# Antitumor activities of agonistic anti-TNFR antibodies require differential FcγRIIB coengagement in vivo

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Agonistic anti-TNF receptor (TNFR) superfamily member antibodies are a class of promising antitumor therapies in active clinical investigation. An unexpected requirement for inhibitory Fc $\gamma$  receptor Fc $\gamma$ RIIB coengagement has recently been described for their in vivo antitumor activities. Although these findings have informed the design of more potent antitumor agonistic, anti-TNFR therapies, the underlying mechanism has remained obscure. Through detailed genetic analysis of strains conditionally deleted for Fc $\gamma$ RIIB on defined cellular populations or mutated in specific signaling components, we now demonstrate that different agonistic anti-TNFR antibodies have specific requirements for Fc $\gamma$ RIIB expression on defined cellular populations and function *in trans* in the absence of Fc $\gamma$ RIIB signaling components, thus supporting a general mechanism of Fc $\gamma$ RIIB cross-linking in vivo for the activities of these antibodies.

anti-CD40 | anti-DR5 | ITIM | ITAM | Fc engineering

Both mouse and human express several activating and one inhibitory Fcgamma receptors (Fc $\gamma$ Rs). These Fc $\gamma$ Rs are expressed broadly on lymphoid and myeloid cells such as B cells, dendritic cells, macrophages, neutrophils, and mast cells, where they regulate and mediate immune responses triggered by immune complexes. Whereas binding of immune complexes to activating FcyRs on dendritic cells and myeloid effector cells leads to cell activation, their binding to the coexpressed inhibitory FcyRIIB inhibits cell activation (1-4). In addition, FcyRIIB expression on B cells inhibits B-cell activation when coligated with B-cell antigen receptors. The opposing effects of activating and inhibitory FcyRs result from their different downstream signaling pathways (5). Typical activating human and mouse FcyRs either contain an immunoreceptor tyrosinebased activation motif (ITAM) or are associated with an ITAMcontaining adaptor protein such as Fc receptor common  $\gamma$ -chain. Cross-linking of activating FcyRs by immune complexes results in ITAM phosphorylation, subsequent activation of phosphoinositide 3-kinase and generation of phosphatidylinositol-3,4,5trisphosphate (PIP<sub>3</sub>), calcium mobilization, and further downstream signaling events that lead to cell activation. In contrast, FcyRIIB contains an immunoreceptor tyrosine-based inhibitory motif (ITIM), and its phosphorylation leads to the recruitment of SH2 domain-containing inositol 5-phosphatase (SHIP), which interferes with activating signaling pathways by hydrolyzing PIP<sub>3</sub>.

Activating Fc $\gamma$ Rs are essential mediators of antibody effector functions including cytotoxicity and phagocytosis by myeloid effector cells (5). It has been shown in both preclinical and clinical studies that interactions between the Fc domains of tumor antigen-specific effector antibodies and activating Fc $\gamma$ Rs are essential for their antitumor activities (6–9). Recently,  $\alpha$ CTLA-4 antibodies that target a key negative immune checkpoint have also been demonstrated to mediate their antitumor activities through activating Fc $\gamma$ R-dependent depletion of tumor-associated T regulatory cells that express high levels of CTLA-4 (10, 11). In addition, our previous studies have shown that the ratio of an Fc's binding affinity to activating Fc $\gamma$ Rs relative to its binding affinity to the inhibitory Fc $\gamma$ RIIB correlates with its ability to mediate antibody effector functions and antitumor responses (12). These findings highlight the importance of interactions between Fc and activating  $Fc\gamma Rs$  in the activity of therapeutic effector antibodies, and have provided the basis for optimizing their antitumor activities by activating  $Fc\gamma R$ -targeted Fc engineering.

Agonistic antibodies represent another class of antitumor antibodies designed to mimic the activity of endogenous ligands, thereby activating the downstream signaling pathways of targeted molecules. Many tumor necrosis factor receptor (TNFR) superfamily members such as CD40 and DR5 control key signaling pathways involved in immune and antitumor responses, and agonistic antibodies targeting these molecules have shown promising antitumor activities in preclinical studies (13). We and others have recently found that both agonistic aCD40 and aDR5 antibodies require Fc-FcyR interactions for their in vivo activities and, in contrast to cytotoxic effector antitumor antibodies, these agonistic antibodies require no activating FcyRs, but inhibitory FcyRIIB (14-16). These studies, together with previous and other recent studies (17, 18), have established a general requirement of FcyRIIB for the in vivo activities of agonistic anti-TNFR antibodies (19). In addition, we have also demonstrated that Fcs that preferentially bind to inhibitory FcyRIIB are more potent for agonistic anti-TNFR antibodies, and that the potency of agonistic anti-TNFR antibodies can be enhanced through FcyRIIB-targeted Fc engineering (14, 15). Although these studies have provided a logical approach to designing potent agonistic anti-TNFR antibodies, the in vivo mechanism underlying this general FcyRIIB requirement remains to be determined. We now demonstrate through the use of genetically defined deletions of FcyRIIB on specific immune cell populations

