

An amphioxus RAG1-like DNA fragment encodes a functional central domain of vertebrate core RAG1

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The highly diversified repertoire of antigen receptors in the vertebrate immune system is generated via proteins encoded by the recombination activating genes (*RAGs*) *RAG1* and *RAG2* by a process known as variable, diversity, and joining [V(D)J] gene recombination. Based on the study of vertebrate RAG proteins, many hypotheses have been proposed regarding the origin and evolution of RAG. This issue remains unresolved, leaving a significant gap in our understanding of the evolution of adaptive immunity. Here, we show that the amphioxus genome contains an ancient RAG1-like DNA fragment (*bfRAG1L*) that encodes a virus-related protein that is much shorter than vertebrate RAG1 and harbors a region homologous to the central domain of core RAG1 (cRAG1). *bfRAG1L* also contains an unexpected retroviral type II nuclease active site motif, DX_N(D/E)XK, and is capable of degrading both DNA and RNA. Moreover, *bfRAG1L* shares important functional properties with the central domain of cRAG1, including interaction with RAG2 and localization to the nucleus. Remarkably, the reconstitution of *bfRAG1L* into a cRAG1-like protein yielded an enzyme capable of recognizing recombination signal sequences and performing V(D)J recombination in the presence of mouse RAG2. Moreover, this reconstituted cRAG1-like protein could mediate the assembly of antigen receptor genes in RAG1-deficient mice. Together, our results demonstrate that amphioxus *bfRAG1L* encodes a protein that is functionally equivalent to the central domain of cRAG1 and is well prepared for further evolution to mediate V(D)J recombination. Thus, our findings provide unique insights into the evolutionary origin of RAG1.

The adaptive immune system, with the high degree of antigen receptor diversity, gives the host the potential to recognize and evade any invader. Antigen receptor genes are assembled from variable (V), diversity (D), and joining (J) gene segments in a somatic DNA rearrangement reaction termed V(D)J recombination (1, 2), a process mediated by the recombination signal sequences (RSSs) and the proteins encoded by the recombination activating genes (*RAGs*) *RAG1* and *RAG2*. RSSs that flank the V, D, and J gene segments consist of well conserved heptamer and nonamer sequences that are separated by relatively nonconserved spacer region of 12 or 23 base pairs (12-RSS or 23-RSS, respectively) (3), whereas RAG1 and RAG2 (hereafter referred to as RAG) proteins are responsible for sequence-specific DNA recognition and DNA cleavage. During V(D)J recombination, RAG1 binds to nonamer through its nonamer binding domain (NBD) and RAG2 acts as a regulator for the formation of RSS/RAG complex. Double-strand breaks are then introduced at the heptamer-coding flank border by the RAG1 enzymatic activity, generating covalently sealed hairpin coding ends and blunt 5'-phosphorylated signal ends. Finally, the signal and coding ends are repaired by nonhomologous end joining (NHEJ) machinery (4, 5).

RAG-mediated V(D)J recombination is the main mechanism for generating antigen receptor diversity and is the hallmark of jawed vertebrate-specific adaptive immunity (6). Although *SpRAG1L* and *SpRAG2L*, a pair of RAG-like genes from the invertebrate purple sea urchin (*Strongylocentrotus purpuratus*), have been shown to

represent ancient homologs of vertebrate *RAG1* and *RAG2* (7), the earliest known RAG-mediated adaptive immune system was demonstrated in cartilaginous fish, e.g., the horned shark (8). Thus far, no evidence has been provided that supports the existence of functional RAG in either invertebrates or jawless vertebrates.

