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Identification of a recurrent germline *PAX5* mutation and susceptibility to pre-B cell acute lymphoblastic leukemia

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Somatic alterations of the lymphoid transcription factor gene *PAX5* are a hallmark of Bprogenitor acute lymphoblastic leukemia (B-ALL)¹⁻³, but inherited mutations of *PAX5* have not previously been described. Here, we report a novel heterozygous germline variant, c. 547G>A (p.Gly183Ser), in the octapeptide domain of *PAX5* that was found to segregate with disease in two unrelated kindreds with autosomal dominant B-ALL. Leukemic cells from all patients in both families exhibited 9p deletion, with loss-of-heterozygosity and retention of the mutant *PAX5* allele at 9p13. Two additional sporadic ALL cases with 9p loss harbored somatic *PAX5* Gly183 substitutions. Functional and gene expression analysis of the *PAX5* mutation demonstrated relatively reduced transcriptional activity. These data extend the role of *PAX5* alterations in the pathogenesis of pre-B ALL, and implicate *PAX5* in a novel syndrome of susceptibility to pre-B cell neoplasia.

B-cell precursor acute lymphoblastic leukemia (ALL) is the most common pediatric malignancy. There is a 2-4 fold increased risk of developing the disease in children of affected siblings⁴, and in occasional cases ALL is inherited as a Mendelian disorder⁵. *PAX5*, encoding the B-cell lineage transcription factor paired box 5, is somatically deleted, rearranged or otherwise mutated in approximately 30% of sporadic B-progenitor ALL cases^{1,3,6-9}. In *PAX5*-deficient mice, B-cell development is arrested at the pro-B-cell stage,

AUTHOR CONTRIBUTIONS

URLS. dbSNP 137, http://www.ncbi.nlm.nih.gov/projects/SNP/; NHLBI exome sequencing project, http://evs.gs.washington.edu/ EVS/; European Genome-phenome Archive, http://www.ebi.ac.uk/ega/. Public data portal for results from the St. Jude–Washington University Pediatric Cancer Genome Project, http://explore.pediatriccancergenomeproject.org/.

Accession codes.

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Transcriptome and whole exome sequencing data and SNP microarray data have been deposited in the European Genome-phenome Archive (EGA) which is hosted by the European Bioinformatics Institute (EBI) under EGAS00001000447. Mouse Affymetrix gene expression data are deposited in the Gene Expression Omnibus (GEO) under accession code GSE45260 (http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE45260)

Supplementary information is available in the online version of the paper.

Supplementary information contains Supplementary Note, Supplementary Figures 1-8, Supplementary Tables 1-15 and Supplementary files 1-6.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests

and *in vitro* these cells can differentiate into other lymphoid and myeloid lineages¹⁰. *PAX5* is also essential for maintaining the identity and function of mature B-cells¹¹, and its deletion in mature B cells results in dedifferentiation to pro-B cells and aggressive lymphomagenesis¹².

A heterozygous germline *PAX5* variant, c.547G>A (NM_016734), p.Gly183Ser (NP_057953), was identified by exome sequencing in two families, one of Puerto Rican ancestry (family 1; Figure 1a) and the other of African-American ancestry (family 2; Figure 1b, Supplementary Note). This variant had not been previously described in public databases (Exome Variant Server, 1000 Genomes and dbSNP 137), or previous sequencing analyses of ALL and cancer genomes^{1,2,9}. All affected family members had B-cell precursor ALL and all available diagnostic and relapse leukemic samples from both families demonstrated loss of 9p through i(9)(q10) or dicentric chromosomes involving 9q, both of which resulted in loss of the wild-type *PAX5* allele and retention of *PAX5* p.Gly183Ser (Figure 1c, Supplementary Figure 1 and Supplementary Table 1).

The germline *PAX5* p.Gly183Ser mutation segregated with the leukemia in both kindreds, however, several unaffected obligate carriers (Family 1: II3, III2, III3 and Family 2: I1, I2, II2, II3) were also observed, suggesting incomplete penetrance. Unaffected mutation carriers and patients at the time of ALL diagnosis had normal immunoglobulin levels and no laboratory or clinical evidence of impaired B-cell function. Sanger sequencing of cDNA from peripheral blood of unaffected carriers indicated biallelic transcription of *PAX5* (data not shown). The only mutated gene common to both families was *PAX5* and no germline copy number aberrations were found to be shared between patients (Supplementary tables 2 and 3).

