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## The $\alpha 5$ neuronal nicotinic acetylcholine receptor subunits plays an important role in the sedative effects of ethanol but does not modulate consumption in mice

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### Abstract

**Background**—Alcohol use disorders (AUDs) are a major public health problem, and the few treatment options available to those seeking treatment offer only modest success rates. There remains a need to identify novel targets for the treatment of AUDs. The neuronal nicotinic acetylcholine receptors (nAChRs) represent a potential therapeutic target in the brain, as recent human genetic studies have implicated gene variants in the  $\alpha 5$  nAChR subunit as high risk factors for developing alcohol dependence.

**Methods**—Here, we evaluate the role of  $\alpha 5^*$  nAChR for ethanol-mediated behaviors using male  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. We characterized the effect of hypnotic doses of ethanol and investigated drinking behavior using an adapted Drinking-in-the Dark (DID) paradigm that has been shown to induce high ethanol consumption in mice.

**Results**—We found the  $\alpha 5$  subunit to be critical in mediating the sedative effects of ethanol. The  $\alpha 5^{-/-}$  mice showed slower recovery from ethanol-induced sleep, as measured by loss of righting reflex. Additionally the  $\alpha 5^{-/-}$  mice showed enhanced impairment to ethanol-induced ataxia. We found the initial sensitivity to ethanol and ethanol metabolism to be similar in both  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. Hence the enhanced sedation is likely due to a difference in the acute tolerance of ethanol in  $\alpha 5^{-/-}$  mice. However the  $\alpha 5$  subunit did not play a role in ethanol consumption for ethanol concentrations ranging from 5% to 30% using the DID paradigm. Additionally, varenicline was effective in reducing ethanol intake in  $\alpha 5^{-/-}$  mice.

**Conclusion**—Together, our data suggest that the  $\alpha 5$  nAChR subunit is important for the sedative effects of ethanol but does not play a role in ethanol consumption in male mice. Varenicline can be a treatment option even when there is loss of function of the  $\alpha 5$  nAChR subunit.

### Keywords

$\alpha 5$  nAChR; ethanol; mice; varenicline

## Introduction

Alcohol use disorders (AUDs) are a world-wide problem with few effective treatments (Moss et al., 2007). Excessive drinking alone ranks third in leading causes of preventable deaths with a heavy economic burden on society (National Institute of Alcohol Abuse and Alcoholism, NIAAA). There remains a need to improve treatment options and strategies to help individuals with AUDs. The neuronal nicotinic acetylcholine receptors (nAChRs) represent a pharmacotherapeutic target for the treatment of AUDs (Chatterjee and Bartlett, 2010) as ethanol has been shown to interact with nAChRs in the brain (Blomqvist et al., 1992; Ericson et al., 2003; Larsson et al., 2002). The nAChRs are pentameric ligand-gated ion channels that are widely expressed in the brain (Gotti et al., 2006; Luetje et al., 1990). They are expressed as a combination of  $\alpha 2-6$  and  $\beta 2-4$  subunits in the heteromeric form or as  $\alpha 7-10$  subunit in the homomeric form.

It has been shown by many laboratories that neuronal nicotinic receptors play an important role in ethanol-mediated behaviors (Aistrup et al., 1999; Blomqvist et al., 1993; Cardoso et al., 1999; Ericson et al., 2009; Larsson et al., 2004; Narahashi et al., 1999; Steensland et al., 2007). Moreover, recent studies have shown varenicline, a smoking cessation aid, with activity at nAChRs, is effective in reducing alcohol administration in humans (McKee et al., 2009). Recent human genetic studies have implicated single nucleotide polymorphisms (SNPs) in the CHRNA5 gene, encoding the  $\alpha 5$  nAChR subunit, to be strongly associated with an increased risk to develop alcohol dependence (Joslyn et al., 2008; Schlaepfer et al., 2008; Wang et al., 2009). The  $\alpha 4\beta 2\alpha 5$  subtype is present at high concentrations in the midbrain dopaminergic reward pathway (Champtiaux et al., 2002; Gaimarri et al., 2007). At this time, there is little known about the link between the susceptibility to developing alcohol dependence and variations in the  $\alpha 5$  gene.

Transgenic mice with genetically modified nAChR subunits have become important tools for studying the importance of specific nAChR subunits in ethanol-mediated behaviors. Previous studies have shown that  $\alpha 4$  and  $\beta 2$  nAChR subunits do not play a role in ethanol consumption using transgenic knockout mice (Hendrickson et al., 2010; Kamens et al., 2010). Whether the  $\alpha 5$  subunit is important for ethanol sensitivity or ethanol consumption remains unknown. In contrast, there are a number of studies showing that the  $\alpha 5$  nAChR subunit is strongly associated with the behavioral effects of nicotine including altered anxiety-related behavior (Gangitano et al., 2009) and increased nicotine intake (Fowler et al., 2011). Additionally,  $\alpha 5$  deficient ( $-/-$ ) mice are hyposensitive to high acute nicotine doses with reduced nicotine-induced seizures (Salas et al., 2003). The link between  $\alpha 5$  subunit and ethanol dependence represents an important question to explore. Additionally, whether the  $\alpha 5$  gene affects the efficacy of varenicline (Chantix®) with activity at  $\alpha 4\beta 2^*$ -containing nAChRs in reducing ethanol consumption.

