

Shp2-Dependent ERK Signaling Is Essential for Induction of Bergmann Glia and Foliation of the Cerebellum

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Folding of the cortex and the persistence of radial glia (RG)-like cells called Bergmann glia (BG) are hallmarks of the mammalian cerebellum. Similar to basal RG in the embryonic neocortex, BG maintain only basal processes and continuously express neural stem cell markers. Past studies had focused on the function of BG in granule cell migration and how granule cell progenitors (GCP) regulate cerebellar foliation. The molecular control of BG generation and its role in cerebellar foliation are less understood. Here, we have analyzed the function of the protein tyrosine phosphatase Shp2 in mice by deleting its gene *Ptpn11* in the entire cerebellum or selectively in the GCP lineage. Deleting *Ptpn11* in the entire cerebellum by *En1-cre* blocks transformation of RG into BG but preserves other major cerebellar cell types. In the absence of BG, inward invagination of GCP persists but is uncoupled from the folding of the Purkinje cell layer and the basement membrane, leading to disorganized lamination and an absence of cerebellar folia. In contrast, removing *Ptpn11* in the GCP lineage by *Atoh1-cre* has no effect on cerebellar development, indicating that Shp2 is not cell autonomously required in GCP. Furthermore, we demonstrate that *Ptpn11* interacts with *Fgf8* and is essential for ERK activation in RG and nascent BG. Finally, expressing constitutively active MEK1 rescues BG formation and cerebellar foliation in Shp2-deficient cerebella. Our results demonstrate an essential role of Shp2 in BG specification via fibroblast growth factor/extracellular signal-regulated protein kinase signaling, and reveal a crucial function of BG in organizing cerebellar foliation.

Key words: Bergmann glia; cerebellum; extracellular signal-regulated kinases; mouse; radial glia; stem cell

Introduction

The adult cerebellar cortex is a trilaminar structure comprised of a monolayer of Purkinje cells (PCL) containing the somata of Purkinje neurons and Bergmann glia (BG) sandwiched between an internal granule cell layer and an outer molecular layer containing interneurons, granule cell axons, Purkinje dendrites, and BG radial fibers (Hatten, 1999; Wang and Zoghbi, 2001). Except for granule cells, which arise from the rhombic lip, the major cerebellar cell types including BG are generated from radial glia (RG) within the ventricular zone (VZ). During the RG-to-BG

transformation, which occurs between mouse embryonic day 14.5 and 18.5 (E14.5 and 18.5), BG maintain the RG basal processes and relocate their soma from the VZ to the future PCL (Yuasa, 1996). After birth, the BG radial fibers facilitate inward migration of differentiating granule cell progenitors (GCP) from the external granular layer (EGL) to form the internal granule layer (Yamada and Watanabe, 2002; Xu et al., 2013). In the adult cerebellum, BG maintain structural integrity and synaptic connections (Iino et al., 2001; Yamada and Watanabe, 2002), and have been proposed to act as stem cells (Sottile et al., 2006; Alcock et al., 2007; Alcock and Sottile, 2009; Koirala and Corfas, 2010). The molecular cues driving RG-to-BG transformation remain elusive.

In amniotes, precisely timed and regionally defined folding of the cerebellar cortex results in an elaborate set of folia. GCP accumulation and invagination at the prospective fissures between the folia is believed to be the driving force behind the formation of the anchoring centers (Sudarov and Joyner, 2007). Concurrent with the development of the anchoring center, extensive rearrangements of BG fibers and cell bodies were also observed at the base of the prospective fissures (Sudarov and Joyner, 2007). Identifying a mutation that specifically ablates BG would determine BG functions in the initiation of the cerebellar foliation.

The protein tyrosine phosphatase Shp2 (encoded by *Ptpn11*) modulates diverse signaling pathways (Feng, 2007; Yang et al., 2013). Shp2 dysregulation contributes to developmental syn-

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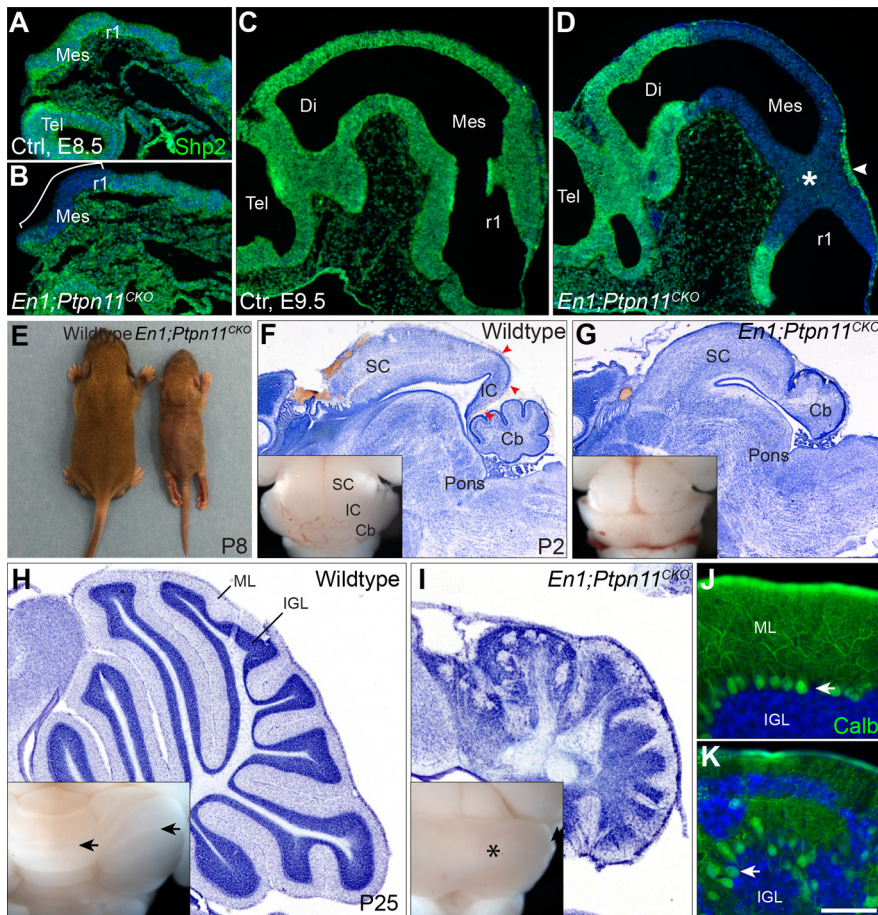


Figure 1. Specific deletion of Shp2 in midbrain and cerebellar progenitors results in a truncated midbrain and disorganized cerebellar cortex. **A–D**, IF for Shp2 on sagittal sections of E8.5 (**A**, **B**) and E9.5 (**C**, **D**) embryos. The bracket and asterisk indicate the loss of Shp2; the arrowhead denotes the presence of Shp2 in the meninges where *En1^{cre}* is not expressed. **E**, Dorsal view of wild-type and *En1;Ptpn11^{CKO}* mice at P8. **F–I**, Nissl staining of sagittal sections of the cerebellum (Cb). Insets show the whole-mount brains; the asterisk indicates the lack of cerebellar fissures (arrow). Arrowheads in **F** indicate the inferior colliculus (IC) that is missing in the mutants. **J**, **K**, IF for Calbindin (Calb) on wild-type (**J**) and *En1;Ptpn11^{CKO}* (**K**) cerebellum at P25. Note the abnormal arrangement of Purkinje neurons (arrows), the molecular layer (ML; containing the dendrites of Purkinje cells in green), and the internal granule layer (IGL; densely packed nuclei in blue) in the mutant cerebellum. Di, diencephalon; Mes, mesencephalon; r1, rhombomere 1; SC, superior colliculus; Tel, telencephalon. Scale bars, **A**, **B**, 180 μ m; **C**, **D**, 430 μ m; **F**, **G**, 720 μ m; **H**, **I**, 625 μ m; **J**, **K**, 50 μ m.

dromes and cancers (Tartaglia et al., 2001; Chan and Feng, 2007; Mohi and Neel, 2007). In the mouse neocortex, *Ptpn11* deletion altered extracellular signal-regulated protein kinase (ERK) and Stat3 signaling leading to imbalanced genesis of neurons and glia (Gauthier et al., 2007; Ke et al., 2007). Furthermore, *Nestin*-mediated deletion of *Ptpn11* reduces the extent of foliation and led to disorganized lamination in the mouse cerebellum, as well as defective BG fiber organization (Hagihara et al., 2009). Based on *in vitro* data, the cerebellar phenotype was attributed to a cell-autonomous requirement of Shp2 for GCP migration via Cxcl12/Cxcr4 signaling (Hagihara et al., 2009). However, it remains to be determined whether Shp2 indirectly regulates GCP migration through controlling BG development.

