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A Multicenter Phase II Study of Ganetespib Monotherapy in Patients with Genotypically Defined Advanced Non–Small Cell Lung Cancer

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Disclosure of Potential Conflicts of Interest

M.A. Socinski has a commercial research grant and is a consultant/ advisory board member of Synta. I. El-Hariry is employed (other than primary affiliation; e.g., consulting) as a VP of clinical research at Synta Pharmaceuticals. M. Koczywas has honoraria from speakers' bureau from Pfizer and Genentech and has ownership interest (including patents) in Pfizer. V. Vukovic is employed (other than primary affiliation; e.g., consulting) as a chief medical officer at Synta Pharmaceuticals. L.V. Sequist is a consultant/advisory board member of Clovis Oncology, Boehringer Ingelheim, Merrimack Pharmaceuticals, Daiichi-Sankyo, and GSK. P. Bonomi is a consultant/advisory board member of Synta. J.R. Brahmer is a consultant/advisory board member of Synta. A.B. Sandler has a commercial research grant and is a consultant/advisory board member of Synta. H. Harper has honoraria from speakers' bureau and is a consultant/advisory board member of Lilly. K.-K. Wong has a commercial research grant from Synta. F. Teofilovici is employed (other than primary affiliation; e.g., consulting) as a senior director of clinical research at Synta Pharmaceuticals. W. Guo is employed (other than primary affiliation; e.g., consulting) as a biostatistician at Synta Pharmaceutical Corp. G.I. Shapiro has a commercial research grant and is a consultant/advisory board member of Synta Pharmaceuticals. No potential conflicts of interest were disclosed by the other authors.

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Abstract

Purpose—Ganetespid is a novel inhibitor of the heat shock protein 90 (Hsp90), a chaperone protein critical to tumor growth and proliferation. In this phase II study, we evaluated the activity and tolerability of ganetespid in previously treated patients with non–small cell lung cancer (NSCLC).

Experimental Design—Patients were enrolled into cohort A (mutant *EGFR*), B (mutant *KRAS*), or C (no *EGFR* or *KRAS* mutations). Patients were treated with 200 mg/m² ganetespid by intravenous infusion once weekly for 3 weeks followed by 1 week of rest, until disease progression. The primary endpoint was progression-free survival (PFS) at 16 weeks. Secondary endpoints included objective response (ORR), duration of treatment, tolerability, median PFS, overall survival (OS), and correlative studies.

Results—Ninety-nine patients with a median of 2 prior systemic therapies were enrolled; 98 were assigned to cohort A ($n = 15$), B ($n = 17$), or C ($n = 66$), with PFS rates at 16 weeks of 13.3%, 5.9%, and 19.7%, respectively. Four patients (4%) achieved partial response (PR); all had disease that harbored anaplastic lymphoma kinase (*ALK*) gene rearrangement, retrospectively detected by FISH ($n = 1$) or PCR-based assays ($n = 3$), in crizotinib-naïve patients enrolled to cohort C. Eight patients (8.1%) experienced treatment-related serious adverse events (AE); 2 of these (cardiac arrest and renal failure) resulted in death. The most common AEs were diarrhea, fatigue, nausea, and anorexia.

Conclusions—Ganetespid monotherapy showed a manageable side effect profile as well as clinical activity in heavily pretreated patients with advanced NSCLCs, particularly in patients with tumors harboring *ALK* gene rearrangement.

Introduction

Lung cancer remains the leading cause of cancer-related deaths worldwide, with non–small cell lung cancer (NSCLC) representing 80% to 85% of cases (1). For the majority of patients, platinum-based regimens remain the mainstay of treatment with a modest effect on overall survival (OS; refs. 2, 3). Several classes of targeted therapies have been developed in molecularly defined subsets of NSCLCs, most notably those expressing mutant *EGFR* (4) or re-arranged *ALK* (5). The *EGFR* inhibitors gefitinib and erlotinib are currently integrated into the standard of care for the treatment of mutant *EGFR* disease (6). Crizotinib, an *ALK* tyrosine kinase inhibitor, was recently granted accelerated approval in the United States for the treatment of patients with *ALK*-positive NSCLCs. This indication is based on phase I and II studies showing a high objective response rate (ORR) of greater than 50% (7). In a retrospective analysis of nonrandomized *ALK*-positive patients, crizotinib was associated with improved survival compared with that of crizotinib-untreated *ALK*-positive controls (8), although prospective data addressing this issue will likely never be available (9).

