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## The pancreatic $\beta$ cell and type 1 diabetes: innocent bystander or active participant?

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### Abstract

Type 1 diabetes mellitus (T1DM) is a chronic disease resulting from destruction of insulin-producing pancreatic  $\beta$  cells. Genetic and environmental factors contribute to T1DM onset. Use of high-throughput DNA sequencing has allowed geneticists to perform genome-wide association studies (GWAS) to identify novel gene loci associated with T1DM. Interestingly, >50% of these genes encode products that are expressed in  $\beta$  cells. These studies, coupled with emerging molecular evidence that  $\beta$  cells are impaired by gain-of-function or loss-of-function of these loci, suggest an active role for the  $\beta$  cell in eliciting its own demise. Although immune dysregulation plays a vital role in T1DM pathogenesis, understanding the mechanisms contributing to  $\beta$  cell failure may lead to new strategies to preserve or improve  $\beta$  cell function in patients with T1DM.

### Keywords

T1DM; islet; GWAS; insulin

### Genetics and the development of type 1 diabetes

Diabetes mellitus is a disease of dysfunctional glucose homeostasis resulting from insufficient insulin secretion to meet the demand of peripheral insulin-responsive tissues. The two most common types of diabetes, type 1 diabetes (T1DM) and type 2 diabetes (T2DM), are generally regarded as distinct disease processes that share the common fate of insufficient pancreatic  $\beta$  cell function to maintain glucoseresponsive insulin release. T1DM has been long believed to be primarily a disease of immune dysregulation, leading to autoimmune destruction of the insulin-secreting pancreatic  $\beta$  cell and, ultimately, insulinopenia and hyperglycemia. Patients afflicted with T1DM require lifelong insulin therapy to maintain glycemic control. T1DM onset is on the rise and is a major cause of healthcare resource utilization worldwide arising from complications related to hyperglycemia-induced microvascular disease as well as increasing morbidity and mortality related to insulin therapy-induced hypoglycemia unawareness [1].

The etiology of T1DM has been a topic of much debate for several decades, with environmental risk factors and genetic susceptibility broadly suggested to contribute to the disease. Studies in monozygotic twins, who share an identical genome, demonstrate pairwise concordance of T1DM of 13–52% [2], suggesting that environmental and genetic causes may contribute similarly to disease. Over the past 35 years studies of the genetic

contribution to T1DM have focused largely on loci implicated in the regulation of, and selection, against auto-reactive T lymphocytes, identified by candidate-gene analyses and linkage studies [3], although single-nucleotide polymorphisms (SNPs) within the human insulin (*INS*) gene, principally expressed in  $\beta$  cells, remain one of the highest risks for development of T1DM [4]. More recently, GWAS investigators have utilized high-throughput genomic sequencing to genotype SNPs in large cohorts of T1DM patients, their relatives, and controls, leading to the identification of a large number of previously unrecognized genomic loci that contribute to T1DM [5].

The identification of the relevant gene within a linkage disequilibrium (LD) block whose regulation is impacted by the disease-linked SNP is often complicated by the presence of multiple genes within the block. Many identified SNPs are not located within coding regions but instead in intergenic regions that may affect the function of transcriptional enhancers located far from the disease-relevant gene. Another relatively unexplored area is the impact of SNPs on the expression of noncoding RNAs that contribute to disease processes [6]. Nevertheless, lists of potential causative genes in loci associated with T1DM, and integration with other high-throughput approaches, are giving broad insight into novel pathways and systems associated with disease.

Notably, ~60% of apparent T1DM susceptibility genes are expressed in pancreatic  $\beta$  cells [7,8], and mounting evidence from gain and loss of function genetic animal models supports critical roles for these genes in the function and growth of  $\beta$  cells, including in the regulation of embryonic pancreas development, the endolysosomal pathway, signal transduction, and cytokine responses. This, along with evidence from the pancreatic pathology of patients with T1DM, supports the existence of compound defects of both the immune system and the  $\beta$  cell in the pathogenesis of T1DM (see Boxes 1, 2 and 3). Thus, we hypothesize that the  $\beta$  cell plays an active role in its own demise, rather than acting as an innocent bystander to autoimmune attack. In light of this hypothesis we will discuss recently identified T1DM genetic loci and evidence from animal models and human pathology to suggest defects in the  $\beta$  cells of patients with T1DM beyond the previously suggested role of the  $\beta$  cell in eliciting inflammation and insulinitis [9].

