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### Deacetylase-Independent Function of HDAC3 in Transcription and Metabolism Requires Nuclear Receptor Corepressor

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### Abstract

Histone deacetylases (HDACs) are believed to regulate gene transcription by catalyzing deacetylation reactions. HDAC3 depletion in mouse liver upregulates lipogenic genes and results in severe hepatosteatosis. Here we show that pharmacologic HDAC inhibition in primary hepatocytes causes histone hyperacetylation but does not upregulate expression of HDAC3 target genes. Meanwhile, deacetylase-dead HDAC3 mutants can rescue hepatosteatosis and repress lipogenic genes expression in HDAC3-depleted mouse liver, demonstrating that histone acetylation is insufficient to activate gene transcription. Mutations abolishing interactions with the nuclear receptor corepressor (NCOR or SMRT) render HDAC3 nonfunctional *in vivo*. Additionally, liver-specific knockout of NCOR, but not SMRT, causes metabolic and transcriptomal alterations resembling those of mice without hepatic HDAC3, demonstrating that interaction with NCOR is essential for deacetylase-independent function of HDAC3. These findings highlight non-enzymatic roles of a major HDAC in transcriptional regulation *in vivo* and warrant reconsideration of the mechanism of action of HDAC inhibitors.

### INTRODUCTION

Small molecules that inhibit histone deacetylases (HDACs) show promise in treating many diseases, including cancer, neurodegenerative, cardiovascular, and metabolic diseases (Gryder et al., 2012; Kazantsev and Thompson, 2008). A variety of HDAC inhibitors (HDIs) are under clinical investigation with two already approved for treating lymphoma (Gryder et al., 2012). Yet, the mechanism of action for HDIs is not clear and very controversial (Wanczyk et al., 2011). For example, upregulation of p21 (CIP1/WAF1) gene expression have been widely observed in cancer cells upon treatment of various HDIs, and is held as a prevalent explanation for how HDIs cause cell cycle arrest (Ocker and Schneider-Stock, 2007). However, knockdown of p21 or its upstream regulator p53 fails to rescue cell cycle progression defects in fibroblast cells depleted of HDAC1 and HDAC2 (Wilting et al., 2010). Such lack of knowledge on the genuine pharmacological targets of HDIs poses the major challenge for their development as drugs (Kazantsev and Thompson, 2008).

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Numerous genetic mouse models have established that HDACs play pivotal roles in a plethora of biological processes including embryonic development, cardiovascular health and energy metabolism (Finkel et al., 2009; Haberland et al., 2009). HDACs fall into several classes based on their catalytic mechanism and sequence homology (Yang and Seto, 2008). Class I, II, and IV HDACs depend on the zinc (Zn) metal for their enzymatic activities, whereas class III sirtuins require NAD (nicotine adenine dinucleotide) as a co-factor (Sauve et al., 2006). Class I HDACs form multiple-protein nuclear complexes, with HDAC 1 and 2 found in the NuRD (nucleaosome remodeling and deacetylating), Sin3, and CoREST (co-repressor for element-1-silencing transcription factor) complexes (Yang and Seto, 2008). HDAC3, another class I HDAC, exists in a distinct complex that contains either NCOR (nuclear receptor corepressor) or its homolog SMRT (silencing mediator of retinoic and thyroid receptors) (Goodson et al., 2005; Perissi et al., 2010).

HDAC3 not only forms a complex with NCOR/SMRT but also requires interaction with the DAD (deacetylase activating domain) of NCOR/SMRT for its enzyme activity (Guenther et al., 2001). The recently published structure of HDAC3 co-crystallized with a short DAD peptide reveals an inositol tetraphosphate molecule  $Ins(1,4,5,6)P_4$  (IP<sub>4</sub>) embedded at the interface between HDAC3 and DAD, which likely serves as a 'intermolecular glue' to stabilize the interaction (Watson et al., 2012). Binding to IP<sub>4</sub> and DAD triggers a conformational change in HDAC3 that makes the catalytic channel accessible to the substrate (Arrar et al., 2013; Watson et al., 2012). Consistent with this structural model, combined mutations on residues that interact with IP<sub>4</sub>, including Y478A in NCOR and Y470A in SMRT, completely abolish deacetylase activities of HDAC3 in mice (You et al., 2013). Interestingly, knock-in mice bearing these mutations in the DADs of both NCOR and SMRT (NS-DADm) live to adulthood despite undetectable deacetylase activity in the embryo, whereas global deletion of HDAC3 is embryonic lethal (Bhaskara et al., 2008; You et al., 2013). This suggests a deacetylase-independent function of HDAC3 for survival. However, it is not known whether such function is restricted to embryonic development, whether it is directly related to transcriptional regulation, or what the underlying mechanism is.

We have previously shown that nuclear receptor Rev-erbs recruit HDAC3 to the genome in liver and that acute liver-specific knockout of HDAC3 by injecting HDAC3<sup>f/f</sup> mice with AAV (adeno-associated virus) expressing Cre recombinase causes histone hyperacetylation at genome-wide HDAC3 binding sites, upregulates lipogenic genes near HDAC3 binding sites, and leads to remarkable hepatosteatosis (Feng et al., 2011; Sun et al., 2011). The lipid metabolic phenotype in these mice can be completely rescued by re-expression of HDAC3 at its endogenous levels in the liver using an AAV vector, which creates an excellent *in vivo* phenotype-rescue system for functional analysis of structure-based HDAC3 mutations (Sun et al., 2012). Here we integrate this system with epigenomic approaches and novel genetic mouse models to provide new mechanistic insights into HDAC3 biology.

