# Bidirectional Transcription and the Regulation of Phage  $\lambda$  Repressor Synthesis

(antisense RNA/double-stranded RNA/oveflapping operons/Escherichia coli)

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ABSTRACT There are two promoters for transcription of gene  $cI$  in phage  $\lambda$ , the gene that codes for phage repressor. The promoters, called pre and prm, are located on the distal (pre) and proximal (prm) sides of gene cro, which itself is adjacent to cl. Since cl and cro are transcribed in opposite directions, cl transcription initiating at pre gives rise to an antisense transcript of cro, while cl transcription initiating at prm does not. Pre, active after infection of a sensitive cell, is stimulated by products of phage genes cII and cIII, and may be located at the site defined by the mutant  $cY$ . Prm is active in an established lysogen. These conclusions are based on measurements of the rates of synthesis of antisense cro RNA, cI RNA, and repressor protein in infected and lysogenic cells. To measure antisense RNA, an assay based on the formation of nucleaseresistant, double-stranded RNA, specific to the cro region, was developed. These results raise the possibility that bidirectional transcription of cro has a regulatory function in phage  $\lambda$ .

The genes and transcription pattern of the regulatory region of phage  $\lambda$  are shown in Fig. 1. Synthesis of the phage cro gene product depends on rightward transcription from the promoter  $Pr(1-3)$ , while synthesis of phage repressor requires leftward transcription of  $cI$  (4, 5). Furthermore, the  $cI$  gene can be expressed by either of two pathways, one active during the establishment of lysogeny and the other maintaining the repressor concentration in immune lysogens (6). Normal cI gene expression after infection requires a DNA site,  $cY$ , to the right of cro, and the cII and cIII gene products  $(1, 2, 6-8)$ . Although *cI* expression in a lysogen is independent of these activators and INA site, it appears to depend on active repressor bound to <sup>a</sup> DNA site, Or, which lies to the left of  $cro$  (6, 9). If cY and Or define two promoters for cI gene transcription (6), then antisense RNA should be transcribed from the cro region during the establishment of lysogeny, but not in an established lysogen. This paper reports a test of this prediction.

## MATERIALS AND METHODS

Procedures for growth of cells, pulse-labeling, extraction of RNA, and analysis of labeled RNA by RNA-DNA hybridization have been described (5), as have procedures for phage infection and assay of repressor (6, 9, 10).

Leftward, 1, and rightward, r, messenger RNA molecules have their  $5' \rightarrow 3'$  arrows pointing to the left and to the right on the genetic map as conventionally drawn (Fig. 1). Because, by convention (12, 13), the *l* strand of phage  $\lambda$  DNA is the template for leftward transcription, <sup>1</sup> RNA is complementary to the  $l$  strand of  $\lambda$  DNA and will hybridize with it. The assay for leftward RNA specific to the cro region (1 cro RNA) is described in Fig. 2. RNA concentrations were measured with orcinol (11).

### RESULTS

#### Detection of <sup>1</sup> cro RNA

Since double-stranded RNA is resistant to <sup>a</sup> mixture of pancreatic and T1 ribonucleases under conditions where singlestranded RNA is completely degraded (14), we suspected that <sup>1</sup> cro RNA could be detected as <sup>a</sup> substance able to anneal with radioactively-labeled **r** cro RNA and protect it from nuclease digestion. Our source of labeled **r** cro RNA is a prophage deletion strain WGS6 growing in the "S" or nonimmune phase (15). This lysogen, as shown in Fig. 1, retains phage  $\lambda$  DNA only in the *cIII* to *cY* region. In the nonimmune phase, WGS6 makes  $r$  cro and  $1 N$  RNA; it accumulates cro and N gene products; but does not make cI RNA or repressor (5, 16).

If labeled RNA from this source is annealed with unlabeled RNA extracted from cells infected with phage  $\lambda$ , a fraction of the labeled <sup>r</sup> cro RNA becomes resistant to RNase digestion (Fig. 2). Fig. 3 shows that annealing with increasing amounts of unlabeled RNA results in the protection of an increasing fraction of labeled <sup>r</sup> cro RNA. This protection is specific: r cro RNA is protected; 1 N RNA is not protected. If one unit is the amount of protective material that will protect  $10\%$ of the labeled <sup>r</sup> cro RNA, RNA from cells infected with phage  $\lambda$  may contain as much as 600 units/mg of RNA. Protective activity is not found un uninfected cells  $\langle$ <3 units/mg of RNA).

