

# STAT2 and IRF9

## Beyond ISGF3

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Cytokine signaling is mediated by the combinatorial usage of seven STAT proteins that form homo- or heterodimers involved in the regulation of specific transcriptional programs. Among STATs, STAT2 is classically known to dimerize with STAT1 and together with IRF9 forms the ISGF3 transcription factor complex that has long been considered a hallmark of activation by type I and type III interferons. However, accumulating evidence reveal distinct facets of STAT2 and IRF9 activity mediated by the segregation in alternative STAT1-independent complexes/pathways that are thought to trigger different transcriptional programs. The goal of this review is to summarize our current knowledge of the stimuli, regulatory mechanisms, and function of these alternative pathways.

### Introduction

Initially discovered half a century ago, cytokines now count over 50 members that orchestrate cellular communication in an autocrine, juxtacrine, and paracrine fashion through binding to distinct families of receptors. Intriguingly, the fate of the response triggered by binding of cytokines to their cognate receptor is mainly determined by the specific combinatorial usage of only seven different signal transducer and activator of transcription (STAT) proteins. STAT proteins activated through phosphorylation by the receptor-bound Janus kinases (JAK) act as homo- or heterodimers that translocate to the nucleus to regulate the transcription of numerous target genes. Over the years, *in vitro* and *in vivo* studies mostly performed using single cytokine stimulation have provided a picture of STAT(s) activation by specific cytokines. Our current knowledge of these cytokine/STAT combinations was recently extensively reviewed and therefore will not be reiterated here.<sup>1</sup> In this review we will rather focus on the specific activation and function of STAT2, which has long been considered a hallmark of activation by type I interferons (IFN $\alpha/\beta$ ) and type III IFNs (IFN $\lambda$ 1)/interleukin (IL) 29, IFN $\lambda$ 2/IL28A and IFN $\lambda$ 3/IL28B). Response of immune and non-immune cells to type I and III IFNs is classically

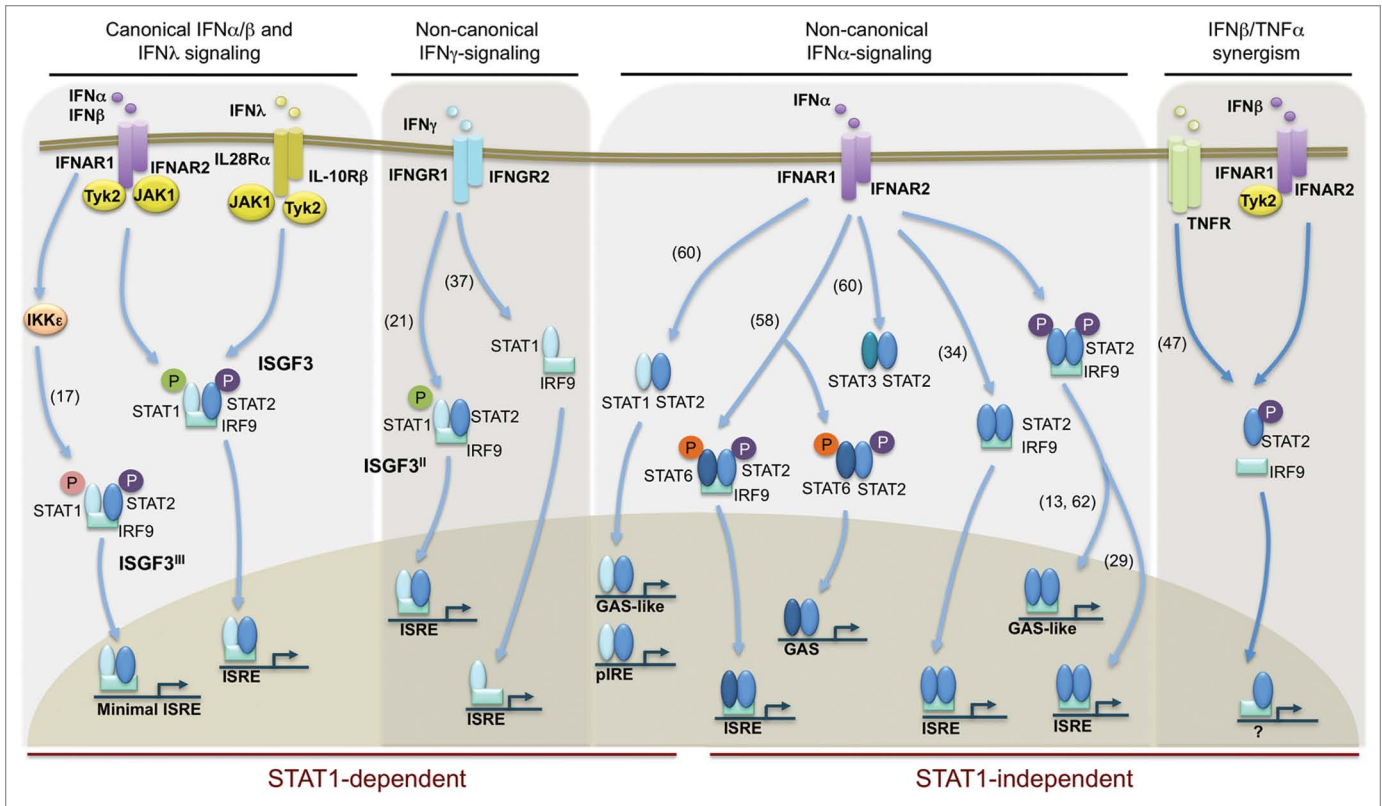
known to trigger the formation of heterotrimers containing STAT2, STAT1, and the interferon regulatory factor (IRF) 9, a transcription factor complex known as IFN-stimulated gene factor 3 (ISGF3). While activation of STAT2/IRF9 to form the ISGF3 complex has been widely considered a hallmark of type I and III IFN activation, accumulating evidence highlights a far more complex activation and function beyond ISGF3. The characterization of alternative signaling encompassing activation of STAT2/IRF9 suggests their segregation in distinct complexes (Fig. 1), which might be a crucial determinant in the specific activation of different transcriptional programs.

