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## Radiative transport produced by oblique illumination of turbid media with collimated beams

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### Abstract

We examine the general problem of light transport initiated by oblique illumination of a turbid medium with a collimated beam. This situation has direct relevance to the analysis of cloudy atmospheres, terrestrial surfaces, soft condensed matter, and biological tissues. We introduce a novel solution approach to the equation of radiative transfer that governs this problem, and develop a comprehensive spherical harmonics expansion method utilizing Fourier decomposition (SHEF<sub>N</sub>). The SHEF<sub>N</sub> approach enables the solution of problems lacking azimuthal symmetry and provides both the spatial and directional dependence of the radiance. We also introduce the method of sequential-order smoothing (SOS) that enables the calculation of accurate solutions from the results of two sequential low-order approximations. We apply the SHEF<sub>N</sub> approach to determine the spatial and angular dependence of both internal and boundary radiances from strongly- and weakly-scattering turbid media. These solutions are validated using more costly Monte Carlo simulations and reveal important insights regarding the evolution of the radiant field generated by oblique collimated beams spanning ballistic and diffusely-scattering regimes.

### I. INTRODUCTION

The general problem of collimated beam propagation and scattering in a turbid medium is important to fields as diverse as materials characterization [1, 2], biomedical optics [3–5], computer graphics [6], and geophysical remote sensing [7–9]. Applications in these areas utilize measurements of the spatial and/or angular radiance distributions in conjunction with radiative transport models to determine compositional and morphological properties [1–9]. Light transport in turbid media is modeled by the theory of radiative transfer [10, 11]. Development of general solutions to the equation of radiative transfer (ERT) is challenging due, in part, to the large number of independent variables. Monte Carlo (MC) simulations of photon transfer are often the most robust to solve the ERT, however the computational cost associated with obtaining a solution with sufficient accuracy and resolution can be computationally prohibitive. Although effective methods exist for solving the ERT in the limiting cases of single- and diffusive-scattering [11], the development of ERT solutions that are valid over spatial scales spanning a single-scattering mean-free path to several transport mean-free paths remains an important challenge [5, 7, 12–16].

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Here, we present a comprehensive analysis of the radiative transport generated by oblique illumination of a turbid medium with a collimated beam using a generalized spherical harmonic expansion method. This problem is important for determining the composition and structure of turbid media on meso- and macro-scopic spatial scales [7, 17–21]. While many researchers have examined spherical harmonics expansion methods ( $P_N$  method [22]) and their variants to address the problem of collimated illumination, the implementations have been largely limited to cases of normal illumination possessing cylindrical symmetry [23–27], or isotropic illumination within an infinite medium [28–30]. Moreover, most of these studies consider only scalar metrics of the radiative field without providing any detailed directional information [23–25, 28, 29]. Other studies have provided detailed directional information but limit their consideration to azimuthally symmetric problems [26, 27, 30]. As a result, these previous methods either cannot accommodate or do not examine radiative transfer problems which employ sources that break cylindrical symmetry. This is an inherently more difficult problem since both polar and azimuthal variation in the spherical harmonic expansion must be considered.

We develop the  $N^{\text{th}}$ -order Spherical Harmonic Expansion with Fourier decomposition (SHEF $_N$ ) method to address these more general transport problems. The mathematical analysis uses the Fourier decomposition to obtain a system of differential equations for the expansion coefficients. We develop a detailed analysis of an associated generalized eigenvalue problem that provides two key parity relations that reduce the problem to a single quadrant of the transverse Fourier plane. Furthermore, we ascertain key mathematical properties of the generalized eigenvalue problem to enable a robust and unified solution framework for arbitrary expansion orders and illumination angles.

To demonstrate the utility of this framework, we consider both the remitted and internal light fields generated by oblique illumination of turbid media. Our results provide an unprecedented view of the evolution of the light field, produced by oblique collimated illumination, spanning the ballistic to diffusive scattering regimes. In this way SHEF $_N$  provides a novel and comprehensive framework to predict the spatial and angular profiles of the radiance in both weakly- and strongly-scattering media over mesoscopic and macroscopic spatial scales. We validate these solutions through comparison with Monte Carlo simulations over a broad range of optical coefficients. In addition, we compare results for the spatially-resolved reflectance due to normal illumination with the standard diffusion approximation, which shows excellent agreement in the limit of high-scattering and large source-detector separations. Finally, we introduce a sequential-order smoothing (SOS) method that provides radiant field predictions with increased accuracy through a weighted combination of neighboring even-odd order SHEF $_N$  solutions. This enables the use of two relatively low-order solutions to provide accuracy that would ordinarily be obtained only through a much higher order, and more computationally costly, solution.

## II. THE EQUATION OF RADIATIVE TRANSFER

Consider the semi-infinite domain,  $z > 0$ , with boundary plane  $z = 0$  composed of a uniform absorbing and scattering medium, with relative refractive index  $n$  as shown in Fig. 1. A continuous collimated beam is incident obliquely on  $z = 0$  with angle of incidence  $\theta_i$ . We choose a coordinate system such that the plane of incidence is the  $x$ - $z$ -plane. The angle  $\theta_0$  in which the beam propagates into the turbid medium is governed by Snell's law,  $\sin \theta_i = n \sin \theta_0$ .

The radiance,  $L(\mathbf{r}, \boldsymbol{\Omega})$ , represents the radiant flux per unit solid angle at position  $\mathbf{r} = (x, y, z)$  propagating in direction  $\boldsymbol{\Omega} = (\Omega_x, \Omega_y, \Omega_z) = (\eta \cos \varphi, \eta \sin \varphi, \mu)$  where  $\eta = \sqrt{1 - \mu^2}$ ,  $\mu = \cos$

$\theta$  is the cosine of the polar angle,  $\theta$ , and  $\phi$  is the azimuthal angle. For this problem,  $L$  is governed by the following ERT

$$\boldsymbol{\Omega} \cdot \nabla L + \mu_t L = \mu_s \int_{4\pi} p(\boldsymbol{\Omega}' \cdot \boldsymbol{\Omega}) L(\mathbf{r}, \boldsymbol{\Omega}') d\boldsymbol{\Omega}', \text{ in } z > 0, \quad (1)$$

subject to

$$L = \frac{2\mu_i}{\pi\rho^2} \exp\left[-\frac{2}{\rho^2}(\mu_i^2 x^2 + y^2)\right] \delta(\boldsymbol{\Omega} - \boldsymbol{\Omega}_0) + \mathcal{R}L, \text{ on } z=0 \text{ and } \boldsymbol{\Omega} \cdot \hat{\mathbf{z}} > 0. \quad (2)$$

Here,  $\mu_t = \mu_a + \mu_s$  where  $\mu_a$  and  $\mu_s$  are the absorbing and scattering coefficients, respectively. The scattering phase function,  $p$ , gives the fraction of power scattering in direction  $\boldsymbol{\Omega}$  due to light incident in direction  $\boldsymbol{\Omega}'$ . Here, we have assumed that scattering is spherically symmetric so that  $p$  depends only on  $\boldsymbol{\Omega}' \cdot \boldsymbol{\Omega}$ . Boundary condition Eq. (2) gives the light incident on  $z = 0$  corresponding to a Gaussian beam with radius  $\rho$  and the term  $\mathcal{R}L$  accounts for any internal reflections due to the refractive index mismatch. Here,  $\mu_i = \cos \theta_i$  and  $\boldsymbol{\Omega}_0 = (\sqrt{1 - \mu_0^2}, 0, \mu_0)$ .

