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Association between an interleukin 1 receptor, type I promoter polymorphism and self-reported attentional function in women with breast cancer

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Abstract

Subgroups of patients with breast cancer may be at greater risk for cytokine-induced changes in cognitive function after diagnosis and during treatment. The purposes of this study were to identify subgroups of patients with distinct trajectories of attentional function and evaluate for phenotypic and genotypic (i.e., cytokine gene polymorphisms) predictors of subgroup membership. Self-reported attentional function was evaluated in 397 patients with breast cancer using the Attentional Function Index before surgery and for six months after surgery (i.e., seven time points). Using growth mixture modeling, three attentional function latent classes were identified: High (41.6%), Moderate (25.4%), and Low-moderate (33.0%). Patients in the Low-moderate class were significantly younger than those in the High class, with more comorbidities

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and lower functional status than the other two classes. No differences were found among the classes in years of education, race/ethnicity, or other clinical characteristics. DNA was recovered from 302 patients' samples. Eighty-two single nucleotide polymorphisms among 15 candidate genes were included in the genetic association analyses. After controlling for age, comorbidities, functional status, and population stratification due to race/ethnicity, IL1R1 rs949963 remained a significant genotypic predictor of class membership in the multivariable model. Carrying the rare "A" allele (i.e., GA+AA) was associated with a two-fold increase in the odds of belonging to a lower attentional function class (OR: 1.98; 95% CI: 1.18, 3.30; $p=.009$). Findings provide evidence of subgroups of women with breast cancer who report distinct trajectories of attentional function and of a genetic association between subgroup membership and an IL1R1 promoter polymorphism.

Keywords

attention; breast cancer; inflammation; cytokine genes; interleukin 1 receptor; type I; growth mixture modeling

1. Introduction¹

Self-reported attentional function is an important aspect of quality of life for patients with breast cancer [1–3]. Perceived changes in attentional function after diagnosis and during treatment negatively impact women's ability to maintain meaningful activities that require the direction of attention for sustained periods of time [1]. Attentional function is closely tied to working memory [4] and is a component of executive function [5]. Therefore, changes in attentional function interfere with planning and goal-directed activities [1]. Patients may report these changes because of increased mental effort exerted to compensate for cancer- and cancer-treatment-related cognitive changes [6]. Functional magnetic resonance imaging studies support the hypothesis that subjective reports of cognitive changes are associated with increased mental effort [6, 7].

A recent report of the International Cognition and Cancer Task Force highlighted a consistent finding in the literature that subgroups of patients are more vulnerable to cognitive changes [8]. Characterization of vulnerable subgroups would allow clinicians to target education and interventions to patients most likely to benefit. Phenotypic characteristics (e.g., age, functional status) and clinical characteristics (e.g., disease stage, treatment), as well as differences in peripheral inflammatory processes [9], may be associated with vulnerability to changes in attentional function in these women.

Cytokines and their receptors regulate inflammatory processes [9]. Peripheral inflammation, due to cancer and its treatment, could induce inflammation in the central nervous system (CNS) through activation of afferent nerves such as the vagus nerve [10, 11], peripheral cytokine interactions with circumventricular organs [12], active transport of cytokines across the blood-brain barrier [13], activation of second messengers [14], and/or direct entry of peripherally activated monocytes into the CNS [10, 15]. Microglial cells within the CNS respond by producing central pro-inflammatory cytokines that contribute to oxidative stress [16], dysregulation of hypothalamic-pituitary-adrenal axis function [17], and diminished growth factor signaling [18, 19]. Therefore, the effects of peripheral cytokines could have a

¹*Abbreviations:* AIMS, ancestry informative markers; AFI, Attentional Function Index; BIC, Bayesian information criterion; BMI, body mass index; BLRT, bootstrapped likelihood ratio test; CI, confidence interval; CNS, central nervous system; DNA, deoxyribonucleic acid; GMM, growth mixture modeling; KPS, Karnofsky Performance Status; LD, linkage disequilibrium; MAF, minor allele frequency; OR, odds ratio; SCQ, Self-administered Comorbidity Questionnaire; SNP, single nucleotide polymorphism; VLMR, Vuong-Lo-Mendell-Rubin likelihood ratio test.

negative impact on cognitive function [20]. Given this hypothesized relationship, variations in genes that encode for inflammatory cytokines and their receptors may explain some of the variability in attentional function reported by women with breast cancer.

In a previous study using growth mixture modeling (GMM) [21], we identified three subgroups of participants with clinically meaningful differences in trajectories of attentional function during and after radiation therapy. In these patients with breast, prostate, brain, or lung cancer and their family caregivers, a single nucleotide polymorphism (SNP) in IL6 (rs1800795) predicted subgroup membership. In the current study, we evaluate the same SNP and attempt to identify novel associations in a larger, more homogenous sample. Therefore, the purposes of this study, in a sample of women with breast cancer, were to identify latent classes (i.e., subgroups of patients) with distinct trajectories of attentional function and to evaluate for phenotypic and genotypic characteristics associated with latent class membership.

2. Material and Methods

This analysis is part of a larger study that evaluated for multiple symptoms in patients who underwent surgery for breast cancer [22]. Patients were recruited from breast care centers located in a Comprehensive Cancer Center, two public hospitals, and four community practices. Patients were eligible to participate if they were ≥ 18 years of age; were scheduled to undergo surgery on one breast; were able to read, write, and understand English; and gave written informed consent. Patients with distant metastases at the time of diagnosis were excluded. Of the 516 patients who were approached, 410 enrolled in the study (79.5% response rate) and 397 completed baseline assessments. The most common reasons for refusal were being too busy or feeling overwhelmed.

2.1. Study procedures

The study was approved by the Committee on Human Research at the University of California, San Francisco and by the institutional review boards at each of the other study sites. During preoperative visits, a clinical staff member explained the study and invited patients to participate. Those women who were willing to participate were introduced to a research nurse, who determined eligibility. After providing written informed consent, patients completed baseline questionnaires a mean of four days prior to surgery. Follow-up questionnaires were completed each month for six months after surgery (i.e., seven assessments over six months). Medical records were reviewed for disease and treatment information.

