

# Treatment of Dystonia

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**Abstract** Selecting the appropriate treatment for dystonia begins with proper classification of disease based on age, distribution, and underlying etiology. The therapies available for dystonia include oral medications, botulinum toxin, and surgical procedures. Oral medications are generally reserved for generalized and segmental dystonia. Botulinum toxin revolutionized the treatment of focal dystonia when it was introduced for therapeutic purposes in the 1980s. Surgical procedures are available for medication-refractory dystonia, markedly affecting an individual's quality of life.

**Key Words** Dystonia · cervical dystonia · blepharospasm · trihexyphenidyl · botulinum toxin · deep brain stimulation

## Introduction

Since its first descriptions in the 1890s and the introduction of the term “dystonia” by Oppenheim in 1911, there has been remarkable progress in understanding this disorder leading to a concise definition, coherent classification, and the development of beneficial symptomatic treatments. Dystonia is now defined as a movement disorder characterized by sustained or intermittent muscle contractions often causing abnormal, repetitive, patterned, and twisting movements [1]. With the observation that young-onset dystonia appeared to be hereditary, coupled with advances in genetic techniques, several genes associated with dystonia have been identified (Table 1) [2]. Underlying mechanisms of disease that have been

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suggested include loss of cortical inhibition, increased cortical and subcortical neuroplasticity, and abnormal sensory processing with impaired spatial and temporal discrimination [3–5]. Despite a greater understanding of the disease process, treatment for dystonia remains symptomatic, rather than targeted at underlying pathophysiology. Included in the armamentarium to treat dystonia are oral medications, botulinum toxin and surgical procedures, predominantly deep brain stimulation (DBS). When selecting therapy for dystonia it is important to take into consideration age, distribution of disease, underlying etiology, goals of treatment, and influence of the dystonia on activities of daily living and quality of life [6]. In this review we discuss the various treatment options for dystonia, including oral medications, botulinum toxin, surgical procedures, physical, and other modes of therapy (Table 2).

## Oral medications

Oral medications are recommended predominantly for segmental and generalized dystonia. We recommend initial treatment with botulinum toxin for focal dystonia because it is highly effective, with less potential for systemic side effects than oral medications. Oral medications may be used in focal dystonia as an add-on therapy to botulinum toxin to obtain more symptomatic relief. Oral medications are often limited by systemic side effects; however, children may be able to tolerate high doses of medications with good benefit. Medications should be initiated at a low dose and slowly up-titrated over weeks to minimize adverse effects. Often, a combination of medications may be required.

## Trihexyphenidyl

Trihexyphenidyl, an anticholinergic, is the only oral medication studied in a double-blind fashion for dystonia. One early

**Table 1** DYT loci with associated gene, protein and function (if known). DYT9 (identical to DYT18), DYT14 (identical to DYT5a), and DYT19 (identical to DYT10) are omitted

Designation	Gene	Protein	Proposed protein function
DYT1	<i>TOR1A</i>	TorsinA	AAA + family of ATPases, protein processing, and trafficking
DYT2	Unknown		
DYT3	<i>TAF1</i>	TAF1 RNA polymerase II, TATA box-binding protein-associated factor	Regulation of transcription
DYT4	<i>TUBB4</i>	TUBB4	Tubulin, major component of cytoskeleton
DYT5	a) <i>GTPCHI</i> b) <i>SR</i> <i>TH</i>		Enzymes involved in catecholamine synthesis
DYT6	<i>THAP1</i>	THAP1	Zinc finger transcription factor
DYT7	Unknown		
DYT8	<i>PNKD1/MR-1</i>	PNKD protein/MR-1 protein	Unknown
DYT10	<i>PRRT2</i>	PRRT2 protein	Possibly involved in neurotransmitter release
DYT11	<i>SGCE</i>	Epsilon-sarcoglycan	Dystrophin-glycoprotein complexes
DYT12	<i>ATP1A3</i>	Na <sup>+</sup> /K <sup>+</sup> ATPase α-subunit	Ion transport
DYT13	Unknown		
DYT15	Unknown		
DYT16	<i>PRKRA</i>		Stress response protein
DYT17	Unknown		
DYT18	<i>SLC2A1 (GLUT1)</i>	GLUT1	Glucose transporter
DYT20	Unknown		
DYT21	Unknown		
DYT23	<i>CIZ1</i>	CDKN1A interacting zinc finger protein	DNA synthesis and cell cycle control
DYT24	<i>ANO3</i>	Anoctamin 3	Calcium-gated chloride channel
DYT25	<i>GNAL</i>	G <sub>αolf</sub>	G protein; dopamine, D1, signaling; adenosine A2A receptors

trial involving 31 patients, aged 32 years or younger, with dystonia demonstrated the tolerability of a 30-mg dose of trihexyphenidyl and sustained significant benefit at a mean follow-up of 2.4 years in 42 % of patients [7]. Trihexyphenidyl should be started at half a 2-mg tablet and slowly increased by half tablets until optimal benefit or side effects are encountered. Potential anticholinergic side effects include dry mouth, blurred vision, constipation, urinary retention, and cognitive changes. Side effects, particularly cognitive impairment, such as memory loss and delayed processing are more likely to occur in older patients [8]. An absolute contraindication to the use of trihexyphenidyl is acute closed-angle glaucoma, but the drug should be used cautiously in patients with any cognitive impairment, constipation, and symptoms of prostatism. Anticholinergic side effects may be counteracted by other medications, such as artificial saliva for

dry mouth, pyridostigmine for constipation and urinary retention, and pilocarpine eye drops for blurred vision.

