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Molecular Mechanism of Active Zone Organization at Vertebrate Neuromuscular Junctions

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Abstract

Organization of presynaptic active zones is essential for development, plasticity, and pathology of the nervous system. Recent studies indicate a trans-synaptic molecular mechanism that organizes the active zones by connecting the pre- and the postsynaptic specialization. The presynaptic component of this trans-synaptic mechanism is comprised of cytosolic active zone proteins bound to the cytosolic domains of voltage-dependent calcium channels (P/Q-, N-, and L-type) on the presynaptic membrane. The postsynaptic component of this mechanism is the synapse organizer (laminin $\beta 2$) that is expressed by the postsynaptic cell and accumulates specifically on top of the postsynaptic specialization. The pre- and the postsynaptic components interact directly between the extracellular domains of calcium channels and laminin $\beta 2$ to anchor the presynaptic protein complex in front of the postsynaptic specialization. Hence, the presynaptic calcium channel functions as a scaffolding protein for active zone organization and as an ion-conducting channel for synaptic transmission. In contrast to the requirement of calcium influx for synaptic transmission, the formation of the active zone does not require the calcium influx through the calcium channels. Importantly, the active zones of adult synapses are not stable structures and require maintenance for their integrity. Furthermore, aging or diseases of the central and peripheral nervous system impair the active zones. This review will focus on the molecular mechanisms that organize the presynaptic active zones and summarize recent findings at the neuromuscular junctions and other synapses.

Keywords

Bassoon; Calcium channel; Laminin; LEMS; NMJ; Pierson syndrome

1) Introduction

The nervous system transmits information from the presynaptic neurons to the postsynaptic neurons or to target cells primarily at the chemical synapse. These synapses are formed by the differentiation of axons into a functional presynaptic terminal containing synaptic vesicle release sites, the active zone. Thus, the formation and maintenance of active zones are essential for the function of the central and peripheral nervous system. The neuromuscular junctions (NMJs) of the peripheral nervous system are large and isolated synapses. Thus, they are ideal for studying subcellular structures, such as the presynaptic active zones. The goal of this review is to highlight recent findings on the molecular mechanism of presynaptic active zone formation (and maintenance) at vertebrate NMJs. Similar to the vertebrate NMJ, *Drosophila* and *Caenorhabditis elegans* NMJs are ideal systems for

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studying the molecular mechanism of active zone organization, but these synapses have different active zone proteins compared to vertebrate NMJs and have been reviewed in detail elsewhere [1-7]. The molecular constituents of the active zones in vertebrate synapses have also been well studied in the central nervous system and sensory neurons and are reviewed in detail elsewhere [8-11; 6].

2) Location and Shape of Active Zones at NMJs

Active zones are the electron-dense thickening of the presynaptic membrane where synaptic vesicles fuse with the presynaptic membrane for exocytosis, determined based on the analysis of frog NMJs using transmission electron microscopy [12]. In frog NMJs, freeze-fracture electron microscopy detected long, parallel rows of large intramembranous particles on the P-face (interior of the cytosolic half of a plasma membrane) and exocytosis events adjacent to these particles [13]. The number of active zones correlates well with the quantal content at the frog NMJs [14]. However, the active zones in human, mouse, rat, and lizard NMJs have different organization compared to the frog NMJs. By freeze-fracture electron microscopy, these NMJs have exhibited two parallel arrays of 10-12 nm intramembranous particles arranged in two rows, with each active zone containing 20 of these intramembranous particles [15-18]. These intramembranous particles are thought to include presynaptic voltage-dependent calcium channels. Electron microscope tomography analysis revealed extensive level of detail of the presynaptic structures in the frog [19] and mouse NMJs [20]. Based on these tomography analyses, the active zones detected by transmission electron microscopy and the intramembranous particles detected by the freeze-fracture electron microscopy have been linked together and shown to be part of a large presynaptic protein complex. Three-dimensional reconstruction of serially sectioned transmission electron micrographs has shown the discrete locations of active zones scattered in the presynaptic terminal of mouse NMJs [21]. These ultrastructural analysis data suggest that the active zones of mammalian NMJs are discrete, scattered structures in the presynaptic terminal, and the active zones of frog NMJs are an elongated, continuous structure.

3) Active Zone-Specific Proteins at NMJs

The constituents of the active zones in vertebrate synapses are called the cytoskeletal matrix at the active zone (CAZ) [22] and include Bassoon [23], CAST/ELKS/Erc family proteins [24-26], Munc13 [27; 28], Piccolo [29], and RIM1/2 [30] (Table 1). In embryonic mouse NMJs, the active zone proteins Bassoon, Piccolo, and CAST/ELKS family proteins have exhibited a punctate pattern at the presynaptic terminals [31]. Bassoon and Piccolo proteins also exhibit a punctate pattern in adult mouse NMJs [32; 33] (Fig. 1). The active zone proteins ELKS, Munc13-1, and RIMs, but not CAST/ELKS2 α , have also been detected in adult NMJs of rodents [34; 35]. The discrete punctate patterns of these active zone proteins in rodent NMJs are also supported by the following studies. Freeze-fracture electron microscopy and three-dimensional reconstructions of transmission electron micrographs has revealed active zones at discrete locations within the presynaptic terminal of rodent NMJs [15; 18; 21; 20]. The NMJ active zones are not just one large continuum throughout the presynaptic terminal (Fig. 1). The independent probability of release of each active zone within one NMJ can be deduced from the heterogeneity of the synaptic vesicle release within one presynaptic terminal [36; 37]. The CAZ proteins are detected by immunoelectron microscopy and confocal microscopy specifically at the active zones but not diffusely within the presynaptic terminals of different synapses [38-43]. Immunoelectron microscopy of the active zone proteins at the NMJs has not yet been performed, but the various aforementioned analyses suggest that the active zone-specific proteins are distributed primarily at discrete punctate locations in the NMJs. Similar but elongated active zone structures were confirmed

in frog NMJs from developmental stages to adult stages by freeze-fracture electron microscopy [44] and in adults by electron microscope tomography [19].

