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## Proceedings from the National Cancer Institute's Second International Workshop on the Biology, Prevention, and Treatment of Relapse After Hematopoietic Stem Cell Transplantation: Part III. Prevention and Treatment of Relapse after Allogeneic Transplantation

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### Abstract

In the 2<sup>nd</sup> NCI Workshop on the Biology, Prevention, and Treatment of Relapse After Hematopoietic Stem Cell Transplantation, the Scientific/Educational Session on the Prevention and Treatment of Relapse after Allogeneic Transplantation highlighted progress in developing new therapeutic approaches since the 1<sup>st</sup> Relapse Workshop. Recent insights that might provide a basis for the development of novel, practical clinical trials were emphasized, including utilization of newer agents, optimization of donor lymphocyte infusion (DLI), and investigation of novel cellular therapies. Dr. de Lima discussed preemptive and maintenance strategies to prevent relapse after transplantation, e.g., recent promising results suggestive of enhanced graft-versus-tumor activity with hypomethylating agents. Dr. Schmid provided an overview of adjunctive strategies to

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improve cell therapy for relapse, including cytoreduction prior to DLI, combination of targeted agents with DLI, and considerations in use of second transplants. Dr. Porter addressed strategies to enhance T-cell function, including ex-vivo activated T cells and T-cell engineering, and immunomodulatory approaches to enhance T-cell function in vivo, including exogenous cytokines and modulation of costimulatory pathways.

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## INTRODUCTION

Cancer relapse remains the major cause of treatment failure after allogeneic hematopoietic stem cell transplantation (AlloSCT). For the 1<sup>st</sup> NCI-sponsored workshop on the Biology, Prevention and Treatment of Relapse in 2009, extensive reviews of disease-specific prevention and treatment strategies were published in the Workshop Proceedings (1, 2). Progress in prevention and treatment was emphasized in the 2<sup>nd</sup> workshop as well, and focused on ideas that might provide a basis for the development of novel, practical clinical trials. Employment of new agents, optimal utilization of donor lymphocyte infusion (DLI) and immunomodulatory therapeutics, and investigation of targeted interventions, e.g., genetically modified donor cells, and of novel cellular therapies are areas of ongoing study in the field; promising advances reported since the 1<sup>st</sup> Workshop are discussed here.

### I. PREVENTION

Prevention will likely be the most feasible and effective means of managing relapse after AlloSCT. In the case of acute leukemias, since even extraordinarily low-level minimal residual disease (MRD) is associated with a high risk of relapse, the goal of prevention should be to achieve an MRD-negative state (3). While most clearly defined for leukemias, the goal of MRD-negative remission is also relevant to relapse prevention for indolent malignancies and after reduced-intensity AlloSCT, i.e., in settings where remission is established some time after AlloSCT. Our ability to target prevention interventions at individuals whose cancers have the highest risk of relapse is improving rapidly, with emerging data from molecular, proteomic and genomic tumor investigations leading to better-informed relapse risk stratification (4) and increasingly sensitive means of detecting residual disease (5–7). Precise application of preemptive strategies that permit intervention when the burden of disease is minimal could improve our ability to eradicate malignancy before overt relapse. Indeed, many investigational treatments — even with modest efficacy in established relapse — might significantly improve AlloSCT outcomes if applied in the preventive setting. Preventive therapy decisions pose a dilemma: withholding potentially efficacious therapy until relapse is detected compromises the patient's chance of cure, yet administering potentially toxic therapy without evidence of relapse will result in overtreatment for some. Toxicity is a major concern in preventive therapy, particularly in the early months following AlloSCT, when side effects (e.g., myelosuppression, rash, diarrhea) and drug interactions would present significant management challenges, yet also when relapse often occurs and intervention might be most effective (8).

Strategic aims of prevention include: 1) improving disease control before AlloSCT; 2) increasing graft-versus-tumor (GVT) potency of the transplant; 3) maintaining disease control while the allograft matures; and 4) detecting and preempting an impending relapse (Table 1). Preventing relapse in individuals whose cancers are active or demonstrate high-risk biology may require employment of multiple strategies.

Pre-transplant approaches may permit use of agents with significant hematologic toxicity, but require pharmacokinetic consideration of potential effects upon donor stem cell and lymphocyte populations. Use of novel agents (targeting signaling pathways, growth factors, cell surface antigens, etc.) may deepen remissions through effects on cancer cells or the

tumor microenvironment and thus improve outcomes. A role for novel agents in the pre-transplant setting is suggested by observations of improved AlloSCT outcomes following their use in “bridge” therapy, such as with tyrosine kinase inhibitors in Philadelphia chromosome-positive acute lymphoblastic leukemia (ALL) (9) and brentuximab vedotin in Hodgkin’s lymphoma (10); distinct toxicity profiles and unique mechanisms of action have led to investigation of incorporating monoclonal antibodies into reduced-intensity conditioning (RIC) regimens, resulting in immunomodulatory as well as direct antitumor effects (11). New cancer drugs with novel targets and innovative methods of drug delivery are entering the clinic at a phenomenal rate; their potential to permit or augment GVT is an important research opportunity.