### RESULTS

# HDI-dependent histone hyperacetylation does not upregulate gene expression as seen in HDAC3-depletion

Genetic deletion of HDAC3 in adult mouse livers either through AAV in a liver-specific manner or by an inducible Mx1-Cre transgenic line in a whole-body manner results in prominent hepatosteatosis and severe liver hypertrophy (Knutson et al., 2008; Sun et al., 2012). These findings not only demonstrate the importance of HDAC3 in maintaining normal adult liver function, but also raise the concern of hepatotoxicity for pan-HDIs. However, hepatosteatosis is not a prevalent side effect of most pan-HDIs in patients or animals (Chateauvieux et al., 2010; Subramanian et al., 2010; Zhang et al., 2012). To

evaluate the outcome of continuous HDAC inhibition, we compared HDIs with *ex vivo* HDAC3 knockout in primary hepatocytes for altered gene expression.

Primary hepatocytes isolated from HDAC3<sup>f/f</sup> mice were infected with adenovirus (Ad) expressing either GFP or Cre. Total cell lysates (Figure 1A) or histone extracts (Figure 1B) were harvested at different time after HDAC3 depletion and were analyzed by western blot. Global histone acetylation on histone 3 lysine 9 (H3K9ac) and lysine 27 (H3K27ac) was not changed despite efficient depletion of HDAC3 proteins. This is not surprising since the HDAC3 cistrome only constitutes a very small fraction of the total genome (Feng et al., 2011), and is consistent with the lack of global histone acetylation changes following knockout or knockdown of a specific HDAC (Bradner et al., 2010; Montgomery et al., 2008; Oehme et al., 2009). Many HDAC3 target genes were upregulated, including those involved in circadian rhythm and lipid synthesis relevant to HDAC3 in vivo physiology (Sun et al. 2012), demonstrating the validity of this ex vivo system for characterizing hepatic HDAC3 function (Figure 1C). In comparison, treating hepatocytes with different pan-HDIs including Trichostatin A (TSA), suberoylanilide hydroxamic acid (SAHA), or sodium butyrate (NaB) caused an expected dose-dependent and persistent increase in global histone acetylation on both H3K9 and H3K27 (Figures 1A and 1B). Histone acetylation at HDAC3 binding sites near several HDAC3 target genes were also increased by pan-HDIs to a similar or higher degree compared to HDAC3 depletion (Figures S1A and S1B). However, the expression of HDAC3 target genes was generally not increased by these pan-HDIs, suggesting that histone hyperacetylation per se is not sufficient to activate gene transcription (Figure 1D).

These results are consistent with previous findings that gene expression changes elicited by pan-HDIs are moderate and do not necessarily resemble those caused by HDAC depletion (Lopez-Atalaya et al., 2013; Mullican et al., 2011). In addition, genetic depletion of histone acetyltransferases (HATs) in mouse fibroblasts drastically abolishes histone acetylation, but only causes mild changes in gene expression (Kasper et al., 2010). These findings raise the possibility that histone acetylation may only correlates with, but does not necessarily cause, active gene transcription. In keeping with this notion, some catalytically-inactive mutants of HATs are able to rescue growth defects caused by HAT knockout in yeast (Sterner et al., 2002). While it is understandable that many HATs may have enzyme-independent functions, given their large size (typically >200 kDa) suitable for scaffolding roles and multiple-domain architecture responsible for interacting many proteins, HDACs are smaller proteins (typically <70 kDa) and it would be surprising if the deacetylase enzymatic activities do not fully account for the phenotype caused by HDAC depletion. Therefore, to complement the HDI-based pharmacological approach, we next genetically dissected HDAC3-mediated transcriptional repression by structure-function analysis *in vivo*.

## Mutations Y298F (YF) and K25A (KA) abolish HDAC3 enzymatic activity by distinct mechanisms

Crystal structures of HDACs revealed that the highly conserved Tyr residue (Y298 in HDAC3) is located within the active site and is catalytically essential in stabilizing the tetrahedral intermediate and polarizing the substrate carbonyl for nucleophilic attack in coordination with Zn ion (Figures 2A and S2) (Lombardi et al., 2011; Watson et al., 2012). Mutation of Y298F (YF) rendered the *in vitro*-translated (IVT) HDAC3 proteins completely inactive in the presence of a truncated SMRT protein (amino acid 1–763) containing DAD, as measured by a fluorescence-based HDAC assay using peptide substrate (Figures 2B and 2C). To further address whether YF lost deacetylase activity within cells, Flag-tagged HDAC3 was co-expressed along with DAD in HEK 293T cells. An HDAC assay of anti-Flag immunoprecipitates showed that YF does not have detectable deacetylase activity (Figure 2D), consistent with a previous report that Y298H substitution in HDAC3

completely eliminates deacetylase activity against radioactively labeled histones (Lahm et al., 2007). The same Y>F substitution in HDAC8 was also inactivating and was used to crystallize the substrate-bound HDAC8, because the enzyme failed to finish the catalytic transition and trapped its substrate in the catalytic pocket (Vannini et al., 2007). As expected, the interaction between HDAC3 and DAD was not affected by YF (Figure 2E).

Another approach to eliminate HDAC3 deacetylase activity is to mutate key residues required for its interaction with DAD. The crystal structure suggests several residues that could directly contact DAD or the IP<sub>4</sub> molecule (Figure 2F) (Watson et al., 2012). HDAC3 harboring an Ala substitution on each of these residues was co-expressed with DAD in HEK 293T cells. K25A (KA) disrupted deacetylase activity and interaction with DAD (Figures 2G and 2H), consistent with the structure that K25 is nested in the center of the HDAC3-IP<sub>4</sub> interface and likely forms hydrogen bonds with multiple oxygen atoms of IP<sub>4</sub>. The essential role of K25 in DAD-binding supports the role of IP<sub>4</sub> as 'intermolecular glue' (Watson et al., 2012). Loss of deacetylase activity in K25A mutant is consistent with the undetectable HDAC3 enzyme activity in NS-DADm mice (You et al., 2013) and support the interdependence between DAD and IP<sub>4</sub> in activating HDAC3 enzymatic activity (Arrar et al., 2013).