It has been reported that the hypothetical gene *bfRAG1L*, from the invertebrate amphioxus (*Branchiostoma floridae*), is a vertebrate RAG1-like DNA fragment (9). Here, we combined bioinformatic and experimental approaches to explore the relationship between *bfRAG1L* and vertebrate mouse RAG1 from basic structure to recombination function. We showed that *bfRAG1L* contains a retroviral type II nuclease active site motif, DX_N(D/E)XK, and is capable of degrading both DNA and RNA. Moreover, *bfRAG1L* shares important functional properties with the central domain of cRAG1, and the reconstitution of *bfRAG1L* into a cRAG1-like protein yielded an enzyme capable of recognizing RSSs and performing V(D)J recombination together with mouse RAG2. Moreover, this reconstituted cRAG1-like protein could mediate antigen receptor gene assembly in RAG1-deficient mice. Our findings suggest that the *bfRAG1L* DNA fragment is likely an ancient predecessor of vertebrate *RAG1* and, thus, provide unique insights into the evolutionary origin of *RAG1*.

Results

***bfRAG1L* Product Is a Virus-Related Protein That Can Degrade both DNA and RNA.** No sense cDNA of *bfRAG1L* was found after searching the cDNA database of Florida amphioxus *B. floridae* released by Institute of Cellular and Organism Biology EST

Significance

Recombination activating gene (RAG)-mediated variable, diversity, and joining [V(D)J] gene recombination is the hallmark of the adaptive immunity that only exists in jawed vertebrates. The evolutionary origin of RAG remains largely unknown although many hypotheses have been proposed. This study indicates that a RAG1-like DNA fragment (*bfRAG1L*) from the invertebrate amphioxus might encode a functional central domain of vertebrate core RAG1. We show that *bfRAG1L* (if translated) is a virus-related protein that can degrade both DNA and RNA and is able to introduce V(D)J gene recombination in RAG1-deficient mice after the reconstitution of *bfRAG1L* into a core RAG1-like protein. In this paper, we propose a model for the evolutionary process of RAG1 in the combination of previous hypotheses, and it provides unique insights into the origin and evolution of RAG1.

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project (<http://amphioxus.icob.sinica.edu.tw>). However, the product of *bfRAG1L* if translated shares ~35% similarity with that of cRAG1 (over the amino acid region 508–820) (9). Notably, the region where *bfRAG1L* is homologous to RAG1 contains the entire central domain of cRAG1 (residues 528–760) (10), including the two key aspartic acid residues (D600 and D708) of the catalytic DDE triad (D600, D708, and E962) (Fig. 1A). With the PSI-BLAST search engine for GenBank proteins, excluding proteins from jawed vertebrates, we found that *bfRAG1L* bears the closest homology to SpRAG1L and the next closest homology to viral RNA polymerases with 19% identity (*SI Appendix, Fig. S1 and Table S1*), although the identity doesn't include the conserved acidic residues (D600, D708) of vertebrate RAG1.

Before examining the potential function of *bfRAG1L*, we predicted the secondary structure of *bfRAG1L* by using the publically available PSI-PRED server (11). We found that *bfRAG1L* shares certain structural characteristics with retroviral type II nucleases, such as the nuclease BGLF5 (from the herpes virus family EBV) (12). Like BGLF5, *bfRAG1L* contains a highly conserved DX_N(D/E)XK active site motif (D176X_ND201K203) that localizes to several planar β-sheets, which are surrounded by α-helices (Fig. 1B and C), suggesting that *bfRAG1L* might be able to function as a nuclease.

Consistent with the above prediction, purified *bfRAG1L*, but not the N-terminal domain of mouse RAG1 (ntRAG1), could hydrolyze double-strand DNA (e.g., covalently closed circular plasmid DNA or genomic DNA) in a non-DNA sequence-specific manner (Fig. 1D and E and *SI Appendix, Fig. S2 and Table S2*). In contrast, this hydrolytic activity was not detected when *bfRAG1L* was immunodepleted with anti-*bfRAG1L* serum, whereas IgG-depleted samples retained their activity (Fig. 1D and E and *SI Appendix, Fig. S3*). Notably, the partially digested linear DNA fragments could be religated by T4 DNA ligase, indicating that *bfRAG1L* could generate 5'-phosphorylated and 3'-OH end groups (Fig. 1F). Given that a putative RNA-binding motif was also identified in *bfRAG1L* by performing a BindN search (<http://bioinfo.ggc.org/bindn>), its RNase activity was also

examined. Interestingly, *bfRAG1L* could degrade RNA, whereas neither the anti-*bfRAG1L* serum-depleted *bfRAG1L* sample, nor the ntRAG1 control sample, showed detectable RNase activity (Fig. 1G).