Transplant modifications to potentiate GVT effects may incorporate donor selection tactics, immunotherapeutic maneuvers and tumor-specific immunotherapies. Recent advances in our understanding of NK immunogenetic influences on transplant outcome, including relapse risk (particularly in acute myelogenous leukemia (AML)) may yield opportunities to prevent relapse through donor selection based on killer-cell immunoglobulin-like receptor (KIR) genotyping in the context of HLA-mismatch (#REF:SessIIManu)(12). Early withdrawal of immune suppression (WIS), with or without prophylactic donor lymphocyte infusion (DLI), is another consideration in patients at very high risk of relapse but randomized trial data are lacking and there is significant risk of graft-versus-host disease (GVHD) (13). Furthermore, when employed to preempt impending or early leukemia relapse, these immunotherapeutic maneuvers appear to have limited activity outside of chronic myelogenous leukemia and result in considerable GVHD morbidity (14). The morbidity of prophylactic DLI may be reduced in the setting of T-cell depleted allografts or mixed chimerism (15, 16). Interestingly, preliminary results of administering ex-vivo activated DLI prophylaxis suggest fairly modest GVHD toxicity following RIC AlloSCT with alemtuzumab (17). Efforts to optimize selective subset depletion of DLI (or allograft) continue, attempting to reduce risk of GVHD while maintaining protection from relapse (18).

There has been significant progress in developing tumor-targeted immunotherapies, including tumor vaccines (62), genetically modified T cells (discussed in Section III) and selectively expanded antigen-specific T cells (19). The early post-transplant period may be an ideal time for their administration, when minimal tumor burden coincides with lymphopenia-induced homeostatic cytokine abundance and increased efficiency of antigen-specific lymphocyte proliferation (63). The use of novel, e.g., targeted agents in maintenance therapy will require phase-1 evaluation of cumulative and overlapping toxicities (e.g., with conditioning and immunoprophylaxis agents), with particular attention to effects upon rapidly expanding progenitor and lymphocyte populations.

Maintenance therapeutics may be effective in relapse prevention, providing early tumor control and, potentially, immunomodulatory support for the development of an allogeneic immune response. Acute leukemia relapse poses a particularly great management challenge after AlloSCT due to rapid cell growth and disease progression once recurrence is detected; as such, maintenance approaches for acute leukemia may be informative in indolent malignancies as well. A phase 1 trial at MD Anderson defined a safe, low-dose azacitidine maintenance regimen (32 mg/m<sup>2</sup>/day, Days 1 – 5 of 30, beginning Day +40 after AlloSCT), with preliminary results suggesting improved event-free and overall survival and less chronic GVHD (20); an ongoing trial is examining one year of maintenance with this regimen (NCT00887068). Others have confirmed the favorable toxicity profile of low-dose azacitidine maintenance, with indirect evidence suggesting azacitidine may mediate enhanced GVT effects and modulate GVHD by increasing T cell tumor antigen responsiveness and numbers of circulating regulatory T cells (21, 22).

Preemptive treatment strategies are being investigated which, employing monitoring, initiate therapy upon detection of MRD or other biological surrogate of impending relapse. In the RELAZA trial (23), azacitidine was used to treat patients with imminent relapse as defined by decreasing CD34<sup>+</sup> cell donor chimerism (“CD34 chimerism”) after AlloSCT. Twenty patients with decreasing CD34 chimerism while in complete hematologic remission received four cycles of standard-dose azacitidine (75 mg/m<sup>2</sup>/day for 7 days). Responses were observed in 16 patients during treatment, with CD34 chimerism either increasing (50%) or stabilizing (30%) without signs of hematologic relapse. Additional cycles were given to 11 patients. Although 13 of the 20 patients ultimately relapsed, the time to relapse was longer than expected in this very high-risk cohort, suggesting that a preemptive strategy may be effective, although alternative monitoring approaches and/or employment of more intensive preemptive therapy may be necessary.

## II. STRATEGIES TO IMPROVE CELL THERAPY FOR RELAPSE

Donor cell therapy remains the foundation of most approaches to induce remission for AlloSCT relapse, attempting to restore or kindle a potentially curative GVT effect. However, except for chronic myelogenous leukemia and, to some extent, other indolent malignancies, responses to unmodified DLI or second transplantation in overt relapse after AlloSCT are disappointing. While data are limited, adjunctive therapies are now routinely used in conjunction with donor cells for their direct cytotoxic and/or immunomodulatory effects.