Heat shock protein 90 (Hsp90) is an attractive therapeutic target, given its ability to inhibit multiple pathways that are biologically relevant in NSCLCs (10). Hsp90 belongs to a class of molecular chaperone proteins that plays a central role in the assembly of multiprotein chaperone complexes and regulates the folding, stability, and function of many client proteins that are oncogenic drivers of lung adenocarcinoma subsets, such as mutant *EGFR* (11), wild-type c-RAF (12), mutant BRAF (13, 14), wild-type and mutant HER2 (15, 16), as

well as the EML4-ALK translocation product (17, 18). Inhibition of Hsp90 depletes these kinases from cancer cells and disrupts signaling pathways critical for proliferation and survival (19). In cell lines and associated xenografts, mutant EGFR and ALK proteins retain sensitivity to Hsp90 inhibition irrespective of secondary gatekeeper mutations that confer resistance to erlotinib or crizotinib, respectively (20–22). *KRAS*-mutant NSCLC cell lines are also highly sensitive to Hsp90 inhibitors, possibly related to their dependence on c-RAF-mediated signaling (23, 24). Hsp90 inhibitors have induced marked regressions in genetically engineered mouse models driven by mutant EGFR, *KRAS*, or EML4-ALK; responses in these models are short-lived, although more durable in mice with *ALK*-rearranged lung cancer (17, 20, 23).

These preclinical observations have prompted the clinical assessment of Hsp90 inhibitors in NSCLCs. In a recent phase II study, 76 patients with metastatic NSCLCs were treated with single-agent retaspimycin hydrochloride (IPI-504). Partial responses were observed in 5 patients, including 1 of 28 with tumor harboring mutant *EGFR* and 2 of 3 with rearranged *ALK*. The third patient with *ALK*-positive disease achieved prolonged disease stability (25).

Ganetespib (STA-9090), 5-[2,4-dihydroxy-5-(1-methylethyl)phenyl]-2,4-dihydro-4-(1-methyl-1*H*-indol-5-yl)-3*H*-1,2,4-triazole-3-one, is a novel triazolone heterocyclic Hsp90 inhibitor (26). Preclinical studies with this compound revealed potent Hsp90 inhibition and activity against a range of cancer models including lung, prostate, colon, breast, melanoma, and leukemia (27–29). In phase I and II studies, single-agent ganetespib has shown good tolerability, with fatigue and diarrhea as manageable side effects. Importantly, there have been no consistent hepatic or ocular toxicities that currently complicate the development of other agents in this class (30).

This study was undertaken to evaluate the clinical activity of ganetespib monotherapy in previously treated patients with molecularly defined NSCLCs, including those with tumors harboring mutant *EGFR*, mutant *KRAS*, or tumors lacking these mutations.

Patients and Methods

Study design

This nonrandomized, open-label multicenter study was conducted in 19 centers in the United States. The primary objective was to assess the effect of ganetespib on progression-free survival (PFS) at 16 weeks in patients with advanced NSCLCs. Secondary objectives were ORR, disease control rate (DCR) at 8 and 16 weeks, median PFS, safety and tolerability, OS, and molecular markers associated with clinical outcome.

The study protocol was approved by the Institutional Review Board at each institution and was conducted according to the recommendations of Good Clinical Practice. The study is registered at www.clinicaltrials.gov (NCT01031225).

Eligibility criteria

Patients were enrolled into one of 3 cohorts: cohort A, mutant *EGFR*; cohort B, mutant *KRAS*; and cohort C, no known *EGFR* or *KRAS* mutations. Patients' tumors were prospectively screened for *EGFR* or *KRAS* mutation for enrollment into cohort A or B, respectively. Patients with disease without an identified *EGFR* or *KRAS* mutation were assigned to cohort C.

Eligible patients had pathologically confirmed stage IIIB/IV NSCLCs, measurable disease with documented disease progression at baseline, and were ≥18 years of age with Eastern Cooperative Oncology Group (ECOG) performance status (PS) ≤2. Adequate hematologic,

renal, and hepatic function and ventricular ejection fraction $\geq 50\%$ were required. Patients may have received at least 1 prior therapy, which included an EGFR-tyrosine kinase inhibitor (TKI; cohort A only, unless patients had tumor harboring mutation with known *de novo* EGFR-TKI resistance) or platinum doublet (cohorts B and C). Clinically and radiologically stable brain metastases were allowed. Exclusion criteria included baseline QTc > 470 msec or known serious cardiac illness. All patients gave written informed consent according to Institutional and Federal Guidelines.

Study treatment

All patients were treated with 200 mg/m² ganetespib once weekly by intravenous infusion for 3 consecutive weeks followed by a 1-week dose-free interval. Dose delays and reductions were permitted for grade III or IV ganetespib-related toxicities. Treatment with ganetespib continued until disease progression, unacceptable toxicity, or patient consent withdrawal.

Study assessments

Patients' demographics and medical history were recorded at baseline. Safety assessments were conducted at baseline and weekly during treatment. ECG was conducted at baseline and predose on day 1 of each cycle to evaluate for QTc prolongation. Adverse events (AE) were assessed at baseline and weekly during treatment, and toxicity was graded using National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE), version 4.0 (31).

Clinical activity was assessed by computed tomographic (CT) scans at baseline and every 8 weeks thereafter. Tumor responses were categorized per Response Evaluation Criteria in solid Tumors (RECIST), v1.1 (32), with confirmation of responses conducted at least 4 weeks later.