In this study, we used  $\alpha 5$  subunit deficient mice to evaluate the importance of the subunit in ethanol-mediated behaviors *in vivo*. We assessed both the sedative and ataxic doses of ethanol using the loss of righting reflex (LORR) and rotarod paradigms, respectively. In addition, we determined the baseline ethanol consumption patterns in the male  $\alpha 5$   $+/+$  (wild type) and  $\alpha 5$   $-/-$  (deficient) mice. We found the  $\alpha 5$  subunit to play an important role for the sedative effects of ethanol at the high acute doses without affecting ethanol metabolism. However, we show that the  $\alpha 5$  subunit does not play a significant role in modulating ethanol consumption. Importantly, varenicline, shown to be efficacious in human alcoholics, reduced ethanol consumption selectively in both the  $\alpha 5$   $+/+$  and  $\alpha 5$   $-/-$  mice. Our data suggest that varenicline can be administered as a potential treatment to humans with SNPs in the CHRNA5 gene.

## Methods and Materials

### Animals and Housing

All male mice were weaned at 21 days old and were group housed 2–5 per cage of the same sex on a 12 hour light/dark cycle (lights on 7am) in climate controlled rooms. For the drinking experiments, mice were individually housed under a reverse light cycle (lights on 10 pm). All mice had food and water available *ad libitum*. All procedures were pre-approved by the Gallo Center ethics committee and were in accordance with NIH guidelines for the Humane Care and Use of Laboratory Animals. The  $\alpha 5^{-/-}$  mice were generously provided by Dr. Jerry Stitzel (University of Colorado). All mice were backcrossed to a C57BL/6J (Jackson Laboratory, Bar Harbor, ME) background over 10 generations before arrival at the Gallo Center. The male  $\alpha 5^{+/+}$  and matching  $\alpha 5^{-/-}$  littermate mice used were generated from heterozygous breeding pairs. All transgenic mice used were healthy and appeared similar to their wild type littermates. Genotyping was performed using polymerase chain reaction as previously described (Salas et al., 2003).

### Loss of Righting Reflex

For the loss of righting reflex (LORR) paradigm, 3.2 g/kg (20% v/v) ethanol was given as an intraperitoneal (i.p.) injection. The time for the latency to LORR was recorded as the time from ethanol injection to the time the mouse was unable to right itself three times within a 15 second period from the supine position. LORR was recorded as the time elapsed from when the mouse was unable to right itself three times within a 15 second period from the supine position until it recovered and was able to right itself 3 times within a 15 second period.

The lowest dose of ethanol required to induce LORR in approximately 50% of the sample population (LORR ED<sub>50</sub>) was assessed by the “up and down” method (Newton et al., 2004). In this paradigm, a mouse was given a 2.8 g/kg dose of ethanol (i.p.) and tested for LORR 5 minutes following the injection. If an LORR lasted at least 1 minute, the next mouse was given a dose lowered by 0.1 g/kg of ethanol. Conversely, if there was not an LORR lasting more than 1 minute, the dose was increased by 0.1 g/kg, thereby approaching the threshold dose required to achieve a LORR. The LORR ED<sub>50</sub> value was calculated as previously described (Newton et al., 2004).

### Rotarod Ataxia

Mice were trained for six sessions to remain on an accelerating rotarod (Jones and Roberts, 1968) for 6 minutes, with an initial rotating rate of 6 rpm and a final rate of 30 rpm. During the 75-min test trial, the latency to fall was tested every 15 min following a 2 g/kg (i.p.) ethanol injection. A control trial was performed with mice receiving a single saline (0.0125 ml/kg, i.p.) injection 15min prior to testing on the rotarod.

### Blood Ethanol Clearance

The ethanol clearance was tested by determining blood ethanol concentration (BEC) at several time points following an i.p. injection of 3.2 g/kg ethanol (Zapata et al., 2006). Trunk blood samples were collected in tubes containing 75  $\mu$ L of EDTA to prevent clotting. Whole blood was centrifuged at 4°C for 20 minutes at 4000 rpm and the serum was separated into aliquots. Samples were stored at –80°C until running the BEC assay. Analysis was done using the nicotinamide adenine dinucleotide (NAD)-ethanol dehydrogenase (ADH) spectrophotometric assay (Zapata et al., 2006). All reagents used in this assay were purchased from Sigma-Aldrich (St. Louis, MO). BECs were computed against a standard calibration curve. All samples and standards were run in triplicate.

## Drinking in the Dark paradigm

To evaluate the baseline drinking consumption of  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice, we adapted the drinking-in-the-dark (DID) model of ethanol consumption (Rhodes et al., 2005; Steensland et al., 2010). Briefly, male  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  littermates (P21, week 3) were individually housed in double-grommet cages and given access to one bottle of 20% (v/v) ethanol and one bottle of filtered water for a four-hour period (1 pm–5 pm), three hours into the dark cycle, Monday to Friday, in a reverse light/dark cycle room for 6 weeks (30 exposures). The ethanol- and water-containing bottles sides were switched every presentation to prevent a preference for drinking from one side. Two bottles of filtered water were available at all other times. None of the solutions were sweetened with sucrose, nor were the solutions introduced in gradually increasing concentrations to initiate drinking. All fluids were presented in 50-mL graduated plastic centrifuge tubes (Fisher Scientific, New Jersey, USA) fitted with rubber stoppers and a 2.5 inches stainless-steel sipper tubes with a double ball bearings. Bottles were weighed at 2 and 4 hours after presentation, and measurements were taken to the nearest 0.1 gram. The preference for the alcohol over water (20% ethanol (ml) divided by total fluid intake (ml) times 100) was also calculated. We also measured 5% sucrose consumption using the DID paradigm for 10 exposures in the same male  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  littermates at 20–22 weeks. They were given access to one bottle of 5% (v/v) sucrose and one bottle of filtered water for a four-hour period (1 pm–5 pm), three hours into the dark cycle, Monday to Friday, in a reverse light/dark cycle room for 2 weeks (10 total exposures). The position of the sucrose- and water-containing bottles were switched every presentation to prevent a preference for drinking from one side. Two bottles of filtered water were available at all other times including between weeks 12–20. Bottles were weighed at 2 and 4 hours after presentation, and measurements were taken to the nearest 0.1 gram. The preference of sucrose over water was calculated. Mouse weights were measured daily to calculate the adjusted g/kg intake.

