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# **Protein coronas suppress the hemolytic activity of hydrophilic and hydrophobic nanoparticles**

**Krishnendu Saha**a,‡, **Daniel F. Moyano**a,‡, and **Vincent M. Rotello**<sup>a</sup>

Vincent M. Rotello: rotello@chem.umass.edu

aDepartment of Chemistry, 710 North Pleasant St, University of Massachusetts Amherst, Amherst, Massachusetts, USA 01003. Fax: 413-545-4490; Tel: 413-545-2058

# **Abstract**

The role of nanoparticle surface hydrophobicity on its hemolytic property is established in the absence and the presence of plasma proteins. Significantly, the formation of plasma protein corona on NP surface protects red blood cells from both hydrophilic and hydrophobic NP-mediated hemolysis.

> Intravascular rupture of red blood cells (RBCs) upon systemic administration of therapeutic NPs can elevate plasma level of cell-free hemoglobin leading to endothelial cell dysfunction and vascular thrombosis.<sup>1</sup> This acute hemolysis can further cause hemolytic anemia that result in multiple organ failure<sup>2</sup> and death.<sup>3</sup> Several reports have recently demonstrated the hemolytic activities of therapeutic NPs both *in vitro*<sup>4</sup> and *in vivo*, 5 indicating potential adverse side-effects of NPs that can limit applications in nanomedicine. However, a lack of parametric study *in vitro* on NP hemolytic behavior makes their interaction profile difficult to predict *in vivo*.

> Surface functionality of NPs is central to their effective use in therapeutic applications, imparting functional properties  $<sup>6</sup>$  and dictating their circulation profile in the blood stream.<sup>7</sup></sup> However, in contrast to small molecule therapeutics the formation of a protein corona on the NP surface during blood circulation<sup>8</sup> alters surface chemical behavior of NPs and defines its physiological responses.<sup>9</sup> This interplay of NP surface functionality and the protein corona formed *in situ* would be expected to dictate the overall interaction of NPs with RBCs, regulating the hemolytic behavior of NPs. To date, however, there is no clear understanding how changes on NP surfaces coupled with the formation of protein corona control the hemolytic properties of NPs.<sup>10</sup>

Herein, we report the role of NP surface functionality on hemolytic activity in the presence and absence of plasma proteins. To this end, we have synthesized a class of cationic gold NPs of same core size (~2 nm), differing in their surface hydrophobicity (Figure 1). These NPs showed a direct increase in hemolytic activity with the increase of surface hydrophobicity in the absence of plasma proteins. Significantly, the presence of protein corona dramatically altered the hemolytic activity of these NPs; hemolysis was only observed in the most hydrophobic of surface coverage. These studies demonstrate the

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Correspondence to: Vincent M. Rotello, rotello@chem.umass.edu.

<sup>‡</sup>These authors contributed equally to the work.

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importance of serum proteins in moderating hemolytic activity, expanding the range of particle surfaces that can be employed without hemolytic consequences.

The NP surface hydrophobicity has a critical role in the cellular uptake,  $^{11}$  toxicity,  $^{12}$  and immune responses 13 of nanomaterials. To understand the role of NP surface hydrophobicity on the blood compatibility, a family of nine cationic NPs was synthesized systematically changing the degree of surface hydrophobicity by the use of specific chemical groups (Figure 1).<sup>14</sup> This chemical approach allows us to control the nature of the monolayer (nanoparticle surface), while maintaining the other physicochemical properties (e.g. size and surface charge) constant. Using this system, the NP properties can be translated into numerical descriptors, as demonstrated previously by interfacial tension experiments.<sup>15</sup> As such, the logP of the headgroups (R groups, Figure 1) was employed to describe the relative hydrophobic nature of the NP surface.

In prior studies, we have demonstrated that cationic NPs showed significantly higher hemolysis compared to anionic counterpart.<sup>16</sup> To this end, NPs with different surface charge e.g. cationic, anionic, neutral, and zwitterionic (500 nM each) were incubated with RBCs for 30 min and the absorbance of released hemoglobin from the hemolyzed RBCs were measured at 570 nm.17 We observed a significantly higher hemolytic activity of cationic NPs compared to NPs with other surface charges (anionic, neutral, and zwitterionic) (see SI), corroborating previous reports.<sup>18</sup>

The role of NP surface hydrophobicity on hemolytic behavior was established incubating NP1-NP9 (500 nM each) with RBCs as mentioned above. A direct increase in hemolytic activity was observed for NP1-NP9 with the increase of hydrophobicity (Figure 2), demonstrating the role of NP surface hydrophobicity on the hemolysis. Significantly, NPs with similar headgroup logP but different chemical functionalities e.g. NP2 and NP3 demonstrated different degree of hemolysis (~4.5% and 13.5% respectively), evidencing the role of specific functional groups on the observed hemolytic behavior.

