

## Ancestral major histocompatibility complex *DRB* genes beget conserved patterns of localized polymorphisms

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**ABSTRACT** Genes within the major histocompatibility complex (MHC) are characterized by extensive polymorphism within species and also by a remarkable conservation of contemporary human allelic sequences in evolutionarily distant primates. Mechanisms proposed to account for strict nucleotide conservation in the context of highly variable genes include the suggestion that intergenic exchange generates repeated sets of MHC *DRB* polymorphisms [Gyllenstein, U. B., Sundvall, M. & Erlich, H. A. (1991) *Proc. Natl. Acad. Sci. USA* 88, 3686–3690; Lundberg, A. S. & McDevitt, H. O. (1992) *Proc. Natl. Acad. Sci. USA* 89, 6545–6549]. We analyzed over 50 primate MHC *DRB* sequences, and identified nucleotide elements within macaque and baboon *DRB6*-like sequences with deletions corresponding to specific exon 2 hypervariable regions, which encode a discrete  $\alpha$  helical segment of the MHC antigen combining site. This precisely localized deletion provides direct evidence implicating segmental exchange of MHC-encoded *DRB* gene fragments as one of the evolutionary mechanisms both generating and maintaining MHC diversity. Intergenic exchange at this site may be fundamental to the diversification of immune protection in populations by permitting alteration in the specificity of the MHC that determines the repertoire of antigens bound.

Extensive variation of major histocompatibility complex (MHC) genes occurs in all vertebrate species, due to a number of structural and genetic features, including gene duplication, heterodimer formation, and extensive allelic polymorphism. Notably, within MHC *DRB* genes, sequence polymorphism is nonuniform, clustered within “hypervariable” regions of the second exon (1–4), which encodes the antigen-binding portion of the MHC class II molecule. Thus, functional properties of the MHC molecule and the corresponding selective pressures that arise from immunological challenges cluster within a limited set of highly polymorphic nucleotides.

Calculations based on sequence variation have indicated that the overall mutation rate of MHC loci is not higher than that of most other genetic loci (5). However, it has been suggested that discrete segments within the second exon may accumulate mutations at different rates (4). Specific mechanisms for generating localized variability in the MHC, such as gene conversion and interlocus genetic exchange, have been suggested but they are controversial and indirect (6–8). Indeed, arguments for convergent mechanisms to account for recurrent sequences within otherwise divergent alleles have even been proposed (9). We now provide evidence from an analysis of macaque and baboon MHC *DRB*-related genes for intragenic segmental loss of specific hypervariable sequences, consistent with evolutionary mechanisms involving exchange of *DRB* gene segments generating MHC diversity.

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## MATERIALS AND METHODS

DRBFP1 (forward) and DRBRP2 (reverse) oligonucleotide primers were used for primary amplification and sequencing of *DRB* loci in humans and nonhuman primates. Genomic DNA was amplified by PCR for 29 cycles at 55°C, 72°C, and 93°C for 30 sec each. Amplification products were ligated into the TA cloning vector (Invitrogen) before sequencing by the dideoxy termination method. As described, additional allele-specific primers were then derived from these sequences. Nested reverse primers NHP-RØ, NHP-R1, and NHP-R2 were used in conjunction with nested forward primers NHP-01, NHP-02, NHP-03, and NHP-04 to confirm the “short” MHC sequences by independent amplification from genomic DNA. Primers used included: NHP-01, TGGAGCAGGCTAAGTGTAAG; NHP-02, TTCTTGGAGTAGGCTAAGTGT; NHP-03, GGAGCAGGCTAAGTGTGAG; NHP-04, TTGGAGCAGGCTAAATATGAG; NHP-RØ, TGTAAGTCTGTGACAGGCCA; NHP-R1, TGTAAGTCTGTGACAAGCCG; NHP-R2, TTCCGTAATTGTAAGTCTGTGA; DRBFP1, CCCACAGCACGTTTCTTG; DRBRP2, CCGCTGCACTGTGAAGCTCT.

## RESULTS AND DISCUSSION

Over 50 *DRB*-like sequences from a wide variety of nonhuman primates were derived by amplification of the second exon homologs using *DRB1* consensus primers. Most of the sequences gave the expected 270-bp product that aligned with *DRB* second exon sequences. However, four short variants of 208 bp were identified in macaques; these sequences were also homologous to portions of the *DRB* second exon with a 62-bp gap and were observed in two species of macaques, *Macaca fascicularis* and *Macaca nemestrina* (Fig. 1). The deleted nucleotide sequences corresponded to codons 60–80 of the *DRB* second exon, also creating a frame shift at the junction flanking this gap. Additional polymorphisms distinguished the four short variants, consistent with continued divergence of these sequences subsequent to a common ancestral deletion event.