To determine whether p.Gly183Ser arose independently in each kindred or instead reflects common ancestry, the risk haplotypes of each family were compared. The families share a 4.7kb haplotype, spanning five SNPs (Figure 1d, Supplementary Note). The relatively small size of the shared haplotype and principal component analysis of genome-wide single nucleotide polymorphism genotype data (Supplementary Figure 2) together imply that the two families are not recently related and differ in ethnicity. Moreover, given the reduced fitness due to increased susceptibility for childhood ALL, it is unlikely that such a lethal mutation could be propagated over time. Because this haplotype is relatively frequent worldwide (Supplementary Table 4), it is likely that each family's mutation arose independently.

Genomic profiling of tumor samples demonstrated expression of the p.Gly183Ser mutant *PAX5* in diagnostic and relapse tumor specimens from affected members of family 2, an average of 1 chimeric fusion and 9 non-silent sequence variants per case, and homozygous deletion of *CDKN2A/CDKN2B* in all cases due to loss of 9p and focal deletion of the second allele. Apart from loss of 9p, no other somatic sequence mutations or structural rearrangements were shared by the affected family members (Supplementary Tables 1, 5-12)

As somatic i(9)(q10)/dic(9;v) abnormalities were seen in all of the familial leukemias, we sequenced *PAX5* in 44 additional sporadic pre-B ALL cases with i(9)(q10)/dic(9;v) aberrations to assess whether *PAX5* mutations frequently co-occur with loss of 9p. Two leukemic samples revealed octapeptide mutations p.Gly183Ser, and p.Gly183Val, and in others previously reported variants including p.Pro80Arg and p.Val26Gly¹ were observed (Table 1, Figure 2a). We examined the frequency of non-silent *PAX5* somatic sequence mutations in a cohort of B-ALL cases with i(9)(q10)/dic(9;v) (n=28) and two cohorts of B-ALL without i(9)(q10)/dic(9;v) (n=183) and n=221.^{1,2}. We observed a significantly higher frequency of *PAX5* mutations in the i(9)/dic(9) cohort (p=0.0001). No novel germline *PAX5*

mutations were detected in 39 families with a history of two or more cases of cancer including at least one hematological cancer, although one familial case of ALL harbored a dic(9;20)(p11;q11.1) and a somatic p.Pro80Arg variant (Table 1 and Supplementary Materials).

Previously identified PAX5 somatic mutations commonly result in significant reduction in transcriptional activation mediated by PAX5. Downstream targets of PAX5 include CD19 and CD79A (Ig α or mb-1)¹³. We examined the transactivating activity of wild type and mutant PAX5 alleles using a PAX5-dependent reporter gene assay, containing copies of a high-affinity PAX5-binding site derived from the CD19 promoter¹⁴. Both p.Gly183Ser and Val mutations resulted in partial but significant reduction in transcriptional activation (P<0.0001 for both alleles, Figure 3a). Additionally, there was no detectable differences in subcellular localization of wild type and p.Gly183Ser PAX5 (Supplementary Figure 3). To study the effect of this mutation on CD79A expression, we expressed mutant and wild type PAX5 in J558LµM, a mouse plasmacytoma cell line that does not express PAX5 or CD79A. Enforced expression of PAX5 results in expression of CD79A and assembly of the surface immunoglobulin (sIgM) complex. The amount of sIgM expression may be used to assess the transcriptional activity of PAX5 alleles on the CD79A promoter¹. Both p.Gly183 alleles resulted in a significant reduction in sIgM expression compared to wild-type PAX5 (P<0.0001, Figure 3b). These results suggest that the PAX5 G183 mutations result in partial loss of PAX5 activity.

The identified missense variant, p.Gly183Ser, is located at a conserved residue in the octapeptide (OP) domain of *PAX5* that mediates interaction with Groucho transcriptional corepressors¹⁵ (Figure 2b). Previous studies have shown that GRG4 (also known as TLE4 in humans) represses *PAX5*-dependent luciferase activity in cells expressing wild type *PAX5* but not in cells expressing *PAX5* octapeptide domain mutants¹⁵. We observed GRG4-mediated repression of the transcriptional activity of *PAX5* wild-type and p.Gly183Ser (Figure 3c), suggesting the effect of this mutation is not mediated by an altered interaction with GRG4.