The DX_N(D/E)XK Motif Is Critical for the Nuclease Activity of *bfRAG1L*.

After verifying that *bfRAG1L* was a metal ion-dependent nuclease (*SI Appendix, Fig. S4*), we examined whether the key residues of the putative D176X_ND201K203 active site motif and the catalytic DDE triad (D91 and D201) in *bfRAG1L* are essential for its nuclease activity. Mutation of D176, D201, or K203 significantly attenuated the DNA and RNA degradation activity compared with the wild-type control (Fig. 2A and B and *SI Appendix, Fig. S2*), indicating that the DX_N(D/E)XK motif is critical for the nuclease activity of *bfRAG1L*. Notably, D91 also plays a role in the nuclease activity of *bfRAG1L* (Fig. 2A and B and *SI Appendix, Fig. S2*). Considering that the central domain of cRAG1 is similar to *bfRAG1L* and is essential for the cleavage activity of RAG1 (10), we wondered whether the *bfRAG1L*-like region from vertebrate RAG1 could degrade DNA. Intriguingly, like *bfRAG1L*, these vertebrate *bfRAG1L*-like regions showed similar non-DNA sequence-specific nuclease activities (Fig. 2C and *SI Appendix, Fig. S2*), which is consistent with previous observation that the central domain of mouse cRAG1 contains an inherent nuclease activity (13).

bfRAG1L Is a Nuclear Protein That Binds Vertebrate RAG2.

The central domain of cRAG1 is important for both the DNA cleavage activity of RAG1 and its association with RAG2 (14). We therefore asked whether *bfRAG1L* could also interact with RAG2. Although no RAG2-like gene has been identified in the Florida amphioxus genome (9), *bfRAG1L* could specifically interact with mouse core RAG2 (cRAG2), albeit with lower efficiency than tmRAG1, a *bfRAG1L*-like truncated form of mouse cRAG1 (comprising amino acid residues 508–820 of RAG1) (Fig. 3A). Moreover, *bfRAG1L* preferentially localized to the nucleus (in a similar manner to cRAG1 and tmRAG1) upon overexpression in HEK-293T cells (Fig. 3B). However, *bfRAG1L* failed to perform RSS-mediated recombination in the presence of mouse cRAG2

