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### Fully Automated Prostate Magnetic Resonance Imaging and Transrectal Ultrasound Fusion via a Probabilistic Registration Metric

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#### Abstract

In this work, we present a novel, automated, registration method to fuse magnetic resonance imaging (MRI) and transrectal ultrasound (TRUS) images of the prostate. Our methodology consists of: (1) delineating the prostate on MRI, (2) building a probabilistic model of prostate location on TRUS, and (3) aligning the MRI prostate segmentation to the TRUS probabilistic model. TRUS-guided needle biopsy is the current gold standard for prostate cancer (CaP) diagnosis. Up to 40% of CaP lesions appear isoechoic on TRUS, hence TRUS-guided biopsy cannot reliably target CaP lesions and is associated with a high false negative rate. MRI is better able to distinguish CaP from benign prostatic tissue, but requires special equipment and training. MRI-TRUS fusion, whereby MRI is acquired pre-operatively and aligned to TRUS during the biopsy procedure, allows for information from both modalities to be used to help guide the biopsy. The use of MRI and TRUS in combination to guide biopsy at least doubles the yield of positive biopsies. Previous work on MRI-TRUS fusion has involved aligning manually determined fiducials or prostate surfaces to achieve image registration. The accuracy of these methods is dependent on the reader's ability to determine fiducials or prostate surfaces with minimal error, which is a difficult and time-consuming task. Our novel, fully automated MRI-TRUS fusion method represents a significant advance over the current state-of-the-art because it does not require manual intervention after TRUS acquisition. All necessary preprocessing steps (i.e. delineation of the prostate on MRI) can be performed offline prior to the biopsy procedure. We evaluated our method on seven patient studies, with B-mode TRUS and a 1.5 T surface coil MRI. Our method has a root mean square error (RMSE) for expertly selected fiducials (consisting of the ure thra, calcifications, and the centroids of CaP nodules) of  $3.39 \pm 0.85$  mm.

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#### 2. INTRODUCTION

Prostate needle biopsy guided by transrectal ultrasound (TRUS) is the current gold standard for prostate cancer (CaP) diagnosis.<sup>1</sup> TRUS-guided needle biopsy is typically performed using a blinded sextant procedure where the prostate is divided into 6 regions, and a biopsy is taken form each region.<sup>2</sup> Approximately 40% of CaP lesions appear isoechoic on TRUS; hence are difficult to target on TRUS-guided needle biopsy.<sup>3, 4</sup> TRUS-guided needle biopsy has a low detection rate of 20–25%.<sup>5</sup> Because of a low CaP detection rate for TRUS-guided biopsy more than 1/3 of men biopsies undergo a repeat biopsy procedure.<sup>6</sup>

Comparatively, multi-parametric magnetic resonance imaging (MP-MRI) has a high positive predictive value (PPV) for CaP detection.<sup>7</sup> T2-weighted (T2w) MRI is able to provide anatomical information about the prostate in addition to structural information about CaP.<sup>8</sup> Other MRI protocols provide complementary functional information, such as dynamic contrast enhanced (DCE)<sup>9</sup> and diffusion weighted imaging (DWI),<sup>10</sup> or metabolic information, such as magnetic resonance spectroscopy (MRS).<sup>11</sup> MRI-guided biopsies have 40–55% CaP detection rates.<sup>12, 13</sup> However, these procedures require specialized equipment and technicians, are expensive, time-consuming, and stressful for many patients.<sup>12, 13</sup>

MRI-TRUS fusion, that spatially aligns MRI to TRUS, allows anatomical, structural, functional, and metabolic information obtained from MP-MRI and anatomical information obtained from TRUS to potentially be utilized to guide needle biopsy. In such protocols, MRI of the prostate is performed prior to the biopsy procedure, hence no need exists for specialized biopsy equipment. During the subsequent TRUS-guided biopsy, information from the MRI is transferred to the TRUS image. Utilizing both MRI and TRUS to guide biopsy at least doubles the positive yield of biopsy.<sup>14–17</sup> However unique challenges exist for MRI-TRUS registration. First, intensity-based metrics are inappropriate because of the poor correlation between intensities on MRI and TRUS.<sup>18</sup> Previous work has shown that Mutual Information alone cannot accurately align MRI to TRUS.<sup>18</sup> Second, differences exist in prostate shape caused by the difference deformations induced by the TRUS probe and, when present, the MRI endorectal coil.<sup>19</sup> Figure 5 illustrates an example showing fusion of prostate MRI and TRUS for one patient; no MRI endorectal coil was used resulting in pronounced differences in prostate shape.

State-of-the-art MRI-TRUS fusion methods require manual intervention to establish spatial correspondence between MRI and TRUS imagery.<sup>14, 15</sup> Labanaris *et. al.* performed a study that divided 260 patients into two groups: (1) an 18-core TRUS-guided biopsy with no MRI information added, and (2) a similar biopsy procedure with additional cores sampled from regions suspicious for CaP as determined by T2w MRI.<sup>14</sup> The location of the additional cores was determined by manual inspection of the MRI and TRUS images. For the group undergoing only TRUS-guided biopsy, the CaP detection rate was 19.4%; the group with additional biopsy samples from CaP suspicious regions suspicious had a CaP detection rate of 74.9%. Hadaschik *et. al.* obtained a 59.4% CaP detection rate when using a semi-automated MRI-TRUS fusion system to guide the biopsy in 106 patients.<sup>15</sup> Unfortunately, methods that require manual intervention may lead to longer examination times, and increase patient discomfort during or after TRUS acquisition.

