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# Non-Invasive Quantification of Absolute Cerebral Blood Volume During Functional Activation Applicable to the Whole Human Brain

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# Abstract

**Purpose**—Cerebral blood volume (CBV) changes in many diverse pathologic conditions, and in response to functional challenges along with changes in blood flow, blood oxygenation, and the cerebral metabolic rate of oxygen. The feasibility of a new method for non-invasive quantification of absolute cerebral blood volume that can be applicable to the whole human brain was investigated.

**Methods**—Multi-slice data were acquired at 3 T using a novel inversion recovery echo planar imaging (IR-EPI) pulse sequence with varying contrast weightings and an efficient rotating slice acquisition order, at rest and during visual activation. A biophysical model was used to estimate absolute cerebral blood volume at rest and during activation, and oxygenation during activation, on data from 13 normal human subjects.

**Results**—Cerebral blood volume increased by 21.7% from  $6.6\pm0.8 \text{ mL}/100 \text{ mL}$  of brain parenchyma at rest to  $8.0\pm1.3 \text{ mL}/100 \text{ mL}$  of brain parenchyma in the occipital cortex during visual activation, with average blood oxygenation of  $84\pm2.1\%$  during activation, comparing well with literature.

**Conclusion**—The method is feasible, and could foster improved understanding of the fundamental physiological relationship between neuronal activity, hemodynamic changes, and metabolism underlying brain activation; complement existing methods for estimating compartmental changes; and potentially find utility in evaluating vascular health.

# Keywords

cerebral blood volume; blood oxygenation level dependent; functional MRI; vascular space occupancy

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Cerebral blood volume (CBV) is a fundamental physiological parameter defined as milliliters (mL) of blood per 100 mL of brain parenchyma (mL/100 mL). CBV changes in many diverse pathologic conditions such as Alzheimer's disease (1,2), brain tumors (3), acute stroke (4), arteriovenous malformations (5), schizophrenia, depression, and substance abuse (6). Such changes can precede observation of abnormalities in structural imaging (7), and could become valuable early markers of disease. CBV also changes in response to functional challenges along with changes in cerebral blood flow (CBF), blood oxygenation, and CMRO<sub>2</sub>, the cerebral metabolic rate of oxygen (8–11). CBV changes localize very well with neural activity (12), providing an important contrast mechanism for functional brain imaging (13–15).

Blood oxygenation level-dependent (BOLD) functional magnetic resonance imaging (MRI) is primarily sensitive to changes in deoxyhemoglobin concentration with activation; and calibrated functional MRI aims to dissociate changes in CMRO<sub>2</sub> from changes in CBF and CBV (16,17). CBV and oxygenation changes in arterial, capillary, and venous compartments impact BOLD differently. Many studies have assumed that CBV is related to CBF<sup>a</sup> (16,18–22), with  $\alpha = 0.38$  based on total CBV in monkeys (23), although  $\alpha$  may differ across functional challenges, brain regions, and species (17,23–27), i.e.  $\alpha = 0.23$  based on venous CBV in humans (28). Venous CBV has the largest impact on BOLD due to large oxygenation changes on the venous side (29). Optical measurements in animals indicate a complicated mechanism involving small venous CBV changes (30) as also supported by MRI in animals (31,32) and humans (28); and oxygenation changes have even been shown on the arterial side (30,33). Significant capillary CBV changes have also been suggested (30,34–36), given that capillaries are the major source of oxygen extraction and closer to activation site (36). Compartmental CBV dynamics could also change with stimulus duration (typically much shorter in optical compared to functional MRI experiments).

Non-invasive MRI approaches for CBV quantification in humans include vascular space occupancy (VASO) for relative total CBV assuming a baseline CBV (13); inflow VASO (iVASO) and iVASO with dynamic subtraction (DS) for absolute arteriolar CBV (37–39); and venous refocusing for volume estimation (VERVE) for relative venous CBV (40). A biophysical model has also been used for quantification of absolute total CBV in one (41) or five slices (42). Our proposed method is similarly based on an inversion recovery acquisition and a biophysical model for absolute quantification of total CBV in the steady-state, however, with the following changes. Extended slice coverage was enabled by efficient rotating slice acquisition over a balanced and consistent range of inversion times (TIs). Steady-state was maintained throughout varying inversion and recovery durations by non-selective saturation and spoiler gradients. The biophysical model was also modified to attribute enhanced tissue relaxation to deoxygenated blood. The current method with sensitivity to total CBV and oxygenation changes could also complement existing arterial or venous CBV weighted methods to improve our understanding of compartmental changes in different brain regions, stimulus types, and durations in humans.

# **METHODS**

#### **Pulse Sequence**

The pulse sequence is illustrated in Figure 1a. Non-selective adiabatic inversion is followed by a gradient spoiler. Acquisition of multiple slices begins at TI, followed by another gradient spoiler, global saturation, and a final spoiler to maintain steady-state by enforcing identical recovery durations for all slices (using multidirectional gradient cycling (43)).