## Acute effects of Varenicline on 20% ethanol intake and 5% sucrose intake

Immediately following maintained stable baseline drinking levels of 20% (v/v) ethanol solution (30 exposures, weeks 3–9) or 5% sucrose using the DID paradigm (10 exposures, weeks 20–22) we evaluated the acute effects of varenicline administration on ethanol intake in  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. Varenicline (0.3 or 2 mg/kg) or vehicle (saline) was administered (i.p.) 30 minutes before the presentation of the bottles. An average of the response to 3 saline injections was taken to be the vehicle measurement for both genotypes. Each injection was given at least two days apart using a Latin square design, thus each animal served as its own control. Varenicline was dissolved in 0.9% w/v saline and administered in a 0.01 ml/kg volume. Body weights were taken before drug administration.

## Drugs

Solutions containing 95% (v/v) ethanol (Gold Shield Chemical Co., Hayward, CA), DH $\beta$ E (Sigma-Aldrich, St. Louis, MO), and Varenicline (Pfizer Global Research and Development, Groton, CT) were prepared fresh daily for all experiments and dissolved in saline.

## Statistics

We used Sigma Stat (Systat Software, San Jose, CA), using two-way, one-way ANOVA or unpaired t-test wherever applicable with Newman–Keuls post hoc analysis when a significant effect was found ( $p < 0.05$ ).

## Results

### Acute Effects of Ethanol

We first studied the behavioral manifestations following high acute ethanol doses in  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice, using the loss of righting reflex (LORR) and accelerating rotarod paradigms. The  $\alpha 5^{-/-}$  mice were more sensitive to a hypnotic dose of ethanol (3.2 g/kg, i.p.) and lost their righting reflex for a significantly longer period of time ( $\alpha 5^{+/+}$ : 26.9±4.8 mins;  $\alpha 5^{-/-}$ : 40.7±4.3 mins; \*  $p < 0.05$  two-tailed unpaired t test,  $n = 8-13$ , Fig. 1A.). The latency to loss of righting reflex was not significantly different ( $\alpha 5^{+/+}$ : 89±3.1 secs;  $\alpha 5^{-/-}$ : 95±5.4 secs; two-tailed unpaired t test, n.s.). Similarly, at the ataxic dose of ethanol (2 g/kg, i.p.), the  $\alpha 5^{-/-}$  exhibited a difference in tolerance to ethanol compared with  $\alpha 5^{+/+}$  mice. They displayed a shorter latency to fall at longer testing time points (75 mins:  $\alpha 5^{+/+}$ : 314.8±17.7 sec;  $\alpha 5^{-/-}$ : 231.7±32.7 sec.,  $n = 11$ , Fig. 1B) in the rotarod paradigm. A two-way ANOVA analysis revealed a significant effect of genotype ( $F(1, 120) = 4.7$ ,  $p < 0.05$ ), an effect of time after injection ( $F(5, 120) = 18.9$ ,  $p < 0.001$ ) but no genotype-time interaction ( $F(5, 120) = 0.9$ , n.s.) with post-hoc revealing significance at 75 min (\* $p < 0.05$ ). To examine if this effect was due to an initial different level of response at this high dose, we assessed the minimum threshold dose to achieve the LORR behavior. We found no difference in ED50 of ethanol ( $\alpha 5^{+/+}$ : 3.0±0.1g/kg;  $\alpha 5^{-/-}$ : 2.9±0.1 g/kg) required to produce LORR, (two-tailed unpaired t test, n.s.,  $n = 6$  animals per genotype, Fig. 2A) suggesting the possibility of developing a difference in the acute tolerance of ethanol between the genotypes. To exclude a difference in ethanol metabolism between genotypes, we measured their blood ethanol clearance (BEC) following a high dose of ethanol (3.2 g/kg). We found no difference in the BEC during the 90 minutes following the 3.2 g/kg ethanol injection ( $n = 3$  animals per genotype for each time point, Fig. 2B). A two-way ANOVA analysis revealed no effect of genotype ( $F(1, 10) = 0.1$ , n.s.), or genotype-time interaction ( $F(2, 10) = 0.001$ , n.s.) but an effect of time after injection ( $F(2, 10) = 4.1$ ,  $p < 0.05$ ).

