

# *In Vivo* Electroporation of Minicircle DNA as a Novel Method of Vaccine Delivery To Enhance HIV-1-Specific Immune Responses

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DNA vaccines offer advantage over conventional vaccines, as they are safer to use, easier to produce, and able to induce humoral as well cellular immune responses. Unfortunately, no DNA vaccines have been licensed for human use for the difficulties in developing an efficient and safe *in vivo* gene delivery system. *In vivo* electroporation (EP)-based DNA delivery has attracted great attention for its potency to enhance cellular uptake of DNA vaccines and function as an adjuvant. Minicircle DNA (a new form of DNA containing only a gene expression cassette and lacking a backbone of bacterial plasmid DNA) is a powerful candidate of gene delivery in terms of improving the levels and the duration of transgene expression *in vivo*. In this study, as a novel vaccine delivery system, we combined *in vivo* EP and the minicircle DNA carrying a codon-optimized HIV-1 *gag* gene (minicircle-*gag*) to evaluate the immunogenicity of this system. We found that minicircle-*gag* conferred persistent and high levels of *gag* expression *in vitro* and *in vivo*. The use of EP delivery further increased minicircle-based gene expression. Moreover, when delivered by EP, minicircle-*gag* vaccination elicited a 2- to 3-fold increase in cellular immune response and a 1.5- to 3-fold augmentation of humoral immune responses compared with those elicited by a pVAX1-*gag* positive control. Increased immunogenicity of EP-assisted minicircle-*gag* may benefit from increasing local antigen expression, upregulating inflammatory genes, and recruiting immune cells. Collectively, *in vivo* EP of minicircle DNA functions as a novel vaccine platform that can enhance efficacy and immunogenicity of DNA vaccines.

Vaccination, one of the greatest achievements of modern medicine, is the optimal solution for controlling the spread of major infectious diseases (1). However, the conventional vaccines cover only a small number of diseases, while other deadly and debilitating disorders, such as AIDS, hepatitis C, and malaria, still have no effective vaccines to be introduced into clinical use. DNA vaccines are third-generation vaccines and have evolved significantly over the last 20 years (2, 3). Compared to first-generation vaccines (whole-organism vaccines) and second-generation vaccines (subunit vaccines), DNA vaccines have more safety, flexibility, and stability and can readily elicit both humoral and broad cellular responses (4–6). The first human trial of a DNA-based vaccine is for the treatment of human HIV infection, and it was initiated almost 20 years ago (7). In fact, no DNA vaccines have yet been licensed for human use because of their low immunogenicity in large animals and in humans (8). Several approaches have been investigated to enhance vaccine immunogenicity, including plasmid design to increase antigen expression (9), the use of new delivery techniques (10), the addition of adjuvant (11), and the prime-boost strategy (12).

Minicircle DNA is a novel form of supercoiled DNA that contains only a gene expression cassette, without plasmid backbone sequences (e.g., the bacterial origin of replication and antibiotic resistance sequences) (13, 14). It is produced in *Escherichia coli* by *att* site-specific recombination catalyzed by the phage  $\Phi$ 31 integrase (15). Minicircle DNA has great advantages over conventional DNA vectors for biosafety and robust and persistent gene expression, which have been demonstrated in muscle, liver, heart, human carcinoma xenograft tumors, and iPSC cells (16–21). The

unique feature of minicircle DNA to enhance levels and duration of protein expression allows us to investigate whether minicircle DNA functions as an innovative vaccine delivery platform.

pVAX1 is a vector specifically designed to meet FDA regulations on the rapid development of DNA vaccines. The features of this vector allow high-copy-number replication in *E. coli* and high-level transient expression in most mammalian cells. Almost all known genes of HIV-1, including *gag*, *vif*, and *nef*, were inserted into this vector as DNA vaccines and were capable of inducing strong HIV-specific cellular and humoral immune responses in BALB/c mice (22, 23). Therefore, we used pVAX1 carrying the gene of interest as the positive control in our experiments.

It is clear that immunogenicity of DNA vaccines greatly depends upon the delivery methods used for immunization (24). Improvements in delivery methods are required to make DNA vaccines sufficiently effective. Several strategies, such as jet injection, gene guns, and *in vivo* electroporation (EP), are under investigation (8). Among these methods, *in vivo* EP has great potential and has been proven to enhance cellular uptake of DNA vaccines in muscle, skin, and tumors

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(25–30). Moreover, EP itself works as an adjuvant to induce significant immune responses by causing local inflammation and recruiting lymphocytes to the injection sites (31, 32). A wide range of independent clinical studies have proven the safety and efficacy of *in vivo* EP in patients (33, 34). The effects of *in vivo* EP on minicircle DNA vaccines have not been studied.

In this work, we present a novel vaccine delivery method to enhance HIV-1-specific immune responses using *in vivo* EP delivery of minicircle DNA carrying a codon-optimized *gag* gene (minicircle-*gag*). We show that minicircle DNA confers higher levels and longer duration of antigen expression than pVAX1 DNA. When minicircle DNA was delivered by EP, its immunogenicity significantly enhanced. The high efficiency of EP-assisted minicircle DNA may be explained in part by increasing local antigen expression, upregulating inflammatory genes, and recruiting immune cells.

## MATERIALS AND METHODS

**Reagents, plasmids, and strains.** HIV-1 gag peptides P1 (AMQMLKETI), P2 (TTSTLQEIQI) and P3 (EPRDYVDRF) and the control peptide (IGP GRAFYAR) were synthesized by SBS Genetech Co., Ltd. (Beijing, China), at a purity of >95%. Plasmid p2ΦC31 and minicircle producer strain ZYCY10P3S2T were provided as a gift by Zhiying Chen (Shenzhen Institute of Advanced Technology, Guangdong, China).

**Immunization of mice.** BALB/c mice were housed at the Institute of Genetics and Developmental Biology, Chinese Academy of Sciences, according to protocols approved by the Institutional Animal Care and Use Committee. For immunization, 6- to 8-week-old female BALB/c mice were given intradermal (i.d.) injection with or without EP, intramuscular (i.m.) injection with or without EP, or hydrodynamic delivery (HD) alone. Hydrodynamic DNA was administered as previously described (35). For i.m. or i.d. injection with EP, the minicircle DNA in 50 μl of phosphate-buffered saline (PBS) was administered by i.m. or i.d. injection. Immediately after i.m. or i.d. injection, two silver needles 6 mm apart were inserted over the injection site, and electric pulses were applied (6 pulses, 100 V/cm, 50 ms) using the TERESA-EPI medicine delivery system (Terasha Healthcare Sci-Tech, Shanghai, China). The procedure was repeated up to three times at 3-week intervals.

**Western blot analysis.** The samples were subjected to SDS-PAGE and Western blot analysis using specific antibodies (Abs). The expression of *gag* was determined using anti-p24 antibody (Santa Cruz Biotech, Santa Cruz, CA). The activation of extracellular signal-regulated kinase (ERK) and Jun N-terminal protein kinase (JNK) was determined using anti-phospho-ERK and anti-phospho-JNK antibodies (Cell Signaling, Beverly, MA). IκBα was assayed using anti-IκBα antibody (Santa Cruz Biotech).

