# Poor Prognosis of Rare Sarcomeric Gene Variants in Patients with Dilated Cardiomyopathy

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## Abstract

Background: In dilated cardiomyopathy (DCM), the clinical and prognostic implications of rare variants in sarcomeric genes remain poorly understood. To address this question, we analyzed the outcome of rare sarcomeric gene variants in patients enrolled in our Familial Cardiomyopathy Registry.

Methods: DCM families harboring rare sarcomeric variants in *MYH6, MYH7, MYBPC3*, *TNNT2,* and *TTN* were identified. Genotype– phenotype association analysis was performed, and long-term survival-free from death or heart transplant was compared between carriers and noncarriers.

Results: We found 24 rare variants (3 in *MYH6*, 3 in *MYH7*, 3 in *MYBPC3*, 2 in *TNNT2*, and 13 in *TTN)* affecting 52 subjects in 25 families. The phenotypes of variant carriers were severe (3 sudden deaths, 6 heart failure deaths, 8 heart transplants, 2 ventricular fibrillations). There was no difference in the overall long-term survival between carriers and the 33 noncarriers ( $p = 0.322$ ). However after 50 years of age, the combined endpoint of death or transplant was decreased in carriers as compared to noncarriers ( $p = 0.026$ ). **Conclusions:** Patients with DCM carrying rare variants in sarcomeric genes manifest a poorer prognosis as compared to noncarriers after the age of 50 years. These data further support the role of genetic testing in DCM for risk stratification. Clin Trans Sci 2013; Volume 6: 424–428

Keywords: cardiomyopathy, genes, prognosis, molecular genetics, genetics, phenotyping

#### Introduction

Dilated cardiomyopathy (DCM) is a heart muscle disease characterized by dilatation and systolic dysfunction of the left or both ventricles,<sup>1</sup> and represents a major cause of heart failure, sudden death and heart transplantation. At least 30–50% of DCM cases are familial, suggesting the involvement of a defective gene.<sup>2,3</sup> Currently, mutations in over 30 genes across a wide variety of cellular components and pathways have been associated with DCM<sup>4,5</sup>; among these are sarcomeric genes, which when mutated also cause hypertrophic cardiomyopathy (HCM) and restrictive cardiomyopathy.

In DCM, the most common sarcomeric mutations are reported in cardiac beta-myosin heavy chain (*MYH7*), in cardiac troponin T (*TNNT2*), and in myosin binding protein C (MYBPC3).<sup>6,7</sup> We previously reported alpha-myosin heavy chain (MYH6) mutations in DCM families.<sup>8</sup> Hershberger et al. also found rare variants in genes of the sarcomeric complex "likely" or "possibly" causing the disease in their population.9,10 Recently, Herman et al. reported high frequency of "deleterious variants" in the titin (*TTN*) gene in a large multicenter DCM cohort.11 Finally, Lackdawala et al. reported that subclinical DCM carriers of sarcomeric gene mutations have subtle abnormalities in systolic function, despite normal left ventricular size and ejection fraction, in contrast with HCM where early manifestations appear to affect the diastolic function.12 In spite of these genetic data, longitudinal clinical prognostic data of the impact of sarcomeric variants are lacking in DCM as compared to HCM.<sup>13</sup>

Here, using longitudinal clinical data, we performed a genotype–phenotype analysis to estimate the effect of rare sarcomeric variants in *MYH6, MYH7*, *MYBPC3*, *TNNT2*, and *TTN,* which were suspected to be pathogenic, on the natural history of a large cohort of DCM patients and their families

enrolled in the International Familial Cardiomyopathy Registry.

## Methods

#### **Patient population**

Our study population comprised 179 families, studied longitudinally at the University of Colorado Cardiovascular Institute and the Cardiovascular Department of the University Hospital of Trieste, Italy, and enrolled in the International Familial Cardiomyopathy Registry from 1988 (*Table 1*). Study subjects underwent physical examination, electrocardiogram, echocardiogram, and laboratory investigations according to the current familial DCM guidelines.13,14 When clinically indicated, additional studies were performed, including right and left heart catheterization, ventriculography, coronary angiography, endomyocardial biopsy, and neuromuscular evaluation. Genetic screening was systematically performed in the proband and any available affected individual from each family. The Registry has collected clinical and genetic data of study subject for over 20 years (1988–2013), and as new families are continuously added to the Registry, the number of families screened for each sequentially tested gene has increased over time (*Table 1*).