Correlative biomarker analyses

For prospective assignment to appropriate cohorts, *EGFR* and *KRAS* mutational status were determined by direct sequence analysis of cDNA extracted from formalin-fixed, paraffin-embedded archival tumor samples in all patients (Caris Life Sciences).

Additional retrospective biomarker testing included gene mutational analysis, *EGFR* amplification, and *ALK* rearrangement, all contingent on remaining tissue availability. Detailed procedures are provided in the Supplementary Methods. Briefly, gene mutational analysis was conducted on DNA extracted from archived tumor samples on the Sequenom Mass ARRAY platform (53 genes; 649 mutations) according to the manufacturer's protocol; assays were conducted by the Translational Research Laboratory at Oregon Health & Science University (OHSU), Portland, OR. *EGFR* gene amplification in tissues from cohort C only was determined by FISH using Abbott probes for *EGFR* (LSI EGFR 7p12, red) with an identifier probe for the chromosome 7 centromeric region (7p11.1-q11.1 Alpha Satellite DNA, green). One hundred interphase cells were scored for each sample. Assays were conducted by the OHSU Research Cytogenetics Laboratory.

At the time the study was conducted, there was no gold-standard test for detection of *ALK* translocations; therefore, 3 different assays were evaluated in samples from cohort C: (i) break-apart FISH (OHSU Research Cytogenetics Laboratory), (ii) quantitative nuclease protection array (qNPA) conducted by High Throughput Genomics (HTG), which detects mRNA encoding EML4-*ALK* variants 1, 2, 3a, 3b, 4, 5a, 5b and 6; and (iii) real-time quantitative PCR (RT-qPCR) carried out by Insight Genetics, which detects the presence of

any of the 11 different EML4-ALK variants and non-EML4 fusions partners (TFG and KIF5B) that have been reported in NSCLCs.

Statistical analysis

The primary endpoint of the study was PFS rate at 16 weeks defined as the proportion of patients alive and free of disease progression per RECIST. The choice of the PFS rate as the primary endpoint was based on preliminary data from phase I trials with ganetespib, in which prolonged disease stabilization was observed in several patients. Therefore, the use of a standard cytotoxic trial design based on ORR as a primary endpoint was considered inadequate, as it could lead to rejection of a potentially active agent. In heavily treated patients with NSCLCs, PFS is now considered as an optimal surrogate endpoint for assessing the activity of new agents (33). Secondary endpoints were DCR, median PFS, ORR, treatment duration, OS, tolerability, and biomarker analysis. The study was designed as a Simon 2-stage; if 2 of 14 patients in each cohort were progression-free at 16 weeks, then the cohort would be expanded to 23 patients. At the end of stage 2, the treatment would be declared to have a substantial activity (35%) in a cohort if at least 5 of 23 patients were progression-free at 16 weeks. This design provides 90% statistical power to detect a difference of 25 percentage points (35% vs. 10%) in PFS rate at 16 weeks with a significance level less than 0.1.

As with the other 2 cohorts, cohort C was planned as a 2-stage design. On the basis of the preliminary signal of clinical activity, cohort C was enriched with patients with adenocarcinoma histology. A protocol amendment allowed enrollment of approximately 35 additional patients with adenocarcinoma to cohort C to more accurately determine the PFS rate in this population (with a significance level less than 0.01). Therefore, the total number of patients required in cohort C was 58.

The primary analysis was based on both the intent-to-treat (ITT) population (patients who received at least 1 dose), and the evaluable population (patients who received at least one treatment cycle and had one follow-up scan). The safety population included all patients receiving at least one dose of ganetespib. All analyses were conducted using SAS statistical software, version 9.1 (SAS Institute,).

Results

Patient characteristics

Ninety-nine patients were enrolled between December 2009 and May 2011 and were assigned to cohort A ($n = 15$), B ($n = 17$), or C ($n = 66$), with one patient of unknown mutational status not assigned to any cohort. Their baseline characteristics are shown in Table 1. Each cohort required enrollment of 14 patients in stage 1; however, cohorts A and B were overenrolled by 1 and 3 patients, respectively. Similarly, following the stage 2 expansion phase, cohort C enrolled a total of 66 patients, 8 more than required. Overenrolled patients had signed informed consent and were already in screening at the time the cohorts were filled; therefore, it was deemed unethical to not allow their participation. Nine patients did not meet the eligibility criteria due to abnormal baseline serum chemistry ($n = 6$), insufficient cardiac function ($n = 2$), or unknown *EGFR* or *KRAS* mutational status ($n = 1$). All 99 patients were included in the ITT analysis for efficacy and safety analysis. The majority of patients were heavily pretreated, with 19 patients (19.2%) receiving at least 3 prior systemic therapies. All patients had documented progressive disease (PD) at baseline. Compared with the other cohorts, patients in the mutant *KRAS* cohort had the shortest interval since diagnosis of advanced disease and trial enrollment (median, 10.8 months, compared with 33, and 16.7 months in cohorts A and C, respectively; Table 1). The main

reasons for treatment discontinuation were disease progression ($n = 64$, 64.7%), consent withdrawal ($n = 9$, 9.1%), and investigator's decision ($n = 6$, 6.1%).