The KA mutation also reduced the binding of HDAC3 to endogenous full-length NCOR (Figure 2G). The residual binding is probably mediated by the second HDAC3-interacting domain in the middle region of NCOR/SMRT independent of the N-terminal DAD, which has been shown to interact with HDAC3 without enabling enzyme activities (Guenther et al., 2001; Li et al., 2000; Wen et al., 2000).

## Deacetylase-dead HDAC3 mutants rescue derangement of both gene transcription and lipid metabolism in HDAC3-depleted liver

We further characterized YF, KA, and combined YF/KA mutations in an *in vivo* phenotyperescue model. Intravenous injection of HDAC3<sup>f/f</sup> mice with AAV expressing Cre under a hepatocyte-specific thyroxine-binding globulin promoter (AAV-Tbg-Cre) depletes hepatic HDAC3, upregulates lipogenic genes, and results in hepatosteatosis without obvious inflammatory responses during the time frame examined (Sun et al., 2012). Here we engineered Flag-tagged HDAC3 into the same AAV-Tbg vector and co-injected it along with AAV-Tbg-Cre. When expressed at endogenous protein levels, the wild-type (WT) exogenous HDAC3 completely rescued fatty liver phenotype (Figures 3A–D) and repressed most genes that are upregulated upon HDAC3 depletion, as measured by microarray analysis (Figure S3A). This condition serves as the positive control for the subsequent mutation analysis.

Although the same dosages of AAV-HDAC3 were administered for all mutants, HDAC3 proteins containing YF mutation, either alone or in combination with other mutations, were expressed at much lower levels (Figure 3A). The exact reason for such sub-physiological expression is unclear and may be related to changes in protein stability, given that the mRNA levels of these mutants were the same (Figure S3B). HDAC3 proteins were immunoprecipitated with anti-HDAC3 antibodies from liver lysates and subjected to an HDAC assay, which showed that they were indeed deacetylase-dead *in vivo* (Figure 3B). Surprisingly, all three deacetylase-dead mutants rescued the fatty liver to a large degree, as demonstrated by quantification of hepatic triglycerides (TG) (Figure 3C) and Oil Red O (ORO) staining of neutral lipids in liver sections (Figure 3D). The rescued phenotype in the YF and YF/KA conditions was particularly striking, given their lower protein levels.

The KA mutant remained bound to full-length NCOR despite disrupted binding to DAD under the standard washing conditions in the presence of 1% NP-40 (Figure 3A), suggesting

that the second interacting domain in NCOR is sufficient for recruiting HDAC3 *in vivo* (Guenther et al., 2001; Li et al., 2000; Wen et al., 2000). Such interaction was readily diminished, but not completely abolished, by washing the Flag immunoprecipitates with buffers containing higher detergent concentrations, suggesting that the interaction is stable but of lower affinity than the HDAC3-DAD interaction (Figure 3E). Binding of HDAC3 to TBLR1, another component of the NCOR/SMRT complex, followed the same pattern as HDAC3-NCOR interaction, consistent with the notion that it is mediated through NCOR or SMRT (Figure 3E) (Yoon et al., 2003; Zhang et al., 2002). Interestingly, as HDAC3 was expelled from the NCOR/SMRT complex by harsher washing conditions, we noted increased abundance of HDAC3 within the chaperone TCP-1 ring complex (TRiC) (Figure 3E), suggesting that the TRiC serves as the reservoir for free HDAC3. This is in agreement with previous findings that several key components of the TRiC bind to HDAC3 and exist in a complex distinct from the NCOR/SMRT complex (Guenther et al., 2002; Joshi et al., 2013; Li et al., 2006).

Consistent with the rescue of the metabolic phenotype, YF and KA mutants repressed most lipogenic genes that are upregulated upon HDAC3 depletion (Figure 3F). The extent of lipogenic gene repression correlated well with the residual hepatosteatosis phenotype, with YF repressing most genes to a large degree and KA repressing almost all genes to the same degree as WT. The difference between YF and KA is likely due to the lower protein levels of YF. Efforts to boost YF protein levels by injecting 10-fold higher dosage of the AAV-Tbg-HDAC3 (YF) still resulted in significantly lower protein levels and similar profiles of gene expression as well as hepatic lipid content (Figures S4A–D). Taken together, the deacetylase-dead KA mutant almost completely rescued the metabolic derangement and gene transcriptional alteration in HDAC3-depleted liver, whereas the deacetylase-dead YF rescued these deficits to a large degree despite its lower protein level. These data suggest that the *in vivo* function of HDAC3 in liver is largely independent of its deacetylase activities.

It should be noted that not all HDAC3 target genes were repressed to the same degree by catalytically inactive mutants (Figures 3F and S3C). Also, there was still residual hepatic steatosis in the K25A rescued liver, albeit to a very limited degree (Figures 3C and 3D). These findings suggest that the catalytic activity is required for some aspects of HDAC3 function, and may be even more important in another tissue or a different physiological condition.

#### Deacetylase-dead HDAC3 rescues HDAC3-dependent transcriptional repression despite failing to repress genome-wide histone acetylation

To overcome the sub-physiological expression of the YF mutant, we sought to construct another mutant of the catalytic site. Two highly-conserved tandem His residues (H134 and H135 in HDAC3) are located close to the Zn ion and catalytically important (Figures 2A and S2). They serve as a general base and a general electrostatic catalyst donating a proton to the epsilon nitrogen atom on the substrate lysine, which leads to collapse of the tetrahedral intermediate (Lombardi et al., 2011). Ala substitution of these two His (HAHA) rendered HDAC3 completely inactive in HEK 293T cells without affecting interaction with the SMRT (1–763) containing DAD (Figures 4A and 4B). Similar to YF, HAHA was also expressed at sub-physiological levels when introduced into liver by AAV (Figure 4C). The lack of deacetylase activity in HAHA was confirmed by an HDAC assay using HDAC3 proteins immunoprecipitated from the liver lysates (Figure 4D). Like YF, HAHA rescued fatty liver and repressed lipogenic genes to a large degree (Figures 4E, 4F, S5A, and S5B).