In this work, we present a registration algorithm that is automated for all steps after TRUS acquisition. Our registration method may be well suited to MRI-TRUS fusion for guided biopsies because no time intensive manual interventions are required. In the remainder of the paper, Section 3 discusses previous work in MRI-TRUS fusion in greater detail as well as our novel contributions; Section 4 provides details of our novel MRI-TRUS fusion system; Section 5 describes our experimental design for evaluating our system and Section 6 presents our experimental results; We provide concluding remarks in Section 7.

#### 3. PREVIOUS WORK IN MRI-TRUS FUSION AND NOVEL CONTRIBUTIONS

Table 3 briefly describes current state-of-the-art MRI-TRUS fusion methods listing the commercial system, technical work, whether the work was performed for planar (2D) or volumetric (3D) imagery, the type of manual intervention required, the number of studies, and the reported root mean squared error (RMSE). RMSE, when reported, ranges from 2 to 3 mm. Directly comparing RMSE between studies can be difficult, because RMSE is not always calculated over the same set of fiducials. For instance, Reynier et. al. only report RMSE for the prostate surface and the urethra, therefore in this study it is unclear how well other internal structures of the prostate align. Comparing between clinical patient studies  $(^{18, 20, 22, 24})$  and phantom studies  $(^{21, 23})$  is not possible. Phantom studies may not be able to appropriately model realistic deformations in the prostate between image modalities in clinical studies. Additionally, when using phantoms corresponding fiducials are easy to identify while on patient data accurately determining corresponding fiducials may be a more difficult problem. Mitra et. al. have the lowest reported RMSE of  $1.60 \pm 1.17$  mm, however this study was performed only on corresponding 2D axial imagery. RMSE error would be expected to increase for 3D studies, as for these studies an additional dimension over which fiducials could be misaligned is introduced.

MRI-TRUS fusion methods require manual intervention after TRUS acquisition to determine the location of the prostate.<sup>16, 18, 20–25</sup> Manual intervention requires either selecting fiducials or delineating the prostate surface. Overall, MRI-TRUS fusion methods can be divided into (a) fiducial-based,<sup>18, 20, 23, 25</sup> (b) surface-based,<sup>16, 21, 22</sup> and (c) model-based methods according to the underlying structures being aligned between the MRI and TRUS imagery.<sup>24</sup>

Fiducial-based methods attempt to find a transformation that minimizes the distance between corresponding fiducials on MRI and TRUS.<sup>18, 20, 23, 25</sup> Early work by Kaplan *et. al.*<sup>25</sup> used manually selected fiducials to determine a rigid transformation between MRI and TRUS imagery. In this study only qualitative alignment was assessed between 2 MRI-TRUS studies. Mitra *et. al.*<sup>18</sup> extracted the prostate surface and internal fiducials from a manual segmentation of the prostate; fiducials were used to determine a diffeomorphic transformation between MRI and TRUS on manually identified corresponding 2D axial images.

Xu *et. al.*<sup>23</sup> used fiducials extracted from an automatic segmentation of the prostate to determine an affine transformation; however, the authors manually refined this segmentation as necessary to improve registration accuracy. Pinto *et. al.*<sup>26</sup> found MRI-TRUS biopsy

Reynier *et. al.*<sup>20</sup> used fiducials extracted from a manual segmentation of the prostate to calculate an elastic transformation. They reported RMSE of  $1.11\pm0.54$  mm between prostate surfaces and  $2.07\pm1.57$  mm between the urethra.<sup>20</sup> The very low RMSE between prostate surfaces is to be expected as this method is aligning fiducials extracted from the prostate surface. The increase in RMSE for the urethra suggests other internal anatomical structure may have a greater misalignment than the prostate surface. Further work has used this methodology to guide brachytherapy<sup>27</sup> and biopsy.<sup>17</sup> Rud *et. al.*<sup>17</sup> studied 80 patients with suspicious MRI; MRI-TRUS-guided biopsy found CaP in 54 cases.

Surface-based methods eliminate the need to select fiducials by finding a transformation that minimizes the distance between prostate surfaces on MRI and TRUS.<sup>16, 21, 22</sup> Narayanan et. al.<sup>21</sup> aligned prostate surfaces, obtained using a semi-automated segmentation requiring manual selection of 4 or more fiducials on the prostate surface, using a deformable adaptive focus model. Karnik et. al.<sup>22</sup> used Thin Plate Splines (TPS) to align prostate surfaces, obtained using a semi-automated segmentation requiring manual selection of 10 or more fiducials on the prostate surface. Natarajan et. al.<sup>16</sup> extended this approach to (a) require only 4 – 6 fiducials on the prostate surface as well as (b) incorporate elastic interpolation when aligning MRI and TRUS.<sup>16</sup> They used this system to guide biopsy in 56 patients and achieved a CaP detection rate of 23%, compared to 7% for systematic, nontargeted biopsies.<sup>16</sup> Sonn et. al.<sup>28</sup> found a CaP detection rate of 53% in 171 men; for patients with highly suspicious MRI findings 15 out of 16 patients were found to have a positive CaP biopsy. The difference in CaP detection rates between Natarajan et. al.<sup>16</sup> and Sonn et. al.<sup>28</sup> possibly reflects the different patient populations considered in each study; Sonn et. al.<sup>28</sup> considered patients with persistently increased PSA, hence this study selected patients at an increased risk for CaP.