**IFN-γ ELISPOT assays.** Enzyme-linked immunosorbent spot (ELISPOT) assays for gamma interferon (IFN-γ) release were performed using ELISPOT kits from BD-Pharmingen (San Diego, CA). The 96-well ELISPOT plate was coated with diluted purified anti-mouse IFN-γ monoclonal antibody in PBS overnight at 4°C. The plates were then blocked, and  $1 \times 10^5$  fresh splenocytes were added into each well and incubated with H-2d-restricted cytotoxic T lymphocyte (CTL) epitope peptides (the final concentration of each peptide was 1 μM)—P1 (AMQMLKETI), P2 (TTSTLQEIQI), and P3 (EPRDYVDRF)—for 20 h in a 37°C incubator (5% CO<sub>2</sub>). Concanavalin A (ConA; 2.5 μg/ml) was used as a positive control, and an irrelevant peptide (IGP GRAFYAR) was used as a negative control. The plates were washed and incubated with diluted biotinylated anti-mouse IFN-γ antibody for 2 h at 37°C. After a washing with PBS-Tween (PBST), the plates were incubated with diluted streptavidin-horse radish peroxidase (HRP) for 1 h at 37°C. The spots were developed by adding 100 μl of 3'-amino-9-ethylcarbazole (AEC) substrate and analyzed with an Immunospot reader (CTL, Cleveland, OH).

**ELISA.** The serum antibodies against HIV-1 *gag* were assessed using an enzyme-linked immunosorbent assay (ELISA) as previously described

(31). The endpoint antibody titers were defined as the last reciprocal serial serum dilution at which the absorbance at 450 nm was greater than two times the background signal detected.

**Intracellular cytokine staining.** Freshly isolated splenocytes were plated into round-bottom 96-well plates ( $2 \times 10^6$  cells per well) and incubated with either stimulation peptides (HIV-1 gag peptides) or negative peptides and 3 μg/ml of brefeldin A. The surface markers were stained with peridinin chlorophyll protein (PerCP)-Cy5.5-labeled anti-mouse CD3, fluorescein isothiocyanate (FITC)-labeled anti-mouse CD8, and phycoerythrin (PE)-conjugated anti-mouse CD4. The internal molecules were stained with allophycocyanin (APC)-labeled anti-mouse IFN-γ as previously described (36). Stained samples were analyzed using BD FACS Calibur.

**Histology and immunohistochemical analysis.** BALB/c mice received minicircle DNA, pVAX1, or PBS by i.m. injection with or without *in vivo* EP. Four days after injection, the mice were sacrificed, and the muscle tissues were processed for histological analysis. The tissues were embedded in paraffin, sectioned at 7 μm, and stained with hematoxylin and eosin (H&E). For immunohistochemical staining, the muscle samples were embedded in OCT. The serial cross sections, measuring 7 μm in thickness, were prepared and stained with antibodies specific for Gr-1, CD11b, F4/80, CD4, CD8, and B220 (BD Biosciences, San Jose, CA).

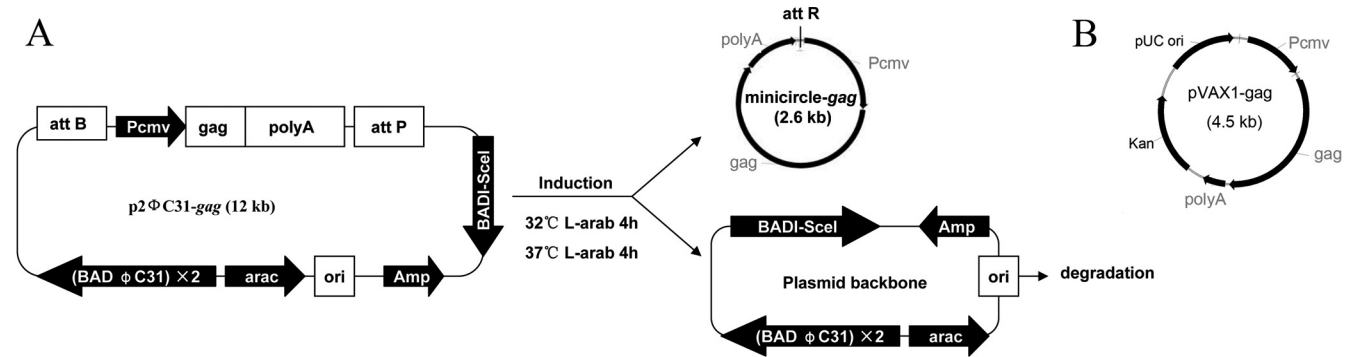
**Quantitative RT-PCR analysis.** The mice were treated with or without *in vivo* EP and sacrificed at 12 h postinjection. Immediately after the mice were sacrificed, the muscle tissue was removed, the total RNA was extracted to perform quantitative reverse transcription-PCR (RT-PCR) analyses with a SYBR green real-time PCR kit according to the manufacturer's instructions (Applied Biosystems, Life Technologies Corporation, Carlsbad, CA).

***In vivo* imaging of minicircle distribution and minicircle-mediated luciferase expression.** For *in vivo* tracking of the distribution of minicircle DNA, the mice were injected with 20 μg of ethidium monoazide (EMA)-labeled minicircle-luciferase with or without *in vivo* EP. Ten minutes later, the mice were imaged using the In Vivo Imaging System FX Pro (Carestream Molecular Imaging). For *in vivo* imaging of minicircle-mediated luciferase reporter gene expression, the mice were injected with 20 μg of minicircle-luciferase with or without *in vivo* EP. At 7 days postinjection, the mice were injected intraperitoneally with D-luciferin potassium salt in PBS and imaged using an In Vivo Imaging System FX Pro 5 to 10 min later.

**Statistical analysis.** The data are presented as means ± standard deviations (SDs). Statistical comparisons were performed using SPSS 11.5 (SPSS, Inc., Chicago, IL). Parametrical data were compared using Student's *t* test. One-way analysis of variance (ANOVA) was used to determine the difference between independent groups. The differences between the variants were considered to be statistically significant at a *P* value of <0.05.