### The ISGF3 Complex: the Classical Role of STAT2 and IRF9 Engaged by Type I and III IFNs

IFN $\beta$  is a pleiotropic cytokine with potent antiproliferative, antiviral and host innate and adaptive immune regulatory functions.<sup>2</sup> Based on extensive studies of IFN $\beta$  single cytokine stimulation, the binding of IFN $\beta$  to its receptor (IFNAR) is well characterized to engage a cascade of signaling events initiated by the rapid activation of the Tyk2 and JAK1 members of JAK kinases, which phosphorylate the intracellular domain of IFNAR on tyrosine (Tyr), thereby providing a docking site for the SH2 domains of the latent STAT1 and STAT2. Subsequent phosphorylation of STAT1 and STAT2 induces their heterodimerization and association with IRF9 to form the ISGF3 complex that translocates to the nucleus.<sup>3</sup> Signaling of type III IFNs, which bind to a distinct heterodimeric receptor (IL28R $\alpha$ /IL-10R $\beta$ ) through the ISGF3 complex, has been unveiled more recently with the discovery and growing interest of type III IFNs in the antiviral response.<sup>4,5</sup>

The formation of the heterotrimeric ISGF3 complex is mechanistically unique among STAT-dependent pathways, in part because among the STAT factors, STAT2 is distinct in that it does not recognize a DNA target site as a homodimer. Rather, STAT2 provides the transcriptional activation domain (TAD) essential for the induction of target gene transcription.<sup>6</sup> The DNA-binding component of ISGF3 provides the specificity for binding to the consensus IFN-stimulated response element (ISRE), 5'-AGTTTCNNTT TCNC/T-3',<sup>7</sup> and not to the IFN $\gamma$ -activated site (GAS) like other STAT dimers,<sup>8</sup> present in the promoter of hundreds of IFN-stimulated genes (ISGs) encoding proteins with antiviral activities.<sup>9</sup> The interaction with the core of the ISRE consensus is mediated by IRF9, but DNA

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**Figure 1.** STAT2- and/or IRF9-containing complexes. The canonical type I and type III IFN signaling leads to the formation of the classical ISGF3 complex composed of STAT1, STAT2, and IRF9. In this complex, STAT1 is phosphorylated on Tyr701 (green) and STAT2 is phosphorylated on Tyr690 (violet) as a result of JAK1 and Tyk2 activation. ISGF3 regulates the expression of hundreds of ISGs containing an interferon stimulated response element (ISRE) in their promoter region. In the recent years, alternative complexes containing STAT2 and/or IRF9 have been described in various contexts either in combination with STAT1 (STAT1-dependent) or without STAT1 (STAT1-independent). Some STAT1-dependent complexes are distinct from the ISGF3 complex by their phosphorylation. For instance, in the ISGF3<sup>III</sup> complex STAT2 is not phosphorylated on Tyr690. In the ISGF3<sup>III</sup> complex, STAT1 is phosphorylated on Ser708 (pink). Additionally, alternative complexes are formed by association with different STAT partners, including STAT6 and STAT3. In some STAT6-containing complexes, STAT6 is also phosphorylated on a yet unknown residue (orange). These different complexes regulate gene expression through diverse consensus sequences, including ISRE, IFN $\gamma$ -activated sequence (GAS), GAS-like, and palindromic IFN response element (pIRE). References are indicated in brackets.

