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## Phase I trial of bortezomib and dacarbazine in melanoma and soft tissue sarcoma

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### Summary

**Purpose**—Preclinical studies in human melanoma cell lines and murine xenograft tumor models suggest that the proteasome inhibitor bortezomib enhances the activity of the cytotoxic agent dacarbazine. We performed a phase I trial of bortezomib and dacarbazine in melanoma, soft tissue sarcoma, and amine precursor uptake and decarboxylation tumors. The primary objective was to identify recommended phase II doses for the combination.

**Experimental design**—Bortezomib and dacarbazine were both administered intravenously once weekly. All patients received prophylactic antiemetics. Dose escalation proceeded using a standard 3+3 design. Response was assessed according to NCI RECIST v1.0.

**Results**—Twenty eight patients were enrolled to six dose levels. Bortezomib 1.6 mg/m<sup>2</sup> and dacarbazine 580 mg/m<sup>2</sup> are the recommended phase II weekly doses. The combination was generally well tolerated. Among 15 patients with melanoma there was one durable complete response in a patient with an exon-11 *cKIT* mutation, and one partial response. Among 12 patients with soft tissue sarcoma there was one partial response.

**Conclusions**—Bortezomib 1.6 mg/m<sup>2</sup> and dacarbazine 580 mg/m<sup>2</sup> administered intravenously once weekly is well tolerated and has at least minimal activity in melanoma and soft tissue sarcoma.

### Keywords

Bortezomib; Dacarbazine; Melanoma; Soft tissue sarcoma; Phase I trial

### Introduction

Dacarbazine and temozolomide, which are both used in the treatment of a variety of malignancies including melanoma and sarcoma, are prodrugs for the cytotoxic alkylating moiety monomethyl triazineimidazole carboxamide [1]. Nuclear factor-kappa B (NF-kappa B) is an inducible transcription factor that regulates the expression of many genes including genes related to apoptosis [2]. NF-kappa B activity frequently is upregulated in melanoma as well as many other cancers, and this may be a source resistance to alkylating agents [2]. In preclinical studies bortezomib, the prototype proteasome inhibitor, frequently down-regulates NF-kappa B [3, 4]. Preclinical studies in human melanoma cell lines and murine xenograft melanoma tumor models suggest that addition of bortezomib to dacarbazine or temozolomide enhances their activity against melanoma [3, 4]. This creates a rationale for combining bortezomib with one of these agents in the clinic.

The initial FDA approved dose of bortezomib was 1.3 mg/m<sup>2</sup> administered twice weekly for 2 weeks and repeated every 3 weeks [5]. In the treatment of melanoma dacarbazine commonly is administered as a single intravenous (iv) infusion of 850–1,000 mg/m<sup>2</sup> every 3 weeks [6, 7]. We considered that maximum antitumor activity of the combination might be accomplished with an administration schedule that facilitated interaction between the two agents. Bortezomib inhibition of proteasome activity is apparent within minutes and persists for hours or days as measured in peripheral blood mononuclear cells [8]. Following metabolic activation dacarbazine's active moiety induces mono-functional alkyl-DNA adducts that have a half-time of about 3 days as measured in peripheral blood mononuclear cells [9]. These pharmacodynamic profiles suggested that concurrent weekly administration might be an effective strategy. Although even greater dose intensity might have been achievable with twice weekly administration, we considered this to be unacceptably inconvenient for a presumed palliative therapy. We specified administration in the sequence bortezomib followed by dacarbazine in order that the consequences of bortezomib induced proteasome inhibition might be unfolding as dacarbazine metabolites appeared in the circulation.

We did not choose a schedule involving arbitrarily chosen weeks without drug administration (for example, no drug every third week), such as is standard for bortezomib and many other agents and combinations, on the presumption that optimal timing of treatment omission in order to maintain maximum dose intensity varies from patient to patient.

The common, serious toxicities of bortezomib are myelosuppression and peripheral neuropathy [10]. We thought it would be of interest to learn whether weekly administration is associated with less neuropathy. During dacarbazine development the dose limiting toxicity (DLT) was nausea and vomiting [11]. The advent of more effective antiemetics has rendered this toxicity manageable, and currently dose limiting toxicities probably are myelosuppression, especially leucopenia and thrombocytopenia, and maximum tolerated doses have not been defined. As both drugs cause myelosuppression, we suspected that this would be the dose limiting toxicity. We elected to begin with a low dacarbazine dose of 250

mg/m<sup>2</sup>, which over 3 weeks is slightly less dose intense than the usual schedule, and to escalate (if tolerated) to a high dose of 580 mg/m<sup>2</sup>, which represents a nearly two fold increase in dose intensity as compared with the usual schedule. We elected to start with the standard dose of bortezomib of 1.3 mg/m<sup>2</sup> and to escalate only to the highest recommended dose of 1.6 mg/m<sup>2</sup> [12].