Patients completed a demographic questionnaire, the Karnofsky Performance Status (KPS) scale [23], the Self-administered Comorbidity Questionnaire (SCQ) [24], and the Attentional Function Index (AFI). The AFI consists of 13 items designed to measure self-reported attentional function (i.e., ability to voluntarily direct and sustain attention) [1]. Higher mean scores on a 0 to 10 numeric rating scale indicate greater capacity to direct attention. Scores are grouped into categories of attentional function (i.e., <5.0 low function, 5.0 to 7.5 moderate function, >7.5 high function) [25]. Multiple studies have used the AFI in patients with breast cancer before [25–27] and after [28] surgery and chemotherapy [29]. Additional studies have used the measure across multiple treatment modalities [30] and in long-term survivors [31]. The AFI has established reliability, as well as construct and convergent validity [1]. In this study, Cronbach's alpha was .93.

2.2. Phenotypic analyses

Data were analyzed using SPSS 19 (IBM, Armonk, New York) and Mplus 6.11 (Muthén & Muthén, Los Angeles). Descriptive statistics and frequency distributions were generated for

sample characteristics and AFI scores. GMM with robust maximum likelihood estimation identified latent classes of patients with distinct trajectories of attentional function. The GMM methods are described in detail elsewhere [32].

Analyses of variance and Chi-square analyses were used to evaluate for differences in patient characteristics among classes. The cohort of patients for each analysis was dependent on the largest set of available data across classes. Differences were considered statistically significant at $p < .05$. Post hoc contrasts used the Bonferroni correction to control the overall family alpha. For any one of three possible pairwise contrasts, $p < .017$ was considered statistically significant. Effect sizes were determined using Cohen's d [33].

2.3. Genotypic analyses

Genomic DNA was extracted from archived buffy coats using the Puregene DNA Isolation System (Invitrogen, Carlsbad, CA). Of 397 patients who completed the baseline assessment, DNA was recovered for 302.

DNA was quantitated using spectrophotometry and normalized to a concentration of 50 ng/ μ L (diluted in 10 mM Tris/1 mM EDTA). Genotyping was performed blinded to clinical status. Samples were genotyped using the GoldenGate genotyping platform and processed using GenomeStudio (Illumina, San Diego). Genotype calls for each SNP were visually inspected by two blinded reviewers. Disagreements were adjudicated by a third reviewer.

2.3.1. Gene and SNP selection—Genes that encode for pro-inflammatory cytokines and their receptors include interleukin 2 (IL2), IL8, IL17A, and tumor necrosis factor alpha (TNFA, also referred to in the literature as TNF), as well as interferon gamma receptor 1 (IFNGR1) and IL1 receptor, type 1 (IL1R1). Genes that encode for anti-inflammatory cytokines and their receptors include IL4, IL10, and IL13, as well as IL1R2. Genes that encode for cytokines with both pro- and anti-inflammatory functions include IFNG, IL1 beta (IL1B), and IL6. Genes that encode for transcription factors, which moderate the levels of cytokine production, include nuclear factor kappa B 1 (NFkB1) and NFkB2.[9]

A combination of tagging SNPs and literature-driven SNPs (i.e., associated with altered function, symptoms) for these genes were selected for analysis. Tagging SNPs were required to have minor allele frequencies (MAFs) $\geq 5\%$ in public databases. SNPs with call rates $< 95\%$ or Hardy-Weinberg expectation $p < .001$ were excluded. Rare alleles are defined as having allele frequencies of less than 50% in the sample.

2.3.2. Statistical analyses—Allele and genotype frequencies were determined by gene counting. Hardy-Weinberg expectation was assessed by the Chi-square or Fisher Exact test. Measures of linkage disequilibrium (LD) (i.e., D' and r^2) were computed from patients' genotypes with Haploview 4.2 (Broad Institute, Cambridge, Massachusetts). LD-based haplotype block definition was based on the D' confidence interval (CI) method [34]. Haplotypes were constructed using PHASE 2.1 [35], as described previously [36]. One hundred six ancestry informative markers (AIMs) were included in the analyses, as described previously [36]. A backwards stepwise approach was used to create the most parsimonious phenotypic regression model. Except for self-reported race/ethnicity and AIMs, which were included to minimize confounding due to population stratification [37–39], only predictors with a p -value of $< .05$ were retained in the final model.

Additive, dominant, and recessive genetic models were assessed in association tests for each SNP. Barring trivial improvements (i.e., $\Delta < 10\%$) from the additive model, the model that best fit the data, by maximizing the significance of the p -value, was selected for inclusion in the multivariable analyses. To estimate the magnitude (i.e., odds ratio, OR) and

precision (i.e., 95% CI) of the association of genotype with class membership, logistic regression models were fit that treated class as a discrete categorical variable. Model fit and both unadjusted and covariate-adjusted ORs were estimated using Stata 9 (StataCorp, College Station, Texas). If the overall model included a statistically significant genotype term, pairwise post hoc models (e.g., High versus Moderate attentional function) were fit. Only post hoc models with Bonferroni-corrected statistically significant genotype terms were retained.

As was done in our previous studies [21, 36, 40] and based on recommendations in the literature [41, 42], the implementation of rigorous quality controls for genomic data, the non-independence of SNPs/haplotypes in LD, and the exploratory nature of the analyses, adjustments were not made for multiple testing. Significant SNPs identified in the bivariate analyses were evaluated further using regression analyses that controlled for differences in phenotypic characteristics, potential confounding due to population stratification, and variation in other SNPs/haplotypes within the same gene. Only SNPs that remained significant were included in the final results. Therefore, the significant independent genetic association reported is unlikely to be due solely to chance. In addition, unadjusted (i.e., bivariate) associations are reported for all SNPs passing quality control criteria to allow for subsequent comparisons and meta-analyses.

3. Results

3.1. GMM classes

Three distinct classes of attentional function trajectories were identified (Figure 1). A three-class solution provided the best model fit because it had the smallest Bayesian information criterion (BIC) and a significant bootstrapped likelihood ratio test (BLRT), as well as greater entropy and more differentiating growth trajectories than the two-class solution, with each class maintaining reasonable size and interpretability (Table 1). Further, the Vuong-Lo-Mendell-Rubin likelihood ratio test (VLMR) was not significant for the four-class solution [43].