contacts of STAT1 and STAT2 are required to provide stability of the interaction.<sup>10-13</sup> Although a large majority of type I IFN-induced gene expression is attributable to the activation of the canonical ISGF3, it has become clear that its sole activation cannot completely explain the pleiotropic biological effects of type I IFNs. Likely part of the equation explaining these multiple functions is the increasing body of evidence showing that alternative STAT complexes composed of homo- or heterodimers of other members of the STAT proteins, also form upon type I IFN stimulation in a cell-specific fashion<sup>1,3</sup> (Fig. 1).

Advances in our understanding of the regulation of ISGF3 have started to uncover the existence of heterogeneous ISGF3 complexes, which are likely to participate in the regulation of specific functions. The paradigm has long been that the ISGF3 complex is composed of STAT1 phosphorylated on Tyr701 and of STAT2 on Tyr689. Additional phosphorylation of STAT1 on Serine (Ser) 727 provides full transcriptional activity of the ISGF3 complex.<sup>14,15</sup> In contrary, Ser phosphorylation of STAT2 on Ser287 was shown to negatively regulate the activity of the ISGF3 complex.<sup>16</sup> However, interesting reports suggest

the existence of distinct pools of ISGF3 complexes involving heterogeneous phosphorylation of STAT1 or STAT2. Additional phosphorylation of STAT1 on Ser708 by the I $\kappa$ B kinase  $\epsilon$  (IKK $\epsilon$ ) was shown to be required for the expression of a subset of ISGF3-regulated genes driven by minimal ISRE sites in mice.<sup>17</sup> IKK $\epsilon$ -mediated STAT1 Ser708 phosphorylation at late time points in the IFN response was found to be crucial for IFN-induced protein with tetratricopeptide repeats 2 (*IFIT2*) gene expression to control West Nile virus infection in mouse models. In this same study the author showed STAT1 Tyr701 phosphorylation temporally precedes Ser708 phosphorylation and that these two phosphorylations are mutually exclusive suggesting that the order of STAT1 phosphorylation during the course of IFN stimulation could be an important contributor to the kinetics of ISG expression.<sup>18</sup> We propose to name the ISGF3 complex containing Ser708-phosphorylated STAT1 ISGF3<sup>III</sup> to distinguish it from the classical ISGF3 and the ISGF3<sup>II</sup> complexes (Fig. 1). ISGF3<sup>III</sup> complex was surprisingly identified in response to IFN $\gamma$ . Few studies have reported that IFN $\gamma$  is capable of inducing STAT2 Tyr phosphorylation.<sup>19,20</sup> More recently, stimulation of A549

human lung epithelial cells with IFN $\gamma$  was found to trigger an early and delayed peak of STAT1 Tyr701 phosphorylation. Unexpectedly, the delayed peak corresponds to the formation of an ISGF3 complex, named ISGF3<sup>11</sup>, containing phosphorylated STAT1, but unphosphorylated STAT2 on Tyr689, which is associated with double-stranded RNA-dependent protein kinase (*PKR*) antiviral gene expression<sup>21</sup> (Fig. 1). This novel complex might reflect the observation that mice lacking IRF9 are impaired not only in their type I IFN response, but also in their IFN $\gamma$ -induced ISRE-dependent gene expression.<sup>22</sup> Whether the ISGF3<sup>11</sup> complex exists in response to type I and type III IFNs and/or exhibit cell type specificity remains to be determined.

### STAT1-Independent Functions of STAT2 and IRF9

As described above, STAT2- and IRF9-dependent transcriptional activation of target genes has long been considered to reflect the involvement of the ISGF3 complex. More importantly, the initial observation that STAT1 knockout mice are defective in type I and type II IFN responses,<sup>23,24</sup> and are now known to be defective in type III IFN responses as well, are highly susceptible to virus and bacterial infections,<sup>24-26</sup> strongly supported that STAT1 is essential for antiviral gene expression. STAT2 knockout mice also exhibit severe susceptibility to viruses and this phenotype has largely been considered to reflect impaired ISGF3 activation.<sup>27</sup> Thus, the function of STAT2 and IRF9 beyond ISGF3 has long been underestimated and it is only recently that it has started to be documented. The observation that STAT1 knockout mice are resistant to Dengue virus infection suggested the existence of STAT1-independent antiviral mechanisms,<sup>28</sup> which were recently shown using STAT1 and STAT1/STAT2 knockout mice to be mediated by STAT2.<sup>29</sup> Importantly, a fundamental difference in the role of STAT1 vs. STAT2 and IRF9 was further strengthened by the report that lymphocytic choriomeningitis virus (LCMV) infection in wild-type mice or in mice lacking either STAT2 or IRF9 is nonlethal, while in STAT1-deficient mice it is lethal.<sup>26</sup> An interesting twist in the existence of these STAT1-independent functions was revealed in in vivo mouse models of measles virus and LCMV infections. Both viruses were found to evade the known antiviral effect of type I IFN by suppressing dendritic cell development, a process that is initiated through type I IFN-mediated STAT2 activation, which is STAT1-independent.<sup>30</sup>

