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#### **Genetic aberrations and survival in plasma cell leukemia**

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#### **Abstract**

Plasma cell leukemia (PCL) is an aggressive and rare hematological malignancy that originates either as primary disease (pPCL) or as a secondary leukemic transformation (sPCL) of multiple myeloma (MM). We report here the genetic aberrations and survival of 80 patients with pPCL or sPCL and make comparisons with 439 cases of MM. pPCL presents a decade earlier than sPCL (54.7 vs 65.3 years) and is associated with longer median overall survival (11.1 vs 1.3 months; *P*<0.001). 14q32 (IgH) translocations are highly prevalent in both sPCL and pPCL (82–87%); in pPCL IgH translocations almost exclusively involve 11q13 (*CCND1*), supporting a central etiological role, while in sPCL multiple partner oncogenes are involved, including 11q13, 4p16 (*FGFR3/MMSET*) and 16q23 (*MAF*), recapitulating MM. Both show ubiquitous inactivation of TP53 (pPCL 56%; sPCL 83%) by coding mutation or 17p13 deletion; complemented by p14ARF epigenetic silencing in sPCL (29%). Both show frequent *N-RAS* or *K-RAS* mutation. Poor survival in pPCL was predicted by *MYC* translocation (*P*=0.006). Survival in sPCL was consistently short. Overall pPCL and sPCL are different disorders with distinct natural histories, genetics and survival.

#### **Keywords**

translocation; deletion; p53; cyclin D; *RAS*; *MYC*

#### **Introduction**

Plasma cell leukemia (PCL) is the most aggressive presentation of the plasma cell neoplasms and is characterized by circulating plasma cells >2  $\times 10^9$  l<sup>-1</sup> in peripheral blood<sup>1</sup> or by a relative plasmacytosis >20% of blood leukocytes.<sup>2</sup> While primary disease (pPCL) presents as *de novo* leukemia, secondary leukemic transformation (sPCL) arises from preexisting multiple myeloma  $(MM)^{2,3}$  probably as a consequence of clonal transformation. The relationship between pPCL and MM is unclear; however, pPCL is not currently

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distinguished from MM or sPCL as a distinct entity in the WHO classification of hematopoietic tumors.<sup>4</sup>

PCL is rare. sPCL occurs in only 1.8–4% of MM patients and pPCL occurs with a comparable incidence. Consequently, few large studies of PCL patients have been reported<sup>2,3,5–7</sup> and the molecular basis of PCL remains poorly understood. Nevertheless, previous studies indicate that pPCL tumors are often hypodiploid and suggest a greater incidence of t(11;14) (33%) or t(14;16) (13%) than that found in MM tumors.<sup>5,7</sup>

The prognosis of PCL is poor.<sup>2,3,8,9</sup> To further understand the genetic basis of PCL and to correlate genetic variants with survival, we examined and report a cohort of 80 patients with pPCL or sPCL seen at Mayo Clinic over the past four decades, representing the largest PCL cohort reported to date.

#### **Materials and methods**

#### **Patients**

We searched for patients diagnosed with PCL at our institution and included cases that met common diagnostic criteria.1,2 As a minimum, patients required a peripheral plasmacytosis  $>2\times10^{9}$  l<sup>-1</sup> or  $>20\%$  of the total leukocyte count. Patient data and samples were accessed following Mayo Clinic Institutional Review Board sanction and in accordance with the principles laid down in the Declaration of Helsinki. Patients were characterized for approximately 60 demographic, clinical and laboratory variables. In addition, karyotype studies and or material for fluorescence *in situ* hybridization (FISH) or PCR were obtained for 41 patients, including 18 with pPCL and 23 with sPCL. We compared clinical and genetic data from these patients with data from a large published cohort of 439 MM patients.10–12

#### **Tumor specimens**

Samples were processed for cytogenetic studies and plasma cell labeling index<sup>13–15</sup> at the time of clinical procurement. Additional tumor material (cells or DNA) from 33 patients was stored.