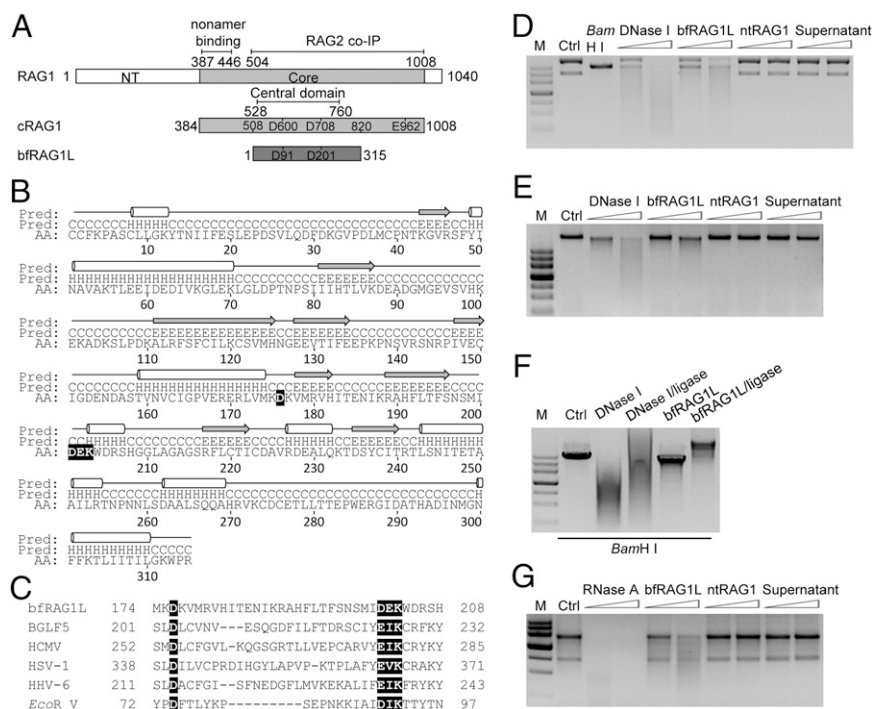


Fig. 1. The structural and functional analyses of *bfRAG1L*. (A) Alignment between RAG1 and *bfRAG1L*. The conserved DDE motif residues are indicated in the box. Numbers represent positions of amino acids. The nonamer-binding domain, RAG2 binding domain, and the central domain are marked with straight lines. NT, N-terminal. Core, the core region of mouse RAG1. (B) The predicted secondary structure is labeled with cylinders (α-helices) and arrows (β-strands). (C) Sequences alignment of the conserved nuclease active motif (highlighted in black) in *bfRAG1L*, several herpes virus nucleases, and EcoR V. Nuclease activity assays were performed (*SI Appendix*). The nuclease activities of *bfRAG1L* (0.1 μg or 0.2 μg) on the substrates plasmid pBR322 (D), genomic DNA (E), or RNA from HEK-293T cells (G) are shown. The incubations with substrates DNA are executed for 8 h, or with substrate RNA for 3 h. Ctrl, represents respective untreated substrate; M, DNA marker. (F) The religation products of *BamH* I-digested linear plasmid pBR322 degraded by 0.1 μg of *bfRAG1L* or DNase I. Ctrl, untreated *BamH* I-digested linear plasmid pBR322. Supernatant, purified *bfRAG1L* protein immunodepleted with anti-*bfRAG1L* serum. The results are representative of three independent experiments.

