

# Ch14.18 antibody produced in CHO cells in relapsed or refractory Stage 4 neuroblastoma patients

## A SIOOPEN phase 1 study

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**Purpose:** This study aimed to assess the safety, pharmacokinetic and activity profiles of the human-mouse chimeric monoclonal anti-disialoganglioside GD2 antibody ch14.18 produced in Chinese hamster ovary (CHO) cells (ch14.18/CHO).

**Results:** A total of 41 ch14.18/CHO courses were given (10 × 3 courses, 5 × 2 courses, 1 × 1 course). Side effects were similar in expectedness, frequency and magnitude to those reported for ch14.18/SP2/0. The dose level of 20 mg/m<sup>2</sup>/day was confirmed. Toxicity was reversible and no treatment-related deaths occurred. In children, the peak plasma concentration was 16.51 µg/ml ± 5.9 µg/ml and the half-life was 76.91 h ± 52.5 h. A partial response following ch14.18/CHO was observed in 2/7 patients with residual disease. In mice, the half-lives were 22.7 h ± 1.9 h for ch14.18/CHO and 25.0 h ± 1.9 h for ch14.18/SP2/0. The biodistribution of <sup>125</sup>I-ch14.18/CHO in mice with neuroblastoma was identical to <sup>125</sup>I-ch14.18/SP2/0, indicating GD<sub>2</sub> targeting activity *in vivo*.

**Methods:** Sixteen children with recurrent/refractory neuroblastoma (median age 7.6 y) were enrolled in this Phase 1 dose-finding study. Patients received ch14.18/CHO courses of 10, 20 or 30 mg/m<sup>2</sup>/day as an eight-hour infusion over five consecutive days. Three courses at the same dose level were allowed unless disease progressed. Clearance and biodistribution of radiolabelled ch14.18/CHO in Balb/c and A/J mice were analyzed.

Ch14.18 produced in CHO cells showed an unchanged toxicity profile and pharmacokinetics in neuroblastoma patients compared with ch14.18 produced in SP2/0 cells, and evidence of clinical activity was observed. In mice, analysis of pharmacokinetics and biodistribution showed comparable results between ch14.18/CHO and ch14.18/SP2/0. Based on these results, ch14.18/CHO was accepted for prospective clinical evaluation.

### Introduction

Children with high-risk neuroblastoma diagnosed after 18 mo of age have a poor prognosis despite treatment with high-dose chemotherapy (HDT) and peripheral blood stem cell rescue (PBSCR) followed by differentiation therapy with isotretinoin.<sup>3</sup> Given the success of monoclonal antibodies (mAb) in cancer therapy,<sup>4</sup> passive immunotherapy targeting GD<sub>2</sub> on neuroblastoma cells provides a promising strategy to improve outcome.<sup>5,6</sup>

Disialoganglioside GD<sub>2</sub> is expressed at high density in neuroblastoma tumors with limited expression on normal tissue.<sup>7</sup> The effector functions of anti-GD<sub>2</sub> monoclonal antibodies (mAbs), including antibody-dependent cell-mediated cytotoxicity (ADCC), complement dependent cytotoxicity (CDC)<sup>8,9</sup>

and possibly the anti-idiotypic network,<sup>10,11</sup> support using passive immunotherapy in neuroblastoma. A variety of anti-GD<sub>2</sub> antibodies have been evaluated in the clinical setting, including ch14.18. Ch14.18 is a human/mouse chimeric antibody consisting of variable regions derived from the murine anti-GD<sub>2</sub> antibody 14G2a and constant regions from a human IgG1 molecule.<sup>6,12-16</sup>

The ch14.18 antibody generated in non-secreting murine myeloma cells SP2/0 contains murine retroviruses and is unavailable in Europe. Therefore, the International Society of Paediatric Oncology European Neuroblastoma Group (SIOOPEN) commissioned a Good Manufacturing Practice (GMP) production of ch14.18 antibody in cells of hamster origin (Chinese hamster ovary, CHO),<sup>1</sup> the most commonly used mammalian host for industrial production of recombinant protein therapeutics. One

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**Table 1.** Demographic data, treatments, response and outcome

