

Analysis and modeling of mitotic spindle orientations in three dimensions

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The orientation of the mitotic spindle determines the relative size and position of the daughter cells and influences the asymmetric inheritance of localized cell fate determinants. The onset of mammalian neurogenesis, for example, coincides with changes in spindle orientation. To address the functional implications of this and related phenomena, precise methods for determining the orientation of the mitotic spindle in complex tissues are needed. Here, we present methodology for the analysis of spindle orientation in 3D. Our method allows statistical analysis and modeling of spindle orientation and involves two parameters for horizontal and vertical bias that can unambiguously describe the distribution of spindle orientations in an experimental sample. We find that 3D analysis leads to systematically different results from 2D analysis and, surprisingly, truly random spindle orientations do not result in equal numbers of horizontal and vertical orientations. We show that our method can describe the distribution of spindle orientation angles under different biological conditions. As an example of biological application we demonstrate that the adapter protein *Inscuteable* (*mInsc*) can actively promote vertical spindle orientation in apical progenitors during mouse neurogenesis.

Controlling the orientation of mitotic spindles is an important aspect of tissue development and homeostasis. The position of the mitotic spindle is regulated by pulling forces acting between the spindle poles and cortical microtubule attachment sites (1, 2). The spindle position determines the cleavage plane and thereby influences the size and position of the newly forming daughter cells (3, 4). A defined spatial organization of newly generated cells is crucial for creating complex 3D structures such as tubes, ducts, and vessels (5, 6). Multicellular organisms therefore use spindle orientation for various purposes, for example to regulate planar expansion and tissue stratification in epithelia.

In stem cells, spindle orientation can regulate the ratio between proliferating and differentiating divisions (7). Because the maintenance of stem cell populations often depends on contact with a signaling niche, spindle orientation determines whether daughter cells maintain niche contact and stem cell fate or lose contact and differentiate. Alternatively, spindle orientation can ensure the reliable inheritance of localized cell-fate determinants by the correct daughter cells in stem cell populations that are regulated by cell-intrinsic signals. The early development of the mammalian neocortex, for example, starts with symmetric divisions of neural progenitor cells to expand the progenitor pool. At later stages, asymmetric divisions of the same progenitor cells generate both self-renewing daughter cells and also cells that give rise to transit-amplifying cells or neurons (8–13). The precise control of spindle orientation is vital for determining the different cell fate decisions during the course of cortical development (14–16).

Here, we describe a mathematical method for the analysis of spindle orientation that takes into account the 3D structure of the dividing cell and the variability of the reference plane and its effect on angle determination. We identify and quantify potential sources of error that can occur when mitotic figures are analyzed in 2D. Currently, terms such as “randomized spindle orientation” are not unambiguously defined, but this would be necessary to describe mutant phenotypes in a way that allows mechanistic interpretation. We therefore establish a mathematical definition of randomness for spindle orientation in 3D and use this to

calculate the expected frequencies for various spindle orientation angles. We establish statistics allowing us to determine how far experimental data deviate from true randomness and introduce two parameters called λ_h and λ_v that describe the degree of horizontal and vertical spindle enrichment, respectively, in an experimental dataset. Finally, we apply our method to biological data from mice overexpressing the mouse *Inscuteable* (*mInsc*) gene and show that these data are consistent with a role of *mInsc* in promoting vertical spindle orientations during mouse corticogenesis but not with simply inhibiting horizontal orientation.

Results

Calculation of Spindle Orientation Angles in 3D. To facilitate the measurement of spindle orientation in three dimensions, we developed an algorithm that allows the calculation of spindle angles from confocal image stacks (15). The angle of the mitotic spindle is typically determined relative to a reference surface, for example the ventricular surface in the mouse cortex. In reality, the reference surface is usually uneven and therefore an optimal reference plane needs to be calculated from the experimental data. We address this problem by defining five points ($P_1 \dots P_n, n = 5$) on the uneven reference surface in the 3D-image stack (Fig. 1), which are then used to determine the best-fitting plane by orthogonal distance regression (*Supporting Information*). The spindle axis is defined by the x,y,z -coordinates of the two centrosomes (C_1 and C_2) and spindle orientation α is calculated as the angle between the spindle axis and the best-fitting plane. An R script for spindle angle determination and variance estimation together with a file containing example measurement raw data are provided in *Supporting Information* (Dataset S1 and R Script S2).