# Significance

Agonistic antibodies targeting key TNF receptor (TNFR) molecules involved in antitumor responses have been demonstrated as potent antitumor therapies in preclinical studies. However, no effective agonistic anti-TNFR therapies have been successfully developed to date. In contrast, cytotoxic antitumor antibodies targeting tumor antigens or antagonistic antibodies blocking key inhibitory checkpoints are widely used in clinical settings. One explanation for this discrepancy has been recently provided by the finding that agonistic anti-TNFR antibodies have a previously unappreciated requirement for inhibitory Fcy receptor FcyRIIB coengagement. Understanding the differential FcyRIIB coengagement requirement by different antibodies and the in vivo cross-linking function of FcyRIIB, as defined by this study, not only has implications for the development of potent agonistic anti-TNFR therapies but also for the understanding of TNFR activation mechanisms.

Author contributions: F.L. and J.V.R. designed research; F.L. performed research; F.L. and J.V.R. analyzed data; and F.L. and J.V.R. wrote the paper.

The authors declare no conflict of interest.

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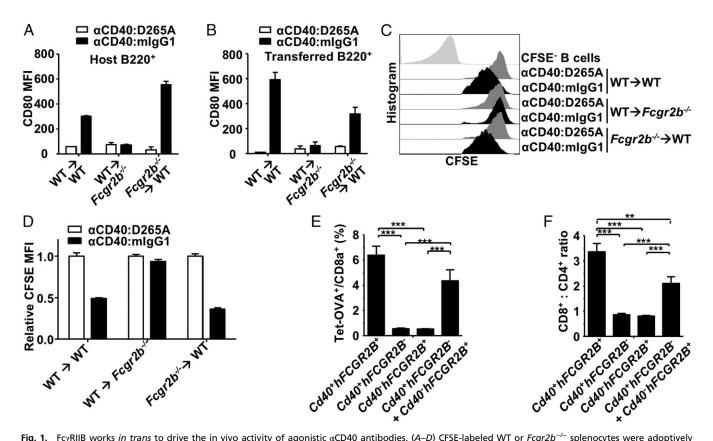
This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10. 1073/pnas.1319502110/-/DCSupplemental.

and targeted mutations in  $Fc\gamma RIIB$  signaling domains the mechanistic basis for this general requirement.

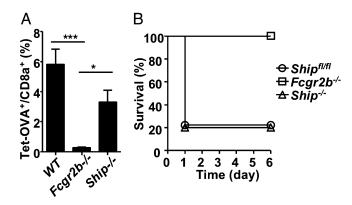
# Results

trans-Coengagement with FcyRIIB Is both Necessary and Sufficient for the in Vivo Activities of Agonistic Anti-TNFR Antibodies. To define the mechanism of action underlying the requirement of FcyRIIB coengagement to drive the in vivo activity of agonistic anti-TNFR antibodies, we first determined whether FcyRIIB and agonistic anti-TNFR antibodies interact in cis or in trans. Some tumor cells targeted by agonistic aDR5 antibodies such as MC38 and 4T1.2 do not coexpress FcyRIIB with the targeted TNFR molecules (Fig. S1A), and thus these agonistic antibodies must function in trans in these models. However, there are also cells targeted by agonistic anti-TNFR antibodies that do coexpress FcyRIIB with the targeted TNFR molecules, such as B cells that coexpress FcyRIIB with CD40, which makes it possible for agonistic aCD40 antibodies to coengage FcyRIIB in cis or in trans. To investigate whether agonistic  $\alpha$ CD40 antibodies are active in the presence of FcyRIIB cis-coengagement, 5-(6)-carboxyfluorescein diacetate succinimidyl diester (CFSÉ)-labeled CD45.1<sup>+</sup> wild-type (WT) splenic cells were adoptively transferred into CD45.2<sup>+</sup> WT or  $Fc\gamma RIIB$ -deficient (*Fcgr2b*<sup>-/-</sup>) mice and tested for B-cell stimulation in response to a previously described agonistic CD40 antibody, αCD40:mIgG1 (14). The D265A variant of aCD40:mIgG1 (aCD40:D265A) that does not bind to FcyRs and thus has no immunostimulatory activity (14) was used as a negative control. Because the transferred cells are very rare in the recipient mice, no trans-interactions between these transferred cells are expected, and the effect of agonistic aCD40 antibody on transferred B cells will be either due to its ciscoengagement with FcyRIIB on transferred cells or to its transcoengagement with FcyRIIB on host cells. As expected, when WT B cells were transferred into WT recipient mice, both host and transferred B cells are stimulated by agonistic aCD40 antibodies, as shown by increased CD80 levels (Fig. 1 A and B) and diluted CFSE (Fig. 1 C and D). In contrast, WT B cells transferred into  $Fcgr2b^{-/-}$  mice failed to respond (Fig. 1 B and D), suggesting agonistic aCD40 antibody requires trans-coengagement with FcyRIIB on the host cells to stimulate transferred B cells. It also suggests that cis-coengagement with FcyRIIB on transferred B cells is not sufficient for agonistic αCD40 antibody to stimulate transferred B cells. At the same time, when  $Fcgr2b^{-1}$ cells were transferred into WT mice, where only trans-coengagement with FcyRIIB on host cells is possible for agonistic  $\alpha$ CD40 antibodies binding to the CD40 on transferred B cells, these transferred B cells are stimulated by agonistic aCD40 antibodies. Therefore, trans-coengagement with FcyRIIB is not only necessary but also sufficient to drive the immunostimulatory activities of agonistic aCD40 antibodies.