Pt N	Country	Sex	Age (yrs)	Stage	1st Line Study	Sx	CTH	RT	HDT	2nd Line mIBG	Rel Treat	Ch 14.18/CHO start months after Dx	INSS Status prior ch 14.18/CHO	Dose level	No. courses received	Centrally reviewed mIBG response	FU
1	AT	f	8.5	4	HRNBL1	yes	yes	yes	yes	yes	n.d.	16	PR	10 mg	3	NE	DOD
2	IT	m	4.4	4	HRNBL1	yes	yes	yes	yes	yes	n.d.	28	PR	10 mg	2	NE	CR
3	IT	m	13.1	4	HRNBL1	no	yes	yes	yes	yes	n.d.	34	PR	10 mg	2	Progression	DOD
4	AT	f	6.6	4	HRNBL1	yes	yes	no	yes	no	n.d.	13	PR	20 mg	3	Progression	DOD
5	AT/FR	f	5.3	4	HRNBL1	yes	yes	yes	yes	yes	TVD	31	CR	20 mg	3	Continuous CR	DOD
6	IT	m	17.3	4	HRNBL1	no	yes	no	no	no	TVD	34	PR	20 mg	1	> 90% response	DOD
7	AT	f	13.8	4	HRNBL1	yes	yes	no	yes	no	n.d.	20	CR	30 mg	3	NE	CR
8	IT	f	10.8	4	HRNBL1	yes	yes	yes	yes	no	TVD	29	PR	30 mg	3	Stable*	DOD
9	AT	f	3.8	4	HRNBL1	yes	yes	yes	yes	no	n.d.	15	PR	30 mg	3	> 50% response	CR
10	IT	f	15.5	4	AIEOP NB 92	yes	yes	no	yes	no	TVD	131	PR	20 mg	2	Stable	DOD
11	AT	m	7.4	4	HRNBL1	yes	yes	yes	yes	no	TVD	32	PR	20 mg	3	NE	DOD
12	AT	f	5.8	4	HRNBL1	no	yes	no	yes	no	n.d.	8	CR	20 mg	3	Continuous CR	LTF
13	DE	f	7.5	4	NB97	yes	yes	yes	yes	yes*	TCE	26	PR	20 mg	3	NE	DOD
14	AT	m	15.3	2b	NBL 2000	yes	yes	no	no	no	mIBG-Topo	32	PR	20 mg	3	Progression	DOD
15	IT	m	5.9	3	HRNBL1	yes	yes	yes	yes	no	n.d.	10	PR	20 mg	2	NE	CR
16	IT	m	7.7	4	HRNBL1	yes	yes	no	yes	yes	TVD	8	PR	20 mg	2	NE	DOD
<b>Total</b>						<b>13</b>	<b>16</b>	<b>9</b>	<b>14</b>	<b>6</b>	<b>8</b>				<b>41</b>		

**Legend:** AT, Austria; IT, Italy; DE, Germany; FR, France; HRNBL1, High Risk Neuroblastoma 1 Study of the SIOPEN group; TVD, topotecan, vincristine, doxorubicin; TCE, topotecan cylophosphamide etoposide; NB97, German Pediatric Oncology-Hematology Society study trial for neuroblastoma 1997; AIEOP NB92, Associazione Italiana Ematologica Oncologica Pediatrica Neuroblastoma Study Protocol 1992; Yrs, years; Sx, surgery; CTH, chemotherapy; RT, radiotherapy; HDT, high dose therapy followed by stem cell rescue; 2nd Line mIBG, 2nd line meta-Iodobenzylguanidine treatment with and without Topotecan; Rel Treat, relapse treatment; No. courses received, number of courses received; FU, follow up; Total, total number of patients having received the respective treatment modality; m, male; f, female; NE, not evaluable; CR, Complete remission; VGPR, very good partial remission; PR, partial remission; SD, stable disease; PD, progressing disease; DOD, dead of disease; LTF, lost to follow-up; Cerebral metastases occurred during the third course in patients 1 and 11. Response: 2 of 7 patients reached improved disease status after immunotherapy. Median duration till start of ch14.18/CHO treatment: 27 mo (range, 8 to 131months). Mean interval was 38.9 mo/ \* Response was assessed after two courses.

of the advantages of selecting CHO cells for mAb expression is also a favorable glycosylation pattern that includes only minor amounts of the N-glycolylneuraminic acid (Neu5Gc) forms of sialic acid,<sup>17</sup> which circumvents rapid clearance by xeno-antibodies against Neu5Gc that develop in humans in early childhood.<sup>18</sup>

An identical protein sequence was assured because the plasmid used was the same employed to produce the mAb evaluated in earlier clinical trials. The production change helped to avoid murine xenotropic retrovirus contamination.<sup>19</sup> The European Medicines Agency (EMA) guidelines required a Phase 1 bridging study to assess the safety, pharmacokinetic and activity profiles of the recloned antibody ch14.18/CHO.<sup>20</sup>

Ch14.18/CHO was demonstrated to mediate ADCC and CDC and to suppress experimental liver metastasis in a preclinical neuroblastoma model as effectively as ch14.18 controls.<sup>1</sup> We report here the results of pharmacokinetic and biodistribution analysis in mice and the Phase 1 bridging study in neuroblastoma patients.

## Results

**Patient characteristics.** Three European centers enrolled a total of 16 patients (Table 1), nine of whom were females. At initial diagnosis, 14 patients had stage 4, one stage 2b and one stage 3 disease. Thirteen patients had measurable disease at study entry.