To investigate the molecular mechanism underlying BG generation and to examine the intrinsic role of Shp2 in the GCP lineage, we have used tissue-specific knock-outs to define the primary cellular target and the signaling pathway regulated by Shp2 in the developing cerebellum. We have shown that Shp2 is not cell autonomously required in the GCP lineage. Our study has uncovered a crucial role of the fibroblast growth factor (FGF)/Shp2/ERK pathway in BG generation, and a previously unrecognized function of BG in cerebellar foliation.

Materials and Methods

Generation and maintenance of mouse lines. All animal procedures described herein were approved by the Animal Care Committee at the University of Connecticut Health Center. Generation and PCR genotyping of *En1^{cre/+}* and the conditional floxed (*fl*) mutant allele *Ptpn11^{+/fl}* mice were described previously (Kimmel et al., 2000; Yang et al., 2006). *R26^{MEK1DD}* (C57BL/6-Gt(ROSA)26Sor^{tm8(Map2k1*,EGFP)Rskj/j}; #012352) mouse line was obtained from The Jackson Laboratory and the primer sequences for PCR genotyping are provided in <http://www.jax.org>. The *R26^{MEK1DD}* is a conditional gain-of-function allele containing a *Neo-STOP* cassette upstream of a *MEK1^{DD}* cDNA in the *Rosa26* locus so that cre-mediated recombination leads to expression of *MEK1^{DD}* (Srinivasan et al., 2009). *Atoh1^{cre/+}* mice were kindly provided by Dr. Lin Gan (University of Rochester, New York). The *Atoh1^{cre}* is a knock-in allele, in which the entire *Atoh1* coding sequence was replaced with the *cre* coding sequence (Yang et al., 2010). All mouse strains were maintained on a CD1 genetic background and mice of either sex were analyzed (Charles River Laboratories). Noon of the day when a vaginal plug was detected was designated as E0.5 in staging of embryos. *Ptpn11^{fl/fl}* and *En1^{cre/+};Ptpn11^{fl/+}* mice were viable, fertile, and did not display any detectable embryonic and postnatal phenotypes, and were thus treated as wild-type controls in this study.

Western blot. The posterior mesencephalon and cerebellar anlagen of E13.5 wild-type and *En1^{cre/+};Ptpn11^{fl/fl}* mutants were obtained by microdissection. Liquid nitrogen-frozen tissues were lysed in radioimmunoprecipitation assay buffer (Pierce). Proteins (20 μ g) were separated on 10% reducing gels, transferred onto nitrocellulose membranes, and detected using DyLight 680/800 Western blotting kits (Thermo Scientific) by antibodies (Cell Signaling Technology) against AKT, pAKT(S473), STAT3, pSTAT3(Y705), ERK, pERK1/2 (T202/Y204), and β -actin. Protein inputs were normalized using β -actin. The amount of unphosphorylated and phosphorylated forms of AKT, STAT3, and ERK were quantified using ImageJ.

Histological analyses. Embryonic brains were dissected and fixed in 4% paraformaldehyde (PFA) in PBS at 4°C overnight. Postnatal mice were perfused with 4% PFA before dissection and processed as above. All brains were cryoprotected with a sucrose gradient followed by embedding in Optical Cutting Temperature Compound (Sakura Finetek). Serial sections of 16 μ m (embryonic stages) or 50 μ m (postnatal stages) thickness were prepared using a cryostat (CM3050 S; Leica). Detailed immunohistological and *in situ* hybridization protocols are described on the Li lab website (<http://lilab.uconn.edu/protocols/index.html>). The following antibodies were used: rabbit anti-laminin (1:1000; Sigma), rabbit anti-caspase 3 (1:500; Cell Signaling Technology), rabbit anti-Sox2 (1:200; Invitrogen), rabbit anti-BLBP (1:1000; Millipore), rabbit anti-Sox9 (1:1000; Millipore), guinea pig anti-BLBP (1:500; Frontier Institute), mouse anti-Nestin (1:500; Developmental Studies Hybridoma Bank; DSHB), anti-mouse Pax6 (1:100; DSHB), mouse anti-RC2 (1:100; DSHB), mouse anti-Tag1 (1:100; DSHB), mouse anti-TuJ1 (1:2000; Covance), rabbit anti-Pax2 (1:200; Zymed), rabbit anti-calbindin (1:3000; Swant), rat anti-Ki67 (1:100; Dako Cytomation), and rabbit anti-GFAP (1:1000; Dako Cytomation). Alexa Fluor secondary antibodies (Invitro-

gen) were used. Tyramide Signal Amplification system (PerkinElmer) was used for rabbit anti-pERK (1:1000; Cell Signaling Technology) and rabbit anti-Ptpn11 (1:2000; Promega) antibodies. Nuclei were counterstained with Hoechst 33342 (Invitrogen). Slides were mounted with Fluoromount-G (SouthernBiotech). Images were taken using a Zeiss Imager M1 or a LSM510 confocal microscope.

Results

Shp2 is essential for the expansion and foliation of the cerebellar cortex

En1^{cre} mice express cre DNA recombinase in the neural plate area that forms the future midbrain and cerebellum as early as E8.0 (Li et al., 2002). To remove *Ptpn11* from midbrain and cerebellum progenitors, we crossed *En1^{cre}* mice with mice carrying a floxed *Ptpn11* (*Ptpn11^{fl/fl}*) allele to generate the *En1^{cre/+};Ptpn11^{fl/fl}* (designated as *En1;Ptpn11^{CKO}*) mice. Immunostaining showed that the Shp2 protein was ubiquitously present in wild-type embryos (Fig. 1A, C), and specifically deleted in the neural plate region that corresponds to the prospective midbrain and cerebellum in *En1;Ptpn11^{CKO}* embryos as early as E8.5 (Fig. 1B, D). *En1;Ptpn11^{CKO}* mice were smaller in size and displayed ataxic behaviors (Fig. 1E; data not shown). At birth, *En1;Ptpn11^{CKO}* mice had a truncated midbrain and a slightly smaller cerebellum (Fig. 1G). In contrast to the control, the *En1;Ptpn11^{CKO}* cerebellum at postnatal day 25 (P25) was stunted and displayed a remarkably smooth surface without visible folia (Fig. 1H, I, insets). Histological analyses revealed disorganized layering of Purkinje and granule cells in the mutant cerebellum (Fig. 1H–K).

The stereotypical folia of the mouse cerebellum are established from E18.5 to P25 (Sudarov and Joyner, 2007). During the anchoring center formation, the EGL folds inward together with the overlying pial basement membrane and the underlying PCL, as revealed by immunofluorescence (IF) for Pax6, laminin, Calbindin D-28K (Calb), and BLBP, which specifically demarcate the granule cells, basement membrane, Purkinje cells, and BG, respectively (Fig. 2A, C–D'). All fissures were outlined by the basement membrane with anchoring BLBP⁺ BG fibers, which formed a well organized parallel scaffold in the molecular layer (Fig. 2C, D, I). In the vermis region of the *En1;Ptpn11^{CKO}* cerebellum, the inward GCP accumulation persisted (Fig. 2B, E–H). However, the invaginated EGL abnormally penetrated through the PCL without an accompanied folding of the PCL (Fig. 2B). In *En1;Ptpn11^{CKO}* mice at P2, although the pial basement membrane was present on the cerebellar surface, it was spotted or absent within the invaginated EGL (Fig. 2E–H'). Notably, few BLBP⁺ cell bodies and fibers were detected in the *En1;Ptpn11^{CKO}* cerebellum, but they were normally present in the pons and other brain structures (Fig. 2E), suggesting a lack of BG in the mutants (see below). Therefore, *Ptpn11* deletion disrupts cerebellar fissure formation by uncoupling the folding of EGL from that of the PCL and the pial basement membrane.