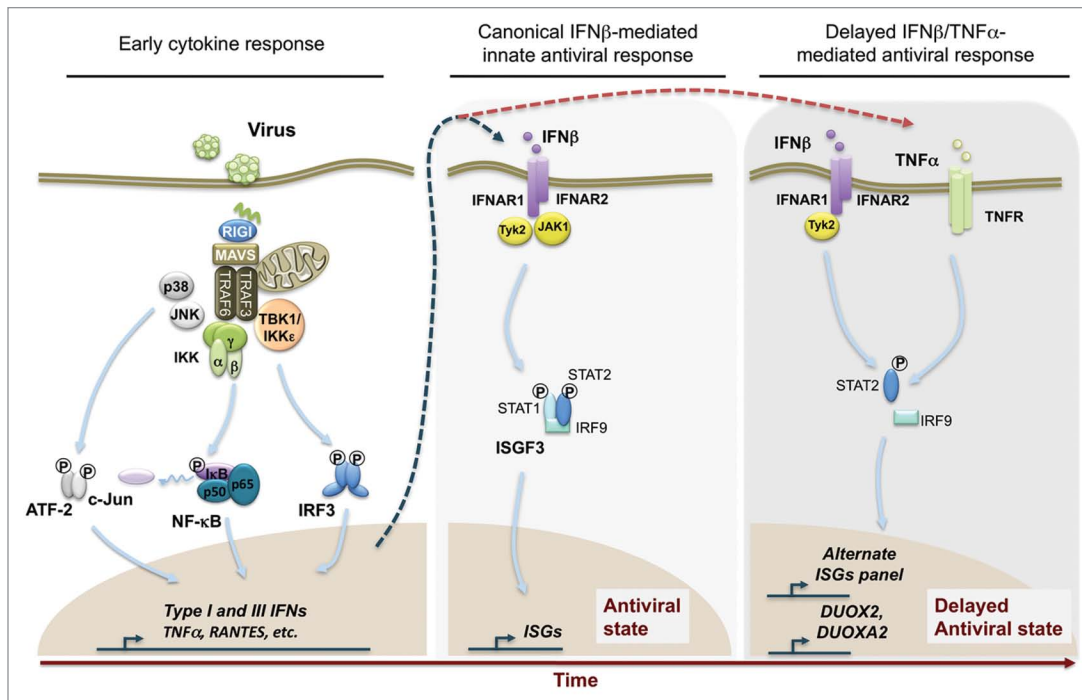
Additional evidence of the existence of STAT2/IRF9 functions beyond ISGF3 is also accumulating through the thorough analysis of ISGs expression. Comparison of the expression of IRFs transcription factors in the brain of uninfected and LCMV-infected mice showed that *IRF7* gene expression is induced by LCMV infection in a STAT2-dependent, but STAT1-independent, mechanism involving the engagement of type I IFN receptor.<sup>31</sup> Using murine embryonic fibroblasts deficient in various JAKs or STATs, IFN $\alpha$ -mediated induction of adenosine deaminase acting on RNA 1 (*ADARI*) A-to-I editing enzyme encoding gene was shown to be JAK1- and STAT2-dependent, but independent of STAT1.<sup>32</sup> Analysis of apolipoprotein B mRNA-editing enzyme-catalytic polypeptide-like 3G (*APOBEC3G*)

