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# **Can early implementation of salvage radiotherapy for prostate cancer improve the therapeutic ratio? A systematic review and regression meta-analysis with radiobiological modelling**

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# **Abstract**

**Purpose—**For prostate cancer that is thought to be locally recurrent after prostatectomy, the optimal timing, dose and techniques for salvage radiotherapy (SRT) have not been established. Here we perform a systematic review of published reports including regression meta-analysis and radiobiologic modelling to identify predictors of biochemical disease control and late toxicity.

**Methods—**We performed a review of published series reporting treatment outcomes following SRT. Studies with at least 30 patients, median PSA before SRT of less than 2.0 ng/mL, and median follow-up of greater than 36 months were identified. Univariate and multivariate analyses were performed to test Gleason Score, SRT dose, SRT timing, pre-SRT PSA, whole pelvic irradiation and androgen deprivation therapy as predictors of 5-year biochemical progression-free survival (bPFS) and severe (grade 3) late GI and GU toxicity. bPFS and toxicity data were fit to tumour control probability and normal tissue complication probability models, respectively.

**Results—**Twenty-five articles met the inclusion criteria for this analysis. Five-year bPFS ranged from 25% to 70%. Severe late GI toxicity rates were 0% to 9%, and severe late GU toxicity rates were 1–11%. On multivariate analysis, bPFS increased with SRT dose by 2.5% per Gy and decreased with pre-SRT PSA by 18.3% per  $\frac{ng}{mL}$  ( $p < 0.001$ ). Late GI and GU toxicity increased with SRT dose by 1.2% per Gy ( $p = 0.012$ ) and 0.7% per Gy ( $p = 0.010$ ), respectively. Radiobiological models demonstrate the interaction between pre-SRT PSA, SRT dose and bPFS. For example, an increase in pre-SRT PSA from 0.4 to 1.0 ng/mL increases the SRT dose required to achieve a 50% bPFS rate from 60 to 70 Gy. This could increase the rate of severe late toxicity by approximately 10%.

**Conclusion—**Biochemical control rates following SRT increase with SRT dose and decrease with pre-SRT PSA. Severe late GI and GU toxicity rates also increase with SRT dose. Radiobiological models suggest that the therapeutic ratio of SRT may be improved by initiating treatment at low PSA levels.

Conflict of interest statement None declared.

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Prostate cancer; Salvage radiotherapy; PSA; Dose; Late toxicity

# **1. Introduction**

Following radical prostatectomy for localised prostate cancer, 15–28% of men will subsequently develop a detectable serum prostate specific antigen (PSA) level.<sup>1,2</sup> In the setting of suspected local recurrence, salvage radiotherapy (SRT) offers the potential for cure and is the mainstay of treatment. The optimal timing, dose and techniques for SRT, however, have not been established.

Due to a lack of published randomized trials, SRT practices to this point are not yet based on high-level evidence. Retrospective analyses have identified factors that may affect disease free survival rates following SRT such as pre-SRT PSA, PSA doubling time, Gleason Score (GS), surgical margin status, SRT dose and utilisation of androgen deprivation therapy  $(ADT).^{3-10}$ 

The interaction between pre-SRT PSA, SRT dose and prostate cancer control is of particular interest, as patients with high PSA levels may have more significant disease burden and require higher doses of radiotherapy to achieve cure.<sup>3</sup> SRT dose escalation, however, may be associated with increased toxicity.<sup>4,11</sup> The relationship between radiotherapy dose and toxicity in the postoperative setting has not been established but is clearly of concern to both clinicians and patients.

Here we perform a systematic review of published SRT series to identify predictors of disease control and late toxicity. We utilise both regression analyses and straightforward radiobiological models to explore the relationships between SRT dose, pre-SRT PSA and treatment outcome.