To further explore the effect of the variant on downstream targets, we performed genomewide transcriptional profiling of J558LµM cells transduced with empty vector, *PAX5* wildtype or mutant alleles (either all transduced cells marked by RFP expression, or the subset of cells expressing sIgM), and examined the expression of *PAX5* activated and repressed genes previously defined in *Pax5^{-/-}* murine pro- and mature B cells¹⁶⁻¹⁹ and in human *ETV6-RUNX1* B-ALL¹. Examining all *PAX5* expressing cells, we observed profound deregulation of *PAX5* activated and repressed genes in J558LµM cells expressing known loss of function (e.g. the common exon 2-6 deletion that results in a truncating frameshift *PAX5* allele) or strongly hypomorphic alleles (*PAX5* p.Pro80Arg) and less marked deregulation in p.Gly183Ser or Val expressing cells (*P* values for each mutant allele versus wild-type were all P<0.001 (Supplementary Figures 4 and 5). Comparing sorted sIgM positive *PAX5* p.Gly183Ser to *PAX5* wild-type cells, we observed reduced expression of genes activated by *PAX5* in pro-B and mature B-cells (*P* =1.4 × 10⁻⁴ and *P* =3.8 × 10⁻⁴ respectively, Supplementary Tables 13-15)

We next examined the transcriptional consequences of *PAX5* p.Gly183Ser by performing transcriptome sequencing (mRNA-seq) of diagnosis and relapse samples obtained from two patients in kindred 2, and of 139 sporadic childhood B-progenitor ALL samples. We performed gene set enrichment analysis incorporating gene sets of *PAX5*-mutated *ETV6-RUNX1* ALL (one third of which harbor focal *PAX5* deletions)¹, *PAX5* regulated genes in $Pax5^{-/-}$ mice¹⁶⁻¹⁹ and genes regulated during murine B lymphoid development²⁰. As a limited set of genes are known to be regulated in both murine pro- and mature B cells, and

as the overlap between mouse and human *PAX5* regulated genes are unknown, we used all previously published *PAX5* regulated genes, and genes regulated during murine B cell development ¹⁶⁻²⁰ in an unbiased approach to explore the effects of the *PAX5* p.Gly183 mutations on direct and indirect transcriptional targets of *PAX5*. This showed striking enrichment of genes deregulated in *ETV6-RUNX1/PAX5*-mutated ALL, *PAX5* activated and repressed genes (including *CD19*, *CD72* and *CD79a*), and genes regulated during murine B lymphoid development in the signature of familial *PAX5* p.Gly183Ser versus sporadic B-ALL (Figure 3d and Supplementary Figures 6 and 7. We also analyzed the overlap of previously published data and the expression differences between the familial ALL tumor samples and other B-ALL cases stratified by *PAX5* mutation status (Supplementary file 6; Supplementary Figure 8). Together, these results suggest that *PAX5* p.Gly183Ser results in attenuation of *PAX5* function and deregulation of *PAX5* target genes that is less severe than the previously reported p.Pro80Arg and D2-6 alleles that result in marked or complete loss of *PAX5* activity.