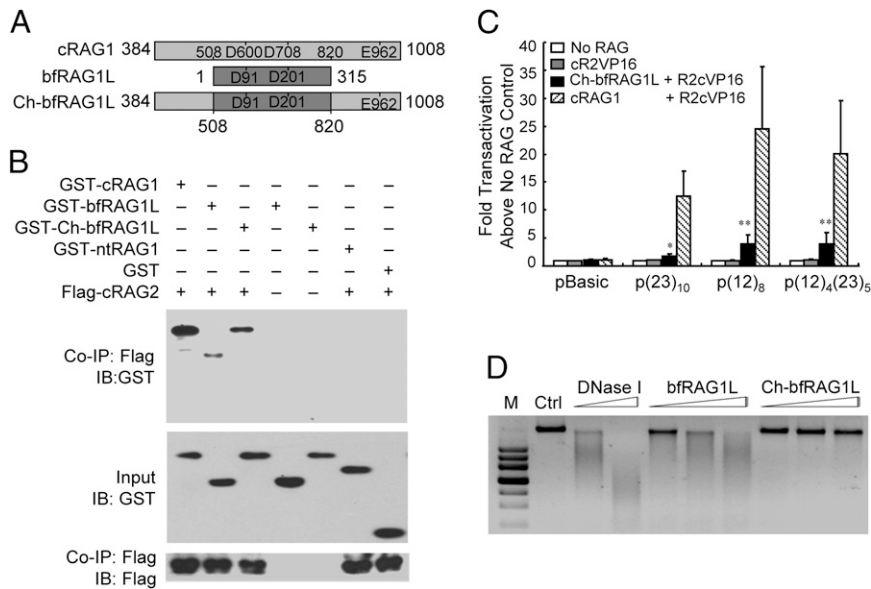


Fig. 4. bfRAG1L can recognize RSS after reconstitution. (A) Scheme of the constitution of Ch-bfRAG1L. (B) Co-IP assay to examine the interaction between Ch-bfRAG1L and cRAG2 in HEK-293T cells. IB, immunoblot; nt, N-terminal. (C) The recognition of RSS by Ch-bfRAG1L. The “fold transactivation” of luciferase activity was normalized against “no RAG control” with the indicated plasmids (the means \pm SD is calculated from triplicate experiments). Error bars reflect the SD. Asterisks indicate the *P* value by using the Student *t* test (**P* < 0.05; ***P* < 0.01). (D) The nuclease activities of bfRAG1L and Ch-bfRAG1L on a genomic DNA substrate. Ctrl, represent untreated genomic DNA from 293T cell lines. The results are representative of two (B) or four (D) independent experiments.

SI Appendix, Fig. S8D). Further sequence analysis revealed that although only 13% of double-strand breaks were introduced at the expected site adjacent to the heptamer, more than 90% of the double-strand breaks were within the RSS when Ch-bfRAG1L was used (Fig. 5E); in addition, excessive deletion or insertion occurred during Ch-bfRAG1L-mediated coding joint formation in HEK-293T cells (*SI Appendix, Table S3*). Notably, the hairpin formation by Ch-bfRAG1L was barely detectable (Fig. 5F), which may be due to the low activity of Ch-bfRAG1L in this test.

Ch-bfRAG1L Mediates Antigen Receptor Gene Assembly in RAG1-Deficient Mice. To assess the capacity of Ch-bfRAG1L to perform V(D)J gene recombination in vivo, bone marrow transplantation following retroviral infection of RAG1-deficient bone marrow cells was performed (17). RAG1-deficient mice are unable to rearrange Ig genes, and B-cell development is

arrested at the B220⁺CD43⁺ pro-B-cell stage (18). RAG1-deficient pro-B cells infected with a cRAG1-expressing retrovirus were able to progress from the pro-B-cell stage to the pre-B stage (B220⁺CD43⁻) and beyond (B220⁺IgM⁺), which was also the case for cells transfected with Ch-bfRAG1L (Fig. 6A–C), suggesting that functional heavy and light chains have been expressed.

Thymocytes fail to undergo TCR β and TCR α recombination and are arrested at the double negative 3 (DN3) stage in the absence of RAG1. Interestingly, TCR β -positive cells were present in Ch-bfRAG1L-transfected and cRAG1-transfected DN3 and DN4 thymocytes, but they were not present in control cells (Fig. 6D and *SI Appendix, Fig. S10A*). Moreover, RAG1-deficient thymocytes were able to differentiate into DN4, DP, and later stages after transfected with Ch-bfRAG1L (Fig. 6E and F). Thus, Ch-bfRAG1L might function in an analogous manner