## Exploration of novel T1DM genes and pathways to dysfunction in the $\beta$ cell

### Regulation of pancreatic development

Several loci associated with T1DM encode proteins that are expressed in the pancreas during embryonic development, including the Krüppel-like zinc finger transcription factor Gli-similar 3 (*GLIS3*) [10], the transmembrane protein delta-like homolog 1 (*DLK1*) [11], and the proenzyme chymotrypsinogen B1/B2 (*CTRB1/2*) [10,12] (see Glossary). The identification of *GLIS3* mutations in patients with syndromic neonatal diabetes and hypothyroidism (NDH) [13] and of *GLIS3* polymorphisms associated with T1DM and T2DM [14] suggests a role for *GLIS3* in  $\beta$  cells. Loss of *Glis3* function, studied in mutant mice lacking the fifth zinc finger region responsible for DNA binding, leads to a decrease in neurogenin-3<sup>+</sup> (Ngn3) endocrine progenitors, and markedly diminished pancreatic endocrine mass at birth, with severe postnatal hyperglycemia and hypoinsulinemia [15]. Acute loss of function of *Glis3* in adult  $\beta$  cells leads to downregulation of insulin gene expression and, ultimately, hyperglycemia and enhanced  $\beta$  cell apoptosis [16]. These studies suggest that the association of *Glis3* with T1DM relates to its critical role in the development and adult function of the  $\beta$  cell.

The transmembrane protein *DLK1*, also known as preadipocyte factor 1 (PREF-1), is subject to genomic imprinting with expression only from the paternally inherited allele [17], and this is particularly interesting in light of the observation that the risk of transmission of

T1DM to offspring from diabetic fathers is 1.7-fold higher than from diabetic mothers [18]. In rodent models, *Dlk1* is broadly expressed, with high levels found in the developing pancreas at E12.5 [17,19] and later restriction to adult pancreatic  $\beta$  cells, pituitary somatotrophs, bone marrow, adrenal gland, and skeletal muscle [19,20]. *DLK1* is believed to play a role in the transition of immature proliferative cells to mature differentiated cell types. However, the functional role of *Dlk1* in the pancreas remains controversial, with initial studies suggesting a role in mediating the effects of growth hormone and prolactin on the induction of  $\beta$  cell replication [20,21]. Conditional loss of function of *Dlk1* in pancreatic  $\beta$  cells did not recapitulate the neonatal lethality and weight-loss reported in whole-body *Dlk1* knockout mice with normal islet architecture up to 6 weeks after birth. Glycemic control,  $\beta$  cell replication, and  $\beta$  cell mass were not examined [22].

The observation of pancreatic proenzyme chymotrypsinogen B1/B2 (*CTRsBI/2*) as a T1DM susceptibility locus is intriguing but confusing. Chymotrypsinogen is primarily expressed in pancreatic acinar cells where it functions as an endopeptidase [23]. It is expressed as early as embryonic (E) day E14.5 primarily in developing pancreatic tip cells which give rise to acinar cells in adult rodents [24]. It is unclear whether chymotrypsinogen plays a developmental role in the pancreas; its expression may serve simply as a marker for the developing acinar cell. In T1DM patients, mild pancreatic exocrine deficiency not requiring pancreatic enzyme replacement is noted in up to 80% of patients [25]; however, a decade later the mild exocrine insufficiency resolved, suggesting that exocrine deficiency represents an early defect following T1DM onset [26]. Chymotrypsinogen is also an autoantigen in patients with T1DM [27]. It is intriguing to speculate that these observations relate to the anatomic and functional relationship between islets and the surrounding acinar parenchyma [28].

### Regulation of the endolysosomal pathway

Endosomes have been classically connected with T1DM via roles in processing and presentation of pancreatic islet antigens in MHC class II complexes on immune cells [29]. MHC class I cell-surface presentation can also be regulated by the endolysosomal pathway [30], and this could potentially serve as a mechanism for the maintenance of hyperexpression on the  $\beta$  cell surface. In pancreatic  $\beta$  cells, endosomes play an essential role within the secretory pathway [31]. Endosomes and lysosomes comprise a network of intracellular organelles whose functions range from cellular defense against viral attack to processing of ligand-bound receptor complexes and internalization of macromolecules from the extracellular matrix [32]. In the classical endocytic pathway (reviewed in [33]), the unidirectional portion of this system is composed of the early endosome (EE), late endosome (LE), and lysosome. Maturation of EEs to LEs and ultimate fusion with lysosomes is regulated by vacuolar  $H^+$  ATPase-mediated endosomal acidification, endosomal membrane small-GTPase association, and membrane tethering by the class C Vps protein complexes [33].