#### Baclofen

Baclofen is a pre-synaptic gamma aminobutyric acid agonist found to be efficacious in the treatment of dystonia in retrospective studies [9]. It can be particularly effective in children with dystonic gait, as well as other forms of segmental dystonia [9]. Average daily doses of baclofen range from 60 to 120 mg. Baclofen may be used as adjunct therapy with trihexyphenidyl for additional benefit. Based on observation, elderly patients tend to tolerate baclofen better than trihexyphenidyl. Potential side effects of baclofen include sedation, dizziness, and hypotonia. Thus, caution should be taken in

**Table 2** Treatment options for dystonia

Medication	Mechanism of action
<b>2a) Oral medications</b>	
Trihexyphenidyl	Anticholinergic
Baclofen	GABA-B receptor agonist
Benzodiazepines	GABA enhancer
Lorazepam	
Diazepam	
Clonazepam	
Carbidopa-levodopa	Metabolic precursor of dopamine
Muscle relaxants	
Cyclobenzaprine	Skeletal muscle relaxant
Metaxalone	Skeletal muscle relaxant
Carisoprodol	Skeletal muscle relaxant
Methocarbamol	Skeletal muscle relaxant
Orphenadrine	Skeletal muscle relaxant
Chlorzoxazone	Skeletal muscle relaxant
Sodium oxybate	Salt of gamma-hydroxybutyric acid
Tetrabenazine	Vesicular monoamine transporter 2 inhibitor. Weak D2 receptor antagonist
Clozapine	Dopamine receptor antagonist, mainly D4
Carbamazepine	Anticonvulsant, sodium channel inhibitor
Oxcarbazepine	Anticonvulsant, sodium channel inhibitor
Zolpidem	Non-benzodiazepine hypnotic, affinity for GABA-benzodiazepine subtype receptor BZ1 ( $\omega 1$ )
Pregabalin	Calcium channel inhibitor
<b>2b) Non-pharmacologic therapies</b>	
<b>Botulinum toxin</b>	
OnabotulinumtoxinA	
AbobotulinumtoxinA	
IncobotulinumtoxinA	
RimabotulinumtoxinB	
<b>Surgery</b>	
Deep brain stimulation	
Ablative procedures	
Selective peripheral denervation	
Intrathecal baclofen	
Intraventricular baclofen	
Oculofacial plastic procedures	
<b>Other therapies</b>	
Physical therapy	
Braces	
Eyelid crutches	
Dental devices	
Transcranial magnetic stimulation	
Transcranial alternating current stimulation	

GABA = gamma aminobutyric acid

patients with underlying weakness in addition to dystonia, as these patients may need some increased tone to ambulate. Note should be made of withdrawal seizures with abrupt discontinuation of baclofen; thus, a gradual taper is recommended.

#### Dopamine Antagonists and Dopamine-depleting Drugs

While D2 receptor blocking agents or neuroleptics have been prescribed for the treatment of dystonia, the use of these agents is discouraged because of the risk of the potentially permanent side effect of tardive dyskinesia. One exception is the use of the atypical neuroleptic clozapine, which was demonstrated to be effective for dystonia in a small open trial involving only 5 patients [10]. Improvement was seen in subjective responses and clinical rating scale in all 5 patients. There have also been case reports of sustained improvement with clozapine for the treatment of tardive axial dystonia [11]. Perhaps because of its greater affinity for D4 receptors as opposed to D2 receptors, clozapine is much less likely to cause tardive dyskinesia than other dopamine receptor-blocking agents. Nonetheless, there have been reports of tardive dystonia caused by clozapine as well; thus, this should be a drug of last resort. Use of this drug may be limited by side effects of orthostatic hypotension, sedation, and agranulocytosis requiring regular blood work.

Tetrabenazine is a dopamine-depleting agent that inhibits vesicular monoamine transporter type 2 in the central nervous system, depleting dopamine, norepinephrine, and serotonin. It was approved by the US Federal Drug Administration (FDA) in 2008 for the treatment of Huntington's chorea, but has been shown to be effective for other hyperkinetic movement disorders, including dystonia, in retrospective studies [12]. While tetrabenazine has mild D2 receptor-blocking activity, there have been no confirmed reports of tardive dyskinesia with this agent. One retrospective chart review at Baylor College of Medicine evaluated the tolerability of tetrabenazine for the treatment of 448 patients with various hyperkinetic movement disorders, including 132 patients with dystonia [12]. For the entire cohort, the daily dose of tetrabenazine was 50–75 mg per day. At the last visit, 69.5 % of dystonia patients had an excellent or moderate improvement in symptoms. Although patients with idiopathic dystonia may benefit from tetrabenazine, the best candidates for this treatment are patients with tardive dystonia. Tetrabenazine can be started at 12.5 mg daily, increasing by 12.5 mg until optimal benefit or side effects are reached. Side effects include drowsiness, insomnia, akathisia, parkinsonism, and depression [13, 14]. Adverse effects are dose-related and reversible upon lowering the medication. Parkinsonism can be treated with amantadine, dopamine agonists, or levodopa; depression with

antidepressants; and insomnia and akathisia with zolpidem [15], which may also help dystonia [16]. Such a strategy may allow the patient to continue the tetrabenazine treatment, particularly if it benefits the underlying dystonia.

Reserpine, a dopamine-depleting agent, has also been found to be effective for tardive dystonia in retrospective studies [17]. Reserpine is an irreversible inhibitor of vesicular monoamine transporter type 1 in the peripheral nervous system, as well as vesicular monoamine transporter type 2 in the central nervous system. Side effects of reserpine include parkinsonism and depression, as well as peripheral side effects of light-headedness and gastrointestinal upset. Thus, tetrabenazine is often preferred over reserpine to avoid these additional peripheral side effects.

