

Price and colleagues do not mention any ethical issues. Accordingly, I am at a loss as to how to respond to the title of their letter.

I note that Price and colleagues write on behalf of the “Respiratory Effectiveness Group Collaborators.” Perhaps if their group were renamed the “Respiratory Comparative Effectiveness Group Collaborators” they would feel less obligated to defend the use of observational studies.

Author disclosures are available with the text of this letter at www.atsjournals.org.

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Reference

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Vitamin C Should Be Tested against Exercise-induced Bronchoconstriction



To the Editor:

An ATS Clinical Practice Guideline reviewed treatments for exercise-induced bronchoconstriction (EIB) and commented briefly on vitamin C (1). The authors identified two controlled trials (2, 3) in which vitamin C halved the fall in FEV₁ after exercise, but the authors did not calculate confidence intervals (CIs) for the effect.

A third randomized, double-blind, placebo-controlled trial on vitamin C and EIB has also been published (4) and was included in a metaanalysis (5). The pooled relative effect estimate of three trials (2–4) indicated a 48% reduction (95% CI, 33 to 64%; $P < 0.001$) in the postexercise FEV₁ decline when vitamin C was administered before exercise (5). The third study (4) needed imputations to include it in the metaanalysis, but it also reported that vitamin C decreased the proportion of participants who suffered from EIB by 50 percentage points (95% CI, 23 to 68; $P < 0.001$); this calculation did not need data imputations (5). The ATS Guideline comments that the evidence for vitamin C was limited by imprecision (1). The CIs calculated in the metaanalysis are, however, particularly narrow (5).

The total number of participants in the three vitamin C trials is only 40. Nevertheless, the trials were performed in three different decades and on two different continents. The criteria for EIB differed, and the mean age of the participants was 25 and 26 years in two studies (2, 3) but 14 years in the third study (4). Still, all of the studies are consistent with a 50% reduction in the fall in FEV₁ after exercise. It is not evident how far this 50% estimate can be generalized, but the close estimate in such different studies suggests that vitamin C is probably beneficial for several people who suffer from EIB.

The ATS Guideline considers that the burden of dietary modification might limit the usefulness of vitamin C (1). However, two of the vitamin C studies administered the vitamin as a single dose 1 to 1.5 hours before exercise (2, 4), which is no more burdensome than administering a β_2 -agonist before exercise (1).

Further research on the effect of vitamin C on EIB should be performed. Nevertheless, given the safety and low cost of vitamin C, and the positive findings in the three EIB studies, it seems

reasonable for physically active people to test vitamin C if they suffer from EIB (5).

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Surrogate Consent for Genetic Testing, the Reconsent Process, and Consent for Long-Term Outcomes in Acute Respiratory Distress Syndrome Trials



To the Editor:

Advancing critical care research is necessary to improve patient outcomes and has been defined as a priority for our healthcare system (1). However, most critically ill patients are initially incapacitated due to their acute illness, and are unable to participate in informed consent for research participation decisions (2). Therefore, surrogates make decisions for patients and often do so without *a priori* knowledge of the patients' wishes. The surrogate consent process to enroll critically ill patients into research studies is complex. During the initial consent for a clinical trial, surrogates may also be asked to consent for the collection of biospecimens from the patient, including genetic material. Though consent rates for most genetic studies are generally high, individuals who are able to consent for themselves often have concerns regarding the use of their genetic material (3). In addition, racial and ethnic disparities have been reported in the willingness of individuals to consent to their own participation in genetic studies (4–6). However, whether surrogates are willing to consent for the collection of genetic material from critically ill patients has not been previously determined.

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TABLE 1. SURROGATE CONSENT FOR ANCILLARY GENETIC SUBSTUDIES BY PATIENT RACE/ETHNICITY

Patient	Percentage of Surrogate Approval for Genetic Substudies		
	Genetic Studies for Parent Study Only (n = 1164)	Future Genetic Studies for Any ARDS-related Research (n = 1164)	Future Genetic Studies for Non-ARDS-related Research (n = 1059)
Race			
White	93.8% [92.2–95.4%] (n = 884)	92.3% [90.5–94.1%] (n = 884)	87.6% [85.3–89.9%] (n = 798)
African American	84.8% [79.7–89.9%] (n = 191)	83.8% [75.6–89.0%] (n = 191)	79.1% [73.2–85.0%] (n = 182)
Other	81.0% [69.1–92.3%] (n = 42)	81.0% [69.1–92.3%] (n = 42)	71.4% [56.4–86.4%] (n = 35)
Ethnicity: Hispanic	90.5% [85.6–95.4%] (n = 137)	86.9% [81.3–92.6%] (n = 137)	74.2% [66.6–81.8%] (n = 128)

Data in brackets are 95% confidence intervals.