The PAX5 deletions, translocations and sequence mutations identified as somatic events in B-ALL commonly affect the DNA-binding and transactivation domains and result in complete loss or marked attenuation of PAX5 transcriptional activity, but are rarely homozygous and not observed as inherited variants. Moreover, Pax5 loss promotes the development of B-ALL in experimental models which are commonly accompanied by the acquisition of second hits in Pax5²¹, indicating that profound loss of PAX5 activity is commonly a central event in leukemogenesis. In contrast, the inherited PAX5 p.Gly183Ser mutation results in modest attenuation of PAX5 activity, and is accompanied by somatic loss of the wild-type PAX5 allele due to 9p alterations during leukemogenesis. This model is also consistent with the finding of a significant association of somatic PAX5 hypomorphic mutations coincident with complete loss of the normal PAX5 allele in leukemic cells absent 9p. These observations suggest that a severe reduction in PAX5 activity is incompatible with normal B lymphoid development and is deleterious in carriers, but by contrast, the partial hypomorphic p.Gly183Ser allele is tolerated as a germline allele but additional genetic events further reducing PAX5 activity are required to establish the leukemic clone. The universal finding of deletion of wild-type PAX5 in all familial ALL cases, rather than the acquisition of additional hypomorphic PAX5 mutations, suggests that a complete loss of wild-type PAX5 activity is required for developmental arrest and loss of maturation. This is supported by our transcriptional profiling of J558LµM p.Gly183Ser cells and familial leukemias showing deregulation of PAX5 target gene expression that is significant but less marked than known loss-of-function mutations. The differences in transcriptional profiles of some target gene panels were not as robustly observed as in mouse model systems, presumably due to inherent germline and somatic genetic and epigenetic variability in human leukemias. In addition, ongoing studies will be of interest to fully characterize the functional consequences of PAX5 octapeptide domain mutations.

Our findings have clinical implications with regard to options for pre-implantation genetic diagnosis, and the possible significance of somatic 9p alterations as a harbinger of a germline *PAX5* mutation. The recent identification of germline *TP53* mutations in familial $ALL^{20,22}$ and the data presented here strongly implicating *PAX5* mutations in a novel syndrome of inherited susceptibility to pre-B cell ALL, indicate that further sequencing of affected kindreds is required to define the full spectrum of germline variations contributing to ALL pathogenesis.

METHODS

Methods and any associated references are available in the online version of the paper

Supplementary Material

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Shah et al.



Figure 1. Familial Pre-B cell ALL associated with i(9)(q10) and dic(9;v) in two families harboring a novel, recurrent germline p.Gly183Ser variant

a. Family 1 of Puerto Rican ancestry. The proband is denoted by an arrow. Exome sequencing was undertaken in germline DNA from all available affected (IV1, IV5, IV6, III5) and unaffected (IV9, III3, III4) individuals as well as the diagnostic leukemic sample from IV6. p.Gly183Ser variant status denoted by (+/–). **b.** Family 2 of African-American ancestry. The proband is denoted by an arrow. Exome sequencing was undertaken in diagnostic, remission and relapse leukemic samples from individuals III4, IV1, and IV2. p.Gly183Ser variant status denoted by (+/–). **c.** Chromosome 9 copy number heat map for SNP6.0 microarray data of germline and tumor samples from three members of Family 2.

These data demonstrate the common feature of loss of 9p in the tumor specimens. Note the focal dark blue band denoting homozygous loss of CDKN2A/B in all samples. Blue indicates deletions and red indicates gains. G, germline; D, diagnosis; R, relapse sample. **d.** The haplotype flanking the p.Gly183Ser mutation. A five SNP haplotype rs7850825 to rs7020413 (Chr9:36.997-37.002 Mb) proximal to the mutation was concordant in both family 1 and family 2. However, the distal end flanking the mutation rs6476606 was discordant.

Shah et al.



b

185			192	PAX2						
	Y	S	Т	Ν	G	Т	L	G		
204 179									211	PAX3
	Н	S	1	D	G	- I	L	S		
										PAX5
	Y	s		S	G		L	G	105	17000
175	-	-	-	-	-	-		-	100	DAY6
175	Р	т	0	П	G	C	0	0	182	FAXU
100			Q	U	0	U	Q	Q		
180	V	c		NI	6	1	1	6	186	PAX8
	T	Э	1	IN	G	L	L	G		

Figure 2. Recurrent PAX5 mutations in ALL

a. Gene schematic of *PAX5* showing the exons (upper grey numbers), amino acid residues (lower grey numbers), protein domains (as denoted by colored legend) and position of the germline p.Gly183Ser variant (in red) in relation to the somatic *PAX5* mutations described in this study (n=13, arrows) and somatic mutations described previously in B-ALL^{1,2,20}. Primary leukemic samples with confirmed retention of the germline p.Gly183Ser variant denoted by the square shape (Family 1) and diamond shape (Family 2). In one case of i(9)/dic(9) ALL, we found both a heterozygous Val26Gly and a heterozygous Gln350fs mutation, indicating polyclonality of the tumor. **b.** Conservation of the octapeptide domain in selected PAX family members.