### Levodopa

Levodopa is extremely effective at low doses for the treatment of dopa-responsive dystonia (DRD). DRD, a disorder caused by a defect in dopamine synthesis, is classically exemplified by childhood-onset dystonia beginning in the legs and with diurnal variation manifested by worsening of symptoms as the day progresses. In approximately 50 % of cases DRD is caused by an autosomal dominant gene defect in *GCHI*, which encodes for guanosine triphosphate cyclohydrolase 1 [18]. A study of 34 patients with DRD and *GCHI* gene mutations described other manifestations of the disease, including upper limb dystonia; cranial dystonia, including blepharospasm, cervical dystonia, and spasmodic dysphonia; hyperreflexia; postural instability; and tremor. Patients have a dramatic response to low doses of levodopa (300 mg/day) with long-term benefit [19]. Some patients may need a higher dosage (600–1000 mg/day); thus, higher doses should be tried. One review of 34 patients with DRD found that all but 1 patient required doses <600 mg/day of levodopa (range, 25–1050 mg) [18]. Dyskinesias can occur at higher doses and resolve with a dose reduction [20]. Sepiapterin deficiency, an autosomal recessive variant of DRD, also responds to levodopa with additional improvement with 5-hydroxytryptophan as adjunct therapy [21]. Other disorders of dopamine metabolism that can cause dystonia include tyrosine hydroxylase deficiency, aromatic amino acid decarboxylase deficiency, and 6-pyruvyl-tetrahydropterin synthase deficiency [22]. DRD is often mistaken for cerebral palsy; thus, treatment with levodopa should be considered in all children with dystonia. Additionally, there are case reports of other types of dystonia responding to levodopa and thus may also be helpful in the adult population [23, 24].

### Other Agents

Various other agents have been reported to be effective for dystonia in case reports, case series, and small prospective

trials. Ethopropazine has been used in the past as an anticholinergic agent, but there are no controlled trials to document its efficacy in dystonia. Muscle relaxants such as orphenadrine, cyclobenzaprine, metaxalone, carisoprodol, methocarbamol, and chlorzoxazone may help treat pain associated with muscle spasm. Benzodiazepines, such as clonazepam, diazepam, and lorazepam, may be used to treat various forms of dystonia, and is especially useful for myoclonus-dystonia [25]. Sodium oxybate was demonstrated to be efficacious in a pilot study for the treatment of alcohol-responsive disorders, such as myoclonus-dystonia [26]. In an open-label study involving 34 patients with dystonia, zolpidem, an agent with affinity for a benzodiazepine receptor, was found to be effective for generalized dystonia, cranial dystonia, blepharospasm, and hand dystonia, but not cervical dystonia [16]. Pregabalin was reported to be effective in a case of secondary dystonia after subarachnoid and intracerebral hemorrhage. Other anti-convulsants, such as oxcarbazepine and carbamazepine, can be used for the treatment of dystonia in patients with paroxysmal kinesigenic dyskinesia [27].

### Botulinum Toxin

The introduction of botulinum toxin for therapeutic purposes in the 1980s revolutionized the treatment of focal dystonia. Botulinum toxin, produced by the bacterium *Clostridium botulinum*, occurs in seven immunologically distinct serotypes: A–G. The active portion of the toxin is a di-peptide comprised of a 100-kDa heavy chain and a 50-kDa light chain that prevents the release of acetylcholine at nerve terminals. The heavy chain binds to peripheral cholinergic nerve terminals to allow entry into the synaptic bulb, and the light chain cleaves soluble N-ethylmaleimide-sensitive factor attachment receptor proteins, which are required for the fusion of the presynaptic acetylcholine vesicle with the plasma membrane for the release of acetylcholine into the synaptic cleft. Botulinum toxin is isolated and purified, and there are 4 toxins commercially available in the USA: 3 type A and 1 type B (Table 3) [28]. In 1989, Botox (Allergan, Irvine, CA, USA) was approved by the FDA for the treatment of blepharospasm, hemifacial spasm, and strabismus. In 2000, Botox was approved for cervical dystonia. Since then, 3 other formulations have been approved by the FDA with various indications: Dysport (Ipsen, Slough, UK), Myobloc (US World Meds, Louisville, KY, USA) and Xeomin (Merz Pharmaceuticals, Greensboro, NC, USA). In 2009, nonproprietary names were assigned to the various commercially available toxins as follows: onabotulinumtoxinA (Botox), abobotulinumtoxinA (Dysport), incobotulinumtoxinA (Xeomin), and rimabotulinumtoxinB (Myobloc); only the latter is a type B toxin.

**Table 3** Formulations of botulinum toxin

Trade name	Company	Nonproprietary name	Type	SNARE target	Preparation	Storage temperature	Units per vial	US FDA approved indications for dystonia (year approved)
Botox	Allergan, Irvine, CA, USA	OnabotulinumtoxinA	A	SNAP25	Powder	<8 °C	50, 100, 200	Blepharospasm (1989); cervical dystonia (2000)
Dysport	Ipsen, Slough, UK	AbobotulinumtoxinA	A	SNAP25	Powder	<8 °C	300, 500	Cervical dystonia (2009)
Xeomin	Merz Pharmaceuticals, Greensboro, NC, USA	IncobotulinumtoxinA	A	SNAP25	Powder	<25 °C	50, 100	Blepharospasm; cervical dystonia (2010)
Myobloc	US World Meds, Louisville, KY, USA	RimabotulinumtoxinB	B	VAMP	Solution	<8 °C	2500, 5000, 10,000	Cervical dystonia (2000)

SNARE = soluble N-ethylmaleimide-sensitive factor attachment receptor proteins; SNAP = soluble N-ethylmaleimide sensitive fusion protein (NSF) attachment protein; VAMP = vesicle-associated membrane protein; FDA = Federal Drug Administration

The various formulations are not equivalent and one must be familiar with the properties and dosing of each toxin. Type A formulations are crystallized and dissolved in normal saline prior to use, while rimabotulinumtoxinB is already prepared in liquid form. All the above-mentioned formulations need to be stored in a cool environment, except for incobotulinumtoxinA, which is stable at room temperature. IncobotulinumtoxinA is free of accessory proteins and contains less inactive toxin, but evidence is still lacking whether it is less likely to cause neutralizing antibodies [29]. RimabotulinumtoxinB may have greater tendency for autonomic side effects such as dry mouth and constipation [30].