#### **Interphase FISH**

Twenty-six archived mononuclear cell pellets were analyzed by FISH. We used validated probes to detect IgH ( $C_HV_H$ ) and IgL ( $C_LV_L$ ) segregation ('break apart'),<sup>16</sup>  $\Delta$ 13,<sup>11,17</sup> t(4;14)  $($ p16.3q32),<sup>17,18</sup> t(11;14) (q13;q32),<sup>19,20</sup> t(14;16) (q32;q23)<sup>21</sup> and 17p13.1.<sup>12</sup> A c-myc probe from Vysis (Downers Grove, IL, USA) and probes 5′ and 3′ of c-myc (RPCI 11.C 645E10; RPCI 11.C 259L23) from Invitrogen (Carlsbad, CA, USA) and IgH  $(C_H)$  and IgL  $(C_I)$ probes<sup>16</sup> were used to detect c-myc amplification,  $3'$ - or  $5'$ -myc break apart and C<sub>H</sub>-myc or  $C_L$ -myc fusion. Bacterial artificial chromosome probes from Invitrogen were used to enumerate PTEN (RPCI 11.C 380G5) and MDM2 (RPCI 11.C 775J10) loci; these were labeled with SpectrumGreen or SpectrumOrange by nick translation and were validated on normal metaphases before use.

#### **Methylation-sensitive PCR of p16/p14 promoters**

Bone marrow DNA from 27 patients (16 sPCL, 11 pPCL) was modified with sodium bisulfite using CpGenome DNA Modification Kit (Intergen, NY, USA) and assayed by methylation-modification sensitive PCR using a HotStartTaq Kit from Qiagen, (Valencia, CA, USA). Primer sequences and reaction conditions for p16 and p14 have previously been reported.22,23 Controls included universal methylated human genomic DNA (Intergen) and healthy donor peripheral blood DNA. Products were analyzed by electrophoresis.

#### **Mutational analysis of TP53 and N- or K-RAS**

Bone marrow-derived DNA was screened for *RAS* or *TP53* mutations using conformation sensitive gel electrophoresis.<sup>24,25</sup> Abnormal samples were assessed by DNA sequencing. For *TP53*, exons 1–11 were sequenced. Both *N-RAS* and *K-RAS* were screened; exons 1 and 2 were sequenced, targeting the codons most commonly mutated in hematological malignancy (12, 13 and 61).  $26-29$  Primer sequences are listed in Supplementary Table S1 (online).

#### **Statistical analysis**

Groups of continuous data with similar variance were compared using a Student's t-test. Categorical data across groups were tested by Fisher's exact test. Overall survival (OS) was assessed using the Kaplan–Meier method. Survival associations with categorical variables were assessed by log-rank test; continuous variables were assessed by parametric regression modeling or by reduction to ordinal data and log-rank test. Test statistics were calculated using a two-sided assessment and *P*<0.05 was considered significant. JMP v6.0 Statistical Discovery (Cary, NC, USA) software was used.

#### **Results**

#### **Patient demographics**

We identified 86 patients with a diagnosis of PCL, of whom 80 fulfilled our study criteria. These included 41 patients with pPCL and 39 with sPCL (Table 1). The six excluded patients had a plasmacytosis below the common diagnostic threshold. The number of PCL cases identified was equivalent to 1.3% of MM patients seen during the same period. Median age was 59.2 years, although patients with pPCL were a decade younger (median 54.5 years) than patients with sPCL (65.7 years) or MM (66 years)  $(P<0.001)$  suggesting differences in pPCL and sPCL biology.

