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## Structural Basis for Recruitment and Activation of the AP-1 Clathrin Adaptor Complex by Arf1

Xuefeng Ren<sup>1</sup>, Ginny G. Farias<sup>1</sup>, Bertram J. Canagarajah<sup>2</sup>, Juan S. Bonifacino<sup>1,3</sup>, and James H. Hurley<sup>2,3</sup>

<sup>1</sup>Cell Biology and Metabolism Program, Eunice Kennedy Shriver National Institute of Child Health and Human Development, Bethesda, MD 20892, USA

<sup>2</sup>Laboratory of Molecular Biology, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, Bethesda, MD 20892, USA

### Summary

AP-1 is a clathrin adaptor complex that sorts cargo between the *trans*-Golgi network and endosomes. AP-1 recruitment to these compartments requires Arf1-GTP. The crystal structure of the tetrameric core of AP-1 in complex with Arf1-GTP, together with biochemical analyses, shows that Arf1 activates cargo binding by unlocking AP-1. Unlocking is driven by two molecules of Arf1 that bridge two copies of AP-1 at two interaction sites. The GTP-dependent switch I and II regions of Arf1 bind to the N-terminus of the  $\beta 1$  subunit of one AP-1 complex, while the back side of Arf1 binds to the central part of the  $\gamma$  subunit trunk of a second AP-1 complex. A third Arf1 interaction site near the N-terminus of the  $\gamma$  subunit is important for recruitment, but not activation. These observations lead to a model for the recruitment and activation of AP-1 by Arf1.

### Introduction

Clathrin-coated vesicles (CCVs) play major roles in intracellular transport of selected cargo molecules from the plasma membrane, *trans*-Golgi network (TGN) and endosomes (Brodsky et al., 2001; Kirchhausen, 2000). CCV formation starts with the recruitment of adaptor proteins (APs) from the cytosol to the target membranes. The membrane-bound APs interact with sorting signals contained within the cytosolic tails of transmembrane cargo proteins while also inducing the polymerization of clathrin into a polyhedral, lattice-like scaffold. Clathrin-coated membranes curve, eventually leading to the budding of CCVs that contain specific sets of cargo molecules.

The main clathrin APs are two homologous, heterotetrameric complexes named AP-1 ( $\gamma$ - $\beta 1$ - $\mu 1$ - $\sigma 1$ ) and AP-2 ( $\alpha$ - $\beta 2$ - $\mu 2$ - $\sigma 2$ ) (subunit composition in parenthesis), which function at the TGN/endosomes and plasma membrane, respectively (Owen et al., 2004; Robinson, 2004). Both complexes are structured as a “core” domain comprising the N-terminal “trunk”

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<sup>3</sup>Correspondence: bonifacinoj@helix.nih.gov or james.hurley@nih.gov.

Other methods are described in the extended experimental procedures online.

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portions of  $\gamma/\alpha$  and  $\beta 1/\beta 2$  plus the whole  $\mu 1/\mu 2$  and  $\sigma 1/\sigma 2$  subunits, and two “appendage” domains corresponding to the C-terminal portions of  $\gamma/\alpha$  and  $\beta 1/\beta 2$ , which are connected to the core by two long, largely unstructured “hinge” sequences. The core domain mediates recruitment to membranes and recognition of sorting signals while the hinge-ear domains interact with clathrin and various accessory proteins. Both AP-1 and AP-2 recognize at least two types of sorting signal: tyrosine-based YXX $\emptyset$ -type signals through binding to the  $\mu 1/\mu 2$  subunits (Boll et al., 1996; Ohno et al., 1996; Ohno et al., 1995; Owen and Evans, 1998) and dileucine-based [DE]XXX[LI]-type signals through binding to a site at the interface of the  $\gamma$ - $\sigma 1$  and  $\alpha$ - $\sigma 2$  subunits (amino acids in single letter code; X is any amino acid and  $\emptyset$  a bulky hydrophobic amino acid) (Chaudhuri et al., 2007; Doray et al., 2007; Janvier et al., 2003; Kelly et al., 2008; Mattera et al., 2011).

The mechanisms of signal recognition and membrane recruitment have been worked out in greatest detail for AP-2. Biochemical and X-ray crystallographic analyses have shown that the AP-2 core occurs in two distinct conformations: a cytosolic, “locked” conformation where binding sites for YXX $\emptyset$  and [DE]XXX[LI] signals are occluded by portions of  $\beta 2$  (Collins et al., 2002), and a membrane-bound, “open” conformation where these binding sites are exposed (Jackson et al., 2010). The AP-2 core also has four clusters of basic residues (one cluster each on  $\alpha$  and  $\beta 2$ , and two on  $\mu 2$ ) that serve as binding sites for the headgroups of membrane phosphatidylinositol-4,5-bisphosphate (PI(4,5)P<sub>2</sub>) (Collins et al., 2002; Gaidarov et al., 1996; Jackson et al., 2010; Rohde et al., 2002). In the locked conformation, the  $\mu 2$  C-terminal domain responsible for binding to the YXX $\emptyset$  signal is sequestered in a bowl formed by the trunk domains of the  $\alpha$  and  $\beta 2$  subunits. In the open conformation, the two signal-binding sites and four PI(4,5)P<sub>2</sub>-binding sites become coplanar, enabling simultaneous interactions with cargo proteins and PI(4,5)P<sub>2</sub>, and thus stabilizing the open conformation of the core (Jackson et al., 2010). The enrichment of PI(4,5)P<sub>2</sub> at the plasma membrane (Di Paolo and De Camilli, 2006) ensures that AP-2 is specifically recruited to this compartment.

The structural bases for AP-1 signal recognition and membrane recruitment are less well understood. The AP-1 core also occurs in a locked conformation similar to that of the AP-2 core, as shown by X-ray crystallography (Heldwein et al., 2004). The existence of an open conformation of the AP-1 core has not been demonstrated by structural methods but is supported by other lines of evidence. First, the residues that bind YXX $\emptyset$  and [DE]XXX[LI] signals in AP-2 (Jackson et al., 2010; Kelly et al., 2008; Owen and Evans, 1998) are highly conserved in AP-1 (Heldwein et al., 2004), and mutation of these residues abrogates binding of both types of signal to AP-1 in yeast two- and three-hybrid assays (Carvajal-Gonzalez et al., 2012; Mattera et al., 2011). Second, binding of one type of signal enhances binding of the other type, likely due to stabilization of an open conformation (Lee et al., 2008a).

Whereas the mechanisms of signal recognition by AP-1 and AP-2 appear quite similar, the determinants of recruitment to their corresponding membranes differ significantly. The AP-1 core has a phosphoinositide-binding site with preference for phosphatidylinositol-4-phosphate (PI(4)P) on its  $\gamma$  subunit, at a location similar to that of the PI(4,5)P<sub>2</sub>-binding site on AP-2  $\alpha$  (Heldwein et al., 2004; Wang et al., 2007). PI(4)P is enriched within domains of the TGN and endosomes (Di Paolo and De Camilli, 2006), consistent with the association of AP-1 to these compartments. In contrast to the case of AP-2, however, phosphoinositides alone are insufficient to recruit AP-1 to its sites of action. Instead, the key determinant of AP-1 targeting to the TGN/endosomes is its interaction with members of the ADP ribosylation factor (Arf) family of small GTPases, particularly Arf1 (Seaman et al., 1996; Stamnes and Rothman, 1993; Traub et al., 1993). Arf1 cooperates with cargo and phosphoinositides such that AP-1 binding to all of these components is thought to be necessary for targeting under normal conditions (Crottet et al., 2002; LeBorgne et al., 1996;

Lee et al., 2008a), although enrichment of cargo signals to high levels can override this requirement (Lee et al., 2008b). Arfs cycle between a GDP-bound, inactive cytosolic form and a GTP-bound, active membrane-tethered form (Donaldson and Jackson, 2011). Conversion to the GTP-bound form requires a guanine nucleotide exchange factor (GEF), whereas conversion to the GDP-bound form is catalyzed by a GTPase activating protein (GAP). Loading with GTP causes Arfs to undergo a conformational change, exposing a myristoylated N-terminal amphipathic helix that inserts into the membrane, while reconfiguring its switch I-II and interswitch regions to allow binding of effector proteins (Donaldson and Jackson, 2011). Arf1 has many effectors, including AP-1 as well as the homologous heterotetrameric complexes AP-3 (Ooi et al., 1998), AP-4 (Boehm et al., 2001) and COPI (F subcomplex) (Serafini et al., 1991). Arf1 is not enriched at the plasma membrane, and is not thought to interact with AP-2 in cells. Some studies, however, have suggested that the plasma membrane associated Arf6 could be involved in recruiting AP-2 (Krauss et al., 2003; Montagnac et al., 2011; Paleotti et al., 2005; Poupart et al., 2007). Thus, the question of how Arf family GTPases recognize, recruit, and activate AP complexes has broad implications for intracellular traffic.