These active zone proteins are suggested to function in active zone formation and maintenance at NMJs, in neurotransmitter release, or in both. In the following paragraph, anatomical and functional consequences of gene deletions will be discussed (Table 1). The key protein-protein interactions of these proteins for active zone formation will be discussed in “Tethering Active Zone Proteins by Presynaptic Calcium Channels.”

Bassoon is a large cytosolic scaffolding protein that localizes at the active zones of ribbon synapses and some brain synapses [23; 45]. Piccolo is also a large cytosolic scaffolding protein that is structurally related to Bassoon [46]. Interestingly, Bassoon mutant mice exhibit free-floating ribbons (active zone structures) at photoreceptor synapses [47; 48] and have a reduced level of Ca^{2+} influx at ribbon synapses of hair cells of the auditory system [49]. The similarities between the ribbon synapses and the NMJs for active zone organization will be described in “Active Zone Organization by a Synapse Organizer Laminin β 2 and Its Receptor P/Q-Type VDCC”. The roles of Bassoon and Piccolo in the synaptic vesicle clustering at the active zones have been demonstrated in the analyses of the central nervous system synapses in single knockout mice for Bassoon or Piccolo, as well as in the Piccolo knockout/Bassoon knockdown mice [50; 47; 48; 51-56]. However, NMJs have not been analyzed in these mice, and the consequence of the deletion of these proteins on NMJ active zones remains unknown. An experimental manipulation to decrease the level of endogenous Bassoon protein in mouse NMJs has caused a decrease in the number of active zones [32] (described in “Active Zone Organization by a Synapse Organizer Laminin β 2 and Its Receptor P/Q-Type VDCC”). These results suggest that Bassoon may play an important role in the organization of active zones at NMJs.

CAST/ELKS/Erc family are scaffolding proteins with four coiled-coil domains and include CAST/ELKS2 α /Erc2 and ELKS/CAST2/Erc1 [57]. ELKS2 α /CAST knockout mice exhibit a normal number of docked synaptic vesicles and normal ultrastructure of synapse morphology in the hippocampal CA1 region [58]. However, the deletion of ELKS2 α /CAST caused an increase of inhibitory neurotransmitter release and exploratory behaviors. The NMJs were not analyzed in the ELKS2 α /CAST knockout mice, but this mutant is less likely to exhibit an active zone phenotype at the NMJs because ELKS2 α /CAST expression was not detected in the adult spinal cord by western blot [58] and in adult NMJs by immunohistochemistry [35].

The Munc13 family is comprised of three homologous members (Munc13-1/2/3) with splice variants for Munc 13-2 (b and ub) [59]. These proteins contain C₁- and C₂-domains and have roles in neurotransmitter release. Munc13-1 and ubMunc13-2, but not bMunc13-2 nor Munc13-3, were detected at NMJs by western blot analysis [60]. Consistently, Munc13-1/2-double-knockout mice and Munc13-1/2/3-triple-knockout mice exhibited identical phenotypes in the NMJ structure and function [60]. Qualitative analyses of electron micrographs have revealed active zones with synaptic vesicles accumulated around the electron-dense materials and docked synaptic vesicles in the NMJs of double-knockout mice. However, the double-knockout mice have shown an increased number of presynaptic boutons at NMJs, number of axons in peripheral nerves, and frequency of miniature end plate potentials (mEPPs). Interestingly, end plate potential (EPP) amplitude and EPP quantal content was significantly decreased [60]. Thus, the active zones may have looked qualitatively normal, but were functionally impaired in synaptic transmission in Munc13-1/2 double-knockout mice.

RIMs are multi-domain proteins formed by four genes, including three isoforms (α , β , γ) [61; 62]. RIM1 α and RIM2 α double-knockout mice have decreased mEPP frequency and EPP amplitude compared to control NMJs; however, they demonstrate no obvious ultrastructural defects at the NMJs [61]. These results suggest that the active zone protein RIM functions in synaptic transmission at NMJs. These knockout mice analyses suggest that subtle defects in active zones have significant functional effects on synaptic transmission at NMJs, and sensitive morphological analysis, a quantitative approach, for example, may be better suited for an anatomical analysis of NMJ active zones.

4) Tethering Active Zone Proteins by Presynaptic Calcium Channels

Active zone-specific proteins need to be tethered to presynaptic membranes near voltage-dependent calcium channels (VDCCs) to form active zones (Fig. 1). VDCCs [63-67] are thought to localize at or in the vicinity of the active zones because Ca^{2+} influx causes the fusion of synaptic vesicles to the presynaptic membrane [68-74], and active zones are synaptic vesicle release sites [12; 13]. Alignment between VDCCs and the synaptic vesicle release machinery is suggested by the direct interactions between presynaptic VDCCs (N- or P/Q-type) and the proteins required for synaptic vesicle release (syntaxin, SNAP-25, synaptotagmin) [75-79] and by the functional modification of VDCCs by syntaxin and SNAP-25 [80; 81]. Electrophysiological studies have demonstrated that developing rodent NMJs utilized a combination of P/Q- and N-type VDCCs but, in young adults, switched to utilizing only P/Q-type VDCCs for synaptic transmission [82; 83]. VDCCs have been detected at vertebrate NMJs using fluorescently tagged ω -conotoxin GVIA [84], Ca^{2+} indicators [85; 36], and immunohistochemical detection [86-89]. Whereas ultrastructural analysis of VDCCs at the vertebrate NMJ has not yet been performed, higher resolution imaging techniques have detected the VDCC accumulation at the active zones of *Drosophila* NMJs (using STED microscopy [90]) and ribbon synapses of mouse retina (using immunoelectron microscopy [45]). Similar detailed analysis of VDCC distribution pattern at the vertebrate NMJs needs further analysis.

