

PROCEEDINGS

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Transcript features alone enable accurate prediction and understanding of gene expression in *S. cerevisiae*

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Abstract

Background: Gene expression is a central process in all living organisms. Central questions in the field are related to the way the expression levels of genes are encoded in the transcripts and affect their evolution, and the potential to predict expression levels solely by transcript features. In this study we analyze *S. cerevisiae*, a model organism with the most abundant relevant cellular and genomic measurements, to evaluate the accuracy in which expression levels can be predicted by different parts of the transcript. To this end, we perform various types of regression analyses based on a total of 5323 features of the transcript. The main advantage of the proposed predictors over previous ones is related to the accurate and comprehensive definitions of the relevant transcript features, which are based on biophysical knowledge of the gene transcription and translation processes, their modeling and evolution.

Results: Cross validation analyses of our predictors demonstrate that they achieve a correlation of 0.68/0.68/0.70/0.61/0.81 with mRNA levels, ribosomal density, protein levels, proteins per mRNA molecule (PPR), and ribosomal load (RL) respectively (all p-values $<10^{-140}$). When we consider predictors that are based exclusively on the features related to different parts of the transcript (5'UTR, ORF, 3'UTR), the correlations with protein levels were 0.27/0.71/0.25 (all p-values $<10^{-5}$), suggesting that the information in the UTRs is redundant, and features of the ORF alone yield similar predictions to the ones obtained based on the entire transcript.

Conclusions: The reported results demonstrate that in the analyzed model organism the expression levels of a gene are encoded in the transcript. Specifically, the prediction of a large fraction of the variance of the different gene expression steps based on transcript features alone is feasible in *S. cerevisiae*. We report dozens of novel transcript features related to expression levels predictions, demonstrating how such analyses can aid in understanding the gene expression process and its evolution, and how such predictors can be designed for other organisms in the future.

Background

Gene expression is a fundamental cellular process by which proteins are synthesized based on the information coded in the genes. Understanding gene expression, and specifically how this process is encoded in the coding

regions and UTRs and thus affects transcript evolution, has been the topic of dozens of papers in recent years [1-5]. The two major steps of gene expression are the transcription of the gene to mRNA molecules and the translation of mRNA molecules to proteins by the ribosome [6]. The protein abundance of a gene is related to its transcription rate/mRNA levels, its translation rate, and the degradation rate of the corresponding mRNA molecules and proteins. Specifically, if we assume constant

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mRNA levels, the translation rate should have a positive effect on the protein abundance, while the degradation rate should have a negative effect [7]. Expressly, it was suggested that protein abundance is correlated with adaptation to the tRNA pool [8], mRNA folding at the beginning of the ORF [9], ORF length [10], GC content [11], and various ancillary features of the 5'UTR [1]. In addition, it was found that highly expressed genes tend to evolve at a slower rate [12], and to have more protein-protein interactions [13].

In most of the biomedical studies, the protein levels of a gene are a far more important variable than its mRNA levels. However, today it is relatively easy to measure mRNA levels of genes [14], while for technical reasons the technologies for performing large scale measurements of protein abundance lag behind. For example, the GEO database includes hundreds of thousands of large scale measurements of mRNA levels, whilst there are only a few such large scale measurements of protein abundance [1,15-17]. Therefore, researchers from various fields are forced to use mRNA levels, the rather rough proxy of protein abundance, instead of the protein abundance itself. Thus, in recent years most of the studies in the field are aimed at predicting gene protein levels as opposed to mRNA levels. Concurrently, technologies to measure translation of mRNAs into proteins are now rapidly emerging, transforming our understanding of the proteome [1,9,15,17-31].

Previous studies aimed at predicting gene protein and mRNA levels are based on two major approaches, the machine learning approach and the biophysical approach. The biophysical approach is usually based on predictive simulations that are inspired by biophysical understanding of the studied processes. The machine learning approach, on the other hand, is based on statistical predictive inference of relations between sequence features and gene expression aspects, and it does not necessarily require prior knowledge of the biophysical gene expression mechanisms.

Specifically, the first and more traditional machine learning approach includes, for example, codon composition features such as the Codon Adaptation Index (CAI, [32]), which is a simple effective measure of synonymous codon usage bias. The index uses a reference set of highly expressed genes from a species to assess the relative merits of each codon, and a score for a gene is calculated from the frequency of use of all codons in that gene. The index assesses the extent to which selection has been effective in modulating the pattern of codon usage. Other 'non biophysical' approaches include regressors and various machine learning techniques that are based on a combination of transcript sequence features and various large scale measurements related to gene expression [1,3,33].

The biophysical approach is based on physical understanding of the gene expression process, and includes computational biophysical models aimed at *simulating* the translation process and other stages of gene expression. Though theoretical physical models and simulations related to translation have been suggested over thirty years ago [34,35], only recently have such approaches been implemented on real large-scale genomic data. Biophysical models aim at considering the dynamics and physical nature of the process. The most basic features are the flow of ribosomes and the interactions between them [7,36-38]. These features can be modeled in a deterministic [38], or stochastic manner [7] in which the translation time of each codon is a random variable (*e.g.* with exponential distribution).

In this study we implemented, for the first time, a combined approach which employs the machine learning approach atop the biophysical one; in addition to the regular transcript features, various features and predictions that are outputs of the biophysical models are exploited and analyzed. We demonstrate the advantages of this approach over the previous ones.