Following Chandrasekhar [10], we represent the radiance as the sum of collimated and scattered radiances:  $L = L_c + L_s$ . Using the  $\delta$ -Eddington rescaling [31], we find that a fraction of the collimated radiance,  $f_\delta$ , is scattered directly forward along  $\boldsymbol{\Omega}_0$  and remains in  $L_c$ . This results in a reduced attenuation coefficient  $\mu_t = \mu_a + \mu_s = \mu_a + \mu_s(1 - f_\delta)$  relative to the total interaction coefficient  $\mu_t = \mu_s + \mu_a$ . Consequently,  $L_c$  is given by

$$L_c(\mathbf{r}, \boldsymbol{\Omega}) = \frac{2\mu_i}{\pi\rho^2} \exp\left\{-\frac{2}{\rho^2}[\mu_i^2(x - \tan\theta_0 z)^2 + y^2] - \frac{\tilde{\mu}_t}{\mu_0} z\right\} \delta(\boldsymbol{\Omega} - \boldsymbol{\Omega}_0). \quad (3)$$

Then, the scattered radiance  $L_s$  is governed by

$$\boldsymbol{\Omega} \cdot \nabla L_s + \mu_t L_s = \mu_s \int_{4\pi} p(\boldsymbol{\Omega}' \cdot \boldsymbol{\Omega}) L_s(\mathbf{r}, \boldsymbol{\Omega}') d\boldsymbol{\Omega}' + Q(\mathbf{r}, \boldsymbol{\Omega}) \text{ in } z > 0, \quad (4)$$

where the source  $Q$  is defined as

$$Q(\mathbf{r}, \boldsymbol{\Omega}) = \frac{2\mu_i}{\pi\rho^2\mu_0} \tilde{\mu}_s \tilde{p}(\boldsymbol{\Omega}_0 \cdot \boldsymbol{\Omega}) \exp\left\{-\frac{2}{\rho^2}[\mu_i^2(x - \tan\theta_0 z)^2 + y^2] - \frac{\tilde{\mu}_t}{\mu_0} z\right\}. \quad (5)$$

Eq. (4) is subject to

$$L_s(\mathbf{r}, \mu > 0, \phi) = r_F(-\mu) L_s(\mathbf{r}, \mu < 0, \phi), \text{ on } z = 0, \quad (6)$$

where  $r_F(\mu)$  is the Fresnel reflection equation for natural light due to a refractive index mismatch at  $z = 0$  [11].

### III. SPHERICAL HARMONICS EXPANSION UTILIZING FOURIER DECOMPOSITION

We begin with the spherical harmonics

$$Y_{\ell,m}(\mu, \phi) = \sqrt{\frac{(2\ell+1)(\ell-|m|)!}{4\pi(\ell+|m|)!}} P_{\ell,m}(\mu) \exp(im\phi), \quad (7)$$

where  $P_{\ell,m}(\mu)$  is the associated Legendre polynomial of order  $\ell = 0, 1, 2, \dots$  and degree  $m = -\ell, \dots, \ell$ , and  $i = \sqrt{-1}$ . The orthogonality relation is

$$\int_{4\pi} Y_{\ell,m}(\mu, \phi) Y_{\ell',m'}^*(\mu, \phi) d\Omega = \delta_{\ell,\ell'} \delta_{m,m'}, \quad (8)$$

where  $\delta_{\ell,\ell'}$  is the Kronecker delta and  $Y^*$  denotes the complex conjugate. Projecting the scattered radiance and phase function onto the spherical harmonics gives

$$L_s(\mathbf{r}, \Omega) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \sqrt{\frac{2\ell+1}{4\pi}} \psi_{\ell,m}(\mathbf{r}) Y_{\ell,m}(\Omega) \quad (9)$$

and

$$p(\Omega' \cdot \Omega) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} g_{\ell} Y_{\ell,m}^*(\Omega') Y_{\ell,m}(\Omega), \quad (10)$$

respectively, where  $\sqrt{(2\ell+1)/(4\pi)}$  is inserted for convenience.  $\psi_{\ell,m}(\mathbf{r})$  and  $g_{\ell}$  are the harmonic moments of the scattered radiance and the scattering phase function, respectively. Inserting Eqs. (9) and (10) into Eq. (4) and multiplying the resulting equation by the conjugate of the expansion,  $\sqrt{4\pi/(2\ell'+1)} Y_{\ell',m'}^*(\Omega)$ , followed by integration over all directions  $\Omega$  gives

$$\begin{aligned} & \frac{\partial}{\partial z} (a_{\ell,m} \psi_{\ell+1,m} \\ & \quad + b_{\ell,m} \psi_{\ell-1,m}) \\ & - \frac{1}{2} \left( \frac{\partial}{\partial x} \right. \\ & \quad - i \frac{\partial}{\partial y} (c_{\ell,m} \psi_{\ell-1,m-1} \\ & \quad - d_{\ell,m} \psi_{\ell+1,m-1}) \\ & \quad + \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right) (e_{\ell,m} \psi_{\ell-1,m+1} \\ & \quad - f_{\ell,m} \psi_{\ell+1,m+1}) \\ & \quad + (2\ell \\ & \quad + 1) \mu_{t,\ell} \psi_{\ell,m} \\ & = (2\ell \\ & \quad + 1) \frac{2\mu_i}{\pi \rho^2 \mu_0} \tilde{\mu}_s g_{\ell,m} \exp \left\{ -\frac{2}{\rho^2} [\mu_i^2 (x - \tan\theta_0 z)^2 + y^2] - \frac{\tilde{\mu}_t}{\mu_0} z \right\}. \end{aligned} \quad (11)$$

This projection results in a countable infinity of coupled partial differential equations [22] for the harmonic moments,  $\psi_{\ell,m}(\mathbf{r})$ . The moments of the extinction coefficient are  $\mu_{t,\ell} \equiv \mu_t - \mu_s g_{\ell} \equiv \mu_a + \mu_s(1 - g_{\ell})$  and the coefficients  $a$  through  $f$  are

$$\begin{aligned} a_{\ell,m} &= \sqrt{(\ell+1-m)(\ell+1+m)} & b_{\ell,m} &= \sqrt{(\ell-m)(\ell+m)} \\ c_{\ell,m} &= \sqrt{(\ell+m)(\ell-1+m)} & d_{\ell,m} &= \sqrt{(\ell+2-m)(\ell+1-m)} \\ e_{\ell,m} &= \sqrt{(\ell-m)(\ell-1-m)} & f_{\ell,m} &= \sqrt{(\ell+2+m)(\ell+1+m)}. \end{aligned} \quad (12)$$

Application of the phase function expansion [Eq. (10)] to the modified phase function of the interior source,  $p(\mathbf{\Omega}_0 \cdot \mathbf{\Omega})$ , gives the source moments

$$q_{\ell,m}(\mathbf{\Omega}_0) = \sqrt{\frac{4\pi}{2\ell+1}} \tilde{g}_\ell Y_{\ell,m}^*(\mathbf{\Omega}_0), \quad (13)$$

where  $\tilde{g}_\ell$  is the  $\ell^{\text{th}}$  moment of  $p$ .