gene expression has recently highlighted cell type and stimulus specificity in the involvement of STAT1 in the regulation of target genes. In contrary to typical ISGs, such as *PKR*, IFN $\alpha$  induced *APOBEC3G* expression in primary hepatocytes and hepatocellular carcinoma cell lines and in primary macrophages, but not in primary CD4<sup>+</sup> T cells.<sup>33</sup> Mechanistically, while IFN $\gamma$ -induced *APOBEC3G* expression in hepatocellular carcinoma cell lines was STAT1-dependent, IFN $\alpha$ -mediated induction was found to be dependent on STAT2 and IRF9, but despite a functional activation of STAT1, STAT1 itself was dispensable. Interestingly, analysis of the expression of typical ISGs, including *PKR* and *MX1*, also revealed that they were induced in a STAT1-independent, but STAT2- and IRF9-dependent manner.<sup>33</sup> Similar experiments performed in the human embryonic kidney 293T cell line showed that *PKR* and *MX1* expression were dependent on STAT1, highlighting the cell-type specificity of the involvement of STAT1 in gene regulation.<sup>33</sup> Additional important proof for the biological significance of STAT2/IRF9-dependent, but STAT1-independent, regulation of gene expression was provided by the finding that STAT2 and IRF9 can effectively drive the transcription of the retinoic acid-induced gene *G* (*RIG-G*) gene in response to all-*trans* retinoic acid (ATRA) through a STAT1-independent mechanism.<sup>34</sup>

Interestingly, IRF9 was shown to bind to the IFN $\beta$  promoter independently of the binding of the larger ISGF3 complex.<sup>35,36</sup> However, it remains to be determined whether the alternative IRF9/STAT2 pathway is involved in this regulation. The existence of alternative IRF9-dependent pathways independent on STAT2 has also been suggested. For instance, IRF9 in a complex with STAT1 that does not contain STAT2 regulates the transcription of the *CXCL10* chemokine encoding gene in 2FTGH human fibrosarcoma cells.<sup>37</sup> Additionally, overexpression of IRF9 observed in a significant number of breast and uterine tumors provides resistance to antimicrotubule agents through transcriptional activation of ISGs independently of STAT1 and STAT2.<sup>38</sup>

### IFN $\beta$ and TNF $\alpha$ : Two Cytokines Synergizing to Specifically Trigger an IRF9/STAT2-Dependent pathway

Over the past decades, most studies aimed at characterizing the discovery of ligands, receptors, downstream signaling mechanisms and biological functions of type I and III IFNs have been performed in relation to single cytokine stimulation. However, this is a very unlikely physiological situation, as a cell rather simultaneously responds to a “cocktail of cytokines”. The events occurring downstream of concomitant cytokine stimulation have been barely studied, but one can expect that the fate of the gene expression response requires that cytokine-induced signaling pathways “work” together or at least exhibit significant cross-talk. The transcriptional program resulting from stimulation with a combination of cytokines might result from their synergistic action. Three different scenarios are currently proposed to contribute to the synergism of two cytokines mostly based on large-scale analysis of gene expression



**Figure 2.** Canonical and non-canonical functions of STAT2 and IRF9 in the antiviral response. Cells respond to virus infection through the activation of multiple signaling pathways, leading to the activation of AP-1 (ATF-2/c-jun), NF $\kappa$ B and interferon regulatory factor 3 (IRF3). These transcription factors regulate the expression of various proinflammatory and antiviral cytokines and chemokines, including IFN $\beta$  and TNF $\alpha$ . Binding of IFN $\beta$  to its cognate receptor activates the “classical” antiviral pathway through activation of Tyk2 and JAK1 kinases ultimately leading to the formation of ISGF3. ISGF3 regulates the expression of multiple interferon stimulated genes (ISGs). Additionally, the synergism between IFN $\beta$  and TNF $\alpha$  induces late gene expression through a non-canonical antiviral STAT2- and IRF9-dependent, but STAT1-independent, pathway. This pathway is dependent on Tyk2 kinase activity and requires phosphorylation of STAT2 on Tyr690. This pathway triggers the delayed expression of an alternative ISG panel and, at least in airway epithelial cells, of the *DUOX2/DUOXA2* genes encoding for a functional NADPH oxidase enzyme.

following stimulation with IFN $\gamma$  and IFN $\beta$ ,<sup>39</sup> but also specific gene expression analysis following costimulation with IFN $\gamma$  and TNF $\alpha$  or IFN $\beta$  and TNF $\alpha$ .<sup>40-43</sup> The most intuitive mechanism of synergy, referred to as “independent action”, consists in the induction of two independent signaling cascades that ultimately lead to the induction of distinct sets of genes whose combined activities lead to a synergistic outcome.<sup>39</sup> A second mechanism, referred to as “cooperative action”, results in the enhanced expression of a shared gene that is expressed, although to a lower extent, by single cytokine stimulation. This is mainly due to the cooperative action of different transcription factors activated independently by each cytokine.<sup>39</sup> An unexpected third mechanism, named “cooperative induction”, has been recently unveiled by the group of G McFadden and is characterized by the synergistic expression of genes that are not induced by either cytokine itself or only induced to a lower level by either one of the cytokine.<sup>44</sup>