Although preclinical studies supporting the combination were done in melanoma cell lines, we planned to include patients with soft tissue sarcomas and, subsequently, amine precursor uptake and decarboxylation (APUD) tumors, in order to expedite completion of the study. We considered this to be reasonable as the presumed mechanism of interaction might be relevant to these dacarbazine sensitive tumors [13, 14].

## Patients and methods

### Centers and patients

Patients were treated at two centers. Eligibility requirements included: age 18 years or older; histologic diagnosis of cutaneous or mucosal melanoma, soft tissue sarcoma, or APUD tumor; not appropriate for surgery and/or radiation treatment with curative intent; measurable or evaluable disease; and an ECOG performance status of 0 or 1. Ineligibility criteria included: uncontrolled brain metastasis; platelets <100,000 cells/mm<sup>3</sup>; neutrophils <1,500 cells/mm<sup>3</sup>; hemoglobin <10 gm/dL; calculated creatinine clearance <30 mL/minute using the Cockcroft and Gault formula; and peripheral neuropathy grade 2 (see below). There was no limit on number of prior therapies. The study was conducted according to an institutional review board approved protocol. All patients gave written informed consent. The study was registered at <http://clinicaltrials.gov> with identifier NCT00580320.

### Administration and dose escalation

Patients received bortezomib and dacarbazine on the same day once weekly with at least 5 days elapsed between each treatment. Bortezomib (supplied as Velcade™ by Millenium Pharmaceuticals, Inc.) was administered as an iv push over 3 to 5 s followed by dacarbazine by iv infusion. Six dose levels were tested (Table 1).

### Dose limiting toxicity (DLT), dose escalation, maximum tolerated dose (MTD), recommended phase II doses (RP2D), and statistical methods

DLT was defined as any grade 3 non-hematologic or grade 4 hematologic toxicity except lymphopenia that occurred within the first 6 weeks of treatment. Patients who discontinued treatment prior to 6 weeks for reasons other than DLT were scored as not evaluable for DLT. All adverse events were noted and categorized according to the NCI Common Terminology Criteria for Adverse Events version 3.0. Adverse events categorized as definitely, probably, or possibly related to study treatment were scored as toxicities.

Patients were enrolled in cohorts of 3. For any dose level, if DLT was experienced in 0 of 3 or 0/1 of 6 patients evaluable for DLT, the next cohort was enrolled at the next dose level (Table 1). For any dose level, if two of up to six patients experienced DLT, dose escalation was stopped and the next lowest dose was declared the MTD, subject to the condition that declaration of an MTD required treatment of six evaluable patients. The RP2D was to be the MTD unless the pattern of dose omissions and toxicities throughout the course of treatment suggested that lower doses might be preferred. Results are described with descriptive statistics.

## Dose modification

Dose escalation above a patient's enrollment dose was not permitted. Doses were modified according to the type and grade of toxicity. Grade 3 or 4 hematologic toxicity required dose omission until resolution to grade 2 or less and then dose de-escalation. Leucocyte growth factors were not permitted during the first 6 weeks of treatment except in patient's experiencing febrile neutropenia. Transient grade 2 non-hematologic toxicity was managed at the investigator's discretion. Persistent grade 2 non-hematologic toxicity required dose de-escalation. Grade 3 or 4 non-hematologic toxicity required dose omission until resolution to grade 2 or less and then dose de-escalation. Neuropathic pain (NP) and/or peripheral sensory neuropathy (PSN) required dose modification of bortezomib alone according to a schema similar to that described in the prescribing information [11].

Patient assessments. Patients had weekly evaluations including interval history, weight, performance status, concurrent medications, complete blood cell count, serum chemistries, and toxicity assessment, Physical exam was performed monthly or more often as indicated. Tumor responses were assessed according to NCI RECIST v1.0 every 2 months while on treatment and as clinically indicated subsequently.

## Results

### Patient characteristics

Twenty eight patients were enrolled at two institutions from 2003 to 2007 (Table 2). Fifteen patients had melanoma, 12 patients had soft tissue sarcoma (STS) including leiomyosarcoma [5], fibrosarcoma (2), unclassifiable (2), liposarcoma (1), spindle cell sarcoma (1), and malignant fibrous histiocytoma (1), and one patient had an amine precursor uptake and decarboxylase (APUD) tumor. Most patients had not had prior systemic therapy for metastatic disease.