We have demonstrated previously that the immunostimulatory and antitumor activity of chimeric, mouse–human agonistic  $\alpha$ CD40 antibodies can be enhanced by human FcγRIB (hFcγRIB)targeted Fc engineering (14). To determine whether *trans*-coengagement with human FcγRIB can support the activity of these hFcγRIB-enhanced, agonistic  $\alpha$ CD40 antibodies, lethally irradiated WT C57BL/6 recipient mice were reconstituted with bone marrow cells that express both CD40 and human FcγRIB



**Fig. 1.** FcyRIIB works *in trans* to drive the in vivo activity of agonistic  $\alpha$ CD40 antibodies. (*A*–*D*) CFSE-labeled WT or *Fcgr2b<sup>-/-</sup>* splenocytes were adoptively transferred into WT C57BL/6 or *Fcgr2b<sup>-/-</sup>* mice, which were then treated with an agonistic  $\alpha$ CD40 antibody ( $\alpha$ CD40:mlgG1) or an inactive  $\alpha$ CD40 control antibody ( $\alpha$ CD40:D265A). On day 3, CD80 levels were analyzed in host B cells (*A*) and transferred B cells (*B*) in blood and presented as CD80 mean fluorescence intensity (MFI); on day 5, CFSE levels expressed as MFI were analyzed in transferred B cells in spleen (*C*) and are summarized (*D*). (*E* and *F*) WT C57BL/6 mice reconstituted with bone marrow cells isolated from mice of the indicated genotypes were immunized with DEC-OVA and  $\alpha$ CD40:hlgG1(S267E). Seven days later, the percentage of OVA-specific cells among CD8<sup>+</sup> T cells (*E*) and the ratios of CD8<sup>+</sup> to CD4<sup>+</sup> cells (*F*) were analyzed. \*\**P* < 0.01, \*\*\**P* < 0.001, ANOVA with Tukey's post hoc. All error bars represent SEM. Representative of two experiments.



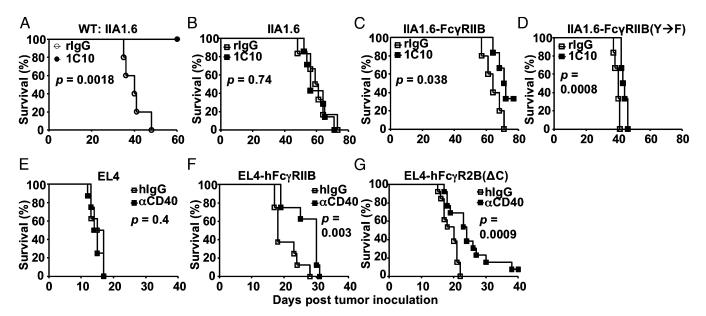
**Fig. 2.** SHIP is not required for the impact of FcγRIIB on the activities of agonistic αCD40 and αFas antibodies. (A) The percentage of OVA-specific cells among CD8<sup>+</sup> T cells in spleen (expressed as mean ± SEM) in WT, *Fcgr2b<sup>-/-</sup>*, and *Ship<sup>-/-</sup>* mice. Mice were treated with DEC-OVA and agonistic αCD40 antibodies (1C10) and analyzed 7 d later for OVA-specific CD8<sup>+</sup> T cells. \**P* < 0.05, \*\*\**P* < 0.001, ANOVA Tukey's post hoc. (*B*) Survival curves of WT (*Ship<sup>fUff</sup>*), *Fcgr2b<sup>-/-</sup>*, and *Ship<sup>-/-</sup>* mice treated with agonistic αFas antibodies. Representative of three experiments.

(*Cd40*<sup>+</sup>*hFCGR2B*<sup>+</sup>), CD40 alone (*Cd40*<sup>+</sup>*hFCGR2B*<sup>-</sup>), human FcγRIIB alone (*Cd40*<sup>-</sup>*hFCGR2B*<sup>+</sup>), or a 1:1 mixed bone marrow preparation that expresses only CD40 or only human FcγRIIB (Fig. S1*B*), and analyzed for activity of the human FcγRIIB enhanced  $\alpha$ CD40:hIgG1(S267E) antibody (14). As expected (14), mice reconstituted with *Cd40*<sup>+</sup>*hFCGR2B*<sup>+</sup> donor cells developed a robust ovalbumin (OVA)-specific T-cell response and a high CD8<sup>+</sup>:CD4<sup>+</sup> ratio when immunized with DEC-OVA (an OVA vaccine targeted to dendritic cells by  $\alpha$ DEC-205 antibodies) and  $\alpha$ CD40:hIgG1(S267E) (Fig. 1 *E* and *F*) and, in contrast,  $\alpha$ CD40: hIgG1(S267E) displayed no activities when donor cells expressed only CD40 or human FcγRIIB. Importantly, a significant OVA-specific T-cell response and a high CD8<sup>+</sup>:CD4<sup>+</sup> ratio were observed in mice reconstituted with a 1:1 mixed bone marrow

