Correlation of MicroRNA 132 Up-regulation with an Unfavorable Clinical Outcome in Patients with Primary Glioblastoma Multiforme Treated with Radiotherapy Plus Concomitant and Adjuvant Temozolomide $\overline{\text{Chemother} }$ y^{1,2}

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Abstract

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BACKGROUND: MicroRNA 132 (miR-132) is dysregulated in a range of human malignancies; however, its role in glioma has not been reported. The aim of this study was to profile miR-132 expression in a cohort of patients with primary glioblastoma multiforme (GBM) treated with the Stupp regimen and to correlate microRNA levels with patient outcome. METHODS: miR-132 levels relative to RNU44 were assessed by quantitative reverse transcription–polymerase chain reaction in 43 GBMs and normal brain tissue. The cohort comprised patients less than 72 years of age with Eastern Cooperative Oncology Group (ECOG) scores between 0 and 2 who had undergone 6-week concomitant radiation and temozolomide followed by adjuvant temozolomide. Survival data were available for all cases. Tumors were characterized for O6-methylguanine–DNA methyltransferase (MGMT) methylation and *isocitrate dehydrogenase (IDH) 1/2* mutation status. Associations between miR-132 expression and clinical indicators were analyzed. RESULTS: Tumor miR-132 levels ranged from 0.07- to 40.4-fold increase (mean = 5.5-fold increase) relative to normal brain. High-level miR-132 (above the mean) independently predicted for a significantly shorter overall survival (P = .008). miR-132 was a stronger prognostic indicator than ECOG score (P = .012) and age at diagnosis ($P = .026$) but did not correlate with MGMT methylation status or extent of tumor resection. Cox regression analysis confirmed high miR-132 as the strongest predictor of outcome ($P = .010$) with a hazard ratio of 2.8. CONCLUSIONS: This study identified high miR-132 expression as a biomarker of poor prognosis in patients with primary GBM treated with the Stupp regimen.

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Background

Glioblastoma multiforme (GBM, grade 4 astrocytoma) is the most common primary brain tumor in adults. Current standard therapy for these patients involves surgical debulking, followed by radiotherapy plus concomitant and adjuvant temozolomide (Stupp regimen) [1]. Despite aggressive therapy, prognosis is still dismal, with a 5-year survival rate of just 9% [2]. Few chemotherapeutic agents have any impact on disease outcome, and new therapies are urgently needed.

MicroRNAs (miRNAs) are small noncoding RNAs, 20 to 25 nucleotides in length that modulate gene expression in crucial cellular processes including apoptosis, differentiation, and development [3]. miRNAs regulate gene expression by direct cleavage of mRNA or by inhibiting translation through perfect or near perfect complementarity to target mRNA at the 3′ untranslated region. miRNAs are involved in virtually all biologic processes, and it is estimated that miRNAs regulate up to 60% of human gene expression [4]. miRNAs play a crucial role in human malignancy, where they have been shown to act as tumor suppressors (miR-15 and miR-16) or oncogenes (miR-155) [5,6]. Global alterations in miRNA expression have now been identified in a large number of human malignancies [7,8]. miRNA expression patterns in cancer have been shown to correlate with diagnosis, prognosis, and response to therapy [7,8]. Their utility as biomarkers is currently being evaluated in clinical trials for a range of human malignancies, including non–small cell lung carcinoma, breast cancer, leukemias and lymphomas, neuroblastoma, and ovarian and prostate cancers [8]. miRNAs have also been shown to modulate cancer cell sensitivity to chemotherapy and radiotherapy. For example, targeting of oncogenic miR-21 in glioblastoma cell lines, using an antagomir (antisense oligonucleotide), significantly increases the cytotoxic effect of taxol and 5-fluorouracil [9,10].