Botulinum toxin is an effective treatment for various forms of dystonia, including blepharospasm, cervical dystonia, oromandibular dystonia and bruxism, laryngeal dystonia, and focal limb dystonia, although with varying levels of evidence available for distinct disorders (Tables 4 and 5) [31–33]. Studies have confirmed the long-term efficacy and safety of botulinum toxin for dystonia [34, 35] (Tables 4 and 5). Potential side effects of botulinum toxin injections include local bruising, excess weakness, and flu-like symptoms. One study found the rate of flu-like reactions to be relatively low, occurring after 14 % of visits; possibly related to increased levels of inducible protein 10 [36].

Potential challenges with botulinum toxin include primary and secondary resistance. Standardized measures of response to botulinum toxin are being developed to include magnitude and duration of improvement in posture and pain, and development of adverse events [37]. Primary nonresponsiveness may be owing to improper selection of muscles or inadequate dosage; rarely, patients previously immunized against botulinism may have primary immunoresistance. One must be familiar with the muscles contributing to the abnormal movement and inject accordingly. There are limited studies supporting the use of electromyography to improve therapeutic response to botulinum toxin, and further studies are needed [38]. Inadequate response in cervical dystonia may also be owing to deep muscles not typically accessible with routine botulinum toxin injection procedures [39].

One potential concern with frequent or long-term use of botulinum toxin is the development of neutralizing antibodies and resistance. In 1998, a new batch of onabotulinumtoxinA was prepared with a lower protein load and there have been reports of decreased resistance [40]. As mentioned above, incobotulinumtoxinA is free of accessory proteins and contains less inactive toxin, with speculation that it is less likely to cause neutralizing antibodies, though evidence is lacking [29]. Assays to detect neutralizing antibodies are not readily available and not routinely used in clinical practice. Performing injection cycles no sooner than every 3 months may decrease the formation of neutralizing antibodies. When resistance to toxin is encountered, response may be restored by switching to the other serotype. Botulinum toxin is also a costly treatment, with limited studies evaluating its cost–benefit ratio [41]. Despite these challenges, botulinum toxin is an effective treatment for focal and segmental dystonia, and can markedly improve a patient's quality of life.

Oral zinc and phytase supplementation was reported to extend the duration of benefit of botulinum toxin for the treatment of blepharospasm in a double-blind, placebo-controlled, cross-over pilot study [42]. A topically-applied compound called Trans-X, which is a fusion protein containing truncated soluble N-ethylmaleimide sensitive fusion protein (NSF) attachment protein-25 (a soluble N-ethylmaleimide-sensitive factor attachment receptor protein), has been shown in an experimental study to safely penetrate the skin and induce muscle paralysis based on measurements of compound muscle action potentials [43]. The authors suggest this as a potentially safer alternative to botulinum toxin.

## Surgical Treatments

Surgical interventions are available for patients with medication and botulinum toxin-refractory dystonia significantly affecting their activities of daily living and quality of life. Ablative procedures, such as thalamotomy and pallidotomy,