Patients in the High Attentional Function (“High”) class (41.6%) had estimated AFI scores of 7.78 at enrollment that increased significantly and remained high throughout the study (Table 2). Patients in the Moderate Attentional Function (“Moderate”) class (25.4%) had estimated AFI scores of 6.58 at enrollment that decreased and then increased significantly but remained moderate throughout the study. Patients in the Low-moderate Attentional Function (“Low-moderate”) class (33.0%) had estimated AFI scores of 5.23 at enrollment that did not change significantly during the study.

3.2. Phenotypic differences among classes

Patients in the Low-moderate class were significantly younger than those in the High class (Table 3). They had significantly more comorbidities and lower functional status than the other two classes. While a significant difference in body mass index (BMI) was found among the classes, post hoc contrasts were not significant. Although no differences were found among the classes in the proportion of patients who worked for pay, a greater proportion of patients making <\$30,000/year than \$30,000/year were in the Low-moderate class compared to the High class. Likewise, a greater proportion of patients making <\$30,000/year than \$100,000/year were in the Low-moderate class compared to the Moderate class. No differences were found among the classes in years of education, race/ethnicity, or other clinical characteristics.

Using a backwards stepwise approach, only age, comorbidities (i.e., SCQ score), and functional status (i.e., KPS score) significantly predicted class membership in multivariable

models unadjusted for genotype. For each five-year increase in age, patients had a 12% decrease in the odds of belonging to a lower attentional function class (OR: 0.88; 95% CI: 0.80, 0.97; $p=.012$). For every one point increase in SCQ score (i.e., indicating a greater number, severity, and/or functional impact of comorbidities), patients had a 14% increase in the odds of belonging to a lower attentional function class (OR: 1.14; 95% CI: 1.04, 1.24; $p=.004$). For every ten-point increase in KPS score (i.e., indicating a clinically meaningful increase in functional status), patients had a 30% decrease in the odds of belonging to a lower attentional function class (OR: 0.70; 95% CI: 0.55, 0.90; $p=.006$).

3.3. Genotypic differences among classes

Eighty-two SNPs among 15 candidate genes passed all of the quality control filters. Genotype distributions differed significantly among classes for four SNPs and one haplotype (Table 4). Controlling for age, comorbidities, functional status, and population stratification due to race/ethnicity, the model fit for IL1R1 rs949963 remained significant ($p<.001$) (Table 5). Pairwise post hoc contrasts did not meet Bonferroni-corrected thresholds for significant between-class differences by genotype (OR: 2.10; 95% CI: 1.12, 3.94; $p=.021$ for High versus Moderate classes. OR: 2.01; 95% CI: 1.10, 3.68; $p=.023$ for High versus Low-moderate classes. OR: .90; 95% CI: .46, 1.75; $p=.750$ for Moderate versus Low-moderate classes). See Figure 2 for allelic distributions.

The final model explained 7.5% of variance in class membership ($p<.001$). Controlling for covariates, carrying the rare “A” allele (i.e., GA or AA genotype) was associated with a two-fold increase in the odds of belonging to a lower attentional function class (OR: 1.98; 95% CI: 1.18, 3.30; $p=.009$).

4. Discussion

This study is the first to use GMM to identify subgroups of women with breast cancer who reported distinct trajectories of attentional function prior to and after surgery and to evaluate for phenotypic and genotypic differences among these classes. Differences in mean AFI scores among the classes prior to surgery represent clinically meaningful differences [44] in self-reported attentional function ($d=0.68$ for High versus Moderate classes and $d=0.89$ for Moderate versus Low-moderate classes). The GMM solution found in this study partially confirms findings from our previous study [21]. Both studies found that a three-class solution best fit the data. However, AFI scores in the current study for each of the classes were lower than in the previous study. In addition, the trajectories of attentional function in each of the three classes varied between the studies.

These differences in AFI scores and trajectories may be due to the inclusion of male patients and male family caregivers in the previous sample. Since gender differences in the severity of other symptoms have been reported [40, 45–49], additional research is warranted to evaluate for gender differences in self-reported attentional function. Future studies of patients with cancer diagnoses that affect both men and women (e.g., colorectal cancer) may provide insights into these relationships.

An alternative explanation is that the different treatments that patients underwent in the two studies may have differentially impacted attentional function. However, treatment was not associated with attentional function class membership in either study. While none of the clinical characteristics differentiated among the classes, visual inspection of the class trajectories (Figure 1) suggests that the Moderate class had a significant decrease in attentional function after surgery followed by a significant increase in attentional function approximately three months later. This trajectory was possibly influenced by treatment. While larger sample sizes may identify treatment-related predictors of attentional function,

our findings suggest that several patient characteristics (i.e., younger age, higher number and/or severity of comorbidities, lower functional status) are risk factors for poorer attentional function after diagnosis of breast cancer and during treatment.

The phenotypic predictors of class membership that remained significant in multivariable models were age, comorbidities, and functional status. Consistent with previous reports [1, 50, 51], younger patients were more likely to belong to a lower attentional function class. It is hypothesized that younger patients may notice changes in their attentional function in response to the diagnosis and treatment of cancer more than do older adults, who may have adjusted to previous age-related alterations in attentional function [1].

Consistent with our previous study [21], functional status was a phenotypic predictor of attentional function class membership. The Low-moderate class reported a pre-treatment mean KPS score of 88.83 (± 12.77), which is a clinically meaningful difference from 95.74 (± 8.62) for the High class and 94.90 (± 6.89) for the Moderate class ($d=0.62$ and $d=0.55$, respectively). One possible explanation is that the higher comorbidity score reported by the Low-moderate class in the present study influenced this relationship. Managing multiple comorbidities may decrease a patient's capacity to direct and sustain attention before the diagnosis of cancer [51], or cognitive changes may be associated with specific comorbidities [8, 52].

The most commonly reported comorbidities regardless of class membership were high blood pressure (30.9%), back pain (28.1%), and depression (21.9%). While the Low-moderate class reported the same top three comorbidities, the proportions of patients who reported back pain (35.1%) and depression (29.0%) were higher. It is possible that the greater proportions of patients with pain and depression in this class at enrollment accounts for its lack of improvement in attentional function during cancer therapy. Future studies should evaluate the effects of these symptoms on attentional function class membership.