#### **Clinical features**

In sPCL, the median time to leukemic progression from MM was approximately midway (20.8 months) between diagnosis and median survival of MM patients, indicating that transformation from MM to sPCL is not a late event in MM but occurs both early and late. Extramedullary disease was more evident at diagnosis among pPCL than sPCL cases (Table 1). pPCL presented with more hepatomegaly (32 vs 11%, *P*=0.04), splenomegaly (18 vs 8%, *P*=0.29), extra-osseous plasmacytoma (22 vs 6%, *P*=0.21) and adenopathy (6 vs 3%). By contrast, bone disease was more common in sPCL with a higher prevalence of osteolytic lesions (sPCL 53% vs pPCL 35%, *P*=0.19) and more fractures (sPCL 35% vs pPCL 18%), consistent with its origin from MM.

Surprisingly, despite their younger age and shorter clinical prodrome, patients with pPCL presented with more renal dysfunction (median creatinine 1.90mg per 100 ml) than patients with sPCL (median creatinine 1.40mg per 100 ml) (*P*=0.03). Both leukemias presented with more renal impairment than newly diagnosed MM (median creatinine 1.20 mg per 100 ml). Perhaps because of renal impairment, pPCL patients had higher β2-microglobulin (6.80 µg ml<sup>-1</sup>) than patients with sPCL (3.50 µg ml<sup>-1</sup>) (*P*=0.022) or MM (3.6 µg ml<sup>-1</sup>). A monoclonal paraprotein was detectable in 94% of PCL. However, light-chain only secreting tumors were substantially increased in PCL (pPCL 41%, sPCL 31%) compared with MM (16%). Tumors expressing  $\lambda$  light chain were over-represented in pPCL (56%) and in sPCL (41%) compared with MM (34%).

#### **Survival and treatment in PCL**

Overall survival was extremely short among patients diagnosed with sPCL (median 1.3 months), even though many cases (>50%) of sPCL occurred within the first 24 months of MM diagnosis. OS in pPCL was also short (median 11.2 months); however, it was significantly longer than survival in sPCL (*P*<0.0001) (Figure 1).

The treatments administered were heterogeneous and are reported here in a descriptive fashion. Of 41 pPCL patients, 21 were treated with multiagent intravenous chemotherapy (VAD or VBMCP), and 20 received oral melphalan and prednisone (MP) or no standard therapy. OS of pPCL patients treated with VAD or VBMCP (median 15.4 months) was substantially longer (two to threefold) than patients who received MP (4.1 months) or no therapy  $(6.4 \text{ months})$   $(P=0.007)$  (Figure 1b). Retrospective treatment analyses can incur bias; however, comparison of the pretreatment characteristics of patients in these treatment arms revealed few significant pretreatment differences between patients treated with oral or intensive therapy apart from less hepatosplenomegaly in the later group (Supplementary Table S2). Organomegaly was not accompanied by higher rates of liver test abnormalities and did not independently correlate with survival (median OS 11.7 months with organomegaly, vs 11.2 months without organomegaly, *P*=0.49). Of pPCL patients treated with VAD or VBMCP, 30% were treated later with hematopoietic stem cell transplant (SCT). In this setting, SCT was associated with a survival advantage of 22 months (OS 34 vs 11.3 months); however, the true attributable benefit from SCT on OS in pPCL is probably less than 22 months due to the selection bias for SCT favoring younger patients (47.3 vs 54.3 years, *P*=0.09) and possibly chemotherapy responsive patients (enabling stem-cell collection).

Patients with sPCL received less therapy in our cohort than patients with pPCL. Eight (21%) patients received VAD, VBMCP or VBAP. Three patients received MP alone. The 11 sPCL patient who received VAD, VBMCP, VBAP or MP were distinguished from nontreated patients by younger age (60.9 years vs 66.4 years) and by lack of bone disease (0 vs 65%, *P*<0.01); however, despite this bias, median survival was short with these treatments and was not significantly better than no treatment (Figure 1c).

#### **Cytogenetics in PCL**

Standard cytogenetic results were available for 38 PCL patients. An abnormal karyotype was obtained in 27 cases (Table 2). Most PCL karyotypes were complex and hypodiploid or pseudodiploid. Only two tumors, both sPCL, were hyperdiploid. One pPCL tumor had 84– 88 chromosomes, consistent with 4N hypodiploidy. As summarized in Table 3, when compared with MM there is a clear trend among PCL tumors to hypodiploidy that is most pronounced in pPCL.