Two recently identified T1DM loci have been linked to the endolysosomal pathway in recent GWAS. Several groups identified SNPs associated with T1DM at chromosome 16p13 [34,35]. These SNPs reside within the *CLEC16A* gene locus (originally known as *KIAA0350*) which was initially believed to encode a C-type lectin protein [35]. Recent studies of the *Drosophila melanogaster* ortholog of *CLEC16A*, known as *Ema*, have shown that *Ema* does not contain a C-type lectin signature [36]. *Ema* was shown to localize specifically to late endosomes and to regulate maturation of late endosomes and fusion with lysosomes via direct interaction with the class C vacuole/ endosome tethering (Vps) and homotypic fusion and protein sorting (HOPS) protein complex, which is essential for fusion and degradation of internalized cargo [36]. These studies also demonstrate dysfunctional

processing of both ligand-bound epidermal growth factor receptors (EGFR) and components of the bone morphogenetic protein (BMP)–SMAD signaling cascade, leading to inappropriate prolongation of these signals [36]. These findings are of particular interest given the critical importance of EGFR signaling for maintenance of  $\beta$  cell development, mass, and responses to incretins [37]. Further, BMP–SMAD signaling has been shown to be essential for glucose-stimulated insulin secretion (GSIS) via an autocrine/paracrine effect within the islet [38]. Recent preliminary studies suggest that Clec16a regulates GSIS in  $\beta$  cell lines, and this appears to be related to maintenance of mitochondrial oxygen consumption and ATP generation [39]. The endosomal dysfunction observed in  $\beta$  cell lines following Clec16a RNA interference provides proof-of-concept of the broad relevance of endosomal function in  $\beta$  cells beyond antigen processing.

Endosomal proteins often share homologous roles with components of autophagy. *Drosophila* Ema null mutants possess dramatic reductions in the size of autophagosomes, which are unable to appropriately degrade their cargo [40]. Whether dysfunctional autophagy leads to  $\beta$  cell failure remains controversial [41]. Future study of the role of CLEC16A in  $\beta$  cell survival related to induction of autophagy could provide insights into how  $\beta$  cells respond to a hostile milieu of cytokine release, immune cell infiltration, and increased insulin demand as surrounding  $\beta$  cells die.

Genetic studies identifying T1DM-associated SNPs within chromosome 12q13 identify a rich locus with multiple potential disease-relevant targets [42], including the small GTPase *RAB5B* which encodes a critical component for EE function. GTP-bound Rab5 is recruited to the surface of EEs where it assists in the initial sorting and processing of internalized cargo. Rab5 is a key component of EEs together with its effector Vps34/p150, a phosphoinositide-3-kinase (PI3K) complex that generates phosphatidylinositol 3-phosphate (PI-3-P), an essential component of EE identity [43]. Whether the T1DM-associated SNPs in this region affect *RAB5B* expression in the  $\beta$  cell remains to be determined.

### Extracellular signaling receptors and intracellular signaling pathways

Cell-surface receptors and intracellular signaling components comprise more than 50% of the genes associated with T1DM. The likely interplay between environmental factors, including viruses, and local cytokine release in the islet suggests that T1DM risk could be engendered by how these external effects are processed and managed. Some of these signaling components are ubiquitously expressed and specific roles in the  $\beta$  cell have not been explored. For instance, the T1DM gene *TYK2* [11] encodes a broadly expressed tyrosine kinase important in IFN- $\alpha$  signaling [44], but we do not know if the deleterious effect of islet IFN- $\alpha$  expression (discussed above) is dependent on Tyk2. Here, we will focus on T1DM-associated receptors and intracellular signaling components that have been demonstrated to play functional roles in the pancreatic  $\beta$  cell. These loci include the heregulin receptor *ERBB3* [10], the interferon-induced helicase *IFIH1* [45], and the tyrosine phosphatase *PTPN2* [10,42].

Located in the gene-rich linkage disequilibrium block on chromosome 12q13, SNPs within an exon of *ERBB3* gene are associated with T1DM [46]. ErbB3 is expressed primarily in the developing pancreatic ducts and mesenchyme and ErbB3 null embryos (which die at E13.5 due to cardiac defects) exhibit pancreatic hypoplasia at E13.5 [47,48]. ErbB3 expression in the adult pancreas is sensitive to cytokines, with an increase in ductal expression of ErbB3 in transgenic NOD mice overexpressing IFN- $\gamma$  selectively in the pancreas. In NOD mice, pan-islet cell ErbB2 and  $\alpha$ -cell ErbB4 expression increase following immune infiltration [48]. It is unclear whether and how ErbB3 influences  $\beta$  cell development and function and what role(s) pancreatic ErbB receptors play following immune infiltration and cytokine release.