To obtain computationally feasible solutions of the ERT, we truncate the spherical harmonic expansion at order  $N$ . The resulting band-limited harmonic spectrum gives rise to  $(N+1)^2$  coupled partial differential equations, which assumes  $\psi_{\ell > N, m} = 0$ . We then Fourier transform Eq. (11) with respect to the transverse spatial variables  $(x, y)$

$$\begin{aligned} & \frac{d}{dz} \left( a_{\ell,m} \hat{\psi}_{\ell+1,m} \right. \\ & \quad \left. + b_{\ell,m} \hat{\psi}_{\ell-1,m} \right) \\ & - \frac{1}{2} (k_y \\ & + ik_x) \left( c_{\ell,m} \hat{\psi}_{\ell-1,m-1} \right. \\ & \quad \left. - d_{\ell,m} \hat{\psi}_{\ell+1,m-1} \right) \\ & - \frac{1}{2} (k_y \\ & - ik_x) \left( e_{\ell,m} \hat{\psi}_{\ell-1,m+1} \right. \\ & \quad \left. - f_{\ell,m} \hat{\psi}_{\ell+1,m+1} \right) \\ & + (2\ell \\ & + 1) \mu_{t,\ell} \hat{\psi}_{\ell,m} \\ & = (2\ell \\ & + 1) \frac{\tilde{\mu}_s}{\mu_0} q_{\ell,m} \exp \left[ -\frac{\rho^2}{8} \left( \frac{k_x^2}{\mu_1^2} + k_y^2 \right) - \mu_d z \right], \end{aligned} \quad (14)$$

where  $\mu_d \equiv \tilde{\mu}_t / \mu_0 + i \tan \theta_0 k_x$ . The transverse Fourier moments (TFMs) are defined as

$$\hat{\psi}_{\ell,m}(z|\mathbf{k}) \equiv \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \psi_{\ell,m}(\mathbf{r}) \exp[-i(k_x x + k_y y)] dx dy, \quad (15)$$

where  $\mathbf{k} = (k_x, k_y)$  is the transverse wave-vector.

Next, we cast the system of equations [Eq. (14)] in vector form

$$\mathbf{A} \frac{d}{dz} \hat{\psi} + \mathbf{B} \hat{\psi} = \hat{\mathcal{Q}} \exp(-\mu_d z), \quad (16)$$

where the TFMs are the entries of the vector  $\hat{\psi}$ , and the entries of the matrices  $\mathbf{A}$  and  $\mathbf{B}$  are constant with respect to  $z$ . The vector  $\hat{\mathcal{Q}}$  contains the harmonic moments of the transverse Fourier source, and the entries of these arrays map the  $(\ell, m)$  pairs as  $[(0, 0), (-1, 1), (1, 0), (1, 1), \dots]$ . However, truncation of Eq. (14) at order  $N$  produces two algebraic equations for the outlying moments

$$\hat{\psi}_{N,\pm N} = \frac{1}{\mu_{t,N}} \left\{ \frac{1}{2} (k_y \pm ik_x) \frac{\sqrt{2N(2N-1)}}{2N+1} \hat{\psi}_{N-1,\pm(N-1)} + \frac{\tilde{\mu}_s}{\mu_0} q_{N,\pm N} \exp \left[ -\frac{\rho^2}{8} \left( \frac{k_x^2}{\mu_i^2} + k_y^2 \right) - \mu_d z \right] \right\}. \quad (17)$$

Substitution of these moments is required to formulate Eq. (16). This substitution modifies the  $(\ell, m) = [N-1, \pm(N-1)]$  elements of both the diagonal of  $\mathbf{B}$

$$\text{diag}(\mathbf{B})_{\ell,m} = (2\ell+1)\mu_{t,\ell} + \begin{cases} \frac{N(2N-1)k^2}{2(2N+1)\mu_{t,N}}, & \text{if } \ell, m = N-1, \pm(N-1) \\ 0, & \text{otherwise} \end{cases} \quad (18)$$

and the source vector  $\hat{\mathcal{Q}}$

$$\hat{\mathcal{Q}}_{\ell,m} = \frac{\tilde{\mu}_s}{\mu_0} \exp \left[ -\frac{\rho^2}{8} \left( \frac{k_x^2}{\mu_i^2} + k_y^2 \right) \right] \left[ (2\ell+1)q_{\ell,m} - \begin{cases} \frac{(k_y \mp ik_x) \sqrt{2N(2N-1)} q_{N,\pm N}}{2\mu_{t,N}}, & \text{if } \ell, m = N-1, \pm(N-1) \\ 0, & \text{otherwise} \end{cases} \right]. \quad (19)$$

Following this substitution  $[(N+1)^2 - 2]$  equations remain in Eq. (16).

Next, we seek the solution of Eq. (16) as the sum of homogeneous and particular solutions

$$\hat{\psi}(z|\mathbf{k}) = \hat{\psi}^{(h)}(z|\mathbf{k}) + \hat{\psi}^{(p)}(z|\mathbf{k}). \quad (20)$$

The particular solution is given by  $\hat{\psi}^{(p)} = (-\mu_d \mathbf{A} + \mathbf{B})^{-1} \hat{\mathcal{Q}} \exp(-\mu_d z)$ , and we seek the homogeneous solution in the form  $\hat{\psi}^{(h)} = \mathbf{G} \exp(z/\lambda)$ . Upon substitution of the homogeneous solution into Eq. (16), we obtain the generalized eigenvalue problem  $\mathbf{A}\mathbf{G} = -\lambda\mathbf{B}\mathbf{G}$ . Analysis of the matrices of Eq. (16) provides valuable information regarding the generalized eigenvalue problem. First, while matrix  $\mathbf{A}$  is real, symmetric, and possesses entries that are constant with respect to  $z$ , it is *rank deficient*. Matrix  $\mathbf{B}$  is Hermitian and its entries depend on the optical coefficients and the transverse wave vector  $\mathbf{k}$ , but not  $z$ . Thus, the generalized eigenvectors,  $\mathbf{G}$ , form a basis. The rank deficiency of  $\mathbf{A}$ , results in  $(N-1)$  eigenvalues that are zero. To obtain a unique solution to a boundary value problem, we reject the eigenvectors corresponding to these zero eigenvalues. The remaining eigenvalues occur in positive/negative pairs that are either distinct or 1-fold degenerate. For even expansion orders, there are  $N$  distinct and  $N^2$  degenerate eigenvalues. For odd expansion orders, there are  $(N+1)$  distinct and  $(N^2-1)$  degenerate eigenvalues. In total, there are  $N(N+1)$  non-zero eigenvalues for even or odd expansion orders, a quantity which is always even. The solution we seek for the semi-infinite turbid medium must additionally satisfy the condition  $\psi \rightarrow 0$  as  $z \rightarrow \infty$ . Therefore, the eigenvectors corresponding to the positive eigenvalues must also be rejected. Using these mathematical properties, we represent the TFM solutions as