Prior treatment with DNase does not destroy this substance, but RNase destroys more than 99% of the protective activity. Formation of the nuclease-resistant complexes requires prolonged incubation of the mixture at  $70^{\circ}$ . In the

Abbreviations: <sup>1</sup> and <sup>r</sup> messenger RNA molecules are synthesized leftward and rightward on the conventionally oriented genetic map of phage  $\lambda$ , and are complementary to the  $l$  and  $r$  strands, respectively, of  $\lambda$  DNA.

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The Regulatory Region of Phage  $\lambda$ 



FIG. 1. Genes and transcription pattern of a 6000-base-pair segment of the phage  $\lambda$  genome. DNA segments deleted in two prophage strains (WGS6 and H-i) and one substitution phage (Xi434) are denoted below by bars.

absence of annealing, RNA from cells infected with phage  $\lambda$ retains less than 2% of its protective capacity. The complexes, once formed, melt in 0.15 M NaCl between 85° and 90° (data not presented), the same range in which RNA-RNA duplexes melt (14). Protective substance can be purified by prior hybridization to l strands of phage  $\lambda$  DNA, but not to r strands. For these reasons, we conclude that the nucleaseresistant complexes are duplexes of unlabeled <sup>1</sup> cro RNA and labeled r cro RNA.

Though different extracts appear to contain different amounts of protective RNA, as shown in Fig. 3, at low concentrations of unlabeled RNA, the quantity protected is proportional to the amount of RNA added. We therefore take the initial slope as <sup>a</sup> measure of the amount of <sup>1</sup> cro RNA in an extract.



FIG. 2. The assay for <sup>1</sup> cro RNA. Strain WGS6 (Fig. 1) was labeled from 5 to 9 min after induction at 42° with [3H]uridine (20 Ci/mmol), and the RNA was extracted (5). Unlabeled RNA from another source was annealed with the WGS6 [3H]RNA for 3 hr at 70° in 0.3 M NaCl-0.03 M Na<sub>3</sub> citrate to form RNA-RNA duplexes, then digested for 3 hr at 37° with a mixture of pancreatic RNase (10  $\mu$ g/ml), T1 RNase (1  $\mu$ g/ml), and pancreatic DNase (10  $\mu$ g/ml) in 220 mM NaCl-10 mM Na<sub>3</sub>-citrate-23 mM Tris-HCl (pH  $7.5$ )-10 mM MgCl<sub>2</sub>-8 mM EDTA. Nucleases were inactivated by addition of an equal volume of 0.3 M sodium iodoacetate in 0.2 M potassium acetate (pH 5.2)-1.8 M NaCl, incubation at 55° for 40 min, and extraction with phenol (4). The RNA duplexes were precipitated with <sup>2</sup> volumes of ethanol at  $-20^{\circ}$ , dissolved in 10 mM potassium acetate (pH 5.2)-1 mM EDTA, melted by heating at 95° for <sup>15</sup> min, quenched in ice water, and hybridized to separate strands of phage  $\lambda$  DNA (5). The fraction of the input [3H]1  $N$  or [3H]r cro RNA that has been converted by annealing to nuclease-resistant form was calculated by comparison to a control sample, which had been subjected to the same manipulations without treatment with nuclease.



FIG. 3. Protection of  $[3H]r$  cro and  $[3H]1$  N RNAs by unlabeled RNA from cells infected with phage  $\lambda$ . Unlabeled RNA samples, which originate from C600 bacteria infected with phage  $\lambda$ , were harvested after growth at 37° for 8 min (O,.), 20 min  $(\Delta)$ , or 36 min  $(\Box, \blacksquare)$ . Open symbols, cro RNA; closed symbols, N RNA.