### Ethanol Drinking Behavior using the Drinking-in- the-Dark paradigm

Next, we assessed baseline ethanol consumption of the  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice using the Drinking-in- the-Dark (DID) paradigm for at least six weeks (30 exposures). The mice were given a four-hour access period (1pm to 5pm) for five days (Monday-Friday) with a two-bottle choice of water and 20% ethanol. We found  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice consumed similar amounts of 20% ethanol ( $n = 7-11$  animals, Fig. 3A). Two-way ANOVA repeated measures revealed no significant effect at the 2 hour time point [genotype: ( $F(1, 464) = 0.01$ , n.s.)], [exposure day: ( $F(29, 464) = 1.1$ , n.s.)], [genotype-exposure day interaction: ( $F(29, 464) = 0.8$ , n.s.)] and no difference between  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice in average ethanol intake for the entire 6-week time period ( $\alpha 5^{+/+}$ : 3.6±0.1g/kg/2 hrs;  $\alpha 5^{-/-}$ : 3.6±0.05g/kg/ 2 hrs; two-tailed unpaired t test, n.s.,  $n = 7-11$  animals, Fig. 3B). We found no difference in the ethanol intake at the 4-hour time point (data not shown). However, the average water intake and preference for ethanol over 6 weeks were different over time between  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice at both 2 hours and 4 hours [water intake:  $\alpha 5^{+/+}$ : 1.3±0.1g/kg/2hrs, 2.6±0.2g/kg/4hrs;  $\alpha 5^{-/-}$ : 1.6±0.1g/kg/2hrs, 3.4±0.2g/kg/4hrs; preference:  $\alpha 5^{+/+}$ : 68.9±2.1%/2 hrs, 64.1± 2%/4hrs;  $\alpha 5^{-/-}$ : 63.8±1.3%/2 hrs, 59.3±1.1%/4 hrs; data not shown]. Two-way repeated measures ANOVA at the 2 hr time point revealed a significant effect of water intake over time ( $F(29, 464) = 2.9$ ,  $p < 0.0001$ ) but no effect of genotype ( $F(1, 464) = 1.0$ , n.s.) or genotype-time interaction ( $F(29, 464) = 1.4$ , n.s.). Similarly, two-way repeated measures ANOVA at 2 hr revealed a significant effect of preference over time ( $F(29, 464) = 3$ ,  $p < 0.0001$ ) but no effect of genotype ( $F(1, 464) = 0.62$ , n.s.) or genotype-time interaction ( $F(29, 464) = 1.3$ , n.s.). Two-way repeated measures ANOVA at the 4 hr time point revealed a significant effect of water intake over time ( $F(29, 464) = 6.3$ ,  $p < 0.0001$ ) but no effect of genotype ( $F(1, 464) = 1.0$ , n.s.) or genotype-time interaction ( $F(29, 464) = 0.8$ , n.s.). Similarly, two-way

repeated measures ANOVA at 4 hr revealed a significant effect of preference over time ( $F(29, 464) = 5.1, p < 0.0001$ ), an effect of genotype-time interaction ( $F(29, 464) = 1.7, p < 0.05$ ) but no effect of genotype ( $F(1, 464) = 0.62, n.s.$ ).

The  $\alpha 5^{-/-}$  mice have been shown to have increased nicotine intake only at high doses compared with their wild-type littermates (Fowler et al., 2011). Hence, we assessed if concentrations apart from the 20% affected the ethanol intake in  $\alpha 5^{-/-}$  and  $\alpha 5^{+/+}$  mice. For this study, we administered ethanol at 5%, 10% and 30% concentration for one week (five ethanol exposures) and 2 hr ethanol intake was measured using the DID paradigm (see Materials and Methods). We found no difference in the ethanol consumption at any of the concentrations tested here at the 2 hour time point (5%:  $\alpha 5^{+/+}$ :  $0.75 \pm 0.09$  g/kg/2hrs;  $\alpha 5^{-/-}$ :  $0.82 \pm 0.02$  g/kg/2hrs; 10%:  $\alpha 5^{+/+}$ :  $2.1 \pm 0.1$  g/kg/2hrs;  $\alpha 5^{-/-}$ :  $2.08 \pm 0.2$  g/kg/2hrs; 30%:  $\alpha 5^{+/+}$ :  $4 \pm 0.2$  g/kg/2hrs;  $\alpha 5^{-/-}$ :  $4.3 \pm 0.3$  g/kg/2hrs,  $n=5-6$  animals, Fig. 3C). Two-way ANOVA for ethanol intake revealed an effect of ethanol concentration ( $F(2, 124) = 147.5, p < 0.001$ ) but no effect of genotype ( $F(1, 124) = 0.5, n.s.$ ) or genotype-concentration interaction ( $F(2, 124) = 0.4, n.s.$ ). We found difference in the ethanol preference only at the 5% ethanol concentration at the 2 hour time point (5%:  $\alpha 5^{+/+}$ :  $72.27 \pm 4.1\%$ ;  $\alpha 5^{-/-}$ :  $58.7 \pm 2.7\%$ ; 10%:  $\alpha 5^{+/+}$ :  $59 \pm 3\%$ ;  $\alpha 5^{-/-}$ :  $62.5 \pm 1.5\%$ ; 30%:  $\alpha 5^{+/+}$ :  $48.7 \pm 5\%$ ;  $\alpha 5^{-/-}$ :  $46.7 \pm 5\%$ ). Two-way ANOVA for ethanol preference revealed an effect of ethanol concentration ( $F(2, 124) = 13.7, p < 0.001$ ) but no effect of genotype ( $F(1, 124) = 1.26, n.s.$ ) or genotype-concentration interaction ( $F(2, 124) = 2.5, n.s.$ ).