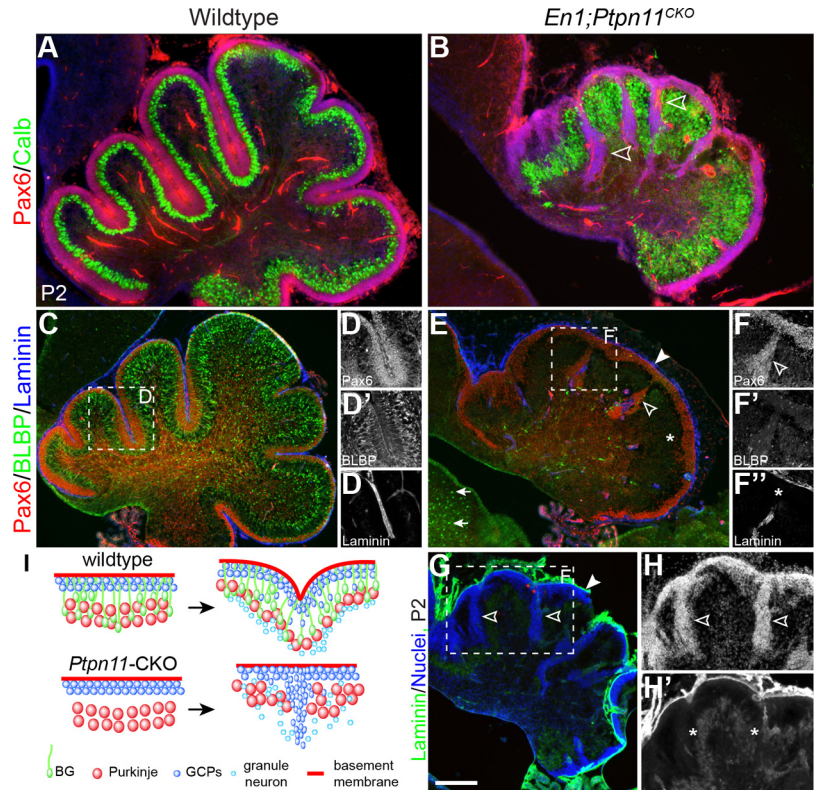


Figure 2. Deletion of *Ptpn11* leads to failure of cerebellar foliation. **A–H'**, IF analyses for the indicated markers on sagittal sections of P2 cerebella. Empty arrowheads show that GCP grow inward and penetrate the PCL; the dotted areas in **C**, **E**, and **G** are enlarged and shown in **D**, **F**, and **H**. The asterisk highlights the lack of BLBP⁺ cells in the cerebellar cortex in **E** or the basement membrane in the invaginated EGL in **F'** and **H'**; the arrowhead shows the normal appearance of the basement membrane on the cerebellum surface. **I**, Illustration of the cerebellar cortices of wild-type (top) and *En1;Ptpn11^{CKO}* (bottom). Note that the inward accumulation of GCP is not associated with folding of the PCL and the basement membrane in the absence of *Ptpn11* function. Scale bar, **A–C**, **E**, **G**, 50 μ m.

Shp2 is dispensable in the rhombic lip and the external granule layer

The proper formation and development of the EGL are important for the cerebellar foliation process (Corrales et al., 2004; Sudarov and Joyner, 2007). We therefore examined granule cell development in *En1;Ptpn11^{CKO}* using lineage-specific and differentiation markers for GCP. Analyses of *Atoh1* and Pax6, by *in situ* hybridization (ISH) and IF, respectively, showed that the EGL was formed on the surface of the cerebellum of wild-type and *En1;Ptpn11^{CKO}* embryos at E14.5 (Fig. 3A, B). Compared with that of the littermate control, the EGL appeared thicker in *En1;Ptpn11^{CKO}* cerebella between E16.5 and P2, and became thinner after P6 (Fig. 3A, B, insets; C, D). The thinner EGL is probably not caused by abnormal cell death as IF for activated caspase 3 (Casp3) has revealed similar numbers of apoptotic cells between the control and mutant cerebella at both P6 and P8 (data not shown). To examine the proliferation and differentiation of GCP in the *En1;Ptpn11^{CKO}* cerebellum, we performed IF for Ki67, Tag1, and Tuj1 triple labeling. In neonatal mice, Ki67 is expressed in proliferating GCP in the outer EGL, Tag1 in differentiating granule cells emerging in the premigratory zone in the inner EGL, and Tuj1 in postmitotic granule neurons (Fig. 3E, G). In the EGL of the *En1;Ptpn11^{CKO}* cerebellum, the Ki67⁺ proliferative layer appeared thinner compared with that in the control and Tag1⁺ cells were abnormally present in the outer EGL intermixing with Ki67⁺ cells; these phenotypes became more pronounced at P15 (Fig. 3F, H). The accumulation of Tag1⁺ cells within the outer

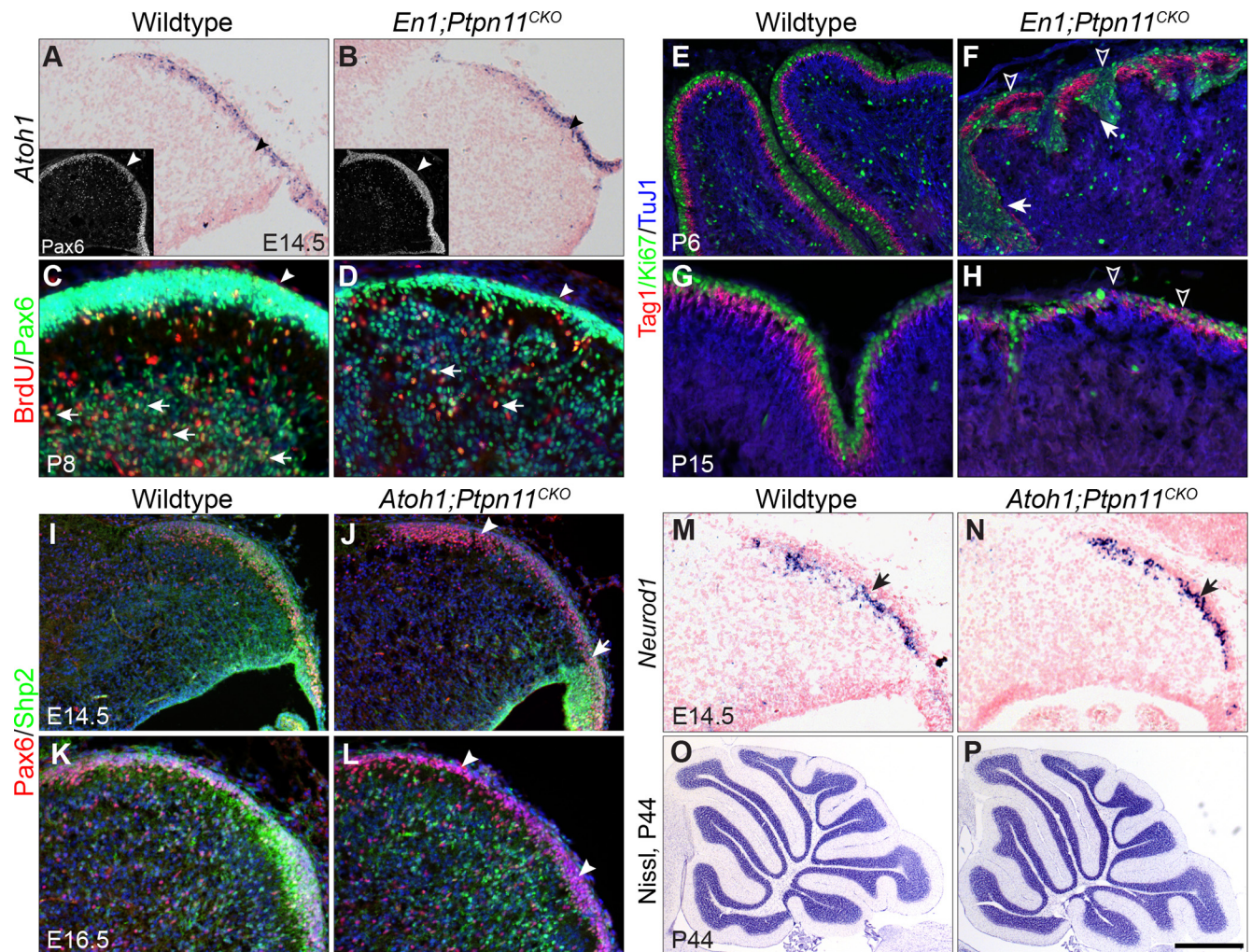


Figure 3. *Ptpn11* is not intrinsically required in granule cell development. **A, B**, ISH for *Atoh1* on sections of wild-type (**A**) and *En1;Ptpn11^{CKO}* (**B**) E14.5 cerebella. Insets show IF for Pax6 on E16.5 cerebellar sections. Arrowheads highlight the *Atoh1*⁺ and Pax6⁺ EGL. **C–H**, IF analyses on sagittal sections of the cerebellum. Note that the EGL (arrowheads) of the *En1;Ptpn11^{CKO}* cerebellum is thickened at E16.5 (inset in **B**) and becomes thinner at P8. Arrows show BrdU⁺/Pax6⁺ double-positive granule neurons; empty arrowheads denote the ectopic Tag1⁺ cells in the Ki67⁺ layer, which also appears thinner than that in the control, in the outer EGL. Arrows in **F** indicate the reduction or lack of Tag1 expression associated with the invaginated EGL. **I–L**, IF for Pax6 and Shp2 in wild-type and *Atoh1;Ptpn11^{CKO}* cerebella at E14.5 and E16.5 as indicated. The arrow highlights the residual Shp2 in GCP near the rhombic lip; arrowheads show the absence of the protein specifically in the EGL farther away from the rhombic lip at E14.5 or throughout the EGL at E16.5. **M, N**, ISH for *Neurod1* to show normal formation of the EGL (arrow) on E14.5 cerebellar sections. **O, P**, Nissl analyses of P44 cerebellar sections. Scale bar, **A, B**, 190 μ m; **C, D**, 139 μ m; **E, F**, 100 μ m; **G, H**, 322 μ m; **I, J**, 154 μ m; **K, L**, 105 μ m; **M, N**, 650 μ m; **O, P**, 500 μ m.