In a previous study by our group [50], higher BMI before radiation therapy was associated with improvement in attentional function over time in women with breast cancer. Although BMI was associated with differences in latent class membership in the current study, no significant relationships were apparent between higher versus lower BMI and class membership. Future studies may clarify these relationships.

Income was significantly different among the classes. The lowest annual household income level (i.e., $< \$30,000$) was associated with membership in the lowest attentional function class. Although income level did not remain a significant predictor of class membership in multivariable models, it is possible that stress associated with lower income [53] in the context of the cost of breast cancer treatment contributed to these class differences. Chronic stress negatively impacts immune system function [54], which may contribute to cognitive changes in these patients [9]. This finding warrants more research in terms of social and environmental characteristics associated with socioeconomic status that may influence attention.

In the bivariate analyses, genotype distributions differed significantly among classes for three SNPs and one haplotype in NFKB1. NFKB1 encodes for a transcription factor involved in inflammatory processes through regulation of inflammatory cytokine production [55, 56]. The transcription factor is thought to be involved in chronic inflammation [57], which may negatively impact cognition [20]. Therefore, variations in NFKB1 could explain some of the cognitive changes that cancer patients experience. While these associations did not remain significant in the multivariate analyses, studies with independent samples may identify an association between variations in NFKB1 and attentional function.

One SNP in IL1R1 (rs949963) significantly predicted class membership after controlling for covariates. Genotype uniquely explained 1.5% of variance in class membership. Carrying the rare “A” allele was associated with an increased odds of belonging to a lower attentional function class. Although significant pairwise post hoc class comparisons were not found after correction for multiple testing, examination of these relationships is warranted in future studies.

IL1R1 rs949963 is located in the promoter region for IL1R1, 616 base pairs upstream of the transcription start site [58]. Although no studies have demonstrated a link between transcription factors involved in regulation of gene expression and this SNP, the “A” allele is predicted to have decreased affinity for two transcription factors (i.e., Yin Yang 1 [YY1], upstream stimulatory factor 1 [USF1]), as compared to the “G” allele [59]. YY1 is a pleiotropic human transcription factor involved in the regulation of inflammation [60] and neural plasticity [61]. USF1 is involved in regulation of inflammation [62] and lipid metabolism genes involved in cognition (e.g., APOE) [63]. Given the predicted differential binding sites for these two mechanistically plausible transcription factors at IL1R1 rs949963, it is reasonable to hypothesize that variation in this SNP may influence the regulation of IL1R1 in a manner that is associated with differences in attentional function. Functional studies to determine if either of these theoretical binding sites are active and influenced by rs949963 are warranted.

No studies were found that described a relationship between IL1R1 rs949963 and clinical outcomes. However, in mouse models, the inhibition of interleukin 1 receptor, type I production decreased joint inflammation [64] and reduced the behavioral outcome of despair (i.e., immobility during tail suspension and forced swim tests) [65]. In addition, inhibiting the receptor blocked the development of stress-related glucocorticoid resistance, which is a possible mechanism for chronic inflammation [66]. The relationships of this interleukin 1 receptor to inflammation [67] and cognition [68] are hypothesized to extend to humans.

Given the numerous mechanisms by which inflammation may negatively impact attentional function [10–20], it is reasonable to suggest that carriers of the rare “A” allele for IL1R1 rs949963 have increased production of this interleukin 1 receptor. Therefore, interleukin-1 production at the time of diagnosis and treatment for breast cancer would be more efficient in producing an inflammatory state that could impact the CNS. However, future studies must evaluate for differences in expression of IL1R1 in carriers of the rare “A” allele to determine whether this hypothesis is tenable.

In our previous study [21], this SNP was not associated with attentional function class membership. The MAFs for the SNP in the two studies were similar, which suggests that the lack of an association in the previous study was not due to differences in allele frequency. Moreover, in the previous study no significant associations were found between IL1R1 SNPs and class membership in bivariate analyses. The lack of a significant finding may be due to sample variation or to different composition of the GMM groups. Also, AFI scores for the three classes identified in the present study were lower than in the previous study, which may have contributed to differences in SNP associations.

The present study did not confirm the finding of our previous study that variation in IL6 rs1800795 predicted attentional function class membership [21]. Moreover, no significant associations were found between IL6 SNPs and class membership in bivariate analyses. This difference in findings could be due in part to the fact that the MAF for this SNP in the present study was 19.7% lower than in the previous study, which may be due to sampling variability. An alternative hypothesis is that the classes derived from the two samples are phenotypically distinct.

Because it is possible that the revised AFI used in the present study [1] is not directly comparable to the original AFI used in the previous study [21], analyses were run with the original instrument. These analyses showed no differences in results for these two SNPs (data not shown). For both IL1R1 rs949963 and IL6 rs1800795, larger samples could resolve whether their relationships to attentional function can be replicated.

Study limitations should be acknowledged. Larger samples may identify additional genetic associations. Because of the exploratory nature of the study, adjustments were not made for multiple testing in the analyses of the genetic data. The relationship between IL1R1 rs949963 and attentional function class membership warrants replication and functional studies before clinical implications are evaluated. Measuring serum cytokine levels could support the hypothesized relationship between cytokine levels and cognitive function [10–20]. Studies of genes that encode for other physiological pathways (e.g., dopaminergic, serotonergic) [69] may clarify the etiology of reduced attentional function in women with breast cancer. Because of sample size limitations, gene by treatment effects were not evaluated. However, no differences in treatment characteristics were found among the latent classes.

While neuropsychological tests may not be sensitive to the changes in attentional function that patients report [70], inclusion of objective tests could improve understanding of subgroups of patients at risk for diminished attentional function. In addition, studies should evaluate for changes in other cognitive domains (e.g., working memory, executive function) that may be associated with genetic variation in IL1R1.

The Low-moderate class was the only class who did not report significantly improved attentional function over the six months of the study. It is possible that acute deficits in attentional function may lead to chronic deficits. An alternative hypothesis is that class trajectories may be influenced by co-occurring symptoms. Future studies may clarify long-term trends.

