

The p97-UFD1L-NPL4 Protein Complex Mediates Cytokine-Induced I-**B Proteolysis**

Ju-Mei Li, ^a Hongyu Wu, ^b Wenzheng Zhang, ^b Michael R. Blackburn, ^a Jianping Jina

Department of Biochemistry and Molecular Biology^a and Department of Internal Medicine,^b Medical School, The University of Texas Health Science Center at Houston, Houston, Texas, USA

IĸBα is an inhibitor of NF-ĸB, a family of transcription factors that transactivate genes related to inflammation. Upon inflammatory stimuli, IκBα is rapidly degraded via the ubiquitin-proteasome pathway. While it is very clear that the SCF^{β-TRCP} ubiqui**tin ligase ubiquitinates I**-**B upon stimulation, little is known about the postubiquitinational events of I**-**B proteolysis. Here, we report that p97, a valosin-containing protein (also called VCP), plays an essential role in the postubiquitinational regulation of I**-**B turnover after tumor necrosis factor alpha (TNF-) or interleukin-1 (IL-1) treatment. The ATPase activity of p97 is essential for its role in I**-**B proteolysis. Moreover, we found that UFD1L and NPL4, two cofactors of p97, assist p97 to control the postubiquitinational regulation of I**-**B. The p97-UFD1L-NPL4 protein complex specifically associates with ubiquitinated** IκBα via the interactions between p97 and the SCF^{β-TRCP} ubiquitin ligase and between the polyubiquitin binding domain of **UFD1L and polyubiquitinated I**-**B. Furthermore, we observed that the postubiquitinational regulation of I**-**B by the p97-** UFD1L-NPL4 complex is important for NF-KB activation under stimuli.

NF- κ B is a family of transcription factors that regulate the inflammatory response. NF- κ B is normally sequestered in the F - κ B is a family of transcription factors that regulate the incytoplasm as an inactive form through association with its inhibitors, I κ Bs, including the most prominent one, I κ B α [\(1\)](#page-11-0). Inflammatory stimuli, such as cytokines, pathogens, and cellular stress, activate an IKB kinase (IKK) kinase complex that phosphorylates IκBα at serine-32 (Ser-32) and serine-36 (Ser-36) and promote its ubiquitination and subsequent degradation through the 26S proteasome [\(2](#page-11-1)[–](#page-11-2)[4\)](#page-11-3). Consequently, NF--B is released and translocated into the nucleus, where it activates a spectrum of proinflammatory genes.

In addition to phosphorylation, ubiquitination and deubiquitination play indispensable roles in NF- κ B activation [\(2](#page-11-1)-[4\)](#page-11-3). The ubiquitination of I κ B α is catalyzed by the SCF^{B-TRCP} ubiquitin ligase, a family member of the SCF ubiquitin ligase family, which consists of a small ring finger protein, Rbx1, a scaffold subunit, cullin 1 (Cul1), a linker protein, Skp1, and one of \sim 70 human F-box proteins that determine the specificity of SCF ubiquitin ligases [\(5,](#page-11-4) [6\)](#page-11-5). Among the SCF^{β -TRCP} ubiquitin ligases, β -TRCP protein binds directly to phosphorylated IKBa at Ser-32 and Ser-36 and ubiquitinates and triggers its degradation through the 26S proteasome $(7-10)$ $(7-10)$ $(7-10)$. Two homologs of β -TRCP have been found in the human genome, and both of them are involved in I κ B α ubiquitination [\(11\)](#page-11-9).

While the role of ubiquitination in $I \kappa B\alpha$ proteolysis is very clear, less is known about the postubiquitinational regulation of IKBa. Recent studies indicated that the postubiquitinational process is a tightly regulated process [\(12\)](#page-11-10). Ubiquitinated proteins are often recognized by a family of polyubiquitin receptors which transport their targets to the proteasome and surrender them for degradation. Recognition is a critical step, because ubiquitin has seven lysine residues, each of which can be engaged in ubiquitin chain formation. Polyubiquitin chains with different linkages play distinctive roles in determining the fate of ubiquitinated proteins [\(12](#page-11-10)[–](#page-11-11)[14\)](#page-11-12). Therefore, polyubiquitin chains can be likened to molecular ZIP codes, and polyubiquitin receptors function as mailmen who sort and deliver ubiquitinated proteins to different destinations according to their codes, i.e., the different linkages of polyubiquitin chains. Polyubiquitin chains formed through lysine-48 or lysine-11 of ubiquitin function as death signals [\(13,](#page-11-11) [15\)](#page-11-13). Polyubiquitin receptors that shuttle substrates to the 26S proteasome often have distinctive ubiquitin binding domains (UBD) [\(12,](#page-11-10) [13\)](#page-11-11).

The polyubiquitin receptor responsible for shuttling $I\kappa B\alpha$ to the proteasome is unknown. It has been shown that overexpressed human FLIC-1 and FLIC-2 (also called ubiquilin-1 and ubiquilin-2), two polyubiquitin binding proteins, could hinder tumor necrosis factor alpha (TNF- α)-induced IKB α degradation [\(16\)](#page-11-14). However, the actual role of these ubiquilin proteins in $I\kappa B\alpha$ proteolysis is still unclear. Some evidence suggests that $I\kappa B\alpha$ is transported by p97, a valosin-containing protein (VCP), which is involved in endoplasmic reticulum-associated protein degradation (ERAD) [\(17](#page-11-15)[–](#page-11-16)[20\)](#page-11-17) and degradation of cytosolic and nuclear proteins [\(21](#page-11-18)[–](#page-11-19)[28\)](#page-11-20). p97 was found in association with ubiquitinated IKB α [\(29\)](#page-11-21), consistent with a role in transport, although no solid evidence yet exists to suggest this interaction is relevant to $I\kappa B\alpha$ degradation. Recently, p97, together with its cofactor UBXD7, was found to control the degradation of HIF1 α [\(22\)](#page-11-22). p97, together with its cofactors, UFD1L and NPL4, was also found to regulate the turnover of Cdt1 and CD4 $(21, 27)$ $(21, 27)$ $(21, 27)$. Moreover, p97 was shown to regulate protein turnover upon DNA damage response [\(21,](#page-11-18) [23,](#page-11-23) [26,](#page-11-24) [28\)](#page-11-20). Using proteomic analysis, Alexandru et al. identified a family of p97 cofactors, many of which have a UBX domain that is important for their interaction with p97 [\(22\)](#page-11-22). This raises a number of very important questions. Does p97 control postubiquitinational events in the degradation of IKBa? Does p97 recognize

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ubiquitinated IKBa through interaction with its cofactor, and if so, which one? Is the p97 protein complex important for NF-KB activation? Moreover, FAF1, a cofactor of $p97$, binds to β -TRCP2, also called FBW1B [\(22\)](#page-11-22), so we want to ask whether postubiquitinational regulation of $I\kappa B\alpha$ by p97 is coupled with ubiquitination of I κ B α by its ubiquitin ligase, SCF β ^{-TRCP}. Here, we provide genetic and biochemical evidence to show that $I\kappa B\alpha$ is a bona fide substrate of p97. We found that p97 and its cofactors, UFD1L and $NPL4$, mediate the postubiquitinational regulation of I κ B α by association with the SCF^{B-TRCP} ubiquitin ligase and ubiquitinated IκBα. Moreover, we found that the p97 protein complex is important for cytokine-induced NF-KB activation.