## RESULTS

**Minicircle DNA mediates a higher level of *gag* expression than pVAX1 *in vitro* and *in vivo*.** We cloned the codon-optimized HIV-1 *gag* gene into the p2ΦC31 vector to obtain the construct p2ΦC31-*gag*. This parent plasmid was then transformed into the novel minicircle producer strain ZYCY10P3S2T to produce high-quality minicircle-*gag* (Fig. 1A) (37). pVAX1-*gag* was constructed as the positive control (Fig. 1B). Each vector contains the same sequences of *gag* expression cassettes. To evaluate the expression and immune potency of minicircle DNA vaccines, we transfected mouse C2C12 cells with p2ΦC31-*gag* (12 kb), minicircle-*gag* (2.6 kb), or pVAX1-*gag* (4.5 kb) (Fig. 1). As expected, higher levels of *gag* were produced in minicircle-*gag*-transfected cells than in those transfected with p2ΦC31-*gag* (9.9-fold at 48 h and 6.5-fold at 96 h) or pVAX1-*gag* (1.6-fold at 48 h and 1.4-fold at 96 h) (Fig. 2A and B). To evaluate the expression of *gag in vivo* further,



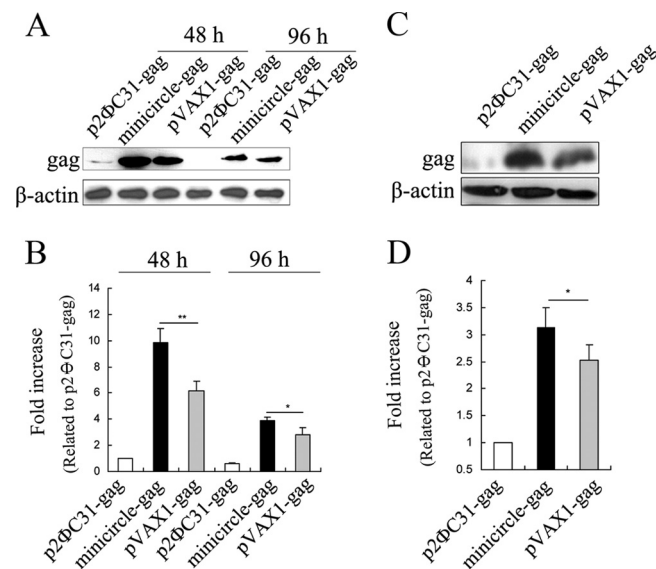
**FIG 1** Production of minicircle-gag. (A) A codon-optimized *gag* gene was inserted into the p2ΦC31 vector. This parent plasmid was then transformed into *E. coli* strain ZYCY10P3S2T. After the induction of L-arabinose, minicircle-gag was produced and purified. Pcmv, immediate-early human cytomegalovirus enhancer/promoter; polyA, bovine growth factor polyadenylation signal; Amp<sup>r</sup>, ampicillin resistance gene; ori, pUC origin of DNA replication; BAD, araBAD promoter; araC, araC repressor; attB, bacterial attachment site; attP, phage attachment site; attR, right hybrid sequence; I-SceI, I-Sce I gene. (B) Construct of pVAX1-gag. Each vector carries the same *gag* expression cassette (Pcmv-gag-polyA).

BALB/c mice were intramuscularly injected with p2ΦC31-gag, minicircle-gag, or pVAX1-gag. Seven days later, the mice were sacrificed and the muscle tissue samples were subjected to Western blot analysis. The *gag* expression mediated by minicircle DNA was 3-fold higher than that mediated by p2ΦC31 and 1.3-fold higher than that mediated by pVAX1 (Fig. 2C and D). Together, these results indicate that minicircle DNA achieves higher expression efficiency than conventional plasmids.

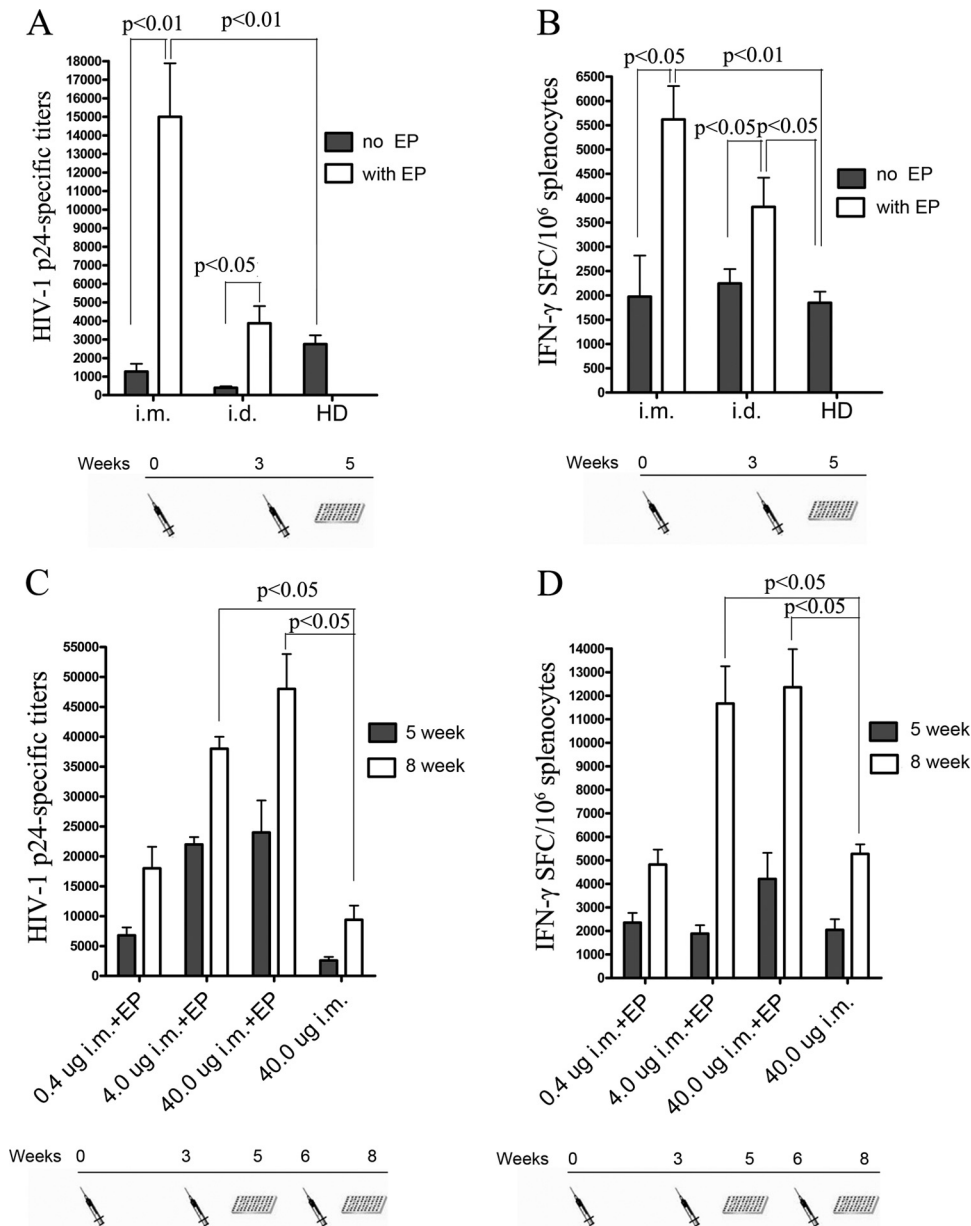
**Optimization of *in vivo* minicircle-gag delivery.** Because the immunogenicity of DNA vaccines greatly depends upon the delivery methods used for immunization (24), we investigated the