The importance of environmental factors in T1DM pathogenesis is well established, but there is no consensus as to which factors are most important. Viral infections remain one of the most likely candidates, although the evidence for viral infection and, more importantly, the specific viral types responsible for T1DM are still subject to much debate [49]. Detection of viral dsRNAs by RIG-I and IFIH1 leads to activation of the innate immune system and of transcription factors, such as IFN-regulatory factors (IRFs) and nuclear factor  $\kappa$ B (NF- $\kappa$ B), ultimately leading to the production of type 1 IFN and other proinflammatory cytokines [50]. In the pancreatic  $\beta$  cell, exposure to the synthetic dsRNA polyinosinic–polycytidylic acid (PIC) leads to increased NF- $\kappa$ B and IRF-3 activity, and release of type 1 IFN, which is suggestive of activation of the RIG-I-like helicase (RLH) pathway. Treatment with dsRNA leads to  $\beta$  cell apoptosis that is dependent on autocrine IFN signaling because islets of IRF-3 or IFN- $\alpha$  receptor knockout mice are resistant to PIC-induced cell death [51]. Similarly, PIC-treated islets demonstrate an increase in RIG-I and IFIH1 expression, whereas treatment with a 5'-triphosphate ssRNA analog induces RIG-I action and  $\beta$  cell death [52]. 5'-Triphosphate ssRNA analogs also induce NF- $\kappa$ B and IFN- $\beta$  promoter activity that appears to be dependent on RIG-I [52]. Similarly, loss of function studies in PIC-treated isolated  $\beta$  cells demonstrates that IFIH1 regulates the expression of cytokines and chemokines [53]. Taken together, these studies suggest that RIG-I and IFIH1, although important for function of host innate immunity to viral infections, play a deleterious role in the pancreatic  $\beta$  cell, a concept supported by human genetic studies of rare protective IFIH1 variants that reduce IFIH1 expression [54,55].

Intracellular signals transmitted from cell-surface receptors rely heavily on the phosphorylation of tyrosine residues. The balance of tyrosine phosphorylation is dependent on protein tyrosine kinases, which phosphorylate tyrosine residues, and the opposing effects of protein tyrosine phosphatases (PTPs). In pancreatic  $\beta$  cells, cytokine exposure leads to PTPN2 cytoplasmic localization, which reduces STAT1 and STAT3 phosphorylation [56]. PTPN2 loss of function in primary  $\beta$  cells serves to increase cytokine-induced STAT1 phosphorylation and  $\beta$  cell apoptosis [57], and promotes PIC-induced cell death, suggesting a protective role in  $\beta$  cells following cytokine and viral insults [53].

### T1DM, NF- $\kappa$ B, and sensitivity to cytokine-induced $\beta$ cell apoptosis

Immune-cell secreted cytokines, including IL-1 $\beta$ , TNF- $\alpha$ , and IFN- $\gamma$ , act synergistically to promote  $\beta$  cell apoptosis. Following cytokine binding to cell-surface receptors, signaling cascades lead to activation of multiple transcription factors, including NF- $\kappa$ B, a central player in cellular stress responses, cell growth, and cell survival [58]. In resting cells, NF- $\kappa$ B resides within the cytoplasm bound to the trimeric inhibitor of NF- $\kappa$ B (I $\kappa$ B) kinase protein complex [59]. Cytokine signals lead to phosphorylation and, ultimately, ubiquitination and proteosomal degradation of the I $\kappa$ B kinase complex [60], allowing NF- $\kappa$ B to translocate to the nucleus to activate its target genes. Genetic models indicate positive roles for NF- $\kappa$ B during pancreas development and negative roles in the mature  $\beta$  cell, such that disruption of NF- $\kappa$ B signaling in the mature  $\beta$  cell protects from both insulinitis and cytokine-induced cell death [61–63].

NF- $\kappa$ B-regulated anti-apoptotic genes are induced following cytokine exposure of human islets [64]. Genetic studies have implicated one such target, *TNFAIP3*, as being associated with T1DM [10]. In pancreatic  $\beta$  cells, *TNFAIP3* serves as an early inhibitor of cytokine-induced nitric oxide (NO) production and inducible NO signaling (iNOS), mediators of cytokine-related toxicity. Indeed, *TNFAIP3* is the most highly induced anti-apoptotic gene following cytokine exposure of islets, and this effect is directly mediated by NF- $\kappa$ B [65]. *TNFAIP3* overexpression also mediates cytoprotective effects in islets, not only preventing cytokine-induced  $\beta$  cell apoptosis, but also allowing for enhanced islet allograft survival in

transplantation models [66,67]. It remains to be determined how the disease-associated SNP influences TNFAIP3 expression and whether the beneficial effects of TNFAIP3 are lost in patients harboring the risk allele.

## Systems-based approaches to identify novel $\beta$ cell related T1DM genes

Recently, the integration of high-throughput gene expression profiling with GWAS data sets and protein-interaction prediction algorithms is allowing greater insight into the relevant networks underlying the pathogenesis of  $\beta$  cell failure in T1DM [68]. Several studies have utilized global expression profiling following exposure of human islets or  $\beta$  cell lines to cytokines. Of course, reliance on these datasets will illuminate our understanding of cytokine-induced  $\beta$  cell failure in T1DM while minimizing other pathways important for  $\beta$  cell compromise in T1DM.