As shown in Fig. 3, the fraction of r cro RNA protected increases to a maximum value of 30-40%. Results of several experiments support the hypothesis that this limit is set by the reformation of RNA-RNA duplexes during the final hybridization to the r strands of phage  $\lambda$  DNA. Since RNA-RNA duplexes are not retained on nitrocellulose filters, radioactive RNA in such duplexes would be lost in the procedure outlined in Fig. 2. In one experiment, reformation of RNA-RNA duplexes was slowed by lowering the annealing temperature from  $67^\circ$  to  $63^\circ$  (14). This increased the maximal amount of  $r$  cro RNA protection to 63%. In another experiment, r cro RNA was first hybridized to r strands of phage  $\lambda$ DNA, dissociated, then annealed to 1 *cro* RNA. After treat-

TABLE 1. Effect of mutations in repressor regulatory genes on the amount of <sup>1</sup> cro RNA and <sup>1</sup> cl-rex RNA

|                      |                         |              | Experiment 2      |                   |                   |
|----------------------|-------------------------|--------------|-------------------|-------------------|-------------------|
|                      |                         | Experiment 1 |                   | 1 cI-             |                   |
| Phage                | Cells                   | 1 cro<br>RNA | Repressor<br>rate | rex<br>$\rm{RNA}$ | Repressor<br>rate |
| $\lambda^+$          | <b>C600</b>             | 100          | 100               | 100               | 100               |
| $\lambda c III_{67}$ | <b>C600</b>             | 16           | 18                | 7.6               |                   |
| $\lambda cII_{68}$   | <b>C600</b>             | 2.0          | 1.5               | 0.54              |                   |
| $\lambda c Y_{42}$   | <b>C600</b>             | 2.4          | 2.6               | 2.1               |                   |
|                      | $C600(\lambda cY_{42})$ |              | 1.0               | $1.6\,$           | 1.6               |

Values are expressed relative to corresponding infections with  $\lambda^+$ .  $\lambda^+$  synthesized 380 repressor monomers per cell-min and 238 units of <sup>1</sup> cro RNA per mg of RNA (Exp. 1), and <sup>234</sup> repressor monomers per cell-min and 11.4 cpm cI-rex RNA per 10,000 epm total RNA (Exp. 2). The amount of <sup>1</sup> cro RNA was measured <sup>8</sup> min after infection; the rate of cI-rex RNA synthesis was determined by pulsing the cells with [3H]uridine 8-9.5 min after infection; the rate of repressor synthesis is averaged between 4 and 12 min after infection at 37°.



FIG. 4. Two-dimensional electrophoresis of Ti ribonuclease digests of overlap RNA and total rightward phage  $\lambda$  RNA isolated from strain WGS6. Bacteria were pulse labeled with [32P]orthophosphate after thermal induction. RNA was purified as described (5) with the additional steps of extraction with CHCl3-CH30H and filtration through nitrocellulose membranes. Labeled RNA was hybridized to phage  $\lambda$  r DNA strands, and rightward RNA was recovered (5). One portion was further purified by a second cycle of annealing to  $r$  DNA strands, yielding "rightward (r) RNA." The remainder was annealed with unlabeled RNA extracted from cells infected with phage  $\lambda$ , and the fraction that formed nuclease-resistant complexes was isolated as described in the legend to Fig. 3, yielding "overlap RNA." Samples were digested with T1 RNase, and the resulting oligonucleotides were fractionated by two-dimensional ionophoresis (17). Oligonucleotides 1-12 are present in digests of total rightward RNA isolated from <sup>a</sup> strain with the H-1 deletion (Fig. 1). Oligonucleotide 1, Gp, is not shown.

ment with nuclease,  $92\%$  of the <sup>3</sup>H remained acid-insoluble, indicating that, under the proper conditions, virtually all the r cro RNA can be annealed to 1 cro RNA.

This conclusion was confirmed in an independent way.  $32P$ -labeled r cro RNA was purified by hybridization to r strands of phage  $\lambda$  DNA. The nuclease-resistant complexes formed between this labeled RNA and unlabeled RNA from infected cells was also purified. Both preparations were digested with T1 RNase, which specifically cleaves at G residues, and the resulting oligonucleotides were separated by two-dimensional ionophoresis (17). Most, if not all, of the

oligonucleotides derived from <sup>r</sup> cro RNA purified by hybridization to DNA are also seen in the digest of <sup>r</sup> cro RNA purified by annealing to <sup>1</sup> cro RNA (Fig. 4), indicating again that the entire sequence can be protected by overlapping RNA.