Hu *et. al.*<sup>24</sup> performed MRI-TRUS fusion using a model-based method, where a patient-specific finite element model (FEM) of the prostate on MRI was constructed and used to determine a deformable transformation. Model initialization on the TRUS image required specifying two fiducials identifying the base and apex of the prostate. In addition, manual adjustment of filter parameters was required.

A significant limitation of the current state-of-the-art MRI-TRUS fusion methods is the need for manual intervention after TRUS acquisition. All of the presented methods rely on user interaction to identify the location of the prostate either by selecting fiducials or delineating the prostate.<sup>16, 18, 20–25</sup> TRUS acquisition occurs just prior to needle biopsy, hence, the need for manual intervention represents a significant disadvantage, in terms of time and efficiency. Inaccuracies in defining landmarks or prostate boundaries manually may also introduce error into the registration. For instance, manual prostate delineation, on either MRI or TRUS, has been documented to have inter-observer variability of 1.7 to 2.5 mm.<sup>19</sup>

#### **3.1 Novel Contribution**

The primary novel contribution of this work is a method for MRI-TRUS fusion that is automated for all steps after TRUS acquisition. Unlike current state-of-the-art methods, our method does not require identifying landmarks or segmenting the prostate on TRUS. The registration workflow consists of the following modules: (1) delineating the prostate on MRI (to be performed prior to TRUS acquisition), (2) constructing a probabilistic model of the prostate location on TRUS using intensity and texture features, and (3) aligning the MRI prostate segmentation to the TRUS probabilistic model via a novel image metric. The only required manual pre-processing is prostate segmentation on MRI, which can be performed offline prior to TRUS acquisition.

To construct the probabilistic model of the prostate location on TRUS we introduce two novel methods (1) a novel application of attenuation correction for improved registration accuracy on TRUS and (2) a novel method to determine the location of the prostate on TRUS by calculating a probabilistic model using texture and spatial features. Finally a novel registration metric to align a mask to a probabilistic model is introduced to allow for registration of the T2w MRI segmentation to the probabilistic model of prostate locations on TRUS.

#### 4. METHODOLOGY

Table 2 lists the notation used throughout this paper. Figure 1 displays a flowchart of our methodology which consists of the following three modules:

- **Module 1:** Determine prostate location on MRI. Prior to TRUS acquisition an expert manually delineated the prostate on T2w MRI.
- Module 2: Create a probabilistic model of prostate location on TRUS. As an initial step attenuation correction is performed on the TRUS imagery. The probabilistic model is created by, (a) estimated the likely location of the prostate on TRUS, (b) extracting texture and intensity features, and (c) estimating the probability of each pixel belonging to the prostate using the features extracted in steps a and b.
- **Module 3:** Register MRI prostate segmentation and TRUS probabilistic model. Registration is performed by (a) affine registration to account for translation, rotation, and scale differences between images followed by (b) elastic registration to account for differences in prostate deformation.

#### 4.1 Module 1: Prostate Segmentation on MRI

A 3D MRI volume  $\mathscr{C}_M = (C_M, f_M)$  is defined by a set of 3D Cartesian coordinates  $C_M$  and the image intensity function  $f_M(c) : c \in C_M$ . Modules 1 determines a 3D prostate segmentation  $\mathscr{M}_M = (C_M, g_M)$  such that  $g_M(c) = i$  for a pixel *c* belonging to class *i* where i =1 represents prostate and i = 0 represents background. In this work, the prostate is manually delineated on  $\mathscr{C}_M$  to obtain  $\mathscr{M}_M$ .

#### 4.2 Module 2: Probabilistic Model of Prostate Location on TRUS

A 3D TRUS volume  $\mathscr{C}_T = (C_T, f_T)$  is defined in a similar way as  $\mathscr{C}_M$  (Section 4.1). From  $\mathscr{C}_T$ a model  $\mathscr{C}_{\mathbf{P},i} = (C_T, \mathbf{P}_i(c))$  is calculated, where  $\mathbf{P}_i(c) : c \in C_T$  is the probability of the pixel cbelonging to class i. As an initial step attenuation correction<sup>29</sup> is performed on  $\mathscr{C}_T$  to account for spatial variations in image intensity.  $\mathbf{P}_i(c)$  is then calculated by (i) extraction of texture and intensity features defined as  $F_T(c)$ , and (ii) construction of  $\mathbf{P}_i(c)$ .

**4.2.1 Attenuation Correction**—Ultrasound imagery may have attenuation artifacts, where pixels closer to the ultrasound probe appear brighter than pixels far away. Attenuation is caused by signal loss as the ultrasound waves propagate through tissue.<sup>29, 30</sup> As the TRUS probe is circular, variations in image intensity will be along radial lines from the probe. To account for these changes attenuation correction methods similar to MRI bias field correction have been presented.<sup>29</sup> Attenuation correction of TRUS imagery has been demonstrated to be important for segmentation.<sup>29, 31</sup> To the best of our knowledge, attenuation correction has not been applied in the context of facilitating and improving registration.