immunogenicity of different routes of minicircle-gag delivery. Groups of 10 mice were given 20 μg of minicircle-gag delivered by intramuscular (i.m.) injection with or without *in vivo* EP, intradermal (i.d.) injection with or without *in vivo* EP, or hydrodynamic delivery (HD) alone. gag-specific humoral and cellular immune responses were assessed by p24-specific ELISAs and IFN-γ ELISPOT assays, respectively. The results in Fig. 3A and B clearly showed that *in vivo* EP enhanced the immunogenicity of minicircle-gag delivered by i.m. or i.d. injection. *In vivo* EP-assisted i.m. injection of minicircle-gag induced a 12.3-fold increase in p24-specific antibody titers ( $P < 0.05$ ) and a 2.8-fold increase in IFN-γ-secreting cytotoxic T lymphocytes (CTLs) ( $P < 0.05$ ) compared with i.m. injection alone at weeks 5 after the prime immunization. *In vivo* EP-assisted i.d. injection stimulated a 12-fold increase in p24-specific antibody titers ( $P < 0.05$ ) and a 1.7-fold increase in the IFN-γ-secreting CTLs ( $P < 0.05$ ) compared with those obtained with i.d. injection alone. Also, EP-based delivery showed a higher magnitude of immune response than HD. Notably, i.m. injection with *in vivo* EP induced the strongest humoral and cellular immune responses of the delivery methods used in this study, and hence, this strategy was selected for the following study.

We investigated the dose response to minicircle-gag delivered with *in vivo* EP-assisted i.m. injection. BALB/c mice were immunized with 0.4, 4.0, or 40 μg of minicircle-gag by i.m. injection with EP at weeks 0, 3, and 6. Groups of 10 mice given 40 μg of minicircle-gag by i.m. injection not receiving *in vivo* EP were used as controls. With respect to p24 Ab titers, a clear dose-dependent response relationship was observed at weeks 8 (Fig. 3C). More importantly, even the lowest minicircle dose (0.4 μg) delivered i.m. with EP resulted in the induction of high anti-p24 titers, comparable to those elicited by a 100-fold-higher dose of minicircle-gag (40 μg) delivered by i.m. injection alone ( $P < 0.05$ ). These results suggest that EP-based delivery dramatically enhanced the dose efficiency of the DNA vaccine. Similar results were also obtained in the cell-mediated immune response assays. The 0.4-μg dose given with *in vivo* EP induced the same mean peptide-specific CTL response levels as the 40-μg dose delivered without *in vivo* EP. There was no significant difference in the levels of CTL response between the groups receiving 4-μg or 40-μg doses of minicircle-gag when using *in vivo* EP (Fig. 3D). Taken together,



**FIG 2** Determination of HIV-1 *gag* gene expression mediated by minicircle DNA. (A) C2C12 cells were transfected with 2.0 μg of p2ΦC31-gag, 2.0 μg of pVAX1-gag, or 1.14 μg of minicircle-gag (equimolar with pVAX1-gag) and harvested at 48 h and 96 h posttransfection, respectively. Expression of *gag* was monitored by Western blotting. (C) BALB/c mice were intramuscularly injected with 20.0 μg of p2ΦC31-gag, 20.0 μg of pVAX1-gag, or 11.4 μg of minicircle-gag (equimolar with pVAX1-gag). The samples were harvested 7 days later for Western blot analysis. (B and D) The histograms indicate the levels of the protein determined from 3 independent experiments expressed as the fold change relative to that in the p2ΦC31-gag control after normalization to β-actin. Values are means ± SDs. \*,  $P < 0.05$  versus the pVAX1-gag control.



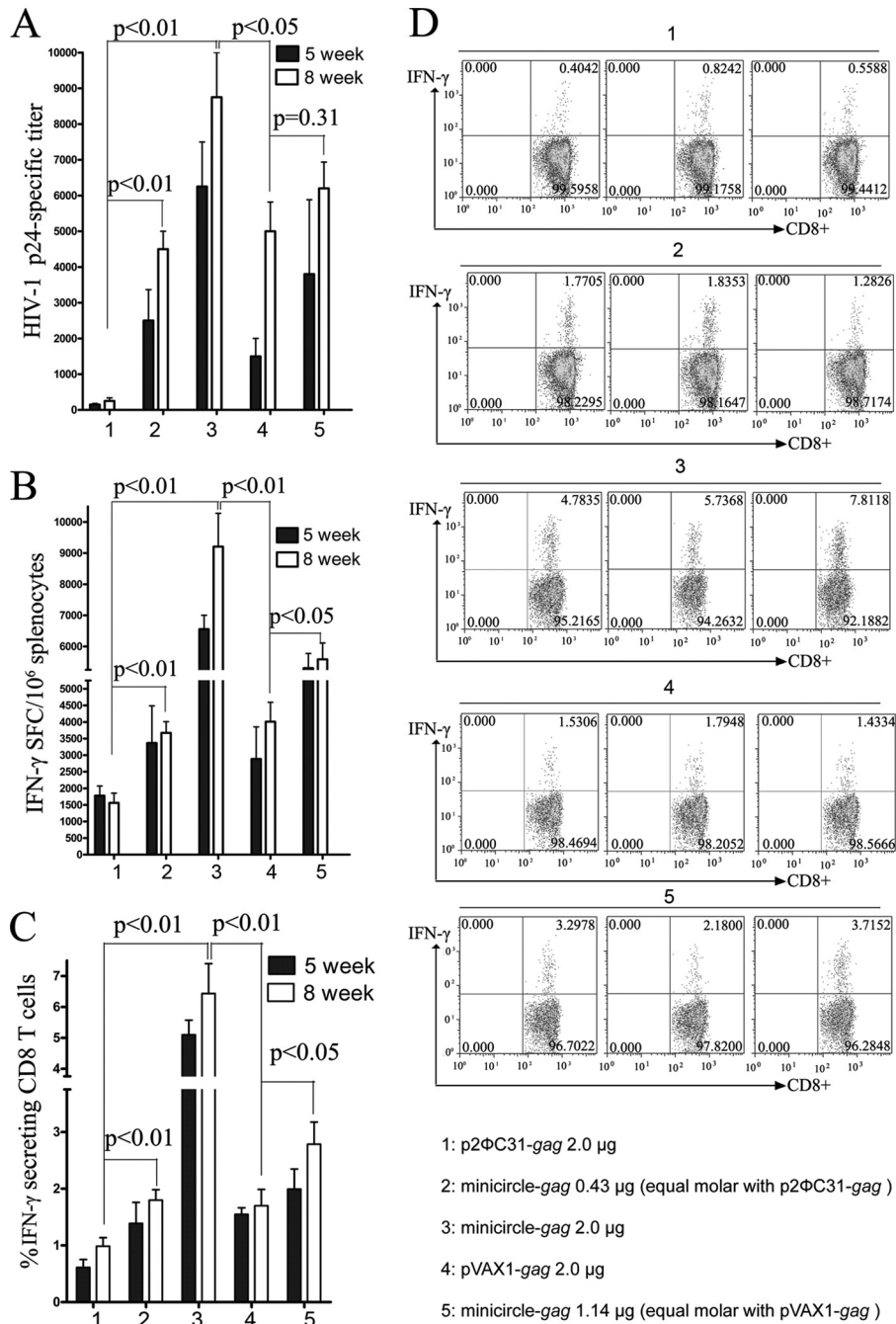
**FIG 3** Detection of gag-specific humoral and cellular immune responses following immunization with minicircle-gag by different delivery strategies. Groups of five BALB/c mice were immunized with 20  $\mu\text{g}$  of minicircle-gag at weeks 0 and 3 by i.m. injection with or without EP, i.d. injection with or without EP, or HD alone. At 5 weeks after the prime immunization, gag-specific humoral and cellular immune responses were assessed by p24-specific ELISAs (A) and gag epitope peptide IFN- $\gamma$  ELISPOT assays (B). (C and D) gag-specific humoral and cellular immune responses induced by different doses of minicircle-gag administered i.m. with or without EP at 5 weeks and 8 weeks after the prime immunization. *P* values of  $<0.05$  were considered significant and were determined using one-way ANOVA followed by Tukey multiple-comparison tests.