Recently, important insight into Arf1 recognition was obtained from the structure of a truncated  $\gamma\zeta$  subcomplex from COPI (Yu et al., 2012). The goal of the present study was to take the next step in understanding whether Arf1 regulates not only the localization, but also the conformation of heterotetrameric sorting complexes. To address this question, we solved the crystal structure of the AP-1 core in complex with GTP-bound Arf1. The most important insight is that Arf1-GTP alone, in the absence of cargo or PI(4)P, can unlock AP-1 and drive it into the open conformation. AP-1 contains two binding sites for the canonical switch I and II surface on Arf1, one on each of the two trunk domains. Both of these Arf1-binding sites are required for high-affinity binding *in vitro* and for subcellular localization to the TGN/endosomes, but only the site on  $\beta 1$  is important for activation. Moreover, a novel surface on the C-terminal portion (“back side”) of Arf1, distal to switch I and II, was found to be required for full allosteric activation, although it does not contribute to recruitment. Taken together with the dimeric assemblage of AP-1 in the crystal lattice, a model for the allosteric activation mechanism was deduced. Reconstitution of the recruitment of AP-1 to liposomes by Arf1, cargo, and PI(4)P highlights the profound cooperativity between the binding of cargo to AP-1 and the  $\beta 1$  and back side interactions with Arf1.

## Results

### Structure of the AP-1:Arf1 complex

The core of the AP-1 adaptor complex was reconstituted by co-expressing the trunk domains of the murine  $\gamma 1$  (residues 1-595) and human  $\beta 1$  (residues 1-584) subunits with full-length human  $\sigma 1C$  and murine  $\alpha 1A$  subunits in *E. coli* using a single polycistronic expression plasmid. Human Arf1 bearing the GTPase mutation Q71L and the N-terminal truncation  $\Delta 1-16$  (Arf1 $^{\Delta 1-16}$ ) was loaded with GTP and mixed at a 4:1 excess of Arf1 relative to AP-1. Crystals were obtained that diffracted to 7.0 Å resolution.

The crystal structure of the AP-1:Arf1-GTP complex (Fig. 1A) was determined by the molecular replacement method. Because it was not known a priori whether the crystallized AP-1 core would be in one of the expected locked or open conformations, or in some novel conformation, test searches were run using all of the available crystal structures of AP-1 and AP-2 core complexes. A solution was obtained using as a search model the core of AP-2 in the open conformation (PDB 2XA7) (Jackson et al., 2010). At this stage, clear  $(F_o - F_c)_{\text{calc}}$  difference electron density was visible for the entire Arf1 $^{\Delta 1-16}$  molecule (Fig. 1B). The 1.6 Å structure of the GTP-bound form of murine Arf1 $^{\Delta 1-17}$  (Shiba et al., 2003) (PDB 1O3Y) was used as a search model to position the molecule in the unit cell. The clarity of this

unbiased difference map, in spite of its low resolution, persuaded us to refine the structure and characterize its functional implications. It is not possible to visualize side-chains at this resolution. However, given the availability of well-refined starting models for substructures, contemporary refinement methodology makes it possible to accurately analyze the overall conformation of large protein complexes and the nature of their interfaces from diffraction data at as low as 7 Å resolution (Brunger et al., 2012).

Refinement of this structure was facilitated by the finding that the Arf1-bound conformation of AP-1 is nearly identical to the open conformation of AP-2, which was refined at 3.1 Å resolution (Jackson et al., 2010). The main-chain of the AP-1 structure was tethered to a model based on the open conformation of AP-2 using the deformable elastic network (DEN) methodology (Schroder et al., 2010). A starting model of AP-1 in this conformation was generated by superimposing the 4.0 Å resolution coordinates of the AP-1 core complex (PDB 1W63) (Heldwein et al., 2004) onto the open conformation of AP-2 on a domain-by-domain basis. The trunk domains of  $\rho$ 1 and  $\gamma$  were broken into three fragments for the superposition, and  $\mu$ 1 was broken into its N- and C-terminal domains. All of these domains had excellent fits with the sole exception of the  $\mu$ 1 C-terminal domain. Therefore, the  $\mu$ 1 C-terminal domain model was derived by replacing the side-chains of  $\mu$ 2 in the TGN38-bound structure with their cognates from  $\mu$ 1. Even though side-chains were not visualized, they were included in the refinement in order to account for their contribution to X-ray scattering. Side-chain conformations were allowed to relax in order to accommodate sequence differences with respect to the parent models used for molecular replacement and to avoid steric collisions at Arf1 binding and lattice interfaces. The resulting structure (Fig. 1A) had a free R-factor of 0.25 and excellent stereochemistry (Table S1). Moreover, as described below, the structural interfaces underwent extensive validation on the Arf1 and AP-1 sides, both in solution and in cells.

### Open conformation of AP-1

By analogy to AP-2, it was anticipated that AP-1 would be activated through a conformational change and exposure of the YXXØ and [DE]XXX[LI] binding sites. Here, we have visualized the active conformation of AP-1 in the presence of Arf1-GTP but in the absence of cargo tails, phosphoinositides, or soluble phosphoinositide analogs. The overall structure is essentially superimposable on that of the YXXØ-bound AP-2 core (Fig. 1C), which is the structural paradigm of the active conformation. This observation is consistent with the biochemical evidence that Arf1-GTP is a direct allosteric activator of AP-1.

### Structures of the AP-1:Arf1 interfaces

The crystals contain one copy each of the AP-1 core and Arf1 (Fig. 1A). Arf1 bridges two copies of the AP-1 core in the crystal lattice, such that Arf1 binds to two sites on AP-1 (Fig. 1D). The larger of the two interfaces ( $\sim 720 \text{ \AA}^2$ ) buries the switch I and II regions of Arf1 against helices  $\alpha$ 1,  $\alpha$ 3, and  $\alpha$ 5 of the  $\beta$ 1 subunit (Fig. 2A, B). The  $\beta$ 1 contact is centered on Gln59, Ile85, and Asn89. Arf1 contacts include Ile46, Ile49, Gly50, Phe51, Asn52, and Val53 of switch I, Trp66, Lys73, Ile74, Leu77, His80, Tyr81, and Gln83 of switch II, and Tyr35 of  $\alpha$ 1 (Fig. 2A, B). Switch I and II are the regions of Arf1 that change conformation upon GTP binding (Goldberg, 1998). The GDP bound conformation of Arf1 (Amor et al., 1994) is not compatible with the  $\beta$ 1 structure because of an extensive clash between switch I and  $\beta$ 1- $\alpha$ 5 (Fig. S1). The involvement of the switch regions in AP-1 binding has been noted (Liang et al., 1997), and is consistent with the GTP requirement for membrane recruitment of AP-1 and its inhibition by the Arf1 GEF inhibitor brefeldin A (Stamnes and Rothman, 1993; Traub et al., 1993). The  $\beta$ 1 binding site is in accord with an Arf1 binding site recently predicted to occur on the  $\alpha$ 4 and  $\alpha$ 6 helices of the  $\beta$ -COP subunit of COPI (Yu et al., 2012), which correspond to  $\alpha$ 3 and  $\alpha$ 5 helices of  $\beta$ 1. The direct interaction of the  $\beta$ 1 subunit is

consistent with the photocross-linking of switch I-labeled Arf1 with the  $\beta 1$  and  $\gamma$  subunits of AP-1 (Austin et al., 2002).

The smaller of the two interfaces ( $\sim 690 \text{ \AA}^2$ ) buries the C-terminal  $\alpha 4$ ,  $\beta 6$ , and  $\alpha 5$  of the back side of Arf1, which is on the opposite face from switch I and II, against the region of helices  $\alpha 12$ - $\alpha 16$  of the  $\gamma$  subunit (Fig. 2C). A cluster of large hydrophobic residues from the back side of Arf1 participate in this interface: Trp153, Tyr154, and Trp172. At the periphery of this hydrophobic cluster, Ala136, Ala137, and Gln176 also make contacts with  $\gamma$ . Confidence in the identity of residues on the  $\gamma$  subunit is limited by the resolution of the structure. Thus far it has not been possible for us to corroborate the residues on this face of the site by mutagenesis. As described below, mutational analysis of the interaction suggested this interface is involved in allosteric activation, but not in recruitment.

Several considerations led us to localize a functional Arf1 switch I and II binding site near the N-terminus of  $\gamma$  (Fig. 2D, E). First, the lattice contact between  $\gamma$  and the back side of Arf1 did not explain the observation of cross-linking between switch I and  $\gamma$  (Austin et al., 2002). Second, the crystal structure of a  $\gamma$ -COP complex containing a 15-helix fragment of the  $\gamma$ -COP trunk was recently determined in complex with Arf1 (Yu et al., 2012). This structure showed that helices  $\alpha 4$  and  $\alpha 6$  of  $\gamma$ -COP bind to Arf1 switch I and II. The Arf1-binding residues of  $\gamma$ -COP are partially conserved in the  $\gamma$  subunit of AP-1 (Fig. 2F). Finally, the trunk domains of the  $\beta 1$  and  $\gamma$  subunits are structurally homologous to each other, another line of suggestion that the  $\gamma$  subunit might possess an Arf1 switch I binding site similar to the one found near the N-terminus of  $\beta 1$ . The  $\beta 1$  and  $\gamma$  subunits were overlaid on one another, and used to generate a provisional model for Arf1 bound to  $\gamma$  via switch I and II (Fig. 2D, E). The putative binding site is centered on Leu68 and Leu71 of helix  $\alpha 4$  and Leu102 of helix  $\alpha 6$  of  $\gamma$ . This model is consistent with the results of overlaying the  $\gamma$ -COP and AP-1  $\gamma$  structures, and was subsequently validated by mutational dissection.