This study provides evidence for a relationship between IL1R1 rs949963 and distinct trajectories of self-reported attentional function. The finding suggests that cytokine dysregulation negatively impacts attentional function in women with breast cancer at a time when the capacity to direct and sustain attention is important for quality of life during treatment for breast cancer.

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Highlights

- Three attentional function classes were identified: High, Moderate, and Low-moderate
- Low-moderate class was younger, with more comorbidities and lower functional status
- IL1R1 rs949963 is a significant genotypic predictor of class membership
- Carrying the rare “A” allele conferred increased odds of lower attentional function

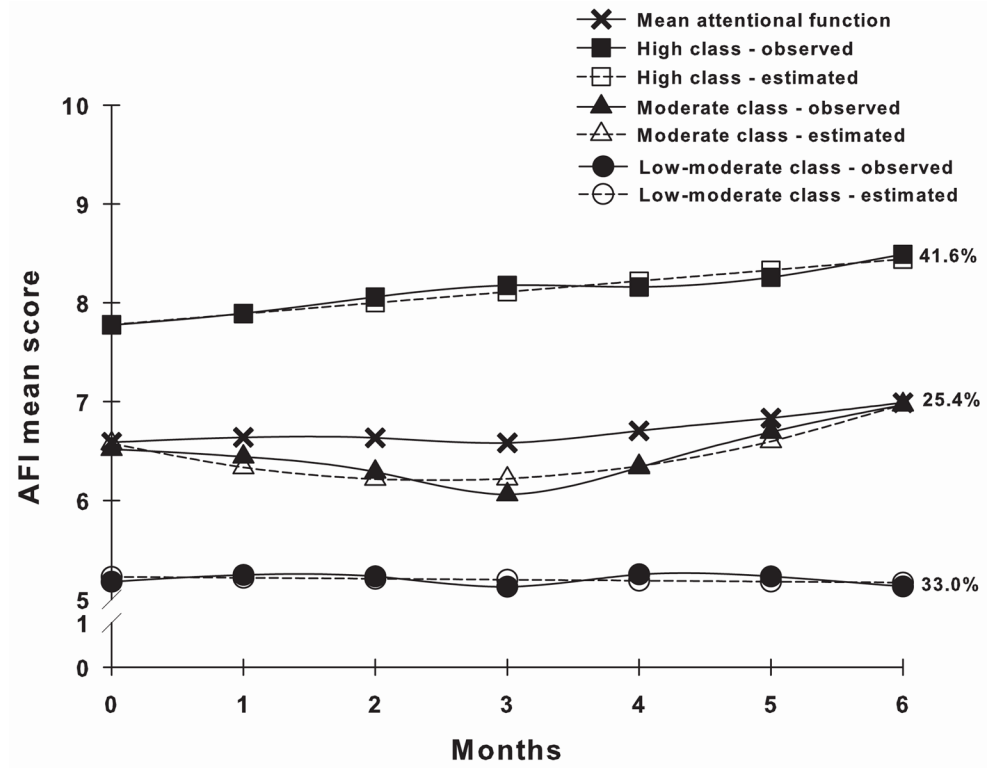


Figure 1. Observed and estimated attentional function trajectories for patients in each latent class (High class, n=165; Moderate class, n=101; Low-moderate class, n=131), as well as mean Attentional Function Index (AFI) scores for the total sample.

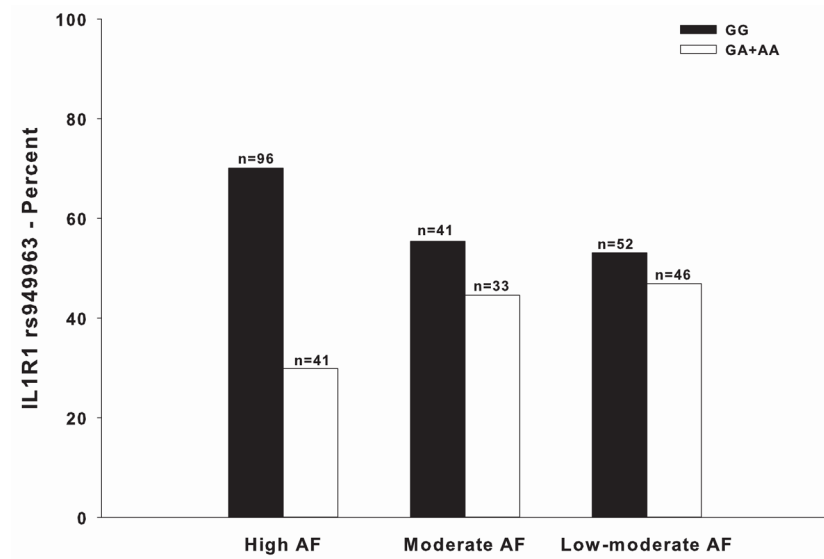


Figure 2. Differences among the attentional function (AF) latent classes in the percentages of patients who were homozygous for the common “G” allele versus heterozygous or homozygous for the rare “A” allele for rs949963 in interleukin 1 receptor, type I (IL1R1) ($p=.016$).

Table 1

Fit indices for attentional function growth mixture model solutions over seven assessments.

Model	LL	AIC	BIC	Entropy	VLMR ^c	BLRT ^d
1-class ^a	-4286.758	8597.516	8645.323	n/a	n/a	n/a
2-class	-4239.001	8518.003	8597.682	0.461	135.636 ^{**}	135.636 ^{**}
3-class ^b	-4218.660	8485.321	8580.935	0.554	40.682 ^{**}	40.682 ^{**}
4-class	-4220.918	8497.836	8609.386	0.642	23.514 ^{ns}	23.514 ^{**e}

LL = log likelihood, AIC = Akaike information criterion, BIC = Bayesian information criterion, VLMR = Vuong-Lo-Mendell-Rubin likelihood ratio test, BLRT = bootstrapped likelihood ratio test, n/a = not applicable, ns = not significant, CFI = comparative fit index, RMSEA = root mean square error of approximation.