the results show that *in vivo* EP dramatically enhances the immunogenicity of minicircle-gag, supporting the benefits of the adjuvant effects mediated by *in vivo* EP.

**Comparison of the immunogenicities of *in vivo* EP-assisted minicircle-gag and conventional plasmid vector.** To determine if the enhanced expression of minicircle-encoded gag corresponded to increased immunogenicity *in vivo*, we immunized BALB/c mice with equal weights or equimolar amounts of p2 $\Phi$ C31-gag, minicircle-gag, or pVAX1-gag via *in vivo* EP. As shown in Fig. 4A, mice immunized with 2  $\mu\text{g}$  of minicircle-gag

(bars 3) showed significantly higher anti-p24 titers than the equal weight of p2 $\Phi$ C31-gag group (1.5 to 16-fold [bars 1]) or the equal weight of pVAX1-gag group (1.5 to 2.1-fold [bars 4]) at weeks 8. The anti-p24 titers were also comparable between mice immunized with equimolar amounts of p2 $\Phi$ C31-gag and minicircle-gag. The latter is statistically comparable to the 9-fold increase in p24 titers at weeks 8 (Fig. 4A, bars 2 and 1). Equimolar amounts of minicircle-gag also showed higher anti-p24 titers than equimolar amounts of pVAX1-gag at weeks 5 (bars 4 and 5).

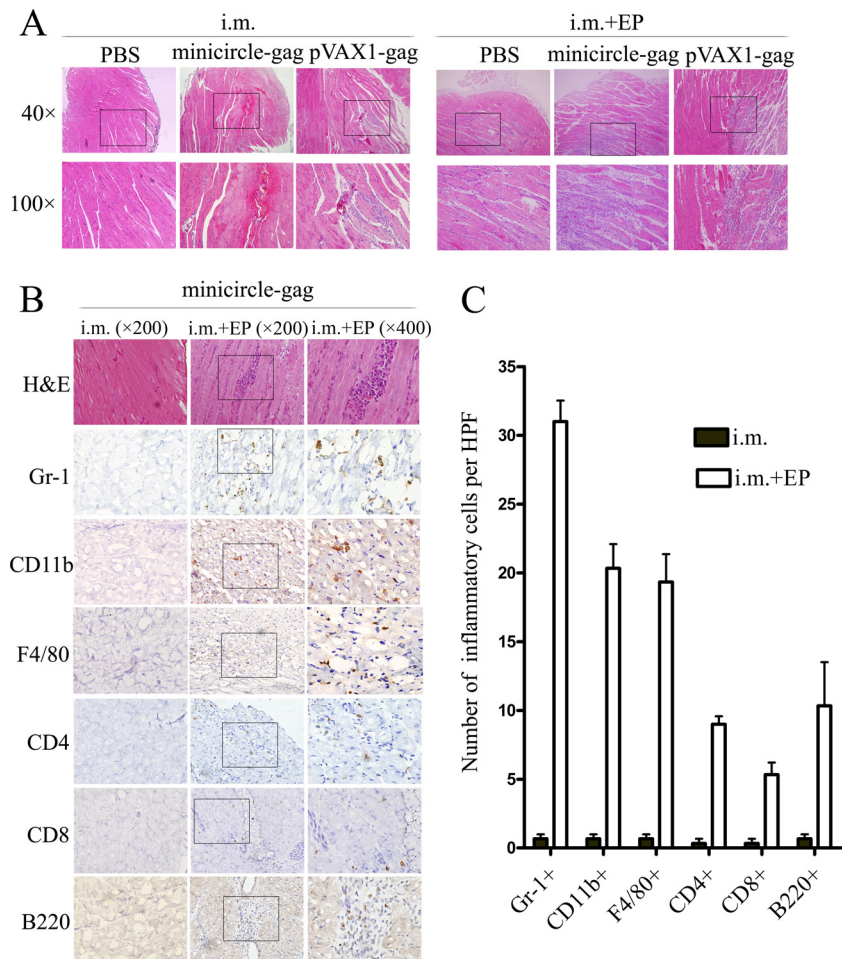
The levels of T cell responses were determined by IFN- $\gamma$



**FIG 4** Comparison of the immunogenicity of *in vivo* EP-assisted minicircle-gag, p2ΦC31-gag, and pVAX1-gag. Groups of 10 BALB/c mice were immunized with p2ΦC31-gag, minicircle-gag, or pVAX1-gag at the indicated doses two or three times at 3-week intervals *i.m.* with *in vivo* EP. The immunized mice were sacrificed at 5 weeks and 8 weeks after the prime administration. The humoral and cellular immune responses were evaluated by p24-specific ELISA (A), gag epitope peptide IFN-γ ELISPOT assays (B), and intracellular cytokine staining assays (C and D). *P* values of <0.05 were considered significant using one-way ANOVA followed by Tukey multiple-comparison tests.

ELISPOT assay and intracellular cytokine staining. With respect to the IFN-γ-producing spot-forming cells (SFCs) and CD8<sup>+</sup> T cells, the results were consistent with observations from p24 Ab titers. Compared to those obtained with p2ΦC31-gag, an equal weight of minicircle-gag elicited a 4- to 6-fold increase in IFN-γ-producing SFCs and CD8<sup>+</sup> T cells at weeks 8 (Fig. 4B to D, bars 3 and 1). Equimolar amounts of minicircle-gag elicited a 2- to 3-fold

increase in T cell responses (bars 2 and 1). Compared to those obtained with pVAX1-gag, an equal weight of minicircle-gag elicited a 2.5- to 3-fold increase in IFN-γ-producing SFCs and CD8<sup>+</sup> T cells at weeks 8 (Fig. 4B to D, lanes 3 and 4). Equimolar amounts of minicircle-gag elicited a 1.5- to 2.1-fold increase in T cell responses (lanes 5 and 4). Thus, it is clear that EP delivered minicircle-gag exhibited better immunogenicity than pVAX1-