Criteria for the diagnosis of DCM were the presence of left ventricular fractional shortening <25% and/or an ejection fraction <45%, and left ventricular end-diastolic diameter >117% of the predicted value by the Henry formula.<sup>13,14</sup> Exclusion criteria included any of the following conditions: blood pressure >160/110 mmHg, obstruction >50% of a major coronary artery branch, alcohol intake >100 g/day, persistent high-rate supraventricular arrhythmia, systemic diseases, pericardial diseases, congenital heart diseases, cor pulmonale, and myocarditis. Familial DCM was defined by the presence of two or more affected subjects in

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**Table 1.** Demographic data of the study population.

the same family with DCM meeting the published criteria.<sup>13,14</sup> Informed consent was obtained from all enrolled subjects, and the study was approved by the respective institutional review committees.

## **Molecular genetic screening**

Blood samples were collected for DNA analysis and previously studied for rare variants in *MYH6* (GenBank accession no. NM\_002471 / P13533), *MYH7* (GenBank accession no. NM\_000257.2 / NP\_000248.2), *MYBPC3* (NM\_000256.3 / NP\_000247.2), *TNNT2* (NM\_001001430.1 / NP\_001001430.1) and *TTN* (NM\_133378 / NM\_00319 / NM\_133379 / Q8WZ42) by denaturing high performance liquid chromatography (DHPLC) or by Sanger sequencing. In families in which we found a putative disease-causing mutation, all available relatives were screened for segregation of the genetic variant. Criteria for classifying variants as putative disease-causing mutations included changes in predicted amino acid sequences, segregation within the family, conservation across different species (http://www.ncbi.nlm.nih. gov/BLAST/), absence in a control population of 150 subjects and >5,000 healthy ethnically similar subjects from public databases. Putative mutations were filtered by PolyPhen2, BDGP splice site detection software.15,16 and 1,000 Genome Project (http:// www.1000genomes.org/home). As the combination of testing healthy controls and bioinformatics analysis can suggest but not prove pathogenicity, the "putative mutations" are referred to hereafter as "rare variants."

#### **Effects of sarcomeric gene rare variants on prognosis**

To estimate the effect of sarcomeric gene rare variants on the natural history of DCM, we compared the long-term death/ heart transplantation event-free survival of subjects carrying rare variants to a population of 33 Registry patients in whom *MYH6, MYH7, MYBPC3*, *TNNT2,* and *TTN* genes were all tested and resulted negative for mutations. In the control group, there was one known *LMNA A/C* and one known *SCN5A* mutation carrier. The same comparison has been made between probands carrying and not carrying sarcomere gene rare variants. Finally, to replicate our analysis in an independent cohort, we compared the long-term survival between our population of sarcomeric gene variant carriers with a cohort of sarcomeric mutation carriers from seven other studies with similar diagnostic criteria and available outcome data8,17–22 (92 variant carriers).

#### **Statistical analysis**

Summary statistics of clinical and instrumental variables at enrollment were expressed as mean and standard deviation or count and percentage, as appropriate. Comparison between rare

variant carriers and noncarriers was made by the Anova Test on continuous variables and the Chi-square test for discrete variables. Event-free survival curves for death/heart transplantation were estimated and plotted using the Kaplan–Meier method and the log-rank test was applied in order to investigate for differences in long-term survival. To take into account the clustered failure times (i.e., relatives within families cannot be taken as entirely independent) a survival regression Cox model was also estimated, with "group" (with levels: carriers or noncarriers) as the unique covariate and the "family index" as a cluster indicator. Statistical analyses were performed with IBM SPSS Statistical Package 19.0 and the R statistical package version 2.14.1.