For an inversion-recovery experiment with TI (s), repetition time (TR) (s) and longitudinal relaxation time constant  $T_1$  (s), longitudinal magnetization  $M_z$  at time TI is:

$$M_z(\mathrm{TI}^-) = M_0(1 - 2\exp\left(-\mathrm{TI}/T_1\right) + \exp\left(-\mathrm{TR}/T_1\right))$$
 [1]

where  $M_0$  is the equilibrium magnetization (A/m) established by the external magnetic field  $B_0$  (*T*). For our multi-slice acquisition with an additional saturation pulse at time TS (saturation time, s) and the described spoiling scheme, longitudinal magnetization  $M_z$  at time TI is:

$$M_z(\mathrm{TI}^-) = M_0(1 - 2\exp(-\mathrm{TI}/T_1) + \exp(-(\mathrm{TR}-\mathrm{TS}+\mathrm{TI})/T_1))$$
 [2]

The slice acquisition order is depicted in Figure 1b, and consists of shifting the order of slices over successive TRs such that the same range of TI values is covered for each slice. In the first TR, acquisition begins with the first slice at the first TI, and continues with the second slice at the second TI, third slice at the third TI ..., until the last slice is acquired at the last TI. In the second TR, the second slice is acquired in the first TI, the third slice in the second TI ..., after the last slice, acquisition continues with the first slice at the last TI. This rotating acquisition continues until each slice is acquired at each TI. While a different number of TIs and slices could be accommodated with corresponding modifications to the signal equation, in this study the number of TIs equals the number of TIs and slices.

Longitudinal signal evolution over time is simulated for a sample slice of a multi-slice acquisition over multiple TRs in Figure 1c. At time t = 0 in each TR, all magnetization is inverted non-selectively, and magnetization recovers until excitation at time t = TI. Following the excitation, magnetization begins recovering from 0 toward its equilibrium value, until non-selective saturation at time t = TS. Following the non-selective saturation, magnetization begins recovering the non-selective inversion in the next TR. Note that steady-state is established after the first TR period, and different TI values for each slice are acquired in successive TRs. The signal vs. TI curve differs between rest and activation conditions, and is used for quantification as outlined below.

#### **Biophysical Model**

Consider a voxel containing CSF and brain parenchyma, where CBV is the blood fraction in brain parenchyma. MR signal magnitude from such a voxel includes contributions from each compartment:

$$S = K.abs \left( S_{\text{CSF}} + S_{\text{OBV}} + S_{\text{DBV}} + S_{\text{TISSUE}} \right) \quad [3]$$

where *K* is a calibration factor accounting for equilibrium magnetization with transmit and receive sensitivity effects assuming uniform coil profiles and  $M_0$  (a.u.). OBV is the oxygenated blood volume, while DBV is the deoxygenated blood volume where deoxyhemoglobin-containing blood creates mesoscopic magnetic field inhomogeneity-induced signal decay in surrounding tissues (44–46). In the resting brain, arteriolar compartments are nearly fully oxygenated. However, note that OBV and DBV are functional definitions. In the activated brain, OBV and DBV may correspond to different physical parts of the blood vessel network, i.e. OBV including a significant portion of the capillary compartment if capillaries become nearly fully oxygenated during activation. Each compartment contributes to signal according to its volume fraction *F* in the voxel (dimensionless), water proton density *C* (dimensionless), effective transverse relaxation time constant  $T_2^*$  (s), and longitudinal magnetization,  $M_z$ , at the time of excitation including longitudinal relaxation effects. For the proposed pulse sequence, using  $M_z$  as defined in Eq. [2], signal at TI is:

$$S_{i}(TI) = F_{i}.C_{i}$$
  $.M_{z,i}(TI^{-}).\exp(-TE/T_{2,i}^{*})$  [4]

for i = CSF, OBV, and DBV, where TE is the echo time (s), with:

$$M_{z,i}(TI^{-}) = 1 - 2\exp(-TI/T_{1,i}) + \exp(-(TR - TS + TI)/T_{1,i})$$
 [5]

for i = CSF, OBV, DBV, and TISSUE. Extravascular tissue signal is modified by the influence of deoxygenated blood and its oxygenation level (44–46), as follows:

$$S_{\rm TISSUE}({\rm TI}) = F_{\rm TISSUE}.C_{\rm TISSUE}.M_{z,{\rm TISSUE}}({\rm TI}^-).\exp\left(-{\rm TE}/T_{2,{\rm TISSUE}} - F_{\rm DBV}.f(Y_{\rm DBV},{\rm Hct})\right) \quad \ [6]$$

where  $T_{2,\text{TISSUE}}$  is the transverse relaxation time constant (s),  $F_{\text{DBV}}$  is the fraction of DBV in the voxel (%),  $Y_{\text{DBV}}$  is the DBV oxygenation fraction (%), Hct is the microvascular hematocrit estimate (%),  $J_0$  is the zero-order Bessel function,  $\gamma$  is the gyromagnetic ratio (42.576 MHz/T), *x* is the susceptibility difference between fully oxygenated and deoxygenated blood (ppm). Note that, consistent with the original theory and utilizations in Refs. (44–47), Eqs. [6 and 7] attribute the susceptibility induced enhanced relaxation in tissue to DBV, as opposed to total CBV (41,42,47); and represent the complete solution, as opposed to asymptotic approximations (41,42,47). The total blood volume, CBV, is:

$$CBV = (F_{OBV} + F_{DBV})/(1 - F_{CSF})$$
 [8]

 $T_1$  of blood varies slightly with hematocrit and blood oxygenation, decreasing with increased hematocrit and reduced oxygenation (48,49). These dependencies are typically