## Regulation of <sup>I</sup> cro RNA synthesis

After infection of sensitive bacteria, the amount of <sup>1</sup> cro RNA per cell first increases then decays (Fig. 5). The rate of repressor synthesis in these bacteria follows the same time course, implying that <sup>1</sup> cro RNA is the promoter-proximal part of the 1 cl messenger RNA synthesized immediately after infection. Further support for this view is derived from measurements of the amount of <sup>I</sup> cro RNA made after infection by  $cII$ ,  $cIII$ , or  $cY$  mutants. These mutants synthesize repressor at greatly reduced initial rates (6). As shown by the data reported in Table 1, reduced rates of repesssor synthesis are correlated with reduced amounts of 1 cro RNA. We conclude that  $cII$  and  $cIII$  gene products and the  $cY$  DNA site lead to repressor synthesis by stimulating joint leftward transcription of cro, cI, and rex.

Repressor synthesis in an established lysogenic bacterium is independent of  $cII$  and  $cIII$  gene products and the  $cY$  site (6). Infection of an immune lysogen can increase the rate of repressor synthesis apparently by virtue of the increased number of copies of the *cI* gene per cell. As shown in Table 2, the rate of synthesis of repressor in a lysogenic bacterium, infected or not, still reflects the amount of cl gene transcription. However, there is no longer a correlation with <sup>1</sup> cro RNA. Little, if any, 1 cro RNA is made either in the infected or uninfected lysogen. The amount of <sup>1</sup> cro RNA (0.9) recorded for the infected lysogen is less than  $10\%$  the amount required to explain the observed rate of repressor synthesis under these conditions, and may represent nonspecific protection of r cro RNA by the high concentration of RNA present in these samples.

Can the failure to observe <sup>1</sup> cro RNA be explained by the presence of an inhibitor in the RNA extracts? To examine this possibility RNA from bacteria (strain C600) infected with phage  $\lambda$  that, as shown above, contains  $1\,cro\,RNA,$  was assayed for its content of <sup>1</sup> cro RNA in the presence of <sup>a</sup> 30-fold excess of RNA from C600 bacteria infected with phage  $\lambda cY_{42}$ or with phage  $\lambda$  cII<sub>68</sub> or RNA from uninfected C600 bacteria.



FIG. 5. Time-course of 1 cro RNA (O) and phage  $\lambda$  repressor  $(\triangle)$  synthesis. Strain C600 was infected with phage  $\lambda$  at a multiplicity of 6 and diluted with growth medium (5) at 37°. Samples were collected at various times thereafter and assayed for repressor antigen (6) and <sup>1</sup> cro RNA (Fig. 1).

In all three experiments,  $60-80\%$  of the 1 cro RNA was detected by the hybridization assay. Thus, although a large excess of RNA may partially inhibit hybridization, there is no evidence for a specific inhibitor.

Since <sup>1</sup> cro RNA would thus have been detectable were it present in extracts of infected lysogenic bacteria, its absence means that such cells fail to produce it.  $cI$  transcription observed in these bacteria must therefore start at a site to the left of the cro gene.

Evidently, there are two promoters for  $cI$  transcription. It follows from the data presented in Tables <sup>1</sup> and 2 that both types of cI messenger RNA are translated by ribosomes with about equal efficiency.

## DISCUSSION

When a sensitive bacterium is infected by phage  $\lambda$  there is leftward transcription of the  $cro, cI$ , and rex genes that is dependent on the cII and cIII gene products and the cY DNA site. This transcription is "sense" for  $cI$  and rex, but "antisense" for cro. The rate of repressor synthesis is proportional to the amount of 1 cl-rex RNA and that of 1 cro RNA under these conditions. Synthesis of rex gene product after infection of a sensitive bacterium has, like repressor, been shown to require a functional  $cY$  site (18). Thus, after infection of a sensitive bacterium, leftward transcription of cro, cI, and rex appears to be coordinate. Since all of the nucleotide sequences in the "sense" rightward transcript of the cro gene are protected by hybridization to the antisense leftward cro RNA, a promoter for repressor-gene transcription must lie to the right of the cro gene\*\*. Since this promoter is active during the establishment of lysogeny it is called Pre, promoter for repressor establishment. Mutations of the cY class lie immediately to the right of cro, and they are cis dominant  $(2, 6, 7)$ . c*Y* is therefore a plausible location for *Pre*.