Significance

During development, three-dimensional tissues with defined architecture are generated from one single cell. To achieve this, cells divide with specific orientations to ensure correct placement of their daughter cells. Because the direction of cell division is determined by the orientation of the mitotic spindle apparatus, precise methodology for determining spindle orientation in three-dimensional tissues is crucial to understanding developmental processes. Here, we describe a method for the analysis of spindle orientation in three dimensions. We use mathematical modeling to demonstrate that our method can avoid systematic errors that are intrinsic to conventional 2D analysis of the process. Using experimental data from the developing mouse brain, we show that our method can distinguish between mechanistically different models for spindle orientation.

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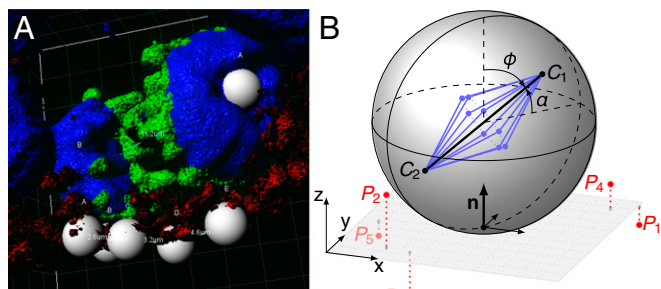


Fig. 1. Calculation of the spindle orientation angle. (A) Example of a 3D reconstructed mitotic cell. N-cadherin (red) and γ -tubulin (red) mark the cell border and the centrosomes, respectively. PH3 (green) marks mitotic DNA (DAPI, blue); the gray spheres are the reference points used for angle determination. (B) Diagram of a mitotic cell for calculating the spindle orientation angle α . C_1 and C_2 define the positions of the two centrosomes of the spindle apparatus (blue). The five points $P_1 \dots P_5$ mark the reference surface and are used to determine an optimal reference plane. n is the normal vector of this best-fitting plane. The angle ϕ describes the orientation of the cleavage plane that is orthogonal to the spindle axis.

Systematic Errors in 2D Analysis of Spindle Orientation. So far, spindle or cleavage plane orientations were mostly analyzed in two dimensions using thin tissue sections stained for centrosomes or DNA and cell cortex markers. Spindle orientation angles are reported as the angle between a line connecting the two centrosomes and another line approximating the basement membrane, luminal surface, or any other reference structure. Our mathematical analysis reveals that this 2D approach will only lead to correct numbers if the line connecting the two centrosomes is exactly parallel to the plane of tissue sectioning and the reference

surface is orthogonal to the sectioning plane. Any horizontal or vertical rotation results in incorrect angle determination, that is, the apparent angle α' is different from the true angle α of spindle orientation (Fig. 2A). The size of the error ($\varepsilon = \alpha' - \alpha$) depends both on the spindle orientation angle (α) as well as on the out-of-plane rotation angles (ξ and ζ), and therefore oblique spindles are most strongly affected (Fig. 2, *Materials and Methods* gives details). Under laboratory conditions, the forward and backward tilting (ξ , Fig. 2B) is usually small because the sample can be oriented in such a way that the section plane is perpendicular to the reference plane (e.g., the ventricular surface in brain sections). The left and right tilting (ζ , Fig. 2C), however, cannot be reduced even by the most careful sample preparation and will lead to an overestimation of the spindle angle that contributes most to measurement errors.

In addition to these measurement errors, 2D analysis is also influenced by a selection bias. In a coronal brain section, for example, spindle orientations can be correctly determined for all cells in which both centrosomes lie within the sectioning plane. As a result, all cells with vertical spindle orientation will be included in the analysis. Cells with a horizontal spindle orientation, however, will only be included when both centrosomes lie in parallel to the sectioning plane. All cells with spindles that are rotated out of this plane are disregarded, leading to a systematic error in the measurements.