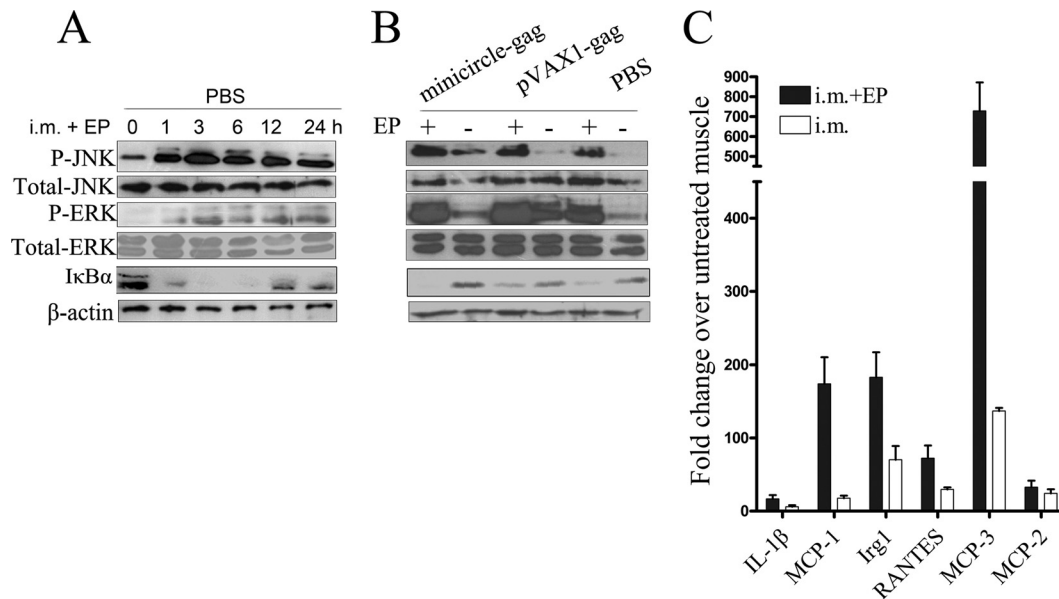
**FIG 5** Immune cell infiltration at the i.m. injection site induced by EP. Groups of five BALB/c mice were injected with PBS, 20  $\mu$ g of minicircle-gag, or 20  $\mu$ g of pVAX1-gag i.m. with or without EP. Four days later, the injected muscles were obtained for H&E staining (A) and immunohistochemical analysis (B) with the antibodies as indicated. (C) The mean numbers of inflammatory cells per high-power field (HPF) ( $\times 200$ ). Data shown are representative of three independent experiments.

gag, which is specifically designed and licensed by the FDA for the development of DNA vaccines.

***In vivo* EP facilitates inflammatory cell infiltration at the injection site.** To determine the mechanism by which *in vivo* EP of minicircle induced a higher level of immune responses, we investigated the effects of this strategy on the local inflammatory response at the site of injection. BALB/c mice were injected with PBS, minicircle-gag, or pVAX1-gag i.m. with or without *in vivo* EP. Four days later, the muscles were obtained for hematoxylin and eosin (H&E) staining and immunohistochemical analysis. As expected, a local inflammatory response was not detected at the injection site following i.m. injection of PBS, minicircle-gag, or pVAX1-gag. In contrast, mice from EP-treated groups showed moderate muscle degeneration and pronounced mononuclear cell infiltration, clearly showing that *in vivo* EP causes a local tissue damage and inflammatory response (Fig. 5A). There were no significant differences in the degree of local inflammation in mice treated with EP of minicircle-gag or pVAX1-gag. A systematic evaluation of the inflammatory infiltrate at the injected site revealed that the infiltrates contained a large proportion of Gr-1<sup>+</sup> granulocytes,

CD11b<sup>+</sup> macrophages or dendritic cells, F4/80<sup>+</sup> macrophages, CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, and B220<sup>+</sup> B lymphocytes (Fig. 5B and C). The infiltration of these cells may additively assist in the priming of immune responses.

***In vivo* EP results in local activation of JNK, ERK, and NF- $\kappa$ B pathways and upregulation of immune regulatory genes.** To explore the potential mechanisms by which EP causes inflammation and recruits macrophages and lymphocytes to the injection site, we examined the induction of inflammatory markers, including JNK, ERK, and NF- $\kappa$ B pathways, after *in vivo* EP treatment. BALB/c mice were injected with PBS i.m. with EP, and the injection site were surgically removed 0, 3, 6, 12, and 24 h after administration. The phosphorylation of the key mitogen-activated protein kinase (MAPK) family members and the degradation of I $\kappa$ B $\alpha$ , an inhibitor of the NF- $\kappa$ B pathway, were analyzed by Western blot analysis. As shown in Fig. 6A, *in vivo* EP rapidly induced the phosphorylation of JNK and ERK1/2 but not that of p38 (data not shown), which reached the highest level at 3 h postadministration. *In vivo* EP also significantly reduced I $\kappa$ B $\alpha$  protein accumulation, which, in turn, led to NF- $\kappa$ B activation. Moreover, the results in Fig. 6B suggested that the phosphorylation of JNK and ERK1/2



**FIG 6** Effects of *in vivo* EP on the activation of JNK, ERK, and NF- $\kappa$ B pathways and the expression of immune regulatory genes. (A) BALB/c mice were injected with PBS i.m., with *in vivo* EP. Animals were sacrificed at the indicated time points and the involved muscles were removed. Total cell lysates were prepared and analyzed for p-JNK, p-ERK1/2, and I $\kappa$ B $\alpha$  by Western blotting. Total JNK, ERK1/2, and  $\beta$ -actin levels were used as loading controls. (B) BALB/c mice were injected with 20  $\mu$ g of minicircle-gag, 20  $\mu$ g of pVAX1-gag, or PBS i.m., with or without *in vivo* EP. At 6 h postinjection, the involved muscles were removed and total cell lysates were prepared for Western blotting with the antibodies indicated. (C) BALB/c mice were injected with PBS i.m. with or without *in vivo* EP. At 12 h postdelivery, the injected muscles were obtained for real-time PCR analysis. Glyceraldehyde-3-phosphate dehydrogenase (GAPDH) mRNA values were used for normalization. Each sample was run in triplicates. Bars represent means  $\pm$  SDs of three independent experiments.

and degradation of I $\kappa$ B $\alpha$  were an EP-dependent but plasmid DNA-independent response.

A quantitative PCR (qPCR) analysis of the MAPK- and NF- $\kappa$ B-directed inflammatory cytokines was performed, including interleukin 1 $\beta$  (IL-1 $\beta$ ), immunoresponsive gene 1 (Irg1), regulated upon activation normal T-cell expression and secreted (RANTES), monocyte chemotactic protein 1 (MCP-1), monocyte chemotactic protein 2 (MCP-2), and monocyte chemotactic protein 3 (MCP-3). As shown in Fig. 6C, the expression of these genes was significantly increased in EP-treated mice. Together, the results show that *in vivo* EP, regardless of the DNA construct used, is capable of facilitating the recruitment of inflammatory cells at the injection site by activating JNK, ERK1/2, and NF- $\kappa$ B pathways, thereby promoting the expression of inflammatory cytokine-related genes. These factors are important for the priming of immune responses.