By contrast, the experiments reported in Table 2 show that transcription of cI and rex in an established lysogen starts to the left of the cro gene. Leftward cro RNA is not observed under these conditions. Repressor synthesis in a lysogen does not depend on cII, cIII, or cY; moreover, normal rates of repressor synthesis are found in a lysogen deleted for the right half of cro,  $cY$ ,  $cII$ , and genes to the right (6, 9). Also in a lysogen, rex is regulated coordinately with repressor (1, 15, 19). Thus, there must exist a second promoter for the  $cI$  and rex genes that is active in an established lysogen. This promoter, called Prm (promoter for repressor maintenance), most probably lies between cro and cI genes. Normal repressor synthesis from this promoter requires active repressor bound to the right phage  $\lambda$  operator, Or, even in the absence of cro gene product (6, 9).

The messenger RNAs transcribed from Pre and Prm are translated with about equal efficiency. Therefore, the 5- to 10-fold greater rate of repressor synthesis during the establishment of lysogeny compared with that in an established lysogen (6, 8) is due to a greater number of transcripts from Pre in the presence of cII and cIII gene products than from Prm in the presence of repressor. This could result from a greater frequency of messenger initiation or a lesser frequency of messenger degradation. In any case, the two cI gene pro-

TABLE 2. Repressor synthesis and leftward transcription of cro, cI, and rex in a lysogen and nonlysogen

| Phage              | <b>Bacteria</b>         | 1 cro<br><b>RNA</b> | $1$ $cI$ - $rex$<br><b>RNA</b> | Repressor<br>rate |
|--------------------|-------------------------|---------------------|--------------------------------|-------------------|
| $\lambda^+$        | C600                    | 100                 | 100                            | 100               |
| $\lambda c Y_{42}$ | $C600(\lambda cY_{42})$ | 0.9                 | 10.8                           | 8.3               |
|                    | $C600(\lambda cY_{42})$ | ${<}0.5$            | 1.65                           | 1.6               |
|                    | <b>C600</b>             | ${<}0.5$            |                                |                   |

Values are expressed relative to a  $\lambda^+$  infection, in which 630 units of 1 cro RNA per mg of RNA, 11.4 cpm cI-rex RNA per 10,000 cpm total RNA, and 238 repressor monomers per cell-min were seen. In the  $\lambda^+$  infection, 1 cro RNA was measured at 8 min; cl-rex RNA synthesis was measured from <sup>8</sup> to 9.5 min; and the rate of repressor synthesis was averaged between 4 and 12 min after infection. In the  $\lambda cY_{42}$  infection of C600( $\lambda cY_{42}$ ), 1 cro RNA was measured at 16 min; cI-rex RNA synthesis was measured from 16 to 17.5 min; and the rate of repressor synthesis is the average observed between 0 and 40 min after infection. Repressor synthesis proceeds linearly in this type of infection (9). A cY mutation was included in the prophage and superinfecting phage to ensure that transient titration of previously existing repressor by superinfecting phage would not activate the  $cI-I-I-I-CY$ dependent promoter Pre.

moters enable the phage to synthesize repressor at the different rates required for the establishment and maintenance of lysogeny. They also enable proteins and cell metabolites, including possibly cyclic AMP (20, 21), to regulate independently repressor synthesis in the infected cell and lysogen.

Granting that two different promoters are required to permit two different ranges of rates of repressor synthesis, what significance lies in the fact that one of them lies to the right of cro, thus forcing its transcription in two directions? One possibly is that since, in phage  $\lambda$  DNA, sites appear to be adjacent to the structural genes for the proteins that act at those sites  $(22)$ , Pre must be adjacent to  $cII$ , its major activator. Another possibility is that competition between opposing operons may regulate the growth cycle of phage  $\lambda$  (1). In particular,  $cII$  and  $cIII$  gene products, known to delay rightward transcription of genes  $Q$  and  $R$  (10), may do so because polymerase complexes initiating at Pre interfere with rightward transcription from Pr. Finally, it is possible that the formation of double-stranded cro RNA in vivo may reduce synthesis of the cro gene product in infections leading to lysogeny.

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