Attenuation correction is performed as follows. For each pixel  $c \in C_T$  with a set of 3D Cartesian coordinates expressed as (x, y, z) such that the probe center is defined as x = 0, y = 0, z = 0, we calculate a set of corresponding polar coordinates as follows,

$$r = x^2 + y^2, \ \theta = tan^{-1}\frac{x}{y}, \ z = z.$$
 (1)

Image attenuation is modeled within the polar coordinated reference frame as,

$$f(r,\theta,z) = \beta(r,\theta,z)f(r,\theta,z) + \eta(r,\theta,z), \quad (2)$$

where  $f(\tilde{r}, \theta, z)$  is the true, unknown TRUS signal associated with the location  $(r, \theta, z)$ .  $\eta(r, \theta, z)$  is additive white Gaussian noise assumed to be independent of  $f(\tilde{r}, \theta, z)$ . Similar to Cohen *et. al.*,<sup>32</sup>  $\beta(r, \theta, z)$  may be estimated via convolution of a smoothing Gaussian kernel with the image, i.e. a low-pass filtering of the signal. The true underlying signal may then be recovered using the equation,

$$f(r,\theta,z) = \exp\left\{\log[f(r,\theta,z)] - \ln(\log[f(r,\theta,z)])\right\}, \quad (3)$$

where lpf is a low-pass filter.  $f(r, \theta, z)$  is then converted back into 3D Cartesian coordinates,  $f(c) : c \in C_T$ .

**4.2.2 Feature Extraction**—For each pixel  $f(c) : c \in C_T$  a set of texture and intensity features  $F_T(c)$  are calculated.  $F_T(c)$  is calculated using each feature in Table 3 independently. The features chosen describe (a) intensity for a pixel or a region (mean, median), (b) intensity variation in a region (range), (c) intensity variation assuming either a Gaussian (variance), Rayleigh (variance), or Nakagami (*m*-parameter) distribution. Rayleigh and Nakagami distributions were considered because of their utility in describing the statistics of ultrasound imagery.<sup>33, 34</sup>

**4.2.3 Probabilistic Model Calculation**—The probability of pixel *c* belong to class *i* defined as  $\mathbf{P}_i(c)$  is dependent on the location of *c* and the feature set  $F_T(c)$ . We define the probability of a location *c* belonging to tissue class *i* is  $P_i(c)$ . Similarly the probability of a set of features  $F_T(c)$  belonging to tissue class *i* is  $P_i(F_T(c))$ . We assume  $P_i(c)$  and  $P_i(F_T(c))$  are independent, and hence the final probability  $\mathbf{P}_i(c)$  may be expressed as

$$\mathbf{P}_i(c) = P_i(F_T(c))P_i(c). \quad (4)$$

For the remainder of this section we describe the calculation of  $P_i(c)$  and  $P_i(F_T(c))$ .

**Spatial Prior:**  $P_i(c)$ , referred to as the spatial prior, is the likelihood of pixel c belonging to class *i* based on its location.  $P_i(c)$  is calculated from a set of *J* training studies  $\mathscr{C}_{T,j} : j \in \{1, ..., J\}$ , where for each study the prostate has been delineated by an expert, giving the 3*D* prostate segmentation  $\mathscr{M}_{T,j}$ . The prostate segmentation is defined  $\mathscr{M}_{T,j} = (C_T, g_{T,j})$  such that  $g_{T,j}(c) = i$  for a pixel *c* belonging to class *i* where *i* = 1 represents prostate and *i* = 0 represents background. The origin for each study is set as the center of the TRUS probe, so that the location of pixel *c* has a consistent position relative to the TRUS probe across all studies.  $P_i(c)$  is defined as,

$$P_i(c) = \frac{1}{J} \sum_{j=1}^{J} g_{T,j}(c).$$
 (5)

Hence  $P_i(c)$  is the frequency of pixel c being located in the prostate across J training studies.

**Feature Set Probability:** The probability  $P_i(F_T(c))$  is the likelihood of a set of features  $F_T(c)$  associated with pixel *c* belonging to class *i*. In this work, we assume  $F_T(c)$  may be accurately modeled as a multivariate Gaussian distribution with a mean vector of  $\mu_{F,i}$  and a covariance matrix  $\Sigma_{F,i}$  for the *i*th class. Given the Gaussian distribution parameters  $\mu_{F,i}$  and  $\Sigma_{F,i}$ , the probability  $P_i(F_T(c))$  is calculated as,

$$P_i(F_T(c)) = \frac{1}{2\pi^{k/2} \sum_{F,i}^{1/2}} \exp\left\{ \left( F_T(c) - \mu_{F,i} \right)' \sum_{F,i}^{-1} (F_T(c) - \mu_{F,i}) \right\}$$
(6)

where *k* is the number of features in  $F_T(c)$ . However  $\mu_{F,i}$  and  $\Sigma_{F,i}$  are unknown therefore these parameters must be estimated.

We assume an initial rigid transformation  $T_r$  (Section 4.3) which provides an estimate of the alignment between MRI and TRUS. An estimated prostate segmentation may then be defined as  $\hat{\mathcal{M}}_T = T_r(\mathcal{M}_M)$  where  $\hat{\mathcal{M}}_T = (C_T, \hat{g}_T)$  and  $\hat{g}_T(c) = i$  for a pixel *c* estimated to

belong in class *i*.  $\mu_{F,i}$  and  $\Sigma_{F,i}$  are then calculated as,  $\mu_{F,i} = \frac{1}{|\Omega_{T,i}|} \sum_{d \in \Omega_{T,i}} F_T(d)$ , where  $\Omega_{T,i}$  is the collection of pixels in  $C_T$  belonging to class *i* according to  $\hat{g}_T(c)$  and  $|\cdot|$  is the cardinality of a pixel set.  $\Sigma_{F,i}$  is similarly defined for a covariance matrix of  $F_T(d)$  for  $\Omega_{T,i}$ .