Thus, the analysis of spindle orientation in 2D will systematically overestimate spindle orientation angles, especially for oblique orientations. In addition, the arbitrary and not strictly defined selection of cells whose spindles are more or less parallel to the sectioning plane further contributes systematic errors during spindle analysis in 2D.

Random Spindle Orientation in 3D. The mitotic spindle is oriented by directional pulling forces that act more strongly on one of the two centrosomes because astral microtubules preferentially attach

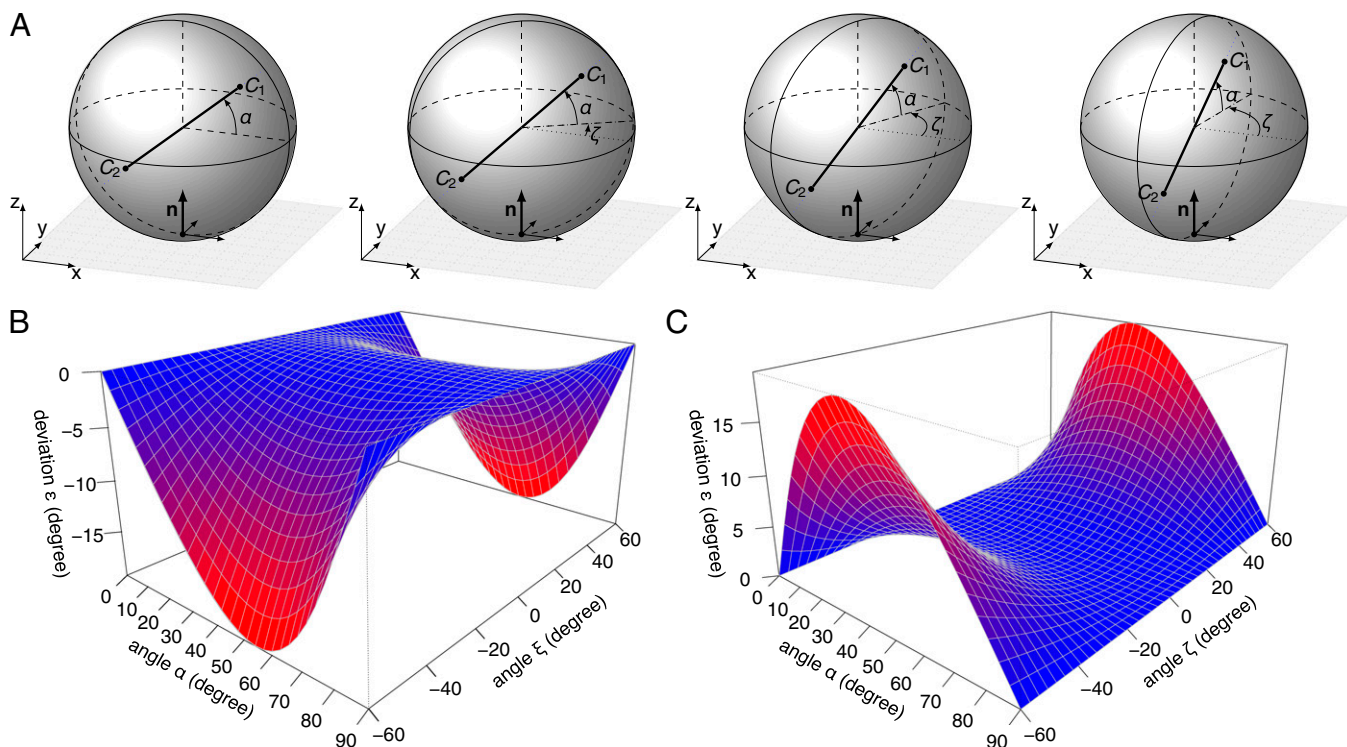


Fig. 2. Deviations of apparent spindle orientations owing to out-of-plane rotation. (A) Increasing out-of-plane rotation (ζ) around the z axis leads to an increase of the apparent spindle orientation angle α' if the structure is analyzed as a 2D projection (e.g., maximum intensity projection of a confocal stack). The true spindle orientation angle is $\alpha = 40^\circ$ in all panels. The tissue sectioning plane is the xz -plane. (B) Deviation ε owing to rotation ξ around the x axis. (C) Deviation ε owing to rotation ζ around the z axis. The deviation ε is the difference between the apparent (α') and the true (α) spindle orientation angle $\varepsilon = \alpha' - \alpha$.