### Remission Induction Prior to Cellular Therapy

In acute leukemias and other aggressive malignancies, rapid tumor growth kinetics, a high tumor burden at the time of relapse detection, and employment of immune escape mechanisms limit the clinical efficacy of DLI alone. Consistent with this, DLI (24) and second transplant (25) result in better outcomes if complete remission (CR) can be induced prior to cell therapy, affording time to establish a robust GVT effect (26) and, perhaps, increase tumor cell immunogenicity may contribute as well (27).

There are no standard cytotoxic regimens for any cancer relapse after AlloSCT, and an individualized approach to agents, doses and schedules is often driven by such factors as prior chemosensitivity, interval from AlloSCT to relapse, age and comorbidities, including GVHD. In AML, approximately 45% of patients will achieve CR following standard anthracycline/cytarabine-based combinations (28, 29). Importantly, remission induction alone is not sufficient for long-term disease control in most patients. In a recent EBMT analysis of patients with AML relapse after reduced-intensity conditioning AlloSCT, durable remissions were observed almost exclusively in patients whose chemotherapy-induced CR was consolidated with either DLI or a second SCT (29). (Figure 1) In addition to cytoreduction, chemotherapy given prior to donor cell infusion might have immunomodulatory effects that promote GVT activity, e.g., by lymphodepletion, suppression of regulatory T cells and/or release of activating cytokines (30) (#REF:SessIManu).

### Employment of Novel Agents

Therapeutic agents with novel mechanisms of action are under investigation for their ability to control cancer cell proliferation, including progression after AlloSCT (Table 2). As compared to conventional chemotherapy, these drugs often have less systemic toxicity and might therefore be used in patients with a recent history of intensive treatment, including conditioning for SCT, with active GVHD or other comorbidities. In addition to direct cytotoxic activity, some drugs (e.g., the hypomethylating agents, thalidomide and its

derivatives, etc.) are reported to increase antitumor immune responsiveness by increased tumor immunogenicity (31) and enhanced activation of T cells and NK cells (32). These and other immunomodulatory agents, e.g., bortezomib (33) and rituximab (34), may have prophylactic or therapeutic benefit in GVHD, hence be useful adjuncts to reduce the risk of GVHD with DLI.

While the literature on the use of novel agents for relapse after AlloSCT is predominantly retrospective and/or anecdotal, monotherapy for overt relapse generally appears to yield modest responses of limited duration (Table 2). Further, immunomodulatory effects of even highly targeted agents can be heterogeneous, yielding unanticipated negative effects on the immune response, i.e., exacerbation of GVHD and/or interference with GVT. As an example of the latter, the multi-tyrosine kinase inhibitor dasatinib has been shown to potently inhibit effector T cell function in vivo (35) and could theoretically blunt any GVT response. Such unexpected “targets” of novel agents highlight the need for their evaluation in clinical trials, including assessment of their immunomodulatory properties in the allogeneic setting.

### **Cytokines to augment the efficacy of donor immune cells**

Various cytokines have been investigated for their capacity to improve the efficacy of donor cells. An older approach with new interest is the combination of GM-CSF and/or interferon-alpha (IFN- $\alpha$ ) and DLI. Both cytokines have been shown to increase the capacity of dendritic cells and leukemia cells to present target antigens, and provide co-stimulatory signals and adhesion molecules for improved donor T-cell stimulation. In murine models, CD-8 dependent GVHD and GVT effects are enhanced by IFN signaling through its ability to both sensitize the leukemia cells to killing and to augment donor CTL function (36). There are several reports successfully using DLI plus IFN- $\alpha$  in diseases with historically poor responses to DLI alone, however, small, heterogeneous cohorts make it difficult to determine the real contribution of IFN- $\alpha$  (37, 38).

Tang et al. recently reported on 16 patients with relapsed acute leukemia (AML, 7; ALL, 9), treated with IFN- $\alpha$  plus G-CSF mobilized donor leukocytes (39). IFN- $\alpha$  (3 MU/day) was given from day -5 before DLI until CR, toxicity or relapse (median, 17 days, range 5–50). Twelve of 16 patients achieved CR, including six of nine patients who received no additional cytotoxic therapy. At last follow-up, seven patients were alive in CR.

Compared to 14 similar patients treated with DLI alone, IFN- $\alpha$ /DLI resulted in a higher CR rate (75% vs. 14%,  $p=0.001$ ) and improved leukemia-free survival (50% vs. 7%,  $p=0.05$ ), albeit with increased acute GVHD (56% vs. 27%,  $p=0.05$ ).

Another approach to increase the potency of DLI is to interrupt the counter-regulatory effect of CTLA-4 upon T-cell activation through administration of ipilimumab, a neutralizing monoclonal antibody against CTLA-4. In a phase 1 trial for post-AlloSCT relapse, some immune-mediated adverse events were observed, although there was no significant GVHD, even in patients subsequently receiving DLI for disease progression (40). Ipilimumab showed modest activity in lymphoid malignancies, particularly Hodgkin's Lymphoma, with two prolonged CR in patients treated at the highest dose-level. Consistent with expected biological effects, a dose-dependent, T-cell activation and expansion was observed in-vivo.