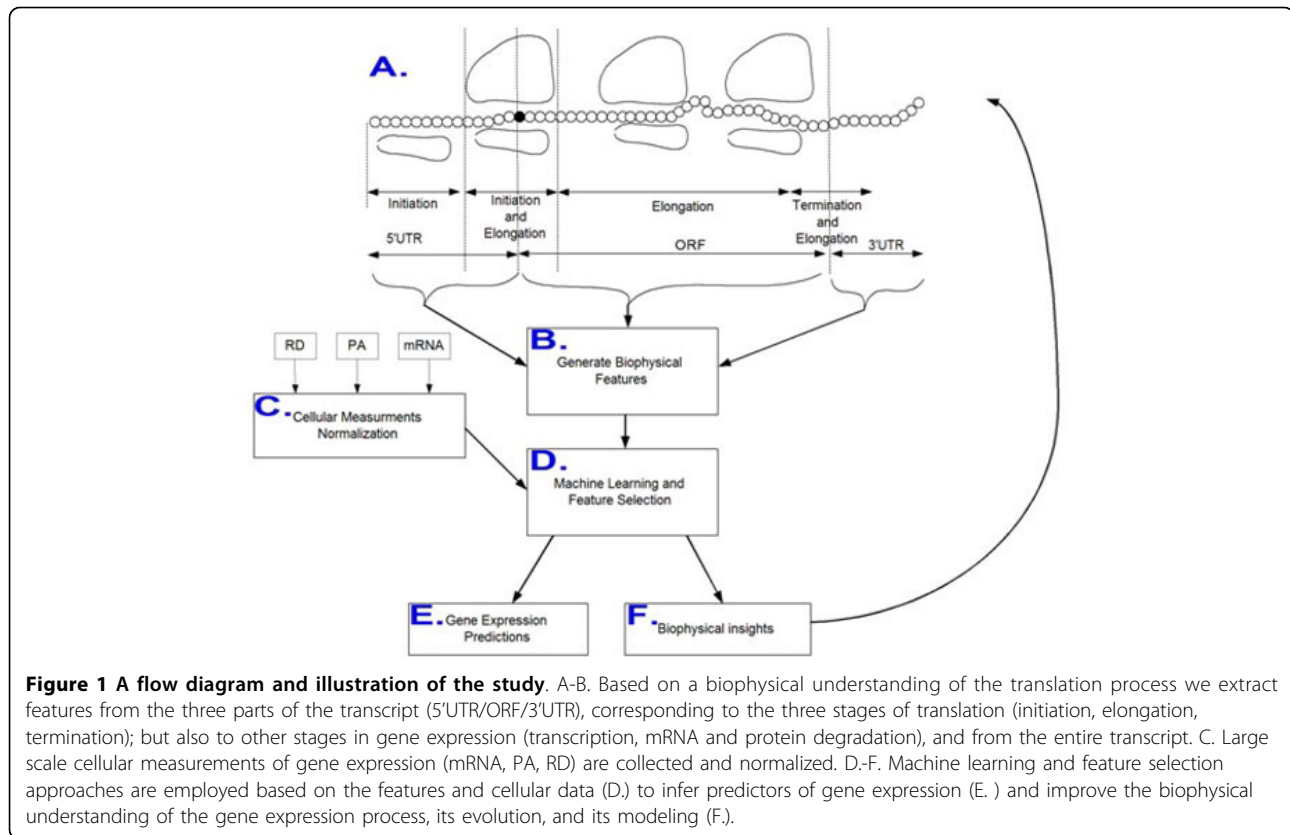
The major aims of this study are as follows (Figure 1): 1) Design accurate predictors of the protein levels, mRNA levels, proteins per mRNA molecule (PPR, see Additional file 1: Supplementary Methods), ribosomal load (RL, see Additional file 1: Supplementary Methods), and ribosomal densities of *S. cerevisiae* endogenous genes based *only* on features of its transcripts. 2) Report and understand the features with the highest contribution to these predictors. 3) Compare the contribution of the features in different parts of the transcript (5'UTR/ORF/3'UTR) to the expression levels of the gene, via the quality of the predictors based on each of these sets of features separately. 4) All the predictors inferred here are based *solely* on features of the transcript; in the strictest manner we ensured that no transcript feature is directly or indirectly based on gene expression measurements; this enables us to infer relations between properties of the transcripts and their expression aspects.

Methods

All the details of the Methods appear in the Additional file 1 (Supplementary Methods).

Results

To understand the effect of transcript features shaped by evolution on different stages of gene expression, we compare the contribution of each part of the transcript to protein abundance (PA), ribosomal density, mRNA levels, proteins per mRNA molecule (PPR) and the ribosomal load (RL), by building regression predictors for each segment, and for all the segments together.



Focusing on the model organism *S. cerevisiae*, that has relatively ample and diverse large scale genome-wide data.

The evolutionary systems biology approach suggested in this study is novel for four main reasons. First, we generate for the first time a very large number of 5,323 transcript features related to computational biophysical modeling of the gene expression process; many of these features have been suggested and studied for the first time. Second, we propose and analyze here, for the first time, a combination of features related to the biophysical aspects of gene translation (and other stages of gene expression) via a machine learning approach. Third, we demonstrate how our approach can help to better predict variables related to gene expression, to rank different features, and to improve the understanding of the biophysics and evolution of gene expression. Finally, as aforementioned all the transcript features analyzed here are not based directly or indirectly on gene expression measurements, enabling accurate estimation of the fraction of gene expression variance that can be explained by the transcript, and the way it was shaped by evolution.

An illustration of the approach appears in Figure 1.

Exploiting 5323 transcriptional features

The long list of features we extracted and analyzed, followed with explanations of their rationale appear in the

Additional files 1, 2, 3. Briefly, we took into account amongst other features of the transcript, the lengths of the different segments, the ratios between the lengths of the UTRs and coding sequences and UTRs, number of ATGs, GC content, the predicted (MATLAB rnafold) and measured (PARS, [24]) folding energy in different parts of the transcript (Additional file 1: Supplementary Methods), the nucleotide context of the START codon [39]. In addition, it was shown that ATG codons near the beginning of the ORF may promote alternative translation initiation and thus should be under selection for elimination in highly expressed genes [40,41]; thus, we generated several features related to this phenomenon, such as the distance of the first alternative ATG from the main START codon, number of uORFs which are additional Open Reading Frames in the UTRs, what we termed sORFs which are shifted Open Reading Frames beginning at alternative ATGs downstream in the ORF from the main START codon, and the ATG context score [40] (Additional file 1: Supplementary Methods). To study the adaptation of codons to the tRNA pool we also considered the tAI [42] and the CAI, to estimate adaptations of the codons of highly expressed genes to various cellular resources [32]; to consider the effect of codon order and interactions between ribosomes on translation rates we consider the Totally Asymmetric Simple Exclusion Process (TASEP)

translation rate prediction [43]. In addition, we considered the number of base pairs in the two dimensional folding of the mRNA in different parts of the transcript, measures of codon bias and amino acid bias (also taking into account the frequency of all codon and amino acid pairs) (see Additional files 1, 2, 3 for a detailed description, number and default value of the features in each predictor).