* $p < .05$,

** $p < .001$.

^a Random intercepts latent growth curve model with linear components; $\chi^2 = 49.470$, 23 df, $p < .01$, CFI = .975, RMSEA = .054.

^b A three-class solution provided the best model fit because it had the smallest BIC and a significant BLRT, as well as greater entropy and more differentiating growth trajectories than the two-class solution, with each class maintaining reasonable size and interpretability. Further, VLMR was not significant for the four-class solution.

^c This statistic is the Chi-square statistic for VLMR. When significant, this test provides evidence that the K-class solution fits the data better than the K-1-class solution.

^d BLRT p -values are approximated based on varying numbers of bootstrap draws.

^e Although BLRT was significant, one of the classes comprised <5% of the sample ($n = 18$), indicating an unreliable model.

Table 2

Growth mixture model parameter estimates.

Parameter estimates ^a	High attentional function n=165 ^b (41.6%)	Moderate attentional function n=101 (25.4%)	Low-moderate attentional function n=131 (33.0%)
	Mean (SE)	Mean (SE)	Mean (SE)
Intercept	7.779 ^{***} (0.222)	6.576 ^{***} (0.196)	5.227 ^{***} (0.231)
Linear slope	0.110 ^{***} (0.017)	-0.304 [*] (0.129)	-0.010 (0.027)
Quadratic slope	0 ^c	0.062 ^{**} (0.021)	0 ^c
Intercept variance	0.678 ^{***} (0.174)	2.329 ^{***} (0.486)	1.020 ^{**} (0.354)
Linear slope variance	0 ^c	0.761 ^{***} (0.191)	0 ^c
Quadratic slope variance	0 ^c	0.019 ^{***} (0.005)	0 ^c

SE = standard error.

* $p < .05$,

** $p < .01$,

*** $p < .001$.

^a Parameter estimates were obtained with robust maximum likelihood.

^b Trajectory class sizes are for classification of individuals based on most likely latent class membership.

^c Fixed at zero to improve estimation.

Table 3

Differences in demographic and clinical characteristics among the three latent classes for attentional function.

Characteristic	High attentional function (0)		Moderate attentional function (1)		Low-moderate attentional function (2)		Statistics and post hoc comparisons
	Mean (SD)	n (%)	Mean (SD)	n (%)	Mean (SD)	n (%)	
Age (years)	56.7 (11.2)		55.2 (10.3)		52.6 (12.6)		F(2,394)=4.9, $p=.008$; $2<0$
Education (years)	15.8 (2.7)		15.8 (2.9)		15.6 (2.4)		ns
SCQ score	3.8 (2.5)		4.0 (2.6)		5.1 (3.2)		F(2,393)=8.8, $p<.001$; $2>0,1$
BMI (kg/m ²)	25.9 (5.7)		27.6 (6.6)		27.4 (6.3)		F(2,388)=3.4, $p=.033$; no significant post hoc contrasts
KPS score	95.7 (8.6)		94.9 (6.9)		88.8 (12.8)		F(2,387)=19.6, $p<.001$; $2<0,1$
Race/ethnicity		n (%)		n (%)			
White	113 (69.3)		70 (69.3)		72 (55.0)		ns
Black	15 (9.2)		7 (6.9)		18 (13.7)		
Asian/Pacific Islander	17 (10.4)		14 (13.9)		19 (14.5)		
Hispanic/mixed/other	18 (11.0)		10 (9.9)		22 (16.8)		
Live alone (% yes)	39 (23.9)		19 (19.2)		36 (27.7)		ns
Married or partnered (% yes)	69 (42.1)		36 (36.0)		60 (46.2)		ns
Work for pay (% yes)	84 (51.5)		52 (51.5)		53 (40.8)		ns
Household income level							KW=13.2, $p=.001^a$
<\$30,000	19 (14.3)		15 (16.7)		36 (34.0)		
\$30,000–\$99,999	61 (45.9)		33 (36.7)		40 (37.7)		
\$100,000	53 (39.8)		42 (46.7)		30 (28.3)		
Regular exercise (% yes)	118 (71.5)		74 (74.0)		82 (63.6)		ns
Stage of disease							ns
Stage 0	30 (19.1)		18 (18.8)		16 (12.8)		
Stage I	65 (41.4)		38 (39.6)		40 (32.0)		
Stage II	50 (31.8)		35 (36.5)		53 (42.4)		
Stages III and IV	12 (7.6)		5 (5.2)		16 (12.8)		
Postmenopausal (% yes)	106 (65.4)		64 (66.7)		78 (60.9)		ns
Neoadjuvant therapy (% yes)	27 (16.4)		17 (17.0)		35 (26.7)		ns

Characteristic	High attentional function (0)	Moderate attentional function (1)	Low-moderate attentional function (2)	Statistics and post hoc comparisons
	Mean (SD)	Mean (SD)	Mean (SD)	
Type of surgery (% mastectomy)	30 (18.2)	24 (23.8)	25 (19.1)	ns
Postoperative complications (% yes)	32 (19.4)	19 (19.0)	32 (24.8)	ns
Adjuvant chemotherapy (% yes)	48 (29.1)	40 (39.6)	45 (34.4)	ns
Radiation therapy (% yes)	95 (57.6)	52 (51.5)	77 (58.8)	ns
Estrogen receptor (% positive)	137 (83.5)	74 (73.3)	96 (73.3)	ns
Progesterone receptor (% positive)	124 (75.6)	70 (69.3)	85 (64.9)	ns
HER2/neu (% positive)	21 (14.3)	15 (17.0)	23 (18.7)	ns
HRT before diagnosis (% yes)	22 (13.3)	24 (24.0)	21 (16.2)	ns

SD = standard deviation, ns = not significant, SCQ = Self-administered Comorbidity Questionnaire, BMI = body mass index, KPS = Kamofsky Performance Status, KW = Kruskal-Wallis test, HER2/neu = human epidermal growth factor receptor 2, HRT = hormone replacement therapy.

^a Post hoc contrasts revealed that a greater proportion of patients making <\$30,000/year than \$30,000/year were in the Low-moderate class compared to the High class. Likewise, a greater proportion of patients making <\$30,000/year than \$100,000/year were in the Low-moderate class compared to the Moderate class.