We next addressed how these different mutants affect chromatin recruitment of HDAC3 and histone acetylation. ChIP-qPCR analysis was performed using primers specific for the

previously-determined HDAC3 sites near target lipogenic genes (Feng et al., 2011). Chromatin occupancy of HAHA showed modest but significant reduction in most HDAC3 sites, with the degree of changes likely an effect of poor protein expression (Figure 4G). KA has normal chromatin occupancy, supporting the notion that the second interacting domain in NCOR/SMRT is sufficient for recruiting HDAC3. Therefore, loss of IP<sub>4</sub> binding with DAD in NS-DADm mice may induce a conformational change in NCOR/SMRT that affects their interaction with HDAC3 through the second domain, resulting in reduced HDAC3 recruitment and mild steatosis in NS-DADm livers (You et al. 2013). H3K9 acetylation levels at the examined sites were high in the presence of HAHA to a similar degree as HDAC3 knockout, as expected from the loss of deacetylase activity (Figure 4H). Interestingly, histone acetylation levels were low in the presence of KA to a similar degree as WT, even though KA does not have ability to actively deacetylate histones (Figure 4H).

To generate nonbiased acetylation profiles, we subjected DNA from the H3K9ac ChIP to sequencing (ChIP-seq). Consistent with the ChIP-qPCR results, genome-wide H3K9ac levels at HDAC3 binding sites near its target genes were high in the presence of HAHA to a similar degree as in HDAC3 knockout, and were low in the presence of KA to a similar degree as in WT (Figures 4I and 4J). The fact that HAHA rescued fatty liver and repressed HDAC3 target genes to a large degree in spite of its histone hyperacetylation profile demonstrates that histone acetylation is not sufficient to activate gene transcription. This raises the question whether histone acetylation is really the cause for gene transcription or merely a bystander event associated with increased chromatin accessibility near actively transcribed genes. Several lines of evidence favor the second argument. (1) Acetylation on different Lys residues of histones display an "all-or-none" pattern lacking obvious combinatorial complexity that is required for function as a "code" (Rando, 2012); (2) mutation analysis in yeast shows that Arg substitutions of histone Lys residues generate overall moderate phenotypes in gene transcription, even though they would also disrupt other modifications such as methylation, ubiquitination, or sumolyation on the same lysine residues (Bedford and Brindle, 2012); (3) ablation of histone acetylation is not accompanied by equally reduced levels of gene expression upon HAT knockout (Kasper et al., 2010); (4) some HAT enzyme-dead mutants remain functional (Sterner et al., 2002); (5) in vitro nucleosome reconstitution analysis shows that histone acetylation has only subtle effects on chromatin remodeling (Neumann et al., 2009); (6) gene expression changes elicited by HDIs are moderate and do not necessarily resemble those caused by HDACs depletion (Figure 1) (Mullican et al., 2011). Finally, the notion that histone acetylation is a bystander outcome of active gene transcription readily reconciles the apparent paradox of histone hypoacetylation in the presence of deacetylase-dead HDAC3 KA mutant. KA represses gene transcription in a deacetylase-independent manner, and the histone hypoacetylation is the outcome of such transcription repression. Histone hyperacetylation in the presence of HAHA is likely a combined effect of the abolished deacetylase activity and the low protein level. Taken together, genetic and pharmacological manipulation of HDAC3 demonstrate that HDAC3 target genes can remain repressed despite histone hyperacetylation, suggesting that deacetylase-independent function of HDAC3 mediates gene repression and that histone hyperacetylation is not sufficient to activate gene transcription.

#### Loss of interaction with NCOR/SMRT renders HDAC3 completely nonfunctional in vivo

What mediates the deacetylase-independent function of HDAC3? One possibility is that HDAC3 may recruit other epigenome-modifying enzymes such as methyltransferases to the chromatin (Hohl et al., 2013; Stender et al., 2012). However, histone methylation was not changed significantly upon HDAC3 depletion in liver at several HDAC3 sites (Figure S6). Another possibility is that HDAC3 plays a scaffolding role in maintaining the integrity of the corepressor complex through interacting with other proteins. If this is true, abolishing the

ability of HDAC3 to interact with the corepressor complex would wipe out the in vivo function of HDAC3. Since none of the tested mutations abolished the physical interaction between HDAC3 and NCOR/SMRT, we sought to identify key residues in HDAC3 for such interaction. Previous truncation analysis of HDAC3 suggests that the key residues for binding NCOR/SMRT are located in the N-terminal region of HDAC3 (Li, 2006). Considering that HDAC1 does not interact with NCOR/SMRT, sequence alignment of HDAC3 and HDAC1 in those regions identified 9 potential essential residue clusters, named "A" through "I" (Figure 5A). Mutation of each cluster in HDAC3 to the corresponding residues in HDAC1 showed that 4 clusters (namely B, E, H, and I) compromised HDAC3 ability to interact with NCOR/SMRT (Li, 2006). Combined mutations in all 4 clusters abolished interaction with not only DAD but also the full-length NCOR in cells (Figure 5B). The combined mutation in the 4 clusters, named "HEBI", also abolished deacetylase activity, presumably due to loss of interaction with DAD (Figure 5C). To test directly whether HEBI disrupts the interaction with the second domain in the middle region (M) of NCOR/SMRT (Guenther et al., 2001; Li et al., 2000; Wen et al., 2000), truncated SMRT proteins expressed from HEK 293T cells were mixed with HDAC3 and subjected to immunoprecipitation analysis. HEBI disrupted interaction with both DAD and the second domain, while KA only disrupted interaction with DAD (Figure 5D). The HEBI mutations encompass several residues facing outward on the exterior alpha helixes, likely contributing to protein-protein interactions (Figure 5E). Thus the HEBI mutant was annotated as "HDAC3 with Enzyme and Binding activities Inactivated" to distinguish from other mutants aiming to disrupt only the enzyme activity.