Seven pPCL tumors had 14q32 translocations detected by standard cytogenetics. Strikingly, of these, all seven (100%) were to 11q13 (*CCND1*). By contrast, only three IgH translocations were detected in sPCL tumors by standard cytogenetics and of these, only one was to 11q13; in the two remaining cases the translocation partner was not identified.

#### **14q32 translocation and partner oncogenes**

Conventional cytogenetic results were next supplemented with targeted genetic studies on 33 PCL tumor samples for which material was available. Samples were examined for a broad spectrum of genetic abnormalities relevant to MM or hematological malignancy (Table 4). By FISH, 14q32 translocations were found in a substantial 87% of pPCL and 82% sPCL tumors. Notably, a significant correlation between IgH translocation and nonhyperdiploidy in plasma cell neoplasms has previously been described by us and

others<sup>20,30,31</sup> and our findings in PCL are consistent with this relationship. Samples with identified IgH translocations were re-examined by FISH to define the translocation partner, probing oncogenes targeted in MM. By this approach, 75% of pPCL and 100% of sPCL 14q32 translocation partners were identified. Notably, all pPCL samples with IgH translocation and an identified FISH partner (*n*=12) again showed translocation to the cyclin D1 (*CCND1*) locus on 11q13, highlighting the importance of this rearrangement in the etiology of pPCL. Of sPCL tumors with 14q32 rearrangement, 3/5 (60%) were to 11q13 (*CCND1*) and 1/5 (20%) each were to 4p16.3 (*FGFR3/MMSET*) or 16q23 (*MAF*).

#### **p53 inactivation**

Deletion of 17p13.1, causing allelic loss of *TP53*, was detected in 50% of pPCL tumors and a remarkable 75% of sPCL tumors. Moreover, *TP53* deletion was complemented by functionally relevant *TP53* coding mutations in 24% of PCL patients tested (Table 5), contributing to a substantial prevalence of allelic *TP53* inactivation of 56% in pPCL and 83% in sPCL (Table 4). The high prevalence of *TP53* inactivation in *de novo* pPCL is surprising; in MM 17p13.1, deletion is a late event found only in 10% of tumors<sup>12,35,36</sup> and *TP53* coding mutations are rare (3%).<sup>37</sup> Eleven percent of pPCL and 33% of sPCL tumors showed biallelic *TP53* inactivation with simultaneous allelic deletion and mutation. Interestingly, monoallelic or biallelic inactivation of *TP53* did not correlate significantly with survival in sPCL ( $P = 0.2-0.96$ ), unlike MM, where −17p13.1 predicts adverse survival.12,36 Lack of correlation between *TP53* status and survival may reflect ubiquitous targeting of the p53 pathway in sPCL.

As inactivation of p53 can also occur through overexpression of the regulatory protein, Mdm2, or by suppression of the *CDKN2A* locus transcript, p14ARF,<sup>38,39</sup> as well as by inactivation of *TP53*, we screened PCL samples for *MDM2* amplification and for epigenetic silencing of p14ARF. No focal amplicons of *MDM2* were detected indicating that p53 pathway inactivation in PCL is rare, if ever caused by *MDM2* gene copy number change. However, the upstream tumor suppressor *p14ARF*, whose product directly binds Mdm2 to regulate p53, and whose expression is regulated by CpG island methylation, <sup>40,41</sup> was targeted by promoter methylation in 29% of sPCL cases tested, demonstrating a second mechanism by which p53 activity can be inhibited in PCL.

#### **13q deletion**

Deletion of chromosome 13q is a prognostic marker in MM, with a higher prevalence among nonhyperdiploid MM. Therefore, we assessed its frequency in PCL. Loss of 13q by FISH was ubiquitous in pPCL (85%) and more common than in MM (54%) (*P*=0.02); however, it was not significantly increased between MM and sPCL (67%) (*P*=0.53). Interestingly, like p53 inactivation, deletion 13q did not influence survival in PCL (*P*>0.45), perhaps because of its ubiquity or over-riding molecular prognostic factors.