Features whose traditional estimation relies on expression levels were calculated in a novel manner independent of the expression levels, so that they are solely derived from the transcript (detailed description in the following section and Additional file 1: Supplementary Methods).

Inferring families of predictors based on a robust Jackknifing procedure

We built linear and non linear predictors for the three parts of the transcript, the 5'UTR, ORF, and 3'UTR separately, and also combining the three together, in the following manner. The data was divided into terciles: a train, test and validation set, performing this sampling 100 times, thus resulting with 100 predictors per segment/entire transcript. The split between train and test helps avoiding over-fitting while repeating the procedure enables estimating the robustness of the inferred features. In addition, our approach shows that there is overlapping between the different features; hence, many predictors with similar performances exist. Our approach is similar but not identical to the random forest approach (see, for example [44], and a comparison in Additional file 1: Supplementary Methods).

Additionally, this enabled us to perform statistical analyses of the prevalence, and thus significance of features. We implemented a greedy feature selection process, by which in each iteration every feature is added respectively to the growing regressor, and the feature contributing to the highest correlation is selected (Additional file 1: Supplementary Methods). At the end of each stage, the current predicted regressor coefficients of the selected features are assessed on the test set. The selected regressor is then evaluated on the validation set, in order to avoid overfitting.

The train set was utilized in-order to estimate features whose calculation relies on expression levels, instead of the highly expressed genes traditionally used for their estimation. These features include for example the CAI, tAI, TASEP and ATG Context Score (Additional file 1: Supplementary Methods). In order to deduce the contribution of expression levels via the optimization of such features to our regressor scheme's predictive power, we also compared the attained results to the ones obtained based on features that were estimated according to expression level measurements.

To model non-linear relations we used Multivariate Adaptive Regression Splines (MARS), which is a form of

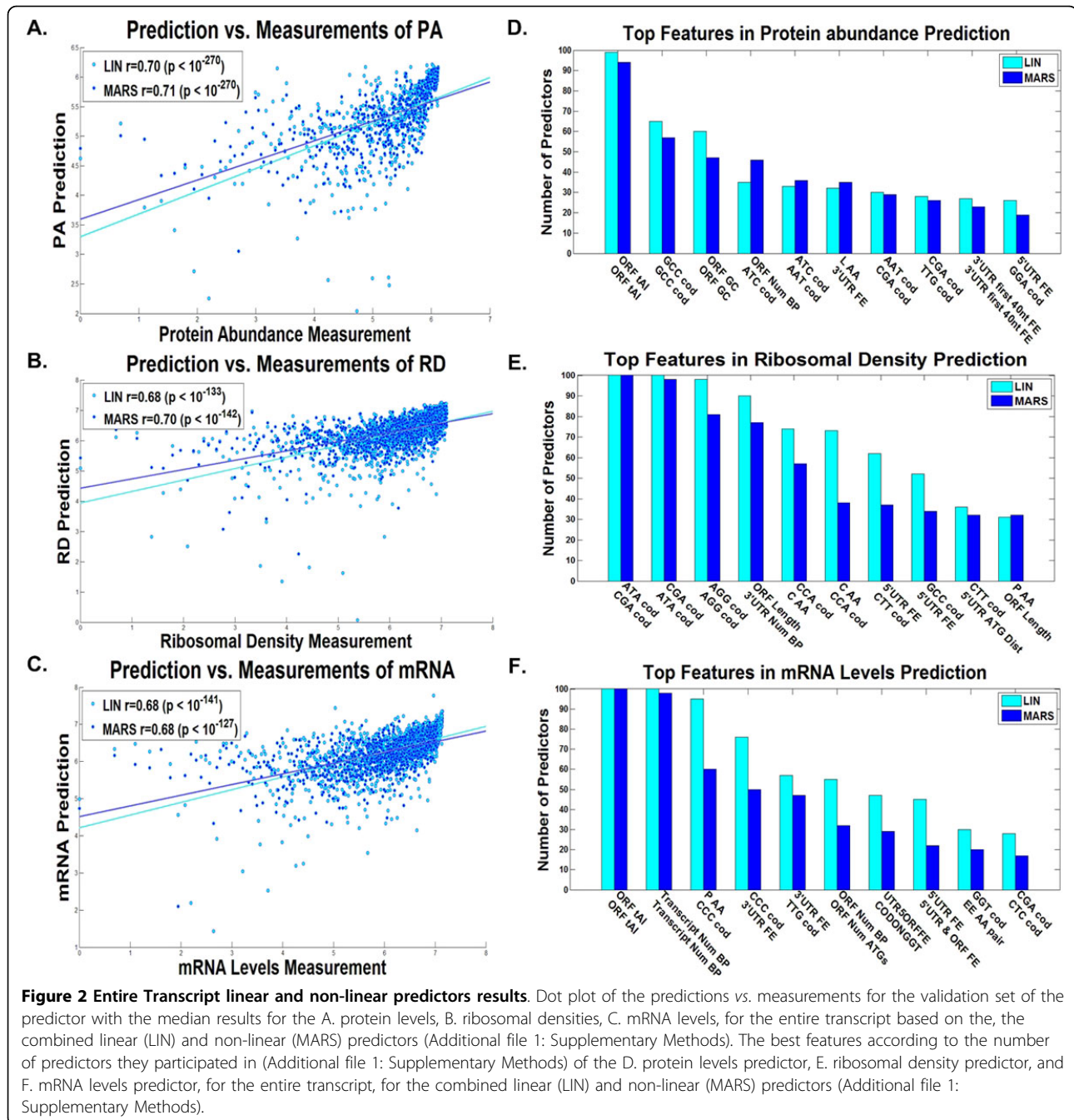
regression analysis introduced in [45]. It is a non-parametric regression technique, and can be seen as an extension of linear models that automatically models non-linearities and interactions. See Additional file 1 (Supplementary Methods) section for a detailed description of our predictors' methodology. The results of the non-linear predictors are similar to those of the linear predictors, providing an additional validation that the reported results are robust and are not specific to the (linear) model we chose to use here.

