Radical resection	0.04	0.004;0.4	0.0060
Ki67 > 5%	7.4	1.95;28.2	0.0020
Ki67 > 10%	50.3	4.4;573.7	0.0020
Progression-free survival			
Radical resection	0.2	0.056;0.71	0.0130

Variables included in the regression model: gender (male versus female), age (<40 versus 41–50 versus 51–60 versus 61–70 versus > 70 years), resection (radical versus palliative versus no resection), histology (well differentiated versus poorly differentiated), tumour site (head versus body-tail), hormonal syndrome (yes versus no), presence of symptoms (yes versus no), Ki67 values (<2% versus 3–5% versus 6–10% versus > 10%), lymph node metastases (yes versus no), hepatic metastases (yes versus no), treatment with somatostatin analogues (yes versus no), treatment with chemotherapy (yes versus no), PRRT (yes versus no) and ablative treatments (yes versus no).

proliferative index < 5% was considered satisfactory, suggesting that palliative surgery can be considered a possible option in selected patients who have pre-operative assessment of disease grade.²⁶

It is interesting to observe that the decision to perform surgery or not and the possibility of achieving a radical resection did not correlate with the degree of differentiation of the neoplasm according to 2010 WHO classification. To some degree this finding is not surprising, as very limited information on tumour differentiation is available before surgery and the decision to operate is often still based on morphological rather than biological findings. In the present series, neoplasms not treated by resection were more frequently non-functioning and located in the head of the pancreas: the greater complexity of a pancreatoduodenectomy compared with a distal pancreatectomy probably accounts for the lower number of resections performed when the tumour was located in the head of the gland. Other than the rate of hepatic metastases, no different clinicopathological characteristics were observed between patients undergoing radical or palliative surgery.

The majority of patients undergoing surgery also received other treatments. This included almost all of those undergoing palliative surgery, but also about 40% of those who underwent a radical resection. In some patients these treatments were to treat disease recurrence after resection, but in other patients were administered with adjuvant intent, an indication currently not recommended.^{5,21} The most frequent additional treatment was the use of somatostatin analogues; these were administered irrespective of the tumour proliferation index and confirms the widespread use of these agents, consistent with their good safety profile and recent demonstration of efficacy.^{5,27} Ablative treatments were performed in just over one-third of patients undergoing palliative surgery, highlighting the greater importance attributed to liver-directed treatments for disease control after primary removal. PRRT had a

limited application, probably reflecting the lack of comparative studies and definite application criteria for this option.²⁸

Whereas the degree of differentiation of the tumour was not correlated with the surgical choice, it correlates with the choice of medical treatments, in particular chemotherapy. This is in accordance with recent guidelines²⁹ and confirms the key role of Ki67 assessment in planning the multidisciplinary treatment of pNENs.

The overall prognosis of patients with a malignant pNEN was confirmed to be quite good, with a 64% 2-year PFS that is in accordance with other reports.^{1,30,31} As recently underlined,³² it is important to consider PFS instead of survival in the prognostic evaluation of pNENs, because of the observed long survival period after progression in many patients. Radical resection was confirmed to be the most powerful prognostic determinant, not only for OS but also for PFS: this finding is well known and has been previously reported.^{3,4,12,21} One possible criticism of this finding is that radical surgery was simply a surrogate for less advanced disease, as patients who did not undergo a radical resection were typically those with liver metastases. As such, the presence of liver metastases may be the determining factor in the duration of PFS or OS, rather than whether patients underwent a radical resection or not. To investigate this more fully, it would be necessary for long-term follow-up radical surgery patients to see how many develop liver or distant metastases and the impact of this on survival. The 2010 WHO staging classification proved to be a significant tool for predicting survival between well and poorly differentiated pNENs, but was less useful in differentiating between NET G1 and NET G2 patients, as has been previously reported.¹⁴ In this regard, it is important to observe that a Ki67 cut-off value of 5% is confirmed as a significant prognostic determinant in both univariate and multivariate analysis.³³ The possible introduction of a cut-off of 5%, either instead of or together with the 2% cut-off value currently used, could usefully be included in the ongoing considerations to improve TNM staging systems for pNENs.³¹ This especially so given that the current grading system is more general and intended for NENs at all anatomical sites of the gastroenteropancreatic tract.¹⁴ Non-surgical treatments were not prognostic determinants in our series, although this is not surprising given the small numbers of patients receiving these treatments and the short period of follow-up.

Limitations of this study include the heterogeneity of treatments performed and the absence of defined inclusion criteria to decide therapeutic choices. In addition, imaging evaluation during the follow-up period was not standardized across centres. However, these study weaknesses are frequently seen in other clinical series assessing the outcome of malignant pNENs; the rarity and clinical heterogeneity of these tumours has prevented large, randomized, controlled trials aimed at evaluating the specific role of single treatment modalities.

In conclusion, the present study highlights that a radical surgical resection, when feasible, represents the first-choice treatment for malignant pNENs, offering the best chance for extended PFS.

As such, it should be considered for every patient with a pNEN. Somatostatin analogues are the most frequently administered non-surgical treatment, with liver-directed therapies often performed in patients with liver metastases. Staging of the tumour, according to the 2010 WHO classification, is significantly correlated with PFS, whereas the Ki67 proliferation index is a powerful prognostic indicator, especially if 5% or 10% cut-off values are chosen.

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Conflicts of interest

None declared.

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Appendix A1

Members of the AISP-Network Study Group

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Mosca	Franco	OSPEDALE CISANELLO	Pisa
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