Table 1. Probabilities for random spindle orientation angles in a 3D sphere

Term	Horizontal		Oblique		Vertical	
Range ψ_1 - ψ_2	0°-30°		30°-60°		60°-90°	
Probability P , %	50.0		36.6		13.4	
Range ψ_1 - ψ_2	0°-15°	15°-30°	30°-45°	45°-60°	60°-75°	75°-90°
Probability P , %	25.9	24.1	20.7	15.9	10.0	3.4

PP4c knock-down. Indeed, PP4c knock-down led to spindle randomization, and coexpression of nonphosphorylatable Ndel1 restored horizontal spindle orientations (Fig. S1). Thus, the experimental data are consistent with the postulated mechanism by which PP4c is proposed to act.

mInsc, in contrast, is thought to act specifically by promoting nonplanar spindle orientation. An original model for mInsc proposed that it connects the nuclear mitotic apparatus protein (NuMA)-Leu-Gly-Asn repeat-enriched protein (LGN) complex to the apically localized Par-3/Par-6/aPKC complex (20). The NuMA-LGN complex is located around the cell equator and is instructive for orienting the mitotic spindle horizontally (21-23). More recent biochemical and structural analyses, however, have demonstrated that mInsc and NuMA are mutually exclusive interaction partners of LGN and that mInsc is able to displace NuMA from its LGN binding site (24, 25). This competitive interaction for LGN has led to an alternative model where mInsc exerts its effect on spindle orientation by titrating NuMA binding sites on LGN and prevents tethering of the spindle to the cell cortex leading to a randomization of spindle orientation (26, 27).

Previous qualitative descriptions of spindle orientation data cannot distinguish between randomization and vertical preference in experimental data. We therefore used our modeling approach to ask whether mInsc blocks the machinery for horizontal spindle orientation and shifts the distribution of spindle orientations to a more random-like distribution or whether mInsc actively promotes vertical spindle orientation during mouse corticogenesis. We modeled the distribution of spindle angles for apical progenitors from wild-type, mInsc knock-out, and mInsc overexpressing mice (15) and determined the enrichment parameters λ_h and λ_v . Fig. 5A and B show the distributions of spindle angles of wild-type and mInsc knock-out apical progenitors at embryonic day 13.5. Both distributions exhibit an enrichment of horizontal spindle orientations and the enrichment is increased in the mInsc knock-out ($\lambda_h = 1.49$ versus $\lambda_h = 0.54$ in wild type). These observations are in agreement with a titration role of mInsc. More LGN binding sites are available for NuMA in the mInsc knock-out, leading to increased horizontal spindle localization. However, mild ectopic expression of mInsc causes a shift of the distribution beyond randomization toward vertical spindle orientations (Fig. 5C). A competitive model alone cannot explain this result, because complete titration of LGN binding sites should cause random spindle distributions. Thus, our data show that mInsc can actively lead to a reorientation of mitotic spindles toward more vertical orientations in apical progenitors ($\lambda_v = 0.29$) rather than simply randomizing spindle orientations.