$$\hat{\psi}(z|\mathbf{k}) = \sum_{j=1}^{N(N+1)/2} C_j \mathbf{G}_j \exp(z/\lambda_j) + (-\mu_d \mathbf{A} + \mathbf{B})^{-1} \hat{\mathcal{Q}} \exp(-\mu_d z). \quad (21)$$

To determine the coefficients  $C_j$  in Eq. (21), we prescribe boundary conditions that conserve hemispherical moments of Eq. (6), due to the absence of a half-range orthogonality relationship for the spherical harmonics. These hemispherical conditions are extensions of the Marshak condition [32] and given by

$$\int_{\mu>0} L_s(x, y, z=0, \mu, \phi) Y_{\Lambda, M}^*(\mu, \phi) d\mu d\phi = \int_{\mu<0} L_s(x, y, z=0, \mu, \phi) r_F(-\mu) Y_{\Lambda, M}^*(-\mu, \phi) d\mu d\phi. \quad (22)$$

Conceptually, this boundary condition requires the portion of the *outwardly*-directed ( $\mu < 0$ ) scattered radiation that is internally reflected at the boundary to equal the *inwardly*-directed ( $\mu > 0$ ) scattered radiation. This boundary condition accounts for propagation in polar and azimuthal angles and arbitrary refractive index mismatch. Following insertion of Eq. (9) for the scattered radiance and integration, the resulting linear equations couple harmonic modes of constant  $m$  at the boundary. Furthermore, the order and degree of the boundary expressions require  $(\Lambda - M)$  to be odd.

We use the Henyey-Greenstein scattering phase function [33] which is characterized by the scattering anisotropy  $g \equiv \langle \cos \vartheta \rangle$  as

$$p(\cos\vartheta) = \frac{1}{4\pi} \frac{1 - g^2}{(1 - 2g\cos\vartheta + g^2)^{3/2}}, \quad (23)$$

where  $\cos \vartheta \equiv \hat{\Omega}' \cdot \hat{\Omega}$ . The harmonic moments of the Henyey-Greenstein scattering phase function are  $g_\ell = g^\ell$ . The  $\delta$ -Eddington rescaling of the collimated radiance produces a modified scattering phase function,  $p(\hat{\Omega}_0 \cdot \hat{\Omega})$ , for the transfer of radiation from collimated to scattered fields. Through a mapping of the moments of the rescaled phase function with the Henyey-Greenstein moments,  $f_\delta = g^{N+1}$  and  $g_\ell = (g^\ell - f_\delta)/(1 - f_\delta)$  [31].

To obtain a complete ERT solution, one must, in principle, compute the TFMs over the entire transverse Fourier plane. However, we exploit two key parity relations to reduce computation of the TFMs to a *single* quadrant of the transverse Fourier plane. Consider a collimated beam in direction  $\hat{\Omega}_0$  which lies in the  $x$ - $z$  plane. In this case, the TFMs satisfy the following conjugate symmetry with respect to  $k_x$

$$\hat{\psi}_{\ell,m}(k_x) = \hat{\psi}_{\ell,m}^*(-k_x), \text{ for } k_x \neq 0, \quad (24)$$

and the following symmetry with respect to  $k_y$

$$\hat{\psi}_{\ell,m}(k_y) = (-1)^m \hat{\psi}_{\ell,-m}(-k_y), \text{ for } k_y \neq 0. \quad (25)$$

Figure 2 shows color contour plots of the real and imaginary components of  $\hat{\psi}_{5,\pm 5}$ , computed using SHEF<sub>9</sub>. These plots show clearly that these TFMs satisfy the parity relations of Eqs. (24) and (25) and enables one to reduce the computation of the TFMs to a single quadrant, e.g., for  $k_x, k_y \geq 0$  only.

#### IV. PROCEDURE TO COMPUTE SPATIALLY-RESOLVED RADIANT FIELDS

The procedure to evaluate the radiant field using the SHEF<sub>N</sub> framework is segmented into three processes: (i) initialization, (ii) evaluation of frequency dependent solution in a single quadrant, and (iii) use of the single quadrant solution to obtain spatially-resolved radiance profiles.

Following the specification of an expansion order  $N$ , the Gaussian beam radius  $\rho$  with angle of incidence  $\theta_i$ , and a set of optical properties  $\{\mu_a, \mu_s, g, n\}$ , the integrals of the boundary condition in Eq. (22) are computed for the given refractive index,  $n$ . Use of the azimuthal portion of the spherical harmonics orthogonality condition [Eq. (8)] gives 0 if  $m \neq M$ . Then, Gaussian quadrature is employed to numerically integrate Eq. (22) with respect to  $\mu$  for  $m = M$ , for odd instances of  $(\Lambda - M)$ . Next, we compute the moments of the phase function  $g_\ell$ , the extinction coefficients  $\mu_{t,\ell}$ , and the modified phase function  $g_\ell$ , and evaluate the source moments  $q_{\ell,m}(\hat{\Omega}_0)$  [Eq. (13)]. The final step of the initialization procedure populates matrix



$\mathbf{A}$  and the frequency-independent portions of both matrix  $\mathbf{B}$  and the source vector  $\hat{\mathcal{Q}}$  required in Eq. (16).

The procedure continues by evaluating the frequency-dependent TFM solutions for  $k_x, k_y$  0. We choose the width and sampling of the frequency grid based on the Gaussian beam radius  $\rho$  and the cosine of the angle of incidence  $\mu_i$ , so that the source term in Eq. (11) is adequately resolved. For the results below, we have set  $k_{\max} = 4\mu_i \sqrt{5}/\rho \hat{k}_x + 4\sqrt{5}/\rho \hat{k}_y$  and  $\Delta \mathbf{k} = \pi/30 \hat{k}_x + \pi/(30\mu_i) \hat{k}_y$ . Then, for each frequency  $\mu_d$ ,  $\mathbf{B}$ , and  $\hat{\mathcal{Q}}$  are evaluated, and we compute the particular solution coefficient  $(-\mu_d \mathbf{A} + \mathbf{B})^{-1} \hat{\mathcal{Q}}$ . Using  $\mathbf{A}$  and  $\mathbf{B}$  the generalized eigenvectors  $\mathbf{G}$  and eigenvalues  $\lambda$  are computed using the QZ algorithm [34], and the eigenmodes for  $\lambda < 0$  are retained. Next, the boundary conditions are employed to compute the unknowns  $C_j$ . The depth resolved TFMs are evaluated through Eq. (21), using Eq. (17) to evaluate the outlying moments  $\psi_{N,\pm N}$ .