In each case mentioned above (gene expression measure, type of the regressor, and the way the features are inferred), we compute 100 predictors and report the performances of the median predictor (among the 100 ones) in terms of correlation with the real gene expression measurements; the features are ranked based on the number of times they appear in the different predictors (a score between 0 - 100).

Throughout the figure legends the following acronyms are used: \times AA: \times Amino Acid (*e.g.* C Amino Acid); XXX cod: XXX Codon (*e.g.* ACG Codon); BP: Base Pairs; ATG Dist: the distance of the first ATG in the relevant transcript segment (5'UTR/ORF/3'UTR) from the main start ATG; Best/Mean Rel ATG CS: The best or mean relative ATG Context Score (see Additional file 1: Supplementary Methods), if Rel is omitted then it refers to the absolute Context Score; 30C: first/last (if in the 5'UTR) 30 codons of the relevant segment; F0, F1, F2: we considered three reading frames, frame 0 is identical to the reading frame of the gene ORF, frames 1 and 2 represent a frame shift of 1 or 2 nucleotides relative to the main frame; FE: predicted folding energy (see Additional file 1: Supplementary Methods); Parallel Analysis of RNA Structure (PARS; see Additional file 1: Supplementary Methods): measured folding energy; expPARS: the exponent of the PARS score (see Additional file 1: Supplementary Methods).

Predictors of gene expression variables based solely on features of the transcript

At the first stage we investigated how well measures of gene expression can be predicted based on *all* the features of the transcript. To this end as aforementioned, for all the gene expression variables we repeatedly sampled a tercile of the data (train set), inferred greedily a predictor based on the transcript features, terminating its construction based on the second tercile (test set; Additional file 1: Supplementary Methods), and implemented it on the remainder of the data (validation set; Additional file 1: Supplementary Methods). Figure 2A-C includes the dot plot and correlation of the predicted *vs.* real protein levels (A), ribosomal densities (B), mRNA levels(C), and Figure 3A-B includes the dot plot and correlation of the predicted *vs.* estimated ribosomal load (A),



and proteins per mRNA molecule (B), respectively, for the median linear and non-linear predictors (Additional file 1: Supplementary Methods). As can be seen in Figures 2, 3 for the linear/non-linear regressors, all the correlations are significantly high – a correlation of 0.70/0.71 with protein levels (based on 20/10 features on average), 0.68/0.7 with ribosomal density (based on 24/11 features on average), 0.68/0.68 with mRNA levels (based on 22/12 features on average), 0.81/0.81 with ribosomal load (based on 24/13 features on average),

and 0.61/0.62 with proteins per mRNA molecule (based on 18/11 features on average), (all p-values $< 10^{-270}$), with all the predictors based on less than 24 features on average. These results are significantly higher than those previously reported for biophysical based models [7] or machine learning based models [3] alone (and when considering only transcript features). Specifically, the results demonstrate that variables related to the expression levels can be predicted with very high accuracy based on the transcript alone (correlation above 0.61 in all cases).

translated genes in yeast are under selection to be more compact (*e.g.* to minimize cellular resources such as the metabolic costs needed for their synthesis) [51].

Interestingly an important feature related to RD is the folding at the beginning of the 5'UTR, which is known to be related to the efficiency of translation initiation (strong folding decreases the efficiency of translation initiation [9,47]). In the case of mRNA levels, the folding and nucleotide composition of the 3'UTR are important features that may be related to the mRNA degradation rate [52,53].

Finally, a long list of codons and amino acids appears in the different predictors.

Among others, the frequency of the codons GGT and CTC appear in the mRNA predictor and tend to have negative coefficients, while codon CCC tends to have a positive coefficient. These codons can be related to mRNA levels in a causal way; for example, by increasing/decreasing transcription efficiency or effecting degradation rate. These codons may be related to mRNA levels in a non-causal way by having positive/negative effect on translation and since PA and mRNA levels tend to correlate.

Codons features tend to appear also in other predictors, for example, the codons CGA and ATA appear in the RD predictor and tend to have positive coefficients; the codons GCC and ATC tend to appear in the PA predictor with positive coefficients; the codons ATA and GCC tend to appear in the PPR predictor with positive coefficients; codons CGA and CCC tend appear in the RL predictor with negative coefficients.

As mentioned, the predictors also include features such as tAI that correspond to codon elongation rates; thus, this fact may suggest that these codons are not represented accurately in the current elongation rate measures (*e.g.* the tAI and CAI).