preparation of cells that express either CD40 or human FcγRIIB (Fig. 1 *E* and *F*), suggesting that  $\alpha$ CD40:hIgG1(S267E) can activate CD40 expressed on *Cd40<sup>+</sup>hFCGR2B<sup>-</sup>* cells when *Cd40<sup>-</sup>hFCGR2B<sup>+</sup>* cells are present. Therefore, *trans*-coengagement is also sufficient to drive the immunostimulatory activity of this human FcγRIIB-enhanced agonistic CD40 antibody. Taken together, these studies suggest that regardless of whether cells targeted by agonistic anti-TNFR antibodies coexpress FcγRIIB with the target TNFR molecules, FcγRIIB provides *trans*-coengagement interactions to drive the in vivo activities of these antibodies.

The Impact of Fc $\gamma$ RIIB on the in Vivo Activity of Agonistic Anti-TNFR Antibodies Is Independent of Fc $\gamma$ RIIB Downstream Signaling. In previous studies on the mechanism of inhibitory signaling by Fc $\gamma$ RIIB, *cis*-coengagement was found to be the dominant pathway based on the requirement that the ITIM be phosphorylated, which recruits SHIP and results in inhibitory signaling (1, 20–22). The findings that Fc $\gamma$ RIIB functions *in trans* to drive the activities of agonistic anti-TNFR antibodies raised the question of whether the Fc $\gamma$ RIIB inhibitory signaling pathway is required in this process. To address this question, we analyzed the activities of agonistic  $\alpha$ CD40 and  $\alpha$ Fas antibodies in SHIP-deficient mice (*Ship*<sup>-/-</sup>). As shown in Fig. 2, SHIP is neither required for the immunostimulatory activity of agonistic  $\alpha$ CD40 antibody nor for the hepatotoxic activity of agonistic  $\alpha$ Fas antibody (clone Jo2) that results in mortality.

To directly determine the contribution of the Fc $\gamma$ RIIB ITIM in the agonistic activity of these antibodies, we tested whether Fc $\gamma$ RIIB carrying a Y $\rightarrow$ F mutation in the ITIM, and thus unable to recruit SHIP (21, 23) or mediate inhibitory signaling (1, 22), is able to support the antitumor activities of an agonistic  $\alpha$ CD40 antibody. Using a syngeneic model based on IIA1.6 lymphoma cells, an Fc $\gamma$ RIIB-deficient B-cell lymphoma cell line, we have generated lines expressing either wild-type or signaling-null (with a Y $\rightarrow$ F mutation in the ITIM) Fc $\gamma$ RIIB-expressing cells, referred to as IIA1.6-Fc $\gamma$ RIIB and IIA1.6-Fc $\gamma$ RIIB(Y $\rightarrow$ F), respectively, for in vivo tumor challenge studies (Fig. S2 A and B) (1). As shown in Fig. 3A, agonistic  $\alpha$ CD40 antibody 1C10 protected WT but not Fc $\gamma$ RIIB-deficient mice in the IIA1.6 model (Fig. 3B),