A very similar sequence was also identified in a baboon *DRB*-like gene shown in Fig. 1, designated *Paca-DRB6\**pss02**. Again, codons 60–80 were specifically deleted with the same frame-shift mutation at this site. Additional polymorphisms within the baboon-derived sequence, particularly at codon 35–37, indicate that this gene also contains additional mutations, consistent with continued accumulation of deleterious nucleotide changes.

**Abbreviations:** MHC, major histocompatibility complex; HVR, variable region.

**Data deposition:** The sequences reported in the paper have been deposited in the GenBank data base (accession nos. L76641–L76651, L76675–L76695, L76720–L76725, L76980–L76981, L77100–L77105, and L77110–L77112).

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helical loop that forms the lateral boundary of the antigen binding MHC groove (13). Previous sequence comparisons of full-length DRB genes have suggested that these two regions have distinct evolutionary histories. Statistical analyses of the relative frequencies of replacement and silent substitutions (14), as well as phylogenetic analysis (4, 15), have been used to argue that the proximal ( $\beta$  sheet portion) second exon contains

most of the stable allelic lineage-related sequence motifs, with a slow conventional accumulation of additional mutations over time. In contrast, the distal ( $\alpha$  helical portion) second exon represents a fixed sequence element that occurs in the context of multiple different allelic lineages. We believe we have identified in the short DRB6 macaque and baboon sequences direct evidence consistent with a mechanism of intergenic