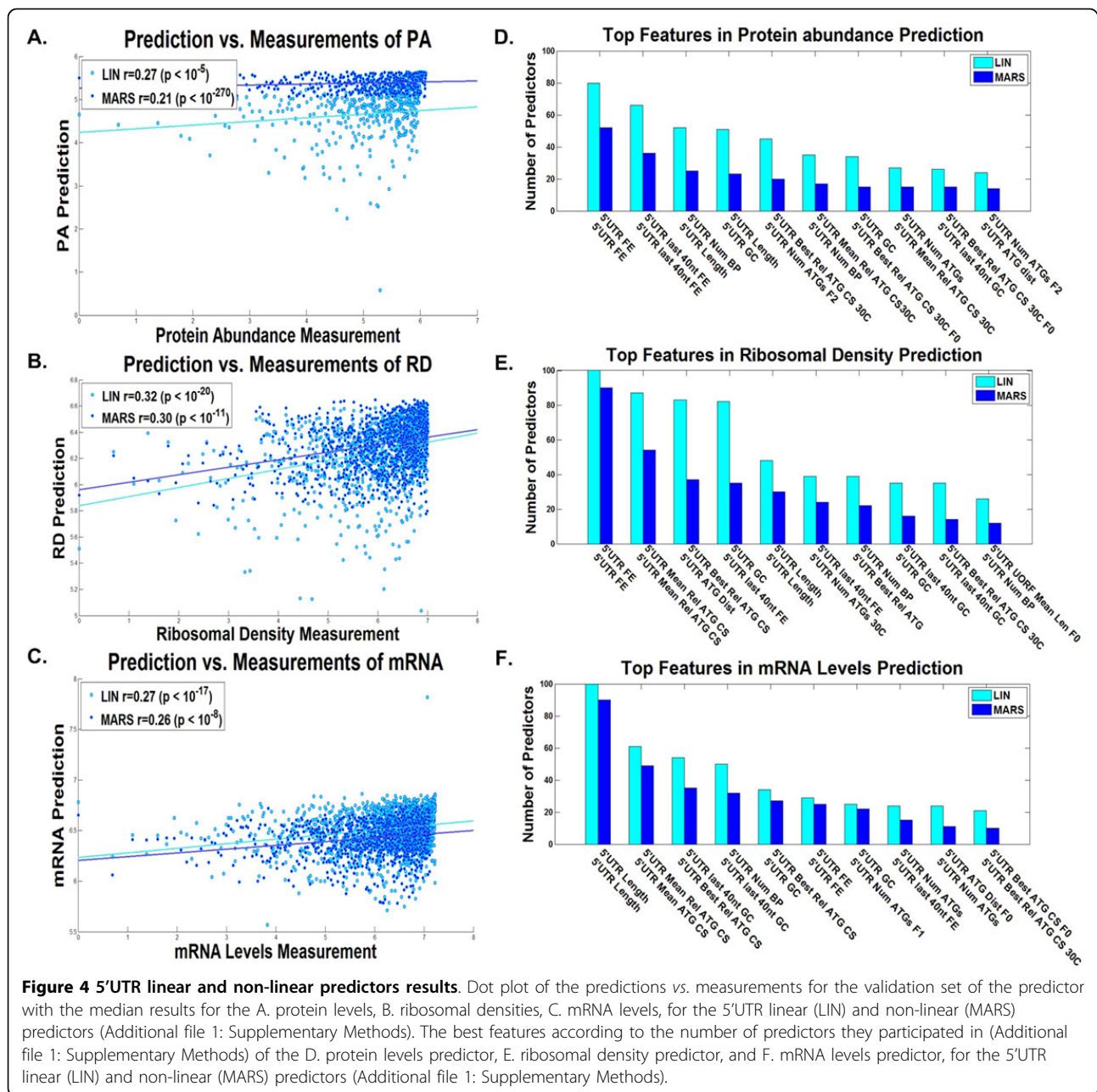
Predictors based on the 5'UTR, ORF, and 3'UTR features separately

At the next stage, we aimed at understanding the quality of prediction that can be gained when using features of each of the main parts of the transcript (5'UTR, ORF, and 3'UTR) separately. Such an analysis can help us understand the relative contribution of each stage of translation (initiation, elongation, termination) to the overall translation efficiency. In addition, we aimed at better understanding the relevant gene expression features of each of these three parts as shaped by evolution. Furthermore, as there is much redundancy among the different features, such that certain features may mask other important ones in the combined regressor, we inferred the five aforementioned predictors (PA, RD, mRNA, RL and PPR) on the basis of the transcript's three main parts *separately*. A summary of the results appears in Figures 4, 5, 6, 7, 8, 9.

As can be seen, the correlations obtained for the linear and non-linear predictors respectively based on the features of the ORF alone (Figure 6A-C and 7A-B) are very similar to the ones obtained when considering the entire transcript (Figure 2A-C and 3A-B), correlations of 0.71/0.72 with PA (based on 20/12 features on average), 0.67/0.69 with RD (25/12 features on average), 0.67/0.68 with mRNA (20/11 features on average), 0.80/0.81 with RL (22/10 features on average), and 0.61/0.62 with PPR (19/9 features on average) respectively (all p-values $<10^{-35}$), suggesting that for inferring a good predictor of endogenous gene expression, the information in the UTRs is redundant. This result supports the conjecture that though some of the gene expression regulation mechanisms are known to be encoded mainly in the UTRs (*e.g.* mRNA degradation and translation initiation), evolution shaped ORFs in such a way that gene expression measurements can be inferred accurately based on the ORF alone.

The correlations of the linear and non-linear predictors respectively that are based on the UTRs were markedly lower: correlations of 0.27/0.21 with PA (8/3 features on average), 0.32/0.30 with RD (13/5 features on average), 0.27/0.26 with mRNA (10/4 features on average), 0.34/0.32 with RL (9/7 features on average), and 0.21/0.14 with PPR (3/5 features on average) respectively in the case of the 5'UTR features based predictors (all p-values $<10^{-5}$); correlations of 0.25/0.18 with PA (11/7 features on average), 0.36/0.34 with RD (18/4 features on average), 0.54/0.54 with mRNA (11/8 features on average), 0.61/0.61 with RL (13/5 features on average), and 0.52/0.47 with PPR (10/2 features on average) respectively in the case of the 3'UTR features based predictor (all p-values $<10^{-5}$).