Huntington-interacting protein 14 (*HIP14*) is one such gene identified by overlapping *in silico* phenome–interactome network analysis with a T1DM genome-wide linkage dataset [69]. Within the pancreatic islet, *HIP14* is exclusively expressed in pancreatic  $\beta$  cells and expression is downregulated in the context of cytokine exposure. Knockdown of *HIP14* expression leads to  $\beta$  cell apoptosis and decreases glucose-stimulated insulin release, whereas overexpression reduces NF- $\kappa$ B activity and protects against cytokine-induced  $\beta$  cell apoptosis [69].

Combined proteome–transcriptome–genome approaches identified galectin-3 as a candidate gene/protein in T1DM susceptibility. Galectin-3 expression is induced by IL-1 $\beta$  exposure in rat and human islets, with overexpression of galectin-3 protecting  $\beta$  cells against IL-1 $\beta$ , in part through a blockade of JNK phosphorylation [70].

Studies within a T1DM-associated linkage region on chromosome 21 have also identified several genes with altered expression following cytokine exposure [71], including carbonyl transferase (*CBR1*), which functions as a nitrosylated glutathione reductase important for  $\beta$  cell survival during oxidative stress [72], and the E3 ligase protein tetratricopeptide repeat domain 3 (*TTC3*), which facilitates the ubiquitination and degradation of the survival factor Akt/PKB [73].

Expression profiling in cytokine-treated human islets has been further applied to non-translated RNAs. MicroRNA (miRNA) expression profiling in human islets identified induction of miR-21, miR-34a, and miR-146a following cytokine treatment, which were also induced during the progression of diabetes in NOD mice [74]. MiR-146a expression was also directly regulated by NF- $\kappa$ B activity, and the induction of miR-146a may exert negative effects on  $\beta$  cell function related to binding to the 3' untranslated region (3'UTR) of *HIP14*, which carries an miR-146a seed sequence [69,74]. Further, the miR-21 target, tumor suppressor programmed cell death 4 (*PDCD4*), plays a role in  $\beta$  cell survival in NOD and STZ-treated mice [75]. MiR-29a/b/c is another miRNA induced in prediabetic NOD mice and cytokine-treated islets, and leads to insulin secretory dysfunction as well as apoptosis by reducing expression of the anti-apoptotic protein Mcl1 [76]. Thus, systems-based approaches identify both coding and non-coding RNAs as novel factors involved in  $\beta$  cell survival and contributing to T1DM risk.

## Concluding remarks

T1DM is a genetically complex and heterogeneous disease that we are still struggling to comprehend (Box 4). Here we discuss recent GWAS-identified T1DM loci and suggest a role for the  $\beta$  cell in mediating its own demise with defects in discrete pathways working both independently and together to lead to decreased functional  $\beta$  cell mass and

insulinopenia over time (Figure 1). Establishing a direct role for these loci in  $\beta$  cell survival and function still requires additional evidentiary support, such as conditional deletion and/or overexpression in the developing or adult  $\beta$  cell, and assessment of these models on autoimmune disease-susceptible genetic backgrounds, to delineate a direct role for the  $\beta$  cell in crosstalk with the immune system. The development of humanized mouse models, in which a functional human immune system is reconstituted and human islets or  $\beta$  cells derived from induced pluripotent stem-cell (iPS) precursors derived from patients with T1DM are transplanted, could bypass the limitations of current animal models of T1DM [77], although the current poor efficiency and functionality of iPS-generated  $\beta$  cells still requires optimization [78]. It is imperative that we understand the  $\beta$  cell specific contribution to T1DM disease pathogenesis because the need to target  $\beta$  cell functional and growth defects will impact upon therapeutic efforts to stimulate endogenous  $\beta$  cell regeneration in the context of immune modulation.

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## Glossary

<b>Autophagy</b>	a catabolic process of cell self-preservation that compensates for starvation by degradation of intracellular organelles.
<b>Chymotrypsinogen</b>	a proteolytic enzyme synthesized in the acinar cells of the pancreas and stored inside membrane-bounded granules at the apex of the acinar cell. It functions as an endopeptidase responsible for cleavage of ingested peptides at aliphatic amino acid residues to yield oligopeptides that are further hydrolyzed into amino acids that can be absorbed in the digestive tract.
<b>Delta-like homolog 1 (DLK1)</b>	a transmembrane protein, also known as preadipocyte factor 1 (PREF-1), that contains EGF-like repeats homologous to Notch ligands but lacks a Notch interaction domain. DLK1 is cleaved by tumor necrosis factor $\alpha$ activating enzyme to generate a soluble protein called fetal antigen 1 (FA1).
<b>Gli-similar 3 (GLIS3)</b>	a Krüppel-like zinc finger transcription factor that is a member of the Gli-similar family of transcriptional regulators. These are structurally similar to the Gli subfamily of transcription factors that contain with five zinc fingers in tandem, that are essential for DNA binding, and both activator and repressor domains.
<b>Heregulin receptor ErbB3</b>	an EGFR-like transmembrane receptor that exhibits broad roles in development. Following ligand binding, ErbB receptors form dimers, resulting in tyrosine phosphorylation and recruitment of downstream effectors. Although ErbB3 is structurally similar to other ErbB receptors, it is unique in that it binds ligands neuregulin-1 and -2 and requires heterodimers with EGFR or ErbB4 to transmit downstream growth-factor signals.