	HVR I						HVR II						HVR III								
	9	10	11	12	13	14	26	27	28	29	30	31	67	68	69	70	71	72	73	74	
HLA-DRB1*0101	TGG	CAG	CTT	AAG	TTT	GAA	TTG	CTG	GAA	AGA	TGC	ATC	CTC	CTG	GAG	CAG	AGG	CGG	GCC	GCG	
HLA-DRB1*1501	---	---	-C-	---	AGG	--G	--C	---	--C	---	-A-	T--	---	---	---	---	GC-	---	---	---	
HLA-DRB1*0301	GA-	T-C	TC-	-C-	-C-	--G	-AC	---	--C	---	-A-	T--	---	---	---	---	-A-	---	-G-	CG-	
HLA-DRB1*1101	GA-	T-C	TC-	-C-	-C-	--G	--C	---	--C	---	-A-	T--	T--	---	--A	G-C	---	---	---	---	
HLA-DRB1*0801	GA-	T-C	TC-	-C-	GG-	--G	-C	---	--C	---	-A-	T--	T--	---	--A	G-C	---	---	---	CT-	
HLA-DRB1*0901	AA-	---	GA-	---	---	--G	-AT	---	C-C	---	G-	---	T--	---	---	G-	---	---	---	-A-	
HLA-DRB1*1301	GA-	T-C	TC-	-C-	-C-	--G	--C	---	--C	---	-A-	T--	---	---	--A	G-C	GA-	---	---	---	
Mane-DRB1*13a	GA-	T-C	TC-	-CA	-C-	--G	-AC	---	--C	---	-A-	T--	A--	---	--A	G-C	GC-	---	---	---	
Mane-DRB1*03b	GA-	T-C	TC-	-CA	-C-	--G	-AC	---	--C	---	-A-	T--	A--	---	---	---	-A-	---	---	CG-	
Mane-DRB1*03c	GA-	T-C	TC-	-CA	-C-	--G	-AC	---	--C	---	-A-	T--	A--	---	---	---	-A-	---	---	CG-	
Mafa-DRB1*03a	GA-	T-C	TC-	-CA	-C-	--G	-AC	---	--C	---	-A-	T--	A--	---	---	---	-A-	---	---	CG-	
Mane-DRB1*13b	GA-	T-C	TC-	-CA	-C-	--G	--C	---	--C	---	-A-	T--	A--	---	--A	G-C	GC-	---	---	---	
HLA-DRB1*0401	GA-	---	G--	--A	CA-	--G	-C	---	--C	---	-A-	T--	---	---	--A	G-C	---	---	---	-A-	
Mafa-DRB1*04a	GA-	---	G--	--A	CA-	--G	-AC	---	--G	---	CA-	T--	---	---	--A	G-C	---	---	---	CG-	
Mafa-DRB1*04b	GA-	---	G--	--A	CA-	--G	-C	---	--C	---	-A-	T--	T--	---	--A	G-C	---	---	---	-T-	
Mane-DRB1*04a	GA-	---	G--	--A	CA-	--G	-C	---	--T	---	-A-	T--	A--	---	--A	G-C	GC-	---	---	---	
HLA-DRB4*0101	GA-	---	GC-	---	-G-	--G	AAC	---	ATC	---	-A-	---	---	---	---	-G-	---	---	---	-A-	
Mane-DRB4*04c	GA-	T-C	TG-	---	---	--G	AAC	---	ATC	---	-A-	T--	---	---	---	---	---	---	---	CA-	
Mane-DRB4*05b	GA-	T-C	G--	---	---	--G	-AC	---	ATC	---	GT-	T--	T--	---	---	-A-	---	---	---	---	
Mane-DRB4*05c	GA-	T-C	G--	---	---	--G	-AC	---	ATC	---	GT-	T--	T--	---	---	-A-	---	---	---	---	
Mane-DRB4*05d	GA-	T-C	G--	---	---	--G	--C	---	ATC	---	GT-	T--	T--	---	---	-A-	---	---	---	T--	
Mafa-DRB4*05a	GA-	T-C	G--	---	---	--G	-AC	---	ATC	---	GT-	T--	T--	---	---	-A-	---	---	---	---	
Mafa-DRB4*05b	GAA	T-C	G--	---	---	--G	-C	---	ATC	---	GT-	T--	A--	---	---	-A-	---	---	---	CG-	
Mafa-DRB4*05c	GAA	T-C	G--	---	---	--G	-C	---	ATC	---	GT-	T--	T--	---	G-	-A-	---	---	---	---	
HLA-DRB5*0101	CA-	---	GA-	---	-A-	--G	-C	---	C-C	---	GA-	---	---	---	--A	G-C	---	---	---	---	
Mafa-DRB5*01a	CA-	---	AA-	---	GC-	--G	---	---	--G	---	-A-	---	---	---	---	---	---	---	A--	CA-	
Mafa-DRB5*09a	AA-	---	GA-	---	---	--G	-C	---	C-C	---	GA-	---	A--	---	---	-G-	---	---	---	-A-	
Mane-DRB5*01a	AA-	---	GA-	---	-A-	--G	-C	---	C-C	---	GA-	---	A--	---	--A	G-C	---	---	---	---	
HLA-DRB6*0101	GA-	---	GC-	---	-G-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-AT	---	-A-	AA-	---	
Mane-DRB6*02a	GA-	---	GC-	---	-G-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-A-	---	-A-	AA-	---	