The relevant features in the case of the 5'UTR (Figures 4, 5) in all the gene expression measurements include the folding strength (and GC content) at the end of the 5'UTR, which is related to translation initiation efficiency via ribosomal binding efficacy [8,9,48]. An additional feature is the length of the 5'UTR which is shorter for highly expressed genes (average length of 67.88 for the top 2% highly expressed genes, as opposed to 82.58 for the rest of the genes). Finally, many features are related to alternative translation initiation from the 5'UTR and include the number of alternative ATGs, their distance from the beginning of the ORF, and the optimality of the nucleotide context of the alternative ATGs to translation initiation [39,40]. These features may affect the rate and efficiency of translation initiation of the major ORF in the transcript and thus effect in a casual way the PA, RD, PPR, and RL; the mRNA is probably related to these variable in a non direct/causal way: highly expressed genes (*e.g.* in terms of PA and RD) are selected for efficient translation initiation and are also selected for higher mRNA levels.



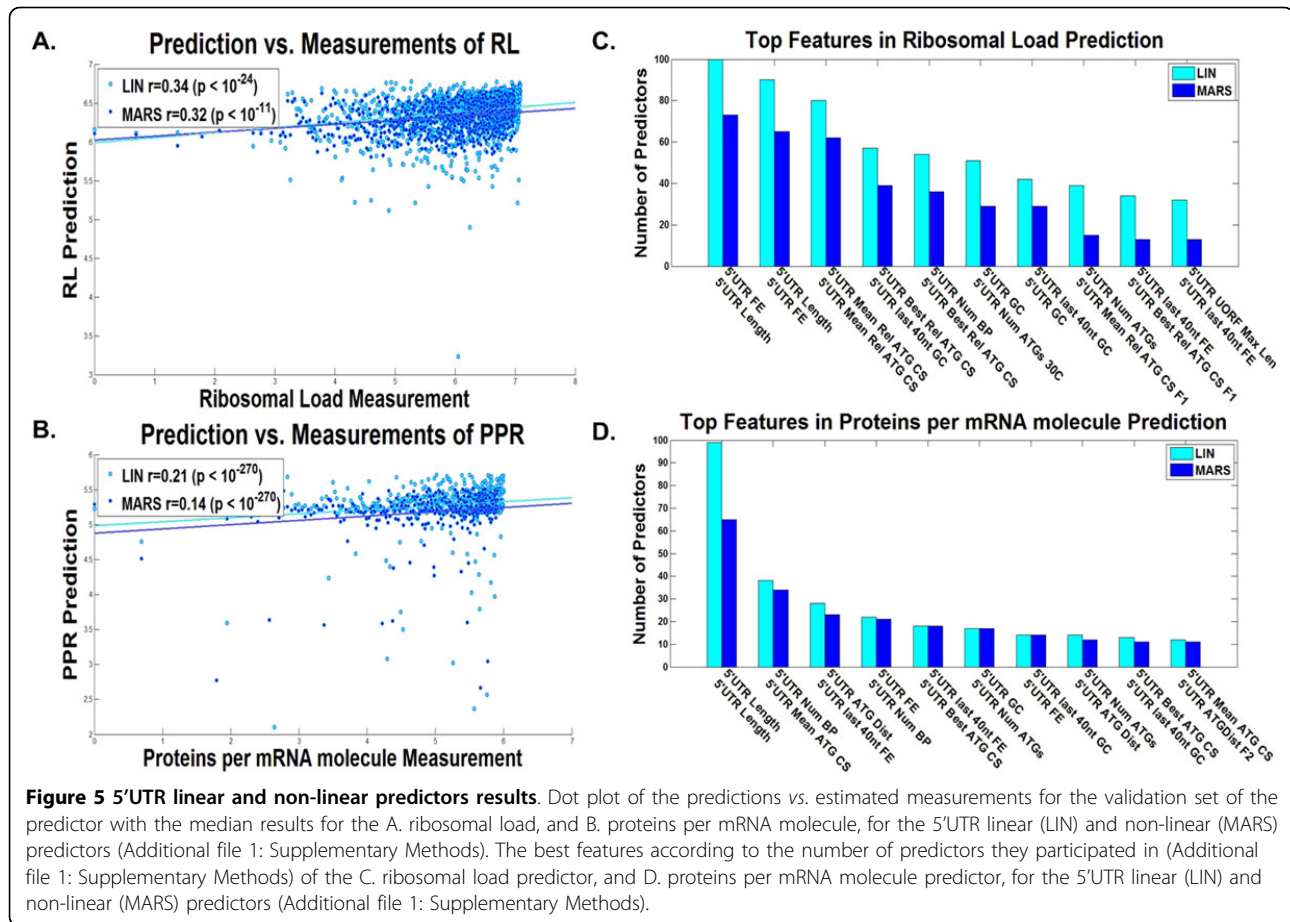
The relevant features in the case of the ORF (Figures 6, 7) are generally similar to the ones obtained for the entire transcript (Figures 2, 3). Specifically, they include the tAI, features related to mRNA folding, and ORF length.

In addition, also in this case, the predictors included features related to the frequency of codons and amino acids; for example:

The frequency of the codons CCC, TTG, and GGT appear in the ORF based mRNA predictor and tend to have negative coefficients; the codons AGG and ATA tend to appear in the ORF based RD predictor with negative and positive coefficients respectively; the

codons CGA and GCC tend to appear in the ORF based PA predictor with positive coefficients; the codons GCC and ACC tend to appear in the ORF based PPR predictor with positive coefficients; and the codons CCC and CGA tend to appear in the ORF based RL predictor with negative coefficients respectively. These results support the conjecture that the frequency of the different codons affect various aspects of gene expression in a way not modeled via conventional measures such as tAI, CAI, TASEP, etc.

Interestingly, the most relevant features in the case of the 3'UTR (Figures 8, 9) are similar to the ones obtained for the 5'UTR. The top features include the 3'UTR



length and aspects of its mRNA folding. Additional features are related to possible alternative translation initiation from the 3'UTR, and include the number of alternative ATGs, their distance from the end of the ORF, and the optimality of the nucleotide context of the alternative ATGs to translation initiation. This is possibly related to the fact that during the eukaryotic translation initiation there is interaction between the 3' end (poly A at the 3'UTR) of the transcript and the initiation complex at the 5' end (5'UTR) of the transcript [6]; the pre-initiation complexes scanning the 5' end of the transcript may diffuse to its 3' end with high probability and perform undesired initiation event that are selected against in highly expressed genes.