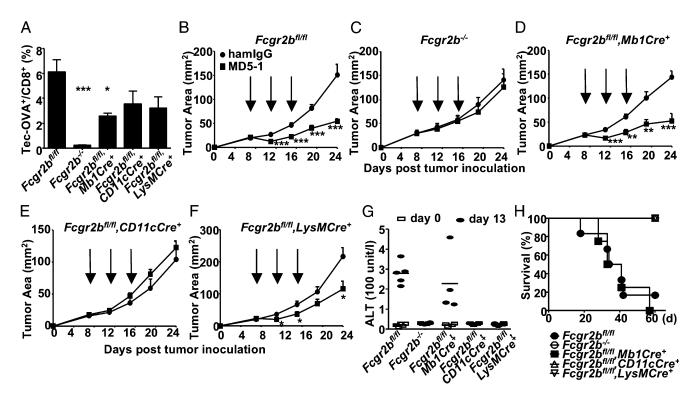


**Fig. 3.**  $Fc\gamma$ RIIB ITIM signaling is not required for the antitumor activity of agonistic  $\alpha$ CD40 antibodies. (*A* and *B*) WT (*A*) or  $Fcgr2b^{-/-}$  (*B*) BALB/c mice were inoculated i.v. with IIA1.6 lymphoma cells, treated with agonistic  $\alpha$ CD40 antibodies (1C10) or rat control IgG, and monitored for survival. (*C* and *D*) Same as *B* except that IIA1.6 cells that express mouse  $Fc\gamma$ RIIB (IIA1.6- $Fc\gamma$ RIIB) (*C*) or  $Fc\gamma$ RIIB with a Y  $\rightarrow$  F mutation in the ITIM [IIA1.6- $Fc\gamma$ RIIB(Y $\rightarrow$ F)] (*D*) were used. (*E*–G) WT C57BL/6 mice were inoculated with EL4 cells (*E*), EL4 cells that express human  $Fc\gamma$ RIIB (*F*), or EL4 cells that express truncated human  $Fc\gamma$ RIIB without the cytoplasmic domain (*G*), and treated with human  $Fc\gamma$ RIIB-dependent agonistic  $\alpha$ CD40 antibodies [ $\alpha$ CD40:hlgG1(S267E)] or control human IgG, and monitored for survival. Presented are all survival curves with *P* values calculated by log-rank tests. Representative of two experiments.

consistent with our previous finding that FcγRIIB is required for the antitumor activities of agonistic  $\alpha$ CD40 antibodies. Interestingly, when IIA1.6-FcγRIIB cells were used, agonistic  $\alpha$ CD40 antibodies displayed significant antitumor activities in FcγRIIB-deficient mice (Fig. 3*C*), although much weaker than in WT mice (Fig. 3*A*), suggesting that FcγRIIB expressed by IIA1.6 cells can partially replace endogenous FcγRIIB and drive significant antitumor activities of agonistic  $\alpha$ CD40 antibodies in vivo. We exploited this feature to test whether signaling-null FcγRIIB with a Y→F mutation in the ITIM can drive the antitumor activities of agonistic  $\alpha$ CD40 antibodies. As shown in Fig. 3*D*, significant antitumor activities were observed in FcγRIIB-deficient mice when IIA1.6-FcγRIIB(Y→F) cells were used, suggesting that mouse FcγRIIB ITIM signaling is not required for its ability to drive the antitumor activities of agonistic  $\alpha$ CD40 antibodies.

To test whether this is a phenotype specific for IIA1.6-derived cell lines and mouse FcyRIIB, we generated EL4 tumor cells that express either WT human FcyRIIB or a truncated version of human FcyRIIB (Fig. S2C), and tested the antitumor activity of a chimeric, agonistic  $\alpha$ CD40 antibody that requires human FcyRIIB interactions, aCD40:hIgG1(S267E) (14). Consistent with our previous studies, αCD40:hIgG1(S267E) had no antitumor activities in WT C57BL/6 mice (Fig. 3E). When EL4 cells that express unmutated human Fc $\gamma$ RIIB (EL4-hFc $\gamma$ RIIB) were used in WT C57BL/6 mice,  $\alpha$ CD40:hIgG1(S267E) significantly prolonged mouse survival (Fig. 3F), consistent with the notion that human FcyRIIB expressed by tumor cells can support or partially support the antitumor activity of aCD40:hIgG1(S267E). Importantly, significant antitumor activities of  $\alpha$ CD40:hIgG1(S267E) was also observed when EL4 cells that express a truncated human FcyRIIB without the cytoplasmic domain [EL4-FcyRIIB  $(\Delta C)$ ], and thus the ITIM, was used (Fig. 3G). These experiments demonstrated that both mouse and human Fc $\gamma$ RIIB without ITIM signaling are able to drive the antitumor activity of agonistic  $\alpha$ CD40 antibodies. Taken together, these studies suggest that the impact of Fc $\gamma$ RIIB on the in vivo activity of agonistic anti-TNFR antibodies is independent of Fc $\gamma$ RIIB downstream signaling.

Various Agonistic Anti-TNFR Antibodies Have Distinct Requirements for Cell-Specific FcyRIIB Expression. Given that signaling-independent trans-interactions are the general mode of action of FcyRIIB coengagement required to drive the in vivo activity of agonistic anti-TNFR antibodies, we investigated whether there was also a general cellular source of FcyRIIB involved in these trans-interactions. FcyRIIB is highly expressed on B cells, immature dendritic cells, and myeloid cells (5). FcyRIIB conditional knockout lines with selective deletion of FcyRIIB in these cells was achieved by cre:lox-mediated deletion of the floxed Fcgr2b gene crossed to Mb1-Cre-, CD11c-Cre-, and LysM-Creexpressing lines, respectively (Fig. S3). As shown in Fig. 4A, examination of the immunostimulatory activity of an agonistic CD40 antibody (clone 1C10) in these mice showed that whereas none of these conditional knockout lines recapitulated the phenotype of the Fcgr2b germ-line knockout mouse, the activity of the agonistic  $\alpha CD40$  antibody was significantly reduced in mice with B cell-specific deletion of Fcgr2b. We also analyzed the antitumor and hepatotoxic activity of the agonistic aDR5 antibody MD5-1 (15) in these Fcgr2b conditional lines. In contrast to the significant contribution of B cell-specific FcyRIIB to the activity of agonistic  $\alpha$ CD40 antibody, deletion of Fc $\gamma$ RIIB in B cells had no effect on the antitumor activity of MD5-1 (Fig. 4 B-D). Instead, selective deletion of  $Fc\gamma RIIB$  in CD11c-cre<sup>+</sup> and LysM-cre<sup>+</sup> cells significantly reduced the antitumor activity of