**Table 4** Botulinum toxin: randomized, placebo-controlled trials

Study [ref.]	Indication	n	Dose	Primary endpoint	Result
<b>OnabotulinumtoxinA (Ona)</b>					
Jankovic and Orman, 1987 [119]	Blepharospasm	11	25 or 50 U/eye vs placebo	Fahn scale Hyperkinesia Rating Scale Self-assessment Standardized video	76 % improvement on clinical scale, $p < 0.01$ 60.7 % improvement on self-assessment, $p < 0.01$ 38.9 % improvement on videotape, $p < 0.04$
Greene et al., 1990 [120]	Cervical dystonia	55	Varied active dose vs placebo	Columbia Torticollis Rating Scale	Significant improvement in all except one subscore of rating scale
Jankovic and Orman, 1987 [119]	Oromandibular dystonia, cervical dystonia	8	Mean dose 52.8 U vs placebo	Fahn scale Self-assessment Standardized video	Significant improvement in clinical and videotape score, $p < 0.01$
Yoshimura et al., 1992 [121]	Limb dystonia	17	13–120 U vs placebo	Subjective assessment Video assessment	82 % had subjective improvement, $p < 0.001$ No significant improvement in objective assessment compared with placebo
Tsui et al., 1993 [122]	Limb dystonia	11	25–30 U/muscle vs placebo	Objective measures of writing	Significant improvement in pen control, $p < 0.05$ Significant improvement in speed completion of Gibson's maze, $p < 0.05$
Cole et al., 1995 [123]	Limb dystonia	10	5–30 U vs placebo	Subjective patient rating Objective testing Videotape assessment	8 patients had subjective improvement 6 patients with an objective test that verified the improvement
Truong et al., 1991 [124]	Laryngeal dystonia (adductor)	13	5 U/thyroarytenoid muscle vs placebo	Objective assessments of speech Subjective assessment of speech	Significant improvement in speech perturbation, spectrographic analysis, and fundamental frequency range Phonation time and fundamental frequency unchanged. Significant improvement in subjective assessment
<b>AbobotulinumtoxinA (Abo)</b>					
Truong et al., 2008 [125]	Blepharospasm	120	40, 80, 120 U/eye vs placebo	Percentage of normal activity BDS at 4 weeks	Percentage of normal activity of BDS significantly better with Abo vs placebo with all doses, $p < 0.01$
Poewe et al., 1998 [126]	Cervical dystonia	75	250, 500, or 1000 U vs placebo	Modified Tsui scale at weeks 2, 4, and 8	Significant reduction modified Tsui scale in 500 and 1000 U groups at week 4, $p < 0.05$
Truong et al., 2005 [127]	Cervical dystonia	80	500 U vs placebo	TWSTRS at 4 weeks	Greater mean reduction with Abo vs placebo (9.9 vs 3.8, $p \leq 0.013$ )
Truong et al., 2010 [128]	Cervical dystonia	116	500 U vs placebo	TWSTRS at 4 weeks	Greater reduction with Abo vs placebo ( $-15.6 \pm 2.0$ ) vs $6.7 \pm 2.0$ , $p < 0.001$
Lee et al., 2010 [129]	Oromandibular dystonia	12	80 U vs placebo	Number of EMG-recorded bruxism events at 4, 8, and 12 weeks	Significantly decreased bruxism events in the masseter at all time points
Kruisdijk et al., 2007 [130]	Limb dystonia	40	20 U vs placebo	Patient's choice to continue treatment	70 % in Abo group vs 31.6 % in placebo group, $p = 0.03$ chose to continue
<b>IncobotulinumtoxinA (Inco)</b>					
Jankovic et al., 2011 [131]	Blepharospasm	109	Up to 50 U/eye vs placebo	JRS at 6 weeks	Significant improvement with JRS with Inco vs placebo ( $-0.83$ vs $+0.21$ , $p < 0.01$ )
Comella et al., 2011 [132]	Cervical dystonia	233	120 or 240 U vs placebo	TWSTRS at 4 weeks	Significant improvement with 120 U $-9.9$ , 240 U $-10.9$ vs placebo $-2.2$ , $p < 0.01$
<b>RimabotulinumtoxinB (Rima)</b>					
Lew et al., 1997 [133]	Cervical dystonia	122	2500, 5000, or 10,000 U vs placebo	TWSTRS at 4 weeks	Significant improvement in all active groups compared to placebo: 2500 U $-11.6$ , 5000 U $-12.5$ , 10,000 U $-16.4$ vs placebo $-3.3$ , $p < 0.05$
Brashear et al., 1999 [134]	Cervical dystonia	109	5000 or 10,000 U vs placebo	TWSTRS at 4 weeks	Significant reduction with 5000 U 9.3, $p = 0.0004$ and 10,000 U 11.7, $p = 0.0115$ vs placebo 4.3
Brin et al., 1999 [135]	Cervical dystonia	77	10,000 U vs placebo	TWSTRS at 4 weeks	Significant improvement with Rima vs placebo ( $-11.1$ vs $-2.0$ , $p = 0.0001$ )

BDS = Blepharospasm Disability Scale; TWSTRS = Toronto Western Spasmodic Torticollis Rating Scale; EMG = electromyography; JRS = Jankovic Rating Scale

**Table 5** Botulinum toxin comparative trials: randomized, double-blind studies

Study [ref.]	Indication	n	Dose	Primary Endpoint	Result
Nussgens and Roggenkamper, 1997 [136]	Blepharospasm	212	Ona mean 45.4 IU vs Abo mean 182.1 IU	Duration of effect	Similar duration
Roggenkamper et al., 2006 [137]	Blepharospasm	300	Ona mean 40.8 U vs Inco mean 39.6 U	JRS at 3 weeks	Inco non-inferior to Ona
Wabbels et al., 2011 [138]	Blepharospasm	65	Ona mean 29 U/eye vs Inco mean 27 U/eye	BSDI at 4 weeks	No significant difference
Odergren et al., 1998 [139]	Cervical dystonia	73	Ona mean 152 U vs Abo mean 477 U	Tsui score at 12 weeks	No significant difference in both outcomes
Benecke et al., 2005 [140]	Cervical dystonia	463	Ona mean 138.9 U vs Inco mean 140.4 U	Time to retreatment TWSTRS at 4 weeks	Inco non-inferior to Ona
Pappert et al., 2008 [141]	Cervical dystonia	111	Ona 150 U vs. Rima 10,000 U	TWSTRS at 4 weeks	Rima non-inferior to Ona

Ona = onabotulinumtoxinA; Abo = abobotulinumtoxinA; Inco = incobotulinumtoxinA; Rima = rimabotulinumtoxinB; JRS = Jankovic Rating Scale; BSDI = Blepharospasm Disability Index; TWSTRS = Toronto Western Spasmodic Torticollis Rating Scale

have largely been replaced by DBS, with the globus pallidus interna (GPi) as the target of choice. Other procedures include peripheral denervation surgery, myectomy, and other oculofacial plastic surgeries. Intrathecal baclofen infusions have been used for patients with predominantly lower limb dystonia and spasticity; new methods of delivery including intraventricular catheters are being studied.