#### 4.3 Module 3: Registration of MRI Segmentation and TRUS Probabilistic Model

The goal of registration in this work is to find a transformation T to spatially map  $\mathscr{C}_M$  onto  $\mathscr{C}_T$ . In this work T is calculated to align  $\mathscr{M}_M$  and  $\mathscr{C}_T$ . T is calculated via the equation,

$$T = \underset{T}{\operatorname{argmax}} [S(T(\mathscr{M}_M), \mathscr{C}_T) - \alpha R(T)], \quad (7)$$

where  $S(T(\mathcal{M}_M), \mathscr{C}_T)$  is a similarity metric between  $T(\mathcal{M}_M)$  and  $\mathscr{C}_T$ . R(T) is a regularization function which penalized T which are not smoothly varying and  $\alpha$  determines the weight of R relative to  $S(\cdot, \cdot)$ .

The similarity metric  $S(\cdot, \cdot)$  is calculated as,

$$S(T(\mathcal{M}_{M}), \mathcal{C}_{T}) = \prod_{i=0}^{1} \prod_{c \in C_{T}} [P_{i}(F(c), c) | T(\mathcal{M}_{M}) = \Omega_{M, i}], \quad (8)$$

where  $\Omega_{M,i}$  is the collection of pixels in  $C_M$  belonging to class *i*. *T* is initialized with a rigid transformation  $T_r$  such that overlap between  $\mathcal{M}_M$  and  $P_1(c)$  is maximized calculated as

$$T_r = \underset{T_r}{\operatorname{argmax}} [\prod_{c \in C_T} P_1(c) T_r(g_M(c))], \quad (9)$$

Given the initial alignment  $T_r$ , an affine registration  $T_a$  followed by an elastic registration  $T_e$  was used to align the MRI and TRUS images.

**4.3.1 Affine Registration**—An affine transformation (translation, rotation, and scale) defined as  $T_a$  was used to align the MRI and TRUS. No regularization R(T) was used for affine registration, as this transformation by definition is smoothly varying. Not defining R(T) is equivalent to setting  $\alpha = 0$ .

**4.3.2 Elastic Registration**—An elastic B-spline-based transformation  $T_e$  was used to recover differences in prostate deformation between MRI and TRUS.<sup>36</sup>  $T_e$  is defined by a set of control points which determine the transformation  $T_e$  for all  $c \in C_M$ . Each control point, defined by its location  $p \in C_M$ , is allowed to move independently.

The term R(T) is added to constrain  $T_e$  to only those transformations which are likely to occur. R(T) is calculated as  $R(T) = \sum_{p \in T} (1 - \exp - || (p - E[p]) ||)$ , where *p* is the location of a B-Spline control point and E[p] is the maximum likelihood estimate of where *p* should

be located. In this work E[p] was estimated as  $E[p] = \frac{1}{|\mathcal{N}(p)|} \sum_{q \in \mathcal{N}(p)} q$  where  $\mathcal{N}(p)$  is the set of control points which neighbor p and  $|\cdot|$  is the cardinality of a set. Thus E[p] is the average over the set of knots which neighbor the knot at location p. Figure 2 gives a 2D pictorial representation of our regularization approach.

R(T) is defined such that if p = E[p] then the knot p will contribute 0 to the value of R(T). As p moves farther from E[p], the value of  $(1 - \exp[(p - E[p])])$  increases, and contributes more to the value of R(T). Hence R(T) is lower for evenly spaced, smoothly varying control points than for randomly spaced, erratically varying control points. Deformations that are

not evenly spaced and smoothly varying will only occur if they improve the similarity metric  $S(\cdot, \cdot)$ .

#### 5. EXPERIMENTAL DESIGN AND RESULTS

#### 5.1 Dataset Description

T2-weighted MRI imagery was acquired using a Siemens 1.5 T scanner and a pelvic phasedarray coil for 7 patients under IRB approval. *3D* TRUS imagery was acquired using a biplanar side-firing transrectal probe for each patient. For each pair of images an expert radiologist manually selected corresponding fiducials. Corresponding fiducials included, the urethra, the center of regions suspicious for CaP, and the center of small calcifications. In addition, an expert radiologist manually delineated the prostate boundary on MRI and TRUS.

#### 5.2 Evaluation Measures

RMSE is a measure of how well two corresponding sets of fiducials align; a RMSE of 0 represents perfect alignment. A manually selected set of fiducials on MRI is defined as

 $p_M^i: i \in \{1, \dots, N\}$ . Similarly, a set of fiducials on TRUS is defined as  $p_T^i: i \in \{1, \dots, N\}$ ,

such that the  $p_{_M}^i$  corresponds to  $p_{_T}^i$ . RMSE is calculated as  $\frac{1}{N} \sum_{i=1}^N (p_{_M}^i - p_{_T}^i)^2$ .

#### 5.3 Implementation Details

All methods described in this paper were implemented using the Insight Segmentation and Registration Toolkit (ITK) version 4.3.<sup>37</sup> All texture features were calculated using a  $\mathcal{N}(c)$  of a spherical neighbourhood of size 1 mm<sup>3</sup>. Both affine and elastic transformations were optimized at a single resolution using a Powell optimization scheme.<sup>38</sup> The regularization weight was set as  $\alpha = 1$ , such that the similarity metric and regularization function were given equal weights.

#### 5.4 Experiment 1: Effect of Attenuation Correction

It is our hypothesis that subtle differences in intensity characteristics across the TRUS image can lead to a probabilistic model  $\mathbf{P}_i(c)$  that does not accurately model the prostate location. Incorrect estimation of  $\mathbf{P}_i(c)$  can result in sub-optimal image registration. In this experiment we evaluate the effect of attenuation correction as described in Section 4.2.1 on registration accuracy, in terms of RMSE. We evaluate RMSE for MRI alignment to TRUS imagery with and without attenuation correction.