MATERIALS AND METHODS

Plasmids and plasmid transfection. Open reading frames of human p97, IκBα, β-TRCP2, UFD1L, and NPL4 were amplified by PCR with Phusion high-fidelity DNA polymerase (New England Biolabs) from HeLa cDNAs that were prepared using a Superscript III cDNA synthesis kit (Invitrogen). Purified PCR products were cloned into the Gateway entry vector pENTR/D-Topo (Invitrogen). After verification of their sequences, the entry clones were shuttled to Gateway recipient vectors using LR Clonase (Invitrogen). Short interfering RNA (siRNA)-resistant rescue plasmids were generated using PCR-based mutagenesis.

Plasmids were transfected using Lipofectamine 2000 (Invitrogen).

Antibodies and other reagents. The following antibodies were employed in this study: Cul1 (Invitrogen), Flag (Sigma), IĸBα (C-21 rabbit antibody from Santa Cruz Biotechnology; monoclonal antibody from Cell Signaling), phosphoserine-32-IκBα (Cell Signaling), hemagglutinin (HA) and NPL4 (Santa Cruz), PSMB5 (Abcam), p97 (Cell Signaling and Bethyl Laboratories), Strep-tag (GeneScript), UFD1L (BD Biosciences), and ubiquitin (FK2; Millipore). The K48 and the K63 linkage-specific polyubiquitin antibodies and the β -TRCP antibody were reported previously [\(30,](#page-12-0) [31\)](#page-12-1).

The anti-p97 antibody from Bethyl Laboratories and the monoclonal anti-IKB α antibody were employed for Western blotting, unless otherwise indicated.

Strep-Tactin resins, anti-Flag M2 affinity gel, and streptavidin beads are from Qiagen, Sigma-Aldrich, and Fisher Scientific, respectively.

Cell culture and retrovirus and lentivirus infection. HeLa, U2OS, 293-TREX, and 293T cells were maintained in Dulbecco's modified Eagle medium (DMEM) supplemented with 10% fetal bovine serum and 5% CO₂ at 37°C. The procedures of lentivirus and retrovirus production and infection were reported previously [\(32,](#page-12-2) [33\)](#page-12-3). 293-TREX cells were purchased from Invitrogen. Doxycycline at $2 \mu g/ml$ was added to induce gene expression.

siRNA transfection. siRNAs (200 pmol) from either Invitrogen or Sigma/Aldrich were transfected into HeLa, U2OS, or 293T cells (in 6-well dishes) using Lipofectamine RNAiMAX from Invitrogen. After 72 h, cells were left untreated or were treated with TNF- α or interleukin-1 β (IL-1 β) at 50 ng/ml for 10 min prior to lysis in SDS buffer. Extracts were quantified using a Bradford reagent (Bio-Rad) and subjected to SDS-PAGE and Western blotting.

Immunoprecipitation (IP) and protein purification. Cells were harvested and lysed in extraction buffer (50 mM Tris-HCl [pH 7.5], 150 mM NaCl, 1% Nonidet-P40, 0.2 mM dithiothreitol, 10 mM β -glycerol phosphate, 10 mM *p*-nitrophenyl phosphate, 0.1 mM okadaic acid, 10 mM sodium fluoride, 5 μ g/ml aprotinin, 1 μ g/ml pepstatin, 1 mM leupeptin, and 0.1 mM phenylmethylsulfonyl fluoride), and the lysate was cleared by ultracentrifugation at 4°C. The extract was incubated with anti-Flag beads or antibody with protein A/G resin at 4°C for 1 h with slow rotation. Unbound proteins were washed away with extraction buffer four times, and bead-bound proteins were subjected to SDS-PAGE and Western blotting.

Biotinylated proteins were purified according to a previous report [\(34\)](#page-12-4).

 $SCF^{\beta-TRCP1}$ and $SCF^{\beta-TRCP2}$ were purified by a recombinant baculovirus method [\(35\)](#page-12-5). Recombinant glutathione *S*-transferase (GST)–p97 proteins were expressed in DE3 Rosetta bacterial cells and purified with glutathione-Sepharose beads (GE Healthcare Life Sciences).

Reverse transcription-PCR (RT-PCR) and luciferase assay. Total RNAs, isolated using TRIzol (Invitrogen), were employed to make cDNAs using Superscript III cDNA synthesis kit (Invitrogen). Cox2 messenger was amplified by PCR with limited cycles.

Luciferase assays were done using the dual-luciferase reporter assay system (Promega).

RESULTS

p97 depletion blocks the degradation but not ubiquitination of I-**B upon TNF- stimulation.** It has been shown that p97 binds to ubiquitinated I κ B α [\(29\)](#page-11-21), implying that p97 is involved in the postubiquitinational regulation of IKBa. However, more loss-offunction and biochemical studies are needed to support this observation. To explore a potential role of p97 in cytokine-induced IKBa proteolysis, we employed an RNA interference (RNAi) approach to silence p97 in HeLa, a cervical carcinoma cell line that responds to TNF- α stimulation [\(Fig. 1A\)](#page-2-0). IKB α was rapidly degraded in 10 min after addition of TNF- α [\(Fig. 1A,](#page-2-0) top, compare lanes 1 and 2). Knockdown of PSMB5 [\(Fig. 1A\)](#page-2-0), a subunit of the 26S proteasome, did not affect the abundance of I κ B α [\(Fig. 1A,](#page-2-0) top, compare lanes 1 and 3), suggesting that $I\kappa B\alpha$ is a stable protein in HeLa cells under unstimulating conditions. The TNF- α induced IĸBα degradation, however, was blocked when PSMB5 was silenced [\(Fig. 1A,](#page-2-0) top, compare lanes 2 and 4), confirming that I κ B α turnover induced by TNF- α treatment is mediated by the 26S proteasome. When p97 was silenced [\(Fig. 1A\)](#page-2-0), $I\kappa B\alpha$ expression was slightly decreased [\(Fig. 1A,](#page-2-0) top, compare lanes 1, 5, 7, 9, and 11), but the TNF- α -induced I κ B α degradation was inhibited [\(Fig. 1A,](#page-2-0) top, compare lanes 2, 6, 8, 10, and 12). Four small interference RNA (siRNA) oligonucleotides targeting p97 produced similar phenotypes, suggesting that the observed RNAi phenotype was not an off-target event. Together, these data indicate that p97, like the 26S proteasome, is essential for IKBa proteolysis upon TNF- α treatment. Interestingly, we noticed a ladder of proteins of greater molecular mass than I κ B α that also reacted with anti-I κ B α antibody when either p97 or PSMB5 was silenced by RNAi [\(Fig.](#page-2-0) [1A,](#page-2-0) top, lanes 4, 6, 8, 10, and 12). These high-molecular-weight proteins also reacted with another anti-I κ B α antibody from a dif-ferent source [\(Fig. 1B\)](#page-2-0) and with the anti-phospho-IKBa antibody targeting Ser-32 of I κ B α [\(Fig. 1C\)](#page-2-0), hinting that they are authentic IKBa proteins that are ubiquitinated but not degraded. Indeed, cosilencing of p97 and I κ B α erased both low- and high-molecular-mass bands [\(Fig. 1D,](#page-2-0) compare lanes 4 and 6), further supporting the idea that high-molecular-mass $I\kappa B\alpha$ proteins accumulated when the 26S proteasome or p97 was inactivated using the RNAi approach.