Discussion

In this study, we present a unique approach to analyze spindle orientation in 3D. Spindle orientation is usually measured relative to a reference plane, but in real biological samples the surfaces that serve as reference are uneven and curved. Because the exact choice of the reference plane has a strong influence on angle measurement, we determine the accuracy of measurements by calculating the variance of both the reference plane and the angle. We demonstrate that 2D analysis of spindle orientation leads to systematic errors because out-of-plane rotations of the spindle axis relative to the sectioning plane result in incorrect assignments of spindle orientation angles. We show that 2D analysis can lead to incorrect mechanistic conclusions because random

spindle distributions differ significantly when measured in 2D or 3D. Surprisingly, we demonstrate that random spindle orientation does not result in equal probabilities for any spindle orientation. In fact, only about 13% of the spindle angles are between 60° to 90°, whereas about 50% of all angles are in the range between 0° through 30° relative to the reference surface when mitotic spindles are randomly distributed in 3D. The deviations from the real probabilities are strongest for horizontal and vertical spindle orientations, leading to underestimation and overestimation, respectively (Table S2). Most importantly, these deviations can lead to wrong conclusions about whether spindle positions are randomized or actively oriented, which is a prerequisite for identifying active spindle localization processes.

Currently, data for spindle orientation are typically represented as the percentage of cells that fall within particular intervals. We describe an alternative way that uses two parameters to quantify horizontal and vertical enrichment, λ_h and λ_v . For a random spindle orientation, both of these values are zero. Positive values for each of them can be interpreted as forces acting to orient the mitotic spindle in parallel or perpendicularly to the reference plane.

We demonstrate the usefulness of our model by investigating the role of mInsc in spindle orientation during mouse neurogenesis. It has been proposed that lateral localization of LGN recruits NuMA to establish a preferentially horizontal orientation of the mitotic spindle. In this model, mInsc titrates the NuMA binding sites on LGN and thereby inhibits the machinery for horizontal spindle orientation to increase the frequency of oblique spindles (24, 25). Once all binding sites on LGN are occupied by mInsc, spindle orientation should be random. In support of this hypothesis, horizontal mitotic spindles are enriched during the early stages of neurogenesis when mInsc expression is low (28). More importantly, the enrichment of horizontally oriented mitotic spindles persists during the later neurogenic stages of neurogenesis in mInsc knock-out mice, whereas it is less pronounced in wild-type mice.

However, our analysis indicates that overexpression of mInsc leads to an enrichment of vertical spindle orientations and not only to a decrease of horizontal orientations. Whereas the horizontal enrichment parameter λ_h becomes zero, the vertical enrichment parameter λ_v significantly increases (i.e., the fraction of oblique and vertical spindle orientations is higher than what would be expected for a random distribution). Hence, mInsc not only blocks NuMA binding sites on LGN but, in addition, provides instructive cues for more vertical spindle orientation. Thus, our analysis method allows interpreting biological data in a mechanistically meaningful manner.

In apical progenitors, the instructive role of mInsc for vertical orientations might only come into play once all binding sites of LGN are saturated. It is possible that under physiological conditions mInsc does not reach this expression level during mouse neurogenesis, and the amount of asymmetric divisions achieved by lowering λ_h is sufficient for proper development. The situation might be different in other tissues: A bimodal distribution of spindle angles has been observed in the developing mouse skin (29),

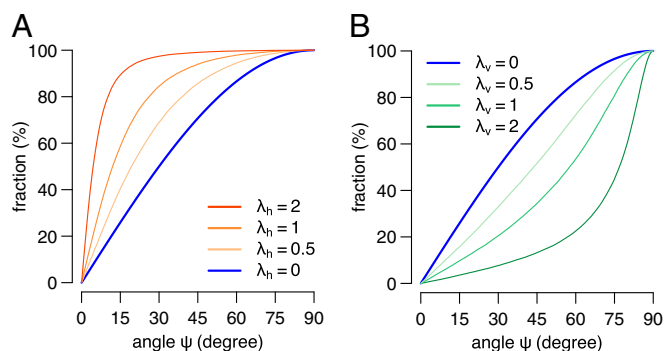


Fig. 4. Modeling of horizontal (A) and vertical (B) enrichment. Cumulative distributions of spindle orientation angles modeled with different λ .