Further, immune-mediated systemic (“abscopal”) antitumor effects of targeted radiation, mediated through novel tumor antigen expression and inflammation-induced recruitment of antigen-presenting cells, are well described, and synergy with cellular immunotherapy, including CTLA-4 blockade, has been demonstrated in murine models (41). In an ongoing clinical phase 1/2 trial, the NCI is studying radiation-targeted DLI for relapse after AlloSCT, looking at systemic effects of single-fraction radiation to isolated tumors, including safety,



clinical responses outside the radiation field, and effects on allogeneic lymphocyte populations (NCT00984165).

**Second Allogeneic Transplantation**—Although there are no prospective trials, second AlloSCT is frequently used for relapse after AlloSCT, particularly in acute leukemia. Available evidence is based on retrospective registry data, mainly with second AlloSCT from the same HLA-identical related donor. With the important caveat that the recipients of second AlloSCT represented a highly selected minority of individuals with relapse(42), reported long-term overall survival (OS) was between 20–30%, with a respective cumulative incidence of relapse and NRM of around 40% each. Duration of remission after initial AlloSCT, disease status at initial and second AlloSCT and age were the most important factors for OS.

Second AlloSCT after unrelated-donor transplantation, donor selection for second AlloSCT and optimal second-transplant conditioning regimens remain open questions. Recently, national registry studies in Italy and Germany have examined these issues in relapsed acute leukemia (43, 44). Independent of donor selection at first AlloSCT, both groups found a trend for increased NRM after unrelated-donor second AlloSCT as compared to second transplant with a related donor. However, long-term survivors were identified even after two unrelated SCT. The intensity of second-transplant conditioning did not appear to influence OS, although NRM was lower after reduced-intensity conditioning.

Employment of a different donor for second AlloSCT was generally not associated with better OS, either in the related or unrelated setting. However, following relapse after unrelated-donor AlloSCT, the German study found a trend for improved OS after change to a different unrelated donor in patients without a history of acute or chronic GVHD after first AlloSCT. This suggests that there may be distinct subgroups of patients for whom increased GVT effects with a different donor may offset the risk of NRM. Prospective studies are needed to determine optimal patient selection, donor selection and conditioning regimens for second AlloSCT treatment of relapse.

### III. STRATEGIES TO ENHANCE T CELL FUNCTION

#### Novel Cellular Therapies

Improving anti-tumor potency and specificity of donor cellular therapy for relapse after AlloSCT would optimize GVT and GVHD reactivity and likely improve efficacy. mHAg are important targets for T cell mediated GVHD and GVT reactivity (45). It may be possible to isolate and expand T cells recognizing mHAg selectively expressed on hematopoietic cells to induce GVT without GVHD (46). Alternatively, tumor-associated, over-expressed self antigens like WT-1, proteinase-3, or PRAME are promising targets for T cell directed GVT responses.

While feasible, the generation of tumor-specific CTL has proven time-consuming and often difficult. An alternative strategy to enhance GVT activity is donor T-cell activation and expansion with CD3/CD28 costimulation *ex vivo*. In preliminary studies, *ex-vivo* activated DLI (aDLI) yielded several responses notably even in patients with typically DLI-refractory tumors, such as AML, ALL and non-Hodgkin lymphomas, suggesting that aDLI may offer greater GVT potency (47). This also provides a possible strategy to obtain cells for adoptive immunotherapy for relapse prevention or treatment after umbilical cord blood transplant when it is not possible to recontact the donor. Based on a hypothesis that tumor-infiltrating lymphocytes found after AlloSCT relapse are donor cells and an enriched source of tumor-specific lymphocytes, a phase 1 trial demonstrated donor origin, feasibility and safety of administering *ex-vivo* CD3/CD28 costimulated and expanded tumor-derived donor

lymphocytes. It also provided evidence that tumor-antigen reactive donor T cells were expanded, plausibly yielding cell products enriched for tumor-specific CTLs (48). While responses to tumor-derived donor lymphocyte infusion (TDL) were of short duration in the DLI-refractory patients treated, this approach could provide DLI therapy for patients without another source of donor cells.

It is possible to activate and expand donor cells with other biological activities. For instance, cytokine-induced killer (CIK) cells can be generated in vitro and expanded for clinical use. These unique cells are derived from cytotoxic T cells that express CD3 and CD56 and recognize targets through the NKG2D activating receptor. Importantly, cell killing is HLA-unrestricted and TCR-independent, can kill leukemia cells in vitro, and induce minimal GVHD in animal models. Expanded donor CIK cells have been given to a small number of patients with relapsed malignancy after AlloSCT (49). While there was minimal GVHD; only 1/18 patients had a sustained remission; however a number of prolonged remissions, several in patients who did not respond to conventional DLI, suggests that CIK cells may contribute to GVT activity without GVHD. For maximum effect, CIK cells will likely have to be used prior to overt hematologic relapse in high-risk patients, i.e., in a preventive or preemptive approach, or in combination with other relapse therapies.