**Fig. 4.** Agonistic  $\alpha$ CD40 and  $\alpha$ DR5 antibodies require different cell-specific FcyRIIB for their in vivo activities. (A) The percentage of OVA-specific cells among CD8<sup>+</sup> T cells (expressed as mean  $\pm$  SEM) in WT and mutant mice with germ-line or conditional knockout of *Fcgr2b*. Mice were treated with DEC-OVA and agonistic  $\alpha$ CD40 antibodies (1C10), and analyzed for OVA-specific CD8<sup>+</sup> T cells in blood 7 d later. \**P* < 0.05, \*\*\**P* < 0.001, ANOVA with Dunnett's post hoc comparing each group with the *Fcgr2b*<sup>filff</sup> group. (*B*–*F*) MC38 tumor growth curves (expressed as mean  $\pm$  SEM). MC38 cells were implanted in mice of the indicated genotypes, treated with 100 µg of MD5-1 antibodies at the time points indicated by the arrows, and monitored for tumor growth. \**P* < 0.05, \*\*\**P* < 0.01, \*\*\**P* < 0.001, two-tailed *t* test. (*G* and *H*) Mice of the indicated genotypes were treated with high doses of MD5-1 or hamster control IgG (300 µg per mouse repeated at 3-d intervals for a total of 1.2 mg per mouse), analyzed for serum ALT levels on days 0 and 13, and monitored for survival. Serum ALT levels are presented in *G* and survival curves are presented in *H*. Representative of two experiments.

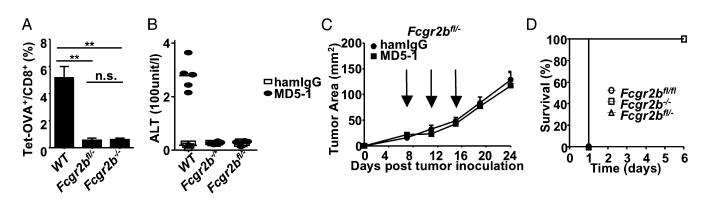
MD5-1 (Fig. 4 *B*, *C*, *E*, and *F*). In addition, the hepatotoxic activity of MD5-1 is completely dependent on FcγRIIB expression in CD11c-cre<sup>+</sup> and LysM-cre<sup>+</sup> cells, as neither elevated alanine aminotransferase (ALT) levels nor mortality due to MD5-1 treatment was observed in FcγRIIB conditional knockout mice that express either LysM-Cre or CD11c-Cre (Fig. 4 *G* and *H*). Therefore, different agonistic anti-TNFR antibodies have very different requirements for the source of FcγRIIB involved in their FcγRIIB *trans*-coengagement.

FcyRIIB Expression Levels Are Important for Its Impact on the in Vivo Activity of Agonistic Anti-TNFR Antibodies. We next tested whether the absolute level of FcyRIIB expression is important for its impact on the in vivo activity of agonistic anti-TNFR antibodies. FcyRIIB is expressed at high levels and is the most widely expressed  $Fc\gamma \hat{R}$  (5). Strikingly, in the DEC-OVA model, agonistic CD40 antibody (clone 1C10) lost its immunostimulatory activity in heterozygous Fcgr2b knockout mice with reduced  $Fc\gamma RIIB$  expression (Fig. S4), to the same extent as in  $Fc\gamma RIIB$ knockout mice (Fig. 5A), thus suggesting that there is a minimal FcyRIIB expression level required to drive the in vivo activity of agonistic  $\alpha$ CD40 antibodies. The expression level in the heterozygous Fcgr2b knockout mice is thus below the threshold required to support the agonistic activity of the  $\alpha$ CD40 antibody. We found that this was also true for the agonistic  $\alpha DR5$  antibody (clone MD5-1). As shown in Fig. 5 B and C, the hepatotoxic and antitumor activity of MD5-1 is completely lost in heterozygous Fcgr2b knockout mice, equivalent to that observed in homozygous Fcg2b knockout mice, suggesting that there is a similar FcyRIIB expression-level threshold for agonistic aDR5 antibodies. However, the agonistic aFas antibody Jo2 is active in both WT mice and heterozygous Fcgr2b knockout mice but not in homozygous Fcgr2b knockout mice (Fig. 5D). Therefore, FcyRIIB expression levels are critical for its impact on the activities of agonistic anti-TNFR antibodies, and there seems to be expression-level thresholds for FcyRIIB to drive the activities of certain classes of agonistic anti-TNFR antibodies. At the same time, various agonistic anti-TNFR antibodies seem to have very different thresholds, which are above the FcyRIIB expression level in heterozygous Fcgr2b knockout mice for agonistic αCD40 and aDR5 antibodies and below this level for agonistic aFas antibodies.