In contrast to p97 depletion, silencing of β -TRCP, including both β -TRCP1 and β -TRCP2, did not accumulate high-molecu-lar-mass ΙκΒα proteins [\(Fig. 1E,](#page-2-0) compare lanes 4 and 6), indicating that p97 regulates the postubiquitinational events of $I\kappa B\alpha$ upon TNF- α treatment.

 $p97$ is an ATPase that belongs to the AAA¹ ATPase family; therefore, it is interesting to determine whether its ATPase activity is important for its function in $I\kappa B\alpha$ turnover. We constructed an E578Q mutant of p97 that is defective in its ATPase activity [\(36\)](#page-12-6).

FIG 1 p97 is required for TNF-α-induced IκBα degradation. HeLa cells were subjected to RNAi of PSMB5, p97, or β-TRCP as described in Materials and Methods. Western blotting was performed with anti-ΙκΒα antibodies to show the dynamic change of ΙκΒα under TNF-α treatments. The p97 antibody is from Cell Signaling. (A) Silencing of either PSMB5 or p97 blocked TNF- α -induced I κ B α proteolysis. (B) The blot shown in panel A was stripped and then probed with a polyclonal anti-IĸBα antibody. (C) Accumulation of phosphorylated IĸBα upon either PSMB5 or p97 was depleted using siRNA. (D) Confirmation of both high- and low-molecular-mass IκBα proteins by cosilencing of p97 and IκBα. (Ε) β-TRCP depletion blocked TNF-α-induced IκBα ubiquitination. (F) The wild type and an ATPase-defective mutant, E578Q of p97, were delivered into HeLa cells using a lentiviral infection method. TNF-α was employed to trigger IкBα ubiquitination and degradation. A Western blotting method was employed to detect modified and unmodified I κ B α .

We then expressed the wild type (WT) and the E578Q mutant in HeLa cells [\(Fig. 1F\)](#page-2-0) and induced I κ B α degradation with TNF- α treatment. We found that the wild-type p97 did not affect TNF- α -induced I κ B α turnover [\(Fig. 1F,](#page-2-0) compare lanes 2 and 4); however, the E578Q mutant blocked the degradation but not ubiquiti-nation of IKBa [\(Fig. 1F,](#page-2-0) compare lanes 2 and 6). p97 forms a homohexamer, and the E578Q mutant can interact with wild-type p97 [\(37\)](#page-12-7). Therefore, our data demonstrate that the E578Q mutant functions as a dominant-negative one, and the ATPase activity of p 97 is required for its role in TNF- α -induced I κ B α turnover.

To determine whether those high-molecular-weight proteins are truly ubiquitinated IKB α , endogenous IKB α was immunoprecipitated using anti-I κ B α antibody after TNF- α stimulation. As

expected, IKBa proteins at high molecular weights were observed in IKBa immunoprecipitates, but only if p97 was previously si-lenced by RNAi [\(Fig. 2A\)](#page-3-0). Those high-molecular-weight ΙκΒα protein bands reacted with the antiubiquitin FK2 antibody that recognizes conjugated ubiquitin specifically [\(Fig. 2B\)](#page-3-0). Moreover, those high-molecular-mass I κ B α proteins were recognized by the K48 linkage-specific antibody [\(30\)](#page-12-0) [\(Fig. 2C\)](#page-3-0) but not by the K63 linkage-specific antibody (data not shown). These results support that I κ B α is ubiquitinated but not degraded upon TNF- α stimulation if p97 is silenced.

We then considered the possibility that the ubiquitin conjugates detected in $I\kappa B\alpha$ immunoprecipitates were due to ubiquitinated IKBa-binding proteins, because we did the anti-IKBa im-

FIG 2 Accumulation of ubiquitinated I_{KB} under TNF- α treatments in p97-silenced cells. An IP-Western blotting approach was employed to confirm that the high-molecular-mass IκBα antibody-reactive bands are truly ubiquitinated IκBα. (A) Endogenous IκBα proteins were immunoprecipitated using a polyclonal anti-IkBα antibody in a native buffer and a monoclonal anti-IkBα antibody reacted with anti-IkBα immunoprecipitates. (B) Endogenous IkBα proteins were immunoprecipitated using the polyclonal anti-IĸBα antibody in a native buffer and antiubiquitin FK2 antibody reacted with anti-IĸBα immunoprecipitates. (C) The blot shown in panel B was stripped and probed with the K48 linkage-specific antibody. (D) Exogenous biotin-tagged IĸBα (Bio-IĸBα) was expressed in 293T cells and responded to TNF-α for destruction. (E) Ubiquitinated and unmodified Bio-IĸBα proteins were precipitated using streptavidin beads under denaturing conditions, and the FK2 antibody reacted with precipitates. (F) The blot shown in panel E was stripped and then probed with the polyclonal anti-IKB α antibody. (G) The blot shown in panel F was stripped and probed with the K48 linkage-specific antibody. (H) Total ubiquitinated proteins were pulled down with streptavidin beads in a HeLa-biotin-ubiquitin cell line under denaturing conditions. Ubiquitinated IĸBα was validated using the polyclonal anti-IĸBα antibody.

munoprecipitation (IP) under native conditions. To further validate that the high-molecular-weight proteins were truly ubiquitinated I κ B α , we expressed a biotin-tagged I κ B α (Bio-I κ B α) in 293T cells using retrovirus infection [\(Fig. 2D\)](#page-3-0). We found that exogenous Bio-I κ B α still responded to TNF- α treatment [\(Fig.](#page-3-0) [2D\)](#page-3-0), although its expression was slightly higher than that of the endogenous one [\(Fig. 2D\)](#page-3-0). This result indicates that the biotin tag did not alter the degradation pathway. We then purified Bio-I κ B α with streptavidin beads under denaturing conditions as we previously reported [\(34\)](#page-12-4). Mammalian cells contain only a few endogenous biotinylated proteins [\(38\)](#page-12-8). Therefore, more specific results can be achieved. We detected ubiquitinated $I \kappa B\alpha$ using the FK2 antibody (Fig. $2E$). Small amounts of ubiquitinated Bio-I κ B α were found upon TNF- α treatment [\(Fig. 2E,](#page-3-0) lane 2), whereas more ubiquitinated Bio-IĸBα was accumulated in p97-depleted cells [\(Fig. 2E,](#page-3-0) compare lanes 2 and 4). We reconfirmed our observation by reprobing the same membrane with anti-I κ B α antibody [\(Fig. 2F\)](#page-3-0). Moreover, those high-molecular-mass proteins reacted with the K48 linkage-specific antibody [\(Fig. 2G\)](#page-3-0) but not with the K63 linkage-specific antibody (data not shown). Interestingly, an

FK2 and K48 antibody-reactive band appeared in p97-depleted but untreated cells [\(Fig. 2E](#page-3-0) and [G,](#page-3-0) lane 3) but did not react with anti-I κ B α antibody [\(Fig. 2F,](#page-3-0) lane 3), suggesting that some endogenous biotinylated proteins are conjugated with K48 polyubiquitin chains, and their degradation is controlled by p97 as well.