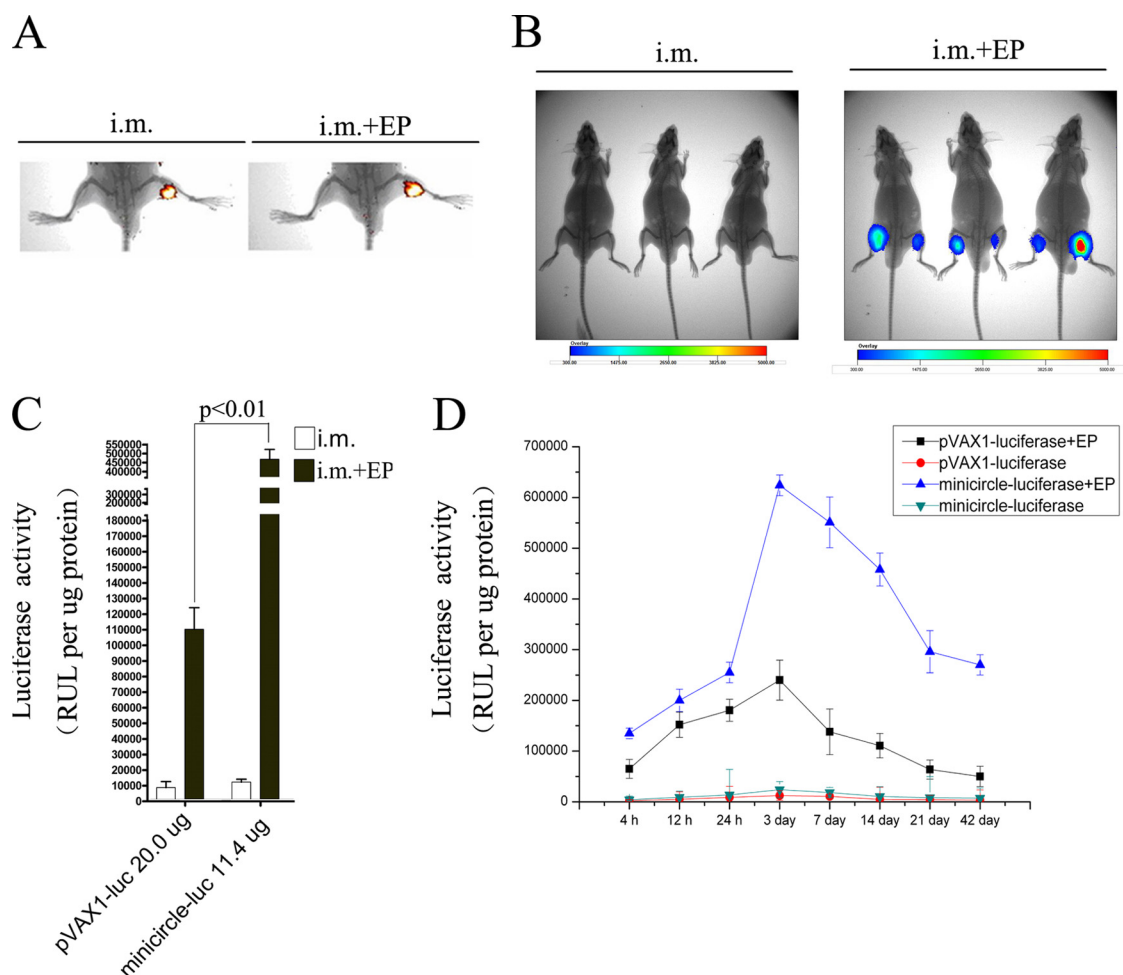
***In vivo* EP enhances the distribution and expression of minicircle DNA in muscle.** Previous studies have demonstrated that EP-based delivery dramatically enhances the biodistribution and antigen expression of conventional DNA vaccines (31, 32, 38, 39). We wanted to detect if the same was true for EP-delivered minicircle DNA. Minicircle DNA was labeled with EMA and injected into mouse muscles by i.m. with or without EP. As determined by *in vivo* imaging, the combination of *in vivo* EP facilitated the distribution of minicircle DNA in muscle tissue (Fig. 7A). We then evaluated the effect of EP-based minicircle delivery on protein expression. Minicircle carrying the firefly luciferase reporter gene was delivered into mice by i.m. injection with or without *in vivo* EP. At 7 days postinjection, the expression of luciferase reporter was analyzed using *in vivo* imaging. The signal intensity from the EP-treated group was significantly stronger than that

from the group receiving i.m. injection alone, suggesting that EP increases minicircle-mediated protein expression sufficiently (Fig. 7B).

To further evaluate the expression levels of minicircle DNA compared with conventional pVAX1 vector when delivered by EP, luciferase activity in muscle tissues was determined in mice injected with 20  $\mu$ g of pVAX1-luciferase or 11.4  $\mu$ g of minicircle-luciferase (equimolar with pVAX1-luciferase). As shown in Fig. 7C, *in vivo* EP increased the luciferase activity of minicircle DNA and pVAX1 vector 39.5-fold and 13.4-fold, respectively, compared with i.m. injection alone. Furthermore, equimolar amounts of minicircle-luciferase elicited a 4-fold increase in luciferase activity over that elicited by equimolar amounts of pVAX1-luciferase at days 7 after EP delivery. To test whether *in vivo* EP promoted long-term persistence of antigen expression at the site of injection, luciferase activity was analyzed from 4 h to 42 days after injection. In the *in vivo* EP-treated pVAX1-luciferase group, luciferase activity peaked at 3 days and was much decreased by day 21. EP-delivered minicircle increased luciferase activity significantly. It is noteworthy that minicircle-luciferase activity on day 42 was comparable with the pVAX1-luciferase peak response on day 3 (Fig. 7D). Together, these data indicate that *in vivo* EP promotes longer and higher levels of reporter genes expression than does i.m. injection alone. *In vivo* EP of minicircle DNA presented more sustained antigen expression than pVAX1 vector.

## DISCUSSION

Due to its safety, flexibility, stability, and cost-effectiveness, DNA vaccination has entered into a variety of human clinical trials (7, 40, 41). Although a proof of concept was demonstrated in a recent trial conducted in Thailand, significant scientific obstacles remain



**FIG 7** Detection of the biodistribution and expression of minicircle DNA delivered i.m. with or without *in vivo* EP at the injection site. (A) BALB/c mice were injected with 20 µg of EMA-labeled minicircle-luciferase with or without EP. Ten minutes later, the biodistribution of minicircle DNA was determined using an *in vivo* imager. (B) BALB/c mice were injected with 20 µg of minicircle-luciferase with or without EP. Seven days later, the expression of luciferase was measured *in vivo* using an *in vivo* image system. (C) BALB/c mice were injected with 20 µg of pVAX1-luciferase, or 11.4 µg of minicircle-luciferase (equimolar with pVAX1-luciferase) i.m. with or without EP. At 7 days postinjection, the involved muscles were surgically removed; total cell lysates were prepared and analyzed with the luciferase assays. (D) BALB/c mice were injected as for panel C. The involved muscles were surgically removed at the indicated time points; total cell lysates were prepared and analyzed with the luciferase assays.

in improving the antigen expression and developing an efficient and safe *in vivo* gene delivery system (42–44). In this work, we present a novel vaccine delivery system by *in vivo* EP delivery of minicircle DNA carrying a codon-optimized *gag* gene. Our data indicate that minicircle DNA is more efficient in mediating antigen expression than conventional plasmid DNA *in vitro* and *in vivo*. EP-delivered minicircle-*gag* vaccination efficiently induces *gag*-specific humoral and cellular responses. The enhanced immunogenicity of EP-assisted minicircle DNA vaccination is most likely to benefit from increasing local cellular uptake, augmenting antigen expression, recruiting immune cells, and upregulating inflammatory genes.