The “cooperative induction” model was identified in the context of costimulation with IFN $\beta$  and TNF $\alpha$ , two cytokines simultaneously produced early following innate recognition of invading viruses to trigger a specific transcriptional program dictating the subsequent immune response. The synergistic antiviral response triggered by IFN $\beta$  and TNF $\alpha$  was originally described as early as 1988.<sup>40</sup> Subsequent reports have confirmed the synergistic action of IFN $\beta$  and TNF $\alpha$  in the regulation

of ISGs.<sup>45</sup> However, it was only in 2009, through the use of microarray gene expression profiling, that a first hint of a specific mechanism underlying the synergistic action of IFN $\beta$  and TNF $\alpha$  was revealed. Costimulation of human primary fibroblasts by IFN $\beta$  and TNF $\alpha$  induced the expression of a distinct panel of delayed antiviral genes that were either not responsive to IFN $\beta$  nor TNF $\alpha$  or that were only responsive to either one of the cytokine when used separately.<sup>46</sup> This specific synergy-dependent antiviral response was necessary and sufficient to completely abrogate the productive replication and spreading of Myxoma virus in primary human fibroblasts. In contrast, the replication of Vaccinia and Tanapox poxviruses was only partially inhibited, whereas their spreading to neighboring cells was efficiently blocked.<sup>46</sup> The importance of this delayed antiviral response triggered by the synergistic action of IFN $\beta$  and TNF $\alpha$  was further strengthened by the observation that it also takes place in the context of infection by the paramyxoviruses, Sendai virus, and respiratory syncytial virus, in lung epithelial cells.<sup>47</sup> In this context, the resulting delayed antiviral response includes the induction of the expression of the DUOX2 NADPH oxidase that ultimately produces extracellular H<sub>2</sub>O<sub>2</sub>, an event that controls the levels of type I and type III IFNs at late time points of infection by a yet to be characterized mechanism<sup>47</sup> (Fig. 2).

Early reports had offered a possible explanation of how the “cooperative induction” synergy between IFN $\beta$  and TNF $\alpha$

might be achieved. Several reports have shown that the synergy between IFN $\beta$  and TNF $\alpha$  is mechanistically grounded in the TNF $\alpha$ -mediated autocrine induction of IFN $\beta$ , a mechanism that can occur in an IRF1-dependent manner.<sup>45,48,49</sup> However, in the context of infection with poxviruses and paramyxoviruses, a role of this autocrine pathway was excluded. Rather, the specificity of the crosstalk between IFN $\beta$  and TNF $\alpha$  is mirrored at the level of intracellular signal transduction. Silencing of the components of the ISGF3 complex using siRNA revealed that IFN $\beta$ /TNF $\alpha$ -induced expression of the *DUOX2* gene in A549 cells was dependent on STAT2 and IRF9, but was STAT1-independent<sup>47</sup> (Fig. 2). This suggests that the synergistic action of IFN $\beta$  and TNF $\alpha$  engages a non-canonical STAT2 and IRF9-dependent, but STAT1-independent, signaling pathway. Further analysis of this non-canonical pathway revealed that in the presence of TNF $\alpha$ , IFN $\beta$  induces enhanced Tyk2-mediated phosphorylation of STAT2 at Tyr690 over an extended period of time compared with IFN $\beta$  stimulation. Based on the use of AG490 JAK inhibitor and Bayer18 Tyk2 inhibitor, it was concluded that Tyk2 kinase activity was essential to mediate STAT2 phosphorylation in this non-canonical pathway (Fig. 2). Additionally, stimulation with TNF $\alpha$  led to IRF9 induction, suggesting that this could also contribute to the synergism with IFN $\beta$ . Thus, IRF9 induction and enhanced/extended STAT2 phosphorylation likely contribute to the specific activation of the non-canonical STAT2/IRF9 pathway during costimulation by IFN $\beta$ /TNF $\alpha$ .<sup>47</sup> Of note, previous reports have demonstrated activation of JAK kinases, notably Tyk2, following stimulation with TNF $\alpha$ . JAK kinases were found to be recruited to type 1 TNF receptor (TNFR1) via a box 1-like membrane proximal proline rich motif thereby inducing activation of JAKs by autophosphorylation and ultimately promoting phosphorylation of STATs.<sup>50-52</sup> Thus, it would be interesting to determine whether a TNF $\alpha$ -JAK axis contributes to the enhanced/extended STAT2 phosphorylation observed in the context of the synergistic action of IFN $\beta$  and TNF $\alpha$ . The specific recruitment of JAKs to TNFR1 suggests the existence of distinct pools of JAKs, which could have distinct functions in the “cooperative induction” synergy induced by IFN $\beta$  and TNF $\alpha$ .