A direct interaction between the active zone-specific proteins and VDCCs is necessary for tethering CAZ to the presynaptic membrane. Active zone proteins Bassoon and CAST/Erc2 interact with VDCC β subunits [31], which form a tight complex with the pore-forming α subunits of P/Q- and N-type VDCCs [91]. These active zone proteins do not interact with the C-terminal domain of P/Q-type VDCC α subunits [31], which is in contrast to the active zone protein RIM1/2 (see next paragraph). Active zone proteins Bassoon and CAST/Erc2 are significantly decreased at the NMJs of P/Q-type or N-type VDCC (α subunit) knockout mice, as well as in P/Q- and N-type VDCC double-knockout mice [31, 32]. In these knockout mice, reduction in active zones and docked synaptic vesicles was confirmed by a quantitative analysis of electron micrographs. These results suggest that VDCCs function as scaffolding proteins to tether active zone proteins to the presynaptic membrane at the NMJs (also see, "Active Zone Organization by a Synapse Organizer Laminin β 2 and its Receptor P/Q-Type VDCC).

Whereas these reports have demonstrated a molecular mechanism to organize NMJ active zones, the first direct interaction between active zone proteins and VDCCs has been reported between the active zone protein RIM and the cytoplasmic loop connecting domain II and III of the N- and L-type VDCCs using recombinant proteins of the subdomains [92]. Similarly, the active zone protein Piccolo interacts with L-type VDCC α subunits at the cytoplasmic loop between domains II and III to facilitate stimulus-secretion coupling in pancreatic beta cells [93]. RIM1 also interacts with VDCC β subunits, and this interaction suppresses the inactivation properties of P/Q- and N-type VDCCs and increases neurotransmitter release from cultured cerebellar granule cells [94; 95]. Furthermore, RIM1/2 interacts with P/Q- and

N-type VDCCs at the C-terminal domain of VDCC α subunits to tether VDCCs to the presynaptic active zones via a PDZ domain-mediated direct interaction in cultured hippocampal neurons [96]. Electrophysiological analysis of the calyx of Held in the auditory brainstem revealed a role of RIMs in targeting VDCCs to the presynaptic terminals [97]. Together with the NMJ results of double-knockout mice for RIM1 α and RIM2 α described in “Active Zone-Specific Proteins at the NMJs”, the interaction between RIMs and VDCCs functions in targeting VDCCs to the presynaptic terminals of the NMJs and thus in organizing the active zones.

Multiple active zone proteins interact with VDCC subunits as described above, and the active zone proteins form a multi-protein complex [25; 26; 98-101]. Thus, active zone proteins and VDCC may form a large protein complex, as reported for synapses of the central nervous system [102]. Consistent with this view, electron microscope tomography analyses of NMJs have revealed macromolecular connections of active zone materials at mouse and frog motor nerve terminals [19; 20].

What happens to the active zones in NMJs lacking VDCCs? Decreased numbers of active zones have been observed at NMJs in mice lacking either P/Q- or N-type VDCC and in mice lacking both P/Q- and N-type VDCCs [31; 32]. These defects do not depend on the activity of either calcium channels or synapses (discussed in “Synaptic Activity and Ca²⁺ Influx Through VDCC for Active Zone Formation”). Electrophysiological analyses of NMJs in P/Q-type VDCC knockout mice suggested that the R-type VDCCs compensated for the loss of the P/Q-type VDCC at the NMJs by filling the “slot” of the major VDCCs within the presynaptic membrane [103]. Despite the compensation by R-type VDCCs, mEPP frequency and EPP quantal content were significantly decreased in the knockout NMJs [103], which is consistent with the decreased number of active zones. The active zones have remained impaired even with the compensatory upregulation of R-type VDCCs because this channel does not bind to the active zone organizer laminin β 2 (described in “Active zone organization by a synapse organizer laminin β 2 and its receptor P/Q-type VDCC”) [32]. Synaptic ion channels have non-conducting roles for synapse formation [104], and these analyses demonstrated the essential role of P/Q-type VDCCs for active zone formation.

Non-conducting roles for calcium channels in synaptogenesis have also been demonstrated in invertebrates. At the *Drosophila* neuromuscular junction, VDCC α ₂ δ subunits play a role in presynaptic differentiation [105-107]. Mutant embryos lacking the α ₂ δ -3 subunit have malformed synaptic boutons and altered active zone organization. This role of the α ₂ δ -3 subunit is independent of the ion-conducting function of the calcium channel complex and is separate from its role of correctly localizing VDCC α subunits at the neuromuscular junctions [107]. Mice lacking the VDCC α ₂ δ -2 subunit (a.k.a. *ducky*) showed a reduction in NMJ size and muscle fiber diameter but had a near-normal EPP amplitude [108]. The active zones and ultrastructure of NMJs were not analyzed in the VDCC α ₂ δ -2 knockout mice, but the normal mEPP frequency suggests normal active zones. In summary, molecular mechanisms of active zone organization involved the tethering of active zone proteins to the presynaptic membrane by a direct interaction with VDCCs concentrated at the motor nerve terminals.

5) Active Zone Organization by a Synapse Organizer Laminin β 2 and Its Receptor P/Q-type VDCC

If active zone proteins could be tethered to membranes by VDCCs as described above, how are these protein complexes anchored to the presynaptic terminal to organize active zones facing the postsynaptic specialization? One molecular mechanism that allows for such organization is an interaction between P/Q-type VDCCs and a synapse organizer, laminin β 2

[32] (Fig. 1). The synapse organizer laminin $\beta 2$ is a muscle-derived extracellular matrix protein specifically concentrated in the synaptic cleft of NMJs [109; 110]. The laminin $\beta 2$ knockout mouse demonstrated a loss of active zones, an impairment of presynaptic differentiation, and an attenuation of mEPP frequency and quantal content at NMJs [111; 112]. Developmentally, laminin $\beta 2$ protein is concentrated at higher levels over the acetylcholine receptor clusters compared to the extrasynaptic areas, as early as embryonic day 15, when the innervation of NMJs begins [113-115]. The carboxyl-terminal 20-kDa fragment of laminin $\beta 2$ promotes presynaptic differentiation of motor neurons, and a tripeptide, leucine-arginine-glutamate, within this 20-kDa fragment is necessary to promote neuronal adhesion to this protein [116; 117].