Mane-DRB6*02b	GA-	---	GC-	---	-G-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-C	G-	-A-	AA-	---	
Mane-DRB6*03a	GA-	---	GG-	---	-C-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-A-	---	-A-	AA-	---	
Mane-DRB6*03b	GA-	---	GG-	---	-C-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-A-	---	-A-	AA-	---	
Mane-DRB6*03c	GA-	---	GG-	---	-C-	--G	-AC	---	C-G	---	CA-	T--	TA-	---	---	---	---	---	---	---	
Mafa-DRB6*03a	GA-	---	GG-	---	-C-	--G	-AC	---	A-C	---	-A-	---	A--	---	G-	-A-	---	-A-	AA-	---	
HLA-DRB7*0101	GA-	---	GC-	---	-C-	--G	-AC	---	TA-	---	-A-	T--	A--	---	---	-A-	---	-A-	AA-	---	
Mane-DRB7*02a	GA-	---	GC-	---	-C-	--G	-AC	---	--C	---	-A-	---	T--	---	--A	G-C	CA-	---	---	---	
Mafa-DRB*02a	GA-	---	G--	---	-C-	--G	-C	---	--G	---	-A-	T--	T--	---	--A	G-C	CA-	---	---	---	
Mane-DRB*02b	GA-	---	G--	---	-C-	--G	-C	---	--G	---	-A-	T--	TA-	---	---	-A-	---	-G-	CA-	---	
Mafa-DRB*02b	GA-	---	G--	---	-C-	--G	-C	---	C-G	---	-A-	---	A--	---	---	-A-	---	-G-	CA-	---	
Mane-DRB*02a	GA-	---	G--	---	-C-	--G	-C	---	--G	---	-A-	T--	A--	---	---	-A-	---	-G-	CA-	---	
Mafa-DRB*03a	GA-	---	GC-	--A	CG-	--G	--C	---	--C	---	-A-	T--	T-T	---	---	---	GC-	---	A--	---	
Gasm-DRB*02	GA-	---	GC-	--A	CG-	--G	--C	---	--C	---	-A-	T--	T--	---	---	---	---	---	-T	AAT	
Lefs-DRB*01	GA-	---	T--	---	-C-	--G	-AC	---	C-T	---	-A-	T--	-G-	---	-T	T-C	GC-	---	---	---	
Lefs-DRB*02	GA-	---	GC-	---	-G-	--G	--C	---	--G	---	-A-	T--	---	---	---	-A-	---	---	---	TT-	
Lefs-DRB*03	GA-	---	-A-	---	CC-	--G	-C	---	C-G	---	-A-	---	A--	---	G-	GC-	---	---	---	---	
Gaga-DRB*01	GA-	---	G--	---	CA-	--G	--C	---	--C	---	-A-	T--	---	---	---	---	-T-	---	---	---	
Gaga-DRB*02	CAT	AT-	-G-	---	---	--G	C-C	---	-GC	---	-G-	---	T-T	---	---	---	GC-	---	A--	---	
Mane-DRB4*05a	GA-	T-C	G--	---	---	--G	-C	---	ATC	---	GT-	T--	TA-	---	---	---	---	---	---	---	
Mane-DRB5*02a	AA-	---	GC-	---	GC-	--G	-C	---	--G	---	CA-	T--	TA-	---	---	---	---	---	---	---	
Mane-DRB5*03a	AA-	---	AC-	---	GC-	--G	---	---	--C	---	CA-	T--	TA-	---	---	---	---	---	---	---	
Lefa-DRB5*03a	AA-	---	AC-	---	GC-	--G	---	---	--C	---	CA-	T--	TA-	---	---	---	---	---	---	---	
Gasm-DRB5*03a	AA-	---	AC-	---	GC-	--G	---	---	--C	---	CA-	T--	TA-	---	---	---	---	---	---	---	
Mane-DRB*05a	G--	---	GC-	--A	CG-	--G	--C	---	--C	---	-A-	T--	TA-	---	---	---	GC-	---	---	---	
Mane-DRB*05b	G--	---	GC-	--A	CG-	--G	-C	---	--C	---	-A-	T--	TA-	---	---	---	GC-	---	---	---	
Mane-DRB?*ps01	GA-	T--	GC-	---	-G-	--G	-C	---	--C	---	-A-	T--	TA-	---	---	---	GC-	---	---	---	
Mane-DRB6*ps01	GA-	T--	GC-	---	-G-	--G	-AC	---	A-C	---	AA-	---	***	***	***	***	***	***	***	***	
Mane-DRB6*ps02	GA-	---	GC-	---	-G-	--G	-AC	---	A-C	---	-A-	---	***	***	***	***	***	***	***	***	
Mafa-DRB6*ps01	GA-	---	GC-	--A	-A-	--G	-AC	---	-A	A-C	---	AA-	---	***	***	***	***	***	***	***	***
Mafa-DRB6*ps03	GA-	---	GC-	---	-G-	A-G	-A-	---	A-C	---	-A-	---	***	***	***	***	***	***	***	***	
Paca-DRB6*ps01	AA-	---	GC-	---	-G-	--G	-AC	---	A-C	---	AA-	---	***	***	***	***	***	***	***	***	