(incorrectly) assumed to be negligible at 3 T, but small errors can effect quantification (50,51), and have been included in the model:

$$T_{1,\text{blood}}(Y_{\text{b}},\text{HCt}) = 1/(a.\text{Hct}+b.Y_{\text{b}}+c.Y_{\text{b}}.\text{Hct}+d)$$
 [9]

where  $Y_b$  is the average blood oxygenation fraction (%,  $Y_b = 98\%$  for OBV,  $Y_b = Y_{DBV}$  for DBV,  $Y_b = (Y_{OBV} F_{OBV} + Y_{DBV} F_{DBV})/(F_{OBV} + F_{DBV})$  for CBV), a = 2.4084 (s<sup>-1</sup>), b = 0.708 (s<sup>-1</sup>), c = -1.9998 (s<sup>-1</sup>), d = -0.2892 (s<sup>-1</sup>), based on interpolation of published results (48,49,51). For instance, commonly used blood  $T_1$ s of 1624–1627 ms correspond to  $Y_b = 81\%$  (average of measurements at arterial  $Y_b = 92\%$  and venous  $Y_b = 69\%$ ), and  $T_1$  of 1612 ms corresponds to  $Y_b = 77\%$ , approximately, both at Hct of 42% (average of male and female macrovascular Hct). Considering a lower microvascular Hct of 37.4% (85% of macrovascular Hct), blood  $T_1$ s of 1747 ms and 1703 ms correspond to arterial  $Y_b = 98\%$  and venous  $Y_b = 61\%$ , respectively (51).

 $T_2^*$  varies with macroscopic field inhomogeneities (i.e., magnet imperfections, air interfaces...) causing signal loss and spatial distortions; however, these static effects are not expected to change with brain activation and provide no physiologic information of interest.  $T_2^*$  of blood also varies with hematocrit and blood oxygenation, decreasing with increasing hematocrit and decreasing oxygenation (52,53). At 3 T under physiological conditions (52):

$$T_{2,\text{blood}}^*(Y_{\text{b}},\text{Hct}) = 1/(a^*(\text{Hct}) + b^*(\text{Hct}).(1 - Y_{\text{b}}) + c^*(\text{Hct}).(1 - Y_{\text{b}})^2)$$
 [10]

where  $a^*$ ,  $b^*$ , and  $c^*$  depend on hematocrit. For Hct = 34%, (females, for 85% of macrovascular Hct = 40%)  $a^*$ : 16.1957 (s<sup>-1</sup>),  $b^*$  = 36.5348 (s<sup>-1</sup>),  $c^*$  = 91.3478 (s<sup>-1</sup>), and for Hct = 38.25% (males, for 85% of macrovascular Hct = 45%)  $a^*$  = 16.75 (s<sup>-1</sup>),  $b^*$  = 37.625 (s<sup>-1</sup>),  $c^*$  = 103.1 (s<sup>-1</sup>) based on interpolation of published results (51,52). For example,  $T_2^*$  of blood is approximately 58 ms at  $Y_b$  = 98%, and 22 ms at  $Y_b$  = 61%, at an intermediate Hct = 36.125% (average of male and female microvascular Hct).

Functional challenges influence the voxel signal *S* through changes in compartment fractions *F* (altering the weight of each compartment according to Eq. [4], and tissue transverse relaxation according to Eq. [6]) as well as blood oxygenation  $Y_b$  (altering the transverse relaxation of both tissue and blood according to Eqs. [7 and 10], and to a lesser extent the longitudinal relaxation of blood according to Eqs. [5 and 9]). A slight curve shift occurs upon stimulation (41,42), and CBV (Eq. [8]) can be estimated by fitting the fractional signal change between rest and activation,  $(S_{act}-S_{rest})/S_{rest}$ , over multiple TI times.

#### **MRI Experiments**

Thirteen normal volunteers provided written informed consent and participated in this Yale University Institutional Review Board approved, Health Insurance Portability, and Accountability Act compliant study (five females, mean age±sd:  $31.5\pm6.5$  years, range: 24–42 years). Experiments were performed on a 3 T whole body scanner (Tim Trio, Siemens Medical Systems, Erlangen Germany) with a 32-channel receive-only phased-array head coil and body coil transmission. 3D high-resolution (MPRAGE, 1 mm isotropic,  $176 \times 202 \times 179 \text{ mm}^3$  field of view (FOV), TE/TR = 2/2530 ms) acquisition was followed by multi-

slice 2D high-resolution (FLASH, 1 mm in-plane, TE/TR = 2.5/300 ms),  $T_1$  mapping and CBV sequences with the same slice prescription. Multi-slice prescriptions consisted of 20 transverse slices covering the whole brain including the calcarine fissure with 4 mm slice thickness and 2 mm gap in the current study; however, the number of slices can be adjusted according to the desired application with corresponding changes in the number of TIs and acquisition time as described in the Pulse Sequence section. Figure 2 depicts the typical slice prescription. All inversion and saturation pulses were applied non-selectively. CBV sequence parameters were: TE/TS/TR = 11 ms/1.2 s/3 s, gradient-echo EPI, 192 × 256 mm<sup>2</sup> FOV, 4 × 4 mm<sup>2</sup> in-plane. TI values were acquired in 3 sets of 20 TIs at the following values:

$$\mathbf{TIs}(n,s) = \mathrm{TI}_{\mathrm{start}} + (n-1) \times \mathrm{TI}_{\mathrm{shift}} + (s-1) \times \mathrm{TI}_{\mathrm{gap}}$$
 [11]