# **2. Methods**

#### **2.1. Search strategy and selection criteria**

We performed a PubMed literature search for published series describing outcomes following salvage radiotherapy (SRT) for prostate cancer. The search was restricted to English-language articles and included the key words 'prostate cancer', 'salvage radiotherapy', 'PSA', and 'late toxicity'. Series that reported 5-year biochemical progression-free survival (bPFS) rates and/or late toxicity rates following SRT were included in this analysis. Studies with median PSA levels of greater than 2.0 ng/mL before SRT were excluded, as PSA values in that range may be associated with distant progression.12 Series were also excluded if they contained fewer than 30 patients or had a median follow-up time of less than 36 months. Care was taken not to include multiple reports of outcomes from a single patient cohort.

We tabulated patient characteristics (median age, PSA before SRT, Gleason Score), treatment techniques (SRT doses, SRT timing, SRT techniques and androgen deprivation therapy [ADT] use), and outcomes (5-year bPFS, grade ≥ 3 late gastrointestinal (GI) and genitourinary (GU) toxicity) for each series that met the selection criteria. For the few series where SRT fraction sizes other than 1.8–2.0 Gy were used,<sup>13–15</sup> we converted nominal total dose to the bioequivalent dose in 2.0 Gy fractions using the Linear Quadratic Equation with  $\alpha/\beta = 3$  Gy.<sup>16</sup>

#### **2.2. Data analysis – Biochemical progression-free survival (bPFS)**

Simple linear regression was utilised to identify factors associated with 5-year bPFS rate. Variables tested included median patient age, median interval from prostatectomy to SRT, use of ADT, use of whole pelvic radiotherapy, proportion of patients with Gleason Score and  $\pi$ , and SRT dose. All of these were treated as continuous variables. These factors were also tested in a forward stepwise multivariate linear regression to identify independent predictors of bPFS. Terms with *p*-values less than 0.05 were retained in the model.

We devised the following tumour control probability (TCP) model,  $^{17}$  in which bPFS is a function of both SRT dose and pre-SRT PSA (as a surrogate for tumour burden):

> $S=e^{-\alpha*D}$  (1)  $n = C * PSA$  (2)  $bPFS = K * (1 - S)^n$  (3)

Combining  $(1)$ – $(3)$ 

$$
bPFS = K * \left(1 - e^{-\alpha*D}\right)^{C*PSA} \quad (4)
$$

where *S* is the surviving fraction of tumour cells, α represents intrinsic cell radiosensitivity, *D* is total SRT dose, *n* represents the number of tumour cells present at the time of SRT, *C* is a scaling constant relating the number of tumour cells to the pre-SRT PSA and *K* is a constant ( $\overline{1}$ ) that represents the highest rate of disease control that can be achieved with SRT (e.g. to account for the risk of occult metastasis at the time of SRT). This model was fit to clinical data (bPFS, dose and PSA), using the least-squares approach to determine optimal values for α, *C* and *K*.

#### **2.3. Data analysis – Late toxicity**

Simple linear regression was used to identify factors associated with severe (grade  $\frac{3}{2}$ ) late GI and GU toxicity. Stepwise multivariate linear regression was also utilised to identify independent predictors of severe toxicity. Again, terms with *p*-values less than 0.05 were retained in each model.

We also utilised the normal tissue complication probability (NTCP) model<sup>18</sup>:

$$
NTCP = e^{([D - TCD50]/k)} \div \left[1 + e^{([D - TCD50]/k)}\right]
$$

where *D* is SRT dose, *TCD*50 is the dose that would cause a 50% complication rate and *k* is a fitting parameter equal to 25 divided by the slope of the *NTCP* curve at the *TCD*50 dose point. We considered severe GI, GU and aggregate (GI + GU) toxicity. In each case, the model was fit to toxicity data using the least squares approach to determine optimal values for *TCD*50 and *k*.

# **3. Results**

# **3.1. Series**

We identified 25 articles that met the inclusion criteria for this analysis (Table 1), including outcomes for a total of 3828 patients. All but three papers provided 5-year bPFS data, but numerous definitions for biochemical progression were utilised. Common definitions included any detectable PSA,  $7,19-22$  PSA rise to above 0.2–0.4 ng/mL $^{23-30}$ , and PSA rise to 0.1–0.2 ng/mL above the nadir PSA.5,13,14,31,32 Thirteen articles described the incidence of severe (grade  $\,$  3) GI and/or GU toxicity. Eleven of those papers used the RTOG/EORTC Late Radiation Morbidity Scoring Schema to grade toxicity.