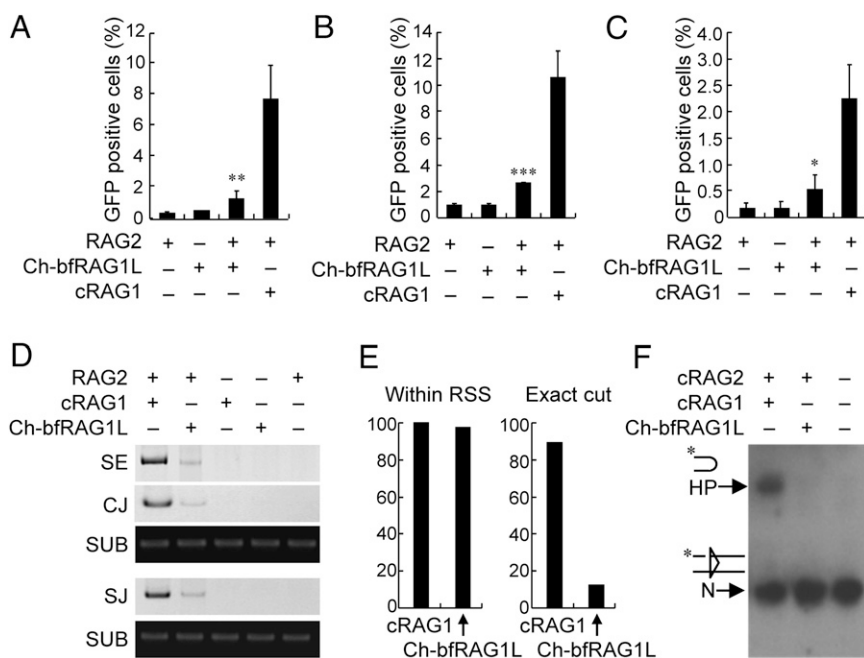


Fig. 5. Ch-bfRAG1L introduces RSS-mediated gene recombination. HEK-293T (A and B) or Raji (C) cells were transfected with the indicated plasmids, and the GFP level was subsequently measured by flow cytometry. The percentages of GFP⁺ cells are shown (the means \pm SD is calculated from triplicate experiments). Error bars indicate the SDs. The asterisks reflect the values significantly different from RAG2 only (**P* < 0.05; ***P* < 0.01; ****P* < 0.001, by the Student *t* test). (D) PCR analysis of signal end (SE), coding joint (CJ), and signal joint (SJ) from Ch-bfRAG1L-mediated recombination on substrates pJH290 and pJH289. (E) Location analysis of cleavage by Ch-bfRAG1L and cRAG1. (F) In vitro cleavage assay. Nicked 12-RSS and 23-RSS substrates were incubated with purified RAG complex as indicated. The nicked substrate (N) and hairpin (HP) were shown on the left. The results are representative of three (D) or two (F) independent experiments.

to cRAG1 by introducing V(D)J gene recombination *in vivo*, albeit at a lower efficiency. Notably, sequence analyses of DJ or VD coding joints showed that excessive deletion or insertion also occurred in Ch-bfRAG1L-mediated TCR β gene recombination (*SI Appendix, Fig. S10B and Tables S4 and S5*).

Discussion

The study on the evolution of the adaptive immune system has been largely concentrated on the identification of ancestral RAG homologs (7, 9, 19, 20). Our findings indicate that the ectopic expressed product of the RAG1-like *bfRAG1L* from the invertebrate amphioxus exhibits nuclease activity. Importantly, *bfRAG1L* is functionally equivalent to the central domain of cRAG1 and is able to perform V(D)J recombination after reconstituting it into a cRAG1-like protein, Ch-bfRAG1L. However, it is noteworthy that both the efficiency and the accuracy of Ch-bfRAG1L-mediated recombination are lower than those observed for cRAG1, which could be due to many reasons, such as Ch-bfRAG1L fails to induce a precise conformational change near the active site along with mouse RAG2 and RSS and/or is deficient in hairpin formation during DNA cleavage (21).

SpRAG1L and *SpRAG2L* from the invertebrate sea urchin are similar in both sequence and genomic organization to the vertebrate *RAG1* and *RAG2* genes (7). However, *SpRAG1L* failed to recognize RSS and introduce gene recombination. Importantly, the reconstruction of *SpRAG1L* into mouse cRAG1-like protein by replacement of NBD failed to improve its ability to perform V(D)J recombination in the presence of mouse RAG2 or *SpRAG2L* (*SI Appendix, Fig. S11*), which might be due to the fact that *SpRAG1L* could not interact with mouse cRAG2 as reported (7). Although no RAG2-like gene could be identified in Florida amphioxus genome near the *bfRAG1L* DNA fragment because of the incompleting DNA sequence information (9), we observed that *bfRAG1L* bears closer homology to mouse RAG1 (35%) than *SpRAG1L* (26%), although *bfRAG1L* is ~48% identical to *SpRAG1L* in terms of amino acid sequence homology (*SI Appendix, Fig. S12*). *SpRAG1L* and *bfRAG1L* might be distinct ancestors of jawed vertebrates RAG1 existing in invertebrates. Although *bfRAG1L* does not have a complete structure of RAG1 as *SpRAG1L* from sea urchin, it is more closely related to RAG1 with respect to the function of recombination activity in phylogeny of evolution.