where  $TI_{start} = 400 \text{ ms}$ ,  $TI_{shift} = 13 \text{ ms}$ ,  $TI_{gap} = 38.51 \text{ ms}$ , n = 1-3, and s = 1-20, covering the TI range of 400-1158 ms with 13 ms resolution. Figure 3 shows sample images at different TIs. For T<sub>1</sub> mapping, TI values covering the TI range of 120–2400 ms were acquired in steps of 120 ms with TE/TS/TR = 11 ms/2.5 s/6 s and three repetitions. Stimulation consisting of a full-field black-and-white flashing checkerboard (frequency 10Hz) was presented on a back-projection screen viewed from a mirror mounted on the head coil. CBV data were acquired during presentation of a block paradigm of three OFF/ON cycles programmed in Eprime (Psychology Software Tools, Sharpsburg, PA). ON and OFF blocks were of 78 s duration each, where 18 s of each transition was allowed for settling of the hemodynamic response, such that each 20 slice acquisition lasted 7 min 48 s (six blocks of 78 s). This paradigm was repeated with three sets of TI values, and three repetitions each on 12 volunteers in ascending interleaved order. On one volunteer, ascending and descending interleaved orders were compared using two sets of TI values and two repetitions. CBF was measured using Q2TIPS Pulsed ASL (54) on ten volunteers with the following parameters: TE/TI1/TR = 20 ms/1.4 s/3 s, 10 cm adiabatic inversion of slabs 2 cm inferior and superior to the imaging slab for labeling and control, a bipolar gradient of 5 cm/s to suppress signal contamination from labeled arterial water within large vessels, stimulation with one repetition and four ON/OFF cycles, with resolution and coverage matching CBV acquisitions.

#### **Data Processing**

Time series images were grouped into volumes with the same contrast (same TI times), and motion corrected using SPM (Statistical Parametric Mapping, www.fil.ion.ucl.ac.uk/spm/). Motion correction involved registering all images via a six-parameter affine transformation initially to the middle image in each time series, finding the average of this registration, and then registering to this average. Linear drift correction was applied, and data were averaged over blocks for each of the repetitions and TI sets. As customary, absolute CBF was calculated from the difference between interleaved labeled and control image pairs, averaged over multiple acquisitions (55–57). Data were transformed to a common wholebrain template defined by the Montreal Neurological Institute (MNI) using BioimageSuite (www.bioimagesuite.org) using a combination of three transformations: linear transformations that co-register each subject's functional images (average over TI values) to

the same subject's high-resolution 2D, then 3D images, and a non-linear transformation that co-registers these 3D images to the MNI brain. Tri-linear interpolation was employed for regridding and all analyses were performed in MNI space at  $4 \times 4 \times 4 \text{ mm}^3$  resolution.

Fitting used a procedure similar to Ref. (41): an admissible range of CSF fractions was determined using assumed parameters (using all combinations of CBV values of 0–10% with oxygenation of 60-100%); the remaining parameters (CBV<sub>rest</sub>, CBV<sub>act</sub>, Y<sub>b,act</sub>) were derived by fitting the relative signal change between rest and activation,  $(S_{act}-S_{rest})/S_{rest}$ , to the biophysical model using four-parameter weighted nonlinear leastsquares fitting for each possible  $F_{\text{CSF}}$  (with  $R^2 < 0.95$ ). In our case, calculations were performed at each voxel for 20 slices rather than over one slice; weighted fitting (with an initial ordinary fit to derive weights) limited contributions from low signal-to-noise ratio (SNR) TIs; and 20 or 60 TIs were used rather than 14 TIs, with multiple (10) random initializations. Matlab constrainedminimization functions (Mathworks, Natick, MA) were used for fitting (upper and lower bounds: 0-100% for blood fractions, 50-100% for oxygenation; constraints: blood, CSF, and tissue fractions add up to 100%). CSF and tissue  $T_1$ s for each subject were obtained from  $T_1$  maps generated by least-squares fitting to signal over multiple TIs. CSF and tissue region of interest (ROIs) were manually generated with guidance from  $T_1$ -weighted images. The largest (three) values in the CSF ROI were assumed to contain pure CSF (58) and averaged, leading to CSF  $T_1$  of 4183±0.387 ms (mean±sd across subjects, vs. published values of 4300 ms (59) and 3700 $\pm$ 500 ms (60)). Tissue  $T_1$  values were averaged over ROIs and corrected for blood contribution (of 6% in occipital gray matter (GM) with  $T_1$  of 1627 ms), leading to occipital GM  $T_1$  of 1265±55 ms (mean±sd across subjects, vs. published values of 1283±37ms to 1356±26 ms (61) and 1122±117 ms (59)). Microvascular hematocrit was assumed to be 85% of macrovascular hematocrit (23) of 45% in males and 40% in females (62). OBV was assumed to be nearly fully oxygenated ( $Y_{OBV} = 98\%$ ), occupying 21% of CBV at rest (considering baseline CBV consisting of 21% arterial/ arteriolar, 33% capillary, 46% venous contributions based on microvascular morphometry (11,63)). DBV consists of the remaining capillaries and venules at rest, with  $Y_{capillarv} = 77\%$ and  $Y_{\text{venule}} = 61\%$  typically assumed at rest considering an exponential drop in oxygen saturation from arterioles to venules (52,64), such that  $Y_{\text{DBV,rest}} = 68.78\%$  (vs. published values of  $Y_{v,rest} = 68.7\%$  (28) and  $Y_{v,rest} = 69\%$  (65)). No assumptions were made regarding OBV vs. DBV fractions, or Y<sub>DBV</sub>, during activation. Parameters used in fitting are listed in Table 1. TI sets were processed both separately (20 TI values starting at 400 ms, 413 ms, or 426 ms, at 39 ms resolution) and together (combined into 60 TI values, at 13 ms resolution). Repetitions were also processed both individually, and after averaging. Student's t-tests (two-tailed) were used for comparisons. Results are reported in an ROI consisting of Brodmann areas 17 and 18 defined on the MNI brain (66).