## Discussion

Our analysis of multiple representative agonistic anti-TNFR antibodies using in vivo models has revealed important features of the  $Fc\gamma RIIB$  coengagement required by these antibodies: (*i*) Signaling-independent, *trans*-coengagement with  $Fc\gamma RIIB$  is the general mode of action; and (ii) FcyRIIB distribution and expression levels are critical for its impact on the activities of agonistic anti-TNFR antibodies. Based on these studies, a general model of FcyRIIB-mediated activation of agonistic anti-TNFR antibodies has emerged, as summarized in Fig. S5. Because trans-coengagement is necessary and sufficient, and signaling by FcyRIIB is not required, we can postulate that FcyRIIB acts as a scaffold to provide the clustering of TNFR molecules on the membrane and thereby mimic the effect of multimeric ligands engaging these receptors. Clustering of TNFRs by the two arms of each single agonistic anti-TNFR antibody is insufficient to mimic the effect of the endogenous multimeric ligands for these receptors to trigger downstream signaling. This signaling-independent, in vivo cross-linking mechanism of FcyRIIB can be applied to all agonistic antibodies that target multimeric liganddependent TNFR molecules and possibly non-TNFR molecules regardless of the nature of their downstream signaling.

Consistently, our study has also showed that FcyRIIB distribution and expression levels are critical for the activities of agonistic anti-TNFR antibodies as they control whether FcyRIIB can interact with these antibodies and provide sufficient clustering of the targeted TNFR molecules. For instance, FcyRIIB expression in B cells plays a significant role in driving the immunostimulatory activity of agonistic aCD40 antibodies, likely because B cells are the most abundant FcyRIIB-expressing cells in lymphoid tissue, where CD40 on antigen-presenting cells is targeted. In contrast, FcyRIIB expression in CD11c<sup>+</sup> and LysM<sup>+</sup> cells, not B cells, is required for the hepatotoxic effect of agonistic  $\alpha$ DR5 antibodies, which could be explained by the fact that residential macrophages and dendritic cells are rich and B cells are rare in liver, where DR5 on cholangiocytes is targeted (24, 25). Similarly, most immune cells infiltrated into tumor tissue are  $CD11b^+$  myeloid cells (10), which may explain a dominant role of FcyRIIB expression in these cells in driving the antitumor activity of agonistic aDR5 antibodies. In addition, different agonistic anti-TNFR antibodies require different FcyRIIB expression levels, which may be related to several factors, including the efficiency of the involved antibody being cross-linked by FcyRIIB, the expression levels of the targeted TNFR, and the amount of cross-linking required to activate the targeted TNFR in the targeted cells. Both agonistic  $\alpha$ Fas and  $\alpha$ DR5 antibodies mediate their hepatotoxic effects by triggering a Fas-associated protein with death domain-dependent apoptotic signaling pathway, but liver cells appear to be more sensitive to apoptosis mediated by Fas than by DR5, as hepatocytes are more sensitive to FasL than TRAIL even though both Fas and DR5 are expressed (24). Therefore, it is reasonable to hypothesize that, to be



**Fig. 5.** Different FcyRIIB expression levels are required for the in vivo activities of various agonistic anti-TNFR antibodies. (*A*) The percentage of OVA-specific cells among CD8<sup>+</sup> T cells in spleen (expressed as mean  $\pm$  SEM) in WT, *Fcgr2b<sup>fl/-</sup>*, and *Fcgr2b<sup>-/-</sup>* mice was analyzed and is presented as in Fig. 2*A*. \*\**P* < 0.01; n.s., not significant, ANOVA Tukey's post hoc. (*B*) Serum ALT levels in WT, *Fcgr2b<sup>fl/-</sup>*, and *Fcgr2b<sup>-/-</sup>* mice in response to agonistic  $\alpha$ DR5 antibody (MD5-1) were analyzed and are presented as in Fig. 4*G*. (*C*) Tumor growth curves (expressed as mean  $\pm$  SEM). *Fcgr2b<sup>fl/-</sup>* mice were inoculated with MC38 tumor cells, treated with MD5-1 or hamster control IgG, and analyzed as in Fig. 4*B*–*F*. (*D*) The survival of WT (*Ship<sup>fl/fl</sup>*), *Fcgr2b<sup>fl/-</sup>*, and *Fcgr2b<sup>-/-</sup>* mice in response to agonistic  $\alpha$ Fas antibody was analyzed and is presented as in Fig. 2*B*. Representative of three experiments.

activated in liver cells, Fas requires less cross-linking and thus lower FcyRIIB expression than DR5.