#### **MYC** *translocation*

Rearrangement of *MYC* was identified by 3′ FISH break apart in 33% of pPCL and sPCL tumors and was complemented by *MYC* amplification or 5′ *MYC* translocations in 8 and 17% of patients, respectively. *MYC* rearrangements were associated with a trend toward inferior OS in pPCL (for example, for 3′ *MYC* break apart median OS was 8.6 months vs 27.8 months without,  $P=0.006$ ). The translocation partner was not identified by FISH.

#### **RAS** *mutation*

Mutations of *K-RAS* or *N-RAS* at codons 12, 13 or 61, previously characterized as functionally activating,  $26-29$  were found in 27% pPCL and 15% sPCL (Table 5). Activating

#### **PTEN** *deletion*

Deletion of *PTEN*, which causes Akt activation,<sup>42–45</sup> was found in 33% of sPCL tumors and in 8% of pPCL (*P*=0.24). These data compare with a previous report of interstitial *PTEN* deletion in 6% of primary myeloma and in 2 of 10 cases (20%) of PCL<sup>46</sup> suggesting that PTEN loss may occur in the transition from myeloma to sPCL.

#### **Discussion**

pPCL and sPCL are related yet distinct biological entities with differing modes of presentation and survival. pPCL patients are younger than sPCL patients and present without an MM prodrome with more extramedullary disease and higher creatinine plus β2M than sPCL patients. In contrast, sPCL patients have more bone disease and worse prognosis. pPCL patients treated with VAD or VBMCP with and without SCT in our cohort had significantly longer OS than that of pPCL patients treated with oral MP or no therapy. In contrast, in sPCL survival was almost uniformly poor with no apparent benefit from conventional chemotherapy, even though more than half of sPCL cases occurred within 2 years of MM diagnosis.

Although pPCL and sPCL have overlapping genetic characteristics, these are not identical. pPCL in our series is exclusively nonhyperdiploid, while sPCL tumors, like MM, are sometimes hyperdiploid. 14q32 (IgH) translocations are ubiquitous in PCL and in primary leukemias are almost exclusively targeted at 11q13(*CCND1*), belying a fundamental importance in establishing the pPCL phenotype, while in sPCL, 14q32 rearrangements target not only 11q13 but also 4p16.3(*FGFR3/MMSET*) and 16q23(*MAF*), recapitulating a skewed spectrum of translocations observed in MM.

An increased prevalence of t(11;14) translocations in pPCL has previously been described.<sup>5,47</sup> However, the prevalence of  $t(11;14)$  (q13;q32) in our cohort of pPCL patients (71%), is substantially greater than has previously been reported (33%). This could reflect regional variations in leukemogenesis or in delineation between pPCL and sPCL. Although small, a third study<sup>47</sup> found  $4/5$  (80%) of pPCL tumors had t(11;14) compared with only 1/9  $(11\%)$  of sPCL tumors, supporting the high prevalence of t $(11;14)$  in pPCL described here.

sPCL tumors resemble MM tumors in their spectrum of IgH translocations and ploidy but like pPCL tumors, are prejudiced in favor of nonhyperdiploid  $t(11;14)$  (q13;q32) tumors. Conspicuously,  $t(11;14)$  is a favorable prognostic factor in MM.<sup>12</sup> However, its prevalence in PCL suggests that this translocation, when combined with other mutations (such as *TP53* inactivation), is more amenable than other 14q32 rearrangements observed in MM to promoting leukemia, perhaps because it directly uncouples the cyclin D early cell-cycle checkpoint from cellular pathways that interpret the surrounding growth factor environment.