Specific receptors for the synapse organizer laminin $\beta 2$ have been identified at the NMJs. Laminin $\beta 2$ binds specifically and directly to P/Q- and N-type VDCCs [32], which are concentrated at the presynaptic terminals of NMJs [82; 83]. These VDCC pore-forming α subunits bind only to synaptic laminins (containing laminin $\beta 2$) and not non-synaptic laminins (lacking laminin $\beta 2$) [32]. Furthermore, synaptic laminins bind to the VDCCs concentrated at presynaptic terminals of NMJs only and not to non-NMJ VDCCs (i.e., R-type and L-type VDCCs (Cav1.2)) [32]. These VDCCs are the first receptors that were shown to bind specifically to synaptic laminins but not to non-synaptic laminins. The well-known laminin receptors, integrins and dystroglycans, do not distinguish between laminins with or without laminin $\beta 2$ [118-120]. This interaction of laminin $\beta 2$ -VDCC leads to the clustering of VDCCs and presynaptic components [32]. These pore-forming α subunits of VDCCs form a tight complex with the VDCC β subunits, which bind to the active zone proteins Bassoon and CAST/Erc2 [31]. Thus, the synapse organizer laminin $\beta 2$ anchors the protein complex of VDCC (α and β subunits) and active zone proteins (Bassoon, CAST/ELKS/Erc family, and RIM1/2) from the extracellular side to organize the NMJ active zones.

Following *in vivo* studies in mice provides compelling arguments that aforementioned extracellular interaction plays a role in organizing the NMJ active zone. Perturbation of the interaction between VDCC and laminin $\beta 2$ in wild-type mice, and the knockout mice of P/Q-type VDCC or laminin $\beta 2$ resulted in the active zone disassembly [32]. Moreover, P/Q- and N-type VDCC double-knockout mice exhibit a specific defect of active zones within otherwise normal NMJs [31]. The NMJs in the double-knockout mice have exhibited a decreased number of active zones and docked synaptic vesicles. These defects were twice as severe as the defects of the single knockout mice of P/Q- or N-type VDCCs. However, the double-knockout mice had normal synapse size, synaptic vesicle density at the nerve terminal, and accumulation of synaptic vesicle proteins at the NMJs [31]. These results suggest that axonal outgrowth termination and synaptic vesicle accumulation at NMJs are based on cell adhesion or retrograde signaling, and the active zone formation is based on an additional/different signaling mechanism through VDCCs.

A similar active zone defect is found in retina photoreceptor synapses. Retina specific L-type VDCCs (Cav1.4) are concentrated at the photoreceptor synapse, and Cav1.4 knockout mice exhibit the dissociation of the ribbon structure (active zones) from the presynaptic membranes [121]. Laminin $\beta 2$ accumulates in the synaptic cleft of photoreceptor synapses [122], and laminin $\beta 2$ knockout mice have active zone defects at the ribbon synapses [123]. These phenotypes are similar to the NMJs, where the lack of presynaptic VDCCs or laminin $\beta 2$ exhibited the dissociation of active zone structure from the presynaptic membrane. Thus, it would be interesting to examine if L-type VDCCs (Cav1.4) could bind to laminin $\beta 2$.

Whereas the aforementioned reports demonstrate that a trans-synaptic molecular mechanism organizes the active zones, the active zone organizer laminin $\beta 2$ is known to form a trimer

with other laminin subunits, and presynaptic VDCCs have been suggested to interact with another synapse organizer, neurexins. Do these molecules contribute to the formation of active zones? The laminin $\beta 2$ subunit forms trimers with one of the two laminin α subunits ($\alpha 4$ or $\alpha 5$) specifically concentrated at the synaptic cleft and a γ subunit. The concentration of laminin $\alpha 4$ in the synaptic cleft is lower near the active zones and higher between the active zones [124] (Fig. 1). Interestingly, laminin $\alpha 4$ knockout mice have improperly localized active zones at the NMJs but no changes in the total number of active zones, suggesting that laminin $\alpha 4$ controls the location of active zones at mouse NMJs [124]. In contrast to laminin $\alpha 4$, laminin $\alpha 5$ shows a similar distribution pattern as laminin $\beta 2$ in the synaptic cleft of adult NMJs [124; 125], and laminin $\alpha 5$ knockout mice and laminin $\alpha 4/\alpha 5$ double-knockout mice both show presynaptic maturation defects in the postnatal stages, causing partial innervation of the endplate [126; 125]. However, analysis of active zone organization in laminin $\alpha 5$ single or double-knockout mice awaits further study.

Another potential mechanism to anchor VDCCs at presynaptic terminals for active zone organization is the suggested functional link between the N-type VDCCs and presynaptic synapse organizer neurexins [127]. The accumulation of VDCCs at brainstem synapses was impaired in α -neurexins1/2/3 triple-knockout mice [127]. Interestingly, this phenotype is specific to N-type VDCCs, and P/Q-type VDCCs are not affected. However, a direct physical interaction between N-type VDCCs and neurexins awaits further confirmation (thus described here as a functional link). The NMJs of α -neurexin double-knockout mice (neurexin 1/2 or 2/3) have a reduced mEPP frequency and EPP quantal content in the slow twitch muscles, suggesting an impairment of active zones [128]. However, these reductions were not observed in the diaphragm, and the reason for the difference between muscle types is currently unknown. The NMJ ultrastructure has not been analyzed, and the consequence of the deletion of neurexins on NMJ active zones remains unclear. The presynaptic proteins CASK and Mint/X11 could link the VDCCs and α -neurexins [129; 130]. However, the possibility of this interaction functioning *in vivo* is decreased because CASK knockout mice demonstrated normal active zones and functional presynaptic VDCCs. Similarly, cultured Mint1/2/3 triple-knockout neurons showed normal synaptic ultrastructure and a defect in presynaptic function that could be attributed to the upregulated Munc18-1 [131]. Together, the role of neurexins in organizing NMJ active zones via VDCCs is not clear.