EGL suggests that there might be premature differentiation of GCP in the *En1;Ptpn11^{CKO}* cerebellum. To evaluate the inward migration of granule cells, we performed bromodeoxyuridine (BrdU) pulse chase experiments by injecting BrdU at P5 and performed double labeling of BrdU and Pax6 on P8 cerebellar sections. Pax6 is expressed strongly in the proliferating GCP and weakly in postmitotic granule neurons (Fig. 3*A*, inset; *C*). The GCP that underwent their last cell cycle at P5 and migrated internally were positive for both BrdU and Pax6, and were located mostly in the internal granule layer at P8 (Fig. 3*C*). Despite a reduction in numbers, granule neurons colabeling BrdU and Pax6 were detected in the cerebellar cortex away from the EGL in *En1;Ptpn11^{CKO}* mice at P8 (Fig. 3*D*). Together, our data show that although the initial formation of the EGL is relatively intact before P2, the subsequent differentiation and migration of granule cells are disrupted in the *En1;Ptpn11^{CKO}* cerebellum. The decreased proliferation and enhanced differentiation of GCP in the EGL after P6 may account for the smaller size of *En1;Ptpn11^{CKO}* cerebella.

To investigate the intrinsic requirement of *Ptpn11* in GCP development, we crossed mice harboring the *Ptpn11^{fl/fl}* and *Atoh1^{cre}* alleles. The *Atoh1^{cre}* allele contains a knock-in insertion of cre recombinase in the *Atoh1* locus such that recombination occurs within the rhombic lip and the EGL (Yang et al., 2010). To evaluate the deletion of *Ptpn11* in the EGL, we examined Shp2 protein expression in the *Atoh1^{cre/+};Ptpn11^{fl/fl}* (designated as *Atoh1;Ptpn11^{CKO}*) embryos. We found that Shp2 expression was mostly absent in the anterior part of the EGL, and residual Shp2 immunoreactivity was only detected in the progenitor pool of the rhombic lip in the *Atoh1^{cre/+};Ptpn11^{fl/fl}* embryos at E14.5 (Fig. 3*I, J*). By E16.5, no Shp2 signals were detected in the EGL in the *Atoh1^{cre/+};Ptpn11^{fl/fl}* embryos (Fig. 3*I–L*), demonstrating the specific deletion of *Ptpn11* in the GCP lineage. Formation of EGL, as examined by Pax6 and *Neurod1*, was normal in *Atoh1;Ptpn11^{CKO}* embryos (Fig. 3*I–N*). Furthermore, Nissl analysis showed that the formation of the cerebellar folia was indistinguishable between control and *Atoh1;Ptpn11^{CKO}* mice at E18.5 and postnatal stages (Fig. 3*O, P*; data not shown). These data collectively demonstrate that *Ptpn11* is dis-

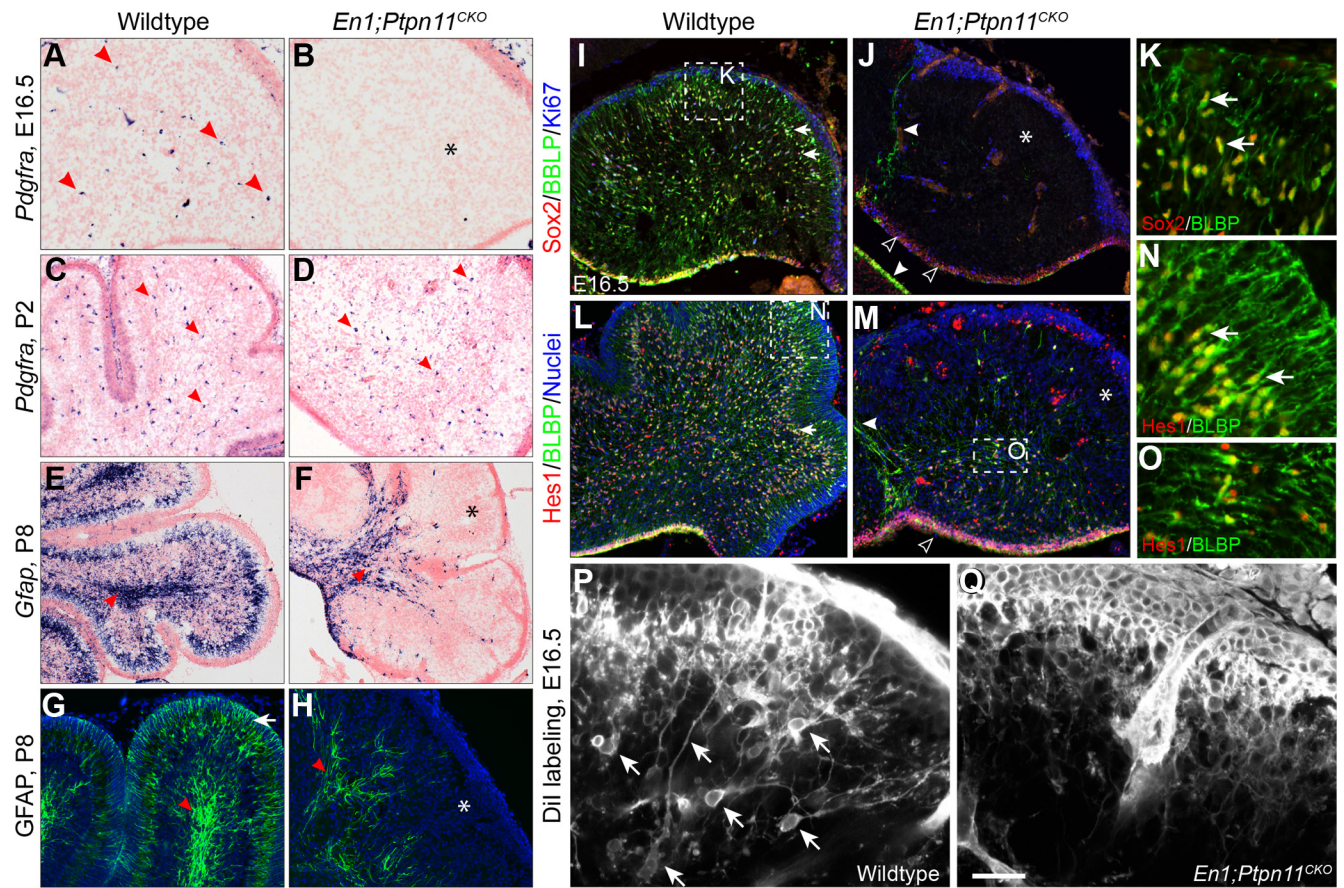


Figure 4. *Ptpn11* deletion results in specific loss of BG. **A–H**, ISH for *Pdgfra* (**A–D**) and *Gfap* (**E, F**) and IF for GFAP (**G, H**) on sagittal sections of cerebella for the indicated genotypes and stages. Red arrowheads indicate *Pdgfra*⁺ oligodendrocyte progenitors (**A–D**) or *Gfap*⁺ astrocytes in the white matter (**E, F**); asterisks denote the lack of *Pdgfra*⁺ cells in the E16.5 cerebellum (**B**) or the lack of *Gfap*⁺ cells in the cortical area of the P8 cerebellum (**F, H**) in *En1;Ptpn11^{CKO}* mice. **I–O**, IF for the indicated markers on sagittal sections of E16.5 (**I–K**) and E18.5 (**L–O**) cerebellum. Note that Sox2⁺/BLBP⁺/Ki67⁺ and Hes1⁺/BLBP⁺ cells are mostly absent in the mantle area (asterisks), but persist in the VZ (empty arrowheads) of the *En1;Ptpn11^{CKO}* cerebellum. Arrowheads denote BLBP fibers at the junction between the midbrain and cerebellum in **J** and **M**, as well as BLBP expression in the VZ of the pons in **J**. The boxed areas in **I, L**, and **M** are enlarged and shown in only green and red channels in **K, N**, and **O, P, Q**. Cell labeling with Dil in wild-type (**P**) and *En1;Ptpn11^{CKO}* cerebella (**Q**) at E16.5. Note that putative BG (arrows) are seen in the wild-type but not in mutant cerebellum. Scale bar, **A, B**, 120 μ m; **C, D**, 190 μ m; **E, F**, 300 μ m; **I, J**, 130 μ m; **L, M**, 200 μ m.

pensable in GCP and suggest that the foliation defects found in *En1;Ptpn11^{CKO}* cerebella were not caused by a cell-autonomous function of *Ptpn11* in the GCP.