MicroRNA 132 (miR-132), transcribed from an intergenic region on human chromosome 17, is aberrantly expressed in lung and pancreatic cancers and in breast carcinoma tumor endothelium [11,12]. More recently, miR-132 has been shown to regulate a host of central nervous system–specific processes, including neurogenesis [13], synaptic plasticity [14], neuroendocrine-modulated inflammation [15], and differentiation of dopamine neurons [16]. It is dysregulated in several brain-related diseases, including Huntington disease [17], Parkinson disease, and schizophrenia [18]. However, a potential role for miR-132 in primary GBM has not been explored.

When evaluating the significance of a potential biomarker, it is essential to study its expression in a clinically similar group of patients to minimize potential bias from unrelated factors. In the current study, we profiled miR-132 expression in newly diagnosed patients with primary GBM who successfully completed a minimum of 18 weeks of therapy and whose standard prognostic measures were within a defined narrow range. We correlated tumor miRNA levels with these and other measures of clinical outcome to determine the potential of miR-132 levels as an independent indicator of prognosis in primary GBM.

Methods

Study Cohort

Following approval by the Northern Sydney Central Coast Health Human Research Ethics Committee (Protocol No. 1011-363M), patients with GBM who had consented to tissue banking and were treated between 2005 and 2010 were selected from the Sydney Neuro-Oncology Group database. All tumors were reviewed by two neuropathologists and classified as grade 4 astrocytoma (GBM), on the basis

of the World Health Organization (WHO) classification criteria. A clinical cohort of GBM cases was defined according to guidelines of reporting of tumor marker studies. Cases were excluded if tumor tissue was only available from tumor recurrences or if they had a prior history of a lower-grade brain tumor. To ensure a cohesive clinical cohort, patients were excluded if age at diagnosis was greater than 72 years of age, if they had not completed treatment with 6 weeks of adjuvant temozolomide radiotherapy followed by two cycles of adjuvant temozolomide (18 weeks of therapy) or if they had an Eastern Cooperative Oncology Group (ECOG) score above 2. As part of standard practice in our unit, most patients received dexamethasone at least 24 hours before surgery. Clinical follow-up data were available for all cases, with a census date of 27 March 2013.

DNA and RNA Extraction

DNA for the determination of O6-methylguanine*–*DNA methyltransferase (MGMT) promoter methylation and isocitrate dehydrogenase (IDH) 1/2 mutation status and RNA for quantification of miR-132 levels were extracted from formalin-fixed paraffin-embedded tumor tissue (2 × 10 μM sections per specimen), using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion/Life Technologies Australia Pty Ltd, Mulgrave, Australia), according to the manufacturer's protocol. Following extraction, nucleic acid purity was assessed $(A_{260}/A_{280},$ Nanodrop ND-1000; Thermo Scientific, Wilmington, DE), with mean A_{260}/A_{280} values of 1.9 for RNA and 1.8 for DNA obtained.

Determination of MGMT Promoter Methylation Status

Bisulfite conversion of DNA (1 μg) was performed using the EpiTect Bisulfite Kit (Qiagen Pty Ltd Australia, Chadstone Centre, Australia). Pyrosequencing of bisulfite-converted DNA was performed on a PyroMark 24 (Qiagen), using the human MGMT PyroMark CpG Assay (Qiagen) and commercial controls (Epitect, Qiagen) in addition to in-house validated controls.

Determination of IDH1/2 Mutation Status

To determine the mutation status at IDH1 codon R132, which accounts for approximately 90% of IDH1 mutations in glioma [19], DNA was amplified by polymerase chain reaction (PCR), purified using the DNA Clean and Concentrator kit (Zymo Research, Irvine, CA), and commercially sequenced (Australian Genome Research Facility, Westmead, Australia) using primers spanning exon 4 [CATTTGTCTGAAAAACTTTGCTT (forward) and TCACATTA-TTGCCAACATGAC (reverse); amplicon size, 359 bp]. The mutation status at IDH2 codon R172 was performed as described above, except using primers spanning IDH2 exon 4 [GGTTCAAATT-CTGGTTGAAAGATG (forward) and GCTAGGCGAGGAGCTC-CAGT (reverse); amplicon size, 289 bp].