The NF $\kappa$ B pathway is widely known to be engaged downstream of TNFR. Synergistic activation of NF $\kappa$ B was reported in the context of IFN $\gamma$  and TNF $\alpha$  treatment<sup>53</sup> and it would therefore seem intuitive that NF $\kappa$ B could play a role in the synergistic action of IFN $\beta$  and TNF $\alpha$ . An IFN $\beta$ -induced signal was found to synergize with NF $\kappa$ B through an uncharacterized mechanism to trigger the expression of genes, such as the *CXCL10* and *CXCL9*, in a context where IFN $\beta$  and TNF $\alpha$  synergy is mediated by the autocrine induction of IFN $\beta$  in response to TNF $\alpha$ .<sup>45</sup> However, overexpression of the super repressor (*I $\kappa$ B $\alpha$ 2N $\Delta$ 4*) of NF $\kappa$ B that inhibits the classical pathway of NF $\kappa$ B failed to block IFN $\beta$ /TNF $\alpha$ -induced *DUOX2* expression, suggesting that NF $\kappa$ B is not involved in the “cooperative induction” synergism.<sup>47</sup>

Whether the non-canonical STAT2/IRF9 signaling pathway is responsible for the regulation of all other genes previously identified to be specifically responsive to the combination of IFN $\beta$  and TNF $\alpha$  <sup>46</sup> remains to be determined. Importantly,

how exactly induction of the non-canonical STAT2- and IRF9-dependent pathway engaged downstream of IFN $\beta$ /TNF $\alpha$  costimulation diverge from the classical IFN $\beta$ -induced ISGF3 complex containing STAT1 remains unknown. However, an interesting report hints a possible mechanism. In HeLa cells, STAT1 was associated with TNFR1 and the signaling factors TRADD and FADD following TNF $\alpha$  stimulation. This mechanism was proposed to balance the pro- and anti-apoptotic signals induced by TNF $\alpha$ , as STAT1 recruitment to TNFR1 led to a decreased activation of NF $\kappa$ B, thereby promoting TNF $\alpha$ -induced apoptosis.<sup>54</sup> Thus, it is a relevant hypothesis that in the context of IFN $\beta$ /TNF $\alpha$  costimulation, TNF $\alpha$  could induce the sequestration of STAT1 by interaction with TNFR1, thereby creating an environment where the STAT2- and IRF9-dependent, but STAT1-independent, non-canonical pathway could be specifically activated.

The understanding of how the synergism between IFN $\beta$  and TNF $\alpha$  ultimately lead to the activation of a STAT2/IRF9 pathway different from ISGF3, is even more elusive if one keeps in mind that several reports mentioned above have reported that type I IFN alone is capable of engaging STAT2- and IRF9-dependent, but STAT1-independent gene expression. Considering the synergism observed in the context of the costimulation it is tempting to hypothesize that the non-canonical STAT2/IRF9 pathway acting downstream of the IFN $\beta$ /TNF $\alpha$  costimulation differs from the STAT1-independent pathway observed downstream of type I IFN signaling in various contexts. This would imply the existence of heterogeneous STAT2/IRF9 pathways that could result from association with different protein partners or from different regulatory phosphorylation events targeting STAT2. Alternatively, these pathways could be identical, but potentiated by the presence of TNF $\alpha$ . Interestingly, the synergism of IFN $\gamma$  and TNF $\alpha$  induces *CXCL10* and *CXCL9* by a “cooperative action” type of synergism. Mechanistic analysis revealed that this synergy is mediated via the enhanced recruitment of the transcriptional coactivator CBP and of the RNA polymerase II to the promoters.<sup>55,56</sup> Whether this type of mechanism takes place in the “cooperative induction” synergy between TNF $\alpha$  and IFN $\beta$  remains unknown. Further biochemical and molecular studies are required to fine-tune our understanding of the regulation and function of STAT2 and IRF9.

### **Evidence of the Existence of STAT2/IRF9 Complex(es) Independent on STAT1**

In many of the studies cited above, the dependency on STAT2 and IRF9 was not accompanied by the demonstration that both factors were present in a complex. However, several other studies have reported the existence of STAT2 and IRF9 containing complexes independently of STAT1 (Fig. 1). The initial observations of the existence of distinct STAT2 and IRF9 complexes were made concomitantly by the groups of D Levy and N Reich in 1997. Phosphorylated STAT2 was found to be capable of forming homodimers in response to IFN $\alpha$ . These STAT2 homodimers were shown to interact with IRF9 to form an ISGF3-like complex.<sup>13,57</sup> Alternative complexes