The Arf1 switch I and II binding sites on  $\beta 1$  and  $\gamma$  are both important for subcellular targeting, as described below, therefore we refer to them as recruitment sites. The character of these two recruitment sites is well-conserved in other Arf1-dependent APs, including AP-3, AP-4, and COPI. The Arf1 binding site on the  $\beta 1$  subunit also appears to be conserved in the AP-2 subunit  $\beta 2$  (Fig. 2F). Switch I and II residues are highly conserved among Arf family GTPases, thus this finding is consistent with the possibility that Arf6 is a direct activator of AP-2. The cognate of the  $\gamma$  subunit Arf1 binding site on the AP-2  $\alpha$  subunit is less clearly conserved, in that the key hydrophobic Leu101 of  $\gamma$  is replaced by an Arg (Fig. 2F). It remains to be determined if this or other nearby changes render the AP-2  $\alpha$  subunit unable to bind Arf family members. Each of the Arf1 binding sites comprises  $\sim 700 \text{ \AA}^2$  of buried surface area, which taken individually would amount to a low-affinity interaction. The modest amount of surface area buried in each site explains why, as described below, neither one of the sites by itself can support high-affinity binding *in vitro* or TGN/endosomal localization in cells.

### Mutational analysis of the AP-1:Arf1 interaction

The  $\beta 1$  binding site and both the crystallographic and modeled  $\gamma$  binding sites for Arf1 were assessed by mutational disruption. The mutations  $\beta 1^{I85D/V88D}$ ,  $\gamma^{L68D/L71E}$ , and  $\gamma^{L102E}$  were constructed in the context of the recombinant AP-1 core and purified from *E. coli* as GST fusions. Purification yields and subunit stoichiometries were essentially identical to wild-type for all mutants (Fig. S2A). Wild-type and mutant AP-1 cores tagged with GST were immobilized on glutathione-Sepharose beads, and their ability to bind to  $5 \mu\text{M}$  His<sub>6</sub>-Arf1 $\Delta 1-16$ -GTP was determined. The  $\beta 1^{I85D/V88D}$  mutant was the only mutant that had, within experimental error, no binding of Arf1 above the GST control (Fig. 3A, B). Thus, mutation of the crystallographic binding site on  $\beta 1$  completely eliminated binding. Two

mutants designed to disrupt the modeled  $\gamma$   $\alpha$ 4- $\alpha$ 6 binding site,  $\gamma^{L68D/L71E}$  and  $\gamma^{L102E}$ , reduced binding to  $\sim 10\%$  of wild-type levels (Fig. 3A, B). This indicates that the  $\gamma$   $\alpha$ 4- $\alpha$ 6 binding site is functional, but that its affinity for Arf1 is less than that of the  $\beta$ 1 binding site. We also tested the effect of mutations in the switch I and II (Arf1<sup>I49D</sup>, Arf1<sup>V53D</sup>, Arf1<sup>K73D</sup> and Arf1<sup>L77D/H80D</sup>) and back side regions (Arf1<sup>A136P/A137H</sup> and Arf1<sup>W172D</sup>) of Arf1 on binding to AP-1. Purification yields for all Arf1 mutants were similar to wild-type (Fig. S2B). Switch I and II mutants sharply reduced or eliminated binding, whereas back side mutants actually enhanced binding (Fig. 3C, D). These results are consistent with the crystallographic observations at the  $\beta$ 1 interface and with the proposed model for the functional  $\gamma$  interface.

In order to probe the function of the  $\beta$ 1 and  $\gamma$  interfaces in cellular function, multiple mutations were constructed at each site. The  $\beta$ 1<sup>I85D/V88D</sup> mutant is hereafter referred to as “ $\beta$ 1 $\Delta$ Arf1”, and the triple mutant  $\gamma^{L68D/L71E/L102E}$  is hereafter “ $\gamma\Delta$ Arf1”. To verify that these mutants did not cause a loss in thermal stability, differential scanning fluorimetry of wild-type and the  $\beta$ 1 $\Delta$ Arf1 and  $\gamma\Delta$ Arf1 mutant cores was carried out. Melting temperatures  $T_m$  of 56 to 58 °C were measured (Fig. S3), and no sign of melting was observed for any of the constructs at the temperatures  $T = 25$  or  $T = 37$  °C at which the *in vitro* and biological experiments were carried out.

Binding curves were obtained for wild-type and each mutant. Binding of the wild-type AP-1 core His-Arf1 could be fit to the Hill equation with  $K_d = 20 \pm 0.6 \mu\text{M}$  and a Hill coefficient of  $n_H = 3.3$ . The  $\beta$ 1<sup>I85D/V88D</sup> mutant (hereafter “ $\beta$ 1 $\Delta$ Arf1”, Fig. 3E, F) nearly eliminated binding as compared to wild-type. The triple mutant  $\gamma^{L68D/L71E/L102E}$  (hereafter “ $\gamma\Delta$ Arf1”, Fig. 3E, F) showed residual binding and its curve retained a sigmoidal character, consistent with the presence of an intact  $\beta$ 1 interface functioning in the context of an AP-1 multimer. A  $\beta$ 1/ $\gamma\Delta$ Arf1 construct was prepared by combining  $\beta$ 1 $\Delta$ Arf1 and  $\gamma\Delta$ Arf1 in the same complex, and it was found that this construct completely eliminated binding (Fig. 3E, F).

### Roles of Arf1 binding sites on $\beta$ 1 and $\gamma$ in TGN/endosomal localization

Having established the presence of two Arf1 recruitment sites *in vitro*, we examined the effect of disrupting the Arf1-binding sites of  $\beta$ 1 and  $\gamma$  on the recruitment of these proteins to the TGN/endosomes in whole cells. GFP-tagged forms of  $\beta$ 1 $\Delta$ Arf1 and  $\gamma\Delta$ Arf1 were incorporated into AP-1 complexes as efficiently as their wild-type counterparts when expressed by transfection into cells (Fig. 4A, B), consistent with previous observations (Farias et al., 2012; Huang et al., 2001). GFP-tagged  $\beta$ 1 ( $\beta$ 1<sup>WT</sup>-GFP) and mCherry-tagged  $\gamma$  ( $\gamma$ <sup>WT</sup>-mCh) co-localized with endogenous  $\gamma$  and transgenic  $\mu$ 1A-GFP, respectively, to a juxtanuclear structure characteristic of the TGN/endosomes (Fig. 4, C-E and I-K). In contrast, the mutant  $\beta$ 1 $\Delta$ Arf1-GFP and  $\gamma\Delta$ Arf1-mCh were largely cytosolic (Fig. 4, F-H and L-N). These observations indicated that the Arf1 recruitment sites on both  $\beta$ 1 and  $\gamma$  are required for targeting of AP-1 to the TGN/endosomes within cells.

### Roles of Arf1 binding sites on $\beta$ 1 and $\gamma$ in the allosteric activation of AP-1

Binding of cargo peptides bearing either tyrosine- or dileucine-based sorting signals strongly promotes the binding of Arf1 to AP-1 in solution (Lee et al., 2008a). We applied this principle to map the involvement of the individual Arf1-binding sites on  $\beta$ 1 and  $\gamma$  in this conformational change. The addition of 20  $\mu\text{M}$  of a dileucine-containing peptide from VAMP4 (Peden et al., 2001) led to a 4-fold increase in the amount of Arf1 bound to the AP-1 core (Fig. 5A, B), similar to previous results for the binding of full-length AP-1 to Arf1 in the presence of a dileucine-containing peptide from the cation-independent mannose 6-phosphate receptor (Lee et al., 2008a). As shown above in Fig. 3 (E, F),  $\beta$ 1 $\Delta$ Arf1 has essentially no interaction with Arf1 in the absence of peptide. Addition of peptide failed to

rescue Arf1 binding to AP-1- $\beta 1^{\Delta Arf1}$  (Fig. 5). The  $\gamma^{\Delta Arf1}$  form of the AP-1 complex binds weakly to Arf1 in the absence of peptide (Fig. 5A, B). When the peptide concentration was increased to 150  $\mu\text{M}$ , a 4-fold enhancement was seen relative to the absence of peptide. This suggests that the  $\gamma$  subunit recruitment site is not necessary for allosteric coupling between the Arf1 and cargo.