In addition to the critical role of T cells, NK cells are increasingly implicated as important mediators of GVT activity, particularly in myeloid diseases and in the setting of haploidentical transplant (50); NK cell biology and implications for graft selection and ways to exploit their therapeutic potential were discussed in detail during the Workshop (63; 62). Plausibly, it might be possible to augment NK-mediated GVL through increasing availability of endogenous interleukin-15 (IL-15), a key cytokine for NK cell development, expansion and function, in vivo. This theory can now be tested in the clinic, with recombinant human IL-15 now in clinical trials; alternatively, the novel IL-15 superagonist, ALT-803, which has shown promise in early biological testing (51) is slated to begin clinical testing later this year.

## Targeted Therapies

Targeted therapies hold the promise of anti-tumor activity without inducing non-specific GVHD. This is particularly true for targeted antibodies (reviewed in 2009 Workshop Proceedings (1)). A key limitation is that tumor-specific targets are not well defined for most hematologic malignancies. Potential targets have been exploited in previous studies, e.g., with the monoclonal antibodies CD52 (alemtuzumab) and CD20 (rituximab), and with antibody-drug conjugates, e.g., CD33 (gemtuzumab ozogamicin), CD25 (denileukin diftitox) and CD22 (inotuzumab ozogamicin); all small studies demonstrating limited clinical activity in AlloSCT relapse (1). The limited activity might be related in part due to variable or weak target expression or variable or incomplete activity of the targeted agent. In addition, non-specific toxicities develop when the target is broadly expressed on other cell types and tissues.

CD19 is an ideal tumor target, with expression largely restricted to normal and malignant B cells. The antibody blinatumomab is a bi-specific T-cell engaging antibody specific for CD19 and CD3. It serves to direct cytotoxic T cells to CD19-expressing target cells (52) and has activity in both non-Hodgkin's lymphoma and acute lymphoblastic leukemia (ALL). Impressively, in ALL relapse after conventional chemotherapy blinatumomab induced CR in 68% of patients (53). Few patients have been treated with blinatumomab for ALL relapse after AlloSCT. A small case series reported hematologic CR in 3/3 pediatric patients, though disease rapidly recurred in two of those treated (54). Given its specificity, clinical activity and toxicity profile, it is reasonable to test blinatumomab in a larger group of patients with post-AlloSCT ALL relapse, alone or in combination with other agents.

Targeted cellular therapy may be even more promising since T cells can both expand, amplifying their effect, and persist in vivo, providing long-term vaccine-like anti-tumor activity. Efficient gene transfer techniques now permit genetic modification of T cells to confer novel antigen specificity by stably expressing novel T cell receptors, i.e., chimeric antigen receptors (CARs) on their surface. CAR-modified T cells become activated and kill in an antigen-dependent but HLA-independent manner, making this an attractive approach for any tumor with a defined target. Recently it was shown that T cells expressing a CAR targeting CD19 linked to a potent signaling domain (CD137/4-1BB) demonstrated massive in-vivo expansion, tumor-specific trafficking and long-term persistence in-vivo. CD19-CARs have induced rapid and sustained anti-tumor activity in chemotherapy-refractory CLL (55) and B cell lymphomas (56). These cells have also induced remission for refractory ALL and for relapse of ALL after umbilical cord blood transplant (57). It will be of great interest to continue to test this approach in relapse after AlloSCT, particularly for patients with ALL, CLL and NHL; ongoing trials evaluating CD19-CAR transduced donor T cells appear promising (57, 58).

Any new cellular therapy ultimately has to be judged in relationship to conventional DLI or second allogeneic SCT. For most indications other than CML, conventional DLI has some, albeit disappointing, activity and is associated with significant GVHD-related toxicity. Second AlloSCT may be curative for a subset of patients though with extensive morbidity and mortality. Figure 2 depicts a theoretical assessment of the potential for some investigational cellular therapies to treat relapse, with the ideal cell therapy providing maximal antitumor activity with minimal non-specific cell-mediated toxicity. It is hypothesized that non-specific ex-vivo activation of donor T cells, or generation of miAg directed T cells would enhance activity of DLI though limited studies suggest toxicity is similar to DLI. We believe that engineered tumor antigen-specific and CAR-modified donor T cells (such as CD19-directed CARs) have the potential to provide potent anti-tumor activity with limited if any GVHD, a hypothesis supported by early clinical observations (57–59). Numerous other cell therapy approaches hold promise, but as yet have shown limited clinical activity. We recognize that there are limited clinical data for any novel cell therapy other than conventional DLI, and so where any cellular therapy might fit into this idealized perspective could be subjected to vigorous debate. It will certainly require constant modification as well, as we believe the next few years will bring development of new therapies or modifications of existing approaches leading to enhanced activity and limited toxicity to treat relapse after AlloSCT.