To examine whether endogenous IKBa was truly ubiquitinated, we treated a HeLa-biotin-ubiquitin cell line with $TNF-\alpha$. In this cell line, the biotin-tagged ubiquitin was incorporated into polyubiquitin chains attached to ubiquitinated substrates. As we reported previously [\(34\)](#page-12-4), total ubiquitinated proteins were purified using streptavidin beads under denaturing conditions. Western blotting with anti-I κ B α antibody confirmed that ubiquiti-nated IKBa was detectable upon TNF-a treatment [\(Fig. 2H\)](#page-3-0). More ubiquitinated I κ B α was amassed in p97-silenced cells upon TNF- α treatment [\(Fig. 2H,](#page-3-0) compare lanes 2 and 4). Taken together, these data strongly support the conclusion that $I\kappa B\alpha$ is ubiquitinated but not degraded when p97 is suppressed, demonstrating that p97 has no direct impact on ubiquitination but affects postubiquitinational processing of $I\kappa B\alpha$ after TNF- α stimulation.

The postubiquitinational regulation of I_KBα by p97 is most

FIG 3 p97-mediated postubiquitinational regulation of IKB α is a common pathway of IKB α proteolysis. p97 was depleted using siRNA oligonucleotides in multiple cell lines. The function of p97 in postubiquitinational regulation of IκΒα under either TNF-α or IL-1β was evaluated. The p97 antibody is from Cell Signaling. (A) sip97 blocked ΙκΒα proteolysis in U2OS cells treated with either TNF-α or IL-1β. (Β) β-TRCP depletion blocked TNF-α-induced ΙκΒα ubiquitination, whereas silencing of p97 inhibited TNF-α-induced ΙκΒα proteolysis in U2OS cells. (C) sip97 inhibited ΙκΒα destruction in 293T cells treated with TNF- α .

likely a common pathway in control of I κ **B** α **turnover.** I κ B α proteolysis through the ubiquitin-proteasome pathway is induced by various stimulators [\(1,](#page-11-0) [4\)](#page-11-3). However, signaling pathways upstream of the IKK kinase complex are diversified among different stimuli [\(39\)](#page-12-9). To address whether p97-controlled postubiquitinational regulation of IKBa is a universal phenomenon, we depleted p97 in U2OS cells [\(Fig. 3A,](#page-4-0) middle), a human osteosarcoma line that responds to both TNF-α and IL-1β for IκΒα turnover. IκΒα was rapidly destroyed upon either $TNF-\alpha$ or IL-1 β application in U2OS cells [\(Fig. 3A,](#page-4-0) top, compare lanes 1, 2, and 3). However, IκBα degradation was blocked when p97 was silenced regardless of which cytokine was applied [\(Fig. 3A,](#page-4-0) top, compare lanes 2, 3, 5, and 6). Once again, unlike $si\beta$ -TRCP, $si\beta$ 97 U2OS cells accumulated ubiquitinated IKB α [\(Fig. 3B\)](#page-4-0), suggesting that p97 controls postubiquitinational regulation of IKBa in response to either TNF- α or IL-1 β . A similar result was also found in TNF- α -treated 293T, a transformed human embryonic kidney cell line, when p97 was depleted by siRNA [\(Fig. 3C\)](#page-4-0). Again, four independent siRNA oligonucleotides of p97 produced similar phenotypes. Taken together, we found that p97-mediated postubiquitinational regulation of IKBa is a common process that is required for IKBa proteolysis upon various cytokine treatments in different cell lines.

p97 interacts with ubiquitinated IKBα. p97 was found to associate with ubiquitinated I κ B α [\(29\)](#page-11-21). To confirm this observation, we expressed Flag/HA-tagged p97 (Flag/HA-p97) in a HeLa cell line using a lentivirus infection method. The exogenous Flag/ HA-p97 was expressed at a level comparable to that of endogenous p97 [\(Fig. 4A\)](#page-5-0). We then treated HeLa-Flag/HA-p97 cells with TNF-a to trigger IKBa proteolysis. To capture ubiquitinated IκBα, we added the proteasome inhibitor MG132 30 min before the TNF- α treatment. p97 and its associated proteins were immunoprecipitated using anti-HA antibody.Western blotting with anti-IKBa antibody showed that only ubiquitinated IKBa proteins were associated with p97 [\(Fig. 4B\)](#page-5-0). This phenomenon was recon-firmed by IkBa depletion [\(Fig. 4B\)](#page-5-0). Moreover, p97 did not interact with endogenous I κ B α in unstimulated cells [\(Fig. 4C\)](#page-5-0). Therefore, p97 can bind to IKBa, but only when it is ubiquitinated.

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To verify the interaction between p97 and $I\kappa B\alpha$ at an endogenous level, we purified $I\kappa B\alpha$ and its associated proteins using anti-IKBα antibody [\(Fig. 4D\)](#page-5-0) and found that endogenous p97 associated with IKBa when TNF-a-induced degradation of IKBa was blocked by MG132 in HeLa cells [\(Fig. 4E\)](#page-5-0). These data further support the hypothesis that p97 interacts with $I\kappa B\alpha$, especially ubiquitinated IKBa under stimuli.

 $\frac{1}{p}$ 97 interacts with the SCF^{B-TRCP} ubiquitin ligase. How p97 selects its substrates is still a mystery. p97 only binds to ubiquitinated I κ B α , suggesting that I κ B α alone is insufficient for substrate selection of p97. p97 binds to many ubiquitin ligases, including many members of the cullin/RING E3 family [\(22\)](#page-11-22). To examine the interaction between p97 and SCF^{B-TRCP} *ex vivo*, we expressed a Flag/HA-tagged β-TRCP2 (Flag/HA-β-TRCP2) in the 293-TREX cell line (Invitrogen) using a retrovirus infection method. The exogenous Flag/HA- β -TRCP2 was induced with doxycycline [\(Fig.](#page-6-0) [5A\)](#page-6-0) and isolated using anti-HA antibody [\(Fig. 5B\)](#page-6-0). Western blotting with anti-p97 antibody showed that p97 associated with Flag/ HA-β-TRCP2 [\(Fig. 5C\)](#page-6-0). Of note, some Flag/HA-β-TRCP2 proteins were observed without doxycycline induction, suggesting that the expression of Flag/HA- β -TRCP2 was leaky in 293-TREX cells [\(Fig. 5A\)](#page-6-0). Correspondingly, residual p97 was observed to associate with Flag/HA-B-TRCP2 without doxycycline treatment [\(Fig. 5C\)](#page-6-0). To further confirm the interaction between p97 and -TRCP, we expressed Strep-tagged p97 (Strep-p97) in 293T cells (293T-Strep-p97) using a lentiviral infection approach. Western blotting showed that the exogenous Strep-p97 was expressed at a level comparable to that of the endogenous p97 [\(Fig. 5D\)](#page-6-0).We then expressed triple HA-tagged β -TRCP2 (HA₃- β -TRCP2) in 293T-Strep-p97 cells using a transfection method [\(Fig. 5D\)](#page-6-0). TNF- α was employed to trigger $I \kappa B\alpha$ turnover [\(Fig. 5D\)](#page-6-0). The exogenous Strep-p97 and its associated proteins were purified using Strep-Tactin resins [\(Fig. 5E\)](#page-6-0). HA_{3} - β -TRCP2 was found to associate with Strep-p97 [\(Fig. 5E\)](#page-6-0). Together, our data demonstrate that p97 interacts with β -TRCP2, although we do not know if such an interaction is direct or indirect. Interestingly, such an interaction was

FIG 4 p97 binds to ubiquitinated IĸBα. An IP-Western blotting approach was employed to examine the association of p97 with ubiquitinated IĸBα. (A) Flag/HA-p97 was expressed in HeLa cells. (B) Flag/HA-p97 was immunoprecipitated from HeLa-Flag/HA-p97 cells using anti-HA antibody. The association of p97 with ubiquitinated IĸBα was verified using anti-IκBα antibody. (C) HeLa and HeLa-Flag/HA-p97 cells were treated with MG132 for 30 min and then with TNF- α for 10 min or no further treatment. Cells were lysed for IP with anti-HA antibody, followed by Western blotting using anti-I κ B α antibody. (D) Endogenous IκBα was immunoprecipitated using a polyclonal anti-IκBα antibody and confirmed with anti-IκBα antibody. (E) The interaction between endogenous I κ B α and p97 was confirmed by Western blotting using anti-p97 antibody.

enhanced upon TNF- α treatment [\(Fig. 5C](#page-6-0) and [E,](#page-6-0) compare lanes 3 and 4).