Using the parity relations in Eqs. (24) and (25), the TFMs are determined over the entire transverse Fourier plane. Then, the spatially-resolved harmonic moments of the scattered radiance are computed via the inverse discrete Fourier transform

$$\psi_{\ell,m}(\mathbf{r}) = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{\psi}_{\ell,m}(z|\mathbf{k}) \exp[i(xk_x + yk_y)] d\mathbf{k}. \quad (26)$$

## V. RESULTS

We examine spatial and angular radiant distributions provided by the SHEF<sub>N</sub> framework for the case of a narrow collimated Gaussian beam of radius  $\rho$  incident at the origin on the surface of a turbid half-space. We consider various angles of incidence,  $\theta_i$ , for a beam aligned in the  $x$ - $z$  plane with  $\phi_i = 0^\circ$ . We present results for both  $N = 8$  and 9 and consider two different sets of optical properties:  $\mu'_s/\mu_a = 100$  and  $\mu'_s/\mu_a = 1$ , where the transport scattering coefficient,  $\mu'_s = \mu_s(1 - g)$ , gives rise to the transport mean free path  $l^* = 1/(\mu_a + \mu'_s)$ . We perform our analysis for a scattering anisotropy  $g = 0.8$  and a relative refractive index  $n = 1.4$  characteristic of a tissue/air interface [19, 24, 25]. Note that both the radiance and the spatial dimensions are non-dimensionalized relative to the transport mean free path  $l^*$ , such that the results for a given  $\mu'_s/\mu_a$  are scalable for different combinations of  $\mu'_s$  and  $\mu_a$  that result in the  $\mu'_s/\mu_a$  ratio considered.

We compute the spatially-resolved reflectance and the angularly-resolved remitted radiance and compare these SHEF<sub>N</sub> results with those provided by Monte Carlo simulations and/or the standard diffusion approximation. We simulated  $10^8$  and  $10^9$  photons for  $\mu'_s/\mu_a = 100$  and  $\mu'_s/\mu_a = 1$ , respectively, where an increased number of photons is simulated for the latter case due to decreased scattering and increased absorption. Within the SHEF<sub>N</sub> framework the reflectance equals the current of the scattered radiance crossing the boundary and is given by  $R(x, y) = -\psi_{1,0}(x, y, z = 0)$ .

Figure 3 displays the spatially-resolved reflectance evaluated along the beam axis for an illumination angle  $\theta_i = 45^\circ$  for two beam radii  $\rho = [l^*/2, l^*/10]$ . For beam radius  $\rho = l^*/2$ , the SHEF<sub>N</sub> predictions show excellent correspondence with the MC results for  $\mu'_s/\mu_a = 100$  (Fig. 3a). However for  $\mu'_s/\mu_a = 1$  (Fig. 3b) the SHEF<sub>N</sub> predictions close to the beam origin are inaccurate, especially at negative  $x$ -values. When the beam radius is reduced further to  $\rho = l^*/10$ , reflectance predictions near the beam origin oscillate regardless of the optical



properties (Fig. 3c,d). Truncation of the harmonic expansion at order  $N$  gives rise to these oscillations because they provide insufficient detail of the radiant field in regions where the transport is dominated by a sparse number of interactions with the medium. As a result, the deleterious effects of truncation are exacerbated when considering both narrow collimated sources and transport in weakly-scattering media, both of which result in radiant fields with high angular/spatial harmonic content. As a result, the spatial extent of the oscillations increases for weakly-scattering media as seen for  $\mu'_s/\mu_a=1$  (Fig. 3d).

Since collimated illumination using beam radii smaller than the transport mean free path ( $\rho < l^*$ ) is a situation of high practical importance, these oscillations are not acceptable. To ameliorate these errors we take advantage of the observation that oscillations produced by sequential even-odd solution orders are completely out of phase. Thus, we introduce sequential-order smoothing (SOS) as the linear combination

$$L_{e,o}(\mathbf{r}, \boldsymbol{\Omega}) = (1 - \zeta)L_e(\mathbf{r}, \boldsymbol{\Omega}) + \zeta L_o(\mathbf{r}, \boldsymbol{\Omega}), \quad (27)$$

where the subscripts  $e$  and  $o$  represent the successive even and odd SHEF $_N$  orders and  $\zeta = (N_o+1)/(2N_o)$ , where  $N_o$  is the odd expansion order.  $\zeta$  weights the fraction of non-zero eigenvalues of the odd expansion order to the sum of non-zero eigenvalues of the successive even-odd expansions. We have found that SOS effectively removes these oscillations. Refined SHEF $_{8,9}$  reflectance predictions using SOS are also shown in Fig. 3, and clearly provide much better agreement with the MC results as shown in the inset plots for the relative error. Further SHEF $_N$  results are provided for expansion order pairs [(2, 3); (6, 7); (10, 11)] in Fig. 4 with  $\rho = l^*/2$ . These results show the improved accuracy of SHEF $_N$  with increasing expansion order  $N$ . The additional improvement provided by SOS is most significant for low expansion orders and/or weakly-scattering media. Because SOS effectively removes the oscillations produced by SHEF $_N$ , we are able to choose  $N$  solely on the desired level of accuracy. For what follows we will focus exclusively on presenting results for the narrow beam radius  $\rho = l^*/10$  using SHEF $_{8,9}$ .

To appreciate the improved accuracy that the SHEF $_N$  framework provides we consider the classic problem of spatially-resolved reflectance produced by normal beam illumination ( $\theta_i = 0^\circ$ ). Figure 5 provides SHEF $_{8,9}$  predictions for this problem along with Monte Carlo simulation results and predictions by the standard diffusion approximation (SDA) using an extended source description [35, 36] in both strongly-scattering ( $\mu'_s/\mu_a=100$ ) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) media. This approach accounts for the collimated illumination with an exponential distribution of image source dipoles rather than a point image source dipole.

These results demonstrate the significant benefits offered by the SHEF $_N$  framework to predict these radially-resolved reflectance profiles. Specifically, for  $\mu'_s/\mu_a=100$ , SHEF $_{8,9}$  provides greatly improved predictions at small radial locations ( $\rho < l^*$ ) as compared to the SDA. Moreover, the SHEF $_{8,9}$  solutions converge more rapidly to the MC results whereas the SDA predictions approach the MC results only for  $\rho \gtrsim 4l^*$ . For  $\mu'_s/\mu_a=1$  the SHEF $_{8,9}$  predictions are far superior to the SDA results at all radial locations. This is not surprising as is well-known that the SDA fails in situations where the reduced scattering is comparable to absorption [5, 22, 37]. By contrast, the SHEF $_{8,9}$  predictions in weakly-scattering media provide excellent accuracy for  $\rho \gtrsim l^*/2$ .