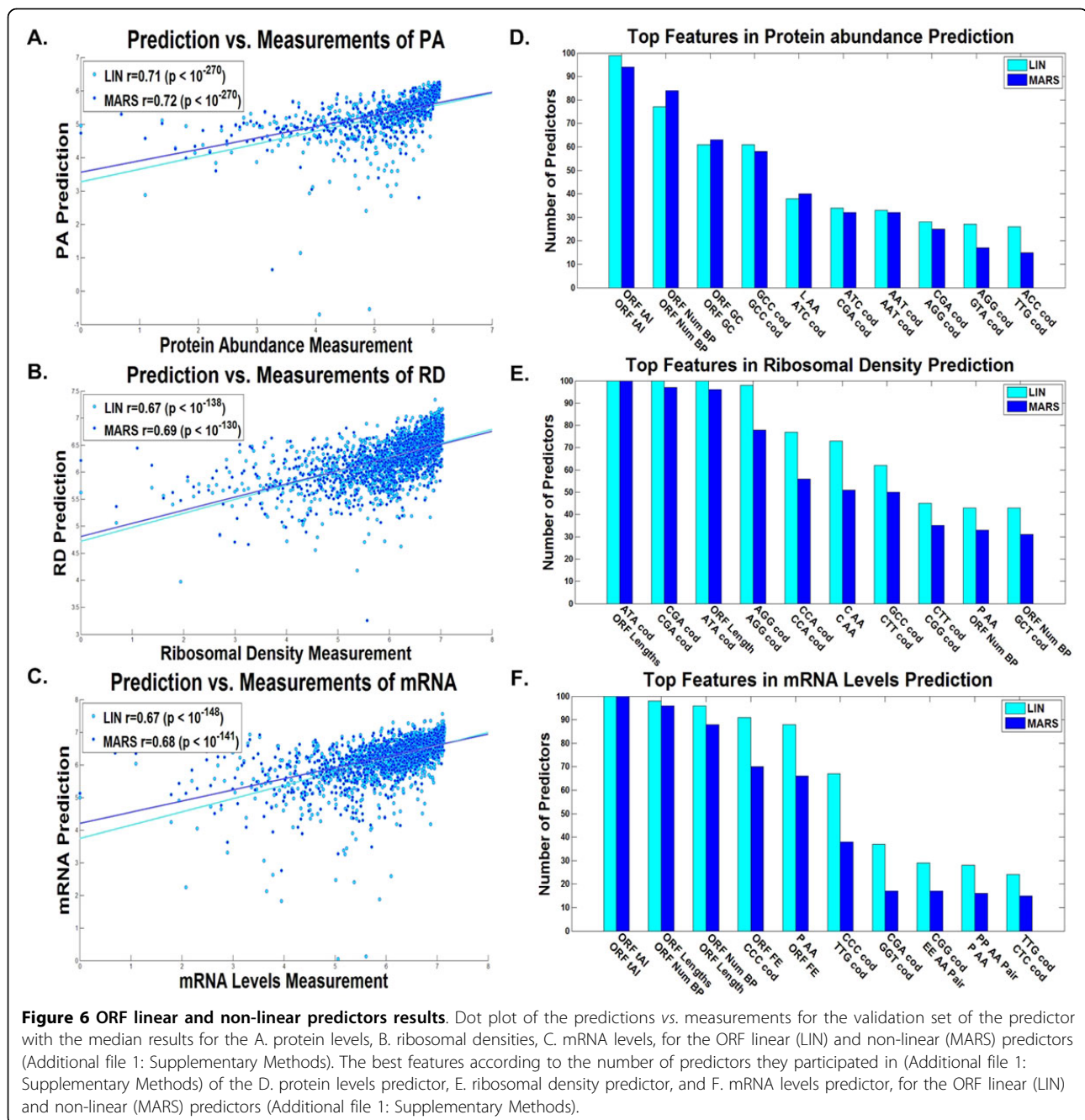
Predictors including features of the transcript optimized via mRNA levels

In this section, we briefly report the results obtained based on transcript features, of which some (e.g. tAI, ATG context, TASEP, etc), include parameters that were optimized/inferred based on mRNA levels measurements. For example, the tAI includes weights corresponding to codon-tRNA interaction efficiency which were inferred based on the correlation between the tAI and mRNA levels in *S. cerevisiae* [42].

First, it is not clear if such an optimization can improve the predictions. Second, it is interesting to see the predictions of variables such as PA and PPR based on the mRNA dependent features (see Additional file 1: Supplementary Methods for more details).

In the current analyses, the set of transcript features includes 5432 features (see Additional files 1, 2, 3 for a detailed description including list of features and default value of the features in each predictor). All the detailed results appear in the Additional file 1 (supplementary material); here we only report the highlights.