Median follow-up in these articles ranged from 38 to 127 months (median: 50). The proportion of patients with Gleason Scores of 8 or higher ranged from 9% to 40%. Median interval from prostatectomy to SRT generally ranged from 13 to 52 months. The one exception to this was a subset of patients who were treated as part of an adjuvant radiotherapy trial but in fact had an elevated postoperative  $PSA<sup>29</sup>$ ; median interval from surgery to radiotherapy was estimated to be 4 months for that cohort. Median PSA at the time of SRT ranged from 0.3 to 1.8 ng/mL (median: 0.9 ng/mL). Median SRT dose ranged from 60 to 72 Gy (median: 65 Gy). 2- or 3-dimensional RT planning was used in all but one series where intensity-modulated radiotherapy (IMRT) was utilised.<sup>14</sup> In seven series, a portion of patients (range: 2–100%) received pelvic nodal irradiation. A portion of patients received ADT in 15 series (range: 4–100%).

#### **3.2. Biochemical progression-free survival (bPFS)**

Five-year bPFS following SRT ranged from 25% to 70% (median: 47%). Scatter plots of bPFS against median SRT dose and PSA at the time of SRT are shown in Fig. 1.

Results of univariate analyses are shown in Table 2. Five-year bPFS was found to increase with median SRT dose (2.5% per Gy, 95% confidence interval: [1.0–4.0% per Gy]). bPFS also decreased significantly with increasing PSA before SRT (–18.1% per 1 ng/mL increase, 95% CI: [–29.2% to –7.0%]). bPFS was not significantly correlated with median patient age, timing of SRT, use of ADT, pelvic irradiation or Gleason Score. Stepwise multivariate linear regression yielded nearly identical results; 5-year bPFS increased with median SRT dose (2.5% per Gy, 95% CI: [1.5–3.6% per Gy]) and decreased with pre-SRT PSA (–18.3% per 1 ng/mL increase, 95% CI: [–26.3% to –10.4%]). No other variables were independently predictive of bPFS (Table 3).

### **3.3. Late toxicity**

In the 13 series describing severe (grade ≥ 3) late GI toxicity, those complication rates ranged from 0% to 9%. Incidences of severe GU toxicity were between 1% and 11%. Scatter plots of late toxicity against median SRT dose are shown in Fig. 2.

On univariate analysis, increasing median SRT dose was associated with increases in late grade  $\bar{3}$  GI toxicity (1.2% per Gy, 95% CI: [0.3–2.1%],  $p = 0.012$ ) as well as GU toxicity (0.8% per Gy, 95% CI: [0.1–1.6%], *p* = 0.030). GU toxicity rates also increased with increasing interval between prostatectomy and SRT (0.2% per month, 95% CI: [0.0–0.5%],  $p = 0.045$ ). (Table 2) Stepwise multivariate linear regression yielded similar results. (Table 3) SRT dose was the only independent predictor of late GI toxicity. Late GU toxicity increased with both SRT dose (0.7% per Gy, 95% CI: [0.1–1.4%]) and median interval between prostatectomy and SRT (0.2% per month, 95% CI: [0.0–0.4%]).

## **3.4. Tumour control probability (TCP) and normal tissue complication probability (NTCP) modelling**

Fitting the TCP model to bPFS data yielded an  $a$  of 0.12 Gy<sup>-1</sup>, C of 890 cells per ng/mL and *K* of 0.71. For late GI toxicity, optimal NTCP model parameters were a *TCD*50 of 74 Gy and *k* of 13 Gy. For late GU toxicity, these values were 83 Gy and 5 Gy, respectively. When GI and GU toxicity rates were added together, the optimal NTCP model parameters were a *TCD*50 of 74 Gy and *k* of 9 Gy.