## Results

#### **Molecular genetics of sarcomeric genes in DCM**

The cohorts screened for sarcomeric variants were not of equal size as they were tested at different times; rare variant frequencies are reported by each screened cohort. We found 24 sarcomeric missense rare variants, accounting for 4.4% of tested families in *MYH6* (3/69; c.2489 C>T, p.P830L; c.3010 G>T, p.A1004S; 4369 G>A, p.E1457K), 4.4% in *MYH7* (3/67; c.2945 T>C, p.M982T; c.4300 C>T, p.R1434C; c.4498 C>T, p.R1500W), 1.8% in *MYBPC3* (3/168; c.649 A>G, p.S217G; c.1373 G>A, p.R458H; c.2870 C>G, p.T957S), 4.4% in *TNNT2* (3/68; c.391 C>T, p.R131W, and c.517 C>T, p.R173W the latter found in two nuclear families) and 8.8% in *TTN* (13/147; c.6247delG, p.R2883fs; c.91043delA, p.N30348fs; c.49077G>A, p.W16359X; c.51883G>A, p.R17295X; c.52408C>T, p.R17470X; c.53347G>T, p.E17783X; c.56953C>T, p.R18985X; c.79896G>A, p.W26632X; c.81046A>T, p.K27016X; c.87953G>A, p.w29318X; c.88242C>T, p.R29415X; c.50346+3A>G, p.K16782; c.53145\_53146insG, p.E17715fs). All rare variants were novel and suspected of being pathogenic or had previously been reported as mutations in DCM or HCM10,21–27,29) and were absent in control samples. The characteristics of the rare variants are shown in *Table* S1 and the pedigrees of familial DCM cases in *Figures* S1–S3.

#### **Genotype–phenotype correlation, natural history, and prognosis of sarcomeric genes in DCM**

*Table S2* reports the phenotypic characteristics of the 52 patients (probands and affected relatives) carrying a rare sarcomeric variant. The phenotypes of probands and first-degree relatives were frequently severe with 19 patients (36.5%) having ventricular arrhythmias, including two case of ventricular fibrillation. Furthermore outcomes were notably severe: 3 patients experienced sudden death, 6 pump failure death, and 8 required cardiac transplantation for refractory heart failure out of 21 major events. Supraventricular arrhythmias were also present in carriers,



**Table 2.** Comparison of clinical and echocardiographic characteristics at enrollment between sarcomeric gene rare variant carriers and noncarriers.

and could have influenced the development and progression of heart failure. Major hypokinetic arrhythmias were not detected, only three pacemaker were required (one was implanted in a patient with sick sinus syndrome; the second in a patient with 1st degree atrioventricular block, left bundle branch block and need of beta-blockers, later requiring heart transplant; the third in a patient with complete left bundle branch block).

Compared with noncarriers, carriers had higher left ventricular ejection fraction (LVEF) (37  $\pm$  15% vs. 29  $\pm$  12%,  $p = 0.03$ ), no other significant differences were found concerning age of disease onset, gender, heart failure symptoms, or echocardiographic features (*Table 2*). Although no differences in the overall long-term survival were found between carriers and noncarriers ( $p = 0.322$ ), in sarcomeric carriers death/HTx-free survival dramatically decreased after 50 years of age compared to noncarriers (83–38% vs. 81–66% at 50 and 75 years of age in carriers and noncarriers, respectively, *p* = 0.026) (*Figure 1*). Likewise, the hazard ratio estimated by the clustered Cox model was globally not significant (HR = 1.35, 95% CI: 0.28–5.93), but become highly significant after 50 years of age ( $HR = 3.74$ , 95% CI: 1.15–9.8). The same results were found while repeating the survival analysis on carrier and noncarrier probands only (*Figure 2*). Furthermore we performed a gene-centric analysis for *TTN* truncating rare variants without finding survival differences between the 26 carriers and the 133 noncarriers ( $p = 0.98$ ) (*Figure* S4); for the other four genes the gene-centric analysis was not possible for the exiguous number of carriers. Finally no significant differences in survival trends were found when we compared our carriers with those from seven other studies on sarcomere genes with similar available prognostic data present in literature.8,17–22 (*Figure S5*.)

## Discussion

## **Frequency and characteristics of sarcomeric gene rare variants in DCM**

In the present study, we evaluated a well-characterized cohort of DCM patients from the International Familial Cardiomyopathy Registry, extensively investigated from the clinical and genetic perspectives and followed longitudinally for over 20 years. We detected a frequency of rare variants suspected to be pathogenic between 1.5% and 8.8% in 5 sarcomeric genes (*MYH6, MYH7, MYBPC3, TNNT2,* and *TTN*), in agreement with an overall



Figure 1. Comparison of long-term natural history between 52 sarcomeric genes (*MYH6, MYH7, MYBPC3, TNNT2,* and *TTN)* rare variant carriers and noncarriers population (33 patients). Follow-up from birth to end-point/last follow-up evaluation. Survival rates (as percentages) are provided at ages 25, 50, and 75 years. D/HTx: death or heart transplant.

frequency of sarcomeric gene mutations between 4% and 8% as reported in the literature.4,9,10,12,14