To determine whether p97 binds to the SCF^{B-TRCP} ubiquitin ligase directly, we turned to an *in vitro* binding approach using recombinant proteins. We purified both SCF^{B-TRCP1} and SCF^{B-TRCP2} protein complexes via a procedure we developed for the purification of SCF ubiquitin ligases using a recombinant baculovirus method [\(35\)](#page-12-5). We also purified GST-tagged p97 (GST-p97) using a bacterial expression system [\(Fig. 5F\)](#page-6-0). We then employed an *in vitro* GST pulldown experiment to analyze the interaction between p97 and the SCF^{β-TRCP} ubiquitin ligase and found that \sim 0.1% of either ubiquitin ligase and found that $\sim 0.1\%$ of either $SCF^{\beta-TRCP1}$ [\(Fig. 5G\)](#page-6-0) or $SCF^{\beta-TRCP2}$ [\(Fig. 5H\)](#page-6-0) binds to GST-p97, indicating that p97 binds to the $SCF^{\beta-TRC\tilde{P}}$ ubiquitin ligase directly.

UFD1L and NPL4 are cofactors that regulate I_{KB} opostubiq**uitination.** p97 binds to dozens of cofactors, some of which have been shown to assist p97's function in protein proteolysis [\(21](#page-11-18)[–](#page-11-19)[28,](#page-11-20) [40\)](#page-12-10). To identify any p97 cofactors that could be involved in cytokine-induced I κ B α turnover, we silenced either UFD1L or NPL4, two cofactors that have been reported to regulate CD4 proteolysis upon HIV infection [\(27\)](#page-11-19). The ubiquitination of CD4 and $I\kappa B\alpha$ is mediated by the same ubiquitin ligase, $SCF^{\beta-TRCP}$ [\(4,](#page-11-3) [31\)](#page-12-1). Given that p97 binds to $SCF^{B-TR\bar{C}P}$ [\(Fig. 5\)](#page-6-0), it seemed conceivable that these two proteins were involved in $I\kappa B\alpha$ postubiquitinational regulation. Indeed, when UFD1L was silenced by two independent siRNA oligonucleotides in HeLa cells [\(Fig. 6A\)](#page-7-0), the degradation, but not ubiquitination, of $I\kappa B\alpha$ upon TNF- α stimulation was inhibited [\(Fig. 6A\)](#page-7-0). The ubiquitinated IKBa also reacted with the anti-phospho-IkBa antibody targeting Ser-32 of IkBa [\(Fig.](#page-7-0) [6B\)](#page-7-0), indicating that UFD1L depletion mimicked the phenotype of knocking down p97. A similar phenotype was observed when UFD1L was silenced in 293T cells [\(Fig. 6C\)](#page-7-0), indicating that the siUFD1L phenotype is not a cell line-specific event.

UFD1L forms a heterodimer with NPL4. Therefore, it is nec-

essary to determine whether NPL4 is also involved in the postubiquitinational regulation of IKBa. We depleted NPL4 in HeLa cells using siRNA oligonucleotides [\(Fig. 6D\)](#page-7-0) and observed that the degradation, but not ubiquitination, of IKBa was inhibited upon TNF- α treatment [\(Fig. 6D\)](#page-7-0). Once again, ubiquitinated I κ B α pro-teins reacted with the anti-phospho-IκBα antibody [\(Fig. 6E\)](#page-7-0), suggesting that NPL4, like p97 and UFD1L, is important for the postubiquitinational regulation of $I \kappa B\alpha$ under TNF- α treatment. Because UFD1L and NPL4 form a heterodimer, their expression could depend on each other. We then checked UFD1L expression in NPL4-silenced cells and noticed that UFD1L expression was reduced [\(Fig. 6D\)](#page-7-0). Two independent siRNA oligonucleotides yielded similar phenotypes, suggesting it is unlikely to be an offtarget event. Interestingly, NPL4 expression was not affected when UFD1L was silenced [\(Fig. 6A\)](#page-7-0). Together, these data suggest that NPL4 is required for the proper expression of UFD1L, although the mechanism remains to be resolved.

Both UFD1L and NPL4 bind to ubiquitinated I_{KB} α . To better understand the role of UFD1L as the cofactor of p97 in the postubiquitinational regulation of $I\kappa B\alpha$, it was necessary to see whether UFD1L bound to ubiquitinated $I\kappa B\alpha$ in a manner similar to that of p97. To do so, we expressed C-terminally Flag-tagged UFD1L (UFD1L-Flag) in HeLa cells (HeLa-UFD1L-Flag) using a retroviral infection approach. Consistent with p97 binding analysis, only ubiquitinated IKBa was found in anti-Flag immunoprecipitates [\(Fig. 7A\)](#page-8-0), demonstrating that UFD1L, like p97, binds to ubiquitinated IKBa only.

To determine whether NPL4 binds to ubiquitinated $I \kappa B\alpha$, we expressed HA-tagged NPL4 in HeLa cells (HeLa-HA-NPL4) using a retroviral infection approach. Consistent with both p97 and UFD1L binding analysis, only ubiquitinated I κ B α appeared in anti-HA immunoprecipitates [\(Fig. 7B,](#page-8-0) top), indicat-

FIG 5 p97 binds to the SCF^{B-TRCP} ubiquitin ligase. An IP-Western blotting approach was employed to examine the association of p97 with the SCF^{B-TRCP} ubiquitin ligase. *In vitro* GST pulldown was applied to analyze the interaction between recombinant p97 and the SCF^{B-TRCP} ubiquitin ligase. (A) Flag/HA-B-TRCP2 was expressed in a 293-TREX cell line. Anti-HA antibody was used to show the induction of Flag/HA-β-TRCP2 by doxycycline. (B) Exogenous
Flag/HA-β-TRCP2 was immunoprecipitated using anti-HA antibody. (C) The associat antibody. (D and E) 293T-Strep-p97 cells were transfected with either empty vector or vector expressing HA3-B-TRCP2. Seventy-two h later, cells were treated with TNF-α for 10 min or left untreated. Cells were lysed for Strep-Tactin pulldown, followed by Western blotting using anti-HA and anti-Strep antibodies. (F)
Purified GST-p97 from bacteria was shown using the Coomassie bl ubiquitin ligase using GST pulldown assay. (H) *In vitro* interaction between purified GST-p97 and the SCF-TRCP2 ubiquitin ligase using a GST pulldown assay.

ing that NPL4, like p97 and UFD1L, interacts with ubiquitinated IκBα only.

Taken together, our data demonstrate that each subunit of the p97-UFD1L-NPL4 protein complex can associate with I κ B α , but only when it is ubiquitinated.