To provide a second view of conformational coupling between Arf1 and VAMP4, the VAMP4 sequence was immobilized, and the binding of AP-1 was measured in the presence of increasing concentrations of Arf1 (Fig. 5D, E). We observed that Arf1 enhanced binding of wild-type AP-1 to VAMP4 following a hyperbolic curve with an effective activation constant  $K_{act} = 4.0 \pm 0.3 \mu\text{M}$ . As compared to the Arf1 binding curve in the absence of VAMP4 (Fig. 3F), the activation curve is shifted sharply to the left and the apparent cooperativity is absent. Thus, the presence of VAMP4 sharply increases the affinity of AP-1 for Arf1, consistent with its promotion of the open conformation. The defect in  $\beta 1^{\Delta Arf1}$  activation is much greater than that for  $\gamma^{\Delta Arf1}$  (Fig. 5C, D). Indeed,  $\gamma^{\Delta Arf1}$  activates at a slightly lower concentration, with  $K_{act} = 2.4 \pm 0.6 \mu\text{M}$ . The  $\gamma$  recruitment interface is thus much less important for activation than for binding. The Arf1 switch I mutant I49D completely loses its ability to activate AP-1, consistent with its lack of binding to AP-1. Strikingly, Arf1 back side mutant W172D shows a sharp decrease in AP-1 activation (Fig. 5C, D). Since the W172D mutation actually increases Arf1 binding to AP-1 (Fig. 3C, D), the loss of activation cannot be ascribed to a loss of overall affinity. We hypothesize that, by bridging the AP-1 dimer, the back side of Arf1 couples binding to the conformational change in AP-1. By decoupling binding from the conformational change, the energetic cost of the conformational change is avoided, and the affinity increases. The phenotype of Arf1 W172D connects the mechanism inferred from the crystal structure to the activation of cargo binding as seen in solution (Movie S1).

### Reconstitution of synergistic recruitment by Arf1 and PI(4)P

Models for Arf1 recruitment of AP-1 were generated for the open conformation as bound to two copies of full-length myristoylated Arf1-GTP, one copy each of a tyrosine and dileucine signal-bearing cargo, and one molecule of PI(4)P (Fig. 6A), and for the closed state in the absence of cargo (Fig. 6B). The modeling suggests that Arf1, cargo and PI(4)P function synergistically in promoting binding. The models also indicate that simultaneous binding of both recruitment sites is sterically compatible with membrane binding by either the closed or open states. A third model was constructed based on the activated crystallographic dimer (Fig. 6C), which suggested that the  $\gamma$  recruitment site might not be sterically compatible with the membrane-bound activated dimer (Fig. S4).

To test whether there is synergy in membrane binding *in vitro*, PC:PE liposomes with and without PI(4)P were decorated with Arf1 via a His<sub>6</sub>-Ni<sup>2+</sup>-NTA linkage. Peptidoliposomes were prepared by chemically conjugating a VAMP4 tail construct to lipids. A VAMP4 LL->AA mutant was constructed as a control. Lipid-peptide conjugates were incorporated into liposomes at 1 mol %. In the absence of Arf1 and PI(4)P, AP-1 bound minimally ( $16 \pm 3\%$ ) to PC:PE:VAMP4-AA liposomes (Fig. 7A, B). A moderate increase in binding ( $24 \pm 3\%$ ) was seen when wild-type VAMP4 was incorporated in place of VAMP4-AA. In the presence of 50 nM Arf1, however, the majority ( $71 \pm 4\%$ ) of AP-1 bound to VAMP4 liposomes. Binding to liposomes containing 5 mol% PI(4)P was significant at  $47 \pm 6\%$ , but showed little dependence on Arf1. The incorporation of PI(4)P into liposomes bearing VAMP4 and Arf1 drove binding essentially to completion at  $86 \pm 4\%$ , (Fig. 7A, B). All of the mutant complexes behave like wild-type in the absence of Arf1 (Fig. 7A, B). However,  $\beta 1^{\Delta Arf1}$  and  $\beta 1/\gamma^{\Delta Arf1}$  are completely insensitive to the presence of Arf1 (Fig. 7A, B), consistent with their complete or nearly complete loss of activation by Arf1. In contrast,

$\gamma^{\text{Arf1}}$  behaves like wild-type in both the presence and absence of Arf1 (Fig. 7A, B), consistent with the concept that the  $\gamma$  recruitment site does not function in the activation step.

## Discussion

The discovery that AP-1 is recruited to the TGN/endosomes by Arf1-GTP dates back nearly 20 years (Stamnes and Rothman, 1993; Traub et al., 1993). This recruitment event is the prototype for a larger class of heterotetrameric sorting adaptor complex, comprising AP-1, -3, and -4, and COPI. If the Arf GTPase family is considered more broadly to include Arf6, this event might apply to AP-2 as well. The structural basis for Arf1 recognition by this class of sorting adaptor began to emerge with the structure determination of a fragment of  $\gamma$ -COP bound to Arf1 (Yu et al., 2012). Here we have extended these findings by directly visualizing the recognition of Arf1 by  $\beta$ 1-adaptin, which we find is the primary binding site for Arf1 on the AP-1 complex. We confirm the prediction that the mode of Arf1 binding described for  $\gamma$ -COP is conserved in  $\gamma$ -adaptin and serves as a second important, albeit lower affinity, binding site for Arf1 on AP-1. Finally, we discover an unexpected role for the back side of Arf1 in allosterically activating AP-1 via a contact with the central part of the  $\gamma$  trunk domain.

Here, we have visualized the active form of the intact AP-1 core in crystals, in the absence of membranes or sorting signals. The activation mechanism is derived from mutational analysis of the coupling between the binding of Arf1 and the dileucine signal peptide of VAMP4 in solution, taken together with the structural analysis. The linchpin of the activation mechanism is the Arf1:  $\beta$ 1 interface, the highest affinity Arf1-binding site on AP-1. A molecular pathway for activation was inferred in which formation of contacts between switch I and II of Arf1 and  $\beta$ 1, and the back side of Arf1 and  $\gamma$ , pivots the trunk domains and drives their opening. This model requires that at least one additional Arf1-binding site must act as a fulcrum. The ability of the two Arf1-binding sites to open AP-1, and their synergism in high-affinity binding, suggest that there is cross-talk between the two sites. In the crystal, we were able to visualize how a 2:2 arrangement of Arf1 and AP-1 molecules led to activation (Movie S1).

Taken together, the crystallography, biochemical and mutational analyses, and modeling provide a picture of one of the most complex membrane-associated allosteric pathways elucidated to date. The activation of AP-1 within a 2:2 Arf1:AP-1 assembly provides a more complicated contrast to the activation of AP-2 by PI(4,5)P<sub>2</sub> (Collins et al., 2002). AP-2 activation by PI(4,5)P<sub>2</sub> appears to be fully explained by events occurring within the context of a single AP-2 complex (Collins et al., 2002). The multiplicity of activation and recruitment sites was another surprise. The biochemical analysis shows that the  $\gamma$  recruitment site does not function to any great extent in activation, and modeling suggests that occupancy of this site is sterically incompatible with membrane binding by the dimeric assembly. This raises the intriguing possibility the initial targeting of AP-1 to the trans-Golgi network might occur through binding of one AP-1 complex in the closed state to two copies of Arf1 via the two recruitment sites (Fig. 6B). Once on the membrane, the presence of cargo could shift the AP-1 equilibrium towards the open state, as shown in Fig. 6A. The high local concentration of AP-1 complexes would then promote dimerization via formation of the Arf1 back side contact (Fig. 6C), stabilizing the open form. The  $\gamma$  recruitment site on Arf1 would then have to dissociate concomitant with AP-1 unlocking in order to allow membrane docking in the cargo-bound conformation.

Very recently, the crystal structure of the HIV-1 Nef in complex with the cytosolic tail of MHC-I and the C-terminal domain of  $\mu$ 1 was determined (Jia et al., 2012). When docked



onto the open AP-1 core in the orientation like the one shown in Fig. 6C, Nef presents its myristoyl group to the membrane (Jia et al., 2012). The concepts outlined here suggest that Nef binding is compatible with the dimeric Arf1-activated open state, and it is possible that it could promote activation in addition to its accepted function as an adaptor that links MHC-I to AP-1.

In conclusion, the structural and biochemical details of AP-1 membrane recruitment by two molecules of Arf1 have been elucidated. The most important finding in the study is that Arf1 is capable of activating AP-1 by promoting the open conformation, independent of its role in targeting AP-1 to membranes. A remarkable and unexpected structural pathway for activation has been elucidated. The principles of targeting described for AP-1 appear to extend to the activation of AP-3, -4, and COPI, and perhaps to a lesser extent to Arf6 activation of AP-2. However, it remains to be explored whether activation via dimerization and the Arf1 back side contact occurs in these other systems. The stage is now set for a holistic structural and biophysical understanding of the interplay of Arf GTPases, phosphoinositides, and other elements in CCV biogenesis in the recruitment and activation of AP complexes.

## Experimental Procedures

### Crystallization and crystallographic analysis

The *S*-carboxymethylated AP-1 core protein was mixed with Arf1<sup>Δ16-Q71L</sup> at 1:4 molar ratio in 20 mM Tris pH 7.4, 200 mM NaCl, 0.3 mM TCEP, 5 mM MgCl<sub>2</sub> and 2 mM GTP (Axxora). Crystals were grown in 3-5 days at 288 K by hanging drop vapor diffusion against a reservoir containing 0.2 M lithium sulfate, 0.1 M Tris pH 8.5, 0.2 M lithium nitrate, 0.7 M ammonium sulphate, 1 mM TCEP. The final crystal of the complex used for data collection was obtained by micro-seeding at 6 mg/ml AP-1 concentration. Crystals were cryoprotected in the reservoir solution supplemented with 30% glycerol and frozen in liquid nitrogen.