#### 5.5 Experiment 2: Selection of TRUS Model Feature Set

A set of intensity and texture features F(c) are used to calculate the probabilistic model  $\mathbf{P}_i(c)$  that guides registration as described in Sections 4.2.2 and 4.2.3. The accuracy of  $\mathbf{P}_i(c)$  depends on the choice of features in F(c); those features which are best able to distinguish prostate tissue from non-prostate tissue will lead to a more accurate  $\mathbf{P}_i(c)$  and will lead to a more accurate image registration. In this paper we evaluated 7 features described in Table 3 in terms of RMSE.

#### 6. EXPERIMENTAL RESULTS

#### 6.1 Experiment 1: Effect of Attenuation Correction

Table 4 presents quantitative results for  $T_a$  and  $T_e$ , with and without attenuation correction for all 7 features. Figure 3 shows an study where attenuation correction improved the results by over 1 mm, qualitatively the attenuation corrected TRUS (h) more accurately aligns to the MRI to the non-attenuation corrected TRUS (d).

In all cases, except range and standard deviation features, attenuation correction prior to feature extraction resulted in improvement of the registration accuracy, as evaluated by RMSE. For many cases, attenuation correction improved RMSE by 1 mm. The *m*-parameter is a special case, where without attenuation correction the registration failed to align the images. This feature is particularly sensitive to noise, and the presence of attenuation artifacts results in  $P_i(F_T(c))$  evaluated for the *m*-parameter feature being unable to distinguish between pixels belonging to the prostate and the background.

#### 6.2 Experiment 2: Selection of TRUS Model Feature Set

Table 4 presents quantitative results for  $T_a$  and  $T_e$  for all 7 features evaluated. The best performing features are derived from two features types (a) descriptions of the expected intensity value (mean and median) and (b) ultrasound specific descriptions of the intensity variance (Rayleigh variance, Nakagami *m*-parameter). The median feature performed slightly better than the mean feature, most likely because the median is more robust to pixels with outlier intensities compared to the mean.<sup>39</sup> Similarly, the Nakagami *m*-parameter performed better than the Rayleigh variance, as the Nakagami *m*-parameter should be better able to model the underlying distribution therefore the Nakagami *m*-parameter should be better able to model the underlying distribution of pixel intensities.<sup>34</sup> In comparison, image features which describe the variance in the data which are not ultrasound specific (range, standard deviation), performed poorly in terms of registration accuracy. This is most likely because these measures are not able to accurately model the distribution of pixel intensities in TRUS imagery.

Figure 5(c) displays an example of a qualitative registration result using the Nakagami *m*-parameter. Clear misalignment of the prostate near the rectum (red arrow) was apparent after  $T_a$  because of the differences in prostate deformation caused by no MRI endorectal coil and the TRUS probe. Hence, a need exists for  $T_e$  to account for the differences in prostate deformation between MRI and TRUS. Figure 5(d) displays MRI and TRUS images after  $T_e$  was applied; the prostate boundary near the rectum is better aligned between MRI and TRUS.

To further evaluate our registration accuracy we created surface renderings of the prostate surface as shown in Figure 4, such that blue represents regions where the MRI image was misaligned external to the prostate surface on TRUS and red represents regions where the MRI image was misaligned internal to the prostate surface on TRUS. In the qualitative example shown there are two regions of misalignment, near the rectal wall (yellow) and near the bladder (blue). In figure 4(b) an axial plane of the TRUS is displayed with two boundaries overlaid, (1) the axial cross section of the surface rendering shown in figure 4

and the true prostate boundary (brown line). The hyperechoic region distal to the TRUS probe results in  $\mathbf{P}_i(c)$  being unable to appropriately model the location of the prostate, hence the large registration error of  $\approx 4$  mm. Similarly Figure 4(c) a different axial plane of the TRUS is displayed with the cross section of the surface rendering shown in figure 4 and the true prostate boundary (brown line). First note that this misalignment is much less pronounced, representing a registration error of  $\approx 1$  mm. Near the rectal wall the error is primarily because  $T_e$  is unable to fully account for the subtle differences in prostate deformation.

#### 7. CONCLUDING REMARKS

This paper describes a novel method to spatially align MRI and TRUS images of the prostate so that no manual intervention is required after TRUS acquisition. RMSE for our method in 7 patient studies was 3.39±0.85 mm. Unlike previously described methods, <sup>16, 18, 20–25</sup> our method requires no manual intervention.

The biggest limitation of the current implementation is the requirement to segment the MRI prior to TRUS acquisition. Therefore, planned future work will incorporate an automated prostate segmentation scheme that has been developed by our group<sup>40</sup> to delineate the prostate in Module 1 (Section 4.1). An additional limitation of this work is the use of the B-Spline transformations in Module 3 (Section 4.3), which recover non-linear deformations with few additional constraints, to account for the difference in deformation of the prostate between MRI and TRUS imagery. In this work we imposed an additional regularization constraint to ensure the underlying deformation in the prostate was smoothly varying. However, other transformations such as Finite Element Models (FEM), which allow for explicit modeling of tissue physics, could also potentially be used to drive the MRI-TRUS fusion.<sup>24</sup> In future work we will consider other deformation transformations and regularization constraints to model the differences in deformation of the prostate between MRI and TRUS imagery.