The use of the higher-order approximations provided by the SHEF $_N$  framework, along with SOS, produce enhanced predictions of the radiance, the fundamental quantity of the ERT. Naturally when considering remitted optical signals, the radiance at the surface is the fundamental quantity for evaluation of the radiative flux captured by a detector with

arbitrary orientation, dimension, and angle of acceptance. This remitted, or external, radiance,  $L_{ex}$ , at  $z = 0$  ( $\mu < 0$ ) is computed from the scattered radiance,  $L_s$ ,

$$L_{ex}(x, y, \mu_{ex}, \phi) = [1 - r_F(-\mu)] L_s(x, y, \mu, \phi), \text{ on } z=0, \quad (28)$$

where the cosine of the polar angles  $\mu$  and  $\mu_{ex}$  are related through Snell's law. Figure 6 displays angular distributions of the remitted radiance for  $\Omega_x$ - $\Omega_z$  and  $\Omega_y$ - $\Omega_z$  cross-sections provided by SHEF<sub>8</sub>, SHEF<sub>9</sub>, SHEF<sub>8,9</sub> and MC simulations at  $x = l^*/2$  on the beam axis for  $\theta_i = 45^\circ$ . It is important to note that the evaluation of the radiance using MC simulations is computationally costly, and yet provides the angular radiance profile only at discrete locations. While the truncation required to obtain SHEF<sub>8</sub>, SHEF<sub>9</sub> predictions results in inaccurate and/or oscillatory predictions, application of SOS [Eq. (27)] provides an accurate, smooth, and continuous prediction for the angular radiance distribution. The results obtained at  $\mu'_s/\mu_a=100$  show that while the SHEF<sub>8,9</sub> prediction provides only a modest improvement in accuracy, it eliminates the significant oscillatory behavior displayed by both SHEF<sub>8</sub> and SHEF<sub>9</sub> predictions. By contrast, in the case of  $\mu'_s/\mu_a=1$ , the heightened harmonic content of the radiance leads to inaccurate and highly oscillatory SHEF<sub>8</sub> and SHEF<sub>9</sub> predictions. However, the use of SOS dramatically improves both the accuracy and smoothness of the radiance profiles and yields predictions in excellent agreement with the MC results. Thus, application of SOS proves to be extremely valuable in providing accurate radiance profiles at these low orders.

Figure 7 provides additional angular distributions of the remitted radiance for SHEF<sub>8,9</sub> at locations  $x = [l^*/2, 2l^*]$  on the beam axis, for incident beam angles of  $\theta_i = [0^\circ, 30^\circ, 60^\circ]$ . For locations proximal to the beam origin, variations in  $\theta_i$  provide distinct angular spectra. However at locations more distal from the beam origin in strongly-scattering media (e.g.,  $x = 2l^*$ , Fig. 7b,f), variations in  $\theta_i$  have less impact on the angular spectrum. This displays the transition from a highly anisotropic radiant field close to the beam origin to a more diffusive field at more remote locations. By contrast, for weakly-scattering media at this same distal location, the effect of the illumination angle is preserved, and provides angular distributions which retain a high degree of anisotropy (Fig. 7d,h). Figures 3–7 exhibit the ability of SHEF<sub>N</sub> combined with SOS to obtain accurate predictions, at relatively low approximation orders, for the *complete* radiance distributions at the surface of strongly- and weakly-scattering turbid media on spatial scales significantly smaller than the transport mean free path.

We have focused on radiance predictions at the surface because, apart from their importance for materials characterization, imaging, and remote sensing, the presence of the refractive index mismatch and optical property discontinuity makes them most difficult to predict. To visualize internal radiant fields, we examine both the scalar radiance, i.e. the fluence rate  $\phi(\mathbf{r}) = \int_{4\pi} L(\mathbf{r}, \Omega) d\Omega$ , and the full angular distribution of the radiance at discrete spatial locations. Recalling the radiant field inside the medium using SHEF<sub>N</sub> is given by the superposition of scattered and collimated components,  $L(\mathbf{r}, \Omega) = L_s(\mathbf{r}, \Omega) + L_c(\mathbf{r}, \Omega)$ , the fluence rate is

$$\phi(\mathbf{r}) = \psi_{0,0}(\mathbf{r}) + \frac{2\mu_1}{\pi\rho^2} \exp \left\{ -\frac{2}{\rho^2} [\mu_i^2 (x - \tan\theta_0 z)^2 + y^2] - \frac{\tilde{\mu}_t z}{\mu_0} \right\}, \quad (29)$$

where, by definition,  $\psi_{0,0}(\mathbf{r}) \equiv \int_{4\pi} L_s(\mathbf{r}, \Omega) d\Omega$ . Internal distributions are shown in Fig. 8 for the optical coefficients  $\mu'_s/\mu_a=100$  and  $\mu'_s/\mu_a=1$  for incident illumination angle  $\theta_i = 30^\circ$ . 3D spatial distributions of the scalar radiant field are displayed by various slices of the turbid volume (Fig. 8a,e). The spatial dispersion of the radiant field in weakly- versus

strongly-scattering media is highlighted by the increased persistence of the collimated beam. Angular distributions of the radiant fields are shown at spatial locations on the beam axis at a depth of  $z = l^*$  and transverse  $x$ -locations  $[l^*, 2l^*, 3l^*]$  from the propagating collimated beam origin (viz. Fig. 8i). For strongly-scattering media (Fig. 8b–d), the radiant field at transverse locations more distal from the collimated beam display a stronger angular dispersion and demonstrates a transition towards a diffusive radiant field. Conversely, for weakly-scattering media (Fig. 8f–h), the angular spectrum of the radiant field remains much more localized at equivalent transverse locations. However, independent of the optical properties, the dominant propagation direction is comparable at equivalent locations, while the dispersion about this direction is reflective of the underlying sample turbidity. Moreover, it is important to note that all these radiance distributions lack azimuthal symmetry and thus cannot be predicted using  $P_N$ -based methods.