Monoallelic and biallelic *TP53* abnormalities are strikingly common in both pPCL and sPCL suggesting that the impairment of the p53 tumor suppressor pathway is an important contributor to extramedullary tumor expansion. However, in established sPCL, unlike in MM, *TP53* inactivation does not influence survival, raising the possibility that sPCL tumors lacking *TP53* abnormalities; instead, silence other p53 pathway factors (such as p14ARF) to similar effect and that loss of p53 activity may be virtually universal in sPCL. Although loss of p53 may predispose MM patients to sPCL, it may not be the final leukemia-inducing

event. Of two assessable sPCL patients with −17p13.1 both were found to have similar deletions in their MM cells 7 or 35 months before leukemia diagnosis (not shown). Instead, reduction of p53 surveillance may be a prerequisite for plasma cell survival allowing dysregulation of oncogenes such as *RAS* and *MYC* or escape from the bone marrow microenvironment.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1.**

Overall survival (OS) in pPCL and sPCL. (**a**) OS in PCL showing superior survival of pPCL vs sPCL from the time of leukemia diagnosis. (**b**) OS in pPCL stratified by initial treatment with multiagent chemotherapy (VAD or VBMCP), MP, or no treatment. The survival of patients treated with multiagent chemotherapy and SCT is also shown. (**c**) OS in sPCL stratified by treatment with combination chemotherapy (VAD, VBMCP or VBAP), MP or no treatment MP, melpahalan and prednisone; PCL, plasma cell leukaemia; pPCL, primary PCL; SCT, stem cell transplant; sPCL, secondary PCL.

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PCL patient characteristics PCL patient characteristics





Significant difference between sPCL and pPCL ( *P*< 0.05).

*† P*-values compare means of sPCL and pPCL.

*\**

 $\alpha$  Survival of nonhyperdiploid and hyperdiploid MM, respectively.  $a<sup>2</sup>$ Survival of nonhyperdiploid and hyperdiploid MM, respectively.

## **Table 2**

Conventional cytogenetics in pPCL and sPCL Conventional cytogenetics in pPCL and sPCL



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Abbreviations: pPCL, primary PCL; sPCL, secondary PCL. Abbreviations: pPCL, primary PCL; sPCL, secondary PCL.

#### **Table 3**

#### Ploidy in PCL and MM tumors (%)*<sup>a</sup>*



Abbreviations: MM, multiple myeloma; PCL, plasma cell leukemia.

*a* Percentages derived from abnormal karyotypes only.

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**Table 4**

Genetic aberrations in pPCL and sPCL prevalence and association with OS Genetic aberrations in pPCL and sPCL prevalence and association with OS



*Leukemia*. Author manuscript; available in PMC 2014 January 16.

*a*Limitations on banked material prevented application of all tests to all samples.

 ${}^a\!L$  imitations on banked material prevented application of all tests to all samples.

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*b*Fisher's exact *P*-value.

*c*Log-rank *P*-value.  $d$ <sub>Only</sub> patients who tested positive by FISH for 14q32 break apart were tested for specific 14q32 rearrangements. Prevalence of specific IgH translocations was calculated as (fraction of patients with 14q32<br>break apart) *d*Only patients who tested positive by FISH for 14q32 break apart were tested for specific. 14q32 rearrangements. Prevalence of specific IgH translocations was calculated as (fraction of patients with 14q32 break apart) × (fraction of 14q32 break aparts with the specific translocation).

Includes all patients positive or negative for  $t(11;14)$  by informative cytogenetics or by FISH. *e*Includes all patients positive or negative for t(11;14) by informative cytogenetics or by FISH.



## **Table 5**

Coding mutations in TP53 and N- or K-RAS in PCL that influence protein function and prevalence in other tumors *N*- or *K-RAS* in PCL that influence protein function and prevalence in other tumors Coding mutations in *TP53* and





<sup>g</sup>The data were obtained from the Sanger COSMIC, <http://www.sanger.ac.uk/genetics/CGP/cosmic>.

 ${}^{g}\mathrm{The}$  data were obtained from the Sanger COSMIC, http://www.sanger.ac.uk/genetics/CGP/cosmic.