Experimental SNR was calculated at each TI from mean ROI signal divided by the standard deviation of noise in a non-brain region. Sensitivity of the model to errors in assumed parameters was assessed in simulations. Datasets were generated by introducing -10% to +10% errors in assumed parameters, and fitted using the standard parameters of Table 1. Sensitivities were assessed over all combinations of the following parameters: CBV<sub>rest</sub> of 5, 5.5, 6, 6.5, and 7 mL/100 mL; CBV increases of 30, 35, and 40\%; OBV fractions of 26, 31,

and 36% with  $Y_{\text{DBV}}$  of 76, 78, and 80% during activation; and CSF fractions of 0, 10, and 20%.

# RESULTS

Signal levels varied with TI while noise standard deviations were consistent over time as expected, resulting in an average SNR of 586 over the TI range (i.e., SNRs of 1136, 987, 822, 681, 552, 426, 317, 238, 206, 209, 252, 323, 406, 492, 576, 660, 743, 823, 897, 982 at TI times 400, 439, 477, 516, 554, 593, 631, 670, 708, 747, 785, 824, 862, 901, 939, 978, 1016, 1055, 1093, 1132 ms, respectively). Phantom experiments acquired over multiple TI times confirmed Eq. [2] (15 phantoms with TI: 268, 281, 309, 314, 328, 394, 563, 579, 672, 793, 1214, 1278, 3234, 3280, 3324 ms, data not shown) supporting efficacy of the described saturation and spoiling schemes.

Stimulation resulted in bilateral occipital lobe activation in all volunteers. On average, CBV increased by 21.7% from 6.6 mL/100 mL at rest to 8.0 mL/100 mL during visual activation (Table 2, combination of TI sets and average of repetitions). Within group standard deviations were smaller at rest (0.8 mL/100 mL, CBV range: 5.6-8.1 mL/100 mL) than during activation (1.3 mL/100 mL, CBV range: 6.3-10.1 mL/100 mL).  $Y_{\rm b}$  was  $84\% \pm 2.1\%$  during activation, CSF volume fractions varied from 7.1% to 15.2%, covering approximately 11% of the ROIs on average. CBF responses were higher than CBV responses as expected: On average, CBF increased by 60.3% from  $79\pm31$  mL/min/100 mL at rest to  $126.6\pm42$  mL/min/100 mL during activation.

Table 3 summarizes the sensitivity of the model to errors in assumed parameters. Introduction of -10% to 10% intentional error in assumed parameters results in a comparable range of errors in the fitted parameters. Errors in Hct and resting oxygenation have the largest influence on CBV estimates, with T<sub>2</sub> and  $T_2^*$  values having smaller influences on all estimates. Overestimation of Hct results in overestimation of CBV values and underestimation of the remaining parameters of CBV change, oxygenation during activation, and CSF fractions. Conversely, overestimation of resting oxygenation results in underestimation of CBV values and overestimation of the CBV change, oxygenation during activation, and CSF fractions. Overestimation of resting oxygenation level results in slightly smaller errors in all parameter estimates compared to its underestimation, except for CSF fraction; so it appears better to overestimate resting oxygenation level when CSF fraction is not the primary parameter of interest. The remaining parameters have more symmetric effects on overall error.

Slice coverage in the current study was extended by rotating the slice acquisition order across multiple TRs. Consistent recovery times for all slices were ensured using a saturation pulse following the last slice in each TR, which leads to a slight reduction of effective TR and SNR (Fig. 1c). This acquisition strategy could also affect spin history, if moving spins experience excitation pulses at multiple slice locations in the same TR. The extent of this spin history effect was tested by comparing acquisitions in ascending vs. descending slice orders. CBV values at rest and activation resulting from two repetitions of ascending vs. descending acquisitions on one volunteer are shown in Table 4. No significant differences

were found (P>0.05) between CBV values at rest, or between CBV values during activation (P>0.05), and differences between all CBV values at rest vs. activation were significant (P<0.05).

We compared the effect of processing each repetition separately or as an average, and found no significant difference between CBV values at rest (P>0.05), or between CBV values during activation (P>0.05). In each case, differences between CBV values at rest vs. activation were significant (P<0.05). Similarly, we compared the effect of processing individual sets of TI values, and from the combination of all TI sets. Once again, no significant differences were found between CBV values at rest (P>0.05), or between CBV values during activation (P>0.05), and differences between CBV values at rest vs. activation were significant (P<0.05). While a 7 min 48 s paradigm was repeated for three different sets of TI times with three repetitions each, taking a total of 70.2 min in this initial study, scan time can be substantially reduced based on these equivalent results.