## VI. CONCLUSIONS

The SHEF<sub>*N*</sub> method provides an accurate and comprehensive framework for the prediction of both the internal and external radiances,  $L(\mathbf{r}, \Omega)$ , produced by the illumination of turbid media with narrow oblique collimated beams. The use of the *complete* spherical harmonic expansion enables the consideration of problems that lack azimuthal symmetry. This provides capabilities far beyond the  $P_N$  approximation and are especially valuable for a broad number of applications in remote sensing, materials characterization, photorealistic rendering, and biomedical applications where the use of oblique illumination is common [6, 7, 17–21]. Moreover, the development of sequential-order smoothing (SOS) to combine SHEF<sub>*N*</sub> predictions from successive even-odd expansion orders provides predictions with significantly enhanced accuracy. The use of SOS is shown to be particularly advantageous for beam radii smaller than  $l^*$ , weakly-scattering media, and locations proximal to the beam. The improvements in accuracy and reduction in oscillatory behavior provided by SOS demonstrates this innovative approach to leverage two sequential low-order approximations to obtain solution quality that would otherwise only be accessible through the use of a much higher approximation order. Importantly, the use of SOS with any even/odd pair of sequential orders  $N$  and  $(N + 1)$  provides a higher quality solution than that provided by order  $(N + 2)$  but with lower computational cost.

We have demonstrated how the SHEF<sub>*N*</sub> framework and SOS can be applied to obtain radiative transfer predictions that are accurate in both strongly- and weakly-scattering turbid media on mesoscopic spatial scales. As such, our results demonstrate that the SHEF<sub>*N*</sub> framework provides a unified approach to predict and visualize the evolution of the radiant field from ballistic to diffusive regimes. Recent experimental and computational work has shown the importance of angular radiance distributions with regards to ascertaining optical properties on mesoscopic and macroscopic spatial scales [38, 39]. While a variety of approaches to obtain ERT solutions exist, including Monte Carlo (MC) simulations and the discrete ordinate method (DOM) [40, 41], the associated computational expense with these methods is large, especially when evaluating angular radiance distributions which lack azimuthal symmetry.

The comprehensive and systematic means by which SHEF<sub>*N*</sub> provides complete internal and external radiance predictions makes it a powerful tool to explore issues concerning both *a priori* measurement design (forward problem) and *a posteriori* signal interpretation (inverse problem) in areas such as remote sensing and biomedical imaging. Additionally, methods that operate in the spatial Fourier domain, such as spatial frequency domain imaging [3], can directly employ the TFM solutions provided by the SHEF<sub>*N*</sub> framework [Eq. (21)]. Extension to time-dependent problems can be achieved through a temporal-frequency-dependent total interaction coefficient without alteration of the overall solution approach. Layered media

can be addressed by coupling region-wise solutions using additional boundary conditions at the media interface(s). Furthermore, because the SHEF<sub>N</sub> framework provides complete representation of the spatial and angular characteristics of the radiance, one can leverage the general reciprocity property of the ERT. This enables an adjoint transfer mechanism to efficiently and accurately address both forward and inverse problems, such as optical tomography [5].