NTCP modelling results are shown graphically in Fig. 2. Scatter plots of disease control and combined GI and GU toxicity are displayed in Fig. 3. TCP and NTCP modelling results are also included in Fig. 3 to demonstrate the effects of SRT dose and pre-SRT PSA on the therapeutic ratio.

# **4. Discussion**

In this study, we have identified increased SRT dose and decreased pre-SRT PSA level as independent predictors of improved 5-year bPFS. SRT dose was also identified as an independent predictor of both late GI and late GU toxicity. Using data from published SRT series, we have generated TCP and NTCP models that can be used to predict rates of disease control and severe late toxicity. With a pre-SRT PSA level of 0.4 ng/mL, for e.g. an approximately 50% chance of 5-year bPFS can be achieved with an SRT dose of 60 Gy. Severe late toxicity rates with that SRT dose are on the order of 1%. If the PSA level before SRT is 1.0 ng/mL, on the other hand, a dose of approximately 70 Gy may be required to achieve the same probability of disease control. Severe late toxicity rates at that dose may reach 10%. This hypothesis-generating exercise demonstrates how the therapeutic ratio of SRT may be improved by initiation of treatment at low PSA levels.

The finding that bPFS increases with RT dose and decreases with pre-SRT PSA is consistent with existing data. Previous single-institution reports have suggested a dose-response for SRT after prostatectomy.7–10 ASTRO consensus guidelines released in 1999 recommend that 'The highest dose of radiation therapy that can be given without morbidity is justifiable. . . the dose should be 64 Gy or slightly higher with standard fractionation.<sup>33</sup> The relationship between PSA at salvage and bPFS has also been described in singleinstitution<sup>22,34–36</sup> and multi-institutional<sup>5,29,37</sup> reports. Common definitions for PSA failure following prostatectomy include PSA  $\,$  0.4 ng/mL<sup>38–40</sup> and PSA  $\,$  0.2 ng/mL<sup>41–43</sup> Ultrasensitive PSA testing now allows for detection of PSA levels on the order of 0.01 ng/ mL. This may allow earlier identification of patients destined to relapse after prostatectomy,44,45 but initiation of salvage therapy for patients with such low PSA levels may lead to overtreatment of men who would never develop clinically meaningful recurrence.<sup>45</sup>

Previous analyses by King et al. have separately described the importance of SRT dose and pre-SRT PSA.<sup>3,6</sup> Our efforts build upon that work to investigate the interaction between these two factors as predictors of outcome. Because of the more complicated formulation of our TCP model, it is difficult to directly compare our results to previous reports. King et al. have reported that bPFS may increase by up to 3.8% per  $\text{Gy}^6$  and decrease by up to 4% with a 0.1 ng/mL increase in pre-SRT PSA.<sup>3</sup> Our modelling results demonstrate the interaction of these variables; the bPFS gain from dose escalation is greatest when PSA is high, and the effect of PSA is greatest when SRT dose is low (Fig. 3).

Interestingly, in both King's recent editorial<sup>3</sup> and our analysis, the maximum achievable 5year bPFS following SRT appears to be between 70% and 80%. This suggests that a portion of patients who receive SRT with curative intent already have occult extrapelvic disease.

Future efforts should focus on identifying such patients, who might benefit from systemic treatment rather than local therapy.

The incidence of grade  $\overline{3}$  GU toxicity was found to increase slightly (0.2% per month) with median time from prostatectomy to initiation of SRT. This subtle effect may represent a chance finding. It also may be a result of clinician bias; physicians may be more likely to delay SRT when they perceive a high risk of treatment-related morbidity. Importantly, we did not find any evidence that late toxicity *decreases* when the interval from prostatectomy to SRT is increased. In light of the favourable toxicity profiles reported in adjuvant radiotherapy trials,  $46,47$  this suggests that SRT should not be delayed for the sole purpose of limiting late toxicity.