The use of DNA vectors represents an attractive platform for gene delivery *in vivo* (8, 45). Conventional plasmid DNA platforms suffer from low transgene expression *in situ* (46, 47). Concerns have been raised regarding the bacterial backbone sequences including the bacterial origin of replication and antibiotic resistance genes constructed in the plasmid DNA. Antibiotic resistance

markers have been shown to hinder transgene expression *in vivo* (48, 49). The bacterial backbone sequence can cause transgene silencing via covalent attachment to the expression cassette (50). In addition, the bacterial backbone sequence may cause undesirable immune responses (51, 52). Minicircle DNA may minimize the adverse effects described. First, minicircle DNA is devoid of essentially all prokaryotic sequence elements. This approach therefore avoids transgene silencing and increases the safety of DNA vaccines. Second, the lack of these backbone sequences reduces minicircle size significantly. The small size improves the transfection efficiency of DNA and entry into the nucleus. Moreover, Molnar et al. have shown that plasmid size has an inverse relationship with the level of transgene expression (53). Third, minicircle DNA vectors achieve sustained expression reflected by active chromatin and the transcriptional level (54). The robust and persistent gene expression delivered by minicircle DNA has been shown *in vitro* and *in vivo* (14, 15, 20, 37, 55). Because of this, we hypothesized that minicircle DNA may be an attractive plat-



form for DNA vaccine. We constructed minicircle DNA carrying HIV-1 *gag* to assess the potential of minicircle DNA as a vaccine vector. Our data showed that minicircle DNA is more efficient in mediating HIV-1 *gag* expression than the parent plasmid p2ΦC31 or the licensed DNA vaccine vector pVAX1 *in vitro* and *in vivo*.

Despite the advantages described, a weakness of minicircle DNA is low immunogenicity as a vaccine vector, since most of the unmethylated CpG motifs carried in the conventional plasmid backbone have been eliminated, which could act as an intrinsic adjuvant for DNA vaccines (56, 57). It is clear that the immunogenicity of DNA vaccines greatly depends upon the delivery methods used for immunization (24, 58). Therefore, we tested different routes of administration, including i.m. injection, i.m. injection with EP, i.d. injection, i.d. injection with EP, and hydrodynamic delivery (HD). HD of HIV-1 DNA vaccine to the liver has been shown to induce high and long-lasting humoral immune responses (35). In our experiment, HD delivery of minicircle-*gag* did induce higher anti-p24 titers than i.m. or i.d. injection alone. But no difference in CTL response was observed between the HD group and i.m. group or i.d. group. Alternatively, EP-assisted i.m. injection induced the strongest humoral and cellular immune responses of all the delivery methods used in this study. It is clear from the dose-response experiments that even the lowest minicircle dose (0.4 μg) delivered i.m. with EP resulted in the induction of high anti-p24 titers, comparable to those elicited by a 100-fold-higher dose of minicircle (40 μg) delivered by i.m. alone ( $P < 0.05$ ). Similar results were also obtained in the cellular immune response assays. Collectively, the results show that EP is a more effective means of administering minicircle DNA.

EP-based delivery has been used for humans and animals to enhance cellular uptake of both drugs and DNA plasmids (59, 60). EP administration transiently opens pores in the myocyte membranes, allowing plasmid entry into the nucleus and expression (61). This was also true for the delivery of minicircle DNA, as indicated in our experiments showing that EP enhanced the bio-distribution and expression of minicircle DNA in the injection site. Another major benefit of EP is that it works as an adjuvant. "Danger signals" released from the moderate tissue injury recruit antigen-presenting cells to the injection site, inducing a significant immune response (62). The detailed mechanisms need to be further characterized. In this study, we demonstrated that inflammatory cells, including Gr-1<sup>+</sup> granulocytes, CD11b<sup>+</sup> macrophages or dendritic cells, F4/80<sup>+</sup> macrophages, CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, and B220<sup>+</sup> B lymphocytes, can be recruited after EP administration. The antigen-presenting cell recruitment most likely is triggered by the activation of JNK, ERK1/2, and NF-κB pathways and upregulation of critical inflammatory genes (MCP-1, MCP-2, MCP-3, RANTES, IL-1β, and Irg1). The activation of a danger proinflammatory pathway and the recruitment of inflammatory cells by EP were DNA injection independent. Although the adjuvant effect of EP is DNA independent, *in vivo* EP-assisted minicircle-*gag* still shows a greater ability to induce Gag-specific humoral and cellular immune response than pVAX1-*gag*. This may be explained by the higher transfection efficiency and longer-lasting *gag* expression *in vivo* mediated by minicircle DNA than by pVAX1.

The major obstacle to widespread use of minicircle DNA has been its time-consuming and labor-intensive production. Kay et al. presented a robust system for production of minicircle DNA by transformation of modified bacterial strain ZYCY10P3S2T with a

minicircle producer plasmid. The procedure was greatly simplified compared to a routine plasmid preparation (37). In this study, we used this novel bacterial strain to produce minicircle DNA. To our knowledge, no systematic research exists addressing the immunogenicity of minicircle DNA as a vaccine. When we were preparing the manuscript, Dietz et al. used tattooing to deliver minicircle DNA intradermally to mice (63). They showed that minicircle DNA was superior to plasmid DNA in eliciting antigen-specific CD8<sup>+</sup> T cell responses and conferred protection against bacterial infection in a model of listeriosis. It should be recognized that persistence of antigen expression delivered by minicircle DNA with *in vivo* EP of minicircle-*gag* did not dramatically enhance its immunogenicity compared with that of pVAX1-*gag*. Thus, further studies to determine the magnitude and quality of HIV-1-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cell adaptive and memory immune responses and levels of antibody to *gag* in the memory phase of the immune response are clearly warranted. Regardless, EP-based delivery significantly enhanced the dose efficiency of minicircle DNA. The results also suggested that the immunogenicity of minicircle DNA greatly depends on the delivery methods used for immunization.

In conclusion, we show for the first time that the combination of i.m. injection with *in vivo* EP is a more efficient route for minicircle delivery. *In vivo* EP of minicircle DNA may function as a novel vaccine platform that enhances efficiency and immunogenicity of DNA vaccines.

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