**Table 2.** Summary of toxicities (days 0–4) according to CTC 2 over all courses

Symptom	Toxicity per course		Toxicity according to dose level/courses			Toxicity per patient	
	Toxicity/ total courses	Percent courses with toxicity (all)	Dose level 1 10 mg/m <sup>2</sup>	Dose level 2 20 mg/m <sup>2</sup>	Dose level 3 30 mg/m <sup>2</sup>	Toxicity/ total patients	Percent patients with toxicity
Fever	31/41	76%	5/7	17/25	9/9	15/16	94%
Elevated C-reactive proteins	20/41	49%	2/7	12/25	6/9	8/16	50%
Acute Allergic Reaction	18/41	44%	0/7	12/25	6/9	7/16	44%
Symptoms of serum sickness	0/41	0%	0/7	0/25	0/9	0/16	0%
Ocular symptoms	0/41	0%	0/7	0/25	0/9	0/16	0%
Hypertension	0/40	0%	0/7	0/24	0/9	0/16	0%
Pain despite analgesic therapy	12/41	29%	1/7	8/25	3/9	10/16	63%
Urine retention	14/41	34%	1/7	10/25	3/9	8/16	50%
Constipation	21/41	51%	2/7	14/25	5/9	8/16	50%
Diarrhea	3/41	7%	0/7	1/25	2/9	3/16	19%
Nausea or Vomiting	14/41	34%	1/7	9/25	4/9	7/16	44%
Bilirubin	22/41	54%	3/7	13/25	6/9	10/16	63%
SGOT/SGPT	26/41	63%	4/7	16/25	6/9	14/16	88%
Renal toxicity	0/40	0%	0/7	0/24	0/9	0/16	0%
Leukopenia	25/41	61%	4/7	21/25	6/9	14/16	88%
Thrombopenia	17/41	42%	2/7	11/25	4/9	10/16	44%
Anaemia	36/41	88%	6/7	22/25	8/9	14/16	63%
Neutropenia	29/41	71%	5/7	17/25	7/9	15/16	94%
Infection	8/41	20%	2/7	4/25	2/9	6/16	38%

**Legend:** Toxicities listed are for grades 1–4.

Prior therapies included chemotherapy (16 patients), surgery (13 patients), radiotherapy (9 patients) and high-dose therapy (HDT) followed by peripheral blood stem cell rescue (PBSCR; 14 patients); six received meta-iodo-benzyl-guanidine (mIBG) therapy preceding HDT.

The median time from diagnosis to ch14.18/CHO therapy was 27 mo (range 8–131 mo). At study entry, the median age was 7.6 y (range 3.8–17.3 y) and performance scores were  $\geq 90$  (Lansky or Karnofsky). The median follow-up is 39 mo.

**Number of courses, dose level and toxicity.** Forty-one courses (10  $\times$  3 courses, 5  $\times$  2 courses, 1  $\times$  1 course) were administered; Patients received ch14.18/CHO courses of 10, 20 or 30 mg/m<sup>2</sup>/day, i.e., dose levels 1, 2 and 3 respectively, as an eight-hour infusion over five consecutive days. Sixteen patients completed the first course (level 1, 2 and 3 with 3, 10 and 3 patients each), 15 patients the second course (level 1, 2 and 3 with 3, 9 and 3 patients each) and 10 had a third course (level 1, 2 and 3 with 1, 6 and 3 patients each).

Toxicity was evaluable and as anticipated in all patients; details are listed in Table 2. Dose level 3 had a higher rate of fever, CRP and acute allergic reactions. Therefore, dose level 2 was chosen for the confirmatory phase.

Fever and pain were less severe in subsequent courses (Fig. 1A). The supportive care guidelines allowed all patients to continue on the dose level and course (Fig. 1B and C). There were no treatment-related deaths, and all toxicities were reversible

and similar to those reported for ch14.18/SP2/0.<sup>6,16</sup> No DLT occurred.

**Outcome observations.** Seven patients were evaluable for central review of mIBG response. Two of seven patients had improved disease by mIBG response (Table 1). A combination effect with 13-cis retinoid acid cannot be ruled out.

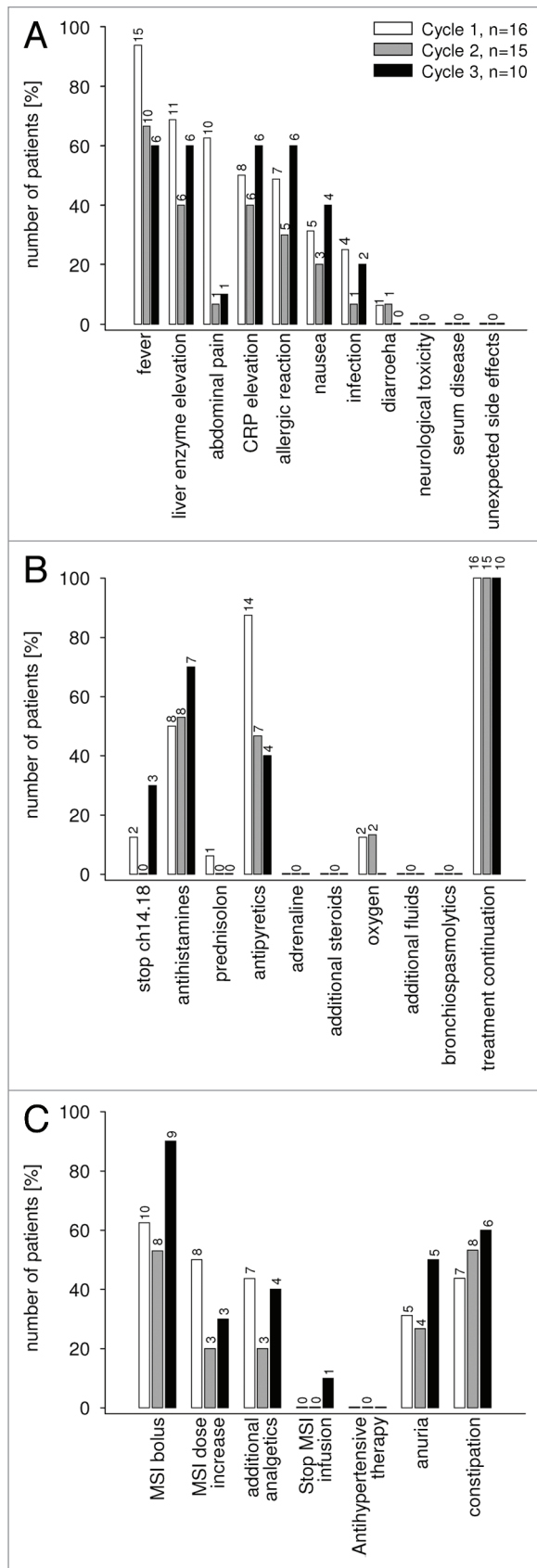
Eleven of 16 patients have died. All deaths were disease-related (Table 1). There were no deaths reported while on protocol therapy or within one month following completion of protocol therapy.