<b>Human leukocyte antigen (HLA) locus</b>	a superlocus that encodes cell-surface antigen-presenting proteins. HLAs corresponding to MHC class I present peptides produced from proteins digested in the proteasome. HLAs corresponding to MHC class II present antigens to T lymphocytes. HLAs corresponding to MHC class III encode components of the complement system.
<b>Huntington-interacting protein 14 (HIP14)</b>	a well-characterized neuronal palmitoyl acyltransferase enzyme that has been implicated in the pathogenesis of Huntington disease through palmitoylation of huntingtin (HTT). HIP14 is important for intracellular trafficking and exocytosis in neurons through its substrate specificity for several vesicle-associated proteins.
<b>Interferon-induced helicase (IFIH1)</b>	also known as MDA5 or helicard, a member of a RIG-I-like helicase (RLH) in concert with RIG-I, which functions as a cytoplasmic viral RNA detector.
<b>Major histocompatibility complex (MHC)</b>	also termed human leukocyte antigen (HLA) in humans, a family of cell-surface molecules that are divided into three subgroups – class I, class II, and class III – and which determine compatibility of donors for organ transplant as well as susceptibility to autoimmune disease via crossreacting immunization.
<b>Retinoic acid-inducible gene 1 (RIG-I)</b>	a RIG-I-like receptor dsRNA helicase and part of the RIG-I-like receptor (RLR) family, which functions as a pattern recognition receptor and a sensor for viruses.
<b>Tumor necrosis factor <math>\alpha</math>-induced protein 3 (TNFAIP3)</b>	also called A20, a zinc finger protein that is strongly induced by cytokines and protective following TNF $\alpha$ exposure in endothelial cells.
<b>Tyrosine-protein phosphatase non-receptor type (PTPN2)</b>	also known as the T cell protein tyrosine phosphatase TC-PTP, a ubiquitously expressed protein tyrosine phosphatase initially identified in a peripheral T cell cDNA library. Although it contains a nuclear localization signal, PTPN2 will redistribute to the cytosol under particular stresses (hyperosmolarity, oxidative stress, and cold shock) to modulate EGFR, MAPK/JNK, and Jak/ STAT signaling.

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### Box 1. Lessons from animal models of T1DM

Investigating the early pathogenesis of T1DM in human subjects is highly challenging due to the inaccessibility of the target tissue for analysis and to the current inability to image pancreatic  $\beta$  cell mass *in vivo*. This has necessitated the use of animal models of T1DM to advance our understanding of pathogenesis and to test potential mechanism-based therapies. Two animal models are commonly used, the non-obese diabetic (NOD) mouse [79] and the Bio-Breeding/Worcester (BB/W) rat [80], both of which develop spontaneous diabetes and immune infiltration of the pancreatic islets, characteristic of human T1DM. The NOD model has risen in popularity due to extensive annotation of the mouse genome and to the ability to backcross mice with targeted deletion of specific gene loci onto this genetic background; however, the model remains limited. Compared to the pancreas of human T1DM subjects, the pancreas of diabetic NOD mice exhibits more aggressive insulinitis and lacks  $\beta$  cell IFN- $\alpha$  and pan-islet MHC class I hyperexpression. Further, the residual  $\beta$  cells reported in human T1DM long after disease onset are not evident in the NOD model (detailed below) [81]. For reasons that are still not understood, the incidence of diabetes in NOD mice is gender-specific, and nearly ~80% of NOD females develop disease compared to ~20% of male mice by 30 weeks of age [79]. Perhaps related to these issues, therapeutic approaches which prevent or abrogate diabetes onset in NOD mice have met with limited translational success in human patients [82]. Despite these limitations, the NOD model has provided useful insights and generated hypotheses that can be tested in human tissue. Pre-diabetic NOD mice display a loss of first-phase insulin response following a glucose challenge, suggesting that  $\beta$  cell dysfunction pre-dates insulinitis in NOD mice [83]. This defect may be due to the onset of  $\beta$  cell endoplasmic reticulum (ER) stress, observed in NOD mice and human patients with T1DM [84], and subsequent activation of cytokine signaling processes [85], which may serve as a trigger for autoimmunity in T1DM [86]. These studies are suggestive of  $\beta$  cell dysfunction appearing before, and possibly eliciting, the onset of autoimmune destruction.