When introduced into the HDAC3-depleted liver by AAV, HEBI was expressed at slightly higher levels than endogenous HDAC3 protein, distinct from the catalytic site mutant YF (Figure 5F). Despite its higher levels, HEBI lacked any detectable deacetylase activity and completely lost interaction with NCOR as well as TBLR1 (Figures 5G and 5H). Interestingly, it had stronger interaction with the TCP-1a, in keeping with the notion that HDAC3 is shunted into TriC when it loses interaction with the corepressor complex (Figure 3E). HEBI completely lost ability to rescue the hepatosteatosis phenotype in HDAC3-depleted livers (Figures 6A and 6B). HEBI was also completely non-functional in terms of repressing expression of HDAC3 target genes (Figure 6C) and occupancy on the chromatin (Figure 6D), suggesting that binding to NCOR/SMRT is essential for genomic recruitment of HDAC3 and subsequent transcriptional repression.

ChIP-qPCR and ChIP-seq profiling revealed that YF behaved in the similar manner as HAHA in all analyses, as expected since both mutants affect the catalytic site of HDAC3 (Figures 6E–G). Histone acetylation is elevated in the presence of HEBI and YF to a similar degree as in HDAC3 knockout livers, suggesting that the *in vivo* function of HDAC3, albeit independent of deacetylase activities, requires interacting with the NCOR/SMRT complex.

## Liver-specific knockout of NCOR causes metabolic and transcriptomal alterations closely resembling those of mice without hepatic HDAC3

If the NCOR/SMRT complex is indeed required for HDAC3 *in vivo* function, knockout of NCOR and/or SMRT in the liver should recapitulate the phenotype of the HDAC3 knockout. To this end, we have studied mouse lines containing floxed alleles of either NCOR or SMRT (Figure S7A). Administration of AAV-Tbg-Cre in SMRT<sup>f/f</sup> mice depleted SMRT in liver (Figures 7A and S7B), but did not affect expression of HDAC3 target genes and did not cause hepatosteatosis (Figures 7A and 7B). By contrast, depletion of NCOR in liver markedly upregulated expression of HDAC3 target genes involved in lipogenesis without altering HDAC3 levels (Figures 7C and 7D). There was ectopic accumulation of lipids within NCOR-depleted livers and reciprocal reduction of hepatic glycogen content

(Figures 7E and 7F), closely resembling the metabolic changes observed in HDAC3-depleted livers (Knutson et al., 2008; Sun et al., 2012).

Transcriptome profiling revealed that the majority of genes repressed by HDAC3 also tended to be upregulated upon depletion of NCOR, demonstrating the necessity of NCOR in HDAC3-mediated transcription repression (Figure 7G). The overall milder transcriptomal changes in NCOR depleted livers suggest a partial compensation from SMRT. In contrast, among genes downregulated upon HDAC3 depletion, roughly the same percentage were upor down- regulated upon NCOR depletion, suggesting that those gene expression changes are likely indirect effects of HDAC3 depletion. Genes repressed by either HDAC3 or NCOR were highly enriched in lipid and fatty acid metabolism, consistent with the similar lipid metabolic phenotypes in NCOR and HDAC3 depleted livers (Figure 7H).

Genome-wide occupancy of SMRT in liver did not display oscillation throughout the day (Figure S7C), whereas the hepatic NCOR cistrome shows robust circadian rhythm that is inphase with HDAC3 (Feng et al., 2011), suggesting that NCOR plays a more important role than SMRT in genomic recruitment of HDAC3 in liver. SMRT may still contribute to physiological recruitment of HDAC3, and indeed a modest increase in NCOR protein levels in SMRT-depleted livers may contribute to the lack of steatosis phenotype (Figure S7B). Nonetheless, the lack of an obvious metabolic phenotype in liver-specific SMRT knockout mice suggests that extrahepatic tissues such as adipose are responsible for the observed metabolic alterations in SMRT heterozygous mice or SMRT knock-in mice bearing mutations in receptor-interacting domains (RIDs) (Fang et al., 2011; Nofsinger et al., 2008; Reilly et al., 2010; Sutanto et al., 2010). The hepatosteatosis phenotype in NCOR liverspecific knockout mice is in contrast to the normal hepatic lipid content in the whole-body knock-in mice with mutated NCOR DAD (N-DADm) and liver-specific knock-in mice bearing NCOR with two RIDs truncated, although both mouse models show a modest increase in lipogenic gene expression (Alenghat et al., 2008; Astapova et al., 2008). These findings suggest that both DAD and the two RIDs contribute to, but are not absolutely required for, NCOR function in vivo. Of note, genomic occupancy of NCOR and SMRT in liver is not affected by HDAC3 depletion (You et al., 2013). Taken together, these results demonstrate that although deacetylase enzymatic activity is dispensable, interaction with NCOR is required for the *in vivo* function of HDAC3 in liver.

#### DISCUSSION

Genes for catalytically dead enzymes, bearing mutations at key catalytic residues, are found throughout the genome for almost all enzyme families with conserved sequences across different species (Adrain and Freeman, 2012). Such genomic arrangement not only suggests the prevalent existence of enzyme-independent functions for these pseudoenzymes, but also provides insights into how active enzymes evolve from their dead homologues or even *visa versa* (Adrain and Freeman, 2012; Leslie, 2013). Here we demonstrate that studying catalytically-inactive mutant enzymes in an *in vivo* phenotype-rescue setting is an efficient and powerful approach to uncover and characterize enzyme-independent functions.