**Pharmacokinetics of ch14.18/CHO in patients.** Thirty-two courses in 14 neuroblastoma patients were evaluable for pharmacokinetic analyses. T<sub>max</sub>, C<sub>max</sub>, t<sub>1/2</sub>, AUC and V<sub>dis</sub> were calculated (Table 3).

The peak serum concentrations in patients receiving 20 mg/m<sup>2</sup> ch14.18/CHO (n = 8) ranged from 7.4–26.6  $\mu$ g/ml and the mean during the first course was  $16.5 \pm 5.9$   $\mu$ g/ml (Table 4).

The half-life was measured at two periods within each course. The first period was from the first ch14.18/CHO infusion until the second ch14.18/CHO infusion (24 h). The second period was from the last ch14.18/CHO infusion (day 4) until the end of the course (day 28). Only antibody levels from the second period were used for half-life determinations.

A comparison of half-lives between courses indicated that there was a tendency toward accelerated half-lives in subsequent courses (Table 3). Therefore, to compare half-lives of ch14.18/



**Figure 1.** Antibody ch14.18/CHO therapy associated clinical symptoms, laboratory parameters frequency of co-medication and pain management. All 16 patients were evaluated for ch14.18/CHO associated side effects including clinical symptoms and laboratory changes (A), the use of supportive care medication (B) and pain management including pain management related side effects (C). The data are given in percentage of patients separated for each course (course 1: white bar, course 2: gray bar, course 3: black bar). The absolute number of patients is additionally provided for each column.

CHO with ch14.18/SP2/0, only the first courses were used (Table 4).

The analysis of ch14.18/CHO pharmacokinetics revealed a one-compartment model with  $t_{1/2}$  of  $76.9 \text{ h} \pm 52.5 \text{ h}$  for ch14.18/CHO, which is comparable to  $t_{1/2}$  of ch14.18/SP2/0 at  $66.6 \text{ h} \pm 27.4 \text{ h}$  (Table 4).

**Induction of anti-chimeric antibodies and anti-idiotypic antibodies.** Sera from 14 patients were screened for the development of HACA against ch14.18 or antibodies directed specifically to the antigen binding variable regions of ch14.18 (anti-idiotypic antibodies) by ELISA.<sup>21</sup> Three patients developed HACA. The three HACA positive patients also tested positive for anti-idiotypic antibodies.

**Clearance and biodistribution of ch14.18/CHO in mice.** The clearance and biodistribution of <sup>125</sup>I-labeled ch14.18/CHO were analyzed in Balb/c and A/J mice and the results were compared with those obtained with ch14.18/SP2/0, ch14.18-IL-2, 14G2a and rituximab as controls (Fig. 2A). The clearance of ch14.18/CHO in the blood of Balb/c mice was comparable to that of ch14.18/SP2/0 (Fig. 2A). In mice, the AUC observed with ch14.18/CHO was 18% lower than with ch14.18/SP2/0 (ch14.18/CHO:  $1524566.8 \pm 108471 \text{ CPM} \cdot \text{h} / 10 \mu\text{l}$ , ch14.18/SP2/0:  $1844177.8 \pm 201916 \text{ CPM} \cdot \text{h} / 10 \mu\text{l}$ ). Thus, in Balb/c mice, exposure to ch14.18/CHO was somewhat lower than ch14.18/SP2/0 at equivalent dosing, possibly related to known differences in the glycosylation pattern of proteins manufactured in CHO and SP2/0 cells.

The analysis of the biodistribution of <sup>125</sup>I-labeled ch14.18/CHO revealed targeting to a GD<sub>2</sub>-positive primary neuroblastoma tumor in vivo. This is indicated by a 2-fold higher activity in the tumor tissue compared with rituximab used as a negative control (Fig. 2B). There was no difference in radioactive signals in neuroblastoma tissue of tumor-bearing mice injected with <sup>125</sup>I-ch14.18/CHO or <sup>125</sup>I-ch14.18/SP2/0, indicating identical GD<sub>2</sub> targeting activity of the two products in vivo. Overall, the targeting of GD<sub>2</sub> in tumor tissue observed here comparing both versions of this low- to intermediate-affinity antibody explains the low absolute percentage of injected dose localizing to the tumor. The biodistribution in the tumor and in all other organs investigated, however, revealed no differences to results previously reported.<sup>22</sup>