Clinical activity and biomarker analyses

The planned analysis of activity was conducted when all enrolled patients were followed for at least 16 weeks. Both cohort A (mutant *EGFR*) and cohort B (mutant *KRAS*) were terminated following stage 1, due to lack of clinical activity per the protocol prespecified criteria (Table 2). In cohort A, disease stabilization was achieved in 40% of patients; however, the PFS rate at 16 weeks was only 13.3% (2 of 15). In this cohort, all patients but one received and failed prior EGFR-TKI therapy; the EGFR-TKI-naïve patient had tumor harboring exon 20 insertion mutation. All patients had evidence of *EGFR* mutation; however, as no biopsies had been taken at progression following prior EGFR-TKI treatment, it remains unknown whether their tumors had acquired resistance via secondary *EGFR* T790M mutation or another mechanism.

In cohort B (mutant *KRAS*), 47% of patients (8 of 17) had tumor shrinkage (Fig. 1A), although there were no objective responses observed. The 16 week PFS rate was 5.9% (1 of 17; Table 2).

In cohort C, 2 patients (2 of 14) in stage 1 were progression-free at 16 weeks. Thus, the cohort proceeded to stage 2, after which 5 of 23 patients were progression-free at 16 weeks, before ultimately expanding, following a protocol amendment, to a final total of 66 patients. The PFS rate at 16 weeks was 19.7% (13 of 66; Table 2). Partial response was achieved in 4 patients (6.1%), and all had disease that harbored *ALK* rearrangement. Of the 66 patients, 20 (30.3%) showed evidence of tumor regression, which included the 4 patients with partial response (Fig. 1A).

Median PFS was 1.9 months [95% confidence interval (CI), 1.6–3.6] for cohort A, 1.9 months (95% CI, 1.6–3.7) for cohort B, and 1.8 months (95% CI, 1.8–2.9) for cohort C (Fig. 1B). Median OS was 7.1 months (95% CI, 5.2–14.3), 11 months (95% CI, 3.9–17.1), and 8.8 months (95% CI, 4.4–10.5) for cohorts A, B and C, respectively (Table 2).

Archival tissue was obtained from 59 of the 99 enrolled patients in all cohorts distributed as follows: cohort A, $n = 7$; cohort B, $n = 12$; and cohort C, $n = 40$. Retrospective gene mutational analysis was negative for additional mutations beyond *EGFR* and *KRAS* in 30 of the 59 patients, whereas the remaining 29 samples failed processing due to insufficient tumor material. Testing for *EGFR* amplification was also negative, although *EGFR* polysomy was noted in 4 patients, all in cohort C.

Of the 40 tumor samples obtained in cohort C, sufficient tissue for retrospective *ALK* biomarker testing was available from only 23. These 23 patients were tested for the presence of *ALK* gene rearrangement using the break-apart FISH assay and/or 2 PCR platforms (Fig. 1C). Fifteen of 23 samples had sufficient tumor cells for only one assay, whereas the remaining 8 had enough tumor cells for testing with 2 or 3 assays. Eight of the 23 samples tested showed *ALK* positivity (FISH, $n = 2$; PCR, $n = 6$), all from patients who were crizotinib-naïve. Four of the 8 patients achieved partial response, with treatment duration ranging from 7.4 to 21 months (Fig. 1C, Table 3). Of the remaining 4 *ALK*-positive patients, 3 patients achieved stable disease (SD) as the best response, with a duration ranging from 121 to 218 days and one patient experienced disease progression (Table 3). Median PFS was 8.1 months, significantly longer than for patients without *ALK* rearrangement (HR, 0.223; 95% CI, 0.085–0.582; Fig. 1D).

There were 2 additional patients enrolled in cohort C with tumors already known to harbor *ALK* rearrangement who had received prior crizotinib therapy. One patient was treated with crizotinib for 10 months before disease progression. The second patient had a brief, unconfirmed response to crizotinib; subsequent tumor sampling showed a secondary L1152R mutation (34). Both patients showed outright disease progression on ganetespib treatment.