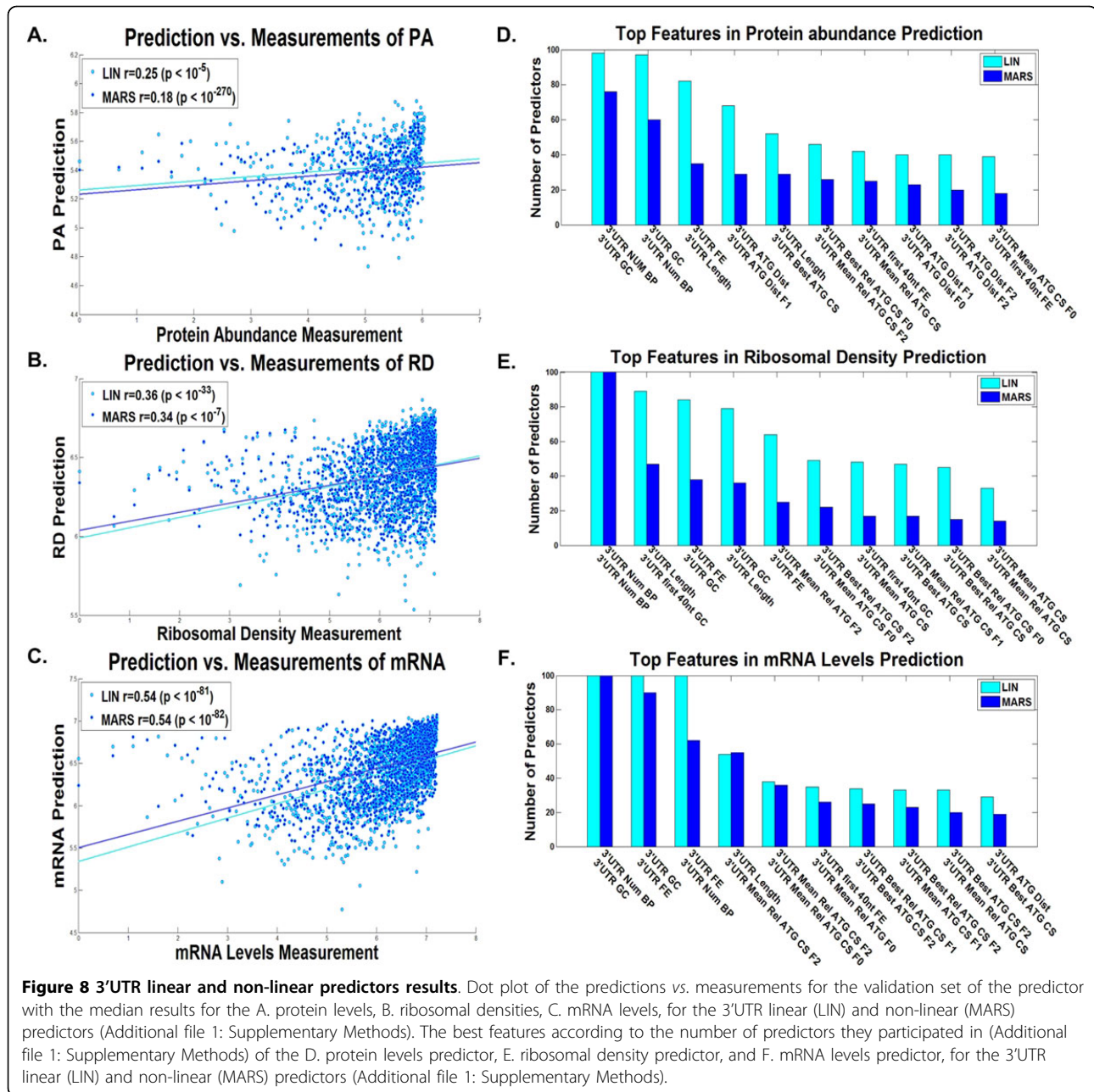
First, we investigated how well measures of gene expression can be predicted based on *all* the features of the transcript, when optimizing the relevant features via mRNA levels. Figure S1A-C in Additional file 1 includes the dot plot and correlation of the predicted vs. real protein levels (A), ribosomal densities (B), mRNA levels (C), and Figure S2A-B in Additional file 1 includes the dot plot and correlation of the predicted vs. estimated ribosomal load (A), and proteins per mRNA molecule (B), respectively, for the median linear predictors (Additional file 1: Supplementary Methods). As can be seen in Additional file 1: Figures S1-S2 all the correlations are significantly high – a correlation of 0.77 with protein



levels (based on 18 features on average), 0.67 with ribosomal density (based on 20 features on average), 0.92 with ribosomal load (based on 21 features on average), and 0.71 with proteins per mRNA molecule (based on 19 features on average), (all p -values $< 10^{-141}$).

From the results we learn that the prediction of post-transcriptional aspects of gene expression (*i.e.* measurements that are not mRNA levels) cannot be improved significantly when adding mRNA levels information indirectly, *i.e.* features derived from it. In addition, the

results demonstrate that combining the machine learning and biophysical approach can yield improved correlation with PA than the one obtained before for each of the approaches separately [3,7]. One central feature that appears in almost all the entire transcript based predictors (RD, PPR, mRNA, RL) is the Totally Asymmetric Exclusion Process (TASEP); as mentioned this feature is based on a biophysical simulation of gene translation and considers the adaptation of codons to the tRNA pool but also (among other aspects) the order of codons.



of 0.68). This is surprising since it is assumed that transcription is mainly regulated via the promoter (which is not part of the transcript), while translation is regulated via patterns that appear in the transcript. This result supports the conjecture that aspects of the mRNA elongation and degradation steps (and not only translation) are also partially encoded in the transcript and thus may affect its evolution.

The reported results suggest several interesting future directions:

First, in this study we decided to concentrate on *S. cerevisiae* as this organism has the most high quality

large scale measurements of gene expression. It will be interesting to generalize the reported results to other organisms, including multi-cellular organisms, when such data is available.

Second, as aforementioned, one interesting conclusion reported in this study is the predictors based on the ORF are significantly better than those based on the UTRs; and that the information encoded in the UTRs is redundant as it does not significantly improve the ORF prediction. It will be challenging to show that this conclusion is not due to the fact that the ORF simply tends to be longer than the UTRs (mean ORF length is 1490.8

explore them via experiments that include introducing them into a reporter gene and measuring the effect of these features on changes in its expression levels measurements, and/or by multi-organism studies of their evolutionary patterns.

Additional material

Additional file 1: Contains the supplementary methods and some additional results.

Additional file 2: Main scheme regression features. A short description of all the features utilized in the study, in the main regressor scheme.

Additional file 3: Expression dependant scheme regression features. A short description of all the features utilized in the expression dependant scheme.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

HZ and TT conceived the research, analyzed the data, and wrote the paper.

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Declarations

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