When a surrogate provides consent for a research study, surviving patients who regain decisional capacity should be re-consented for their prior and continued participation. This re-consent process is unique to critical care research, as other incapacitated research participants, such as those with dementia, usually do not regain consent capacity. A better understanding of this re-consent process may provide insight into the patient's perception of the burden of participating in clinical research. Finally, multicenter clinical trials of critically ill patients are recommended to include assessment of long-term outcomes (LTO) (7). However, it is presently unclear whether critical care survivors are willing to participate in LTO assessments.

Therefore, using 1,164 patients enrolled into three Acute Respiratory Distress Syndrome Network trials (ALTA, OMEGA, and EDEN) (8–10), we sought to better understand the surrogate consent for genetic studies, the re-consent process, and the willingness of critical care survivors to participate in subsequent LTO studies. At the time of consent for these three clinical trials, surrogates were asked to provide consent for the collection of the patient's genetic material for three types of ancillary studies: (1) genetic studies related to the parent study only (n = 1164), (2) future genetic studies for any acute respiratory distress syndrome (ARDS)-related research (n = 1164), and (3) future genetic studies for non-ARDS-related research (n = 1059). Patient race was categorized as white, African American, other, or not reported. Patient ethnicity was defined as Hispanic or not Hispanic; thus, study patients could be coded as being any race and also Hispanic. When they regained decisional capacity sufficient to provide informed consent, surviving patients underwent re-consent for their study participation. In regard to LTO, surrogates were initially consented for subject participation in assessments at 6 and 12 months after ARDS onset. Patients meeting eligibility criteria and not re-consented by hospital discharge were re-consented for LTO participation when subsequently contacted by telephone. Some of the results of these studies have been previously reported in the form of abstracts (11, 12).

Overall, surrogates were generally willing to consent to the collection of the patient's genetic material for all three types of ancillary studies (type 1, 92.0%, 95% CI = 90.3–93.4%; type 2, 90.5%, 95% CI = 88.7–92.1%; and type 3, 84.6%, 95% CI = 82.3–86.7%). However, surrogates were statistically less likely to provide consent for genetic studies when the future use of the material was not related to the parent study or ARDS research in general ($P < 0.05$). In univariate and multivariate analyses, surrogates of African Americans and other races were less likely to consent for each of the three different genetic studies when compared with surrogates of white patients (Tables 1 and 2). Surrogates of Hispanic patients were less likely to consent for genetic testing related to the parent study and genetic testing for future ARDS research not related to the parent study (Tables 1 and 2).

Of the 946 surviving patients, 407 (43%, 95% CI = 40–46%) were not re-consented due to either not being assessed for regaining

consent capacity (n = 165) or a perceived lack of decisional capacity upon assessment (n = 242) (Figure 1). Of patients who survived and regained decisional capacity sufficient to provide re-consent, 522 of 539 (97%, 95% CI = 96–98%) affirmed their study participation. A total of 659 surviving patients met eligibility criteria for LTO assessments. The majority, 440 (67%, 95% CI = 63–70%), had provided re-consent for participation prior to hospital discharge. The remaining 219 (33%, 95% CI = 29–37%) were either not assessed for re-consent or lacked re-consent capacity in the hospital. Subsequently, they were consented for LTO assessment at the time of the initial follow-up telephone call conducted as part of the LTO assessment protocol. Overall, 211 of 219 (96%, 95% CI = 93–99%) were willing to consent to ongoing LTO study participation.