## DBS

In 2003, DBS was approved in the US by the FDA as a Humanitarian Exemption Device for dystonia. The main target of stimulation for dystonia is the GPi, although other targets are being studied. Two pivotal trials evaluating DBS for primary generalized and segmental dystonia were published in 2005 and 2006, with subsequent follow-up (Table 6) [44, 45]. The French study involved 22 patients with generalized dystonia treated with bilateral GPi DBS [44]. At 12 months, there was significant clinical improvement in dystonia compared to the patients' pre-surgical baseline based on a blinded assessment. This benefit was sustained in a 3-year follow-up study [46]. One multicenter European study involving 40 patients found significantly greater improvement with bilateral GPi stimulation versus sham treatment based on blinded clinical assessment at 3 months [45]. Subsequently, all patients completed an open-label extension of 6 months total active treatment. Further follow-up demonstrated significant improvements in dystonia at 3 and 5 years [47]. Since then, other long-term studies have been reported, including 1 retrospective cohort study of 47 DYT1-positive patients treated with GPi, followed for up to 96 months (mean, 46 months) [48]. There was significant improvement in motor scores compared to baseline for up to 7 years.

Studies have generally shown that GPi DBS is more effective for primary dystonia than secondary dystonia [49]. Predictors of benefit from DBS in primary dystonia may include younger age at onset, shorter disease duration, *DYT1*-positive status, and lower baseline severity of dystonia at time of surgery [50–52]. Bilateral GPi DBS has been shown to be effective for patients with cervical dystonia who do not obtain sufficient benefit from botulinum toxin [53, 54]. Long-term benefit greater than 5 years has been reported in single-blind, open-label, and retrospective studies [54–57]. One retrospective study evaluating predictive factors of response to stimulation in cervical dystonia found that longer disease duration negatively correlated with magnitude of response, while age and disease severity did not [58]. This suggests that perhaps earlier DBS in patients with severe cervical dystonia may lead to more favorable results. Yet another study of 28 patients with idiopathic cervical dystonia and bilateral GPi DBS found no correlation between disease duration, age at onset, baseline severity, and response [57]. The presence of lateral shift was associated with less robust improvement. Patients with contractures would also be expected to respond poorly to DBS or any other therapy. Patients who have previously undergone peripheral denervation surgery for cervical dystonia may also obtain similar benefit from DBS compared to *de novo* patients, as demonstrated in one prospective study [59]. Other forms of segmental dystonia, which are more challenging to treat, have also responded favorably to GPi DBS. One case series of 12 patients with orofacial dystonia found significant improvement compared to baseline with sustained benefit up to 6 years [60]. GPi DBS has also been successful for severe camptocormia, for which there are limited treatment options [61].

While secondary dystonia is generally less responsive to DBS, one exception is tardive dystonia, for which there are

**Table 6** Selected studies of globus pallidus interna deep brain stimulation for dystonia

Study [ref.]	Design	Type of Dystonia	Primary outcome	Follow-up	Outcome
Coubes et al., 2004 [142] n=31	Open-label	Primary generalized dystonia	BFMDRS (movement and disability)	2 years	Movement: 59.1±26.4 (preoperative) vs 12.9±13.2 (2 years), $p<0.0001$ Disability: 16.5±7.8, (preoperative) vs 6.3±6.9 (2 years), $p<0.0001$
Vidailhet et al., 2005 [44] n=22	Prospective, controlled, single-blind	Primary generalized dystonia	BFMDRS (movement and disability)	12 months	Movement: 46.3±21.3 (baseline) vs 21.0±14.1 (12 months), $p<0.001$ Disability: 11.6±5.5 (baseline) vs 6.5±4.9 (12 months), $p<0.001$
Vidailhet et al., 2007 [46] n=22	Follow-up			3 years	Mean movement: 6.3 $p<0.0001$ Mean disability: 58, $p=0.0001$
Kupsch et al., 2006 [45] n=40	Prospective randomized, controlled, double-blind, sham vs stimulation	Primary segmental or generalized dystonia	BFMDRS (movement)	3 months	Stimulation (−5.8 1±4.1 ) vs sham (1.6±4.0), $p<0.01$
Volkman et al., 2012 [47] n=40	Open-label extension			3 and 5 years	3 years: −26.5 (−61.1 %) 5 years: −25.1(−57.8 %)
Kiss et al., 2007 [53] n=10	Controlled, single-blind	Cervical dystonia	TWSTRS (severity)	12 months	Mean (SD) of 14.7 (4.2) presurgical to 8.4 (4.4) at 12 months, $p=0.003$
Gruber et al., 2009 [62] n=9	Open-label	Tardive dystonia	BFMDRS (movement)	3–6 months Last follow-up mean 41 months	3–6 months: 74.1±15.8 % improvement Last follow-up: 83.0±12.2 % improvement
Vidailhet et al., 2009 [70] n=13	Open-label	Cerebral palsy dystonia-choreoathetosis	BFMDRS (movement)	1 year	44.2 (SD 21.1) preoperative to 34.7 (21.9) at 1 year, $p=0.009$
Haridas et al., 2011 [143] n=22	Retrospective, chart review	Pediatric, primary generalized dystonia	BFMDRS	1 year	Median improvement of 84 % at 1 year
Sarubbo et al., 2012 [144] n=11	Prospective, open-label	Segmental/multisegmental dystonia	BFMDRS (movement and disability)	5 years	Movement: 36.9±13.4 (baseline) vs 14.9±10.2, $p<0.001$ Disability: 8.9±2.6 (baseline) vs 3.8±4.2, $p<0.002$
Panov et al., 2013 [48]	Retrospective, chart review	<i>DYT1</i> dystonia	BFMDRS (movement and disability)	2 years Mean follow-up 46 months	At 2 years: Movement score reduced to <20 % of baseline, $p=0.001$ Disability score reduced to <30 % of baseline, $p=0.001$ Persistent symptomatic improvement at last follow-up