The importance of the HDACs family has gained increasing recognition over the past decade. Intriguingly, Class IIa HDACs, including HDAC4, -5, -7 and -9, have no enzymatic activity due to a His substitution on the key catalytic Tyr residue (corresponding to Y298 in HDAC3) and therefore are actually pseudoenzymes (Lahm et al., 2007). The deacetylase activity observed in class IIa HDACs purified from cellular contexts is dependent on HDAC3 that is physically associated with them (Fischle et al., 2002). These findings have led to the notion that class IIa HDACs mainly play scaffolding roles in recruiting HDAC3 to their substrates (Mihaylova et al., 2011; Schapira, 2011). The present study takes this

scenario one step further by demonstrating that the deacetylase activity is actually dispensable for HDAC3 functions *in vivo*, suggesting that we should look beyond such scaffolding functions for class IIa HDACs. In line with this concept, several class IIa HDACs are able to exert their cellular functions without scaffolding any deacetylation reactions when overexpressed *in vitro* in cultured cells (Chatterjee et al., 2011; Ma and D'Mello, 2011; Yang et al., 2011; Zhou et al., 2000).

The concept is by no means limited to class II HDACs. Class I HDAC8 and its deacetylasedead mutant, can interfere with the ubiquitination machinery to the same degree when overexpressed in cells (Lee et al., 2006). Transgenic overexpression of deacetylase-dead mutants of either HDAC1 or HDAC3 in mouse heart causes cardiomyopathy to the same degree of severity as overexpression of their respective wild-type enzymes, suggesting that deacetylase-independence is generalizable to other class I HDACs, although potential overexpression artifacts cannot be ruled out in this experimental setting (Potthoff, 2007). In addition, HDIs do not block some cellular functions of overexpressed HDAC3 in cultured cells (Gupta et al., 2009). Deacetylase-independent functions have also been suggested for class III HDACs in overexpression cell culture models (Shah et al., 2012; Zhang et al., 2009, 2010). These findings merit further investigation into whether and to what extent the deacetylase enzyme activity may contribute to the biological function of each HDAC *in vivo*.

Our current findings have profound implications for mechanistic characterization of small molecule HDIs. If HDACs do not require deacetylase activity for most of their functions *in vivo*, they may not be the *de facto* targets of HDIs. Almost all existing HDIs exert their inhibiting activities by chelating the Zn metal in the active site of HDACs (Gryder et al., 2012). Besides HDACs, there are over 300 Zn-dependent enzymes encompassing all of the six main enzyme families, whose active sites usually share a common tetrahedral [(XYZ)-Zn-OH<sub>2</sub>] structure in which the Zn ion is coordinated by three amino acid residues with the fourth site occupied by a catalytically-important water molecule or a hydroxide group (Parkin, 2004). It is likely that HDIs interfere with other Zn enzymes or other metalloproteins besides HDACs *in vivo*, which is genuinely responsible for their pleiotropic therapeutic effects.

This idea is in keeping with several observations. Transcriptomal profiling of HDIs-exposed cells revealed overall minimal changes in gene expression and quite different patterns in response to different pan-HDIs (Halsall et al., 2012; Lopez-Atalaya et al., 2013). In fact, some effects of HDIs can be independent of gene expression changes (Wardell et al., 2009). In many animal and cell culture models, HDI treatment does not phenocopy HDAC knockout or knockdown, and in some cases even generates opposite phenotypes. For example, while HDIs have anti-cancer effects in an almost universal manner against a wide range of tumors, HDAC1 depletion promotes teratoma formation (Lagger et al., 2010), HDAC1 and HDAC2 knockdown facilitates leukemogenesis in pre-leukemic mice (Santoro et al., 2013), and HDAC3 knockout in liver results in hepatocellular carcinoma (Bhaskara et al., 2010). NCOR and SMRT also suppress breast and prostate cancers, consistent with their functions in repressing gene transcription mediated by estrogen and androgen receptors (Keeton and Brown, 2005; Qi et al., 2013). Last but not least, although recent cancer genomic studies powered by advanced DNA sequencing technologies have implicated many transcription factors and epigenomic modifiers in carcinogenesis, few mutations have been found in HDACs that are associated with any types of malignancies, even though some HDIs have been approved for treating cancers and many more show similar promise (Garraway and Lander, 2013; Suvà et al., 2013).

### EXPERIMENTAL PROCEDURES

#### Mice

HDAC3<sup>f/f</sup> mice were described previously (Mullican et al., 2011). NCOR<sup>f/f</sup> and SMRT<sup>f/f</sup> mice were obtained from MCI/ICS (Mouse Clinical Institute–Institut Clinique de la Souris, Illkirch, France; http://www.ics-mci.fr/). NCOR<sup>f/f</sup> mice contained floxed exon 11 (Yamamoto et al., 2011). SMRT<sup>f/f</sup> mice (ICS # K175/DG34/EUMO15) contained floxed exon 4 (Figure S7A). AAV2/8-Tbg-HDAC3 vectors containing mutations were intravenously injected together with AAV2/8-Tbg-Cre in adult mice for rescue experiments, using AAV2/8-Tbg-GFP as a negative control. Details were described in Supplemental Experimental Procedures.

#### Cell culture and DNA constructs

Primary hepatocytes were isolated from HDAC3<sup>f/f</sup> mice and treated with adenovirus or HDIs. Details were described in Supplemental Experimental Procedures. Site-directed mutagenesis was performed using Stratagene kit.

#### Immunoprecipitation, immunoblot, and HDAC assay

Primary hepatocytes were either lyased directly in Laemmli sample buffer or acid extracted. Immunoprecipitation, immunoblot, and antibodies were described in Supplemental Experimental Procedures. HDAC assay was conducted using a fluorescence kit (Active Motif) following manufacture's instruction.