Relative Quantification of miR-132

cDNA was synthesized from 10 ng of total RNA from each tumor or a commercial pool of normal brain RNA (Ambion FirstChoice Human Brain Reference Total RNA, a pool of 23 subjects; Life Technologies) using the TaqMan MicroRNA Reverse Transcription Kit (Applied Biosystems/Life Technologies). Relative quantification using TaqMan assays [quantitative reverse transcription–PCR (qRT-PCR); Applied Biosystems] was performed on an AB7900HT real-time PCR instrument (Applied Biosystems). Small nucleolar RNA C/D box 44 (SNORD44, also referred to as RNU44) was chosen as the endogenous normalizer for miR-132 expression because **Table 1.** Basic Characteristics of the GBM Cohort ($n = 43$).

of its low variance between normal brain tissue and tumor irrespective of grade (data not shown) [20]. All assays were performed in triplicate. All procedures were performed according to the manufacturer's instructions.

Statistical Analysis

We examined correlations between miR-132 expression and indicators of clinical outcome using linear regression analysis. Clinical biomarkers were analyzed by Kaplan-Meier survival analysis (using a log-rank test) and by multivariate analysis using a Cox proportional hazards regression model. The prognostic strength of miR-132 was further validated using k-fold validation test, which assessed the susceptibility of the data set to outliers. Statistical analyses were performed using SPSS software version 21 (SPSS Australasia Pty Ltd, Chatswood, Australia). In all cases, a P value of ≤ 0.05 was regarded as significant.

Results

Characterization of a Clinical Cohort of Patients with GBM

A cohort of 43 patients with primary GBM uniformly treated with concurrent and adjuvant temozolomide therapy was selected for inclusion in this study. The median age at diagnosis was 59 years, and median overall survival was 15 months (Table 1). Our cohort included only patients with a favorable preoperative ECOG score of 0 (53%), 1 (42%), or 2 (5%; Table 2) and who had completed the initial 18 weeks of the Stupp regimen. This provided a homogenous group in terms of diagnosis, treatment, and overall performance suitable for the evaluation of novel prognostic biomarkers. Gross total resection was performed in 91% of cases (Table 2).

Table 2. Clinicopathologic Features of the GBM Cohort and Association with Clinical Outcome.

*Kaplan-Meier survival analysis results. † Statistically significant.

In this cohort, age at diagnosis (<50, 50-65, and >65 years) was significantly correlated with patient outcome ($P = .026$), as was ECOG score ($P = .012$; Table 2). *MGMT* status and extent of resection were not prognostic. Using DNA extracted from fixed tumor tissue specimens, we determined either IDH1 or 1DH2 mutation status for 91% of cases. No tumors contained a mutation for IDH1 or 1DH2 or had elements of a lower-grade lesion on histopathology, consistent with primary GBM. In three patients (12%), we identified a synonymous variant at IDH1 G105 [single nucleotide polymorphism database identifier (dbSNP ID), rs11554137; catalogue of somatic mutations in cancer identifier (COSMIC ID), COSM253316]. This has been previously reported as a somatic variant in hematopoietic and lymphoid tissue but not in glioma (COSMIC: http://cancer. sanger.ac.uk/cancergenome/projects/cosmic/).

High Expression of miR-132 in GBM Independently Predicts for a Worse Survival Outcome

We quantified the expression of miR-132 and the endogenous reference RNU44 by qRT-PCR in tumor RNA from our cohort of patients with GBM and the pooled normal brain RNA. miR-132 (normalized to RNU44) was found to be increased in the GBM cohort, with expression ranging from 0.07- to 40.4-fold increase relative to normal brain RNA and a mean increase of 5.5-fold (Figure 1).