Safety

Twenty-five patients (25.3%) received at least 4 treatment cycles, with 14 (14.1%) remaining on study >6 months, showing the long-term tolerability of ganetespib in some patients. Thirty-four patients (34.3%) experienced serious AEs (SAE), although only in 8 patients (8.1%) were the events considered treatment-related (one each of asthenia, atrial fibrillation, cardiac arrest, diarrhea, fatigue, increased lipase, renal failure, and vomiting). Ten (10.1%) deaths were reported during the study; 2 (2%) were considered treatment-related. One patient with a centrally located squamous cell carcinoma presented with severe hemoptysis and subsequent severe anemia leading to cardiac arrest. The second patient presented with rapidly progressive widespread disease and developed intravascular volume depletion 1 week into treatment, precipitating acute renal failure. Although the primary cause of death was attributed to complications from NSCLCs, the event of acute renal failure was still assessed as possibly related to the study drug. The ganetespib dose was modified in a total of 48 patients (48.5%), mainly due to AEs ($n = 30$, 30.3%). AEs led to treatment discontinuation in 8 patients (8.1%). The majority of drug-related AEs were grade I and II and the overall incidence of grade III and IV was 29.3%. Gastrointestinal disorders comprised the majority of toxicities reported in nearly all patients ($n = 92$, 92.9%), including diarrhea (81.8%) and nausea (41.4%; Table 4). Elevated hepatic enzymes were infrequent and generally grade I or II. Fourteen (14.1%), 11 (11.1%), and 10 (10.1%) patients had transient alkaline phosphatase (ALP), ALT, and AST elevation, respectively; of these, 1 (1%) and 3 (3%) patients had grade III ALT and AST elevations that were considered related to ganetespib. Five patients (5.1%) had hyperbilirubinemia, mainly grade 1 ($n = 4$); 1 event was considered treatment-related. No instances of ganetespib-related visual disturbances were reported.

Discussion

This multicenter study evaluated the clinical activity and toxicity of ganetespib in molecularly defined cohorts of patients with advanced NSCLCs. Durable objective responses and disease stabilization occurred in the majority of patients with disease harboring *ALK* gene rearrangement who were crizotinib-naïve. In NSCLCs, *ALK* rearrangement results in the expression of one of several variants of the EML4-*ALK* fusion protein, which results in a constitutively active *ALK* kinase capable of activating downstream signaling cascades that promote cell proliferation and survival (5, 35, 36). In preclinical studies, *ALK* inhibition has been shown to induce cell death and tumor regression (17, 36, 37). The data from this trial and the recent study of IPI-504 suggest that in addition to direct tyrosine kinase inhibition, *ALK* can be disabled by Hsp90 inhibition, confirming preclinical predictions (17, 18). The Hsp90-inhibitory activity of ganetespib is further validation for the clinical value of this class of drugs in *ALK*-positive disease.

The study enrolled 2 patients with acquired crizotinib resistance who did not respond to treatment with ganetespib; 1 patient had a tumor with a documented secondary mutation (34). In addition to gatekeeper mutations, other *ALK*-independent mechanisms, including the activation of compensatory signaling pathways, may confer resistance to targeted *ALK*

agents (38). Further work will be necessary to determine whether ganetespib has a role in the treatment of any subsets of crizotinib-resistant disease.

At the time of the retrospective archival tumor analysis, breakapart FISH was not yet considered as the gold standard for detecting *ALK* rearrangements, which prompted our exploration of other diagnostic technologies. Our results are hypothesis-generating and suggest the use of these tests for detection of *ALK* rearrangement. Indeed we showed that in 3 of the 4 patients who achieved objective responses, *ALK* rearrangement was detected by a PCR-based assay. Although FISH is now the most established modality, the assay is costly and technically challenging (39). RT-PCR assays remain under development, and ultimately, these techniques may be combined with immunohistochemistry (IHC) for optimal clinical testing (40). Of note, discordance among multiple assays has been reported, with RT-PCR offering the greatest sensitivity (41). In addition, RT-PCR may facilitate detection of specific *EML4-ALK* variants that may be important for predicting Hsp90 inhibitor response (42).

Although archival tumor tissue was procured from approximately 60% of the patients in the study, sufficient tissue suitable for retrospective biomarker analysis was present in only around half of these samples. In this analysis, the sensitivity of *ALK* RT-PCR was critical because archival samples of limited size were subjected to multiple genomic analyses besides those aimed at detecting *ALK* rearrangement. In several samples, only one *ALK* assay could be conducted. This represents a limitation of the study and highlights the need for mandating tissue collection in future clinical trials. Furthermore, rebiopsy of patients following disease progression on TKIs to determine mechanisms of acquired resistance will be important to maximize information gained when they are subsequently treated with additional molecularly targeted agents.

In contrast to the promising signal of activity in *ALK*-positive disease, the study did not meet the protocol criteria for expansion of the initial mutant *EGFR* and mutant *KRAS* cohorts. Nearly all patients in the mutant *EGFR* cohort received prior anti-*EGFR* therapy, so that secondary *EGFR* mutations conferring erlotinib resistance or activation of alternative signaling pathways likely occurred. However, preclinical data predicted that *EGFR*s with secondary mutation as well as other receptor tyrosine kinases activated in erlotinib-resistant cells would be sensitive to degradation after Hsp90 inhibitor exposure (27). Alternatively, induction of small cell histologic changes or epithelial–mesenchymal transition may have been present in some cases (43) and may have contributed to the lack of durable clinical activity seen with ganetespib.