Inactivation of *Shp2* delays oligodendrocyte induction and abolishes BG formation

The above findings suggest that *Shp2* probably regulates cerebellar development through cells derived from the cerebellar VZ but not those from the rhombic lip. We therefore characterized cell types that are generated from the cerebellar VZ, including Purkinje neurons, interneurons, oligodendrocytes, and BG, in *En1;Ptpn11^{CKO}* mutants. Examination of Purkinje cells and interneurons by IF for Calb and Pax2, respectively, showed that these neurons were readily detected in the *En1;Ptpn11^{CKO}* cerebellum (Figs. 1K, 2B). Oligodendrocyte progenitors, as revealed by ISH for *Pdgfra*, were missing in *En1;Ptpn11^{CKO}* cerebella at E16.5 (Fig. 4A,B), but the density of *Pdgfra*⁺ cells became comparable between wild-type and mutant cerebella at P2 (Fig. 4C,D), indicating that there was delayed induction of oligodendroglia. ISH for the astrocyte marker *Gfap* showed that astrocytes were present in the white matter of the mutant cerebellum; however, *Gfap*⁺ cells were missing in the cerebellar cortex in the *En1;Ptpn11^{CKO}* cerebellum (Fig. 4E,F). In agreement with the analysis of mRNA, immunostaining showed that GFAP⁺ fibrous astrocytes were readily detected in the white matter of the control

and mutant cerebella, but only the control cerebella had GFAP⁺ BG and radial fibers in the molecular layer at P2 and P25 (Fig. 4G,H; data not shown).

To confirm a lack of BGs in the *En1;Ptpn11^{CKO}* cerebellum, we performed additional markers analyses. BG were known to express neural progenitor markers in the postnatal cerebellum (Sottile et al., 2006; Alcock et al., 2007; Koirala and Corfas, 2010). Neural progenitor markers, Sox2, Sox9, and Hes1, and the proliferation marker Ki67 were expressed in the progenitors in the VZ, as well as in cells within the mantle zone of the cerebellum at E16.5 and E18.5 (Fig. 4I,K,L,N; data not shown). Importantly, the Sox2⁺/Hes1⁺/Ki67⁺ cells in the subcortical area coexpressed BLBP and displayed unipolar processes extending to the pial surface, a hallmark of BG (Fig. 4K,N), demonstrating that BG already express neural progenitor markers in the embryonic cerebellum. In *En1;Ptpn11^{CKO}* cerebella at E16.5, although Sox2 and Ki67 were normally expressed in the VZ, they were completely absent between the VZ and pial surface (Fig. 4J). Furthermore, BLBP expression was missing except for a few BLBP⁺ fibers at the junction between the cerebellum and the midbrain, and the pons (Fig. 4J). Interestingly, in *En1;Ptpn11^{CKO}* cerebella at E18.5, some Hes1⁺/BLBP⁺ cells were delaminated from the VZ but their cellular processes were parallel to the VZ, rather than extending to the pial surface (Fig. 4M,O).

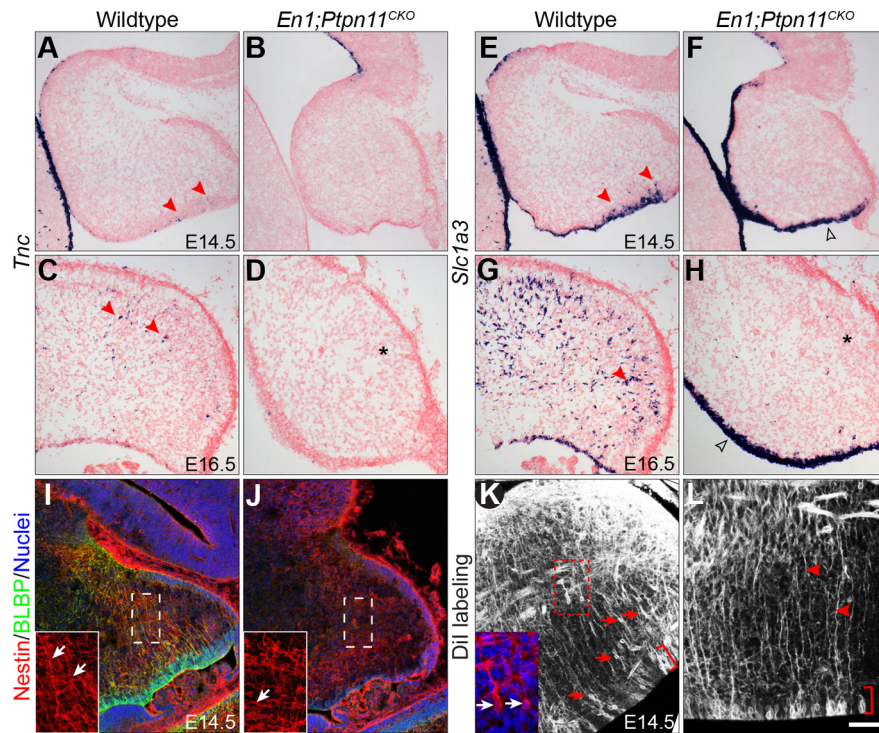


Figure 5. Deletion of *Ptpn11* blocks transformation of RG into BG. **A–H**, ISH for *Tnc* (**A–D**) and *Slc1a3* (**E–H**) on sagittal sections of the cerebellum for the indicated genotypes and stages. Arrowheads in **A** and **E** indicate nascent BG that are delaminated from the VZ; empty arrowheads show enhanced *Slc1a3* expression in the VZ. Asterisks in **D** and **H** indicate the absence of putative BG marked by *Tnc* or *Slc1a3*. **I, J**, IF for BLBP and Nestin on E14.5 sagittal cerebellar sections. Insets show only the Nestin staining enlarged from the dotted area; arrows show Nestin⁺ radial fibers. **K, L**, DiI labeling of RG and BG in wild-type (**K**) and *En1;Ptpn11*^{CKO} cerebella (**L**) at E14.5. Brackets denote the VZ; arrows indicate the labeled BG that contain unipolar basal but no apical process. A dotted area in wild-type is enlarged and shown in the inset; arrowheads show the somata of BG in wild-type cerebella. Scale bar, **A, B, E, F**, 70 μ m; **C, D, G, H**, 95 μ m; **I, J**, 20 μ m; **K, L**, 50 μ m.

To corroborate the marker analysis, we performed cell labeling by applying lipophilic dye DiI to the pial surface to label BG through their basal processes. In the wild-type cerebellum at E16.5, DiI labeled numerous presumptive BG, which resided in the subcortical region and displayed unipolar morphology with one or multiple long processes extending to the pial membrane (Fig. 4P). In contrast, the DiI labeling did not reveal any cells that resembled BG in the *En1;Ptpn11*^{CKO} cerebellum at E16.5 ($n = 3$; Fig. 4Q). Collectively, our data suggest that *Ptpn11* deletion primarily affects BG formation but preserves formation of the other major VZ-derived cell types.