## Discussion

Antibodies directed against disialoganglioside GD<sub>2</sub> emerged as an important therapeutic option for the treatment of neuroblastoma, and their continued development has been supported by encouraging response rates observed in clinical trials.<sup>5,12,13,16,23</sup>

**Table 3.** Pharmacokinetics and HACA response of ch14.18/CHO treated neuroblastoma patients

Patient ID	Course	Dosage (mg/m <sup>2</sup> /d)	Tmax (h)	Cmax (μg/ml)	T 1/2 (h)	AUC (μg/ml*h)	V dis	HACA*	OD† (450nm)
1	1	10	104.0	10.7	50.0	1483.4	2.5	–	< 0.1
	2	10	106.0	7.6	1.3	362.4	0.2	+	0.46
	3	10	n.a.	n.a.	n.a.	n.a.	n.a.	+	1.61
2	1	10	104.5	9.6	5.1	360.9	0.9	–	< 0.1
	2	10	104.0	2.5	208.5	91.8	121	–	< 0.1
3	1	10	105.0	9.5	45.6	1236.6	2.8	+	0.26
	2	10	104.5	9.0	10.1	350.1	2.6	+	1.51
4	1	20	112.0	26.6	n.a.	1874.8	n.a.	–	< 0.1
5	1	20	104.0	16.9	121.7	4242.2	4.0	–	< 0.1
	2	20	10.0	23.0	140.1	6600.1	2.9	–	< 0.1
6	1	20	108.0	15.0	102.5	2979.9	4.8	–	< 0.1
	2	20	104.0	19.7	55.6	2698.5	2.9	–	< 0.1
7	1	20	106.0	13.6	196.5	2579.2	9.6	–	< 0.1
	2	20	104.5	14.2	203.6	1998.5	9.0	–	< 0.1
	3	20	104.0	12.9	51.6	1399.4	5.3	–	< 0.1
8	1	20	10.0	7.4	75.5	1287.1	8.3	–	< 0.1
	2	20	104.0	14.4	38.1	1628.6	3.6	–	< 0.1
9	1	20	8.5	21.3	40.0	2145.7	2.3	–	< 0.1
	2	20	104.0	12.8	23.7	1163.0	2.7	–	< 0.1
10	1	20	104.5	19.8	25.6	1972.2	2.3	–	< 0.1
	2	20	104.0	9.7	4.9	182.7	5.8	+	0.14
	3	20	104.0	9.7	4.5	259.8	3.4	+	1.28
11	1	20	96.5	11.6	135.7	4293.3	4.2	–	< 0.1
	2	20	104.0	5.6	n.a.	46.5	n.a.	–	< 0.1
12	1	30	105.0	17.0	33.0	1302.2	3.6	–	< 0.1
	2	30	104.0	18.3	18.6	1356.0	2.3	–	< 0.1
	3	30	104.0	6.3	119.8	2163.9	11.7	–	< 0.1
13	1	30	106.0	22.8	66.1	3375.3	4.2	–	< 0.1
	2	30	104.5	17.0	27.7	1623.1	3.7	–	< 0.1
	3	30	104.0	27.9	19.7	5801	3.1	–	< 0.1
14	1	30	104.0	27.4	30.1	3527.9	1.9	–	< 0.1
	2	30	104.0	24.4	21.6	2260.4	2.2	–	< 0.1
	3	30	106.0	22.6	24.3	1772.7	2.7	–	< 0.1

Legend: \* positive (+) human anti-chimeric response corresponds with an OD 450 reading of > 0.1. † OD 450 values were given in HACA positive patients in subsequent courses. n.a. not available.

Treatment of high-risk neuroblastoma patients with ch14.18/SP2/0 during maintenance has been investigated in two large clinical trials. Simon and colleagues conducted a nonrandomized retrospective study using ch14.18/SP2/0. Univariate analysis suggested a superior 3-y overall survival (OS) for patients treated with immunotherapy ( $p = 0.018$ ).<sup>16</sup> An updated analysis with a median follow-up of 10.3 y (range, 2.3 to 17.7) indicated that ch14.18/SP2/0 may prevent late relapses.<sup>24</sup> The Children's Oncology Group conducted a Phase 3 randomized trial using ch14.18/SP2/0 combined with aldesleukin

(IL-2) and granulocyte-macrophage colony-stimulating factor (GM-CSF). A 20% increase in event-free survival after two years was reported ( $p = 0.01$ ).<sup>6</sup>

Considering the early clinical data of ch14.18/SP2/0, SIOPEN aimed to make a stable, reliable and widely-used manufacturing process of ch14.18 using CHO cells available for European research studies. The recloned ch14.18/CHO antibody was characterized in vitro; preclinical models found ch14.18/CHO equally effective in mediating CDC, ADCC and anti-tumor efficacy in mice compared with ch14.18/SP2/0.<sup>1</sup>