Table 4

Differences in cytokine gene single nucleotide polymorphism (SNP) allele and haplotype frequencies among the latent classes of attentional function.

Gene	SNP	Position	Chr	MAF	Alleles	Chi-square	p-value	Model
IFNG	rs2069728	66834051	12	.110	G>A	1.27	.867	A
IFNG	rs2069727	66834490	12	.384	A>G	2.65	.617	A
IFNG	rs2069718	66836429	12	.494	C>T	3.17	.530	A
IFNG	rs1861493	66837463	12	.266	A>G	0.92	.921	A
IFNG	rs1861494	66837676	12	.273	T>C	0.67	.955	A
IFNG	rs2069709	66839970	12	.003	G>T	n/a	n/a	n/a
IFNG	HapA3					0.80	.939	-
IFNG	HapA5					2.79	.593	-
IFNGR1	rs9376268	137574444	6	.254	G>A	3.75	.441	A
IL1B	rs1071676	106042060	2	.189	G>C	4.87	.301	A
IL1B	rs1143643	106042929	2	.383	G>A	1.64	.802	A
IL1B	rs1143642	106043180	2	.082	C>T	1.14	.887	A
IL1B	rs1143634	106045017	2	.187	C>T	4.66	.324	A
IL1B	rs1143633	106045094	2	.392	G>A	2.03	.731	A
IL1B	rs1143630	106046282	2	.115	C>A	6.82	.146	A
IL1B	rs3917356	106046990	2	.450	G>A	4.48	.345	A
IL1B	rs1143629	106048145	2	.389	T>C	6.64	.156	A
IL1B	rs1143627	106049014	2	.397	T>C	5.09	.278	A
IL1B	rs16944	106049494	2	.386	G>A	5.63	.229	A
IL1B	rs1143623	106050452	2	.277	G>C	6.61	.158	A
IL1B	rs13032029	106055022	2	.448	C>T	3.02	.554	A
IL1B	HapA1					6.20	.185	-
IL1B	HapA4					1.55	.818	-
IL1B	HapA6					4.84	.304	-
IL1B	HapB1					2.72	.605	-
IL1B	HapB6					5.93	.205	-
IL1B	HapB8					3.37	.498	-
IL1R1	rs949963 ^a	96533648	2	.223	G>A	8.32	.016	D

Gene	SNP	Position	Chr	MAF	Alleles	Chi-square	p-value	Model
IL1R1	rs2228139	96545511	2	.053	C>G	2.09	.720	A
IL1R1	rs3917320	96556738	2	.047	A>C	n/a	n/a	n/a
IL1R1	rs2110726	96558145	2	.317	C>T	1.11	.893	A
IL1R1	rs3917332	96560387	2	.187	A>T	3.75	.441	A
IL1R1	HapA1					1.67	.797	-
IL1R1	HapA2					2.26	.689	-
IL1R1	HapA3					3.81	.432	-
IL1R2	rs4141134	96370336	2	.362	T>C	2.62	.623	A
IL1R2	rs11674595	96374804	2	.258	T>C	4.50	.343	A
IL1R2	rs7570441	96380807	2	.408	G>A	5.21	.266	A
IL1R2	HapA1					6.36	.174	-
IL1R2	HapA2					3.84	.147	-
IL1R2	HapA4					1.50	.827	-
IL2	rs1479923	119096993	4	.308	C>T	1.30	.862	A
IL2	rs2069776	119098582	4	.184	T>C	n/a	n/a	n/a
IL2	rs2069772	119099739	4	.241	A>G	5.08	.279	A
IL2	rs2069777	119103043	4	.047	C>T	n/a	n/a	n/a
IL2	rs2069763	119104088	4	.277	T>G	5.17	.270	A
IL2	HapA1					2.46	.653	-
IL2	HapA2					4.99	.289	-
IL2	HapA3					5.08	.279	-
IL4	rs2243248	127200946	5	.086	T>G	2.93	.570	A
IL4	rs2243250	127201455	5	.269	C>T	n/a	n/a	n/a
IL4	rs2070874	127202011	5	.245	C>T	n/a	n/a	n/a
IL4	rs2227284	127205027	5	.387	C>A	n/a	n/a	n/a
IL4	rs2227282	127205481	5	.390	C>G	n/a	n/a	n/a
IL4	rs2243263	127205601	5	.124	C>G	4.28	.369	A
IL4	rs2243266	127206091	5	.237	G>A	n/a	n/a	n/a
IL4	rs2243267	127206188	5	.237	G>C	n/a	n/a	n/a
IL4	rs2243274	127207134	5	.261	G>A	n/a	n/a	n/a
IL4	HapA1					0.39	.983	-

Gene	SNP	Position	Chr	MAF	Alleles	Chi-square	p-value	Model
IL4	HapA3					2.18	.704	-
IL4	HapX1					3.64	.457	-
IL6	rs4719714	22643793	7	.255	A>T	0.88	.927	A
IL6	rs2069827	22648536	7	.069	G>T	1.11	.892	A
IL6	rs1800796	22649326	7	.134	C>G	n/a	n/a	n/a
IL6	rs1800795	22649725	7	.285	C>G	3.10	.542	A
IL6	rs2069835	22650951	7	.061	T>C	n/a	n/a	n/a
IL6	rs2066992	22651329	7	.049	G>T	4.11	.391	A
IL6	rs2069840	22651652	7	.333	C>G	4.51	.341	A
IL6	rs1554606	22651787	7	.319	G>T	2.21	.697	A
IL6	rs2069845	22653229	7	.319	A>G	2.44	.655	A
IL6	rs2069849	22654236	7	.024	C>T	n/a	n/a	n/a
IL6	rs2069861	22654734	7	.056	C>T	7.92	.094	A
IL6	rs35610689	22656903	7	.259	A>G	2.49	.646	A
IL6	HapA1					4.39	.356	-
IL6	HapA5					4.85	.303	-
IL6	HapA8					2.70	.610	-
IL8	rs4073	70417508	4	.455	T>A	2.39	.665	A
IL8	rs2227306	70418539	4	.366	C>T	3.76	.440	A
IL8	rs2227543	70419394	4	.368	C>T	3.36	.500	A
IL8	HapA1					2.39	.665	-
IL8	HapA4					3.91	.419	-
IL10	rs3024505	177638230	1	.129	C>T	4.13	.389	A
IL10	rs3024498	177639855	1	.204	A>G	2.66	.617	A
IL10	rs3024496	177640190	1	.421	T>C	4.87	.301	A
IL10	rs1878672	177642039	1	.416	G>C	4.71	.319	A
IL10	rs3024492	177642438	1	.190	T>A	n/a	n/a	n/a
IL10	rs1518111	177642971	1	.303	G>A	4.36	.359	A
IL10	rs1518110	177643187	1	.301	G>T	4.69	.321	A
IL10	rs3024491	177643372	1	.408	G>T	4.39	.356	A
IL10	HapA1					4.25	.373	-