Patients were then dichotomized into two subgroups, using the mean overexpression of miR-132 in the cohort ($n = 43$ cases) as the threshold. High miR-132 expression (≥5.5-fold up-regulation) was prognostic for a significantly worse survival outcome, with a median overall survival of 13 months [95% confidence interval (CI) = 6.9-19.1, $n = 10$] versus 17 months (95% CI = 9.1-24.9, $n = 33$) in the low-expression subgroup ($P = .008$; Figure 2). A k-fold validation test was performed to examine the possibility that our result was influenced by outliers. This analysis showed that median overall survival months in the high–miR-132 subgroup was 13 months (95% $CI = 7.0-16.0$ versus 17.5 months (95% $CI = 13.0-22.0$) in the low–miR-132 subgroup, confirming that miR-132 expression is a robust prognostic marker.

Figure 1. miR-132 expression in the GBM cohort ($n = 43$). Individual qRT-PCR results for miR-132 (normalized to RNU44) were plotted as fold change relative to the normal brain control. Mean of miR-132 expression of the GBM cohort (5.5-fold expression) is indicated by the line.

Figure 2. Kaplan-Meier survival analysis according to miR-132 level. Patients with high miR-132 levels (\geq 5.5-fold up-regulation; $n = 10$) had a significantly poorer outcome than those with low miR-132 levels (<5.5-fold up-regulation; $n = 33$; $P = .008$).

Linear regression analyses confirmed that miR-132 expression was not related to other markers of clinical outcome, including ECOG score, age at diagnosis, MGMT methylation status, or extent of resection (Table W1). We also performed Cox regression analysis to compare prognostic miR-132 with age at diagnosis and ECOG score, also shown to be prognostic in this cohort. This analysis showed that miR-132 was the strongest prognostic indicator for outcome ($P =$.01; Table 3). The analysis also revealed that the hazard ratio for patients with a higher miR-132 level was 2.8.

Discussion

The ability of miRNAs to guide clinical decision making is becoming evident, with more than 100 ongoing trials utilizing miRNAs as biomarkers [8]. miRNA modulation is also being pursued as a novel therapeutic intervention in patients with cancer, with the unique ability to fine-tune the expression of multiple targets/pathways simultaneously, which is of particular relevance to GBM, given its molecular heterogeneity [21]. In this study, we identified miR-132 to be upregulated in a subset of primary GBM tumors and prognostic for a poor outcome in these patients ($P = .008$). The prognostic value of miR-132 was confirmed by k-fold leave-one-out cross-validation. Furthermore, miR-132 was a stronger indicator for outcome than the established factors age at diagnosis and ECOG score. The prognostic ability of miR-132 was also independent of MGMT methylation status, another established marker of outcome, predictive of sensitivity to alkylating chemotherapy [22] and radiotherapy [23].

miR-132 has been reported to modulate a range of processes within the endothelial, neuronal, and immune compartments (Table 4) [24] with targets involved in neuronal morphogenesis, inflammation and chromatin remodeling, and angiogenesis [12,14–16,18,24–35]. In glioma cells, knockdown of $p300$, a validated target of miR-132, has been shown to promote invasion [36]. Overexpression of miR-132 increases the excitability of cortical neurons in response to glutamate [34], which is released at excitotoxic levels by glioma cells [37]. This in turn is thought to contribute to the death of peritumoral neurons, facilitating tumor expansion, tumor necrosis, local inflammation, and glioma-related seizures [37]. In support of our findings, a previous study has also shown that levels of miR-132 are high in GBM tumors $(n = 12$ tumors). However, this study compared levels to oligodendroglial tumors ($n = 14$ tumors) rather than normal tissue and did not classify GBM tumors on the basis of IDH mutation status [38].