### Toxicities, schedule and dose adherence, and recommended phase II doses

Treatment was generally well tolerated (Tables 1 and 3). Only one patient, who was treated at dose level 3, experienced a dose limiting toxicity, which was emesis. Two of six patients treated at the highest dose level experienced dose omissions for toxicity within the first 8 weeks of treatment. The timing of dose omissions differed between these two patients. During the entire course of treatment diverse grade 1 and 2 toxicities were common and grade 3 toxicities were frequent; as a result, dose reductions were frequent; for example, among five patients treated at the highest dose level for at least 18 weeks, four experienced dose reductions. Neuropathy was uncommon and mild, not requiring dose modifications. The MTD and RP2D weekly doses are bortezomib 1.6 mg/m<sup>2</sup> and dacarbazine 580 mg/m<sup>2</sup>.

### Responses

Among 15 patients with melanoma there was one CR of >42 months duration and one PR of 6 months duration (Table 4). The patient experiencing a CR was initially treated at the highest dose level but experienced four dose reductions for myelosuppression before treatment was discontinued. The CR evolved over the first 20 months of treatment, treatment was discontinued at 28 months, and the CR has persisted for more than 14 months since treatment was discontinued (Figs. 1 and 2). Subsequent molecular testing has revealed that this patient's melanoma harbors an exon-11 *c-KIT* mutation. The patient has sun-damaged skin and has been treated for non-melanoma skin cancers both before and after receiving study treatment, although the incidence appears to have increased. She also developed a culture-confirmed atypical mycobacterial infection of the lungs late in the course of therapy that requires ongoing antibiotic therapy. She has persistent mild cytopenias; whether these are due solely to study treatment or also at least in part due to ongoing antibiotic therapy is

unknown. She also has noted complete freedom from migraine headaches since starting treatment and continuing since treatment was discontinued that had been persistent for five decades. Among 12 patients with STS there was one PR.

## Discussion

Despite the presence of a second myelosuppressive agent, the RP2D for dacarbazine in the combination represents a nearly two fold increase in dose intensity as compared with the previous recommended dose for single agent administration. This could be the result of improvements in antiemetic therapy and/or subadditive toxicity with weekly administration. The dose intensity hypothesis holds that routine dose intensification through this approach might improve outcomes and perhaps expand indications for this old drug. On the other hand, toxicities ultimately are cumulative so that dose modification is the rule rather than the exception when treatment continues for more than a few months.

At the RP2D four of six patients tolerated weekly administration for eight consecutive weeks. The patterns of dose omissions in the remaining two patients differed. This suggests that the common scheduling strategy of routine, arbitrarily chosen weeks without drug administration may sacrifice dose intensity.

It is remarkable that minimal neuropathy was observed despite weekly treatment at the higher of the two recommended bortezomib doses [9]. This suggests that there is a pharmacodynamic difference between twice weekly and weekly administration that alters the toxicity profile

Although the antitumor activity seen against both melanoma and STS was not greater than what might have occurred with dacarbazine alone, most patients were treated with doses significantly lower than the RP2D, and it remains unknown whether the combination is more active.

We were aware while we were designing and conducting our study that others, motivated by the same preclinical findings, were pursuing the combination of bortezomib and temozolomide in melanoma [15]. We thought that simultaneous pursuit of both projects was reasonable given the promising preclinical results and contemporary paucity of promising therapeutic concepts. Neuropathy was a common toxicity in that study, probably because these investigators used a conventional twice weekly bortezomib administration schedule. They observed one response in 19 patients, a finding that is subject to the same caveats as apply to our study.

In the interval since the design of these studies, two new drugs with striking activity in melanoma have been developed and approved: Vemurafenib, which is targeted to the common BRAF<sup>V600E</sup> mutation, induces PR in ~50 % of patients and prolongs survival as compared with dacarbazine, although sustained remissions are anecdotal [6]. Ipilimumab, which blocks cytotoxic T-lymphocyte-associated antigen 4 to potentiate an antitumor T-cell response, induces CR in ~15 % of patients, many of which are delayed in onset, and prolongs survival as compared with a presumed inactive peptide “vaccine” [7, 16]. Of greater potential significance, up to half of these CR appear to be durable, raising the possibility of cure [17].