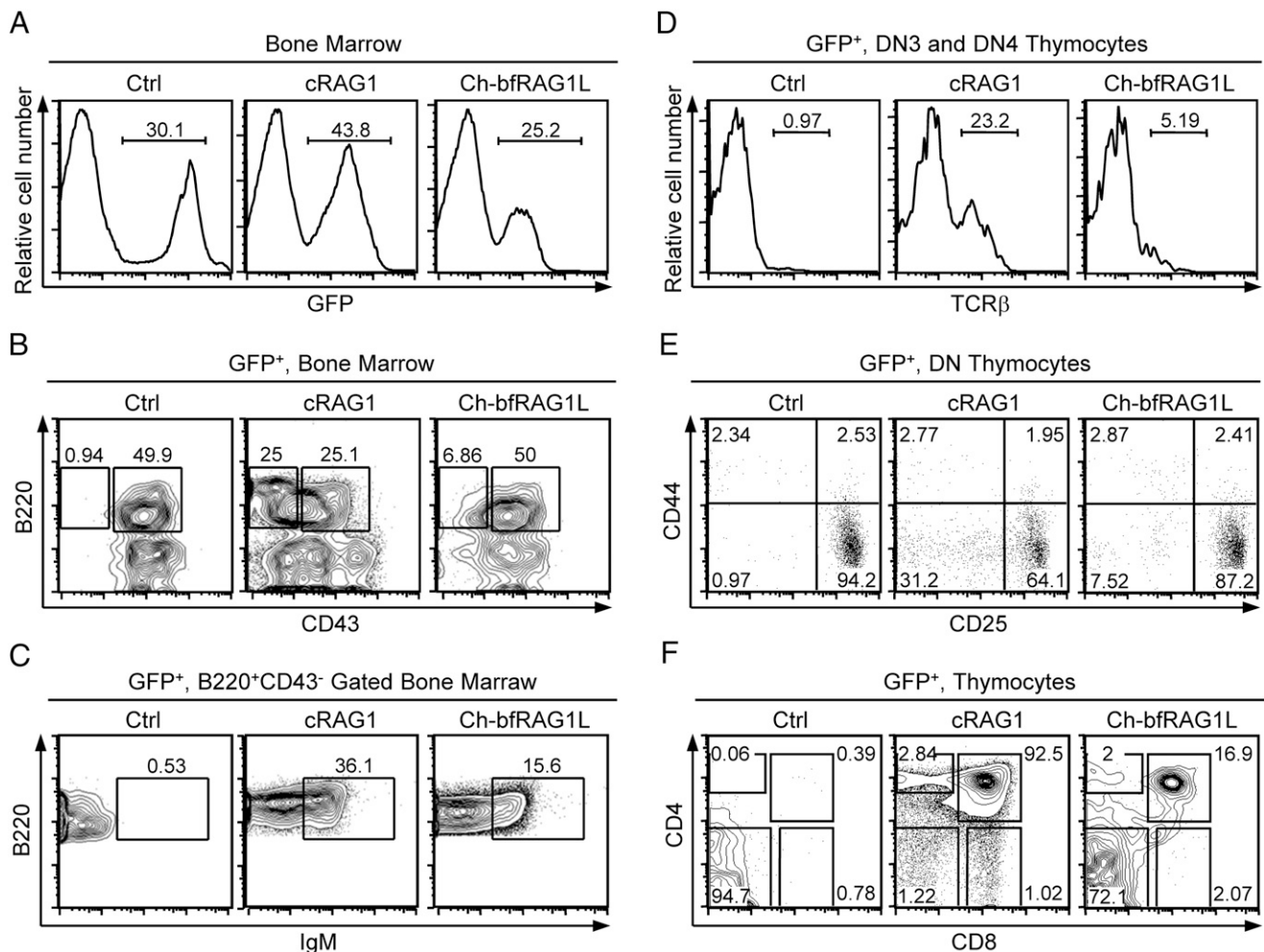


Fig. 6. Flow cytometric analysis of B-cell and T-cell development in Ch-bfRAG1L-transfected RAG1-deficient mice. (A) The percentages of GFP-positive bone marrow cells are shown. (B) GFP-positive bone marrow cells are analyzed for the surface markers B220 and CD43. B220⁺CD43⁺ pro-B and B220⁺CD43⁻ pre-B-cell populations are shown. (C) Surface expression of IgM on GFP-positive B220⁺CD43⁻ bone marrow cells. (D) Surface expression of TCR β on DN3 and DN4 thymocytes. (E) Transduced DN subsets are presented by CD44 versus CD25 profiles. Numbers indicate the percentage of DN subsets from DN1 to DN4. (F) CD4 and CD8 expression on GFP-positive thymocytes; number represents the percentage of cells in that gate. Ctrl, bone marrow cells only expressing GFP protein. The results are representative of two (A–C) or three (D–F) independent experiments.

Intriguingly, other data indicate that nuclease activity is a prerequisite, but is insufficient, for the experimental evolution of a functional RAG1. The retroviral type II nuclease BGLF5 was unable to introduce gene recombination after a cRAG1-like reconstruction (*SI Appendix, Fig. S13*). Amphioxus shares a common ancestor with modern-day vertebrates (22, 23), and our functional characterization of bfRAG1L is consistent with that observation.

Notably, the residue D176 in the DX_N(D/E)XK motif is critical for the nuclease activity of bfRAG1L, whereas it is not conserved among vertebrate RAG1s. This phenomenon might be due to the fact that some other residues (even D91) substitute for the function of this D, or that this D is eliminated in the process of evolution, which, in turn, improves the accuracy of RAG1-mediated DNA recombination in vertebrates. Considering that the DX_N(D/E)XK motif and the DDE motif in bfRAG1L share one key residue D201, it would be interesting to investigate whether the DX_N(D/E)XK motif for nuclease activity evolves into a DDE motif important for V(D)J recombinase in the future.