The relevance of miR-132 in glioma is further highlighted by research linking miR-132 levels to current treatment as well as potential targeted therapeutic approaches for this malignancy. Both dexamethasone and extracellular signal-regulated kinase 1/2 (ERK1/2) inhibitors (UO126 and PD98059) have been shown to attenuate miR-132 expression mediated by brain-derived neurotrophic factor (BDNF) in cortical neurons [14]. Dexamethasone is an antiinflammatory and immunosuppressive glucocorticoid that is used routinely in the treatment of patients with GBM [39]; however, its mechanism of action is not fully understood. Given this relationship between miR-132 and dexamethasone, we cannot rule out the possibility that miR-132 expression was modulated by dexamethasone dose. However, as patients with miR-132 elevation as well as those without would have received dexamethasone before the sample being taken, this should not have influenced the study outcome. miR-132 may also be involved in a positive feedback mechanism with ERK activation. This is of interest as blockade of ERK signaling using mitogen-activated protein/extracellular signal-regulated kinase (MEK) inhibitors is currently being trialed in glioma [40]. miR-based interventions may also sensitize patients to therapy. In an orthotopic mouse model of GBM, established using patient-derived prominin-1 (CD133) positive cancer stem cells, intracranial delivery of polyethyleneimine encapsulated miR-145 (a tumor-suppressor miRNA) synergized with radiotherapy and temozolomide treatment [41].

Conclusions

In conclusion, we identified that high expression of miR-132 was associated with poor prognosis for primary GBM treated with the Stupp regimen and independent of age of diagnosis, ECOG score, and MGMT methylation. These results in combination with the demonstrated mechanistic links between miR-132 and glioma treatment warrant future evaluation of this biomarker in patients with GBM treated with the Stupp regimen as well as those receiving radiation alone or those with secondary GBM. Therapeutic strategies aimed at modulating miRNA expression hold strong potential and represent just under half of US patents relating to miRNAs [21,42]. New treatments for GBM are urgently needed, and combining

Table 3. Multivariate Analysis of Prognostic Markers Using a Cox Proportional Hazards Regression Model.

Prognostic Marker	Regression Coefficient	SE	P Value	Hazard Ratio	95% CI for Hazard Ratio	
					Lower	Upper
miR-132 mean Age at diagnosis ECOG score	1.044 0.340 0.670	0.407 0.292 0.312	$.010^{\dagger}$.245 $.032^+$	2.842 1.405 1.953	1.281 0.792 1.059	6.305 2.491 3.601

*Performed using 1 df. Statistically significant.

Table 4. Reports of miR-132 Expression and Function.

HUVEC indicates human umbilical vein endothelial cell; VEGF, vascular endothelial growth factor; bFGF, basic fibroblast growth factor; LNA, locked nucleic acid; MEK-1, MAPK/ERK kinase 1; LPS, lipopolysaccharide; AChE, acetylcholinesterase; NK, natural killer; STAT4, signal transducer and activator of transcription 4; IL-12, interleukin-12; HB-EGF, heparin-binding EGF-like growth factor; IL-8, interleukin-8; MCP-1, monocyte chemoattractant protein; NF-κB, nuclear factor–κ–light-chain enhancer of activated B cells; SIRT1, sirtuin 1; BDNF, brain-derived neurotrophic factor; NR2B, glutamate receptor, ionotrophic, N-methyl D-aspartate 2B; NR2A, glutamate receptor, ionotropic, N-methyl D-aspartate 2A, GluR1, glutamate receptor, ionotropic, AMPA 1; GnRH, gonadotropinreleasing hormone; DNMT3A, DNA (cytosine-5-)-methyltransferase 3 α; GATA2, GATA-binding protein 2; DPYSL3, dihydropyrimidinase-like 3; PTBP2, polypyrimidine tract–binding protein 2; PDAC, pancreatic ductal adenocarcinoma; Rb1, retinoblastoma 1.

With the exception of references [11], [15], and [18], microarrays were Agilent technology (Santa Clara, CA). *Indirect targets.

miR-132–targeted therapy with temozolomide-based therapy may improve the outcome of these patients.

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Table W1. Relationship between miR-132 and Known Prognostic Markers by Linear Regression Analysis.

Variable	P Value
Age decade at diagnosis	.695
ECOG score	.503
MGMT promoter methylation status	.817
Extent of tumor resection	.258