containing STAT6 as a partner of STAT2 and IRF9 have also been described. Formation of ISGF3-like complexes containing phosphorylated STAT2, phosphorylated STAT6 and IRF9 were observed in IFN $\alpha$ -stimulated B cells.<sup>58</sup> Formation of a STAT2/STAT6 heterodimer was also shown in type I IFN-treated hepatoma cells, but the association with IRF9 in this context has not been evaluated.<sup>59</sup> Alternatively, STAT2/STAT3 complexes were shown to form in response to type I IFN in myeloma cells.<sup>60</sup> Additional studies have illustrated the capacity of STAT2 and IRF9 to form a complex in the absence of STAT1 without full characterization of the complex composition. In *all-trans* retinoic acid (ATRA)-treated cells, the autocrine/paracrine action of secreted IFN $\alpha$  mediates the regulation of RIG-G by a STAT2/IRF9-containing complex independently of the STAT2 phosphorylation status.<sup>34</sup> The observation that a hybrid protein consisting of IRF9 fused to the C-terminal TAD of STAT2 was targeted to endogenous ISGF3 target loci and was sufficient to recapitulate the type I IFN biological response, inducing an antiviral transcriptional program<sup>61</sup> suggest that an IRF9/STAT2 complex is sufficient to mediate specific gene transcription, which is consistent with the existence of the STAT2 homodimer/IRF9 complex.

The first analysis of the capacity of STAT2/IRF9 to bind DNA independently of STAT1 revealed only limited DNA-binding affinity for the typical ISRE sequence targeted by the ISGF3 complex.<sup>13</sup> To date, no specific DNA-binding consensus sequence for the non-canonical STAT2/IRF9 complex(es) has been reported. By comparing the IFN-regulated gene expression profile of cells expressing intact STAT2 with that of cells expressing a mutated STAT2 lacking the DNA-binding domain, a subset of genes was found to be selectively regulated by ISGF3-independent, STAT2-containing complexes. Promoter analysis revealed that these genes contain GAS elements, but not ISRE, suggesting that a GAS element could be the target of the STAT2-containing complexes.<sup>62</sup> However, direct binding to the GAS element has not been demonstrated. In contrary, chromatin immunoprecipitation analysis using primers specific to ISRE sites confirmed the association of STAT2 with the promoter of antiviral genes induced in response to Dengue virus in STAT1-deficient mice.<sup>29</sup> These studies were performed on a restricted number of genes and more comprehensive studies will be required to further characterize promoter elements involved in STAT2-dependent, STAT1-independent gene regulation. In this line, attempts to identify enrichment in specific transcription factor binding sites in the promoter of genes specifically regulated by the IFN $\beta$ /TNF $\alpha$  synergism through bioinformatic analysis failed.<sup>46</sup> However, as discussed above, it is not yet clear that the non-canonical STAT2/IRF9 pathway is responsible

for all IFN $\beta$ /TNF $\alpha$ -dependent gene expression. Nevertheless, the possibility that STAT2/IRF9 uses a yet uncharacterized consensus responsive element cannot be excluded.

## Concluding Remarks

From the aforementioned studies, it is obvious that the role of STAT2 and IRF9 in the regulation of specific transcriptional programs is not restricted to their involvement in the classical ISGF3 complex. Whether it is in the context of alternative ISGF3-like complexes formed from distinct pools of phosphorylated STAT1 or STAT2, or whether it is in the context of alternative complexes that do not contain STAT1, the specific mechanisms allowing the segregation of STAT2 and IRF9 in distinct complexes are awaiting discoveries. In particular, it will be of high interest to depict the upstream mechanisms allowing IFN $\beta$  and TNF $\alpha$  to synergize. Further biochemical analyses of the components of the various complexes will also be required to pave the way to the characterization of their regulatory mechanisms and their transcriptional specificity in conjunction with a particular DNA target sites. Cell-specific mechanisms might also need to be considered in this quest and might constitute the biggest challenge. For instance, it will be easier to isolate STAT2/IRF9 complexes from cell with a STAT1-deficient background. While several cell types might be available, although to a limited extent, from knockout mice, it will be more difficult to address these same questions in human cells. Cells derived from 2fTGH fibrosarcoma cells and lacking the different STATs have proven to be very useful to study these mechanisms, but they do not allow identifying complexes that are cell-type specific. The use of transcription activator-like effector nucleases (TALEN), zinc finger nucleases (ZFNs), or clustered regulatory interspaced short palindromic repeat (CRISPR)/Cas approaches for targeted gene disruption in cultured human cells<sup>63</sup> might represent powerful strategy to redefine the boundaries of the experimental design required to achieve cell-specific studies.

## Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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