In summary, the active zone organization at NMJs involves a trans-synaptic molecular mechanism. Active zone proteins are tethered to the membrane by binding to P/Q-type VDCCs on the cytosolic side. This protein complex is anchored to the presynaptic membrane of NMJ by the extracellular interaction between P/Q-type VDCCs and muscle-derived synapse organizer laminin $\beta 2$, which is specifically concentrated in the synaptic cleft above the postsynaptic specialization of NMJs.

6) Synaptic Activity and Ca^{2+} Influx Through VDCC for Active Zone Formation

The molecular mechanism of active zone formation described in “Active Zone Organization by a Synapse Organizer Laminin $\beta 2$ and Its Receptor P/Q-Type VDCC” is based on a structural interaction of VDCCs where the channels function as scaffolding proteins. However, does the active zone formation require the Ca^{2+} influx through VDCCs and/or the synaptic activity at NMJs? Several observations suggest that Ca^{2+} influx through presynaptic VDCCs and synaptic activities are not required for active zone formation at NMJs. Presynaptic differentiation occurred normally in motor neurons cultured with specific irreversible blockers of P/Q-type VDCCs (ω -agatoxin IVA [132; 133]) and N-type VDCCs (ω -conotoxin GVIA [134; 135]) [32]. These results clearly demonstrated that Ca^{2+} influx

through these VDCCs is not required for presynaptic differentiation in cultured mouse motor neurons.

Previous studies in mutant mice with either reduced or no neuromuscular activity have made compelling arguments that synaptic activity is not a prerequisite for active zone assembly. The lack of synaptic transmission at NMJs did not affect the formation of active zones in choline acetyltransferase knockout mice, which develop a normal density of active zones in embryonic NMJs [136; 137]. Similarly, the lack of synaptic transmission did not affect the formation of active zones at NMJs and synapses of the central nervous system in Munc13-1/2 double-knockout mice [60, 138]. Munc18-1 knockout mice have shown almost complete degeneration of spinal cord neurons, resulting in degeneration of the NMJs, which made the evaluation of NMJ active zone unclear [139]. However, the lack of synaptic transmission did not affect the formation of active zones at synapses of the central nervous system in Munc18-1 knockout mice [140].

The synaptic activity is not required for active zone assembly, and the expression levels of active zone components or active zone organizing molecules are also not dependent on the synaptic activity. The expression levels of active zone protein Bassoon and its mRNA in spinal cord motor neurons were not changed in P/Q- and N-type VDCC double-knockout mice, which lack synaptic transmission at NMJs [32]. Mice lacking choline acetyltransferase (*Chat*^{-/-}) display no synaptic transmission at NMJs but show a concentration of laminin β 2 protein at NMJs [136]. Similarly, the mRNA or protein levels of laminin β 2 at NMJs do not appear to change in response to denervation or reinnervation [141-146]. These results suggest that the expression level of active zone proteins and the active zone organizer is not dependent on synaptic activity.

Just as reduced (or no) synaptic activity has little effect on active zone assembly, enhanced synaptic transmission does not affect active zone formation. Knockout mice for collagenous subunit of acetylcholinesterase ColQ exhibited no acetylcholinesterase activity at NMJs and showed elongated mEPPs amplitude [147]. Although quantitative data were not described, the knockout mice showed normal active zones with synaptic vesicles in electron micrographs. Acetylcholinesterase knockout mice exhibited ultrastructurally normal NMJs [148]. These results demonstrated that synaptic activity does not affect active zone formation at NMJs. In summary, NMJ active zone formation does not require synaptic activity or Ca^{2+} influx, which is in contrast to the postsynaptic differentiation and synapse elimination at NMJs that depend on release of neurotransmitter [149; 150] and synaptic activity [151; 152].

7) Other Synaptic Organizers, Intracellular Pathways, and Junctional Folds.

At the NMJs, multiple synaptic organizers (including laminin β 2) orchestrate synaptic differentiation [114; 115; 153-155]. For example, agrin is essential for the postsynaptic differentiation of NMJs [156], and roles of amyloid precursor protein [157] and collagens [154; 158] in NMJ differentiation *in vivo* have recently been shown. Are these synaptic organizers involved in active zone formation and maintenance?

Agrin, MuSK, and Lrp4

The requirement of agrin and MuSK signaling in NMJ formation is well known, but do they also instruct active zone formation? Nerve-derived agrin containing the Z exon plays an essential role in the organization of NMJs [156] by binding to the postsynaptic receptor Lrp4 and the co-receptor MuSK, and clustering of AChR at the endplate [159-163]. MuSK and Lrp4 knockout mice show aberrant motor axon branching and significantly impaired AChR clustering [159; 160; 164]. Synaptic vesicle-related proteins, SV2 and synaptophysin,

accumulated at the intramuscular nerve branches, but the clustering of AChRs and postsynaptic proteins at the opposing sites were hardly detected [159; 161; 164]. Due to the failure of normal NMJ formation, quantitative analysis of active zones was not reported. Similarly, in agrin null mice at embryonic day 18, overall presynaptic differentiation was impaired; specifically, AChR clusters are smaller, less dense, and less numerous [165]. However, active zones were detected in nascent NMJs of the agrin knockout mice by electron microscopy [165]. Based on these results, the chimeric analysis by transplantation, and the analysis of agrin splice variant [156], it has been suggested that agrin may play a role in presynaptic differentiation through yet to be identified retrograde signals. Thus, agrin, MuSK, and Lrp4 do not appear to organize the presynaptic active zones directly by the interactions of these three proteins.