Both p97 and UFD1L are important for the p97 complex to bind to ubiquitinated IKBa. Having found that each subunit of the p97 protein complex binds to ubiquitinated $I \kappa B\alpha$, we next wanted to determine whether the binding of these subunits to ubiquitinated IKBa depends on each other. We observed that the interaction between ubiquitinated $I\kappa B\alpha$ and p97 was almost abolished when UFD1L was silenced [\(Fig. 7C\)](#page-8-0). Conversely, the interaction between ubiquitinated $I\kappa B\alpha$ and UFD1L was almost reduced to the background level when p97 was depleted [\(Fig. 7A\)](#page-8-0). Therefore, it is critical to maintain the p97 protein complex as an intact one so that the complex can recognize ubiquitinated $I\kappa B\alpha$.

The polyubiquitin binding domain of UFD1L is required for its function in I_KBα turnover. p97 contains no obvious polyubiquitin chain binding domain, whereas UFD1L has one at its N terminus, implying that the p97 protein complex uses the polyubiquitin chain binding domain (UBD) of UFD1L to recognize the polyubiquitin chains of IKBa. To prove it, we mutated five residues to alanines (A5 mutant) in the UBD of UFD1L [\(Fig. 8A\)](#page-8-1). These residues were found to interact with polyubiquitin chain directly [\(41\)](#page-12-11). We expressed the WT and the A5 mutant as siUFD1L-resistant cDNAs in HeLa cells using a lentiviral infection approach [\(Fig. 8B\)](#page-8-1). Interestingly, when exogenous UFD1L proteins were expressed in HeLa cells, the expression of endogenous UFD1L was reduced [\(Fig. 8B,](#page-8-1) middle, compare lanes 1, 2, 5, 6, 9, and 10). To determine the function of the UBD of UFD1L, we silenced endogenous UFD1L [\(Fig. 8B\)](#page-8-1) and then treated cells with TNF-a to trigger IKBa turnover. Depletion of UFD1L blocked IKBa degradation but not ubiquitination [\(Fig. 8B,](#page-8-1) top, compare lanes 2 and 4). The WT, but not the A5 mutant, of UFD1L rescued TNF- α -induced I κ B α turnover [\(Fig. 8B\)](#page-8-1), suggesting that the UBD

FIG 6 UFD1L and NPL4 are required for I_{KB} proteolysis. An siRNA method was employed to deplete either UFD1L or NPL4 in multiple cell lines. Their effects in IĸBα proteolysis under TNF-α treatment were evaluated using a Western blotting approach. (A) Ubiquitinated IĸBα was accumulated in UFD1L-silenced HeLa cells treated with TNF-α. (B) Phosphorylated IκBα was accumulated in UFD1L-silenced HeLa cells treated with TNF-α. (C) Ubiquitinated IκBα was accumulated in UFD1L-silenced 293T cells treated with TNF- α . (D) Ubiquitinated IĸB α was accumulated in NPL4-silenced HeLa cells treated with TNF- α . (E) Phosphorylated I κ B α was accumulated in NPL4-silenced HeLa cells treated with TNF- α .

of UFD1L plays an essential role in TNF- α -induced I κ B α proteolysis.

To further characterize the function of the UBD of UFD1L, we treated these cells with MG132 for 30 min and then with TNF- α for 10 min for IP-Western blotting assay. As expected, although the wild-type UFD1L associated with significant amounts of ubiquitinated I κ B α , such an interaction was largely abolished from HeLa-Flag-UFD1L-A5 cells [\(Fig. 8C\)](#page-8-1). Of note, Flag-UFD1L-A5 proteins migrated a little bit more slowly than wild-type UFD1L ones [\(Fig. 8B](#page-8-1) and [C\)](#page-8-1), raising the question of whether the A5 mutant has lost its other functions. Using an IP-Western blotting approach, we found that the interactions of the A5 mutant of UFD1L with p97 and NPL4 were not affected [\(Fig. 8C\)](#page-8-1). Therefore, although the A5 mutant has lost its polyubiquitin binding ability, its other functions are maintained.

Ubiquitinated I_KB α still associates with RelA, a subunit of **NF-κB.** IκBα is an inhibitor of NF-κB [\(2](#page-11-1)[–](#page-11-2)[4\)](#page-11-3). However, it is still unclear whether the ubiquitination process of $I\kappa B\alpha$ can trigger its separation from NF-KB under stimulation. To address this issue, we expressed a Flag-tagged IĸBα in HeLa-biotin-ubiquitin cells [\(Fig. 9A\)](#page-9-0). Similar to endogenous IκΒα, exogenous Flag-IκΒα responded to TNF- α treatment, as it migrated more slowly upon TNF- α stimulation [\(Fig. 9A\)](#page-9-0). We then purified ubiquitinated Flag-I κ B α using anti-Flag M2 affinity gel, followed by elution with Flag peptides and pulldown with streptavidin beads [\(Fig. 9B\)](#page-9-0). We found that both RelA and p97 bound to ubiquitinated IKBa. These data indicate that ubiquitinated $I \kappa B\alpha$ still inhibits NF- κB . Therefore, the postubiquitinational regulation of $I\kappa B\alpha$ is important for NF-KB activation upon stimulation.

p97 and UFD1L are important for the activation of NF--**B as a transcription factor.** Having found that the p97-UFD1L protein complex controls the postubiquitinational processing of $I\kappa B\alpha$ proteolysis under cytokine stimuli, we next needed to examine the contribution of p97 and UFD1L in NF--B activation. To do so, we cotransfected pGL4.32[*luc2P*/NF--B-RE/Hygro] (a firefly luciferase reporter plasmid containing an NF-KB response element), pSV40-*hRluc* (a renilla luciferase control plasmid), and siRNA for either enhanced green fluorescent protein (EGFP) or p97 into HeLa cells. Seventy-two hours later, cells were treated with TNF- α for either 2 or 4 h before collection for an *in vitro* dual-luciferase assay. We found that the firefly/renilla luciferase ratio was rapidly enhanced over the course of TNF- α treatments in siEGFP cells, while it was significantly reduced in p97-silenced cells [\(Fig. 9C\)](#page-9-0). These data show that the activation of NF-KB as a transcription

FIG 7 Each subunit of the p97 complex binds to ubiquitinated IKBa, and their interactions with ubiquitinated IKBa depend on each other. (A) Flag-UFD1L was expressed in HeLa cells and precipitated using anti-Flag M2 affinity gel. The association of UFD1L with ubiquitinated IĸBα was confirmed by Western blotting. The interaction between ubiquitinated IĸBα and Flag-UFD1L was attenuated when p97 was silenced. (B) HA-NPL4 was expressed in HeLa cells and precipitated using anti-HA antibody. The association of NPL4 with ubiquitinated IĸBα was confirmed by Western blotting. (C) Flag/HA-p97 was expressed in HeLa cells and precipitated using anti-HA antibody. The association of p97 with ubiquitinated IĸBα was confirmed by Western blotting. The interaction between ubiquitinated IκBα and Flag/HA-p97 was attenuated when UFD1L was silenced.

factor is attenuated in p97-silenced cells. Similar results were observed in 293T cells when UFD1L was silenced [\(Fig. 9D\)](#page-9-0).