#### IV. FUTURE DIRECTIONS AND RESEARCH PRIORITIES

The Scientific Session on Prevention and Treatment of Relapse highlighted ongoing clinical development of new management approaches and, importantly, identified recent field advances that are ripe for clinical development. We remain optimistic about the future potential to treat relapsed disease. While there have been no major breakthroughs in the treatment results, there have been major advances in developing potential novel strategies. Several trial concepts were discussed in the Workshop's Protocol Planning Committee meetings. The use of novel agents, immune stimulation and modulation, and enhanced cellular therapies all constitute critical areas for future study. Studying novel immune modulators and combinations of immune modulation with cellular therapy are particularly relevant and appropriate for initiation of multi-center prospective trials to treat relapse. A major achievement of the 2<sup>nd</sup> Workshop was participant commitment to the establishment of an international transplant relapse consortium, and development of a platform for conducting such multi-institutional trials to speed clinical investigation of relapse prevention and treatment strategies – of both novel approaches as well as those more commonly used, albeit understudied.



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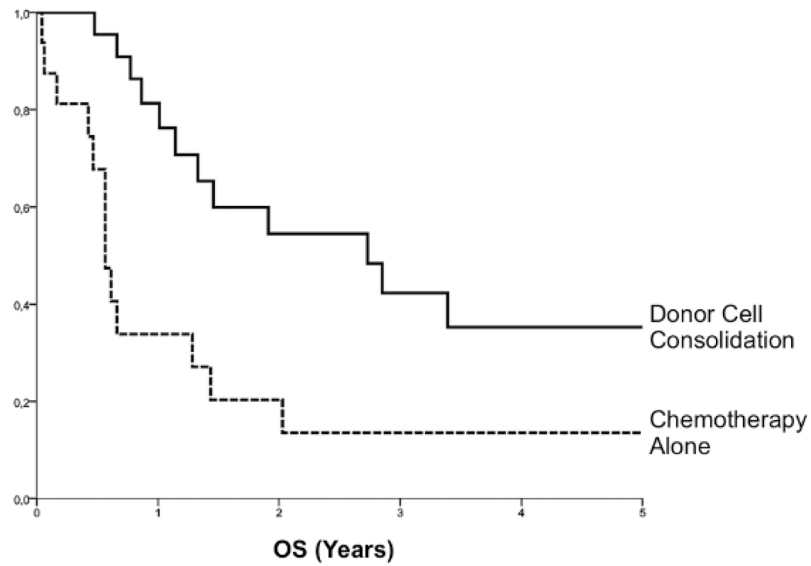
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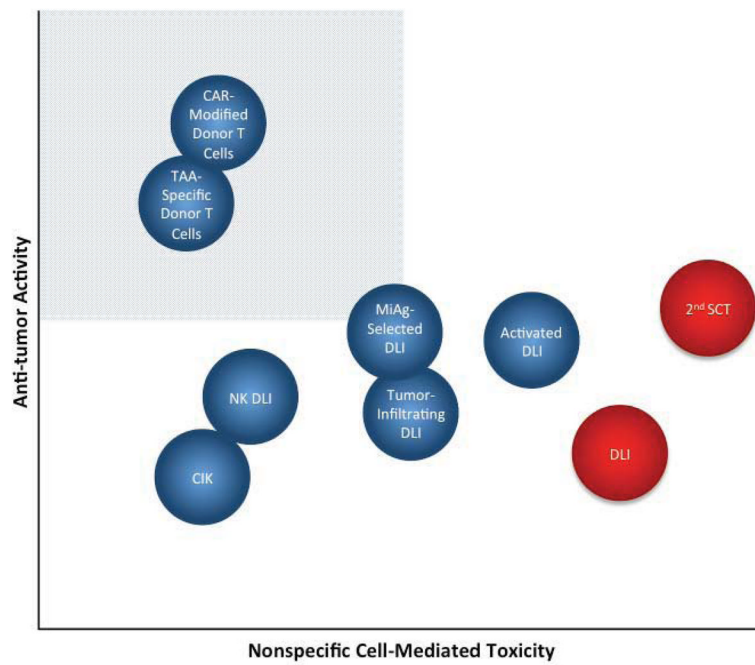
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**Figure 1. Donor-Cell Consolidation of Remission for AML Relapse after AlloSCT**  
 Analysis of EBTR data from 38 patients in CR after first-line cytoreductive therapy for relapsed AML after AlloSCT demonstrated improved OS with use of donor cells for consolidation:  $55 \pm 11\%$  vs.  $20 \pm 10\%$  ( $p=0.038$ ); DLI and second AlloSCT were considered as time-dependent variables. Adapted from: Schmid C., et al. (Blood 2012;119:1599-1606).