Collagens

The major structural components of the synaptic cleft basement membrane are non-fibrillar collagens, which also have roles as synapse organizers. Enzymatically released bio-active domains of collagen $\alpha 2$, $\alpha 3$, and $\alpha 6$ (IV) chains exhibit synaptogenic activity *in vitro* and are present at mouse NMJs. Mice with reduced levels of collagen $\alpha 2$ show an impairment of presynaptic differentiation, and mice lacking $\alpha 3$ and $\alpha 6$ collagens show defects of postnatal maintenance of NMJs [154]. The integrity of NMJ active zones in these collagen IV mutants have not been analyzed and await further study. Collagen XIII is expressed by muscles after synapse formation and to accumulate around the end plate region [158]. Collagen XIII knockout mice exhibit poorly matched presynaptic terminals and endplates, less active zones, decreased frequency of mEPPs, and decreased EPP amplitude [158]. However, collagen XIII knockout mice have shown defects in topological maturation of the AChR cluster [158], which is similar to laminin $\alpha 4/\alpha 5$ double-knockout mice [125]. Thus, it is not clear whether the active zone phenotype is the primary phenotype or the secondary phenotype caused by postsynaptic defects.

Amyloid Precursor Protein

Amyloid precursor protein (APP) plays a pivotal role in the pathogenesis of Alzheimer's disease, but APP also accumulates at NMJs [166; 167]. Double-knockout mice for APP and its homolog, APP-like protein 2, exhibit decreased active zone densities, decreased synaptic vesicle density, but a normal number of docked synaptic vesicle in NMJ profiles [157]. Compatible to these defects, mEPP frequency was decreased in the double-knockout mice. The conditional deletion in muscle suggests a postsynaptic requirement of APP and/or APP-like protein 2 for the presynaptic differentiation of the NMJs [168]. The loss of APP and APP-like protein 2 exhibits widespread defects in presynaptic differentiation, including aberrant sprouting of nerve terminals, suggesting that APP has a synaptogenic or synapse maintenance role at the NMJ. However, due to the widespread defects, it is not clear whether the active-zone defect is a primary or secondary phenotype.

At present, few studies have discovered signaling pathways necessary for active zone formation and maintenance at vertebrate NMJs. However, several intracellular mechanisms have been shown to organize the active zones at *Drosophila* NMJs. The number and spacing of active zones are controlled by spectrin skeleton β -spectrin, spectrin-binding/actin-capping protein adducin, GTPase Rab3, threonine kinase Unc-51, or inositol phosphatase synaptojanin at *Drosophila* NMJs [169-173]. However, Rab3abcd quadruple knockout mice exhibit no active zone defects, suggesting that the intracellular signaling for active zone organization is different between *Drosophila* and mice [174]. β III spectrin knockout mice show impaired synaptogenesis in the cerebellum, but the NMJ active zone awaits further study [175]. Thus, the roles of Rab3s and spectrins in mammalian active zone organization are currently unclear.

In adult NMJs, the active zones align with the postsynaptic specialization called junctional folds, but do the junctional folds have any role in organizing the active zones? The number of junctional folds is significantly lower in the knockout mice for acetylcholine receptor epsilon subunit [176] or double-knockout mice for utrophin and α -dystrobrevin [177] compared to wild-type mice. However, a normal level of active zones was formed at the presynaptic terminal in these mutants [176; 124]. These studies suggest that active zones could form without junctional folds, and the molecular mechanism for active zone formation could be independent from the mechanism of junctional fold formation.

8) Impairment of Active Zones in Neurological Disease

The active zones at NMJs are not stable structures and require mechanisms to maintain their structural integrity. Following experimental manipulations in laboratory animals demonstrate that NMJ active zones are unstable structures. The inhibition of the interaction between presynaptic VDCCs and the synapse organizer laminin β 2 have decreased the number of active zones in mouse NMJs after just two days of inhibitor injection, suggesting that mouse active zones are not stable structures [32]. In the adult frog NMJs, decreasing the external calcium concentration leads to the disruption of the relative location between active zones and postsynaptic junctional folds [178]. This disruption of active zones has caused the facilitation of synaptic transmission in frog NMJs during paired pulses and high-frequency stimulations, suggesting that the maintenance of active zones is essential for effective synaptic transmission. These examples suggest that the NMJ active zones may be vulnerable targets in neurological diseases.

Two examples of human neurological diseases exhibit a reduced number of NMJ active zones. In Lambert-Eaton Myasthenia Syndrome (LEMS), patients have a reduced number of NMJ active zones, reduced synaptic transmission, and weakened muscles [179; 16]. Majority of the patients (75-85%) possess autoantibodies against P/Q-type VDCC, which is speculated to internalize the VDCCs into presynaptic terminals and to decrease the active zones [16; 180]. Importantly, LEMS patients have autoantibodies against the laminin-binding domain of the P/Q-type VDCC [181]. Thus, the decrease of active zones may be caused, in part, by autoantibodies that block the signaling from the synapse organizer laminin β 2 to the presynaptic VDCCs required for the active zone organization [32]. A LEMS animal model further supports that the loss of active zones is a part of the etiological mechanism. Mice injected with the LEMS patient IgGs exhibit a reduced number of NMJ active zones [182]. Together with the previous paragraph, these results suggest that mature NMJs require presynaptic P/Q-type VDCCs to maintain the active zones. In Pierson syndrome, patients exhibited a loss of NMJ active zones, an impairment of synaptic transmission, and denervation of NMJs because they lack laminin β 2 due to gene mutations [183; 184]. These phenotypes are identical to laminin β 2 knockout mice [111], suggesting that the synapse organizer laminin β 2 is also required for active zone organization in human.

Other human neurological diseases also exhibit impairment of active zones in the peripheral and central nervous systems. In amyotrophic lateral sclerosis, the active zone length is significantly reduced in synapses contacting the chromatolytic neurons in the ventral horn of the spinal cord [185]. A genome-wide association study for major depressive disorder has suggested implication of active zone protein Piccolo [186]. Human prion diseases, including Creutzfeldt–Jakob disease and Gerstmann–Sträussler–Scheinker (GSS) syndrome, are fatal neurodegenerative diseases, and animal models exhibit impairment of active zones. A *Drosophila* model of GSS syndrome, expressing mutated mouse prion protein, exhibits a decreased protein level of active zone protein Bruchpilot at NMJs [187]. Scrapie-infected mice exhibit a decreased protein level of active zone protein ELKS in the hippocampus

[187]. These results suggest that impairment of active zones may be part of the etiological mechanisms of these neurological diseases.