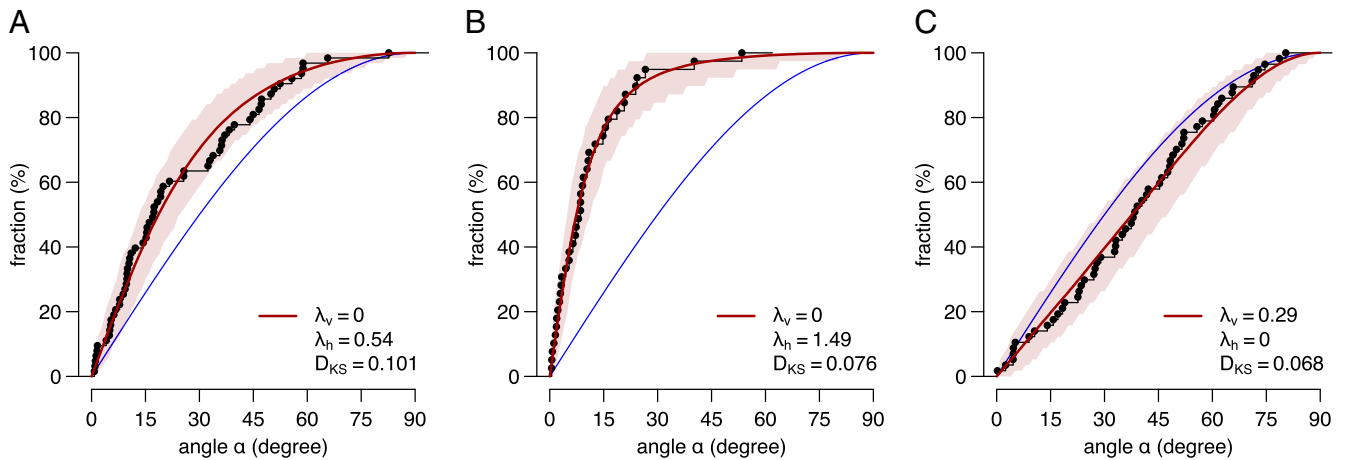


Fig. 5. mInsc can promote an enrichment of vertical spindle orientations. Cumulative distributions of spindle orientation angles determined from mitotic radial glial cells at E13.5. (A) Wild-type cells exhibit horizontal enrichment of spindle orientations. (B) mInsc knock-out leads to an increased enrichment of horizontal angles compared with wild type. (C) Expression of a conditional knock-in mInsc causes vertical enrichment of spindle angles. The blue line indicates the random distribution; the 95% confidence interval is shaded in pink. Data were obtained from ref. 15.

indicative of two separate, active mechanisms. mInsc might cause vertical spindle orientations while NuMA-LGN interactions are active as well.

Taken together, our mathematical modeling of different biological phenotypes allowed us to quantify horizontal (mInsc knock-out) and vertical (mInsc knock-in) enrichment and to distinguish active from random (PP4c knock-down) spindle orientation mechanisms.

In addition to helping the mechanistic interpretation of experimental data, our modeling approach allows the transfer of concepts from statistical mechanics and information theory to problems in spindle orientation analysis. For a given condition, the parameters λ_h and λ_v describe the “macrostate” of spindle orientation that corresponds to the set of all individual mitotic spindle orientations (“microstate”). This situation has an analogy in statistical thermodynamics where the temperature (macrostate) relates to the stochastic kinetics of microscopic particles (microstate) in a similar manner. The analogy can be taken further and even extended to differential entropy: In information theory the differential entropy h of a given probability density function is defined as

$$h = - \int_{-\infty}^{\infty} p(x) \ln p(x) dx.$$

Applying h to the multivariate normal distribution $\mathcal{N}(\mathbf{0}, \mathbf{K})$ with zero means $\mathbf{0}$ and the (diagonal) covariance matrix \mathbf{K} , we get as shown in refs. 30 and 31

$$h(\mathcal{N}(\mathbf{0}, \mathbf{K})) = \frac{1}{2} \ln \left((2\pi e)^3 \det \mathbf{K} \right)$$

and we can express h as a function of λ_h and λ_v (for simplicity, we ignore the fact that the normal distributions are “wrapped” around a unit sphere)

$$h = \frac{1}{2} \ln \left((2\pi e)^3 \sigma_x^2 \sigma_y^2 \sigma_z^2 \right) = -2\lambda_v - \lambda_h + \frac{1}{2} \ln (2\pi e)^3.$$