**Figure 2.**

Theoretical relative therapeutic potential of cellular therapies for relapse. The shaded quadrant represents the zone of optimal specificity with respect to tumor vs. off-target cytotoxic tissue damage, which maximizes antitumor potency and minimizes cell-mediated morbidity. Conventional DLI and second AlloSCT (depicted in red) are the currently available cell-based treatments for relapse, against which novel therapies (blue) will be judged.

**Table 1****Strategies for Relapse Prevention**

<p>Improved Preparative Therapy</p> <ul style="list-style-type: none"> <li>• Incorporating new drugs with stronger anti-leukemia activity and/or less toxicity without compromising dose intensity</li> <li>• Examples under investigation: monoclonal antibodies (radiolabeled or not), clofarabine, treosulfan</li> </ul>
<p>Graft Engineering</p> <ul style="list-style-type: none"> <li>• Allograft enrichment with leukemia- or lineage-specific cytotoxic T lymphocytes</li> <li>• Graft depletion of alloreactive T cells</li> <li>• NK cell enrichment or adoptive transfer</li> </ul>
<p>Preemptive Treatment</p> <ul style="list-style-type: none"> <li>• Monitoring for MRD (cytogenetics, PCR, flow cytometry, etc.)</li> <li>• Intervention based on detection of MRD</li> <li>• Therapeutic approaches: pharmacologic, immunologic, cellular therapies</li> </ul>
<p>Early Withdrawal of Immunosuppression</p> <ul style="list-style-type: none"> <li>• High risk of GVHD may offset reduced relapse risk</li> </ul>
<p>Maintenance</p> <ul style="list-style-type: none"> <li>• Relapse risk defined by pre-transplant parameters, e.g., advanced disease stage, presence of high-risk karyotype or genetic mutation, or detection of MRD before and/or after AlloSCT</li> <li>• Therapeutic approaches: pharmacologic, immunomodulatory, cellular therapy</li> <li>• Approaches under investigation (AML): azacitidine, FLT3 inhibitors</li> </ul>
<p>Ideal Maintenance Agent</p> <ul style="list-style-type: none"> <li>• Documented activity against the disease</li> <li>• Acceptable nonhematologic toxicity (will be tolerated early after transplant)</li> <li>• Acceptable myelotoxicity (will not interfere with engraftment)</li> <li>• Minimal drug interactions</li> <li>• Will not inhibit GVT</li> <li>• Will not worsen GVHD</li> </ul> <p>Caveats to Maintenance Strategies</p> <ul style="list-style-type: none"> <li>• Dose is likely to be lower than in other scenarios (60, 61)</li> <li>• Dose escalation trials are essential and randomized trials ultimately necessary given multiples confounding variables</li> </ul>

Table 2

## New Drugs in Treatment of Relapse After Allogeneic Stem Cell Transplantation

References	Study Design	Diagnosis	Number Treated	Dosage	Responses	DLI	Outcome	Remarks
<b>HYPOMETHYLATING AGENTS</b>								
<i>Azacytidine</i>								
Jabbour, Cancer 2009	Retrospective, single-center	AML	9	16,24,40 mg/m <sup>2</sup> d1-5, median 8 courses	ORR: 56% (33% CR, 22% PR)	No	Not reported	Patients with "indolent" disease recurrence
Lübbert, BMT 2010	Prospective pilot	AML/CMML	26	100 mg within 3 days	CR: 16%, temporary disease control: 50%	Day 10 of each course in 73% of patients	2Y OS 16%	
Czibere, BMT 2010	Retrospective, multicenter	AML/MDS	22	100mg/m <sup>2</sup> d1-5, q 4w, up to 8 courses	ORR 72% (23% CR)	In 82% of patients	Median OS: 144 days, 2Y OS: 23%	
Bolanos-Meade, BBMT 2011	Retrospective, single-center	Myeloid malignancies	10	Various	CR: 60%, SD: 10%	No	Median OS: 422.5 days	Patients without circulating blasts only
Schröder, ASH (#656) 2011; ASH (#1964) 2012	Prospective, single-arm, multicenter, phase 2	AML/MDS	30	100mg/m <sup>2</sup> d1-5, q4w, up to 8 courses	ORR 47% (CR: 23%, PR: 7%, SD: 17%)	Increasing doses after alternating courses	Median OS: 117 days	Upregulation of regulatory T cells following 5-Aza