# DISCUSSION

The feasibility of non-invasive quantification of absolute CBV with extended slice coverage was investigated. Estimates on healthy subjects using visual stimulation agree well with literature. Resting GM arterial CBV (CBVa) was reported as 1.605 mL/100 mL (39) and 2.04±0.27 to 0.76±0.17 mL/100 mL (38) using iVASO. Considering 21% arterial/arteriolar contribution to baseline CBV (11,63), our CBV<sub>rest</sub> corresponds to CBVa of 1.38 mL/100 mL, well within the range of iVASO results. Our CBV<sub>rest</sub> results are also consistent with occipital cortical GM CBV of 6.67±1.07 mL/100 g of tissue (7 mL/100 mL with a brain tissue density of 1.05 g/mL) obtained using bolus tracking (71). Smaller CBV<sub>rest</sub> values of 5.0±1.5 and 5.6±0.3 mL/100 mL were reported using the biophysical model (41,42). In Ref. (41), one of the five volunteers had a  $CBV_{rest}$  of only 2.5 mL blood/100 mL while the remaining volunteers' CBV<sub>rest</sub> values averaged to 5.7 mL/100 mL. Another contribution to these differences may be from the various thresholds used: a  $T_1$  threshold excluded voxels with T1>1.45 s with CBVrest of 11.3 mL/100 mL and CBVact of 13.9 mL/100 mL tissue, and an SNR threshold may have excluded regions included in our study. CBV changes during visual activation in humans have previously been reported as  $(18.8\pm2.8)\%$  (72) and  $(27\pm4)\%$ (73) using bolus tracking;  $(32.4\pm11.9)\%$  (41) and  $(31\pm3.4)\%$  (42) using the biophysical model; and (56±1)% using multi-echo VASO (47), and our study found increases of 21.7% in closer agreement with bolus tracking. Figure 4 depicts occipital cortex CBV increases with visual stimulation. CBF measurements agree well with pulsed arterial spin labeling (PASL) where CBF increased by 55% during high-intensity visual stimulation (25), and flow-sensitive alternating inversion recovery (FAIR) where CBF increased by 58-64% with a flashing checkerboard (51). Using  $CBV_{act}/CBV_{rest} = (CBF_{act}/CBF_{rest})^{\alpha}$ , the average increases in CBV and CBF lead to  $\alpha = 0.42$  matching expectations ( $\alpha = 0.38$  (23),  $\alpha = 0.5$ (11)). Further studies are desirable to evaluate variations of this relationship across brain regions, gender, or functional challenges.

At rest, OBV was assumed to coincide with arterial CBV; however, CBV distribution among arterial vs. capillary/venous compartments could be different during activation. While we cannot pinpoint the exact distribution of arterial vs. capillary/venous CBV

changes with activation, our knowledge of the total CBV increase and oxygenation levels can determine possible ranges for these parameters as illustrated in Figure 5. In Figure 5a, FO is the fraction of oxygenated CBV (FO = OBV/CBV, with oxygenation YO = 98%), FD is the remaining deoxygenated CBV fraction (FD = 1-FO = DBV/CBV, with oxygenation YD), and Y is the resulting oxygenation (Y = YO.FO+ YD.FD). The blue curve represents Y = 74% with Point A corresponding to the assumption of FO = 21% at rest. Activation shifts Point A to the red curve representing Y = 84%. The change in CBV determines the maximum and minimum possible FO during activation, thus the range for YD. For instance, if the CBV increase of 20% occurs entirely in OBV, Point A would move to Point D; otherwise, if the CBV increase of 20% occurs entirely in DBV, Point A would move to Point E, such that YD is limited to the range  $\sim$ 76–81% (dashed curves, FO  $\sim$ 17.5–34%). Venous oxygenation in the activated brain was previously reported as 78% during visual stimulation in healthy volunteers (65), in agreement with this range. Possible parameter ranges are also shown for CBV increases of 10% and 30%. Given the depicted resting baseline CBV distribution and oxygenation, Figure 5b shows fractions (F) and oxygenations (Y) corresponding to a 20% increase in CBV occurring entirely in the arterial, capillary, or venous compartments, as well as a more realistic mixed case considering volume changes in all compartments. In the mixed case, the 20% increase in CBV was distributed as follows: 50% arterial, 30% capillary, 20% venous (i.e., CBV increase of 1.4 mL distributed as 0.7 mL arterial+0.42 mL capillary+0.28 mL venous), corresponding to an arterial CBV increase of ~50%, capillary CBV increase of ~16%, and venous CBV increase of ~10% as observed using venous refocusing for volume estimation (28).

Quantification assumes that blood, CSF, and tissue experience the described pulse sequence; however, flow could introduce errors due to spin populations missing pulses or experiencing extra pulses. Since inversions and saturations are non-selective, uninverted or unsaturated CSF contributions from outside the imaging volume are unlikely with slow CSF flow velocities (0-2 cm/s). Figure 2 shows the region to be traversed between pulses for such contributions. In the worst-case scenario with non-tortuous superior flow, the imaging volume could include uninverted blood for velocities exceeding ~74 cm/s, or unsaturated blood for velocities exceeding ~16 cm/s, corresponding to large (~5–10 mm diameter) and small (~1 mm diameter) arteries, respectively. Following contrast bolus injection in internal carotid arteries, blood arrives in the frontal and parietal arterial tree in ~2 s with an additional ~3 s to arrive in the capillaries and another ~2 s to arrive in the veins; arrival in the occipital lobe takes an additional ~4–5 s compared to frontal and parietal areas (74). In this more realistic scenario, uninverted or unsaturated blood contributions are unlikely for vessels of interest even with smaller coil coverage.