BFMDRS = Burke–Fahn–Marsden Dystonia Rating Scale; TWSTRS = Toronto Western Spasmodic Torticollis Rating Scale

several reports of robust improvement with long-term benefit [62, 63]. Case reports of other forms of secondary dystonia responsive to DBS include neurodegeneration with brain iron accumulation, dopa-responsive dystonia, X-linked dystonia parkinsonism, and post-infarct hemidystonia [64–68]. Reports of response to DBS in patients with cerebral palsy have demonstrated improvement at 6 months [69] and 1 year postsurgery [70]. One open-label, nonblinded study involved 14 patients with cerebral palsy (8 patients younger than 16 years of age and 6 patients older than 16 years) [69]. At 6 months, there was a significant improvement in the Burke–Fahn–Marsden Motor Rating Scale, Burke–Fahn–Marsden Disability Rating Scale, and Barry–Albright scores. The younger group had more significant improvement. All patients, except 1, reported improved understandability of speech and decreased time to perform daily activities. Six patients with fixed contractures reported improvement in pain. Despite no

change in objective measures of ambulation, 3 patients who were wheelchair-bound were able to stand, take steps, and assist with transfer. A prospective, multicenter pilot study involved 13 patients (median age, 33 years) with dystonia-choreoathetosis cerebral palsy and utilized masked assessments to evaluate the efficacy of bilateral pallidal stimulation [70]. These included patients with severe dystonia-choreoathetosis, little or no cognitive impairment, and only slight abnormalities on T1-weighted magnetic resonance imaging. At 1 year, the mean Burke–Fahn–Marsden Dystonia Rating Scale movement score improved by 24.4 %—a significant improvement compared to baseline. Pain, mental health-related quality of life, and functional disability also significantly improved. The improvement, however, was heterogeneous, with some patients having little or no benefit. One concern regarding DBS in secondary dystonia is the accuracy of lead placement due to degeneration and thus smaller GPi targets. A



retrospective review of 88 electrodes in 42 patients found no significant difference in lead placement in patients with primary, secondary, or neurodegeneration with brain iron accumulation-related dystonia [71]. This suggests that differential response to DBS in secondary dystonia is unlikely related to difficulties with lead placement. Response to DBS in patients with secondary dystonia can be variable because of other associated neurologic impairments contributing to disability, as well as degeneration or injury to the globus pallidus in secondary cases. Discussing goals of therapy and potentially limited response to DBS is imperative prior to surgery for these patients.

Studies of neuropsychological changes after GPi DBS for dystonia have evaluated both mood and cognition [72]. No significant change has been demonstrated in cognition postoperatively in studies involving primary dystonia, tardive dystonia, and cerebral palsy. Up to 3 years of follow up have been included in some studies. With regard to mood, depression generally is not worsened, and some studies have shown improvement. However, there have been reports of 2 suicides following pallidal DBS for dystonia [73]. These studies generally included patients without cognitive dysfunction or severe depression prior to surgery, and thus detailed neuropsychological testing preoperatively is still recommended in all patients.

While DBS has been reported in many series to be effective for up to 3 years in patients with primary dystonia, there are potential complications that may occur and were reported in a review of long-term management of DBS in dystonia [74]. These adverse effects can be categorized as stimulation- and hardware-related. Stimulation-related adverse effects in GPi stimulation include speech abnormalities (dysarthria, dysphonia, stuttering), paresthesias, perioral tingling, and incoordination [74]. There are reports of parkinsonism following pallidal DBS for dystonia. One retrospective study identified a hypokinetic gait in 6/71 patients characterized by a shuffling gait and difficulty with turns [75]. Increasing the voltage triggered freezing of gait, which resolved with turning the stimulator off. Others have reported micrographia, rigidity, and postural instability [76, 77]. Hardware-related complications include skin infection and erosion, implantable pulse generator malfunction/hematoma/infection, electrode damage, lead fracture/misplacement, or extension wire failure. Although earlier studies suggested that lead fractures were more common in dystonia patients, more recent data did not report greater hardware complications in this patient population compared with other movement disorders [74].

A challenge with DBS for dystonia is the relatively fast rate of battery depletion in patients who generally require high settings to control their symptoms [78, 79]. Rechargeable neurostimulators may be a reasonable option for these patients and eliminate the need for replacement batteries every few years [80, 81]. One report of 30 patients with a rechargeable

device described high patient satisfaction and a low complication rate [80]. The caregivers were responsible for recharging in 80 % of cases, and recharging complications were reported in 36 % of cases. Recharging complications included problems with the recharger, displacement of the adaptor in front of the stimulator and poor compliance with recharging. Despite these complications, 24/25 patients would recommend a rechargeable device to other patients. While rechargeable stimulators do have their benefit, recharging can be cumbersome for the patient requiring the use of a harness. Depending on the level of stimulation, recharging may need to be done every few days for hours at a time.

While GPi is the preferred DBS target for dystonia, other sites are being used and studied as an alternative in some groups of patients. For example, the Vim nucleus of the thalamus has been reported to be effective for patients with dystonic tremor, although in small numbers (~30 cases) [82]. The subthalamic nucleus (STN) has been studied as an alternative target for primary and tardive dystonia [83–86]. Most of these studies have included small numbers of patients, that is, fewer than 10 patients. One study involving 27 patients with primary dystonia assessed the long-term efficacy of bilateral STN stimulation up to 10 years postoperatively [86]. Based on blinded video assessments, there was significant improvement on the Burke–Fahn–Marsden Dystonia Rating Scale up to 1 year, with a subsequent plateau and maintenance of benefit for up to 3–10 years. Some potential benefits with STN DBS that have been suggested include the lack of bradykinesia as a side effect, immediate symptomatic improvement after programming, and lower settings resulting in prolonged battery life [84]. Success with both bilateral pallidal and STN DBS in patients with a combination of dystonia and parkinsonism has also been reported [87, 88].