To determine whether p97 is important for the activation of NF- κ B-targeted genes, we analyzed the expression of Cox2, a bona fide transcriptional target of NF-KB, under cytokine stimulation

[\(42\)](#page-12-12). Using a semiquantitative RT-PCR approach, we observed that Cox2 mRNA rapidly increased in HeLa cells after TNF- α treatment [\(Fig. 9E,](#page-9-0) compare lanes 1 and 2). The induction of Cox2 was dependent on RelA, because the expression of Cox2 was significantly attenuated when RelA was inactivated [\(Fig. 9E,](#page-9-0) compare

FIG 8 Polyubiquitin binding domain of UFD1L is important for its function in control of postubiquitination of I_{KB} ain HeLa cells upon TNF- a treatment. (A) Sequence alignment between the wild type and the A5 mutant of human UFD1L. (B) The wild type and the A5 mutant of UFD1L were expressed in HeLa cells as Flag tag fusion proteins. A Western blotting approach was employed to show expressed proteins. Their effects in control of postubiquitination of IĸBα were evaluated using an RNAi rescue approach. (C) Exogenous Flag-UFD1L was purified using anti-Flag antibody. Its interactions with ubiquitinated I-B, p97, and NPL4 were shown by Western blotting.

FIG 9 p97 protein complex is important to TNF- α -induced NF- κ B activation. IP and pulldown approaches were employed to determine the interactions of ubiquitinated IĸBα with either p97 or RelA. Dual-luciferase and semiquantitative RT-PCR approaches were used to evaluate the function of the p97 protein complex in TNF-α-induced NF-ĸB activation. (A) Flag-IĸBα was expressed in the HeLa-biotin-ubiquitin cell line. Cells were treated with MG132 for 30 min and then either with TNF-a for 10 min or without further treatment. The expression of IKBa, p97, RelA, and Cul1 were shown using Western blotting. (B) Cells from panel A were collected for IP using anti-Flag M2 affinity gel. The bound proteins were eluted using Flag peptides. Ubiquitinated IĸBα was purified using streptavidin beads. A Western blotting approach was employed to detect p97, RelA, and ubiquitinated IĸBα. (C) Dual-luciferase assay in sip97 HeLa cells. (D) Dual-luciferase assay in siUFD1L 293T cells. (E) Cox2 induction was reduced in sip97 HeLa cells using RT-PCR. (F) Cox2 induction was decreased in siUFD1L HeLa cells using RT-PCR.

lanes 6 and 2), indicating that RelA, as one of the NF--B transcription factors, is responsible for the transactivation of Cox2 in HeLa cells in response to TNF- α . p97 depletion did not affect the basal expression of Cox2 but repressed the induction of Cox2 by TNF- α [\(Fig. 9E,](#page-9-0) compare lanes 4 and 2). Similar results were achieved when UFD1L was silenced in HeLa cells [\(Fig. 9F\)](#page-9-0). Given that NPL4 depletion decreases the UFD1L expression [\(Fig. 6D\)](#page-7-0), we expect to observe a similar result if we deplete NPL4. Based on these data, we conclude that the p97-UFD1L-NPL4 protein complex is important for the activation of NF-KB as a transcription factor under stimulation.

DISCUSSION

I_KB α is the true substrate of p97. The majority of studies concerning the roles of ubiquitination in NF-KB activation after stimuli focus on the signaling events that lead to $I\kappa B\alpha$ ubiquitination by the SCF^{B-TRCP} ubiquitin ligase $(2-4)$ $(2-4)$ $(2-4)$. In general, all of the upstream signal pathways are converted to the activation of the IKK

kinase complex that phosphorylates IĸBα at serine-32 and serine-36 residues $(2-4)$ $(2-4)$ $(2-4)$. These phosphorylation events of I κ B α create a phospho-degron motif on $I \kappa B\alpha$ that is recognized by the $SCF^{\beta-TRCP}$ ubiquitin ligase. In coordination with E2 enzymes, such as UbcH5 and Cdc34, the SCF β -TRCP ubiquitin ligase conjugates polyubiquitin chains on $I\kappa B\alpha$ [\(43\)](#page-12-13). Consequently, ubiquitinated I κ B α is sent to and degraded by the 26S proteasome. Recent studies suggest that postubiquitinational regulation is an essential step during protein turnover through the ubiquitin-proteasome pathway [\(12\)](#page-11-10). It also becomes increasingly clear that diverse pathways exist to regulate postubiquitinational events of different proteins.

One well-studied function of p97 is to mediate protein turnover via the ERAD pathway. It was not until recent years that the role of p97 in protein degradation pathways other than ERAD has been explored [\(21](#page-11-18)[–](#page-11-19)[28\)](#page-11-20). It has been speculated that $I\kappa B\alpha$ is a sub-strate of p97 [\(29\)](#page-11-21); however, no comprehensive genetic and biochemical evidence was provided until our study. Using an RNAi

approach, we found that cytokine-induced I κ B α degradation, but not ubiquitination, was inhibited in p97-silenced cells [\(Fig. 1](#page-2-0) and [3\)](#page-4-0), indicating that p97 is involved in the postubiquitinational regulation of IKBa proteolysis. Using various biochemical approaches, we confirmed our observation that p97 does not affect the ubiquitination but does affect the turnover of $I\kappa B\alpha$ under cytokine stimulations [\(Fig. 2\)](#page-3-0). The pathway governed by p97 is most likely universal to all of the extracellular signals that lead to IKBa proteolysis via the ubiquitin-proteasome pathway, considering that divergent signaling events have been uncovered only upstream of the IKK kinase complex thus far $(2-4)$ $(2-4)$ $(2-4)$. Indeed, I κ B α degradation was blocked when p97 was inactivated in multiple cell lines treated with different cytokines [\(Fig. 1](#page-2-0) and [3\)](#page-4-0), further supporting our hypothesis.

p97 contains an ATPase domain. Our results demonstrate that the ATPase activity of p97 is essential to its role in cytokine-in-duced IKBa proteolysis [\(Fig. 1F\)](#page-2-0), indicating that the postubiquitinational regulation of cytokine-induced IKBa turnover is an energy-dependent process. Our data also show that the p97 protein complex is important for cytokine-induced NF-KB activation [\(Fig. 9\)](#page-9-0), implying that p97 is a good drug target to control NF--B activation and inflammatory responses.

UFD1L and NPL4 are cofactors of p97 in postubiquitinational control of IKBa. Current models suggest that ubiquitinated substrates need to be recognized by a polyubiquitin binding protein, i.e., polyubiquitin receptor, and delivered to the 26S proteasome for degradation [\(12\)](#page-11-10). p97 alone is unlikely to fulfill the entire mission, although p97 is a big protein and forms a homohexamer. Considering that p97 binds to dozens of cofactors, many of which contain a ubiquitin-binding domain [\(22,](#page-11-22) [40,](#page-12-10) [41\)](#page-12-11), it is unlikely that p97 is involved in direct interaction with polyubiquitin chains on substrates. Indeed, we found that depletion of either UFD1L or NPL4, two cofactors of p97, produced phenotypes similar to that of did p97 depletion [\(Fig. 6\)](#page-7-0), suggesting that these two proteins are cofactors of p97 in regulation of postubiquitination of IKBa. Moreover, our preliminary data suggest that NPL4 does not contribute directly to the postubiquitinational control of IKBa; rather, it maintains the proper expression of UFD1L. Two pieces of evidence support our hypothesis. First, NPL4 depletion reduces UFD1L expression [\(Fig. 6D\)](#page-7-0), whereas UFD1L depletion does not affect NPL4 expression [\(Fig. 6A\)](#page-7-0). Second, the expression of exogenous UFD1L can decrease the expression of endogenous UFD1L [\(Fig. 8B\)](#page-8-1). UFD1L and NPL4 form a heterodimer. Therefore, we speculate that exogenous UFD1L competes with endogenous UFD1L for NPL4 binding and triggers the degradation of free endogenous UFD1L. This could be a common phenomenon, because a similar observation was found in p97-mediated CD4 degradation [\(27\)](#page-11-19) and Cdt1 turnover [\(21\)](#page-11-18). Further studies are needed to confirm this observation. Nevertheless, our data support that UFD1L is the main cofactor of p97 to regulate postubiquitination of cytokine-induced IKBa proteolysis. Of note, UFD1, a yeast homolog of human UFD1L, contains a ubiquitin-binding domain that is capable of associating with polyubiquitin chains [\(40\)](#page-12-10). We found that the polyubiquitin binding-defective mutant of UFD1L was defective in association with ubiquitinated I κ B α [\(Fig. 8C\)](#page-8-1) and was unable to promote the postubiquitination of I κ B α under TNF- α treatment [\(Fig. 8B\)](#page-8-1), although it still associates with p97 and NPL4 [\(Fig. 8C\)](#page-8-1). Therefore, the polyubiquitin binding domain of human UFD1L plays an essential role in postubiquitinational regulation of $I\kappa B\alpha$.