#### RT-qPCR, microarray, ChIP-qPCR, ChIP-seq, and computational analysis

These procedures were described previously (Feng et al., 2011) and detailed in the Supplemental Experimental Procedures.

#### Statistics

To determine significance differences between two groups, student's two-tail t-test was used for all experiments except the microarray.

#### **Accession numbers**

The following data were deposited in Gene Expression Omnibus: microarray in HDAC3<sup>f/f</sup>; AAV-Cre versus AAV-Cre + AAV-HDAC3-WT at 2-weeks post-injection (GSE 49386) and NCOR<sup>f/f</sup>; AAV-Cre versus AAV-GFP (GSE 49387); H3K9ac ChIP-seq in two rescue experiments (GSE 49365) and SMRT ChIP-seq at 5 pm versus 5 am (GSE 51045).

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **ARTICLE HIGHLIGHTS**

- Catalytically inactive HDAC3 mutants are functional in vivo
- Histone acetylation is not sufficient to activate gene transcription
- Loss of binding with corepressor NCOR renders HDAC3 nonfunctional
- Liver-specific knockout of NCOR resembles HDAC3 depletion



Figure 1. HDI-dependent histone hyperacetylation does not upregulate gene expression as seen in HDAC3-depletion

(A) Primary hepatocytes from HDAC3<sup>f/f</sup> mice were treated with adenovirus (Ad) expressing GFP or Cre, or increasing concentrations of HDAC inhibitors (HDIs) including Trichostatin A (TSA), suberoylanilide hydroxamic acid (SAHA), or sodium butyrate (NaB). Cells were directly lysed in SDS sampling buffer after 48 h followed by immunoblot analysis. (B) Primary hepatocytes were treated with adenovirus or the highest concentrations of HDIs. Histones were acid extracted after the indicated time and analyzed by immunoblot. (C) Ouantitative RT-PCR (RT-qPCR) analysis of primary hepatocytes after 48 h since HDAC3 depletion. \* P < 0.05 compared with GFP. (**D**) RT-qPCR analysis of primary hepatocytes treated for 48 h with the highest concentrations of HDIs. p57, cyclin-dependent kinase inhibitor 1C; Bmal1, aryl hydrocarbon receptor nuclear translocator-like; Plin2, perilipin 2; Acacb, acetyl-CoA carboxylase beta; Gpam, glycerol-3-phosphate acyltransferase, mitochondrial; Fasn, fatty acid synthase; Scd1, stearoyl-Coenzyme A desaturase 1; Elovl6, fatty acid elongase 6; G6pdx, glucose-6-phosphate dehydrogenase X-linked; Me1, malic enzyme 1; Cidec, fat specific protein 27; Fitm1, fat storage-inducing transmembrane protein 1; Cd36, fatty acid translocase. \* P < 0.05 compared with the mock treatment group. All error bars, s.e.m. from triplicate plates. See also Figure S1.



Figure 2. Mutations Y298F (YF) and K25A (KA) abolish HDAC3 enzymatic activity by distinct mechanisms

(A) Key residues within the HDAC3 catalytic site. (B) In vitro-translated (IVT) HDAC3 wild-type (WT) or YF mutant were mixed with IVT GAL-tagged SMRT-DAD (amino acid 1–763), followed by HDAC enzyme assay. Values are fluorescence signal intensities. (C) Immunoblot analysis of the above IVT proteins mixtures. (D) Flag-tagged HDAC3 and GAL-tagged SMRT (1–763) were co-expressed in HEK 293T cells. Cell lysates were immunoprecipitated with Flag antibodies followed by HDAC assay. (E) Immunoblot analysis of the above immunoprecipitates (IP). (F) Potential residues involved in binding of HDAC3 with SMRT-DAD and inositol phosphates Ins(1,4,5,6)P<sub>4</sub> (IP<sub>4</sub>). (G) Flag-HDAC3 mutants and SMRT (1–763) were co-expressed in HEK 293T cells. Cell lysates were immunoprecipitated with Flag antibodies followed by Immunoblot analysis. (H) HDAC assay of the above immunoprecipitates. All error bars, s.e.m. from triplicate assays. See also Figure S2.



Figure 3. Deacetylase-dead HDAC3 mutants rescue derangement in both gene transcription and lipid metabolism in HDAC3-depleted liver

(A) HDAC3<sup>f/f</sup> mice were injected with AAV-Tbg-GFP or AAV-Tbg-Cre along with AAV vectors expressing Flag-tagged HDAC3 mutants. Total liver lysates (upper panel), or anti-Flag immunoprecipitates (IP) after washing with buffer containing 1% NP-40 (lower panel), were analyzed by immunoblot (IB). (B) Liver protein lysates were immunoprecipitated with either HDAC3 antibodies or normal IgG, followed by HDAC assay, n = 4. (C) Hepatic triglyceride (TG) measurement, n = 4. \* P < 0.05. ns, not significant. (D) Oil red O (ORO) staining of livers. (E) Immunoprecipitation from the liver lysates were washed with more stringent buffer containing either 1% NP-40 plus 1% sodium deoxycholate (condition #1) or 1% NP-40 plus 1% sodium deoxycholate plus 0.1% SDS (condition #2), followed by immunoblot analysis with different exposure time (exp). \* indicates non-specific signals. (F) RT-qPCR analysis of livers from mice described above, n = 4. \* P < 0.05 between Cre and YF. All error bars, s.e.m. See also Figures S3 and S4.