**Table 4.** Peak Serum levels ( $C_{max}$ ) and half-life ( $t_{1/2}$ ) of ch14.18/CHO in patients

ch14.18/CHO: $C_{max}$ (1st courses only) *				ch14.18/SP2/0(11): $C_{max}$ *			
Dose level (mg/m <sup>2</sup> /d)	Range (μg/ml)	Mean § (μg/ml)	n	Dose level (mg/m <sup>2</sup> /d)	Range (μg/ml)	Mean § (μg/ml)	n
10	9.5 – 10.7	9.9 ± 0.6	3	10	2.4–15.6	9.5 ± 6.0	5
20	7.4 – 26.6	16.5 ± 5.9	8	20	12.3–23.7	19.3 ± 6.0	3
30	17.0 – 27.4	22.4 ± 5.1	3	50	16.6–57.0	34.8 ± 20.5	3
ch14.18/ CHO: $t_{1/2}$ †				ch14.18/ SP2/0 <sup>(11)</sup> : $t_{1/2}$ †			
Dose level (mg/m <sup>2</sup> /d)	Range (h)	Mean § (h)	n	Dose level (mg/m <sup>2</sup> /d)	Range (h)	Mean § (h)	n
10–30	25.6 – 196.6	76.9 ± 52.5	12	10–50	29.1 – 110.8	66.6 ± 27.4	9
1st course ch14.18/ CHO: $t_{1/2}$				2nd course ch14.18/ CHO: $t_{1/2}$			
Dose level (mg/m <sup>2</sup> /d)	Range (h)	Mean § (h)	n	Dose level (mg/m <sup>2</sup> /d)	Range (h)	Mean § (h)	n
20	25.6 – 196.6	99.7 ± 58.8	7	20	23 – 203.6	92.1 ± 77.0	5
30	30.2 – 66.2	43.1 ± 20.0	3	30	18.7 – 27.8	22.7 ± 4.6	3

Legend: \*  $C_{max}$  (μg/ml) and †  $t_{1/2}$  (h) in patients treated with ch14.18/CHO are compared with results reported for ch14.18/SP2/0.<sup>(11)</sup> § Data represent mean values ± standard deviation.

We demonstrate for the first time that the safety profile of ch14.18/CHO is comparable to ch14.18/SP2/0 in humans. Anticipated toxicities specifically related to ch14.18/CHO included neuropathic pain due to GD<sub>2</sub> binding to nerve fibers;<sup>7,25,26</sup> this was well-controlled with prophylactic intravenous morphine. Other anticipated adverse reactions are fever and chills related to antibody-mediated cytokine release. Dose level 2, which reached peak levels and mAb half-lives as previously reported for ch14.18/SP2/0, was preferred over dose level 3 due to a more favorable toxicity profile in patients.<sup>2</sup>

The comparable safety profile is supported by pharmacokinetic data in patients and mice. The peak serum concentrations in patients treated with 20 mg/m<sup>2</sup> ch14.18/CHO were similar to ch14.18/SP2/0 (Table 4). The faster clearance of ch14.18/CHO observed in mice did not translate into measurable differences in patients (Table 4).

A pharmacodynamic effect of human/mouse chimeric antibodies in patients is the development of HACA responses.<sup>27,28</sup> In our cohort, we observed the induction of HACA and anti-idiotypic antibodies in 3/14 patients. The contribution of the anti-idiotypic network (i.e., anti-anti-Id responses to the anti-neuroblastoma effect of ch14.18/CHO) is not yet clear. Therefore, in future clinical trials monitoring of HACA and anti-Id responses is an important secondary endpoint. We observed objective responses in 2/7 patients. This indicates ch14.18/CHO is an active immunotherapeutic agent against neuroblastoma without alteration of the safety and pharmacokinetic profiles compared with other preparations. These findings provide an important rationale for further study of ch14.18/CHO-based immunotherapies in patients with high-risk neuroblastoma.

## Materials and Methods

**Patient eligibility.** Patients with biopsy-proven neuroblastoma (> 1 y) with refractory or recurrent disease were eligible. Patients after first-line therapy had to have evaluable disease. Following second-line chemotherapies, patients were eligible without evidence of disease; treatment had to be discontinued three weeks prior to study entry. Patients with progression or previous antibody treatments were excluded. Treatment with isotretinoin, growth factor or other immunomodulatory therapy needed to be completed at least 7 d before study entry. A performance score above 70% and a life expectancy of at least 12 weeks were required. Participating institutions obtained ethics approval and written informed consent was given by patients or their parents or legal guardians.

**Study design.** This Phase 1 clinical trial (EudraCT Number: 2005–001267–63) was designed as an open-label, non-randomized study in order to assess the safety, efficacy and pharmacokinetics of ch14.18/CHO in three dose levels (levels 1, 2 and 3 using 10, 20 and 30 mg/m<sup>2</sup>/day respectively). One treatment cycle was planned. Patients were allowed to receive up to another two cycles provided they were progression-free.

The established dose for ch14.18/SP2/0 was 20 mg/m<sup>2</sup>/day as an eight-hour infusion over five consecutive days.<sup>12</sup> A dose escalation design, based on Phase 1 rules, was used. In case the production change caused unexpected toxicities, a starting dose of 10 mg/m<sup>2</sup>/day was chosen. A maximum of three courses at 4–6 week intervals were allowed.

**The ch14.18/CHO antibody.** Recloning of ch14.18 antibody in CHO cells and GMP manufacturing of ch14.18/CHO was accomplished by Polymun. The recombinant ch14.18/CHO mAb is supplied as a final product in vials containing 20 mg (4.6 mg/ml in 10 mM sodium phosphate buffered saline, pH 7.0–7.4).