#### Ablative Procedures

Ablative procedures such as thalamotomy and pallidotomy for dystonia have been generally replaced by GPi DBS, which, unlike pallidotomy, can be adjusted to improve response and minimize side effects. While there are no controlled studies evaluating pallidotomy for dystonia, case series have demonstrated the efficacy of this procedure [89, 90]. There is the potential for irreversible side effects, such as dysarthria, with bilateral procedures. Nonetheless, there may still be a role for pallidotomy in individual cases, such as for those patients with previous hardware complications or infections from DBS, or for those individuals who do not have access to postoperative programming. In patients who have already undergone pallidotomy, but have progressive symptoms, successful subsequent DBS of the STN [91] and even GPi have been reported [92].

## Peripheral Denervation

Selective peripheral denervation surgery, a procedure that targets specific muscles causing dystonia, has been reported to be effective for botulinum toxin-resistant cervical dystonia in prospective and retrospective case series [93–96]. A study comparing selective peripheral denervation with GPi DBS in 24 cervical dystonia patients demonstrated no significant difference between groups based on clinical examination after a mean follow-up of 29.5 months [97]. The DBS group, however, had a nonsignificant greater reduction in pain. Potential side effects for selective peripheral denervation include dysphagia and re-innervation leading to the return of symptoms [95].

## Intrathecal Baclofen

Intrathecal baclofen (ITB) pumps have been used for the treatment of generalized dystonia [97–101]. The first successful use of ITB was described at Baylor College of Medicine in a patient with refractory axial dystonia [102]. ITB may be particularly efficacious in patients with predominant lower limb dystonia and associated spasticity. ITB may be considered in patients who require higher doses of baclofen with increasing side effects from oral baclofen. The use of ITB is associated with several challenges that require a team of care providers [103]. Potential side effects include drowsiness, decreased head and trunk control, and constipation. Surgical complications include cerebrospinal fluid leaks, infections, and catheter-related problems, such as migration and fractures. Pump failure can lead to baclofen withdrawal leading to seizures and life-threatening dystonic storms. Optimal dosing, concentration, continuous versus bolus infusion, and programmable versus non-programmable pumps are all factors that still need clarification.

## Intraventricular Baclofen

Intraventricular baclofen (IVB), with catheter placement in the lateral ventricle, has been proposed as an alternative to ITB for intractable dystonia [104–106]. IVB may be an alternative for patients with spinal anomalies that make placement of ITB difficult, or for those who have had multiple complications from ITB requiring revisions. Furthermore, this mode of delivery may result in a higher concentration of baclofen over the cortex. One case series comparing 30 IVB patients with 33 ITB patients found a similar safety profile in both procedures [106]. Another report of 22 patients with spasticity or dystonia who had ITB complicated by multiple revisions and then transitioned to IVB, found fewer surgical revisions with IVB [104].

## Oculoplastic Surgery

Oculoplastic surgeries for blepharospasm have been performed in patients with insufficient response to botulinum toxin. One such procedure is eyelid protractor myectomy, which was reported to provide subjective short- and long-term benefit in 94 % of 54 patients who underwent surgery [107]. Another study of patients with blepharospasm associated with apraxia of eyelid opening and who were resistant to botulinum toxin reported resolution of apraxia of eyelid opening in 33 % of patients with this procedure [108]. Furthermore, in 20/30 patients there was a greater duration of benefit of botulinum toxin postoperatively. Potential complications of myectomy include forehead numbness, keratitis, and the need for surgical revisions. Other procedures that have been employed include frontalis sling operations [109] and brow lifting for apraxia of eyelid opening [110].

## Physical and Other Modes of Therapy

Devices and braces that take advantage of sensory tricks can be crafted to alleviate focal dystonia, such as dental implants for musicians with embouchure dystonia [111]. Eyelid crutches can be attached to glasses to help prop the eyelids open in patients with blepharospasm or in those who experience ptosis after botulinum toxin injection. Physical therapy can be added to treatment regimens for cervical dystonia to improve pain and disability [112]. Studies have evaluated the benefit of a combination of physical therapy and botulinum toxin for dystonia. One study involving 40 patients with cervical dystonia found that patients receiving botulinum toxin and physical therapy combined had a longer duration of benefit of toxin, lower doses of toxin, and greater improvement in disability and pain compared with those receiving toxin alone [113]. Interventions with limited reported benefit for focal hand dystonia include sensory retraining by practicing braille reading, splinting, limb immobilization, biofeedback, and transcutaneous electrical nerve stimulation [114]. Several other modes of therapy are being studied. A cross-over study of 25 patients with focal hand dystonia and cervical dystonia found an improvement in pain and sensory discrimination when treated with KinesioTaping compared with sham taping [115]. Studies implementing noninvasive transcranial magnetic stimulation have demonstrated small transient benefits in measurements of focal limb dystonia, presumably by restoring cortical inhibition [116, 117]. Transcranial alternating current stimulation was demonstrated to have significant benefit compared with sham treatment in a patient with intractable cervical dystonia, lasting for 30 days [118]. Further studies are needed to confirm the clinical utility of these noninvasive stimulation treatments.

## Conclusion

There is a wide range of therapeutic options available to patients with dystonia. With appropriate selection of the treatment modality patients may experience marked improvement in quality of life, allowing them to return to their employment and engage in daily activities, such as reading, watching television, and driving. Goals of treatment including pain relief, and specific functional improvements are important to identify at the outset. Often, a combination of therapies, including physical therapy, oral medications, botulinum toxin, and surgical procedures, may be needed to optimize treatment response. As more is learned about dystonia, including gene discovery leading to further understanding of underlying molecular pathophysiology, more effective treatments, including disease-modifying therapies, will, hopefully, be developed.

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