Importantly, preclinical pharmacodynamic modeling with NCI-H1975 (*EGFR* L858R/T790M) xenografts has shown that although mutant *EGFR* is depleted by a single dose of ganetespib, reexpression occurs by 72 hours (44). Therefore, once-weekly dosing may be insufficient to suppress mutant *EGFR* signaling durably so that apoptosis is induced. Currently, more intense dosing schedules of ganetespib remain under development, including twice-weekly and consecutive day dosing; it will be of interest to determine whether efficacy can be improved against *EGFR*-mutant disease with alternative administration schedules.

Accumulating evidence suggests that the interaction of client proteins with the Hsp90 chaperone is a multifaceted process, with some kinases forming stable heterocomplexes with the chaperone machinery and others forming more dynamic complexes that are more readily disassembled and in which the client is more modestly ubiquitinated (45). These differences may contribute to the hierarchy of sensitivity of clients to degradation that has been

described, with EML4-ALK as exquisitely sensitive, undergoing more rapid and sustained degradation than mutant EGFR following Hsp90 inhibition (18).

Ganetespiib also showed only modest clinical activity in mutant *KRAS* disease, despite the significant sensitivity of *KRAS*-mutant NSCLC cell lines. Nonetheless, regressions occurred, which is provocative in this population. Interestingly, Hsp90 inhibitors have shown limited efficacy in genetically engineered *KRAS*-driven models of lung adenocarcinoma (23). *RAS*-driven cancers are dependent upon tightly regulated levels of reactive oxygen species (ROS; ref. 46). Hsp90 inhibition has been shown to cause production of ROS, producing endoplasmic reticulum (ER) stress. Elevated ROS can be buffered by mTOR-dependent glucose-6-phosphate dehydrogenase activity that promotes accumulation of reduced glutathione. mTOR inhibition has been shown to suppress glutathione production, so that combined inhibition of Hsp90 and mTOR has been shown synergize preclinically, precipitating irresolvable ER stress and catastrophic cellular damage (47). In this regard, a trial combining ganetespiib and rapamycin is being planned.

Ganetespiib caused toxicities that were primarily of grade I or II severity. Diarrhea was the most commonly reported side effect, consistent with the phase I and II experience (30), and was readily manageable with loperamide or diphenoxylate atropine. Diarrhea has been reported with both geldanamycin and non-geldanamycin Hsp90 inhibitors (48). It is not known whether this is an on-target effect but could possibly be linked to degradation of EGFR in the gut (49). Subsequent studies with ganetespiib are incorporating prophylactic management of diarrhea. In contrast to the geldanamycins, severe liver function test abnormalities were uncommon with ganetespiib. Importantly, ongoing preclinical evaluations suggest that the physiochemical properties of ganetespiib likely result in lower retinal/plasma concentrations and more efficient retinal elimination than other Hsp90 inhibitors, accounting for the lack of ocular toxicity.

In summary, the side effect profile of once-weekly ganetespiib observed in phase I trials was confirmed and considered manageable in the advanced NSCLC population. Ganetespiib showed encouraging single-agent activity in patients with *ALK*-rearranged disease, with a response rate of 50%. Of the 4 partial responses, one was *ALK*-positive as detected by FISH and the remaining 3 were identified by PCR. The FISH assay is currently the gold-standard test; however, PCR may be more sensitive in detecting *ALK* rearrangement. On the basis of the findings presented here, a phase II study of ganetespiib monotherapy in patients with crizotinib-naïve *ALK*-positive disease has recently been initiated (NCT01562015). This trial will further explore the use of various platforms for *ALK* testing (IHC, PCR, and FISH) and will prospectively define the activity of ganetespiib in this population.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Translational Relevance

Heat shock protein 90 (Hsp90) inhibitors have shown preclinical activity against non-small cell lung cancer (NSCLC) models, including those driven by mutant *EGFR*, rearranged *ALK*, or mutant *KRAS*. Ganetespib is a potent triazolone heterocyclic Hsp90 inhibitor, well-tolerated in phase I studies. This 2-stage phase II study investigated the activity of weekly ganetespib in 3 cohorts of patients with advanced NSCLCs, whose tumors were prospectively genotypically characterized for the presence of *EGFR* or *KRAS* mutation or the absence of these mutations. Ganetespib showed activity in the nonmutant *EGFR* or *KRAS* cohort, specifically among crizotinib-naïve patients whose tumors harbored *ALK* rearrangement. Among 8 such patients, there were 4 partial responses and a median progression-free survival of 8.1 months, justifying further study of ganetespib in *ALK*-rearranged NSCLCs. The initial mutant *EGFR* and *KRAS* cohorts did not meet criteria for further expansion. Alternative ganetespib schedules and combinatorial approaches may be required for these NSCLC subsets.