During the filling by Sanochemia Pharmazeutika AG, the bulk purified product was filtered (0.2  $\mu\text{m}$  Sartobran 300 sterile filter) prior to release testing and storage ( $5 \pm 3^\circ\text{C}$ ).

**Treatment schedule.** Ch14.18/CHO was administered daily as an eight-hour infusion over five consecutive days (days 0–4) in normal saline (0.25% human albumin). Adverse events and toxicities were graded using the National Cancer Institute Common Toxicity Criteria version 2.0. Dose-limiting toxicity (DLT) was defined as any unexpected grade 3 or 4 toxicity. Expected toxicities were: grade 3 pain requiring intravenous narcotics, fever lasting < 6 h and controllable with antipyretics, hypotension resolving within 48 h after resolution of capillary leak, allergic reactions controlled with non-steroidal treatments and hematologic, renal, hepatic or metabolic abnormalities reversing within 48 h.

For a DLT, the ch14.18/CHO infusion was to be discontinued and restarted at 50% once resolved. Patients with DLT not resolving to grade  $\leq 2$  within two-weeks were taken off-study. Patients with stabilization or regression of disease (partial or complete clinical response) and recovery of toxicities (grade  $\leq 1$ ) were eligible to continue on study.

Patients with progressive disease ( $\geq 20\%$  increase, or the appearance of new lesions) were taken off-study.

**Clinical assessment.** Clinical assessment included ultrasounds, CT scans, MRIs and MIBG scintigraphy two weeks prior to treatment start and after the final course. MIBG response was evaluated by central review.<sup>29</sup> Patients had their prior bone marrow (BM) assessed for GD<sub>2</sub>/cD56-positive cells before and after treatment. Complete blood counts, blood chemistry and human anti-chimeric antibodies (HACA) were assessed to ensure eligibility.

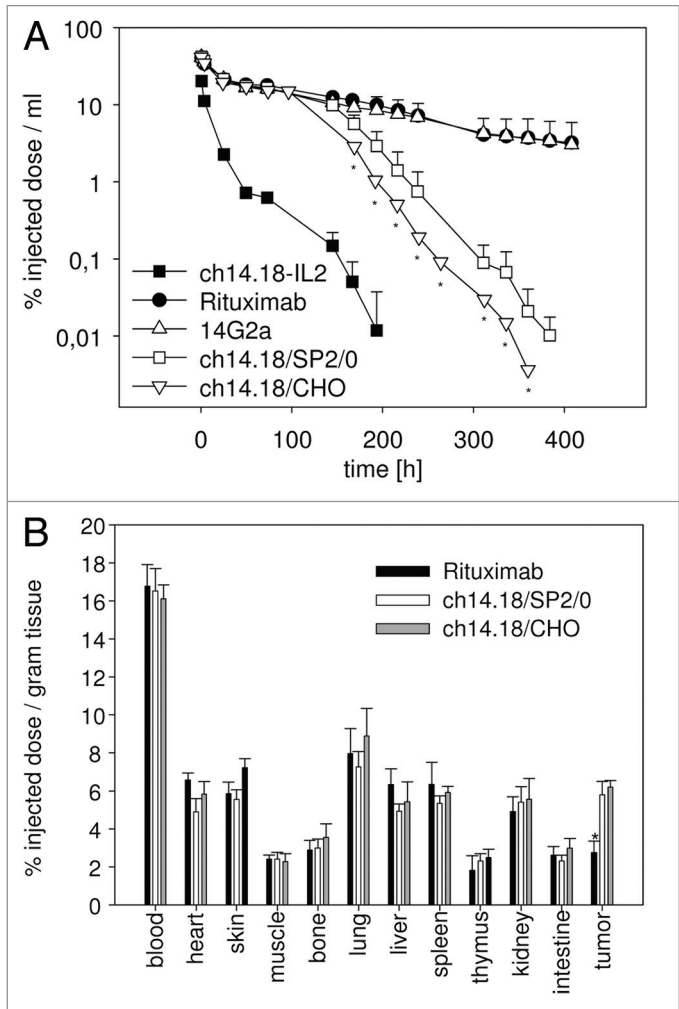
Between courses, tumor markers (LDH, NSE), urinary catecholamines and ultrasound were used to check for progression.

**Supportive care.** Supportive care included pain prophylaxis; morphine hydrochloride 0.5–1.0 mg/kg bolus just before starting the ch14.18/CHO infusion followed by a 0.05 mg/kg/hour continuous infusion. Immunosuppressive agents (e.g., glucocorticoids) were only allowed for treating life-threatening symptoms. Anti-inflammatory drugs (e.g., metamizol, paracetamol, ibuprofen or indomethacin) were permitted. Pruritus and urticaria were treated with oral or intravenous diphenhydramine 0.5–1.0 mg/kg. Hypotension was treated with a 20 ml/kg normal saline fluid challenge.

**Clinical laboratory monitoring.** Clinical, hematology and chemistry evaluations were done by standard methodology. Blood samples were obtained prior to ch14.18/CHO administration at designated days.

**Immunological monitoring.** Serum samples for HACA responses were obtained before ch14.18/CHO treatment and days 2, 7, 14, 21 and 28 of each course. Once drawn, the serum was placed on ice and kept refrigerated until freezing at  $-20^\circ\text{C}$ .