Native data were collected from a single frozen crystal using a MAR CCD detector at beamline 22-ID, Advanced Photon Source. All data were processed and scaled using HKL2000 (HKL research). The crystal diffracted to 7.0 Å resolution, and belonged to space group P6<sub>4</sub> with unit cell dimensions a=b=267.49 Å, c=191.41 Å, α=β=90°, γ=120°. A molecular replacement solution was found using the AP-2 core/TGN38 peptide structure (PDB: 2XA7) as a search model with Phaser (McCoy et al., 2007). Model building and refinement was carried out with Coot (Emsley et al., 2010) and CNS 1.3 using the DEN method (Schroder et al., 2010) (Table S1). In DEN refinement, it is standard practice to allow several final cycles of refinement that are not constrained by the elastic network. In view of the lower resolution of this data set, these extra cycles were suppressed. Only one B-factor per subunit (or two for μ1 N- and C-terminal domains) was refined. Structural figures were generated with PyMol (W Delano; <http://pymol.sourceforge.net/>).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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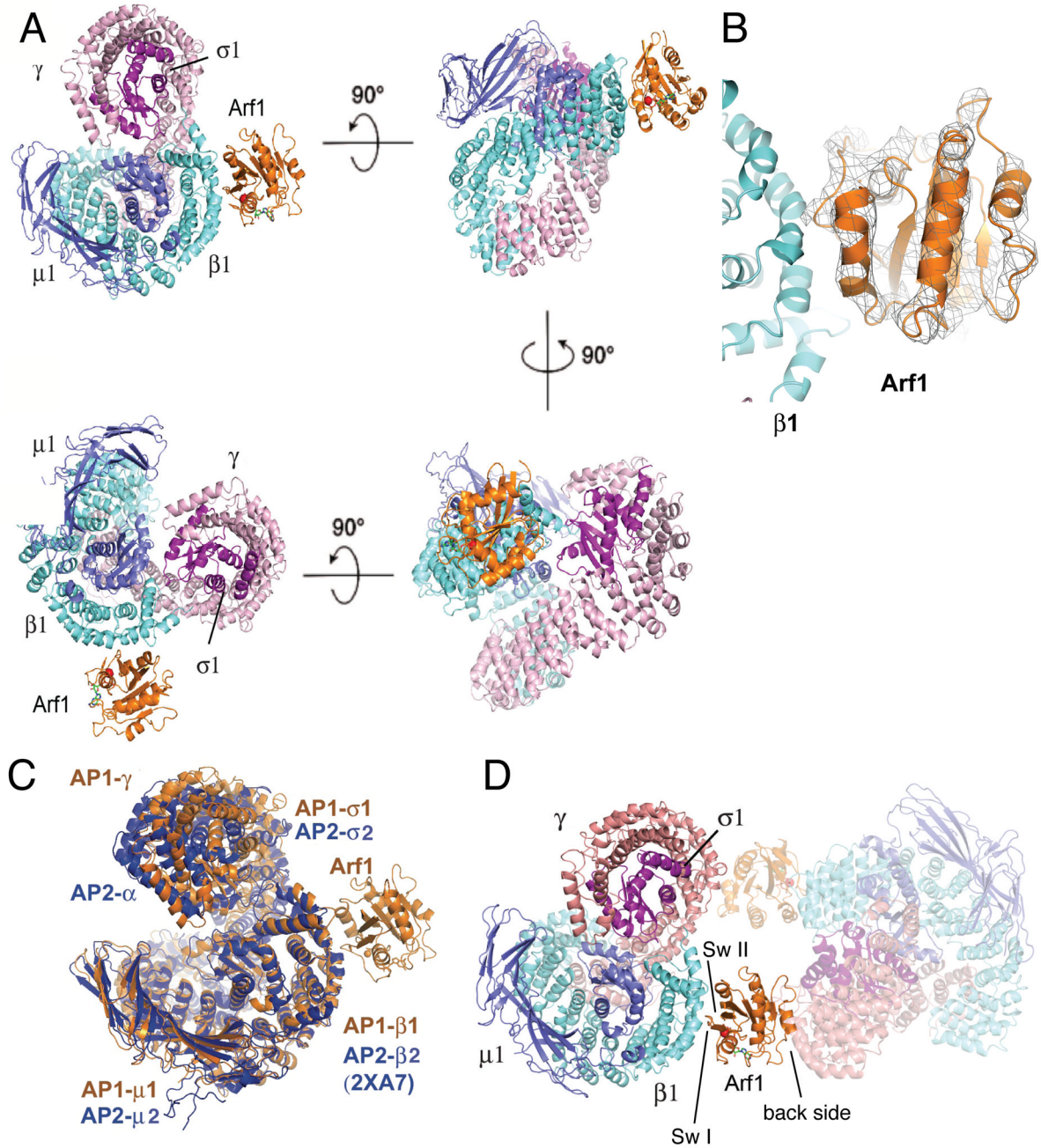
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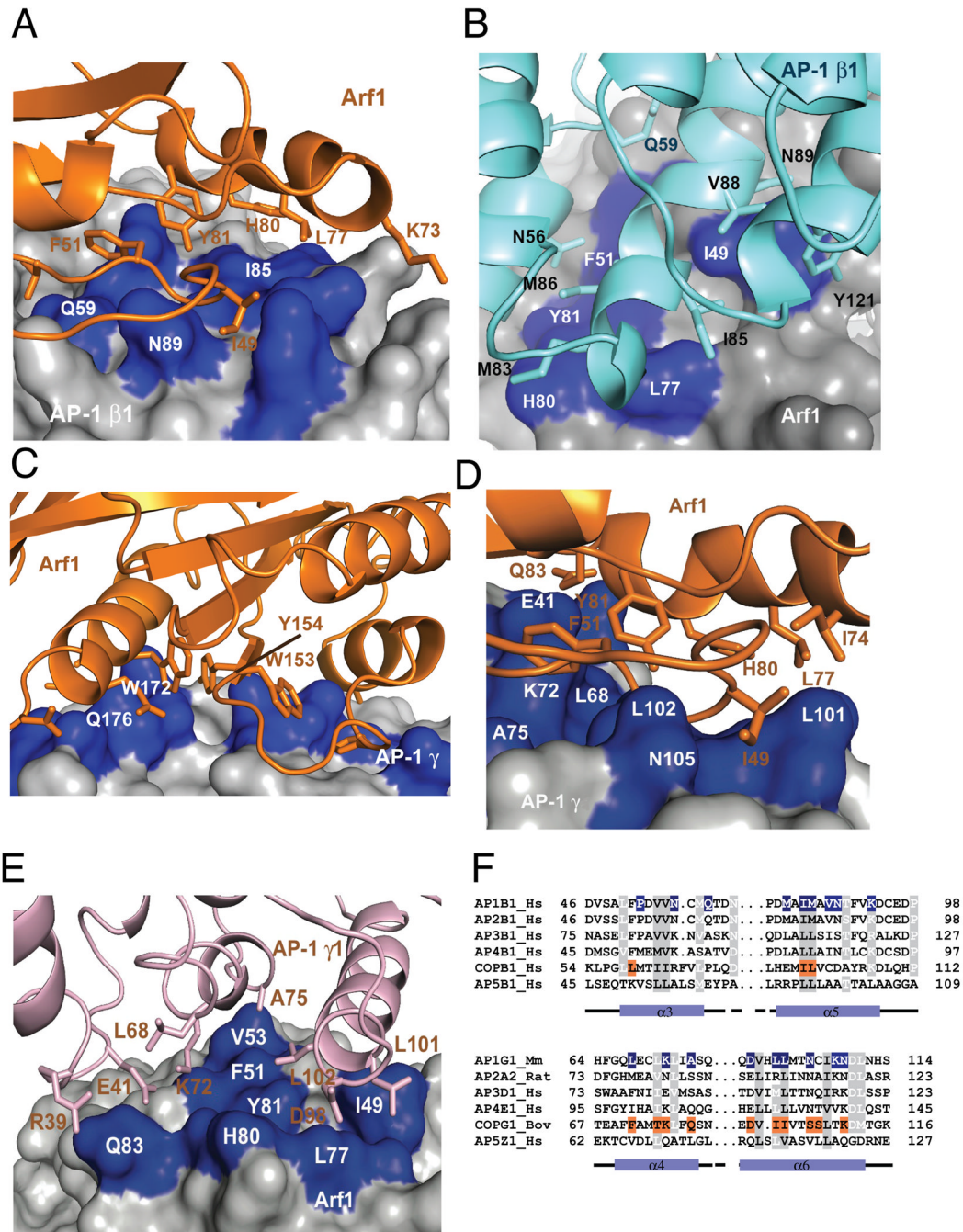
### Highlights

- Crystal structure of the AP-1 clathrin adaptor complex bound to Arf1
- Arf1 promotes the open conformation and cargo binding by AP-1
- AP-1 is recruited via canonical Arf1 contacts with  $\beta$  and  $\gamma$  subunits
- AP-1 is activated by dimerizing via the back side of Arf1



**Figure 1. Crystal structure of the AP-1:Arf1 complex**

(A) Views of the overall structure of the AP-1:Arf1 complex, related by rotations about the indicated axes. Colors are  $\gamma$ , light pink;  $\beta 1$ , aquamarine;  $\mu 1$ , slate blue;  $\sigma 1$ , purple; and Arf1, orange. (B) Unbiased difference density contoured at  $2\sigma$  around Arf1, which was not present in the search model used to obtain these phases, illustrates the high quality of the molecular replacement phases at 7 Å. (D) Overlay of the YXXØ cargo-bound conformation of AP-2 upon Arf1-GTP-bound AP-1. (E) Overall structure of the crystallographic dimer. See also Table S1.