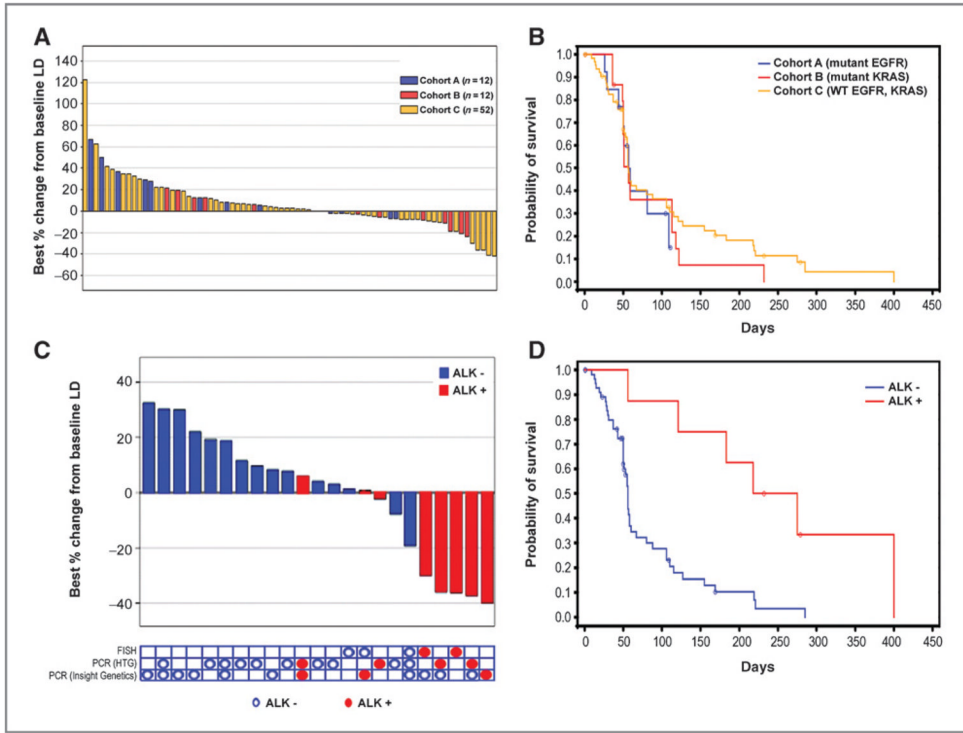


Figure 1. Clinical activity with ganetespiib. A, best response in all cohorts. B, Kaplan–Meier curve for PFS in each cohort. C, changes in tumor size in cohort C patients with ($n = 8$) and without ($n = 15$) *ALK* rearrangement. Of all patients in this cohort, the 23 shown had sufficient tissue for assessing *ALK* gene rearrangement status. D, Kaplan–Meier curve for PFS in patients with ($n = 8$) versus without ($n = 15$) *ALK* rearrangement.

Table 1

Patients' characteristics at baseline

	Number (%) of patients			
	Cohort A n = 15 (%)	Cohort B n = 17 (%)	Cohort C n = 66 (%)	Total ^a n = 99 (%)
Age, y				
Median (range)	60 (50–79)	64 (28–76)	62 (24–82)	61 (24–82)
Gender				
Male	5 (33.3)	4 (23.5)	38 (57.6)	47 (47.5)
Female	10 (66.7)	13 (76.5)	28 (42.4)	52 (52.5)
Race, n (%)				
White	8 (53.3)	14 (82.4)	54 (81.8)	76 (76.8)
Black	0	2 (11.8)	6 (9.1)	8 (8.1)
Other	7 (46.7)	1 (5.9)	6 (9.1)	15 (15.1)
ECOG PS, ^b n (%)				
0	6 (40)	4 (23.5)	16 (24.2)	26 (26.3)
1	9 (60)	13 (76.5)	48 (72.7)	71 (71.7)
Histology, n (%)				
Squamous	0	2 (11.8)	5 (7.6)	7 (7.1)
Adenocarcinoma	15 (100)	15 (88.2)	58 (87.9)	89 (89.9)
Large cell	0	0	2 (3)	2 (2)
Adenosquamous	0	0	0	0
NOS	0	0	1 (1.5)	1 (1)
Stage at study entry, n (%)				
IIIb	2 (13.3)	0	0	2 (2)
IV	13 (86.7)	17 (100)	66 (100)	97 (98)
Time since diagnosis of advanced NSCLC to consent, mo				
Median (range)	33 (5.2–80.4)	10.8 (0.5–27.3)	16.7 (3.3–98.3)	16.7 (0.5–98.3)
Prior systemic therapies				
Median (range)	2 (1–6)	2 (1–4)	2 (1–10)	2 (1–10)

Abbreviation: NOS, not otherwise specified.

^aOne enrolled patient had an unknown mutation status and was not assigned to a cohort.^bTwo patients had missing information.