Optimizing the surrogate consent process for critical care research is imperative to both protect the rights of vulnerable patients and increase study enrollment. To our knowledge, this is the first investigation examining the willingness of surrogates to provide informed consent for the collection of biospecimen samples from critically ill patients. In our study, surrogates were less willing to provide consent for future non-ARDS-related genetic research studies. Patients are generally willing to consent broadly to the use of biospecimens, but desire information regarding the type of research performed on their specimens before providing consent (3, 4). Similarly, our results demonstrate that surrogates are also less willing to provide consent for

TABLE 2. SURROGATE CONSENT FOR ANCILLARY GENETIC SUBSTUDIES BY PATIENT RACE/ETHNICITY: MULTIVARIABLE ANALYSES

Variable	Odds Ratio	95% CI	P Value	
Genetic material collection for parent-related studies				
Race				
African American	0.34	0.21	0.55	<0.01
Other	0.28	0.12	0.64	<0.01
Ethnicity: Hispanic	0.46	0.25	0.98	0.04
Age, yr	1.00	0.98	1.01	0.71
Female	0.90	0.58	1.39	0.63
Genetic material collection for any ARDS-related research				
Race				
African American	0.38	0.24	0.61	<0.01
Other	0.36	0.16	0.82	0.02
Ethnicity: Hispanic	0.47	0.25	0.86	0.02
Age, yr	1.00	0.99	1.01	0.96
Female	0.97	0.64	1.45	0.87
Genetic material for non-ARDS-related research				
Race				
African American	0.50	0.33	0.76	<0.01
Other	0.35	0.16	0.76	0.01
Ethnicity: Hispanic	0.67	0.37	1.20	0.18
Age, yr	1.00	0.99	1.01	0.93
Female	1.28	0.90	1.83	0.18

Definition of abbreviation: CI = confidence interval.

All of these analyses were performed using white patients as the referent.

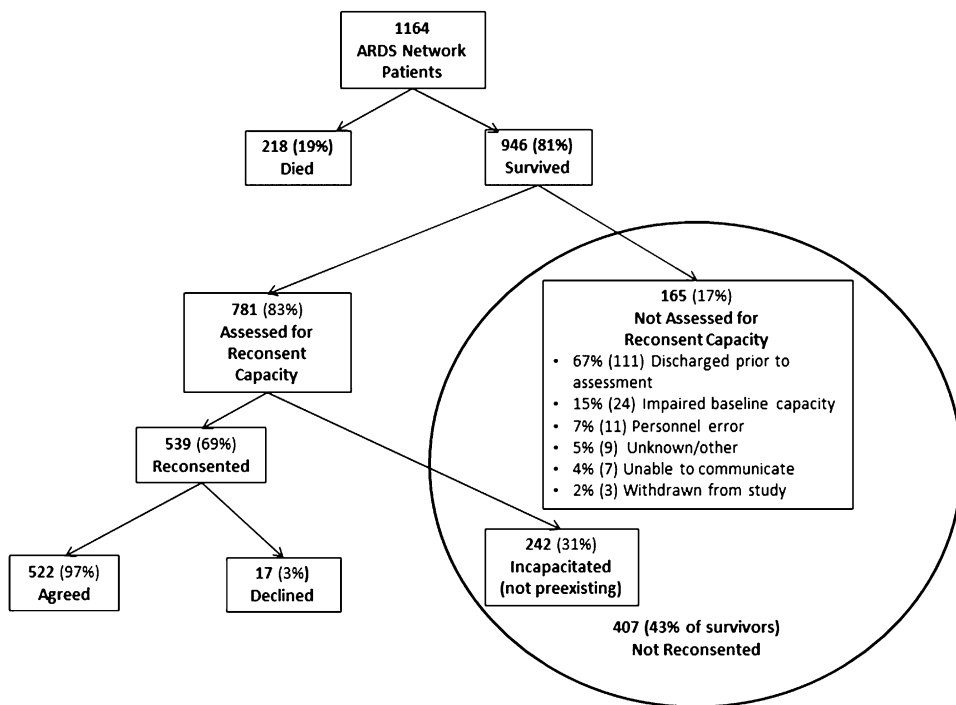


Figure 1. Diagram of the re-consent process.

the collection of genetic material from patients when there is uncertainty regarding the use of the genetic material. Higher rates of study participation from surrogates may occur with enhanced communication concerning the actual use of the bio-specimen material. In general, individuals of racial and ethnic minorities are less willing to agree to participate in clinical research studies (3–6). The lower consent rates for genetic studies in surrogates of underrepresented minorities highlights potential concerns regarding cultural differences and disparities in medical research (13, 14). Future prospective studies should examine the role of racial and ethnic disparities of surrogates in providing consent for a critically ill patient's participation in research.