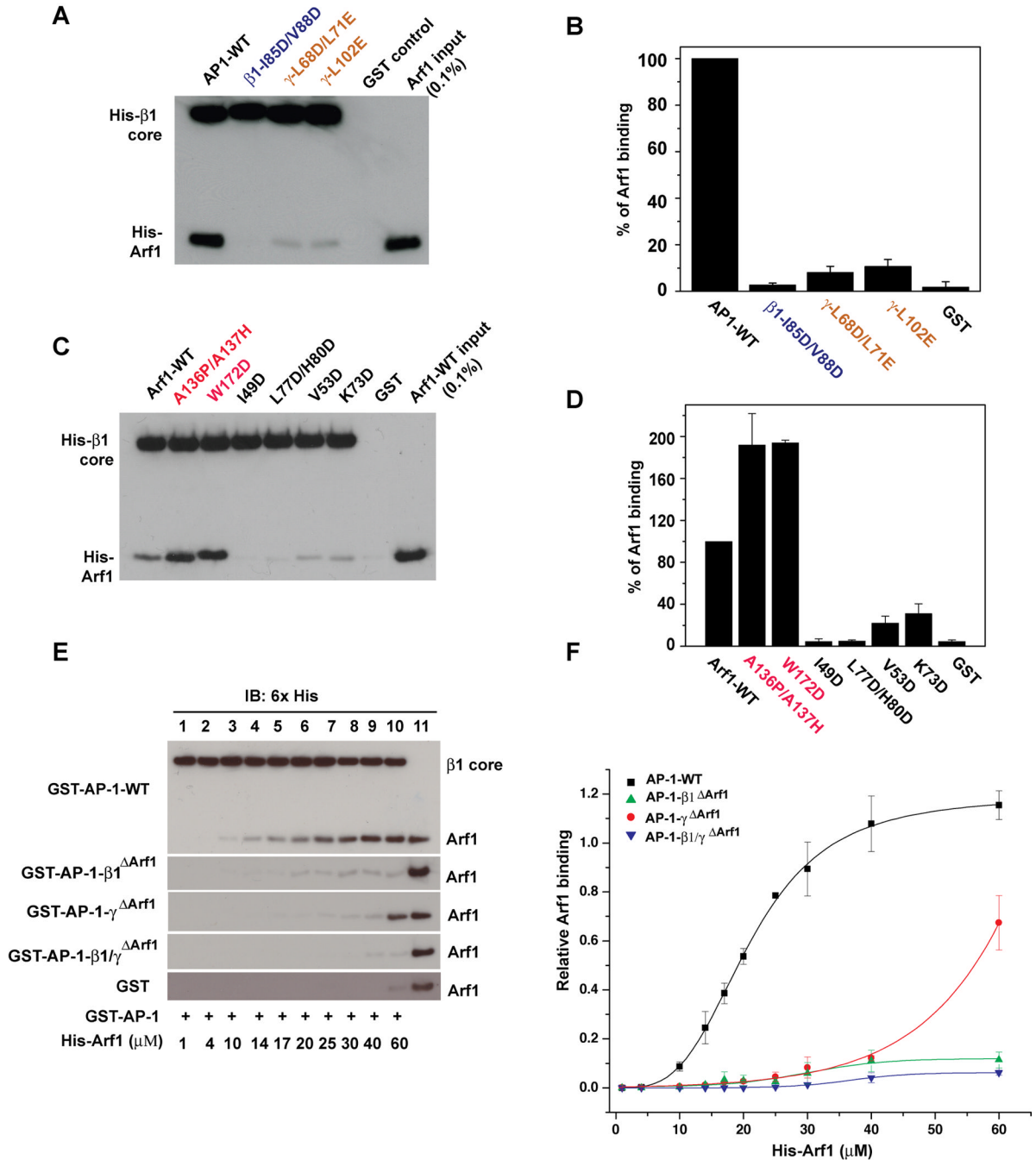


**Figure 2. Arf1 interfaces with AP-1 subunits**

(A) Arf1 is shown in a ribbon model (orange) as it interacts with the surface of the  $\beta 1$  subunit. Functionally important residues of  $\beta 1$  are highlighted in blue. (B) The same interface shown in (A) is represented with a ribbon model of the  $\beta 1$  subunit and a surface representation of Arf1, with key switch I and II residues of Arf1 highlighted in blue. (C) The back side of Arf1 distal to switch I and II is shown in a ribbon model (orange) as it interacts with the surface of the  $\gamma$  subunit in the crystal. Interacting residues of  $\gamma$  are highlighted in blue. (D, E) Two views of the functional Arf1 interface with the  $\gamma$  subunit. This interface is not present in this crystal structure, but is modeled on the basis of the  $\beta 1$  interface and by analogy to the COPI complex (Yu et al., 2012), represented as in (A, B). (F) Structure-based

alignment of key Arf1 switch I and II-binding helices of the  $\beta 1$  and  $\gamma$  subunits of AP-1 with corresponding subunits of other AP complexes and COPI. See also Fig. S1.