Table 2

Investigator-evaluated assessment of response

ITT population	Cohort A <i>n</i> = 15 (%)	Cohort B <i>n</i> = 17 (%)	Cohort C <i>n</i> = 66 (%)
Best response, ^a <i>n</i> (%)	0	0	4 (6.1)
CR	0	0	0
PR	0	0	4 (6.1)
SD	6 (40)	6 (35.3)	26 (39.4)
PD	7 (46.7)	7 (41.2)	26 (39.4)
Nonevaluable ^b	2 (13.3)	4 (23.5)	10 (15.2)
DCR (8 wk) ^c	4 (26.7)	5 (29.4)	28 (42.4)
PFS rate at 16 wk	2 (13.3)	1 (5.9)	13 (19.7)
PFS, median (95% CI), mo	1.9 (1.6–3.6)	1.9 (1.6–3.7)	1.8 (1.8–2.9)
OS, median (95% CI), mo	7.1 (5.2–14.3)	11.0 (3.9–17.1)	8.8 (4.4–10.5)

^aInitial assessment at 8 weeks from treatment start with confirmation assessment at least 4 weeks later.

^bPatients discontinuing treatment before the first posttreatment scans were considered nonevaluable. Reasons were: AEs (*n* = 4); death (*n* = 3); investigator decision (*n* = 2), protocol violation (*n* = 2); symptomatic deterioration (*n* = 1); and withdrawal of informed consent (*n* = 4).

^cDCR: CR and PR and SD 8 weeks.

Table 3

Demographics of patients harboring ALK rearrangement

Patient ID	Age/gender	Regimen number/treatment	Treatment duration, mo	Best overall response
0660-6021	57/M	1. Carboplatin, pemetrexed	1.2	UNK
		2. Carboplatin, paclitaxel	2	UNK
		3. Cisplatin, gemcitabine	1	UNK
		4. Ganetespi	21 (ongoing)	PR
0059-6005	79/M	1. Bevacizumab, carboplatin, paclitaxel	5	SD
		2. Bevacizumab	19	SD
		3. Erlotinib	10	SD
		4. Carboplatin, pemetrexed	6	PD
		5. Ganetespi	14.8	PR
0654-6006	67/M	1. Bevacizumab, carboplatin, paclitaxel	2.8	SD
		2. Sunitinib	1.4	PD
		3. Ganetespi	8.8	PR
0660-6016	68/M	1. Celebrex or placebo, erlotinib	1.8	PD
		2. Pemetrexed, carboplatin	2.8	SD
		3. Ganetespi	7.4	PR
0059-6003	68/M	1. Pemetrexed, carboplatin	3.5	SD
		2. Ganetespi	11.7	SD
0002-6019	70/M	1. Cisplatin, vinorelbine	2.8	SD
		2. Pemetrexed	2.5	SD
		3. Ganetespi	5.8	SD
0002-6016	64/F	1. Pemetrexed, carboplatin	0.9	PD
		2. Vinorelbine	0.9	PD
		3. Gemcitabine	4.1	SD
		4. Docetaxel	3.5	SD
		5. AZD6244 (investigational)	4.1	SD
		6. Ganetespi	3.0	SD
0652-6003	44/F	1. Carboplatin, gemcitabine	2.3	PD
		2. Erlotinib	2.2	PD
		3. Bevacizumab, pemetrexed	3.9	PD
		Pemetrexed	17.7	PD
		Bevacizumab	7.6	PD
		4. Bevacizumab, erlotinib	1.4	PD
		Erlotinib	0.7	PD
5. Xytotax	0.7	PD		
6. Paclitaxel	7.0	PD		
7. Ganetespi	1.3	PD		

Abbreviations: F, female; M, male; UNK, unknown.

Table 4

AEs reported in 10% of patients in the safety population, regardless of causality

Any event	Number (%) of patients ^a	
	Any grade, n (%)	Grade III and IV, n (%)
	98 (99)	63 (63.6)
Diarrhea	81 (81.8)	8 (8.1)
Fatigue	57 (57.6)	14 (14.1)
Nausea	41 (41.4)	0
Decreased appetite	37 (37.4)	0
Constipation	26 (26.3)	0
Dyspnea	25 (25.3)	12 (12.1)
Vomiting	21 (21.2)	0
Back pain	20 (20.2)	4 (4)
Cough	20 (20.2)	0
Hyponatraemia	18 (18.2)	10 (10.1)
Weight decreased	18 (18.2)	0
Dehydration	17 (17.2)	0
Insomnia	16 (16.2)	0
Dizziness	15 (15.2)	0
ALP elevated	14 (14.1)	3 (3)
Infusion reactions	14 (14.1)	0
Anxiety	13 (13.1)	0
Headache	13 (13.1)	0
Urinary tract infection	13 (13.1)	0
Abdominal pain	12 (12.1)	3 (3)
ALT elevated	11 (11.1)	4 (4)
Muscular weakness	11 (11.1)	0
Tachycardia	11 (11.1)	0
Arthralgia	10 (10.1)	2 (2)
AST elevated	10 (10.1)	5 (5.1)
Lipase increased	9 (9.1)	5 (5.1)

^a A patient may have had more than one event.