Model for p97 and UFD1L in postubiquitinational regulation of I-**B.** Thus far, only a few protein substrates of p97 other than the ERAD substrates have been identified in mammalian systems. These proteins are so divergent that no notable common features can be detected among them. An important issue is how specificity is determined with regard to the function of the p97 protein complexes in postubiquitinational regulation. Interestingly, three p97 substrates, including IKBa, CD4, and MCL1, share the same ubiquitin ligase, $SCF^{\beta-\text{TRCP}}$, although multiple ubiquitin ligases have been identified to mediate the ubiquitination of MCL1 [\(44](#page-12-14)[–](#page-12-15)[46\)](#page-12-16). Therefore, it is possible that ubiquitin ligases play important roles in the selection of specific postubiquitination pathways for their cognate substrates. p97 interacts with many ubiquitin ligases, most likely via its cofactors [\(22\)](#page-11-22). p97 protein complexes bind to both β -TRCP2, an F-box protein in the $SCF^{\beta-TRCP2}$ E3 complex via FAF1 [\(22\)](#page-11-22), and HUWE1, the ubiquitin ligase of MCL1 after UV irradiation [\(46\)](#page-12-16), via either p47 or UBXD8 [\(22\)](#page-11-22). We found that recombinant p97 binds to both purified $SCF^{B-TRCP1}$ and $SCF^{B-TRCP2}$ [\(Fig. 5G](#page-6-0) and [H\)](#page-6-0). Moreover, p97 interacts with β -TRCP2 in 293 and 293T cells [\(Fig. 5B](#page-6-0) and [E\)](#page-6-0). Overall, the interaction between p97 and the SCF^{B-TRCP} ubiquitin ligase is relatively weak. Whether its cofactors, such as UFD1L, can enhance its binding affinity to the $SCF^{\beta-TRCP}$ ubiquitin ligase remains to be examined. UFD1L also associates with several UBA domain-containing cofactors, including FAF1, SAKS1, UBXD7, and UBXD8, but not p47, most likely via p97 [\(22,](#page-11-22) [47\)](#page-12-17). Therefore, it is highly possible that UFD1L is a common cofactor of p97 for many protein substrates, while other cofactors function as specificity factors to lead the p97-dependent pathway for the postubiquitinational process of protein proteolysis. We noticed that significant amounts of ubiquitinated $I\kappa B\alpha$ accumulated in either p97- or UFD1L-silenced cells, suggesting that polyubiquitin chains of IKBa have been protected from nonspecific deubiquitinating enzymes (DUBs). Considering that the human genome contains more than 90 DUB genes [\(48\)](#page-12-18), it is conceivable that ubiquitinated substrates would be deubiquitinated if their polyubiquitin chains were not protected. Such a ubiquitin-binding protein could be involved in the first step of $I\kappa B\alpha$ postubiquitination and should interact with p97 directly or indirectly. If this is the case, FAF1, UBXD7, UBXD8, and SAKS1 are among the leading candidates for p97 cofactors to initiate the postubiquitinational processing of IKBa under stimuli. Of them, FAF1 is an intriguing one, because it binds to β -TRCP2 [\(22\)](#page-11-22). However, FAF1 is unlikely to be involved in I κ B α degradation directly, because its overexpression inhibited I κ B α degradation under TNF- α treatment [\(49,](#page-12-19) [50\)](#page-12-20), potentially by disrupting the IKK complex assembly [\(51\)](#page-12-21). In contrast, overexpression of FAF1 promotes the degradation of β -catenin by enhancing its polyubiquitination [\(52\)](#page-12-22). Given that ubiquitination of both β -catenin and I κ B α is regulated by the $SCF^{\beta-TRCP}$ ubiquitin ligase [\(7,](#page-11-6) [10\)](#page-11-8), further studies are needed to clarify the function of FAF1 in the postubiquitinational regulation of IκBα.

Our data demonstrate that the p97 complex interacts with IκBα only when it is ubiquitinated [\(Fig. 4](#page-5-0) and [7\)](#page-8-0). Apparently, such an interaction requires the intact p97 protein complex, because p97 depletion can disrupt the association of UFD1L with ubiquitinated I κ B α and vice versa [\(Fig. 7\)](#page-8-0). Our results also indicate that the UBD domain of UFD1L is important for UFD1L to interact with ubiquitinated IKBa and TNF-a-induced IKBa proteolysis [\(Fig. 8\)](#page-8-1). Moreover, p97 binds to the $SCF^{\beta-TRCP}$ ubiquitin ligase

directly [\(Fig. 5\)](#page-6-0). Together, these data suggest that the p97 protein complex utilizes its p97 subunit to interact with the SCF^{B-TRCP} ubiquitin ligase and its UFD1L subunit to recognize ubiquitinated IκBα, respectively. Therefore, we believe that the p97 complex plays a critical role in cytokine-induced IKBa proteolysis and NF-_{KB} activation.

How the p97 complex delivers the ubiquitinated $I \kappa B\alpha$ to the 26S proteasome is another important question in IKBa proteolysis. p97 and several p97 cofactors associate with the 26S proteasome [\(53,](#page-12-23) [54\)](#page-12-24). However, it is still unclear if the p97 complex contacts the proteasome directly or via additional polyubiquitin receptors. It is worth mentioning that human ubiquilin-1 and ubiquilin-2, also called PLIC1 and PLIC2, are polyubiquitin re c eptors that can block I κ B α proteolysis if overexpressed in human cells [\(16\)](#page-11-14). Thus far, the actual role of ubiquilin proteins in $I \kappa B\alpha$ postubiquitinational regulation remains elusive. We speculate that these ubiquitin binding proteins, such as FAF1 and ubiquilin proteins, function as nonspecific ubiquitin binding proteins to disrupt cohesive pathways of postubiquitinational regulation if they are overexpressed. The fact that the UBA domain fragment of FAF1 delayed the TNF- α -induced I κ B α turnover better than the full-length FAF1 [\(50\)](#page-12-20) further supports our hypothesis. If UFD1L is a common cofactor of p97-mediated postubiquitinational regulation in protein turnover, we propose that UFD1L interacts with the polyubiquitin receptors on the 26S proteasome directly or indirectly to surrender ubiquitinated $I \kappa B\alpha$ to the 26S proteasome.

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