It is important to note that all of the toxicity data used for this analysis came from series employing 2-D or 3-D treatment techniques. No published reports of toxicity following SRT delivered using more advanced techniques such as intensity-modulated radiotherapy (IMRT) had adequate follow-up for inclusion in this report. Existing reports with shorter follow-up, however, suggest that the late toxicity profile of SRT may be improved with the adoption of advanced treatment techniques. In one series with median follow-up of 30 months, SRT was delivered to a median dose of 75 Gy using IMRT, and grade ≥ 3 GI/GU toxicity was seen in only 6% of patients.48 In another IMRT series where patients received a median dose of 65 Gy in 26 fractions, no grade 3 toxicities have been reported after a median follow-up of 19 months.<sup>15</sup> A third study, in which patients were treated with IMRT to a median dose of 68 Gy, reported a 2% incidence of late grade 3 toxicity after a median follow-up of 24 months.<sup>49</sup> If modern treatment techniques are shown to reduce long-term morbidity following high-dose SRT, it would strengthen the case for dose escalation in the salvage setting. Interestingly, a 2010 survey suggests that the majority of radiation oncologists in the United States already use IMRT to deliver SRT doses of at least 70 Gy in their current practise.<sup>50</sup>

There are several limitations to this study that must be addressed. Our analysis utilised data from published reports; it would be more powerful if individual patient data were available. Though 5-year bPFS was reported in nearly every SRT study, its definition varied significantly. Events such as cause-specific death or development of distant metastasis are likely more meaningful endpoints than bPFS, but they were inconsistently reported and may require many years of follow-up. Several factors that may influence outcomes following SRT, such as PSA doubling time, were inconsistently reported and could not be incorporated into our analysis. While our modelling exercises were based on the assumption that PSA at the time of SRT is a surrogate for tumour burden, it is also possible that high PSA is simply an indicator of aggressive disease that is less likely to be cured by salvage therapy.

# **5. Conclusion**

Our analysis indicates that biochemical control rates following SRT increase with SRT dose and decrease with pre-SRT PSA. Severe late GI and GU toxicity rates also increase with SRT dose. Modelling exercises demonstrate that the therapeutic ratio of SRT may be improved by initiating treatment at low PSA levels.

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#### **Fig. 1.**

Scatter plots of 5-year biochemical progression-free survival (bPFS) against median salvage radiotherapy (SRT) dose [top] and PSA prior to SRT [bottom]. (dotted lines represent results of simple linear regression).



#### **Fig. 2.**

**Scatter plots of late grade** 3 gastrointestinal [top] and genitourinary [bottom] toxicity against median salvage radiotherapy (SRT) dose. (dotted lines represent results of normal tissue complication probability modelling).



### **Fig. 3.**

Scatter plots of biochemical progression free survival (bPFS) and combined grade  $\overline{3}$ gastrointestinal (GI) and genitourinary (GU) toxicity. Curves represent the results of tumor control probability and normal tissue complication probability modelling.



**Table 1**

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> Study characteristics Study characteristics





 $b_{\rm Time}$  to failure after prostate<br>ctomy.  $\emph{c}$  Bioequivalent dose.  $c$ Bioequivalent dose.

 $b_{\text{Time to failure after postate.comy}}$ .

 $d$  Subset of patients with adequate follow-up.  $d$ Subset of patients with adequate follow-up.

#### **Table 2**

Univariate analysis results. Each parameter was tested as a continuous variable. Shaded boxes indicate significant *p*-values (*p*< 0.05).



Abbreviations: bPFS = biochemical progression-free survival, SRT = salvage radiotherapy, RT = radiotherapy, GI = gastrointestinal/Bowel, GU = genitourinary/Bladder, ADT = androgen deprivation therapy.

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#### **Table 3**

Multivariate analysis results. In each case, forwards stepwise multilinear regression was used to arrive at the final model.



Abbreviations: bPFS = biochemical progression-free survival, SRT = salvage radiotherapy, GI = gastrointestinal/Bowel, GU = genitourinary/ Bladder.