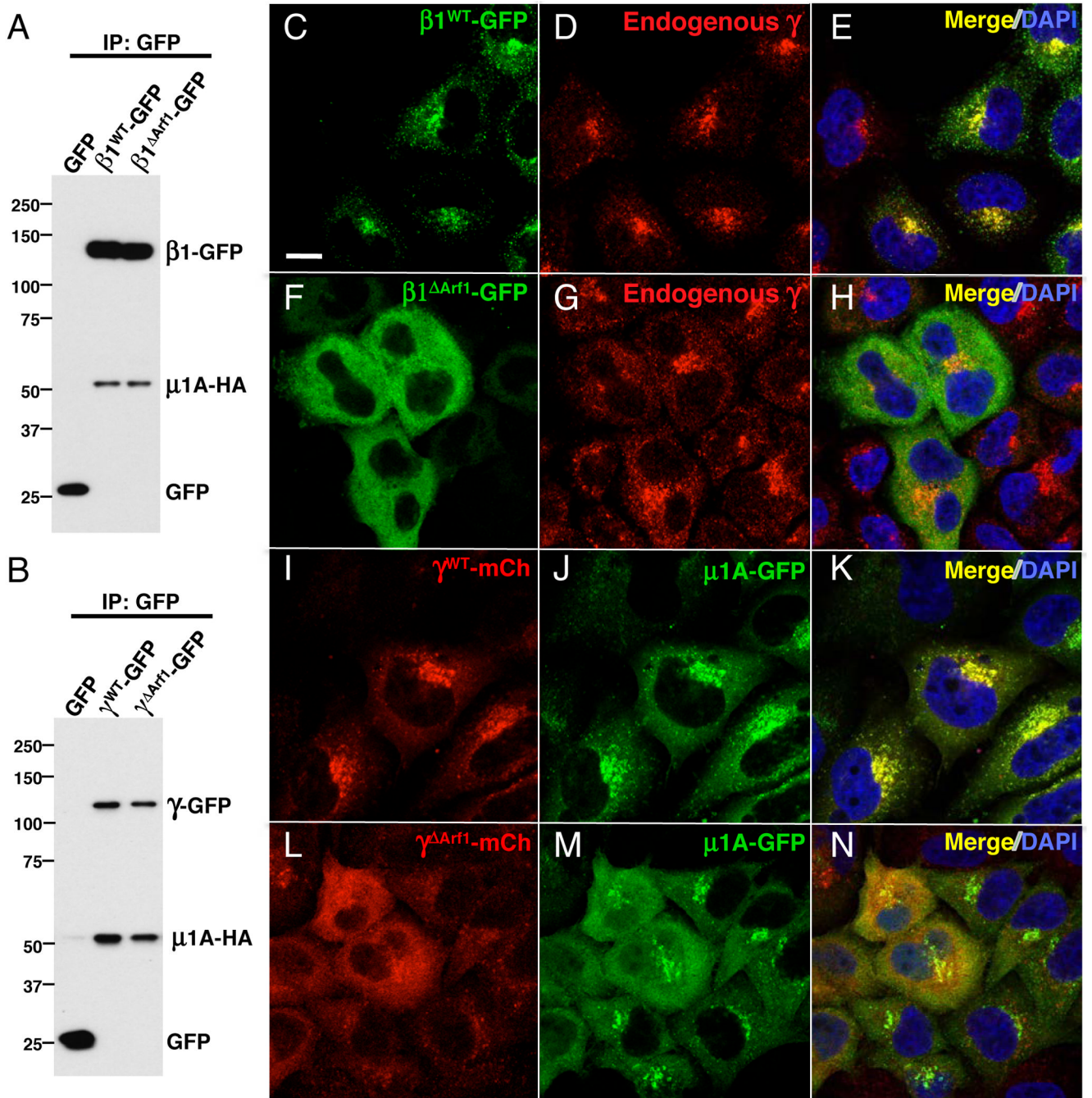




### Figure 3. Mutational analysis of Arf1 binding sites

(A, B) Representative gel (A) and quantification (B) of GST pull-down assays to assess binding of the immobilized GST-AP-1 core and its mutants to Arf1-GTP. GST-AP-1 core proteins (15μg) were immobilized on glutathione-Sepharose beads and incubated with His-Arf1<sup>Δ16-Q71L</sup> (5 μM). The AP-1-bound Arf1 was detected by anti-His-tag antibody using Western blotting. (C, D) The same procedures as in (A) were used to determine the effects of switch I (I49D, V53D), switch II (K73D, L77D/H80D), and back side (A136P/A137H, W172D) mutations in Arf1 on binding to the wild-type AP-1 core. (E, F) Arf1-AP1 binding curves derived from quantitative immunoblotting. Lane 11 in (E) represents 1.6 pmol of His-Arf1 input, which was used for normalization. Relative Arf1 binding was quantified and

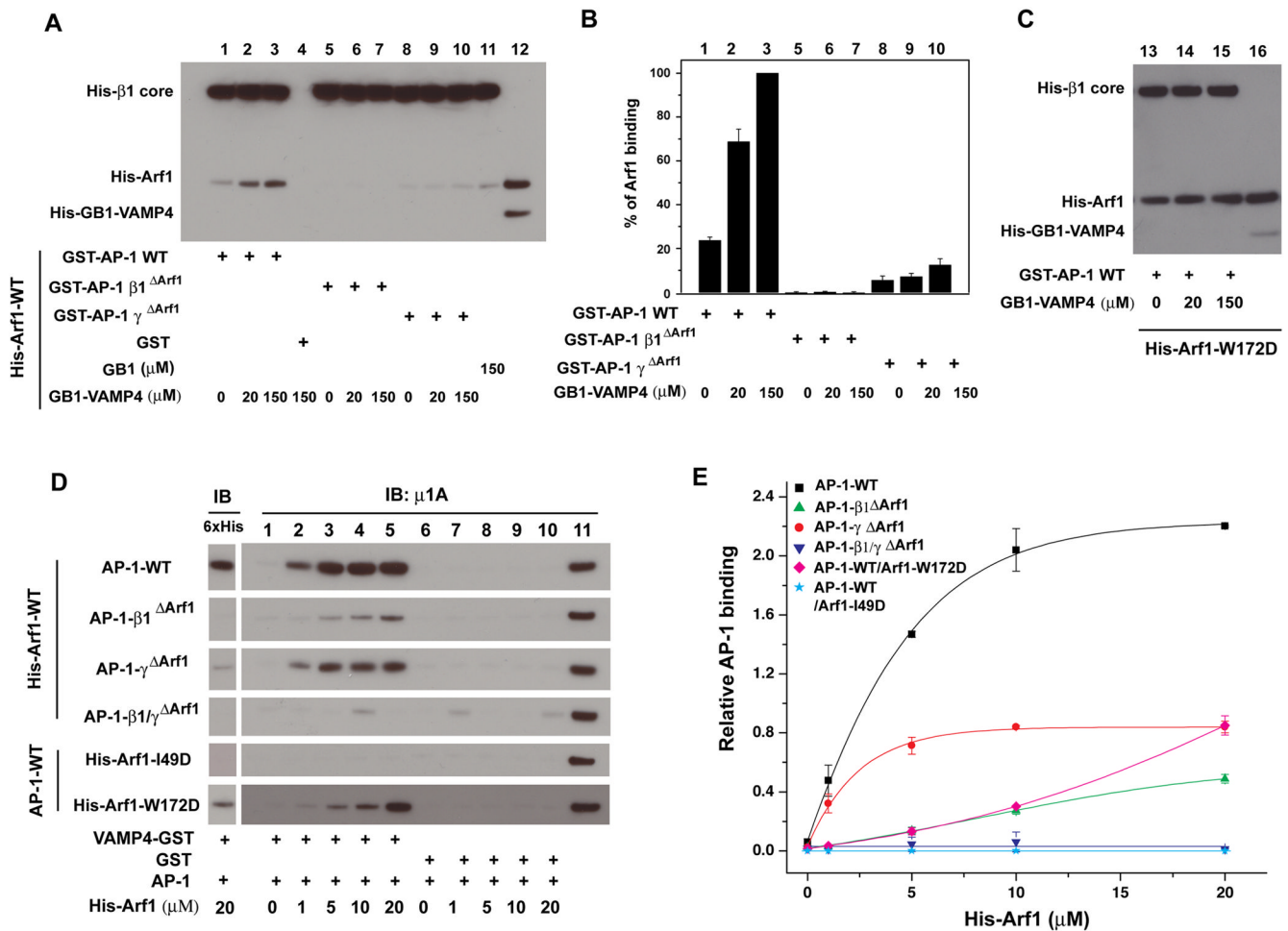
fitted to the Hill equation in (F). See also Fig. S2 and S3. Error bars represent the standard deviation of three measurements.



**Figure 4. Arf1-binding sites on  $\beta 1$  and  $\gamma$  are required for association of AP-1 to the TGN/endosomes**

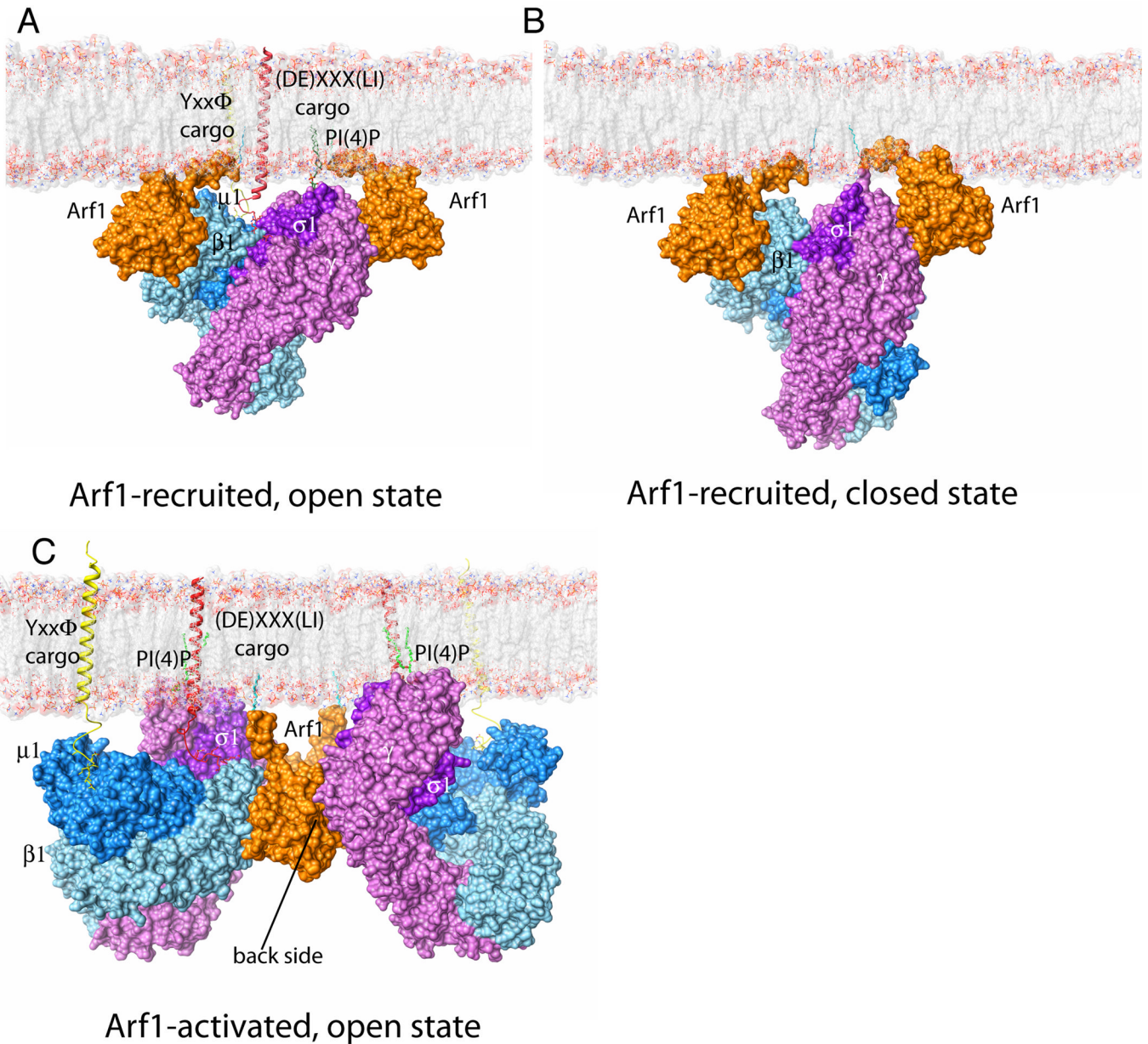
(A, B) MDCK- $\mu 1$ A-HA cells transfected with plasmids encoding  $\beta 1^{\text{WT}}$ -GFP or  $\beta 1^{\Delta\text{Arf1}}$ -GFP (A) and  $\gamma^{\text{WT}}$ -GFP or  $\gamma^{\Delta\text{Arf1}}$ -GFP (B) or GFP (A, B) were subjected to immunoprecipitation (IP) with antibody to GFP followed by SDS-PAGE and immunoblotting with HRP-conjugated antibodies to the HA epitope and GFP. The position of molecular mass markers (in kDa) are indicated on the left. Loading was adjusted to normalize for  $\beta 1$  and  $\gamma$  expression. Assembly of  $\beta 1$  and  $\gamma$  mutants with  $\mu 1$ A-HA was  $99 \pm 6\%$  and  $97 \pm 5\%$  of the corresponding wild-type proteins ( $n=3$ ). (C-H) HeLa cells transfected with plasmids encoding  $\beta 1^{\text{WT}}$ -GFP (C-E) or  $\beta 1^{\Delta\text{Arf1}}$ -GFP (F-H) were immunostained for