Finally an extended analysis of the presented method is planned to include further evaluation of, (a) optimization schemes such as evolutionary,<sup>41</sup> and gradient descent<sup>38</sup> optimization methods may be better able to find the transformation maximum. (b) additional features such as Haralick texture features<sup>42</sup> and additional ultrasound specific features<sup>43</sup> may provide greater ability to distinguish between prostate and non prostate tissues, (c) combinations of multiple features to build the probability model may lead to a more accurate and robust estimate of the prostate location on TRUS.

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#### Figure 1.

Flowchart for our registration algorithm consisting of the following modules: (1) Prostate delineation on MRI (prostate boundary shown in green); (2) Construction of probabilistic model of prostate location on TRUS where blue corresponds to pixels most unlikely to belong to the prostate, red corresponds to pixels most likely to belong to the prostate. The model consists of estimating (a) likely location of prostate on TRUS (spatial prior) and (b) likely appearance of prostate on TRUS (texture and intensity features); (3) Registration of MRI segmentation to TRUS model by (a) affine (translation, rotation, scale) registration and (b) elastic registration.

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#### Figure 2.

A graphical example of B-Spline regularization. The control point of interest p is highlighted in orange. Control points corresponding to  $\mathcal{N}(p)$  are highlighted in green. According to our formulation for E[p], the expected location of p is shown by an open red circle. (a), (c) Example where R(T) would have a high value because p is far from E[p]. (b) and (d) would both give a low R(T) value because p is near E[p]. For (c), (d) the deformation not local to p is not taken into account when considering E[p], however other control points may result in a higher R(T) compared to (b).



#### Figure 3.

Example of a TRUS image (a) without and (e) with attenuation correction; the corresponding median feature (b), (f); the corresponding probability models (c), (g), where blue corresponds to those pixels most unlikely to belong to the prostate, red corresponds to those pixels most likely to belong to the prostate; and the final registration results (d), (h). Blue arrows in (d) and (h) show boundary regions which are well aligned on MRI and TRUS, while red arrows show boundary regions which are misaligned. The region highlighted by the red circle in (b) and (f) show regions where attenuation correction improved the feature contrast between the prostate and background pixels. The corresponding region on the probability models is highlighted by the black circle (c), (g). Note the image with attenuation correction (g) is better able to distinguish between pixels belonging to the prostate from the background, resulting in a more accurate registration.



#### Figure 4.

(a) Prostate surface rendering such that the prostate base is facing toward the right, where blue represents regions where the MRI image was misaligned external to the prostate surface on TRUS and red represents regions where the MRI image was misaligned internal to the prostate surface on TRUS. (b) 2D axial image on TRUS displaying a region of large misalignment distal to the TRUS probe, where brown represents the expert delineation of the prostate on TRUS. (c) 2D axial image on TRUS displaying a region of misalignment near the TRUS probe, where brown represents the expert delineation of TRUS.



#### Figure 5.

(a) T2-w MRI of the prostate. (b) TRUS of the prostate. (c) MRI-TRUS fusion using the Nakagami *m*-parameter for  $T_a$  displayed as a checkerboard image. Blue arrows shows boundary regions which are well aligned on MRI and TRUS, while red arrows show boundary regions which are misaligned. (d) MRI-TRUS fusion using the Nakagami *m*-parameter for  $T_e$  displayed as a checkerboard image.

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# Table 1

(RMSE). Direct comparison between RMSE for different systems is not possible as different internal fiducials (or in some cases phantoms) were used to volumetric (3D) or planar (2D) imagery, the type of manual intervention required, the number of studies, and the reported root mean square error State-of-the-art MRI-TRUS fusion methods indicating the commercial system (where available), technical work, whether each method requires evaluate each method.

Root Mean Squared Error (RMSE)	$2.07\pm1.57$ mm (urethra) 1.11 $\pm$ 0.54 mm (prostate 1.11 $\pm$ 0.54 mm (prostate	3.06±1.41 mm (phantom)	$2.13 \pm 0.80 \text{ mm}$	$2.3 \pm 0.9 \text{ mm} \text{ (phantom)}$	2.40 mm (median)	$1.60\pm1.17~mm$
Studies	11	2	16	20	8	20
Intervention	Manual prostate segmentation on TRUS	Semi-automated prostate segmentation on TRUS	Semi-automated prostate segmentation on TRUS	Manual refinement of prostate segmentation on TRUS	Manual identification of prostate apex and base on TRUS, adjustment of filter weights	Manual prostate segmentation on TRUS
2D/3D	3D	3D	3D	3D	3D	2D
Technical Work	Reynier et. al. <sup>20</sup>	Narayanan <i>et. al.</i> <sup>21</sup>	Karnik <i>et. al.</i> <sup>22</sup>	Xu et.al. <sup>23</sup>	Hu et.al. <sup>24</sup>	Mitra <i>et.al.</i> <sup>18</sup>
Commercial System	Urostation	ProFuse		UroNav	I	-