Inactivation of Shp2 blocks transformation of RG into BG

We next sought to investigate if the lack of BG in the *En1;Ptpn11*^{CKO} cerebellum might result from a failure of induction of BG. Tenascin C (*Tnc*) is considered one of the earliest markers for nascent BG (Yuasa, 1996). *Tnc* transcripts were first detected in the VZ near the posterior part of the cerebellum at E14.5, and later in the presumptive BG in the subcortical area at E16.5 (Fig. 5A, C). *Tnc* transcripts were completely missing in *En1;Ptpn11*^{CKO} cerebella at E14.5 and E16.5 (Fig. 5B, D). Interestingly, ISH for *Slc1a3* (*Glast*), a marker for both RG and BG (Anthony et al., 2004), revealed that its expression was lost outside the VZ but enhanced in the VZ of *En1;Ptpn11*^{CKO} cerebellum (Fig. 5E–H), suggesting that *Ptpn11* deletion may have prevented RG from transforming into BG. Furthermore, we found that the immunoreactivity of BLBP and RC2, two known BG markers (Anthony et al., 2004), was absent in the *En1;Ptpn11*^{CKO} cerebellum at E14.5 (Fig. 5J; data not shown). Interestingly, Nestin, which

also marks the radial fibers of RG (Malatesta et al., 2003), was detected in both wild-type and *En1;Ptpn11*^{CKO} cerebella at E14.5 (Fig. 5I, J), indicating the presence of RG. To confirm that *Ptpn11* deletion affects BG but not RG, we performed backfill labeling by applying DiI on the pial surface. In E14.5 wild-type cerebella, DiI labeled the basal processes as well as the somata within and away from the VZ, presumably RG and BG, respectively (Fig. 5K). In contrast, DiI labeling revealed abundant somata in the VZ and their associated radial fibers but no somata above the VZ in E14.5 *En1;Ptpn11*^{CKO} cerebellum (Fig. 5L). Finally, to rule out a failure of BG survival due to the deletion of *Ptpn11*, we analyzed apoptosis by IF for Casp3. We detected no difference in the number of Casp3⁺ cells on cerebellar sections between the control and *En1;Ptpn11*^{CKO} cerebellum at E14.5, E16.5, and postnatal stages (data not shown). Together, our data show that RG fail to transform into BG in the absence of *Ptpn11*.

Shp2 regulates Fgf8/ERK signaling in the developing cerebellum

Ptpn11 has been implicated in multiple intracellular signaling pathways including Ras/ERK, PI3K/AKT, and JAK/STAT (Feng, 2007). To determine the signaling pathways regulated by Shp2 in the developing cerebellum, we quantified the levels of activated-phosphorylated (p) forms of ERK1/2, AKT, and STAT3 by

Western blotting. We found that loss of Shp2 compromised ERK activation, but had no apparent effect on AKT, in the posterior mid-brain and cerebellum of *En1;Ptpn11*^{CKO} embryos at E13.5 (Fig. 6A). pSTAT3 was not detected in both wild-type and mutant cerebella at E13.5 (data not shown). In agreement with the Western blot data, immunostaining showed that pERK was dramatically reduced in the mutant midbrain and cerebellum at E13.5 (Fig. 6B, C). Interestingly, despite the depletion of the Shp2 protein as early as E8.5 (Fig. 1B), no significant alterations of pERK were detected in the neural tube of *En1;Ptpn11*^{CKO} embryos until E10.5, when pERK signals appeared reduced in the midbrain and the cerebellar primordium particularly in areas distant from the isthmus (Fig. 6L; data not shown). Therefore, Shp2 is required to sustain ERK signaling in the developing cerebellum.

Genetic and embryological studies have demonstrated that Fgf8/ERK signaling plays an important role in the development of the midbrain and cerebellum (Sato et al., 2004). To investigate if Shp2 regulates this signaling pathway, we first analyzed the expression of *Fgf8*-target genes that encode the feedback antagonist Sprouty proteins (*Spry1* and *Spry2*), as well as the Ets family transcription factors (*Etv4* and *Etv5*). ISH analysis showed that the expression of these genes was noticeably reduced in the cerebellum of *En1;Ptpn11*^{CKO} embryos at E11.5 and E12.5 (Fig. 6D–G), suggesting that inactivation of Shp2 weakens FGF/ERK signaling from E11.5 onward.

To confirm that *Ptpn11* is essential for *Fgf8* function, we investigated the genetic interaction between *Ptpn11* and *Fgf8*. We reasoned that if *Fgf8* and Shp2 function cooperatively, removing

one copy of *Fgf8*, which by itself has no effect on midbrain and cerebellum development (Guo et al., 2010), would enhance the phenotype of the *En1;Ptpn11^{CKO}* mutation. Indeed, more severe truncation of the midbrain and complete deletion of the cerebellum was found in *En1;Ptpn11^{CKO}; Fgf8^{+/-}* embryos (Fig. 6H–J). Importantly, in contrast to the persistence of pERK at the junction between the midbrain and cerebellum in *En1;Ptpn11^{CKO}* embryos at E11.5, the pERK immunoreactivity was completely missing in this region in *En1;Ptpn11^{CKO}; Fgf8^{+/-}* embryos at E11.5 (Fig. 6L, M), indicating that *Fgf8* was responsible for the residual pERK activity in the *En1;Ptpn11^{CKO}* cerebellum. Together, our data show that *Shp2* interacts with *Fgf8* signaling and is essential for the ERK signaling transduction in the developing midbrain and cerebellum.

Expressing constitutively active MEK1 rescues BG induction and cerebellar foliation

Interestingly, our analyses revealed strong pERK immunoreactivity in the VZ and radial fibers in the wild-type cerebellum at E13.5 (Fig. 7A). Colocalization analyses showed that pERK was present in a subset of BLBP⁺ and Sox2⁺ somata in the VZ of the cerebellum at E14.5 (Fig. 7B, C). Furthermore, pERK immunoreactivity was colocalized with BLBP⁺ basal fibers, including their end feet at the pial surface, in the cerebellum at E14.5 (Fig. 7D). These observations, together with the specific loss of pERK and BG in *En1;Ptpn11^{CKO}* cerebella, suggest that ERK acts downstream and mediates *Shp2* function to induce BG. To test this hypothesis, we generated *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* embryos to simultaneously remove *Ptpn11* and express the constitutively active MEK1, which activates ERK independently of extracellular signals (Cowley et al., 1994), in the cerebellar anlage. Nascent BG were initially detected in the posterior part of the cerebellar VZ around E14.5 in wild-type embryos (Figs. 5A, E, 7E). Interestingly, although *MEK1^{DD}* was expressed throughout midbrain and cerebellar progenitors in *En1^{cre/+}; R26^{+/MEK1DD}* embryos as early as E8.5, nascent BG were correctly induced at approximately the same number in the posterior part of the cerebellum in these *MEK1^{DD}* transgenic embryos at E14.5, suggesting that MEK/ERK activation is not sufficient to accelerate or enhance BG induction (Fig. 7F). As expected, pERK signals were restored in the *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* cerebellum (data not shown). In contrast to the complete absence of Sox9⁺/BLBP⁺ cells between the VZ and EGL in the *En1;Ptpn11^{CKO}* cerebellum, abundant Sox9⁺/BLBP⁺ cells were detected in the *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* cerebella (Fig. 7H, I). Importantly, the Sox9⁺/BLBP⁺ cells in the subcortical region displayed radial BLBP⁺ fibers extending to the pial surface, indicating a bona fide BG identity (Fig. 7J, K, T).