Figure 4. Deacetylase-dead HDAC3 rescues HDAC3-dependent transcriptional repression despite failing to repress genome-wide histone acetylation

(A) GAL-tagged SMRT (1–763) and Flag-HDAC3 were co-expressed in HEK 293T cells. Cell lysates were immunoprecipitated with Flag antibodies followed by immunoblot analysis. (B) HDAC assay of the above immunoprecipitates in triplicates. (C) HDAC3<sup>f/f</sup> mice were injected with AAV-Tbg-GFP or AAV-Tbg-Cre along with AAV vectors expressing Flag-tagged HDAC3 mutants. Total liver lysates were analyzed by immunoblot analysis. (D) Liver lysates were immunoprecipitated with anti-HDAC3 antibodies and assayed for enzyme activities, n = 4. (E) ORO staining of livers. (F) RT-qPCR analysis of livers, n = = 4. \* P < 0.05 between Cre and HAHA. (G) Livers were subjected to chromatin immunoprecipitation (ChIP) with HDAC3 antibodies followed by qPCR analysis using primers for HDAC3 sites near the indicated genes, n = 4. \* P < 0.05 between WT and HAHA. (I) ChIP-qPCR analysis with antibodies against acetylated lysine 9 of histone 3 (H3K9ac), n = 4. \* P < 0.05 between WT and HAHA. (I) Heat map of H3K9ac ChIP-seq signals from –1 kb to +1 kb surrounding the center of HDAC3 sites within 50 kb of genes that are upregulated upon HDAC3 depletion. rpm, reads per million. (J) Average H3K9ac signals, represented by reads per bp in 10 million total reads, from –2 kb to +2 kb

surrounding the center of the same HDAC3 sites as shown above. G0S2, G0/G1 switch 2; Arbp, ribosomal protein, large, P0, also known as 36B4. All error bars, s.e.m. See also Figure S5.



## Figure 5. A mutant HDAC3 loses interactions with not only DAD but also the full-length NCOR/ SMRT $\,$

(A) Sequence alignment of HDAC3 and HDAC1. Identical and similar residues are highlighted in yellow and green respectively. Clusters of residues chosen for mutagenesis are boxed and labeled "A"- "I". (B) GAL-SMRT (1-763) containing DAD and Flag-tagged HDAC3 mutants were co-expressed in HEK 293T cells. Cell lysates were immunoprecipitated with Flag antibodies and analyzed by immunoblot. (C) HDAC assay of the above immunoprecipitates in triplicates. (D) Protein lysates from HEK 293T cells expressing either a GAL-tagged SMRT truncation or a Flag-tagged HDAC3 mutant were mixed together, immunoprecipitated with Flag antibodies, and analyzed by immunoblot. DAD, the N-terminal 1-763 region of SMRT; M, the middle 1531-1961 region of SMRT containing the second interaction domain with HDAC3; C, the C-terminal 1961-2473 region of SMRT that does not interact with HDAC3 serving as a negative control. \* Non-specific signals. (E) HEBI mutations on the crystal structure of HDAC3. (F) HDAC3<sup>f/f</sup> mice were injected with AAV-Tbg-GFP or AAV-Tbg-Cre along with AAV vectors expressing Flagtagged HDAC3 mutants. Total liver lysates were analyzed by immunoblot analysis. (G) The liver lysates were immunoprecipitated with either anti-HDAC3 antibodies or normal IgG, followed by HDAC enzyme assay, n = 3-4. (H) The liver lysates were immunoprecipitated with Flag antibodies and washed with buffer containing 1% NP-40, followed by immunoblot analysis. \* indicates non-specific signals. All error bars, s.e.m. See also Figure S5.



## Figure 6. Loss of interaction with NCOR renders hepatic HDAC3 completely nonfunctional *in vivo*

(A) ORO stain of livers from HDAC3<sup>f/f</sup> mice injected with the indicated AAV vectors. (B) Hepatic triglyceride (TG) measurement, n = 4. (C) RT-qPCR analysis of livers from mice described above, n = 4. (D) The livers were subjected to ChIP with HDAC3 antibodies followed by qPCR analysis using primers specific for HDAC3 sites near the indicated genes, n = 4. \* P < 0.05 between WT and YF. (E) ChIP-qPCR analysis of the livers with H3K9ac antibodies, n = 4. \* P < 0.05 between WT and any of the other three groups. (F) Heat map of H3K9ac ChIP-seq signals from -1 kb to +1 kb surrounding the center of HDAC3 sites within 50 kb of genes that are upregulated upon HDAC3 depletion. (G) Average H3K9ac signals, represented by reads per bp in 10 million total reads, from -2 kb to +2 kb surrounding the center of HDAC3 sites. All error bars, s.e.m.

Sun et al.



Figure 7. Liver-specific knockout of NCOR, but not SMRT, causes metabolic and transcriptomal alterations resembling those of mice without hepatic HDAC3

(A) RT-qPCR analysis of livers from SMRT<sup>f/f</sup> mice injected with AAV-Tbg-GFP or AAV-Tbg-Cre, n = 2-3, \* P < 0.05 compared to the GFP group. (B) ORO staining of livers from SMRT<sup>f/f</sup> mice injected with AAV. (C) Immunoblot analysis of livers from NCOR<sup>f/f</sup> mice injected with AAV. Tbg-GFP or AAV-Tbg-Cre. (D) RT-qPCR analysis of livers from NCOR<sup>f/f</sup> mice injected with AAV, n = 4. \* P < 0.05 compared to GFP. (E) ORO staining of livers from NCOR<sup>f/f</sup> mice injected with AAV. (F) Hepatic TG and glycogen measurement in NCOR<sup>f/f</sup>; AAV mice, n = 4-5, \* P < 0.05 compared to GFP. (G) Heat map of microarray results from NCOR or HDAC3 depleted livers. Genes that were upregulated (294 genes) or downregulated (272 genes) in HDAC3-depleted livers versus their wild-type controls were selected (fold-change > 1.4, q < 0.05), and were sorted by fold-changes in NCOR-depleted livers versus their controls. (H) Gene ontology analysis using top 200 upregulated genes (by fold-change) in either the HDAC3 KO versus WT array or the NCOR KO versus WT array, with p < 0.05. All error bars, s.e.m. See also Figure S7.