Therefore, the entropy difference Δh of any distribution compared with the random distribution ($\lambda_h = \lambda_v = 0$) is

$$\Delta h = -(2\lambda_v + \lambda_h).$$

This equation quantifies the decrease of entropy that is caused by active spindle orientation and, hence, the gain of order in the system. It is tempting to speculate that this increase of order inside the cell physically manifests itself in the developing biological structures arising from oriented cell divisions. In a broader con-

text, our model allows us to mathematically quantify the emergence of positional order in the developing mammalian brain.

Materials and Methods

Calculation of the Spindle Orientation Angle. The angle ϕ between the vector \mathbf{c} connecting the centrosomes (C_1, C_2) and the normal vector \mathbf{n} of the best-fitting plane (Supporting Information) is calculated using the scalar product

$$\phi = \arccos \frac{\mathbf{c} \cdot \mathbf{n}}{\|\mathbf{c}\| \|\mathbf{n}\|}. \quad [1]$$

The angle ϕ describes the orientation of the cleavage plane, which is orthogonal to the spindle axis. The angle α of spindle orientation is calculated as $\alpha = 90^\circ - \phi$.

To estimate the variability of the best-fitting plane, we repeat the analysis taking all possible combinations of only four out of the five points within the reference surface (i.e., we calculate n normal vectors $\mathbf{n}_1 \dots \mathbf{n}_n$ of best-fitting planes using all n combinations of points). We follow the definitions used in ref. 32 and determine the circular variance V of $\mathbf{n}_1 \dots \mathbf{n}_n$

$$V = 1 - \left\| \frac{1}{n} \sum_{i=1}^n \mathbf{n}_i \right\|$$

and the angular SD $s = \sqrt{2V}$ (for small V).

To estimate the potential effect of the unevenness of the reference surface on spindle angle determination, we take each of the n normal vectors $\mathbf{n}_1 \dots \mathbf{n}_n$ and calculate $\phi_1 \dots \phi_n$ according to Eq. 1. We transform the angles ϕ_i to unit vectors in a 2D plane by

$$\mathbf{r}_i = \begin{pmatrix} \cos \phi_i \\ \sin \phi_i \end{pmatrix}$$

and use \mathbf{r}_i to determine the circular variance V and the angular SD s of the spindle angles.

Systematic Errors in 2D Analyses Owing to Out-of-Plane Rotation. We define the deviation ε between the apparent angle α' and the true angle α of spindle orientation as $\varepsilon = \alpha' - \alpha$. Under ideal conditions, the vector \mathbf{c} connecting the two centrosomes is exactly parallel to the tissue sectioning plane (i.e., $c_y = 0$), and the angle α of spindle orientation is given by

$$\alpha = \alpha' = \arctan \frac{c_z}{c_x}.$$

If the vector connecting the two centrosomes is not exactly parallel but rotated around the x axis by ξ degrees out of the sectioning plane ($c_y = 0$), the resulting vector \mathbf{c}' is given by

$$\mathbf{c}' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \xi & -\sin \xi \\ 0 & \sin \xi & \cos \xi \end{pmatrix} \cdot \begin{pmatrix} c_x \\ c_y \\ c_z \end{pmatrix} = \begin{pmatrix} c_x \\ -c_z \sin \xi \\ c_z \cos \xi \end{pmatrix}.$$

Hence, the apparent angle of spindle orientation α' using 2D analysis is

$$\alpha' = \arctan \frac{c_z'}{c_x'} = \arctan \frac{c_z \cos \zeta}{c_x} = \arctan \frac{\sin \alpha \cos \zeta}{\cos \alpha},$$

leading to a systematic underestimation of the angle ($\alpha' \leq \alpha$, Fig. 2B).