### The Effect of Acute Treatment of Varenicline

We also evaluated the effect of varenicline with partial agonist activity at the  $\alpha 4\beta 2^*$  nAChR on voluntary ethanol consumption in the  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. Varenicline (0.3 and 2 mg/kg, i.p.) or vehicle (saline) was given to the mice that had at least 6 weeks of exposure with stable baseline drinking levels. Interestingly, one-way ANOVA repeated measures revealed that varenicline treatment had an overall main effect on 20% ethanol consumption in both genotypes at both 2 hrs and 4 hrs time point measured [2 hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 4.4, p < 0.05$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 7.7, P < 0.01$ ), 4 hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 4.5, p < 0.05$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 6.6, p < 0.01$ )]. Post hoc analysis revealed that the highest dose of varenicline (2 mg/kg) significantly decreased the amount of ethanol consumed in both  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice at 2 hrs ( $*p < 0.05, **p < 0.01, n=9-13$  animals, Fig 4A&B, 4 hr data not shown). Subsequently, there was no overall main effect on water at both time points [2 hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 0.3, n.s.$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 0.7, n.s.$ ), Fig 4C&D, 4 hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 0.9, n.s.$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 0.9, n.s.$ ) (data not shown)] or preference of ethanol over water [2 hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 0.7, n.s.$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 2.6, n.s.$ ), 4hrs:  $\alpha 5^{+/+}$ : ( $F(2,16) = 0.7, n.s.$ );  $\alpha 5^{-/-}$ : ( $F(2,22) = 0.2, n.s.$ ) (data not shown)]. To determine the selectivity of varenicline in reducing ethanol consumption, we used the DID-model of 5% sucrose consumption (see Materials and Methods). Varenicline (0.3 and 2 mg/kg, i.p.) or saline were administered to mice that have been exposed to 5% sucrose for two weeks and had a stable baseline sucrose intake (2 hrs:  $\alpha 5^{+/+}$ :  $5.2 \pm 1$  g/kg/2hrs;  $\alpha 5^{-/-}$ :  $5.9 \pm 2.6$  g/kg/2hrs, 4 hrs:  $\alpha 5^{+/+}$ :  $8.8 \pm 1.5$  g/kg/4hrs;  $\alpha 5^{-/-}$ :  $8.7 \pm 3.1$  g/kg/4hrs). We found no effect of varenicline (2 mg/kg) treatment on 5% sucrose intake in both  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice at both time points (two-tailed paired t test,  $n.s., n=9-13$  animals, Fig. 4E&F, (4 hr data not shown)). There was also no effect on water or preference of sucrose over water in both genotypes at both time points (two-tailed paired t test,  $n.s., (4 hr data not shown)$ ).

### Discussion

In this study, we have used  $\alpha 5$  nAChR subunit deficient mice to characterize the role of this subunit in ethanol-mediated behaviors. Also, given that the  $\alpha 5$  nAChR subunit can modify the pharmacology of  $\alpha 4\beta 2^*$ -containing nAChRs (Kuryatov et al., 2008; Ramirez-Latorre et

al., 1996), we examined whether the efficacy of varenicline, a partial agonist at  $\alpha 4\beta 2$  nAChR (Rollema et al., 2009) that is known to reduce ethanol consumption (Hendrickson et al., 2010; Steensland et al., 2007), would be altered by the  $\alpha 5$  nAChR subunit.

We found that the  $\alpha 5$  nAChR subunit plays a role in the sedative effects of high acute doses of ethanol. Both  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice showed a similar minimum dose of ethanol required to achieve hypnosis, however, the  $\alpha 5^{-/-}$  mice demonstrated a slower recovery from ethanol-induced sleep compared with  $\alpha 5^{+/+}$  mice, suggesting the development of reduced acute tolerance. Given that the blood ethanol clearance is similar for both genotypes, the  $\alpha 5^{-/-}$  mice appear to be recovering at a lower blood ethanol concentration. Studies have shown that the cerebellum is the critical region for ethanol-induced mouse sedation, and a major nAChR subtype mediating this effect is the  $\alpha 4\beta 2^*$ -containing nAChR (Taslim et al., 2008). In cell-based heterologous systems, the co-expression of  $\alpha 5$  with  $\alpha 4$  and  $\beta 2$  subunit has been shown to increase the expression and alter the function of the  $\alpha 4\beta 2$  nAChRs (Kuryatov et al., 2008). Hence, it is plausible that the presence of  $\alpha 5$  can influence the function of  $\alpha 4\beta 2^*$  containing nAChRs and thereby affect the sedation behavior (Al-Rejaie and Dar, 2006; Taslim et al., 2008; Taslim and Saeed Dar, 2011). It is interesting to note that the increased level of sensitivity to high doses of ethanol in  $\alpha 5^{-/-}$  mice is in sharp contrast to their dramatically low sensitivity for acute high doses of nicotine (Salas et al., 2003). Typically, a low sensitivity or high tolerance to drugs of abuse is thought to be an indicator for development of drug dependence (Schuckit et al., 2006; 2012).

Historically, the low level of response (LR) phenotype that defines the initial sensitivity to alcohol has been an important predictive indicator for the development of alcohol use disorders (AUDs) (de Fiebre and Collins, 1992; Schuckit et al., 2012; Trim et al., 2010). Researchers have developed long-sleep (LS) and short-sleep (SS) mice that had been genetically selected for high and low ethanol sensitivity respectively. The mice were subjected to a variety of behavioral measures to determine the duration of their loss of righting reflex (LORR) and ethanol-induced ataxia after a hypnotic dose of ethanol (de Fiebre and Collins, 1992; de Fiebre et al., 1990; 1992). The SS mice had a shorter LORR duration and a longer latency to fall from the rotarod. The SS mice also drank more ethanol and had lower blood ethanol concentrations than the LS mice (de Fiebre and Collins, 1993). Based on findings such as these, it is thought that genes which influence initial sensitivity to ethanol may be crucial in the treatment of AUDs.