**Box 2. HLA and T1DM revisited**

The best characterized and highest risk gene locus associated with T1DM is the *HLA* locus which encodes MHC classes I and II [87], important in the recognition of self versus non-self antigens and in the activation of immune cascades. Specific genotypes of MHC class II, whose expression is generally restricted to immune cells, carry among the highest risk for development of T1DM [88]. MHC class I genotypes also carry T1DM risk independently of class II genotypes, and T1DM-associated alleles *B\*5701*, *B\*3906* and *A\*0201* are among those carrying the highest risk [89]. Furthermore, global transgenic expression of the *A\*0201* diabetogenic class I allele in NOD mice accelerates diabetes onset [90]. It is not clear from these experiments whether class I expression on the  $\beta$  cell surface contributes to disease development.

The expression of class I on the surface of  $\beta$  cells has been extensively profiled in autopsy studies of patients with T1DM. In one histological study, the majority of the insulin-expressing islets in an autopsy series of 23 patients with recent-onset T1DM had marked overexpression of class I in all endocrine islet cells [91]. Class I-hyperexpressing  $\beta$  cells contain high IFN- $\alpha$  levels [92], which could lead to the induction of class I in other endocrine cell types. Class I hyperexpression is also observed in human islets cultured in the presence of IFN- $\alpha$  [93]. Transgenic overexpression of IFN- $\alpha$  in pancreatic  $\beta$  cells induced a T1DM-like phenotype in rodent models; however, class I expression was not assessed [94]. Recently, pancreatic specimens from donors with T1DM (as well as nondiabetic controls and non-diabetic patients with autoantibodies) were accessed from the Network for Pancreatic Organ donors with Diabetes ([www.jdrfnpod.org](http://www.jdrfnpod.org)). In these samples, the presence of class I hyperexpression throughout the islet was again observed, even in pseudoatrophic islets without  $\beta$  cells, but not in the islets of autoantibody-positive non-diabetic controls [95]. Of 72 T1DM samples studied, 11 patients with the high-risk *A\*0201* haplotype had coincident evidence of islet class I hyperexpression [95], perhaps connecting high-risk haplotypes with abnormalities in class I expression. Although class I hyperexpression in human islets cultured in high glucose concentrations has also been observed [96], there is no evidence to date to suggest that glucose can modulate IFN- $\alpha$  expression. These observations suggest that T1DM susceptibility conferred by variation in the *HLA* locus could be mediated in part by pancreatic  $\beta$  cells.

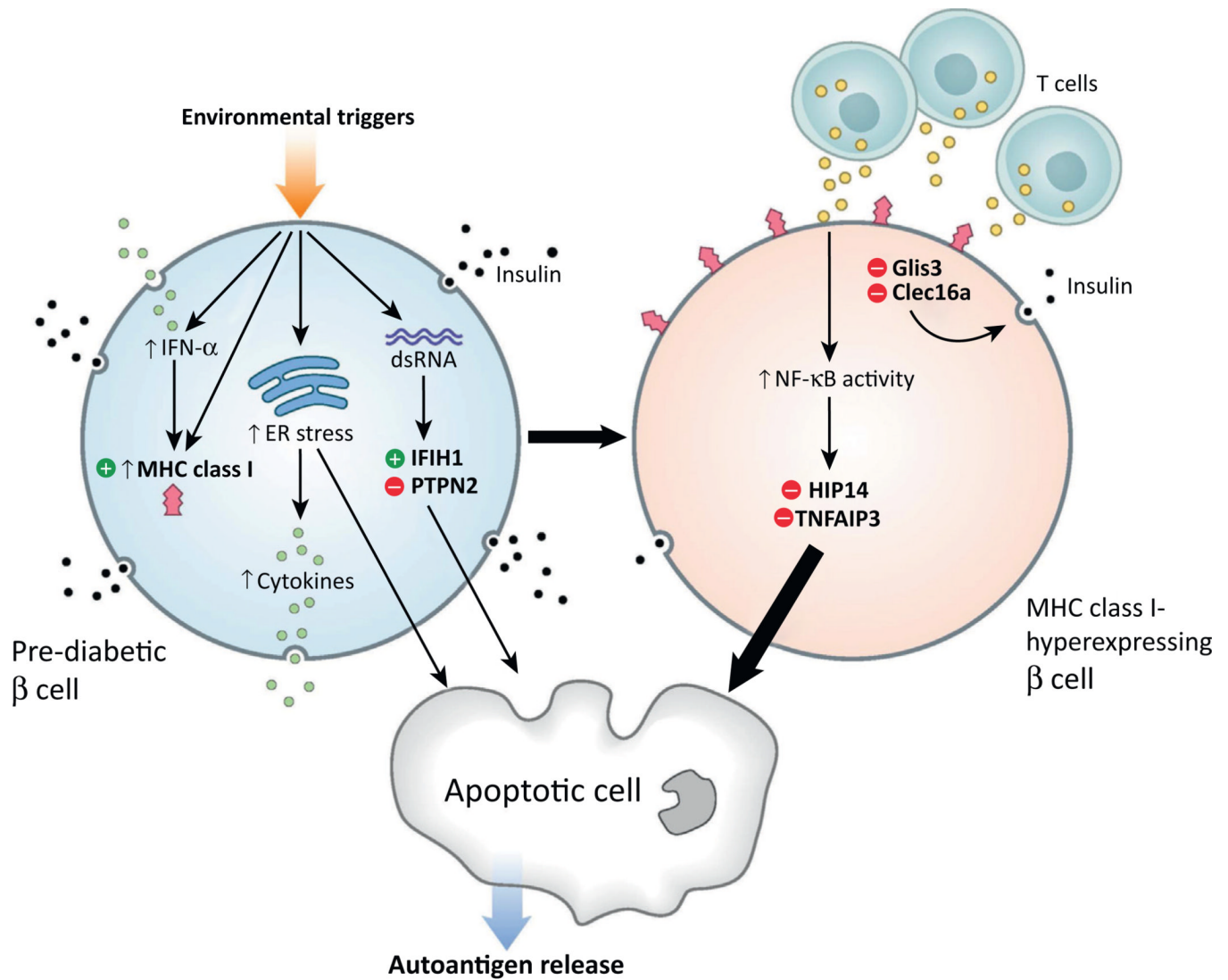
### Box 3. Histopathology of the T1DM pancreas