**TYROSINE KINASE INHIBITORS** (Exclusive of CML)*Imatinib*

Wassmann, Blood 2005	Prospective, single-arm, multicenter	Ph+ ALL	27	400-800 mg/d	Molecular CR: 54%	No	2Y DFS: early-responders 54%; nonresponders 8%	
<i>Nilotinib</i>								
Tiribelli, Leuk Res 2009	Case report	Imatinib-resistant Ph+ ALL	1	400 mg b.i.d	Molecular CR	Monthly DLI	CCR	
<i>Dasatinib</i>								
Ishida, Int J Hematol 2010	Case report	Ph+ ALL	1	Not reported	Molecular CR	No	CCR following SCT2	Particular side effects after SCT2
Czyz, Med Oncol. 2010	Case report	Ph+ ALL, persistent MRD	1	Not reported	Molecular CR	No	CCR	
Conchon, BMT 2010	Case report	Imatinib-resistant Ph+ ALL	1	140 mg/d, initially combined with VCR and dexamethasone	Molecular CR	No	CMR after 8 months of dasatinib maintenance	
Tchibana, Leuk Lymphoma 2011	Case report	Imatinib-resistant Ph+ ALL	1	140 mg/d (salvage), 80 mg/d (maintenance)	Minor hematological response as salvage, molecular CR as maintenance after SCT2	No	CMR after SCT2 and short dasatinib maintenance	
<i>Sorafenib</i>								
Metzler, Blood 2009	Compassionate-use results	FLT3-ITD + AML	5	2 × 400 mg/d	CCR in 3/5 patients	No	2 × durable remission	

References	Study Design	Diagnosis	Number Treated	Dosage	Responses	DLI	Outcome	Remarks
Sharma BBMT 2011	Retrospective, single-center	AML	16	2 × 400–600 mg/d +/- chemotherapy	No CR, reduction of circulating blasts in 80%	No; 3 pts. received SCT2	Median OS 83 days	Sorafenib regarded as not effective in early relapse
<b>IMMUNOMODULATORS</b>								
<i>Thalidomide</i>								
Kröger, Blood 2004	Prospective, single-center, phase 1/2	Multiple Myeloma	18	100 mg/d, escalation to 300 mg in case of no response	ORR: 67%, CR: 22	Escalating dosages, Day 14 of every cycle	2Y OS: 100%, DFS: 84%	Only patients refractory to or relapsing after prior DLI
<i>Lenalidomide</i>								
Minenna, Leukemia 2011	Retrospective, single-center	Multiple Myeloma	16	25 mg/d, + dexamethasone with progression	ORR lenalidomide only: 46%, + Dex: 87.5%	No (some with prior DLI)	Not reported	Severe aGvHD after lenalidomide alone
Lioznov, BMT 2010	Retrospective, single-center	Multiple Myeloma	24	15–25 mg/d, +/- dexamethasone	ORR: 66%, CR: 8%, VGPR: 8%, PR: 50%, SD: 13%	No	Median OS: 19.9 months, median TTP: 9.7 months	Less GvHD, increase of activated NK and T cells
Spina, Leuk Lymphoma 2011	Retrospective, multicenter	Multiple Myeloma	13	10–25 mg/d + dexamethasone	ORR: 100%, 69% long term responders	No	Not reported	Increases the frequency of CD4+Foxp3+ T cells
<b>Bortezomib</b>								
Kröger, Exp Hematol 2006	Prospective pilot	Multiple Myeloma	11	1.3 mg/m <sup>2</sup> day 1,4,8 and 11	CR: 30%, PR: 50%, MR: 20%	No	Not reported	Patients with measurable disease only, progressive disease excluded
El-Ceikh, Hematologica 2008	Retrospective, multicenter	Multiple Myeloma	37	1.0 – 1.3 mg/m <sup>2</sup> day 1,4,8,11 +/- dexamethasone	ORR: 73% (CR: 19%, VGPR 19%, PR: 36%)	No	18 mo OS 65%	
<b>MONOCLONAL ANTIBODIES</b>								
<i>Rituximab</i>								
Wudhikam, BBMT 2011	Retrospective	NHL	27	Not reported; additional chemotherapy in 7 pts.	ORR 44%	Not reported	Median response duration: 23 months (1–68)	Response even in patients pretreated with rituximab
<i>Bi 20 (FBTA05)</i>								
Buhmann, BMT 2009	Compassionate-use results	CLL/NHL	6	Escalating doses (10–2000µg)	CLL: 3 × transient response, NHL: 1 SD	DLI in escalating doses or SCT2		
<i>Blinatumumab</i>								

References	Study Design	Diagnosis	Number Treated	Dosage	Responses	DLI	Outcome	Remarks
Handgretinger, Leukemia 2011	Retrospective, single-center	Pediatric ALL	3 (2 MRD)		3 × CR		2 of 3 relapsed quickly after stopping drug; 1 CCR after haplo SCT2	Expansion of donor-derived T cells in all cases
<b><i>Brentuximab Vedotin</i></b>								
Gopal, Blood 2012	Prospective, pooled data (3 studies)	Hodgkin's Lymphoma	25	1,2 – 1,8 mg/kg, 1–16 cycles	ORR: 50%, CR: 38%	No	Median PFS; 7.8 months, median OS; not reached	