**Determination of ch14.18/CHO pharmacokinetics in patients.** To determine pharmacokinetic parameters, serum samples were taken at 24 time points per course. Sampling points were pre-treatment (day < 0), day 0 (start), days 1, 2, 3, 4, 7, 14, 21 and 28. On days 0 and 4, seven additional samples were taken; 1 h after the infusion start, end of the infusion and 0.5 h, 1 h, 2 h, 4 h and 8 h after the end of infusion. Serum samples were stored at  $-80^\circ\text{C}$ .



**Figure 2.** Clearance and biodistribution of ch14.18/CHO in mice. <sup>125</sup>I-labeled ch14.18/CHO antibody (25  $\mu\text{g}$ ;  $5 \times 10^6$  cpm) was injected into groups ( $n = 5$ ) of Balb/c mice and neuroblastoma bearing A/J mice (average tumor weight  $53 \pm 12$  mg) and clearance (A) as well as biodistribution (B) was analyzed and compared with indicated control antibodies. (A) The clearance of <sup>125</sup>I-ch14.18/CHO from the blood of Balb/c mice was analyzed at indicated time points and compared with <sup>125</sup>I-ch14.18/SP2/0, <sup>125</sup>I-ch14.18-IL2, <sup>125</sup>I-14G2a and <sup>125</sup>I-rituximab are shown as controls. Blood levels of antibodies investigated are given as percent injected dose per milliliter of blood. Each value represents mean and standard deviation ( $n = 5$ ). The  $t_{1/2\alpha}$  and  $t_{1/2\beta}$  values were  $25.0 \text{ h} \pm 1.9 \text{ h}$  and  $134.6 \text{ h} \pm 29.9 \text{ h}$  for ch14.18 SP2/0 and  $22.7 \text{ h} \pm 1.8 \text{ h}$  and  $136.2 \text{ h} \pm 12.2 \text{ h}$  for ch14.18/CHO ( $p > 0.05$ ). The rapid clearance of ch14.18-IL2 (human-mouse chimeric antibody interleukin-2 fusion protein) and the long half-life of 14G2 (murine IgG2a) and rituximab compared with ch14.18 (human-mouse chimeric antibody) are shown as controls. The difference between mice treated with ch14.18/CHO compared with controls was statistically significant ( $*p < 0.01$ ). (B) Organ specific activity was detected 8 h after injection of <sup>125</sup>I-ch14.18/CHO, <sup>125</sup>I-ch14.18/SP2/0 and <sup>125</sup>I-rituximab into neuroblastoma bearing A/J mice. Organ and tumor specific activity is given in percent of injected dose per gram of tissue. Each value represents the mean and standard deviation for five tumor bearing mice ( $*p < 0.01$ ).

Pharmacokinetic analysis included time of maximal concentration (T<sub>max</sub>), maximal concentration (C<sub>max</sub>), half-life (t<sub>1/2</sub>), area under the curve (AUC) and volume of distribution (V<sub>d</sub>is).

The serum concentration of ch14.18 was determined by enzyme linked immunosorbent assay (ELISA) using ganglidiomab as a capture reagent antibody, which is specific for the ch14.18/CHO idiotype.<sup>30</sup>

A standard curve in pooled human serum was used for the calculation of concentrations. Measurement of serum samples was performed in duplicate.

**Clearance and biodistribution of ch14.18/CHO in mice.** Female Balb/c mice and A/J mice (6–8 weeks old) were obtained from Harlan Sprague Dawley, Sulzfeld, Germany. All animal experiments were performed according to the German guide for the care and use of laboratory animals (“Tierschutzgesetz”). Antibodies (ch14.18/CHO, ch14.18/SP2/0, 14G2a, rituximab) were iodinated with <sup>125</sup>I using the iodogen method.<sup>22</sup> Primary tumors were induced by subcutaneous (s.c.) injection of 2 × 10<sup>6</sup> NXS2 cells eight days before biodistribution analysis. Groups of mice (n = 5) were each injected with 25 μg of ch14.18 antibody (5 × 10<sup>6</sup> cpm). Clearance was determined in blood samples (10 μl) taken by serial retro-orbital eye bleeds. Biodistribution of iodinated ch14.18/CHO was analyzed eight hours after injection.<sup>22</sup> Tumors (average weight 53 ± 12 mg) and major organs were removed, weighed and analyzed in a gamma counter.

**Data analysis and statistics.** To analyze the pharmacokinetic data, ch14.18/CHO serum concentrations were analyzed with the WINNONLIN NONPARAMETRICAL ANALYSIS PROGRAM, Version 5.2 (Pharsight, St. Louis, MO, USA). Pharmacokinetic variables were summarized using descriptive statistics on ch14.18/CHO serum levels obtained before and after the ch14.18/CHO infusions of each course. The AUC from time 0 to 24 h was calculated with the trapezoidal method with uniform weighting.

The statistical significance of differential findings of pharmacokinetic data in mice and patients was determined by two-tailed

Student’s t-test. Findings were regarded as significant if the two-tailed p-values were < 0.05.

#### Disclosure of Potential Conflicts of Interest

No potential conflict of interests were disclosed.

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