Whereas previous studies using in vitro cell-culture systems also supported a cross-linking function of Fc $\gamma$ RIIB that is signaling-independent (16, 26), these and other (18) in vitro studies also showed that both mouse and human activating Fc $\gamma$ Rs can effectively cross-link agonistic  $\alpha$ CD40,  $\alpha$ DR4, and  $\alpha$ DR5 antibodies. In contrast, activating Fc $\gamma$ Rs are clearly not sufficient, and even detrimental, in supporting the in vivo activities of these agonistic anti-TNFR antibodies (14–16, 18). Among many possible reasons, the inconsistency between in vitro and in vivo studies could be due to oversimplification or fundamentally a lack of tissue structure in the in vitro systems, highlighting the importance of using in vivo models. While the in vivo cross-linking function of Fc $\gamma$ RIIB required by agonistic anti-TNFR antibodies has been hypothesized before (16, 17), this study provided the necessary in vivo evidence to establish it.

The in vivo cross-linking model also suggests that  $Fc\gamma RIIB$  can mediate this function uniquely among  $Fc\gamma Rs$ , presumably because its engagement *in trans* would not induce antibody-dependent cell-mediated cytotoxicity, and thus result in depletion of the targeted TNFR-expressing cell. Although it is not clear whether *trans*-coengagement interactions with activating  $Fc\gamma Rs$  by agonistic anti-TNFR antibodies always induce antibody-dependent cell-mediated cytotoxicity, it is reasonable to postulate that the targeted TNFR-expressing cells will be depleted when the strength and density of these interactions reach the levels required to effectively cluster TNFR molecules.

Finally, our findings have implications in the design of potent agonistic anti-TNFR antibodies or other TNFR-binding molecules such as TNFR ligands. It predicts that designs leading to increased clustering of TNFR molecules will result in increased potency in activating targeted TNFR molecules.  $Fc\gamma$ RIIB-targeted Fc engineering is an effective approach for agonistic anti-TNFR antibodies to achieve this goal, and permit the advancement of these promising therapeutics into clinical application.

## **Materials and Methods**

Detailed materials and methods are described in SI Materials and Methods.

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**Mice and Antibodies.** *Fcgr2b<sup>fl/fl</sup>* mice were generated from B6 ES cells and crossed to Cag-Cre, Mb1-Cre, Cg1-Cre, CD11c-Cre, and LysM-Cre to generate germ-line and conditional knockout stains. *Cd40<sup>-/-</sup>* mice (The Jackson Laboratory) were crossed to human *FCGR2B* transgenic mice (14) to generate *Cd40<sup>-</sup>hFCGR2B*<sup>+</sup> mice. All mouse studies had been approved by The Rockefeller University Institutional Animal Care and Use Committee. Agonistic antibodies against mouse CD40 [1C10, and 1C10-derived  $\alpha$ CD40:mlgG1(D265A), and  $\alpha$ CD40:hlgG1(S267E)], DR5 (MD5-1), and Fas (Jo2) have been described previously (14, 15, 17).

**Agonistic**  $\alpha$ **CD40 Antibody-Induced B-Cell Activation.** CFSE-labeled WT or *Fcgr2b<sup>-/-</sup>* splenocytes were adoptively transferred into recipient mice that express different CD45 congenic markers on day -1. Recipient mice were treated with 30 µg of  $\alpha$ CD40:mlgG1 or  $\alpha$ CD40:mlgG1(D265A) on day 0, and analyzed for CD80 levels in blood B cells on day 3 and CFSE levels in transferred B cells in spleen on day 5.

**OVA-Specific T-Cell Response.** Mice were i.p. injected with 5  $\mu$ g of DEC-OVA and 30  $\mu$ g of  $\alpha$ CD40 antibodies, and analyzed 7 d later for OVA-specific CD8<sup>+</sup> T cells in blood or spleen by OVA peptide SIINFEKL H-2<sup>b</sup> tetramer staining as previously described (14). In the bone marrow chimeric experiment, lethally irradiated WT C57BL/6 recipient mice were reconstituted with 10<sup>6</sup> bone marrow cells for 3 mo and then analyzed for OVA-specific CD8<sup>+</sup> T-cell response.

**Tumor Models.** MC38 cells (10<sup>6</sup> per mouse) were implanted s.c. After 5–7 d, mice with palpable tumors were treated with 100 µg per mouse of MD5-1 or control hamster IgG i.v. three times at 4-d intervals, and monitored for tumor growth as described previously (15). In IIA1.6 and IIA1.6-derived B-cell lymphoma models, mice received 2.5 × 10<sup>7</sup> tumor cells on day 0 and 200 µg of 1C10 or control antibodies on day 7 and 10 through i.v. injection, and monitored for survival. In EL4, EL4-hFcγRIIB, and EL4-hFcγRIIB( $\Delta$ C) (generated by transducing EL4 cells with retroviruses containing pFB-Neo vectors expressing unmutated or truncated human FcγRIIB and Geneticin selection) models, mice received 1.5 × 10<sup>7</sup> tumor cells on day 0 and 200 µg of  $\alpha$ CD40: hlgG1(S267E) or control antibodies on days 3 and 5 through i.v. injection, and monitored for survival.

ACKNOWLEDGMENTS. We thank P. Smith for excellent technical support. This work was performed with support from National Institutes of Health grants (to J.V.R.). F.L. is supported in part by the Program for Professor of Special Appointment (Eastern Scholar) at Shanghai Institutions of Higher Learning.

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