The high sensitivity to ethanol in  $\alpha 5^{-/-}$  mice may initially seem contrary to the human genetic studies linking the  $\alpha 5$  gene to high risk for alcohol dependence (Schlaepfer et al., 2008; Wang et al., 2009) and low level of response (LR) to alcohol (Joslyn et al., 2008). However,  $\alpha 5$  SNPs have been reported to be associated with a two-fold increase in  $\alpha 5$  mRNA (Wang et al., 2009). We hypothesize the absence of  $\alpha 5$  leads to an increase in ethanol-induced sedation and slower recovery, the over expression of  $\alpha 5$  will lead to a reduction in sedation and a quicker recovery from ethanol-induced sleep and hence higher tolerance.

Another key finding from this study is that the  $\alpha 5$  subunit does not play a role in basal ethanol consumption using the two-bottle choice DID paradigm in male mice. This is not completely surprising since mice deficient of the  $\alpha 4$  and  $\beta 2$  nAChR subunit show no effect on ethanol intake and  $\alpha 4\beta 2$  nAChR antagonist DH $\beta$ E does not affect ethanol administration in rodents (Hendrickson et al., 2010; Kamens et al., 2010; Le et al., 2000). However, as this study was conducted in male mice we cannot rule out the possibility that the  $\alpha 5$  subunit plays a role in ethanol consumption in female mice. It has been shown there is progesterone-dependent modulation of  $\alpha 5$  nicotinic receptor expression that contributes to fluctuations in anxiety levels during the ovarian cycle (Gangitano et al., 2009). In future studies, it will be

important to investigate the role of the  $\alpha 5$  subunit in ethanol-mediated behaviors in female mice. A recent study suggests that  $\alpha 3\beta 4^*$  rather than  $\alpha 4\beta 2^*$  nAChRs may play a prominent role in ethanol consumption (Chatterjee et al., 2010). Although there is some evidence that  $\alpha 5$  may also be present in  $\alpha 3\beta 4^*$  nAChRs (Gerzanich et al., 1998; Quick et al., 1999), most studies indicate a more frequent association of  $\alpha 5$  subunits with the  $\alpha 4\beta 2^*$ -containing nAChRs in the brain (Gotti et al., 2009; Mao et al., 2008). Moreover, the  $\alpha 5$  subunit appears to play no role in ethanol consumption following increasing ethanol concentrations, ruling out the possibility of a concentration-dependent factor. This is in contrast to nicotine studies where the  $\alpha 5^{-/-}$  but not the  $\alpha 5^{+/+}$  mice self-administer high doses of nicotine, suggesting that the  $\alpha 5$  subunit plays a role in limiting nicotine intake (Fowler et al., 2011). Our data suggests that the  $\alpha 5$  subunit, most likely in the  $\alpha 4\alpha 5\beta 2^*$  nAChR subtype, plays a very minor role in ethanol consumption in mice using the drinking-in-the-dark paradigm.

Given that ~80% of smokers also drink alcohol, varenicline was tested in rats and humans and shown to dose-dependently reduce alcohol consumption (McKee et al., 2009; Steensland et al., 2007). Here, we find that varenicline is effective in reducing ethanol consumption selectively with no change in sucrose or water consumption in both the  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. Interestingly, the deletion of the  $\alpha 4$  (Hendrickson et al., 2010) but not the  $\beta 2$  (Kamens et al., 2010) nAChR subunit changes the efficacy of varenicline in reducing ethanol intake. Therefore, it appears that both  $\alpha 5$  and  $\beta 2$  subunits of the putative  $\alpha 4\beta 2\alpha 5$  nAChR subtypes are not required for the effect of varenicline. Moreover, our recent findings have shown that varenicline is a partial agonist at  $\alpha 3\beta 4^*$  nAChRs (Chatterjee et al., 2010) and this receptor subtype may contain the  $\alpha 4$  subunit, is effective in reducing ethanol consumption in rodents,

In summary, we have demonstrated that the  $\alpha 5$  subunit plays a significant role in the level of response to high doses of acute ethanol. However, it has no effect on voluntary ethanol consumption in the DID paradigm. We have shown that varenicline is efficacious in the presence and absence of the  $\alpha 5$  subunit of the nAChR in rodents, this infers but remains to be examined in humans, that varenicline will be an effective treatment option in subjects carrying SNPs in the *CHRNA5* gene.