#### Table 2

#### Description of notation used throughout this paper.

Notation	Description	Notation	Description
$\mathcal{C}_{M}$	3D MRI image scene.	$P_i(F_T(c))$	Probability of $F_T(c)$ belonging to class <i>i</i> .
C <sub>M</sub>	$3D$ grid of pixels on $\mathscr{C}_M$ .	$\mathcal{M}_T$	3D TRUS prostate segmentation.
$f_M(c)$	MRI image intensity function for $c \in C_M$ .	$g_T(c)$	TRUS segmentation function for $c \in C_T$ .
М <sub>М</sub>	3D MRI prostate segmentation.	$\Omega_{T,i}$	Collection of pixels in $C_T$ that belong to class <i>i</i> .
$P_i(c)$	TRUS segmentation function for $c \in C_T$ .	$\mu_{F,i}$	Mean vector of $F_T(c)$ for $\Omega_{T,i}$ .
$g_M(c)$	MRI segmentation function for $c \in C_M$ .	$\Sigma_{F,i}$	Covariance matrix of $F_T(c)$ for $\Omega_{T,i}$ .
$\mathscr{C}_{T}$	3D TRUS image scene.	$\hat{\mathcal{M}_T}$	Estimated TRUS prostate segmentation.
$C_T$	$3D$ grid of pixels on $\mathscr{C}_T$ .	$g_T(c)$	Estimated TRUS segmentation function for $c \in C_T$ .
$f_T(c)$	TRUS image intensity function for $c \in C_T$ .	Т	Transformation function.
$\mathscr{C}_{\mathbf{P},i}$	3D probabilistic model for class <i>i</i> .	$S(T(\mathcal{M}_M), \mathcal{C}_T)$	Similarity metric for $T(\mathcal{M}_M)$ and $C_T$ .
$\mathbf{P}_i(c)$	Probability of belonging to class <i>i</i> for $c \in C_T$ .	R(T)	Regularization metric for <i>T</i> .
$F_T(c)$	Set of intensity and texture based features for $c \in C_T$ .	$\Omega_{M,i}$	Collection of pixels in $C_M$ that belong to class <i>i</i> .
$(r,\theta,z)$	Corresponding polar coordinates for $c \in C_T$ .	р	Control point location defined on $C_T$ .
$f_{T}(c)$	TRUS image intensity function with out signal attenuation for $c \in C_T$ .	E[p]	Expected location of control point <i>p</i> .
$P_i(c)$	Spatial prior for $c \in C_T$ .	$\mathcal{N}(p)$	Set of control points with neighbor p.

#### Table 3

Description of intensity and texture features used to constructed the feature set F(c).

Feature	Description	Formulation	
Intensity	Intensity value	f(c)	
Mean	Average intensity value within neighborhood $\mathcal{N}(c)$ .	$\overline{f}(c) = \frac{1}{ \mathcal{N}(c) } \sum_{d \in \mathcal{N}(c)} \tilde{f}(d)$	
Median	Median intensity value within neighborhood $\mathcal{N}(c)$ .	$\mathop{ m median}_{d\in\mathscr{N}(c)}(\widetilde{f}(d))$	
Range	Range of intensity values within neighborhood $\mathcal{N}$ ( <i>c</i> ).	$\max_{d \in \mathscr{N}(c)} (\tilde{f}(d)) - \min_{d \in \mathscr{N}(c)} (\tilde{f}(d))$	
Variance	Variance of a Gaussian distribution of intensity values within $\mathcal{N}(c)$ .	$\tilde{f}_{\sigma}(c) = \sqrt{\frac{1}{ \mathcal{N}(c) }} \sum_{d \in \mathcal{N}(c)} \left(\tilde{f}(d) - \overline{f}(c)\right)^2$	
Rayleigh Variance <sup>33</sup>	Variance of the Rayleigh distribution calculated within the neighborhood $\mathcal{N}(c)$ .	$f_{\hat{\sigma}}(c) = \sqrt{\frac{1}{2 \left  \mathcal{N}(c) \right }} \sum_{d \in \mathcal{N}(c)} \tilde{f}(d)^{2}$	
<i>m</i> -parameter <sup>34</sup>	The <i>m</i> -parameter of the Nakagami distribution, which controls the shape of the distribution, calculated within the neighborhood $\mathcal{N}(c)$ .	We use the method of Greenwood and Durand $^{35}$ to estimate <i>m</i> .	

#### Table 4

RMSE for  $T_a$  and  $T_e$  evaluated using 7 feature sets. The symbol \* denotes a spurious registration, where the two images had no alignment. The best registration results, obtained from median and Nakagami *m*-parameter features are bolded.

Frateria	Attenuation Correction	Transformation			
Feature		T <sub>r</sub>	T <sub>a</sub>	T <sub>e</sub>	
Initial Alignment		$5.50\pm1.49~mm$	-	-	
Intensity	No	-	$4.86\pm1.58\ mm$	$4.52\pm1.62\ mm$	
	Yes	-	$4.15\pm1.06~mm$	3.99 ± 1.09 mm	
Mean	No	-	$4.88\pm2.03\ mm$	$4.61\pm2.05~\text{mm}$	
	Yes	-	$3.73 \pm 1.25 \text{ mm}$	$3.54 \pm 1.17 \text{ mm}$	
	No	-	$4.75\pm1.82\ mm$	$4.46\pm1.65~\text{mm}$	
Median	Yes	-	$3.79\pm1.29~mm$	$3.32 \pm 1.05 \text{ mm}$	
Range	No	-	$4.42\pm1.27~mm$	$4.13\pm1.34~\text{mm}$	
	Yes	-	$4.60\pm1.18~mm$	$4.25\pm1.22~\text{mm}$	
Variance	No	-	$4.23\pm0.98\ mm$	$3.92\pm1.06~\text{mm}$	
	Yes	-	$4.56\pm1.38\ mm$	$4.36\pm1.39~\text{mm}$	
Rayleigh Variance <sup>33</sup>	No	-	$4.54\pm1.76~mm$	$4.30\pm1.76~\text{mm}$	
	Yes	-	$3.72\pm1.16\ mm$	$3.50\pm0.90\ mm$	
<i>m</i> -parameter <sup>34</sup>	No	-	*	*	
	Yes	-	3.79 ± 1.15 mm	3.39 ± 0.85 mm	