In 2008, the Office for Human Research Participations Subcommittee for the Inclusion of Individuals with Impaired Decision Making in Research recommended that incapacitated research participants who are anticipated to regain consent capacity be evaluated for re-consent (15). Our high rates of re-consent may indicate that subjects agreed with their surrogates' consent decision; however, this would be an oversimplification of a complex consent process. Previous studies have shown that significant discrepancies exist between critically ill patients and their surrogates regarding willingness to participate in hypothetical critical care research studies (16). A complete understanding of the re-consent process is also inherently hampered by the inability to include patients who died before they could be re-consented (i.e., survivorship bias). Furthermore, re-consent rates may be influenced by the magnitude of burden from continued study participation at the time of re-consent. As 43% of the surviving patients were not able to be re-consented, our results raise important concerns about the feasibility of conducting these assessments. To improve the conduct of the re-consent process, specific tools to assess decision-making capacity exist and should be used, and research personnel should be properly trained to reliably conduct competency assessments (17–19). Although obtaining LTO assessments of critical care survivors is important, concerns have been raised regarding feasibility of these studies and cohort retention (20). Our study demonstrates that subjects

are willing to be contacted for LTO assessments, and therefore, high rates of cohort retention are possible in studies of critical care survivors. In conclusion, our study begins to examine the nuances of the surrogate consent and re-consent process, and demonstrates the need for future investigation in this area.

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A Familial Syndrome of Pulmonary Nontuberculous Mycobacteria Infections



To the Editor:

Women over the age of 50 who lack antecedent structural lung damage have emerged as a growing population afflicted with pulmonary nontuberculous mycobacteria (PNTM) (1). A common body morphology among these women, who are often tall and lean with scoliosis, pectus excavatum (PE), and mitral valve prolapse (MVP), points to a possible genetic basis for susceptibility to PNTM (2, 3). Exploration of these traits in family members of patients with PNTM provides evidence for the hypothesis that genetic factors modify disease susceptibility. To date, a systematic analysis of a large cohort of patients with PNTM and their relatives has not been performed. We describe here a comprehensive review of families with PNTM designed to identify a familial phenotype of disease. Some of the results of this study have been previously reported in the form of an abstract (4).

Methods

Probands included in our study were adult patients who met diagnostic criteria for PNTM (5) and were enrolled in a natural history of mycobacterial disease protocol at the National Institutes of Health. Probands with other known underlying structural lung diseases or characterized immunodeficiency were excluded. Chart records for each proband were abstracted for demographic data, mycobacterial species identified, and the presence of bronchiectasis, scoliosis, MVP, and PE. Bronchiectasis was identified by chest computed tomography (CT) imaging, scoliosis by spinal X-rays, MVP by echocardiogram, and PE by computing a Haller index greater than 3.5 from chest CT imaging (6). All probands were contacted to construct family pedigrees extending to first- and second-degree relatives. Probands were asked to report the following in all members of the

TABLE 1. CHARACTERISTICS OF STUDY PROBANDS (N = 109)

Characteristic	Frequency
Female sex, n (%)	97 (89.0)
Mean \pm SD age, yr	66.7 \pm 11.6
Mean \pm SD BMI, kg/m ²	22.1 \pm 4.0
Mean \pm SD FEV ₁ , % predicted	80.3 \pm 21.8
Ethnicity, n (%)	
White	100 (91.7)
Asian	7 (6.4)
Hispanic	2 (1.8)
Mycobacterium species, n (%)	
<i>M. avium</i> complex	82 (75.2)
<i>M. abscessus</i>	39 (35.8)
<i>M. fortuitum</i>	8 (7.3)
<i>M. kansasii</i>	3 (2.8)
<i>M. mucogenicum</i>	4 (3.7)
Other	7 (6.4)
Radiographic presentation, n (%)	
Nodular bronchiectasis	106 (97.2)
Cavitary	23 (21.1)
Scoliosis, n (%)	63 (57.8)
Mitral valve prolapse, n (%)	15 (13.8)
Pectus excavatum, n (%)	4 (3.7)

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