If the vector connecting the two centrosomes is rotated around the z axis by ζ degrees out of the sectioning plane ($c_y = 0$), the resulting vector \mathbf{c}' is given by

$$\mathbf{c}' = \begin{pmatrix} \cos \zeta & -\sin \zeta & 0 \\ \sin \zeta & \cos \zeta & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} c_x \\ c_y \\ c_z \end{pmatrix} = \begin{pmatrix} c_x \cos \zeta \\ c_x \sin \zeta \\ c_z \end{pmatrix}.$$

Hence, the apparent angle of spindle orientation α' using 2D analysis is

$$\alpha' = \arctan \frac{c_z}{c_x \cos \zeta} = \arctan \frac{\sin \alpha}{\cos \alpha \cos \zeta},$$

leading to a systematic overestimation of the angle ($\alpha' \geq \alpha$, Fig. 2C).

Determination of the Random Distribution of Spindle Orientations in 3D. The probability of any spindle orientation angle is proportional to the sphere surface area at this angle. The circumference C of a sphere (with a radius of 1) at an angle α from its equator is $C = 2\pi \cos \alpha$. Hence, the surface area A_ψ between the angles $-\psi$ and ψ (with $0^\circ \leq \psi \leq 90^\circ$) is

$$A_\psi = \int_{-\psi}^{\psi} 2\pi \cos \alpha d\alpha = 4\pi \sin \psi$$

and the fraction f_ψ of the area within $-\psi$ and ψ (A_ψ) to the total surface area of the sphere ($A_{90^\circ} = 4\pi$) is

$$f_\psi = \frac{4\pi \sin \psi}{4\pi} = \sin \psi.$$

If spindles are statistically distributed, the probability P (or fraction) of spindle orientation angles falling into the range between ψ_1 and ψ_2 ($0^\circ \leq \psi_1 < \psi_2 \leq 90^\circ$) is $P = f_{\psi_2} - f_{\psi_1} = \sin \psi_2 - \sin \psi_1$.

Modeling of Spindle Orientation Distribution as Deviation from Random Distribution. Let $\mathcal{N}(\mathbf{0}, \mathbf{K})$ define a multivariate normal distribution with zero means $\mathbf{0}$ and unity covariance matrix \mathbf{K}

$$\mathbf{0} = \begin{pmatrix} \mu_x = 0 \\ \mu_y = 0 \\ \mu_z = 0 \end{pmatrix}, \quad \mathbf{K} = \begin{pmatrix} \sigma_x^2 = 1 & 0 & 0 \\ 0 & \sigma_y^2 = 1 & 0 \\ 0 & 0 & \sigma_z^2 = 1 \end{pmatrix}.$$

Let the vector $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{K})$ have three independent, ($\mu = 0, \sigma^2 = 1$)-normally distributed components z_x, z_y, z_z . By normalizing \mathbf{z} we get a vector $\mathbf{c} = \mathbf{z}/\|\mathbf{z}\|$ with the components c_x, c_y, c_z that is randomly distributed on a unit sphere (33) and that represents a randomly oriented spindle axis.

By decreasing the SD σ_z of the ($0, \sigma_z^2$)-normally distributed component of \mathbf{z} we obtain models of horizontal enrichment. We introduce the parameter $\lambda_h = -\ln \sigma_z$ to quantify the extent of horizontal enrichment ($\lambda_h > 0$) relative to the random distribution ($\lambda_h = 0$, Fig. 4A).

Models of vertical enrichment can analogously be obtained by decreasing the SDs σ_x and σ_y . Because we exclude effects of planar cell polarity on spindle orientation in our models, we can assume rotational symmetry around the z axis and therefore $\sigma_x = \sigma_y$. We introduce the parameter $\lambda_v = -\ln \sigma_x = -\ln \sigma_y$ to quantify the extent of vertical enrichment ($\lambda_v > 0$) relative to the random distribution ($\lambda_v = 0$, Fig. 4B).

Interestingly, increasing σ_z instead of decreasing σ_x and σ_y results in identical distributions (if $\lambda_h = -\lambda_v$). Hence, both parameters could be used to describe the same distribution. To unambiguously distinguish horizontal and vertical enrichment, however, we restrict our modeling parameters to $\lambda_h \geq 0$ for horizontal and $\lambda_v \geq 0$ for vertical enrichment.

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