FIG. 2. Selected human (HLA), macaque, baboon, and prosimian (lemur and galago) sequence alignment representing the three variable regions (HVR) within the DRB second exon. Mane, *M. nemestrina*; Mafa, *M. fascicularis*; Lefa, *Lemur fulvus albifrons*; Lefs, *L. fulvus sanfordi*; Gaga, *Galago garnettii*; Gasm, *Galago senegalensis moholi*; Paca, *Papio cynocephalus anubis*. The allelic designation for the primates is provisional; allelic designation "ps" indicates a pseudogene with a stop codon and "pss" indicates pseudogenes with a short sequence due to the 62-bp gap in the  $\alpha$  helical region.



segmental interchange for the distal ( $\alpha$  helical portion) *DRB* second exon. The short macaque *DRB6* pseudogenes appear to represent the donor sites from such an exchange, which in these cases was apparently nonreciprocal, leading to the evolutionary remnants found.

Segmental recombination at this site in *DRB* second exons is presumably not limited to the *DRB6* pseudogene. Sequence identities between the  $\alpha$  helical portion of the *DRB* molecule among different alleles have been noted by many investigators and are present in most of the functional allelic lineages in modern primates and man. For example, the human *DRB1\*1414* gene sequence is consistent with a recombination between *DRB1\*1404* and *DRB1\*0802* or *DRB1\*0804* alleles at this second exon site (16), and similarly the *DRB1\*0415* sequence may derive from a *DRB1\*0401/DRB1\*11* recombinant (17). Analysis of additional sequences derived from nonhuman primates identified some striking examples that also appear to represent this diversification mechanism. As shown in Fig. 2, polymorphism among *DRB* genes can be viewed as a patchwork of distinct clusters of variable sequence elements (HVR) that occur in three sites, including the HVR<sub>III</sub> cluster encoding residues 67–74 within the  $\alpha$  helical loop region. Conserved sequence motifs from this region occur interspersed among loci, alleles, and even between species, as highlighted in Fig. 2.

An example of this segmental conservation is shown for the HVR<sub>III</sub> sequence TAC CTG GAG CAG AGG CGG GCC GCG (Fig. 2, dark blue), which is found in *DRB4*-like, *DRB5*-like, and *DRB6*-like loci in several different alleles distributed across macaque, lemur, and galago species, and is present in the context of a least four different HVR<sub>I</sub> and HVR<sub>II</sub> sequences. Although it is not possible to definitively identify the codon 60–80 segment currently “missing” from the short macaque *DRB6* sequences, it may be noteworthy that some contemporary *DRB6* genes have identical HVR<sub>I</sub> and HVR<sub>II</sub> segments (i.e., compare *Mane-DRB6\*ps02* with *Mane-DRB6\*02a* and *Mane-DRB6\*02b*), suggesting the possibility that the *DRB6\*02* HVR<sub>III</sub> sequence ATC CTG GAG GAG AAG CGG GAC AAG (Fig. 2, grey) could correspond to the hypervariable segment deleted in the short sequences. If this is the case, we can speculate that potential acceptor genes for this sequence, if indeed it was donated in a nonreciprocal exchange, might be the macaque *DRB6\*03a* or even the human *HLA-DRB7* pseudogene sequences, which share contemporary HVR<sub>III</sub> homologs. Most likely, this form of segmental exchange is frequently reciprocal, yielding gene products of normal length; the existence of the short *DRB6* homologs may represent an exception to this reciprocal recombination that, nevertheless, provides direct evidence for this form of diversification mechanism.

Strong selective pressures encourage both diversification of MHC *DRB* alleles within a species as well as conservation of functionally successful motifs, not only within a species but also between species (18, 19). It is possible that a mechanism of segmental recombination for the  $\alpha$  helical portion of the second exon represents an evolutionary adaptation favoring the exchange of successful structural motifs in this region among different alleles. Indeed, Gyllensten *et al.* (15) have noted that *DRB* sequences between codons 51–55 are partially homologous to bacterial  $\chi$ -like recombination signals and have suggested this as a basis for the distinct evolutionary histories of the  $\beta$ -sheet region compared with the  $\alpha$  helical portions of the second exon (4). Because this  $\chi$ -like sequence occurs in a nonpolymorphic portion of the exon, it raises the possibility

that conservation of a mechanism for localized segmental exchange is one of the underlying evolutionary features accounting for MHC *DRB* diversity.

Localization of *DRB1* segmental variation to the second exon implies functional selection mechanisms, because this segment encodes the class II MHC domain that interacts with highly variable peptide antigens. Indeed, the comparable functional site in the third exon of the MHC class I *HLA-B* locus has also been suggested to arise by similar mechanisms, based on sequence comparisons that suggest recombinant origins (20). As pointed out by others (21), there are also examples of sequence variation in *DRB* genes consistent with concepts of convergent evolution. However, the specific localization of the deleted *DRB6* gene segment described here is most consistent with the interpretation that the evolutionary history of the  $\alpha$  helical portion of the second exon likely involves specialized recombinational events.

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