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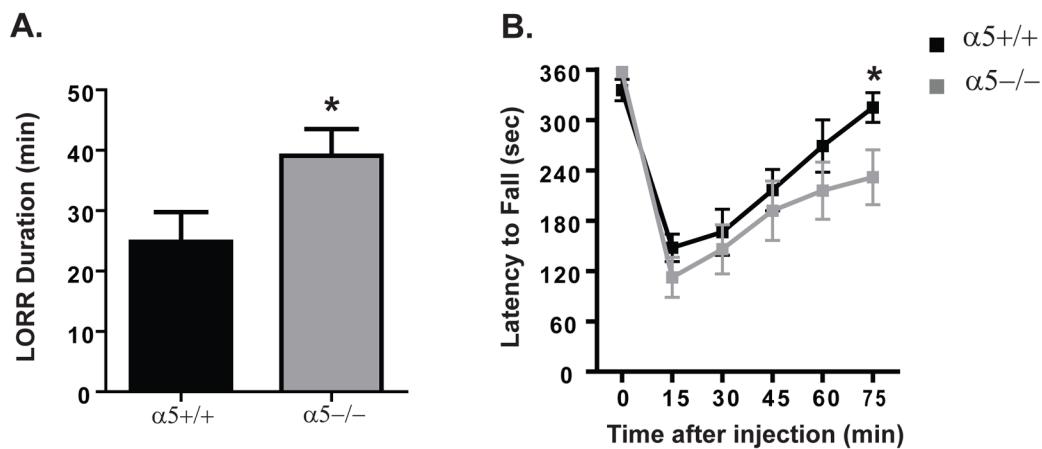
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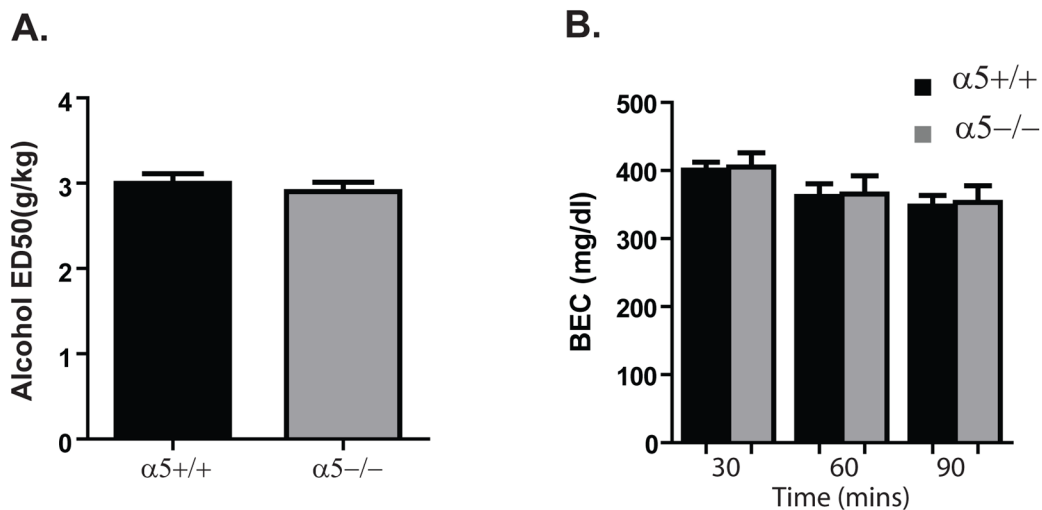
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**Figure 1. The  $\alpha 5$  subunit plays an important role for sedative dose of ethanol and influences ethanol-induced ataxia**

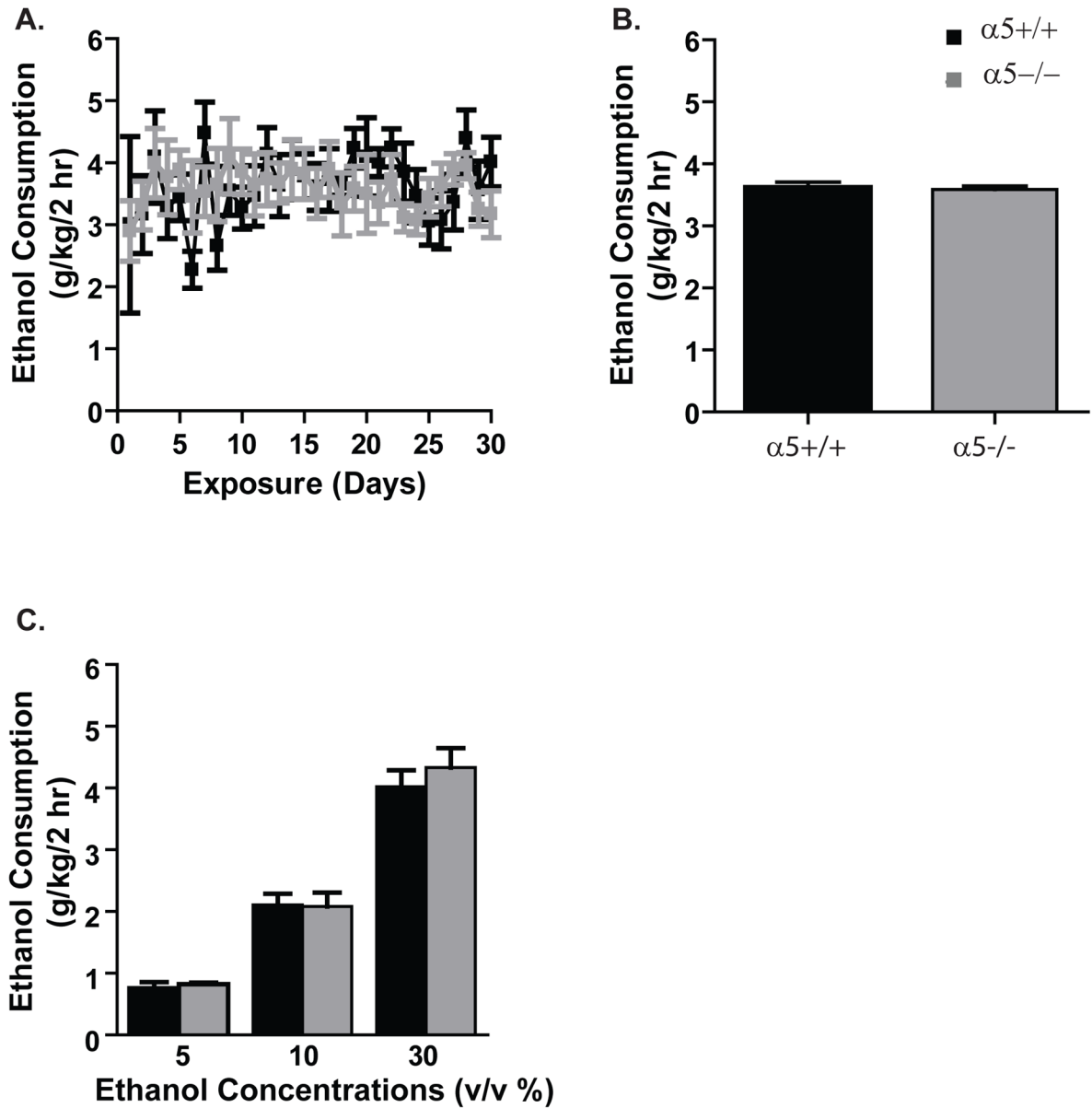
(A) The loss of righting reflex (LORR) duration for 3.2g/kg (i.p.) ethanol was shorter for  $\alpha 5^{+/+}$  (black) compared to  $\alpha 5^{-/-}$  (gray) mice. (B) On the accelerating rotarod,  $\alpha 5^{-/-}$  (gray) had an increased latency to fall compared to  $\alpha 5^{+/+}$  (black) mice for 2 g/kg (i.p.) ethanol dose. In A, n=8–13 animals, B, n=11 animals. The values are expressed as duration (mins)  $\pm$  SEM (A) and duration (secs)  $\pm$  SEM (B) (two-tailed unpaired t-test (A) and two-way ANOVA (B) followed by Newman-Keuls test, \* $p < 0.05$ ).