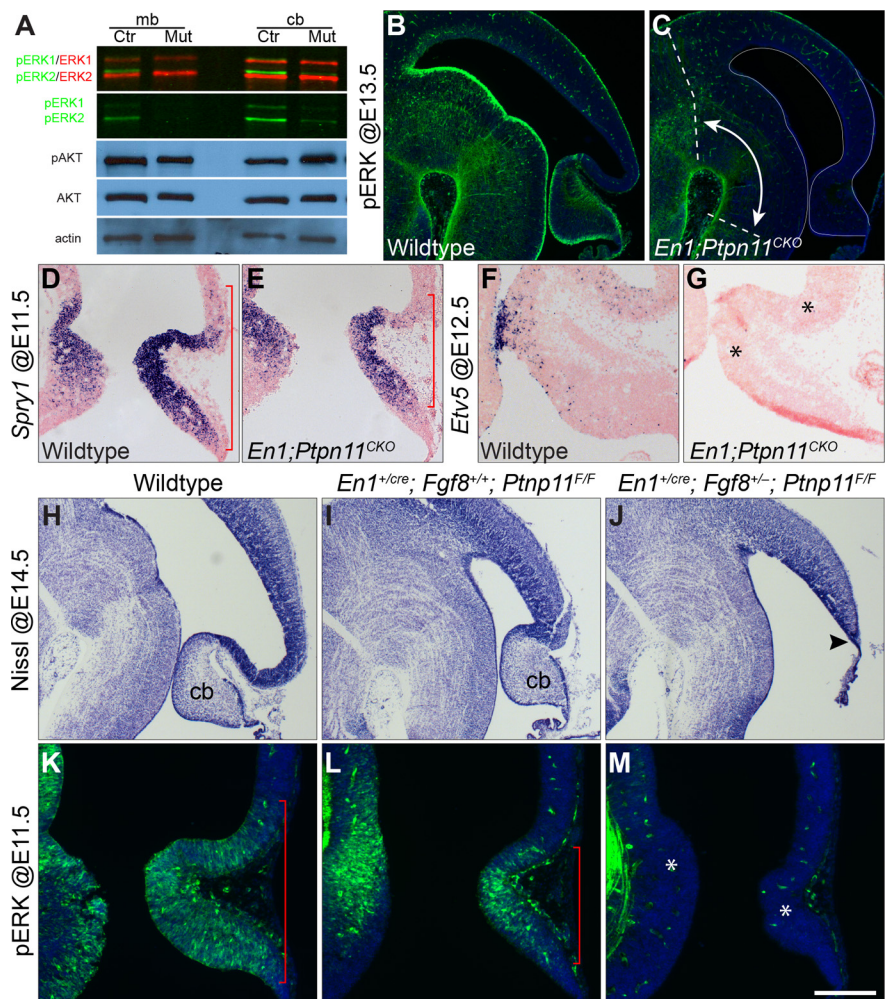


Figure 6. *Shp2* regulates the *Fgf8*/ERK signaling pathways in the developing cerebellum. **A**, Western blots analysis of activation of ERK and AKT in the E13.5 posterior midbrain and cerebellum. **B, C**, IF for pERK on sagittal sections of E13.5 brains for the indicated genotypes. A double arrow denotes the specific loss of pERK in the midbrain and cerebellum delineated by dashed lines. **D–G**, ISH for *Spry1* and *Etv5* on sagittal sections of embryos at E11.5 and E12.5 as indicated. The bracket and the asterisk highlight the reduced expression domain of *Spry1* and the loss of *Etv5*, respectively, in the mutant midbrain and cerebellar primordia. **H–J**, Nissl analyses on E14.5 brain sections for the indicated genotypes. The arrowhead indicates the loss of the cerebellum (cb) in the *En1;Ptpn11^{CKO}* mutant with only one copy of the *Fgf8* gene. **K–M**, IF for pERK on sagittal sections of E11.5 embryos of indicated genotypes. Brackets demarcate the range of pERK immunoreactivity; asterisks indicate the loss of pERK. Scale bar, **B, C**, 50 μ m; **D, E**, 150 μ m; **F, G**, 170 μ m; **H–J**, 125 μ m; **K–M**, 100 μ m.

These results demonstrate that expressing *MEK1^{DD}* rescues BG formation in the *En1;Ptpn11^{CKO}* cerebellum.

We reasoned that if the loss of BG had caused the uncoupling of the inward GCP accumulation from the folding of PCL and pial membrane, a rescue of BG should restore cerebellar foliation in the *En1;Ptpn11^{CKO}* cerebellum. At P2, both *En1^{cre/+}; R26^{+/MEK1DD}* and *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* mice displayed elongated cerebella along the rostrocaudal axis, and abnormal pattern of foliation of the cerebellar cortex (Fig. 7L–N). However, the formation of cerebellar fissures was restored in *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* mice as demonstrated by the concerted folding of EGL, PCL, and basement membrane (Fig. 7O–T). Abnormally thickened EGL was still detected in the anterior lobules of the *En1;Ptpn11^{CKO}; R26^{+/MEK1DD}* cerebellum (Fig. 7Q), suggesting that expressing *MEK1^{DD}* may not rescue all the defects caused by the loss of *Shp2*. Nevertheless, our data demonstrate that *Shp2* controls BG generation and foliation of the cerebellar cortex through the MEK/ERK signaling cascade.

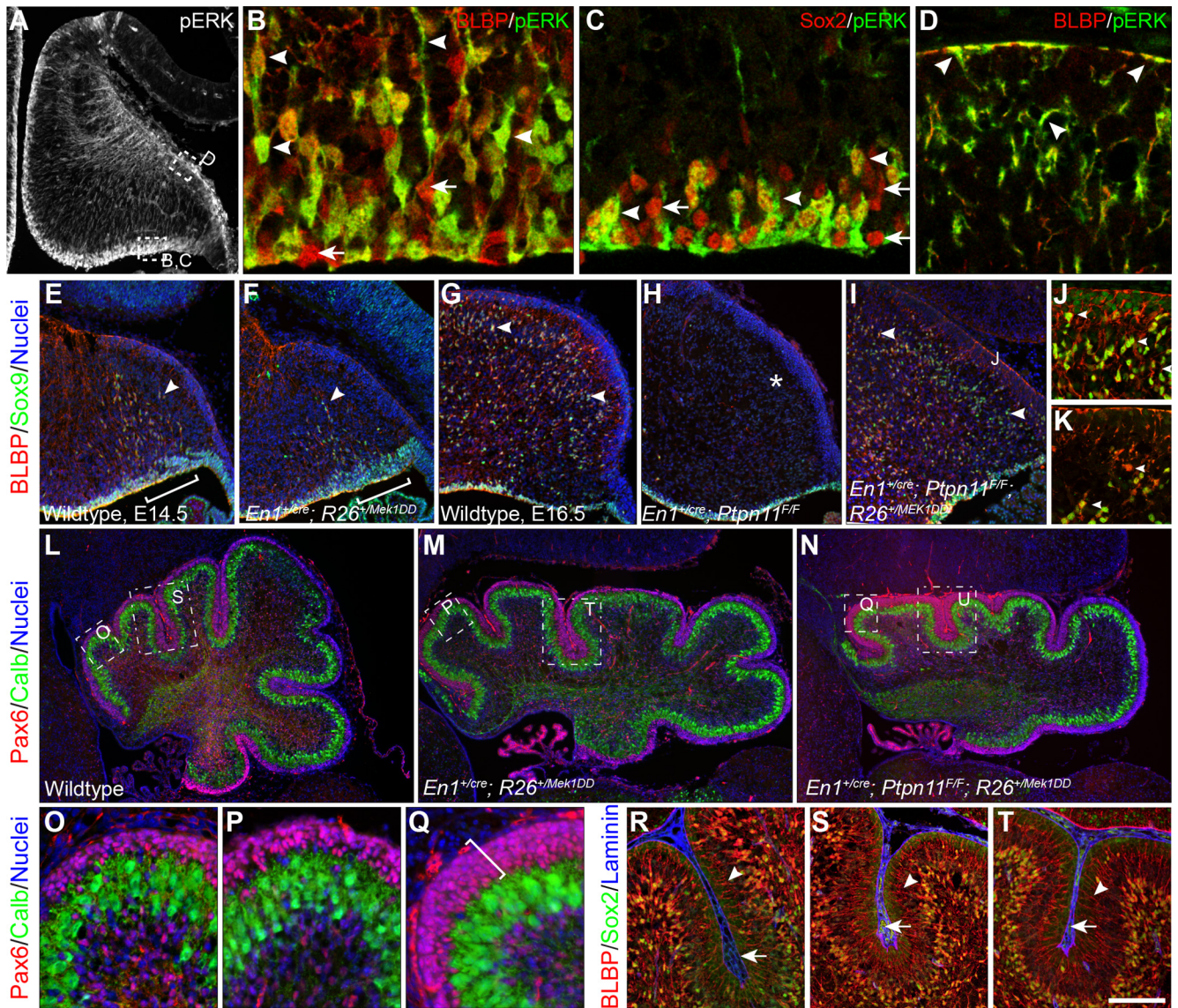


Figure 7. Expressing constitutively active MEK1 rescues formation of BG and foliation of the cerebellum in *En1;Ptpn11^{CKO}* mutants. **A**, IF for pERK on sagittal sections of wild-type cerebellum at E13.5. **B–D**, Colocalization analyses of pERK with BLBP (**B**, **D**) and Sox2 (**C**) on sagittal sections of E14.5 cerebella. Arrowheads show pERK immunoreactivity in a subset of BLBP⁺ or Sox2⁺ somata (**B**, **C**), and in most of the BLBP⁺ fibers and end feet at the pial membrane (**D**); arrows indicate the absence of pERK in some BLBP⁺ or Sox2⁺ somata. **E–I**, IF analyses on sagittal sections of the cerebellum at E14.5 (**E**, **F**), E16.5 (**G–K**), and P2 (**L–T**). Markers and genotypes are, respectively, indicated to the left and to the low-left corner of the images. Brackets demarcate the posterior area in the cerebellar VZ where nascent BG are first detected; asterisk in **H** shows the lack of BLBP⁺/Sox9⁺ BG; arrowheads denote BG (**E–K**). Arrows indicate the basement membrane within the fissure (**R–T**). Scale bar, **A**, 175 μm; **B–D**, 20 μm; **E–F**, 150 μm; **G–I**, 150 μm; **L–N**, 270 μm.