Gene	SNP	Position	Chr	MAF	Alleles	Chi-square	p-value	Model
IL10	HapA2					2.94	.567	-
IL10	HapA8					1.99	.738	-
IL13	rs1881457	127184713	5	.210	A>C	6.44	.169	A
IL13	rs1800925	127185113	5	.233	C>T	1.56	.816	A
IL13	rs2069743	127185579	5	.019	A>G	n/a	n/a	n/a
IL13	rs1295686	127188147	5	.265	G>A	5.70	.223	A
IL13	rs20541	127188268	5	.212	C>T	4.18	.383	A
IL13	HapA1					5.27	.261	-
IL13	HapA4					4.20	.379	-
IL17A	rs4711998	51881422	6	.346	G>A	2.96	.565	A
IL17A	rs8193036	51881562	6	.327	T>C	6.08	.193	A
IL17A	rs3819024	51881855	6	.372	A>G	4.97	.291	A
IL17A	rs2275913	51882102	6	.361	G>A	4.06	.398	A
IL17A	rs3804513	51884266	6	.023	A>T	n/a	n/a	n/a
IL17A	rs7747909	51885318	6	.217	G>A	4.06	.398	A
NFKB1	rs3774933	103645369	4	.409	T>C	6.29	.043	R
NFKB1	rs170731	103667933	4	.358	A>T	0.93	.920	A
NFKB1	rs17032779	103685279	4	.011	T>C	n/a	n/a	n/a
NFKB1	rs230510	103695201	4	.410	T>A	7.14	.028	D
NFKB1	rs230494	103706005	4	.434	A>G	4.37	.358	A
NFKB1	rs4648016	103708706	4	.010	C>T	n/a	n/a	n/a
NFKB1	rs4648018	103709236	4	.018	G>C	n/a	n/a	n/a
NFKB1	rs3774956	103727564	4	.435	C>T	3.84	.429	A
NFKB1	rs10489114	103730426	4	.018	A>G	n/a	n/a	n/a
NFKB1	rs4648068	103737343	4	.363	A>G	1.55	.818	A
NFKB1	rs4648095	103746914	4	.052	T>C	5.92	.052	A
NFKB1	rs4648110	103752867	4	.170	T>A	1.23	.873	A
NFKB1	rs4648135	103755716	4	.061	A>G	6.05	.049	A
NFKB1	rs4648141	103755947	4	.180	G>A	2.61	.625	A
NFKB1	rs1609798	103756488	4	.337	C>T	2.83	.587	A
NFKB1	HapA1					10.11	.039	-

Gene	SNP	Position	Chr	MAF	Alleles	Chi-square	p-value	Model
NFKB1	HapA9					1.09	.895	-
NFKB2	rs12772374	104146901	10	.168	A>G	7.51	.112	A
NFKB2	rs7897947	104147701	10	.221	T>G	5.70	.223	A
NFKB2	rs11574849	104149686	10	.070	G>A	3.73	.444	A
NFKB2	rs1056890	104152760	10	.305	C>T	1.24	.872	A
TNFA	rs2857602	31533378	6	.341	T>C	3.41	.492	A
TNFA	rs1800683	31540071	6	.390	G>A	1.25	.871	A
TNFA	rs2239704	31540141	6	.335	G>T	3.37	.497	A
TNFA	rs2229094	31540556	6	.278	T>C	2.81	.591	A
TNFA	rs1041981	31540784	6	.386	C>A	1.07	.900	A
TNFA	rs1799964	31542308	6	.224	T>C	2.66	.616	A
TNFA	rs1800750	31542963	6	.016	G>A	n/a	n/a	n/a
TNFA	rs1800629	31543031	6	.149	G>A	1.30	.861	A
TNFA	rs1800610	31543827	6	.100	C>T	8.12	.087	A
TNFA	rs3093662	31544189	6	.074	A>G	7.38	.117	A
TNFA	HapA1					1.22	.874	-
TNFA	HapA5					3.18	.528	-
TNFA	HapA6					2.15	.708	-

Chr = chromosome, MAF = minor allele frequency, A = additive model, D = dominant model, R = recessive model, n/a = not assayed because SNP violated Hardy-Weinberg expectation ($p < .001$) or because $MAF < .05$, Hap = haplotype.

^d Only IL1R1 rs949963 was retained in multivariable analyses.

Table 5

Multiple logistic regression for IL1R1 rs949963.

GMM class comparison	Predictor ^a	Odds ratio	Standard error	95% CI	z	p-value
High versus Moderate and Low-moderate attentional function classes (n=300)	Genotype	1.98	0.52	1.18, 3.30	2.61	.009
	Age (5-year increments)	0.87	0.51	0.78, 0.98	-2.35	.019
	SCQ score	1.14	0.06	1.02, 1.26	2.41	.016
	KPS score (10-point increments)	0.77	0.11	0.58, 1.02	-1.84	.066
Overall model fit: $\chi^2 = 31.00, p < .001, R^2 = 0.075$						

GMM = growth mixture model, CI = confidence interval, SCQ = Self-administered Comorbidity Questionnaire, KPS = Karnofsky Performance Status.

^aSelf-reported race/ethnicity and the first three principle components identified in the analysis of ancestry informative markers were retained in the model to adjust for potential confounding due to population stratification (data not shown). The genotypic predictor evaluated in the model was IL1R1 rs949963 genotype (GG versus GA+AA).