endogenous  $\gamma$ . (I-N) HeLa cells were co-transfected with plasmids encoding  $\gamma^{\text{WT}}$ -mCh (I-K) or  $\gamma^{\Delta\text{Arf1}}$ -mCh (L-N) together with  $\mu\text{1A-GFP}$ . Nuclei were stained with DAPI. Images were obtained by confocal microscopy. The third image in each row is a merge of images in the green, red and blue channels. Scale bar: 10  $\mu\text{m}$ .



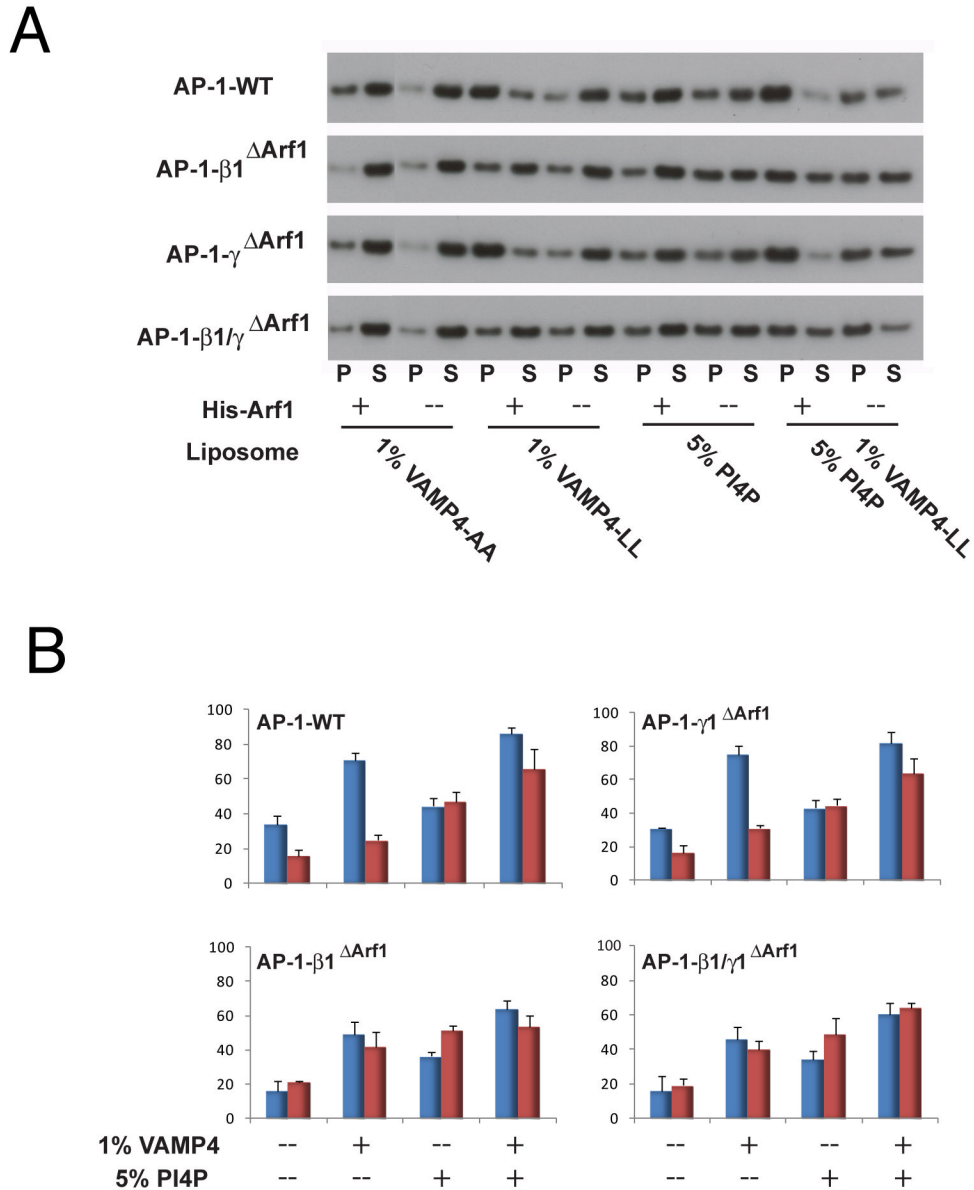
**Figure 5. Allosteric coupling between the Arf1 and dileucine binding sites**

(A) Pull-down of Arf1 with GST-AP-1 cores in the presence of VAMP4 peptide. Wild-type or mutant GST-AP-1 core (100 nM) was immobilized, and incubated with His-Arf1-Q71L (4 μM) and 2 mM GTP and the indicated concentrations of His-GB1 tagged VAMP4 (20-28, the dileucine motif). AP-1-bound Arf1 was analyzed by the western blot using anti-polyHis antibody, followed by quantification (B) Lane 12 and lane 16 represent 0.1% of the input from the reaction containing 4 μM His-tagged Arf1 and 150 mM His-GB1-VAMP4 peptide. (C) Arf1-W172D tightly bound to GST-AP-1 core independent of the VAMP4 peptide. (D) Recruitment of AP-1 cores to VAMP4-GST was activated by Arf1, dependent on and intact AP-1 β1 Arf1 binding site. In each reaction, VAMP4 (1-51)-GST (100 nM) was immobilized and incubated with the indicated AP-1 core (0.5 μM) and His-Arf1. The bound fraction was immunoblotted using anti-polyHis to detect Arf1 (left panel) and anti-μ1 to detect AP-1 (right panel). Lane 11 represents 0.1 pmol of AP-1 core input. The relative AP-1 binding was quantified (E) to plot with the function of His-Arf1 input concentration. The active affinity of AP-1 core WT binding to his-Arf1 in the presence of VAMP4-GST was  $4.0 \pm 0.3 \mu\text{M}$ . Error bars represent the standard deviation of three measurements. See also Movie S1.



**Figure 6. AP-1 recruitment and activation at the membrane**

Models. (A) A model of AP-1 recruited by two myristoylated Arf1-GTP molecules, in cooperation with PI(4)P on a membrane. The Y- and LL-bearing cargos further bind and stabilize AP-1. (B) The closed conformation of AP-1 is sterically compatible with the simultaneous binding of Arf1 to  $\beta 1$  and to the recruitment site on  $\gamma$ . Therefore, the docking of AP-1 to a membrane via the simultaneous binding to these two Arf1 molecules does not, by itself, appear to be sufficient for activation. (C) The crystallized AP-1 Arf1 dimer can be docked onto a cargo bearing membrane such that the Arf1 myristate moieties, the ends of transmembrane helices of cargo proteins, and PI(4)P all lie in the plane of the membrane surface. See supplemental figure 4



**Figure 7. Reconstitution of membrane recruitment and activation by Arf1 and cargo**  
 (A) Recruitment of the AP-1 core to peptidoliposomes by lipid sedimentation assay. Liposomes were made of 5% DOGS-NTA:POPC:POPE, 1% VAMP4-LL/AA lipopeptide, 1% VAMP4 (1-51) lipopeptide, 5% PI(4)P, or both PI(4)P and VAMP4 lipopeptide. AP-1 cores (20 nM) were incubated with or without His-Arf1-GTP (50 nM) ultracentrifuged to separate the pellet (P) and supernatant fractions (S). Fractions were immunoblotted with anti- $\mu 1$  (A), and quantified using ImageJ. The AP-1 membrane binding percentage was calculated according to the formula  $(P/P+S) \times 100\%$  (B) Quantification of sedimentation data. Assays containing Arf1 are colored code in blue and without Arf1 in red. The error bars represent the standard deviation of three replicates.