**Figure 2. The initial sensitivity to ethanol and ethanol metabolism is similar for  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice**

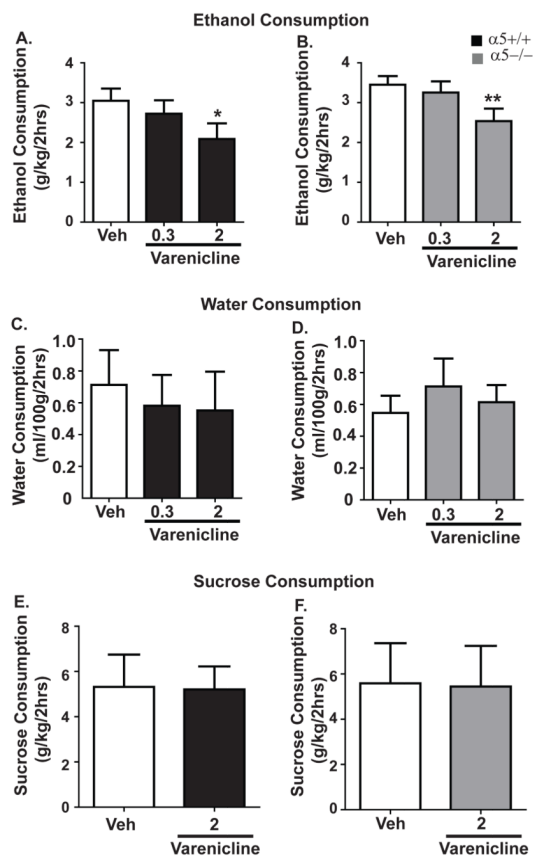
(A) The threshold dose (ED<sub>50</sub>) of ethanol required to induce LORR was similar for  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice. (B) The blood ethanol concentrations were not different between  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice at any of the time points measured. In A, n=6 animals per genotype, B, n=3 animals per genotype for each time point. The values are expressed as alcohol dose (g/kg)  $\pm$  SEM (A) and blood ethanol concentrations (mg/dl)  $\pm$  SEM (two-tailed unpaired t-test (A) and two-way ANOVA (B)).

## 20% Ethanol Consumption



**Figure 3. The  $\alpha 5$  nAChR does not play a role in ethanol consumption in mice using the drinking-in-the-dark paradigm**

(A) Both the  $\alpha 5^{+/+}$  (black) and the  $\alpha 5^{-/-}$  (gray) mice show similar 20% ethanol consumption during the 30 days of exposure. (B) The average ethanol intake across 30 exposures for  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice is similar. (C)  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice shown similar ethanol intake at 5%, 10% and 30% ethanol concentrations. In A&B,  $n=7-11$  animals and in C,  $n=5-6$  animals. The values are expressed as mean alcohol intake (g/kg/2 hrs)  $\pm$  SEM (two-way ANOVA repeated measures (A) and two-way ANOVA (C) followed by Newman-Keuls test and two-tailed unpaired t-test (B)).



**Figure 4. Varenicline is effective in selectively reducing ethanol consumption in  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice without affecting water or sucrose consumption**

Varenicline (2 mg/kg, i.p.) treatment decreased voluntary ethanol consumption in both  $\alpha 5^{+/+}$  (A) and  $\alpha 5^{-/-}$  (B) mice 2 hrs after the onset of drinking using the two bottle choice DID paradigm. Varenicline was selective in decreasing ethanol consumption with no effect on water consumption (C&D) and sucrose consumption (E&F) in both  $\alpha 5^{+/+}$  and  $\alpha 5^{-/-}$  mice.  $n=9-13$  animals. The values are expressed as mean ethanol or sucrose intake (g/kg)  $\pm$  SEM (A,B,E,F) or water intake (ml/100g)  $\pm$  SEM (C,D) (repeated measures ANOVA followed by Newman-Keuls post hoc test). \* $p<0.05$ , \*\* $p<0.01$ , compared with vehicle.