Are active zones impaired in neurodegenerative diseases characterized by the detection of proteinaceous inclusions called Lewy body? Lewy bodies are found in Parkinson's disease, dementia with Lewy bodies, and a Lewy body variant of Alzheimer's disease, and contain α -synuclein as a major constituent [188]. Recent studies support a role of α -synuclein overexpression in pathogenesis of Parkinson's disease [189; 190]. An increased expression level of α -synuclein in transgenic mice does not alter the total number of synaptic vesicles associated with the active zones, but reduces the number of synaptic vesicles adjacent to the active zones and reduces the density of synaptic vesicles within the synaptic boutons in the hippocampal CA1 region [191]. These defects reduce the synaptic transmission and suggest that the overexpression of α -synuclein inhibits the reclustering of synaptic vesicles after endocytosis. This result is consistent with the immunoelectron microscopy that detected synuclein within the presynaptic terminal but not at the active zones of cultured rat hippocampal neurons [192]. Synuclein co-localizes with synapsin I in the presynaptic terminal but not with the active zone proteins at the presynaptic membranes. Thus, the role of active zones in the etiological mechanisms of synucleinopathies is not clear.

Interestingly, α -synuclein is also detected at NMJs and in muscles and has been suggested to play a role in the pathogenesis of inclusion-body myositis [193]. α -Synuclein knockout mice exhibit repetitive compound muscle action potentials in response to a single stimulation, suggesting that α -synuclein may play a role in acetylcholine compartmentalization at the NMJs [194]. Importantly, neuronal overexpression of human or mouse α -synuclein in transgenic mice causes axonal damage and denervation of the NMJs [195; 196]. However, NMJ active zones have not been analyzed in these mice, and the role of active zones in the pathogenesis of motor nerve neuropathy is unknown.

In summary, active zones of adult synapses are not stable structures and may play a role in the pathogenesis of some neurological diseases.

9) Perspectives

The NMJ serves as an attractive model to study presynaptic differentiation due to its size and isolation from neighboring synapses compared to synapses in the central nervous system. These advantages may aid in solving important questions that still remain to elucidate the molecular mechanism of active zone organization. First, what is the essential interaction for the maintenance of NMJ active zones, which might be affected in some neuromuscular diseases? The knowledge of molecular identity of active zone-specific proteins and the interactions between these proteins were increased, but the hierarchy of these molecular interactions at the NMJs is not known. The study of ribbon synapses in Bassoon knockout mice suggests that there is an essential interaction of active zone proteins that could cause the dissociation of active zone structure [45]. Second, how common are the molecular mechanisms that organize the active zones between central and peripheral synapses or between motor and sensory nervous systems? Is the same set of CAZ proteins found in all the synapses? The scaffolding protein P/Q-type or N-type VDCCs are concentrated at many presynaptic terminals of the central and peripheral synapses. However, differences among synapses are suggested by the fact that the synapse organizer laminin β 2 is specific to the NMJs and ribbon synapses of the retina. Third, what neurological diseases have impairments in the active zones? Considering the interaction of active zone proteins with VDCCs, channelopathies (diseases with mutations in ion channels) affecting central synapses and NMJs [197] may also exhibit impairments in the active zones. These are just a

few questions that await further investigation to elucidate the mechanism of active zone formation and maintenance.

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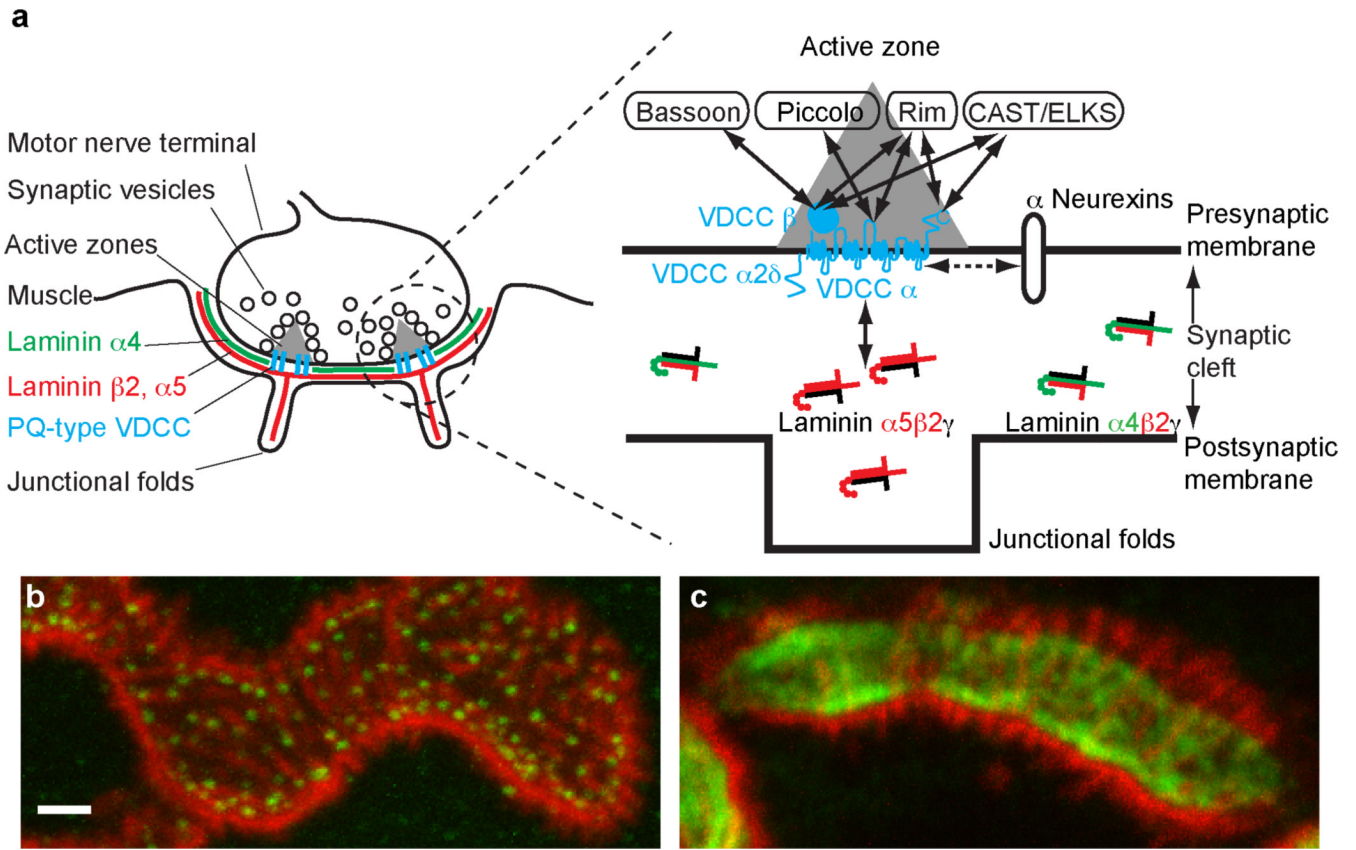
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**Fig. 1.**