Of particular interest in this study is the observation of a durable and ongoing complete remission in the patient with *c-KIT* mutated melanoma. This mutation typically does not overlap with *BRAF* mutations and is found in distinct subsets of melanoma including mucosal melanoma, acral lentiginous melanoma, and melanoma arising in a background of chronic sun-damaged skin [18]. Gastrointestinal stromal tumor (GIST) is another *KIT*

mutation driven cancer. Preclinical data suggest that bortezomib has a dual mode of action against *KIT* mutant GIST cells involving upregulation of the proapoptotic histone H2AX and downregulation of *KIT* transcription [19]. Importantly, bortezomib was active in vitro against imatinib-resistant GIST cells in a short-term culture derived from an imatinib-resistant GIST in vitro [20]. Clinical studies in *KIT* mutated melanoma using imatinib were based on molecular pathways and responses seen in GIST, and responses have been moderate thus far [20]. The finding of a durable CR in response to bortezomib based therapy in a melanoma patient with a *KIT* exon-11 mutation suggests that a future study of dacarbazine and bortezomib might prove worthwhile in this subpopulation of patients with metastatic melanoma.

Activated NF-kappaB continues to be considered a potential barrier to more effective treatment of melanoma, and research continues to target proteasome inhibitor mediated modulation of NF-kappaB as a therapeutic strategy [21]. An unanticipated interval finding regarding bortezomib has been that in certain lung cancer cell lines it appears to up regulate, not down regulate, NF-kappaB [22]. The relevance of this to melanoma is unknown.

Better treatments for melanoma and soft tissue sarcoma remain an important unmet need. Further exploration of novel, rational combinations remains appropriate.

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**Fig. 2.** Complete response to bortezomib-dacarbazine in a patient with a single pulmonary melanoma metastasis (*left*, baseline; *right*, following 5 months of treatment). Serial images show that all remaining structures in the area of interest are vessels

**Table 1**

Dose levels, patients enrolled, patients experiencing DLT, nature of DLT

Dose level	Bortezomib dose (mg/m <sup>2</sup> )	Dacarbazine dose (mg/m <sup>2</sup> )	Patients enrolled/Patients experiencing DLT	Nature of DLT
1	1.0	250	3/0	Emesis
2	1.3	250	3/0	
3	1.6	250	7/1 <sup>a</sup>	
4	1.6	330	6/0 <sup>b</sup>	
5	1.6	440	3/0	
6	1.6	580	6/0	

<sup>a</sup>One patient not evaluable for DLT due to early treatment termination due to progression of disease

<sup>b</sup>Three patients not evaluable for DLT due to early treatment termination due to progression of disease

**Table 2**Patient characteristics (*n*=28)

Characteristic	No. of patients
Age	
Median	55
Range	23–77
Gender	
Female	12
Male	16
Race/Ethnicity	
African–American	3
Caucasian	24
Performance status	
0	17
1	11
Tumor Type (Number of patients with prior systemic therapies for metastatic disease)	
Melanoma	15 (1 <sup>a</sup> )
Soft tissue sarcoma	12 (7 <sup>b</sup> )
Carcinoid	1(1 <sup>b</sup> )

<sup>a</sup>Interleukin-2<sup>b</sup>Cytotoxic chemotherapy

**Table 3**Number of patients experiencing grade 2 toxicity at any time during treatment ( $n=28$ )

Parameter	Grade 2	Grade 3	Grade 4
Abdominal pain	2		
Alkaline phosphatase elevation	1		
Anemia	3		
Anorexia	1		
AST/SGOT	1		
Atrial fibrillation		1	
Back pain	1		
Constipation	1		
Cough	1		
Depression	1		
Diarrhea	6	1	
Dyspepsia	1		
Dyspnea			1
Emesis	3	2	
Fatigue	8	2	
Hyperglycemia	1		
Hypoalbuminemia	1		
Hypocalcemia	1		
Hypokalemia		1	
Hyponatremia		1	1
Hypoxia		1	
Trush	1		
Upper airway infection	1		
URI	1		
Insomnia	1		
Leukocytes (total WBC)	3	1	
Lymphopenia	7	3	
Muscle weakness		1	
Myalgias	1		
Nausea	3		
Neuropathy	1	1	
Neutropenia	1	1	
Abdominal pain	1		
Platelets	2	1	
Pulmonary/Respiratory/COPD	1		
Rash/Desquamation	1		
Weight loss	1		

**Table 4**

## Treatment response

	<b>Melanoma (n=15)</b>	<b>Soft tissue sarcoma (n=12)</b>	<b>Carcinoid (n=1)</b>
Complete response (CR)	1	0	0
Partial response (PR)	1	1	0
Total CR + PR (%)	2(13)	1(8)	0