Figure 3. Attenuated transcriptional activity of PAX5 p.Gly183Ser

a. Transcriptional activity of *PAX5* variants compared to wild-type using a Pax5-dependent reporter gene assay in 293T cells. Bars show mean (\pm s.e.m.) luciferase activity of six individual experiments with triplicate measurements (*PAX5* p.Gly183Val and *PAX5* d2-6 four experiments with triplicate measurements). Asterisks indicate significant difference calculated by Dunnett's test (P<0.0001). MIR, MSCV-IRES-mRFP empty vector. **b.** Transcriptional activity of *PAX5* variants using CD79A-dependent sIgM expression in the murine J558LµM plastocytoma cell line. Percentages indicate proportion of mRFP positive cells that show sIgM expression. Bars show mean (\pm s.e.m.) sIgM expression in two individual experiments with triplicate replicates each. Asterisks indicate significant difference calculated by Dunnett's test (P<0.0001). MIR, MSCV-IRES-mRFP empty vector. **c.** *PAX5*-dependent reporter gene assay of *PAX5* wild-type and *PAX5* p.Gly183Ser run in triplicate as above, with or without co-transfection of 0.05µg of Grg4 as indicated. A *PAX5*

p.Tyr179Glu (Y179E) mutant that is deficient in binding to Grg4 and empty vector were used as controls. Asterisks indicate significant differences by two-tailed t-test (p<0.0001). **d.** Heatmap of *PAX5* activated genes in mature B cells. Four samples from family 2 (diagnosis and relapse samples from individuals IV1 and IV2) show differential expression of *PAX5* activated genes when compared to a group of 139 sporadic B-ALL cases. This indicates an effect of the pGly183Ser mutation on *PAX5* function. Red indicates high expression, blue represents low expression. *PAX5* mutation status is indicated by the colors above the samples. Green indicates wild type *PAX5*, yellow indicates heterozygosity for a *PAX5* mutation, and pink indicates biallelic *PAX5* mutations.

Table 1

PAX5 mutations found in familial and sporadic i(9)(q10)/dic(9;v) pre-B cell ALL samples

Inheritance	Patient	Mutation	Tumor status	Germline status
Family 1	IV6	c.547G>A = p.Gly183Ser	Homozygous	Heterozygous
Family 2	III4	c.547G>A = p.Gly183Ser	Homozygous	Heterozygous
Family 2	IV1 D	c.547G>A = p.Gly183Ser	Homozygous	Heterozygous
Family 2	IV1 R	c.547G>A = p.Gly183Ser	Homozygous	
Family 2	IV2 D	c.547G>A = p.Gly183Ser	Homozygous	Heterozygous
Family 2	IV2 R	c.547G>A = p.Gly183Ser	Homozygous	
Familial ¹		c.239C>G = p.Pro80Arg (tumor shows dic(9;20)(p11;q11.1))	Homozygous	Wildtype
Sporadic		c.77T>G = p.Val26Gly	Heterozygous	Wildtype
Sporadic		c.77T>G = p.Val26Gly	Heterozygous	Wildtype
Sporadic		c.77T>G = p.Val26Gly	Heterozygous	Wildtype
Sporadic		c.197G>A = p.Ser66Asn	Homozygous	ND
Sporadic		c.239C>G = p.Pro80Arg	Homozygous	Wildtype
Sporadic		c.239C>G = p.Pro80Arg	Homozygous	Wildtype
Sporadic		c.239C>G = p.Pro80Arg	Homozygous	Wildtype
Sporadic		c.547G>A = p.Gly183Ser	Homozygous	ND
Sporadic		c.548G>T = p.Gly183Val	Heterozygous	Wildtype
Sporadic		c.1012G>T = p.Gly338Trp	Heterozygous	Wildtype
Sporadic		c.1049-1051delAGTinsGTCCG = p.Gln350fs	Heterozygous	Wildtype
Sporadic		c.1100_1100+15 del16bp (IVS9 splice)	heterozygous	ND

 I Familial case as reported in main text. ND = Not Determinable. Germline DNA either not tested or not available. *PAX5* accession No: NM_016734