The natural course of  $\beta$  cell loss in the pancreas of patients with T1DM is distinct from that of the autoimmune-susceptible NOD mouse model, which often displays severe islet infiltrates and rapid progression to C-peptide-negative diabetes [79]. The study of non-diabetic patients with a high risk for development of T1DM with elevated serum autoantibodies has been limited [97]. The largest of these studies assessed 62 such patients for the existence of insulinitis and found insulinitis to be extremely rare, only occurring in two patients [98]. Similarly, the severity of immune infiltration in T1DM pancreata is remarkably mild [97], but does consist of cytokine-producing T lymphocytes and macrophages, as well as other immune cell types. T1DM pancreatic specimens also possess a small number of insulin-positive pancreatic  $\beta$  cells even as long as 56 years after diagnosis [95,99]. The number of remaining  $\beta$  cells may be further underestimated, as degranulated insulin+  $\beta$  cells could be missed by immunohistochemistry techniques unless other  $\beta$  cell-specific markers are also utilized, a phenomenon previously reported in NOD mice [100]. The long-term survival of  $\beta$  cells and a relatively mild lymphocytic infiltrate suggests that functional defects within the  $\beta$  cell of patients of T1DM should be considered as a cause of early glucose intolerance and hyperglycemia. Taken together, the possibility of a dysfunctional  $\beta$  cell (possibly related to inherited defects in T1DM loci), in addition to the MHC class I hyperexpression (Box 2) necessary to recruit autoreactive T lymphocytes, could lead in tandem to a slow decline in  $\beta$  cell mass and function coincident with disease onset.



**Box 4. Outstanding questions**

- *Correlation versus causation.* Do alterations in expression in T1DM-associated genes by SNPs lead to defects in  $\beta$  cell function or survival in pre-diabetic patients?
- *Molecular validation of SNPs.* How do GWAS-identified T1DM SNPs regulate the expression of candidate T1DM genes in the pancreatic  $\beta$  cell?
- *Future therapies.* Can  $\beta$  cell therapies targeting associated genes, in conjunction with immune therapies, be utilized to improve  $\beta$  cell function in patients with T1DM?



*TRENDS in Endocrinology & Metabolism*

**Figure 1.**

The genetics of T1DM illuminates potential pathways to  $\beta$  cell failure and diabetes. A model of the events resulting in  $\beta$  cell failure, with a pre-diabetic  $\beta$  cell (upper left) subjected to various environmental stressors (including viruses) that activate IFN- $\alpha$  expression and MHC class I hyperexpression on the  $\beta$  cell surface (upper right). Induction of ER stress and  $\beta$  cell cytokine release (green) as well as dsRNA responses (in the case of viral attack) and downstream genetic events (activation of IFIH1 and loss of protective PTPN2) can lead to  $\beta$  cell apoptosis (bottom). MHC class I-hyperexpressing  $\beta$  cells that survive initial environmental insults (upper right) exhibit reduced insulin secretion (black granules), mediated by loss of Clec16a and Glis3 function, and are subject to immune attack by infiltrating T lymphocytes, which release high concentrations of cytokines (yellow), subsequently leading to activation of NF- $\kappa$ B signaling and significant  $\beta$  cell apoptosis (bottom) in the absence of protective HIP14 and TNFAIP3 function. Circulating autoantigens released following  $\beta$  cell apoptosis lead to autoantibody formation and further mobilization of the immune system against the remaining  $\beta$  cells.