On the other hand, spin history contamination with extra excitation pulses is possible for blood at certain velocities at certain TRs, if the time for blood to travel between two slices, *t*, equals the difference in the TI times of these slices,  $_{TI}$ . In the rotating order,  $_{TI}$  is a function of TR, such that blood experiencing an extra excitation in a certain TR would need to flow at a different velocity for contamination in other TRs. Secondly, blood experiencing an extra excitation in a different slice recovers from zero toward equilibrium, always reaching the same signal level at *t*, instead of following IR behavior over multiple TI times.

Figure 6 depicts worst case scenarios for contamination in slices at the edge and center of the imaging volume from adjacent slices, over multiple TI times. The slowest velocities for contamination exceed those expected in microvasculature (>1.5 cm/s), but could result from a combination of large and small vessels. Slices of interest are acquired before the contaminating slices for shorter TI times, such that no contamination can occur. When the acquisition order changes and contamination from flow occurs, signal level remains constant (blue and green curves) instead of following IR behavior over TI times (black curve). Estimates are derived by fitting to signal behavior over the TI range, but both factors cause signal behavior to differ from the biophysical model, unlikely to result in fits with  $R^2$ >0.95 and contribute to results, as also supported by the lack of significant spin history contamination across ascending vs. descending acquisitions.

Absolute quantification using multiple TI values limits the current method to steady-state measurements with a minimum duration of (dynamic periods+TR × nTIs) × (2 for ON/OFF), corresponding to ~2.5 min in the current implementation. Dynamic periods between ON and OFF cycles (18 s) were allowed for settling of the hemodynamic response and unused, but could offer an opportunity for dynamic monitoring: quantitative steady-state estimates could potentially calibrate and quantify dynamic but relative CBV measurements (i.e., such as VASO which requires knowledge of resting CBV for quantification, or venous refocusing for volume estimation for venous contributions), providing a more complete picture of CBV changes during functional activation than either method alone.

CBV quantification involves more parameters than we can directly estimate from the dataset (i.e., as opposed to a simple exponential decay with two parameters), and parameters have to be assumed or separately measured. Pathologies may further limit the number of parameters that can safely be assumed. Although fast methods are available for quantification of tissue parameters (60,75), application of the current method to patients may be limited unless such parameter maps also prove valuable adjuncts to standard imaging and the remaining parameters (i.e., hematocrit, arterial oxygenation levels) can be determined in disease. CSF fraction changes with activation were negligible in the occipital cortex (50,76), and allowed us to limit the number of parameters in the current study. However, CSF may have larger effects in other brain areas (67,68,76) and it is desirable to extend the model to allow for such changes in future studies.

# CONCLUSIONS

We have shown the feasibility of non-invasive absolute CBV quantification during functional activation with extended slice coverage. Our improvements enable multi-slice acquisition by rotating the slice order while maintaining balanced and consistent TI ranges across all slices, and steady-state throughout varying inversion and recovery durations. CBV estimates in healthy subjects were consistent with prior publications. The proposed method holds great potential for advancing our understanding of the fundamental physiological relationship between neural activity and hemodynamic regulation under normal, pathological, and neuronally active conditions. This approach also complements existing methods for estimating compartmental changes; and could potentially find utility in evaluating vascular health.

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#### FIG. 1.

**a**: Multi-slice pulse sequence diagram. INV: inversion, SAT: saturation, Spoil: gradient spoiler, TI: inversion time, TS: saturation time, TR: repetition time, Aq: acquisition. Slices are acquired using standard EPI with EPI gradients consisting of slice selection (Gz), blipped (Gy), and alternating (Gx) components. Spoiler gradients can be on one, two, or three axes (following the multidirectional gradient cycling scheme proposed by Lu et al. (43)) during different TRs. **b**: Slice acquisition order within each TR and over successive TRs, depicted for *n* slices acquired at *n* TI values. **c**: Longitudinal signal evolution over multiple TRs, signal simulated for the first slice of a 6-slice acquisition with one preparation pulse to achieve steady-state (blue) and TR = 3 s, TIs = (0.4, 0.54, 0.68, 0.82, 0.96, 1.1)s, TS = 1.5 s,  $T_1 = 1.2$  s. Note that these parameters were selected for clarity of the display and differ from actual experimental parameters.



# FIG. 2.

Slice prescription relative to iso-center (red, on second slice) and coil coverage (green, for 60 cm coil coverage). Arrow heads point to the internal carotid arteries.





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#### FIG. 4.

Increase in CBV (mL blood/100 mL) in the occipital cortex with visual stimulation; composite image over all volunteers.



#### FIG. 5.

**a**: Blood oxygenation as a function of the underlying CBV distribution (OBV vs. DBV) and oxygenation (of DBV), at rest and during activation. FO: fraction of oxygenated CBV (FO = OBV/CBV, with oxygenation YO = 98%); FD: fraction of deoxygenated CBV (FD = 1–FO = DBV/CBV, with oxygenation YD); *Y*: oxygenation (*Y* = YO.FO+YD.FD). Activation shifts Point A (with FO = 21% at rest) to the activation curve. The increase in CBV determines the maximum and minimum possible FO during activation, thus the range for YD. Possible parameter ranges are shown for CBV increases of 10, 20, and 30%. For instance, if the CBV increase of 20% occurs entirely in OBV, Point A moves to Point D; otherwise, if the CBV increase of 20% occurs entirely in DBV, Point A moves to Point E, limiting YD to the range ~76–81% (dashed curves, FO ~17.5–34%). **b**: Fractions, *F*, and oxygenation levels, *Y*, in blood compartments at baseline, and with a 20% increase in CBV shown for: the CBV increase occurring entirely on the arterial side, the capillary side, the venous side, or a more realistic distribution over the three compartments (50% of CBV increase in arterial, 30% in capillary, and 20% in venous compartments).