With regards to the origin of RAG, the “RAG transposon” hypothesis states that RAG derived from a transposon by horizontal transfer into the genome of vertebrates, an idea supported by the observation that RAG1 and RAG2 can mediate a complete transposition reaction (24, 25). In comparison, the “viral recombination” hypothesis predicts that RAG is more likely to have evolved from a viral recombinase, including retroviral integrases, RNase H, and RNA-induced silencing complex (19, 20). Given that bfRAG1L bears homology to some viral RNA polymerases and contains the DX_N(D/E)XK active site motif characteristic of many viral nucleases, we propose that bfRAG1L is a virus-related nuclease that resulted from the integration of the virus gene into the genome of amphioxus.

However, bfRAG1L cannot recognize the RSS, implying that further evolution would have been needed for it to gain recombination activity. It was reported that the DNA binding domain of Tc1/mariner transposases (26, 27) or the N-terminal region of *Transib* TPases has sequence similarities to the RAG1 NBD (9), and recent observations suggest that RAG1 represents a functional fusion of separate proteins (9). Presumably, the NBD of RAG1 with specific RSS recognition may well result from the fusion of the DNA binding region of *Transib* TPases into the upstream of *bfRAG1L* DNA fragment thereafter, given that *bfRAG1L* is located in a transcriptionally active region as evidenced by the expression of an antisense cDNA (information from the cDNA database of Florida amphioxus *B. floridae*). Together, our findings provide unique insights into the origin and evolution of RAG1.

Materials and Methods

Full methods are provided in the *SI Appendix*. Briefly, the different forms of *bfRAG1L* fragment were cloned into vector pET28A and expressed in *Escherichia coli* BL21 (DE3). The recombinant proteins were purified by Ni-affinity chromatography (GE Healthcare) for detecting nuclease activities. HEK-293T cells were transfected with the different sets of RAG expression vectors for testing protein–protein interaction, localization, and recombination activities. To examine the recombination activity of Ch-bfRAG1L in vivo, retroviral-mediated bone marrow gene transfer was introduced.

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