The dose-dependent hemolytic behavior of these NPs was investigated exposing RBCs to a range of concentrations of NPs from 8 nM to 500 nM. As shown in Figure 3, a dosedependent increase of hemolysis was observed for all NPs. NP1-NP3 did not show significant hemolysis at the highest concentration tested (500 nM), demonstrating biocompatibility of these particles towards RBCs. Significantly, a sigmoidal dose-response curve was observed for more hydrophobic NPs (see SI), demonstrating the co-operative nature of hemolytic process for these NPs.19 This result demonstrated that the subtle changes on NP surfaces can modulate the interaction profile with RBCs, leading to different hemolytic profile.

Binding of plasma proteins on NP can mask the chemical nature of the NP surface, altering their activity *in vivo*. <sup>20</sup> The hemolytic activity of NP1-NP9 was further studied in the presence of 55% of plasma protein, a condition NPs will meet while administered in the blood stream. NPs were pre-incubated with 55% plasma in PBS for 30 min and then added to the RBCs. The pre-incubation time was chosen to ensure protein absorption, although protein coronas form within minutes after NP exposure.<sup>21</sup> Following incubation with RBCs, the absorbance of the supernatant was measured at 570 nm to monitor the extent of hemolysis (*vide supra*). In presence of plasma, little to no hemolysis was observed for NP1- NP6 (Figure 4). Significantly, NP6 that showed ~70% hemolysis in the absence of plasma protein, demonstrated no hemolytic activity in the presence of plasma even after 24 hr, a striking example of the effect of protein corona formation on NP surface. Likewise, NP7- NP9 demonstrated significant decrease in hemolytic activities (more than 20-fold) in presence of plasma within 30 minutes. However, NP7-NP9 still showed ~5% hemolysis

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within 30 min, demonstrating hemolytic potential of these NPs even in the presence of plasma proteins. This behavior was more pronounced after 24 hr where NP7 and NP9 showed severe hemolysis (Figure 4). Significantly, NP7 and NP8 showed different hemolytic activities after 24 hr in the presence of serum protein, despite their similar headgroup hydrophobicity, suggesting surface topology plays a role in hemolytic propensity. Nonetheless, this study signifies the interplay of the chemical functionalities on NP surface and the protein corona formed *in situ*, making NPs 'silent' towards hemolytic consequences. However, NPs with high degree of surface hydrophobicity (headgroup  $logP > 4$ ) demonstrated severe hemolysis, providing a limit of the NP surface hydrophobicities tested in this study.

# **Conclusion**

In summary, we have demonstrated the critical synergy between NP surface chemical functionalities and the protein corona, leading to dramatically attenuated hemolytic behaviour of NPs. In the absence of plasma proteins, a linear hemolytic profile was observed with increasing NP surface hydrophobicity. Significantly, the presence of plasma protein passivated both hydrophilic and hydrophobic NP surfaces leading to no hemolysis within 30 min. NPs with the highest degrees of hydrophobicity (headgroup logP>4), however, maintained their hemolytic properties (24 hr) potentially due to aggregation in the presence of plasma proteins. This study signifies the protective role of protein corona for improved blood compatibility and provides extended design parameters for therapeutic nanomaterials without toxic consequences.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1.**

The structure of the NPs used in the current study. logP denotes the n-octanol/water partition coefficients of R groups.

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#### **Figure 2.**

Hemolytic activities of NP1-NP9 (500 nM each) in the absence of plasma proteins. RBCs were incubated with NPs for 30 min in PBS at 37 °C and the mixture was centrifuged to detect the cell-free hemoglobin in the supernatant. % Hemolysis was calculated using water as the positive control. Error bars represent standard deviation (n=3).



# **Figure 3.**

Dose-dependent hemolytic activity of NP1-NP9 in the absence of plasma proteins. % Hemolysis was calculated using water as the positive control. Error bars represent standard deviation (n=3).



#### **Figure 4.**

Hemolytic activities of NP1-NP9 (500 nM each) in the presence of plasma proteins. NPs were pre-incubated with 55% of plasma in PBS following incubation with RBCs for 30 min and 24 hr at 37 °C. The mixture was centrifuged to detect the cell-free hemoglobin in the supernatant. % Hemolysis was calculated using water as positive control. Error bars represent standard deviation (n=3).