## FIG. 6.

Signal with and without blood spin history contamination, shown for slices at the edge (Slice 1, left) and center (Slice 10, right) of the imaging volume over multiple TI times, with and without flow, for worst case scenarios requiring the slowest blood flow velocities. Slice 1 is most easily contaminated by superior to inferior flow of blood excited during the acquisition of Slice 2, while Slice 10 is most affected by Slices 9 or 11. No contamination can occur at shorter TI times where the slices of interest (1 and 10) are acquired before the contaminating slices (2, 9, and 11). When the acquisition order changes and contamination from flow occurs, signal level in the slices of interest remains constant (blue and green curves) instead of following IR behavior over TI times (black curve).

# Table 1

# Parameters Used in Model Fitting

Parameter	Description	Value
Hct <sub>MALE</sub>	Microvascular hematocrit in males (%)	38.25% (23,62)
Hct <sub>FEMALE</sub>	Microvascular hematocrit in females (%)	34% (23,62)
$C_{\rm BLOOD}$	Blood water proton density (mL water/mL blood)	0.95–0.22 × Hct (69)
$C_{\rm CSF}$	CSF water proton density (mL water/mL CSF)	1 (69)
$C_{ m GM}$	GM water proton density (mL water/mL GM)	0.89 (69)
x	Susceptibility difference between oxygenated and deoxygenated blood (ppm)	0.2 (44)
$_{\rm CSF}T_2^*$	CSF effective transverse relaxation time constant (ms)	1442 (38)
GM T <sub>2</sub>	GM transverse relaxation time constant (ms)	71.1 (50,52)
(OBV/CBV) <sub>REST</sub>	Oxygenated blood volume fraction at rest (%)	21% (11,63)
$Y_{\rm OBV}$	OBV oxygenation fraction (%)	98% (70)
Y <sub>DBV,REST</sub>	DBV oxygenation fraction at rest (%)	68.78% (11,52,63,64)

#### Table 2

Results from Processing All TI Sets and the Average of All Repetitions

Subject	CBV1	CBV2	$Y_b 2$	FC
1	6.2	8.3	86.2	9.8
2	6.2	7.4	83.6	11.6
3	6.7	8.2	86.3	7.1
4	5.6	6.4	83.4	7.2
5	6.1	6.9	81.1	12.2
6	7.6	9.3	84.8	11.6
7	6.7	8.9	87.0	10.3
8	8.1	9.4	83.2	15.2
9	7.3	8.7	83.7	12.0
10	7.3	10.1	86.2	13.4
11	5.6	6.3	80.2	7.7
12	5.7	6.5	82.7	9.3
Mean	6.6	8.0	84.0	10.6
Std	0.8	1.3	2.1	2.5

CBV1: CBV at rest (mL/100 mL), CBV2: CBV during activation (mL/100 mL), Yb2: blood oxygenation fraction during activation (%), FC: CSF fraction (%).

Sensitivity of Model to Errors in Assumed Parameters

Parameter <sup>a</sup>	Hematocrit	Y <sub>DBV,rest</sub>	Dx	$GM T_2$	$\operatorname{CSF} T_2^*$
CBV change	-10 to 4%	-9 to 12%	-7 to 10%	-4 to 4%	-1 to 1%
CBV1	-4 to 15%	-8 to 10%	-7 to 8%	-4 to 6%	-1 to 1%
CBV2	-3 to 12%	-6 to 7%	-5 to 6%	-4 to 5%	-1 to 1%
$Y_{ m b,2}$	-1 to 0%	-1 to 1%	-1 to 1%	0 to 0%	0 to 0%
FC	-4 to 1%	-3 to 2%	-2 to 2%	-1 to 1%	0 to 0%

<sup>a</sup>Values denote percentage difference with respect to the true values. For instance, 20% error in CBV2 for 5 mL/100 mL corresponds to 1 mL/100 mL.

Hct: hematocrit, YDBV, REST: DBV oxygenation fraction at rest, x: susceptibility difference between oxygenated and deoxygenated blood. CBV change: (CBV2 - CBV1)/CBV1 (%); CBV1 at rest (mL/100 mL), CBV2: CBV during activation (mL/100 mL), Yb2: blood oxygenation fraction during activation (%), FC: CSF fraction (%).

Datasets were generated by introducing intentional -10% to +10% errors in assumed parameters, and fitting was performed using the standard parameters listed in Table 1.

True parameters ranges used in fitting were CBV1 = 5-7 mL/100 mL; CBV2 = 6.5-9.8 mL/100 mL; Yb2 = 76-80%; CSF fraction = 0-20%.

#### Table 4

Results from Acquisition of Multiple Slices in Ascending Versus Descending Interleaved Orders

Order	CBV1	CBV2	<i>Y</i> <sub>b</sub> 2	FC
Asc	6.1	7.6	87.1	6.8
Des	6.0	7.4	87.1	7.3
Asc	6.2	7.8	84.7	8.5
Des	6.2	7.6	86.7	8.8
Mean	6.1	7.6	86.4	7.9
Std	0.1	0.2	1.1	0.9

CBV1: CBV at rest (mL/100 mL), CBV2: CBV during activation (mL/100 mL), Yb2: blood oxygenation fraction during activation (%), FC: CSF fraction (%), Asc: ascending, Des: descending.