Sarcomeric gene mutations are characterized by phenotypic heterogeneity, leading to a range of phenotypes including DCM, HCM, left ventricular noncompaction and restrictive cardiomyopathy, variable expressivity and overlapping phenotypes.4,28 In our study, a *MYBPC3* variant (p.R458H) was present in a family with DCM and unexplained muscular dystrophy, and the same mutation was previously found in HCM.29 Furthermore, the *TNNT2* variant (p.R131W) was found in our study in a family with a complex phenotype including DCM and left ventricular noncompaction and was previously reported as a pathogenic mutation causing DCM and causing altered protein interactions in a functional mammalian two-hybrid assay.<sup>21</sup> This variant is reported as pathogenic in dbSNP (rs74315380; OMIM 191045.0007-8; no frequency data available: absent in the NHLBI Exome Sequencing Project). Interestingly, this variant was not present in our proband's affected mother and was presumably inherited from his father, who was healthy by history but had no

of these variants has not been established. In particular, variant p.R131W, which had previously been reported in DCM,<sup>21</sup> did not segregate with the disease in the family. However, it should be emphasized that Bick et al. showed that in the general population of the Framingham Heart Study, sarcomere variants were associated with an increased risk for adverse cardiovascular events (hazard ratio: 2.3), suggesting that cardiovascular risk assessment in the general population can benefit from rare variant analysis.<sup>30</sup> Overall these findings strongly suggest that the sarcomere plays a primary pathogenic role in DCM. It should finally be noted that the statistical significance in outcome was identified in the subgroup analysis, requiring further validation. Future studies are also necessary to analyze the prognostic role and the genotype–phenotype correlation also of other emerging sarcomeric genes, to determine if the malignant prognosis we identified in our study is a common feature of the sarcomere in DCM, as previously shown for genes of the nuclear lamina.<sup>31-33</sup>



Figure 2. Comparison of long-term natural history between 25 probands carrying sarcomeric genes (*MYH6, MYH7, MYBPC3, TNNT2,* and *TTN)* rare variant carriers and noncarriers population (33 probands). Follow-up from birth to end-point/last follow-up evaluation. Survival rates (as percentages) are provided at ages 25, 50, and 75 years.  $D/HTx =$  death or heart transplant.

available family history data. The R131W variant may therefore represent a rare variant with reduced penetrance or a variant of unknown significance.

#### **Genotype–phenotype correlation**

The availability of extensive longitudinal clinical follow-up data in our patient cohort allowed us to provide the first assessment of the effect of sarcomeric mutations on the natural history of DCM. We found that carriers of sarcomeric gene rare variants represented a subgroup of DCM patients with a particularly severe phenotype characterized by a high frequency of ventricular arrhythmias and high incidence of cardiovascular events, major arrhythmia event and pump failure. In spite of a higher LVEF at enrollment, carriers showed a more rapid progression towards death or heart transplantation compared to the noncarriers between 50 and 75 years of age (rates of death or transplantation: 17% and 62% vs. 19% and 34% at 50 and 75 years in carriers and noncarriers, respectively). Furthermore, strengthening our results, the longterm survival of our carriers was not different when compared with carriers form the other studies in literature with available prognostic data on sarcomeric genes rare variants.<sup>8,17-22</sup>

#### **Study limitations**

A limitation of our study is the retrospective approach; however, the cohort studied includes extensive longitudinal data relying on data from over two decades. Due to continuous ascertainment of new families and the sequential nature of cardiomyopathy genes studied over the years, the number of subjects screened for each gene was not the same and the distribution of nonsarcomeric DCM gene mutations was not known for all samples. When used, DHPLC may have had lower sensitivity compared to direct sequencing. Furthermore, our DCM cohort, enrolled in tertiary referral heart failure centers could represent a more severe group of DCM patients than the general DCM population due to selection bias. As discussed above, it should be noted that the causal role of some **Conclusions** 

The extensive period of enrollment and follow-up represent unique features of this study, providing valuable insight into the clinical impact of sarcomeric gene rare variants on the natural history of DCM. A poorer clinical outcome after the fifth decade of life was evident in sarcomeric rare variant carriers. These findings have important clinical implications for the management of DCM, risk stratification, and prognostic assessment. Indeed, systematic genetic testing, which has recently been endorsed for cardiomyopathies, can add prognostic information and improve the management of patients who are found mutation carriers toward more aggressive therapeutic and follow-up strategies in order to improve their natural history.<sup>4,14</sup>

# **Conflicts of Interest**

None.

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#### Supporting Information

Additional Supporting Information may be found in the online version of this paper.

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