Discussion

Shp2 is essential for BG generation

Using an exhaustive list of molecular markers (*Tnc*, *Slc1a3*, *Sox2*, *Sox9*, *Hes1*, *BLBP*, *RC2*, *GFAP*), morphologic features (radial fibers in the molecular layer), and backfill-labeling techniques (to label BG through their basal processes from the pial surfaces), we show that the *En1;Ptpn11^{CKO}* cerebella do not form BG. Our results support the notion that inactivation of *Ptpn11* prevents RG from transforming into BG. We rule out the possibility that BG might be generated but undergo apoptosis. Collectively, our results demonstrate that Shp2 is essential for BG induction. Although numerous mutations leading to reduction and/or abnormal morphological differentiation of BG have been reported (Xu et al., 2013), our study, to our best knowledge, is the first to characterize a genetic mutation that blocks BG induction in rodents.

By analyzing cell-specific markers, we show that Purkinje cells, interneurons, granule cells, oligodendrocyte progenitors and precursors, and astrocytes are present in the *En1;Ptpn11^{CKO}* cerebellum, indicating that the major cerebellar cell types, except for BG, are produced in the absence of Shp2. However, without extensive additional birth-date and cell-counting studies, we cannot rule out that the generation of other VZ-derived cell types are completely unaffected. In this study, we found that a population of embryonic cerebellar cells coexpressing *Sox2*, *Sox9*, *Hes1*, *Ki67*, and *BLBP*, which we provisionally named “cerebellar basal progenitors,” emerge from the cerebellar VZ after E14.5. Many of these basal progenitors reach the cerebellar cortex and display characteristic BG features at later stages, suggesting that cerebellar basal progenitors give rise to BG. Cerebellar basal progenitors may also form non-BG cells, particularly oligodendrocytes, as we found

that both BG and oligodendrocyte progenitors were missing in the *En1;Ptpn11^{CKO}* cerebellum at E16.5. Our observations are in agreement with a previous report that BG and oligodendrocytes may share common progenitors in the cerebellum (Chung et al., 2013). Significantly, we found that cerebellar basal progenitors, as well as oligodendrocytes, re-emerged in the *En1;Ptpn11^{CKO}* cerebellum after E18.5 (Fig. 4D,M), suggesting that Shp2 is essential for the generation of cerebellar basal progenitors between E14.5 and E16.5, but not thereafter. In agreement with the persistence of Sox2⁺ and GFAP⁺ cells, which are progenitors of basket and stellate interneurons (Silbereis et al., 2009), we observed a progressive increase in Pax2⁺ interneuron precursors in the cortical area of the *En1;Ptpn11^{CKO}* cerebellum from P2 to P8 (data not shown). However, the number of Pax2⁺ cells in the cerebellar cortex in *En1;Ptpn11^{CKO}* mice appeared lower than that in the control. Collectively, our data suggest that Shp2 function is essential for BG formation in the mouse cerebella.

Shp2 regulates BG formation via the FGF/ERK signaling pathway

Recruitment of Shp2 to the tyrosine phosphorylation sites on FRS2 α is involved in FGF stimulation of the Ras/ERK signaling cascade (Hadari et al., 1998, 2001). Furthermore, Shp2 can promote FGF/ERK signaling by inhibiting the function of Sprouty proteins, suppressors of Ras signaling (Pan et al., 2010). Several lines of evidence indicate that Shp2 regulates cerebellar development via promoting the FGF/ERK signaling pathway. First, loss of Shp2 abolishes ERK signaling in the cerebellum after E13.5. Second, *Ptpn11* genetically interacts with *Fgf8* in cerebellar formation. Third, deletion of the three FGF receptor genes, *Fgfr1*, *Fgfr2*, and *Fgfr3* using a *Nestin-cre* transgene results in a similar cerebellar phenotype (Lin et al., 2009) and a complete loss of BG (data not shown) as in *En1;Ptpn11^{CKO}* mutants. Last, expression of constitutively active MEK1 restores BG formation in the *En1;Ptpn11^{CKO}* cerebellum. Therefore, Shp2 regulates cerebellar and BG formation through the FGF/ERK signaling pathway.

Interestingly, pERK immunoreactivity was undetectable in the cerebellar VZ when basal progenitors reappeared in the *En1;Ptpn11^{CKO}* cerebellum at E18.5 (data not shown). Furthermore, these late-born cerebellar basal progenitors lacked the normal basal process and failed to form BG. Therefore, ERK signaling is required in a limited time window (between E14.5 and E16.5) to promote RG to form cerebellar basal progenitors that are capable of forming BG. Future experiments will determine whether ERK activation is essential to induce basal progenitors that inherit the radial basal process to form BG.

Shp2 is not intrinsically required in the GCP lineage

It has been suggested that Shp2 regulates formation of the laminar cerebellar cortex by controlling granule cell migration via mediating Cxcl12/Cxcr4 signaling (Hagihara et al., 2009). In the current study, we found that removing *Ptpn11* in the EGL had no discernible effect on cerebellar development. Examination of Shp2 protein expression demonstrated a specific removal of Shp2 proteins in the GCP lineage in *Atoh1;Ptpn11^{CKO}* mice. Therefore, Shp2 is not cell autonomously required in the GCP lineage for normal cerebellar formation. The abnormal GCP development found in *En1;Ptpn11^{CKO}* cerebellum is therefore likely a secondary effect, possibly caused by decreased mitogenic Shh activity in the EGL arising from the defective migration of Purkinje cells observed at P2. Our *in vivo* data do not rule out the possibility that Shp2 is involved in normal Cxcl12/Cxcr4 signaling transduction as demonstrated by previous biochemical and explant culture experiments (Hagihara et al., 2009). However, a defect, if there is any, in the Cxcl12/Cxcr4 signaling due to the loss of Shp2 in

the GCP lineage must have been fully compensated during *in vivo* development.

BG may participate in orchestrating the folding of the cerebellar cortex

It is generally believed that GCP dictate the tangential expansion of the cerebellar surface and hence the stereotypical foliation of the cerebellar cortex. However, previous findings have implicated abnormal BG development in defective cerebellar fissure formation (Delaney et al., 1996; Kaartinen et al., 2001; Qu and Smith, 2005; Yue et al., 2005; Weller et al., 2006; Hoser et al., 2007; Ma et al., 2012). In the current study, we find that the GCP inward accumulation, which is believed to initiate formation of the anchoring centers (Sudarov and Joyner, 2007), persists in the absence of BG in the *En1;Ptpn11^{CKO}* cerebellum. However, the GCP invagination fails to cause folding of the PCL and pial basement membrane. Furthermore, we demonstrate that rescuing BG formation by reactivating the MEK/ERK pathway restores both the formation and organization of cerebellar folia. Our findings suggest that the initialization of the inward accumulation is intrinsic to GCP, while BG plays an essential role to orchestrate the folding of the cerebellar cortex by coordinating the invagination of GCP with that of PCL and the pial membrane (Fig. 2I).

The precise mechanism underlying BG function to orchestrate the convolution of the cerebellar cortex remains to be determined. It is possible that adhesion of pial basement membranes by BG generates the necessary tension for the normal cortical folding as previously suggested (Van Essen, 1997; Ma et al., 2012). Alternatively, proliferation of BG may increase the amount of radial units, which in turn regulate the expansion of the cerebellar surfaces. Corroborating this idea, we found that BG were highly proliferative at least until P15, and they displayed more robust proliferation in the crowns (which prospectively requires more expansion of the cerebellar surfaces) than at the base of the cerebellar fissures (A.W. Leung and J.Y.H. Li, unpublished observations). Interestingly, BG bear remarkable similarity in their ontogenesis and molecular features to basal RG, a type of newly identified neural progenitors that are intimately associated with cortical surface expansion and gyrification in the human cerebral cortex (Hansen et al., 2010; Lui et al., 2011; Reillo et al., 2011; Nonaka-Kinoshita et al., 2013; Stahl et al., 2013). It is possible that formation of BG and basal RG represent a convergent mechanism underlying the surface expansion and gyrification of cerebellar and cerebral cortices.

In summary, we have defined the cellular lineage and the signaling pathway by which *Ptpn11* exerts its function in regulating cerebellar development. We demonstrate that Shp2-dependent ERK activation promotes the progression of neural progenitors in the cerebellar VZ to generate cerebellar basal progenitors that are capable of forming BG. Moreover, we show that BG are essential for orchestrating the folding of the cerebellar cortex. Interestingly, expressing constitutively active MEK1 did not accelerate or enhance BG generation in wild-type embryos, indicating that ERK signaling is essential but not sufficient in BG induction. In the future, it will be important to define how ERK interacts with other signaling pathways to control the expression and/or function of intrinsic determinant(s) for BG specification. This information may provide insights to the generation of basal RG in the neocortex.

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