(a) A schematic diagram of the vertebrate neuromuscular junction (*left*) and a close-up of one active zone area (*right*). Solid arrows represent interactions, and the *dotted arrow* indicates a functional link. *Horizontal lines* show pre- and post-synaptic membranes. A junctional fold is indicated as a trough on the postsynaptic side. The space between these lines represents the synaptic cleft. The gray triangle depicts the electron dense material of presynaptic active zones detected by electron microscopy. The size of the proteins and the synaptic cleft are not in scale. *VDCC* voltage-dependent calcium channels. (b) The active zone protein Bassoon forms puncta and labels the active zones in NMJs of adult mice. (c) The synaptic vesicle associated protein synapsin1 shows a diffuse distribution pattern that visualizes the nerve morphology. Note the difference in the distribution patterns of these two presynaptic proteins. Bassoon, synapsin1, and acetylcholine receptors (AChRs) were visualized at the NMJs of sternomastoid muscles of postnatal day 36 mice by fluorescent immunohistochemistry using anti-Bassoon antibodies (*green* in **b**), anti-synapsin1 antibodies (*green* in **c**), and Alexa Fluor 594-labeled α -bungarotoxin (*red*). Many Bassoon puncta are localized above the postsynaptic junctional folds, which were visualized as bright lines of α -bungarotoxin staining. *Scale bar* 1 μ m.

Table 1

Summary of active zone proteins and active zone organizers of NMJs.

Active zone proteins	Localization at embryonic NMJs	Localization at adult NMJs	Ultrastructural phenotypes of NMJs in knockout mice	Physiological phenotypes of NMJs in knockout mice	Binding partner VDCC subunits	Binding partner active zone proteins
Bassoon	Yes	Yes	N/A	N/A	β 1b, β 4 [31]	CAST/ELKS2 α [89], ELKS [91], Munc13, Piccolo, Rim [92]
CAST/ELKS2 α	Yes ^a	No	N/A	N/A	β 1b [31]	Bassoon, Rim1 [89], ELKS [26], Piccolo [92]
ELKS	Yes ^a	Yes	Knockout mice not reported	Knockout mice not reported	Not reported	Bassoon [91], CAST/ELKS2 α , Rim [26]
Munc13-1,2,3	Not reported	Yes	Munc13-1/2 double KO mice exhibit no qualitative defects [58]	Munc13-1/2 double KO mice exhibit an increase of mEPP frequency, a decrease of EPP amplitude, and a decrease of quantal content [58]	Not reported	Bassoon, Piccolo [92], Rim2 [90]
Piccolo	Yes	Yes	N/A	N/A	Cav1.2 [84]	Bassoon, CAST/ELKS2 α , Munc13, Rim [92]
Rim1, 2	Not reported	Yes	RIM1/2 double KO mice exhibit no qualitative defects [59]	RIM1/2 double KO mice exhibit decreases of mEPP frequency and EPP amplitude [59]	Cav1.2, 2.1, 2.2 [83, 87], β 1 - 4 [85]	Bassoon, Piccolo [92], CAST/ELKS2 α [89], ELKS [26], Munc13-1 [90]

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Active zone organizers	Localization at embryonic NMJs	Localization at adult NMJs	Ultrastructural phenotypes of NMJs in knockout mice	Physiological phenotypes of NMJs in knockout mice	Binding partner VDCC subunits	Binding partner active zone proteins
P/Q-type VDCC (Cav2.1 + β subunit)	Not reported	Yes	Cav2.1 knockout mice exhibit a decrease of active zone number [32]	Cav2.1 knockout mice exhibit decreases of mEPP frequency and quantal content [94]		Cav2.1 subunit: RIM [87], β subunits: Bassoon, CAST/ELKS2 α [31], Rim [85, 86]
Laminin β 2	Yes	Yes	Laminin β 2 knockout mice exhibit a decrease of active zone number [32]	Laminin β 2 knockout mice exhibit decreases of mEPP frequency and quantal content [102, 103]	Cav2.1, 2.2 [32]	
Laminin α 4	Yes	Yes	Laminin α 4 knockout mice exhibit miss localized active	N/A	Not reported	

Active zone organizers	Localization at embryonic NMJs	Localization at adult NMJs	Ultrastructural phenotypes of NMJs in knockout mice	Physiological phenotypes of NMJs in knockout mice	Binding partner VDCC subunits	Binding partner active zone proteins
			zones [115]			

N/A: Not analyzed in knockout mice. [number]: reference number.

^a Anti-Pan-CAST/ELKS family.