## Acknowledgments

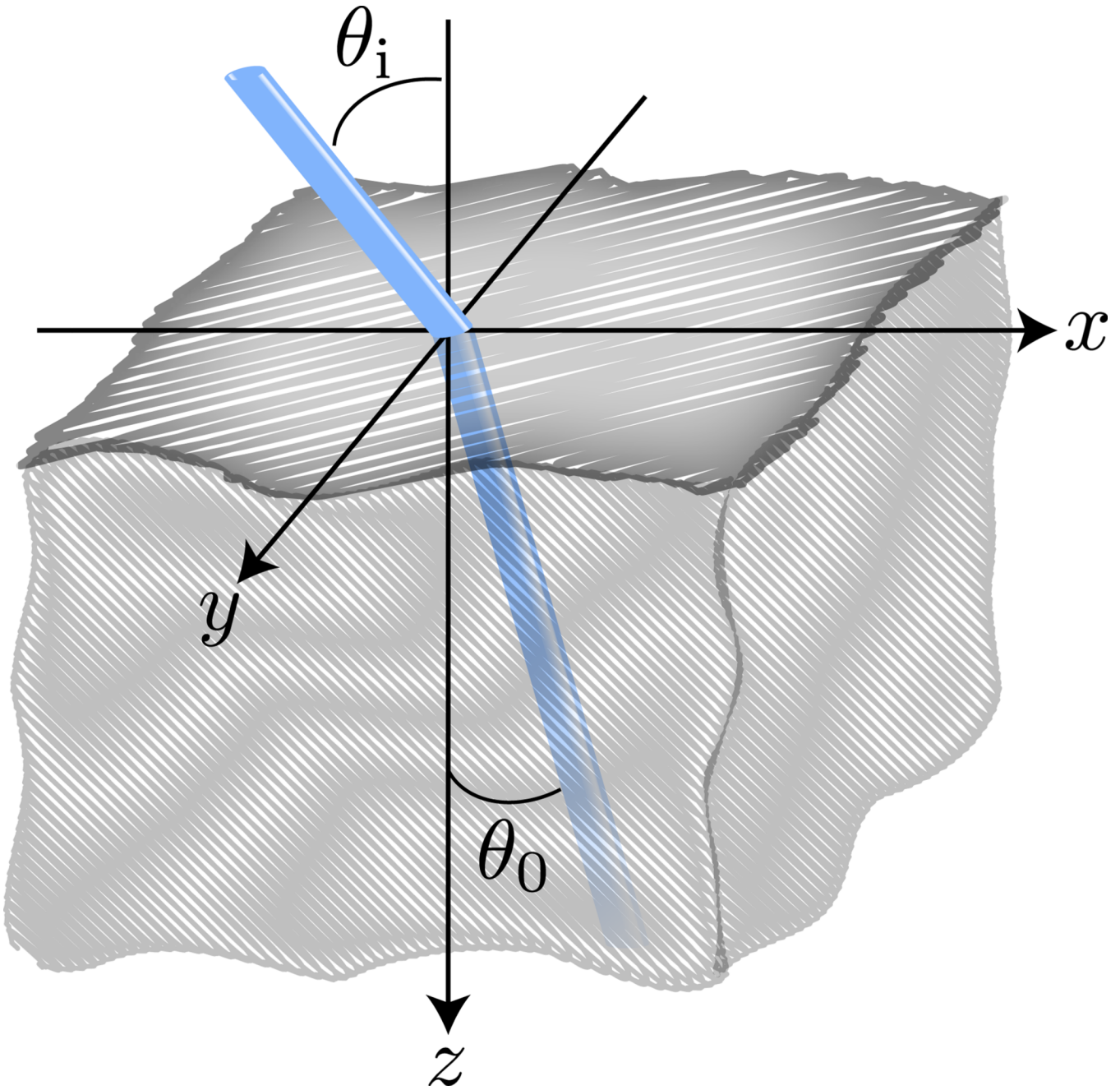
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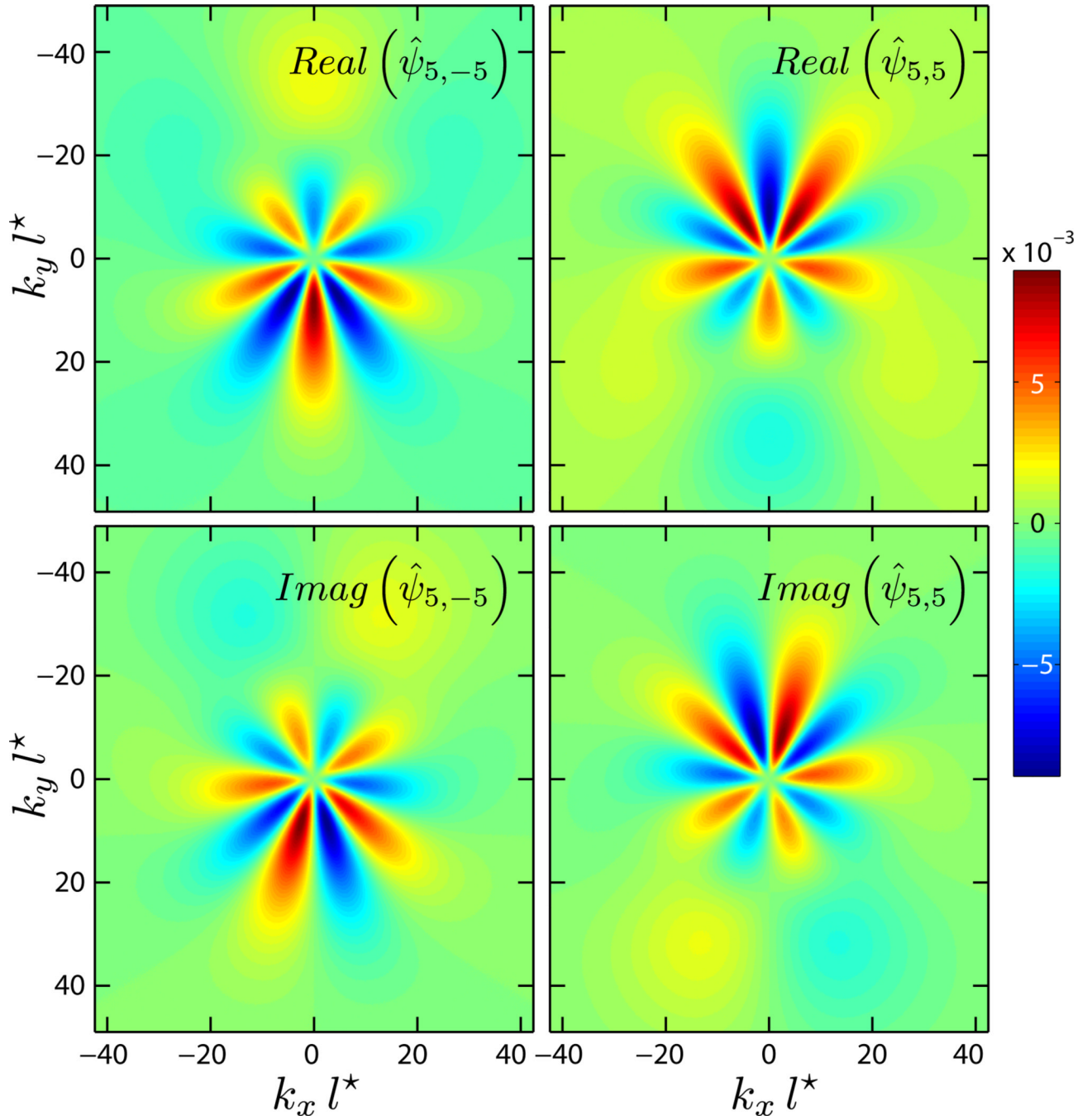
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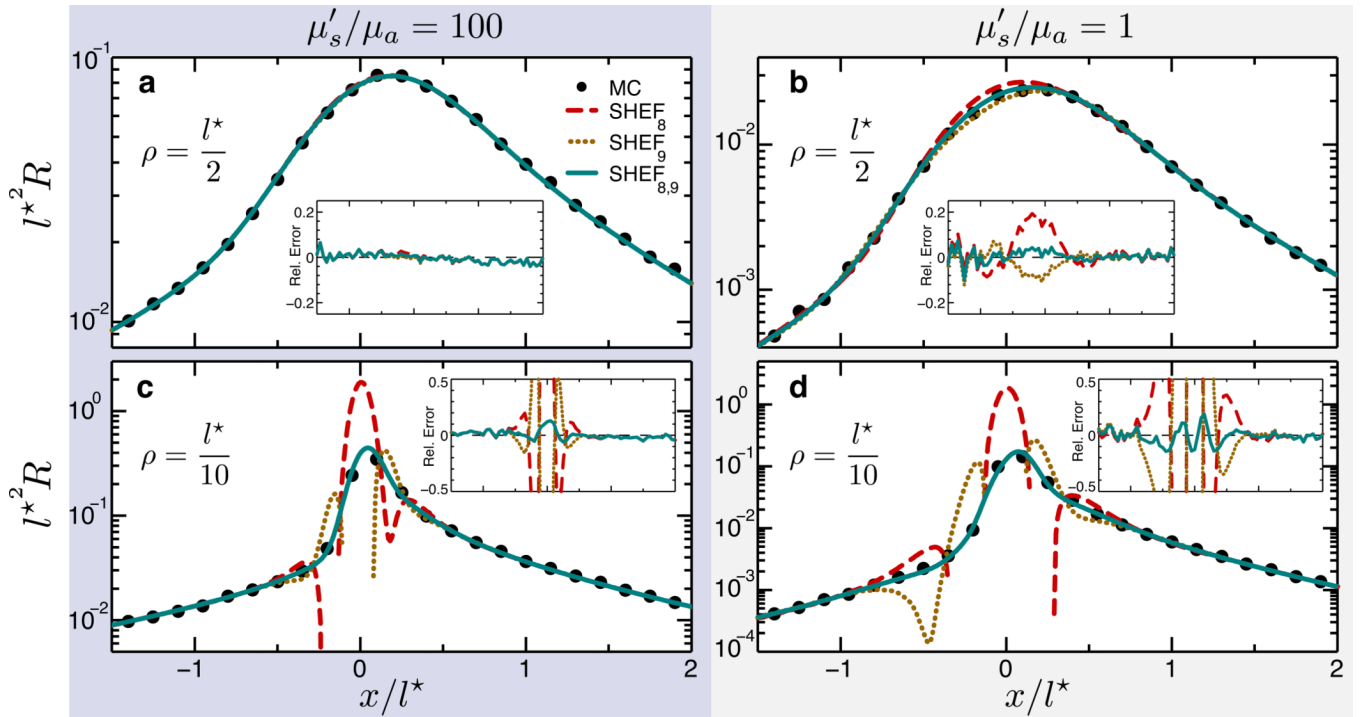
**Figure 1.**

(Color online) Coordinate system for the problem showing a collimated beam obliquely incident on the turbid medium. The coordinate system is chosen so that the plane of incidence is the  $x$ - $z$ -plane. The angle of incidence is  $\theta_i$ . The beam refracts due to a refractive index mismatch at the boundary yielding a beam propagating in direction  $\theta_0$ .



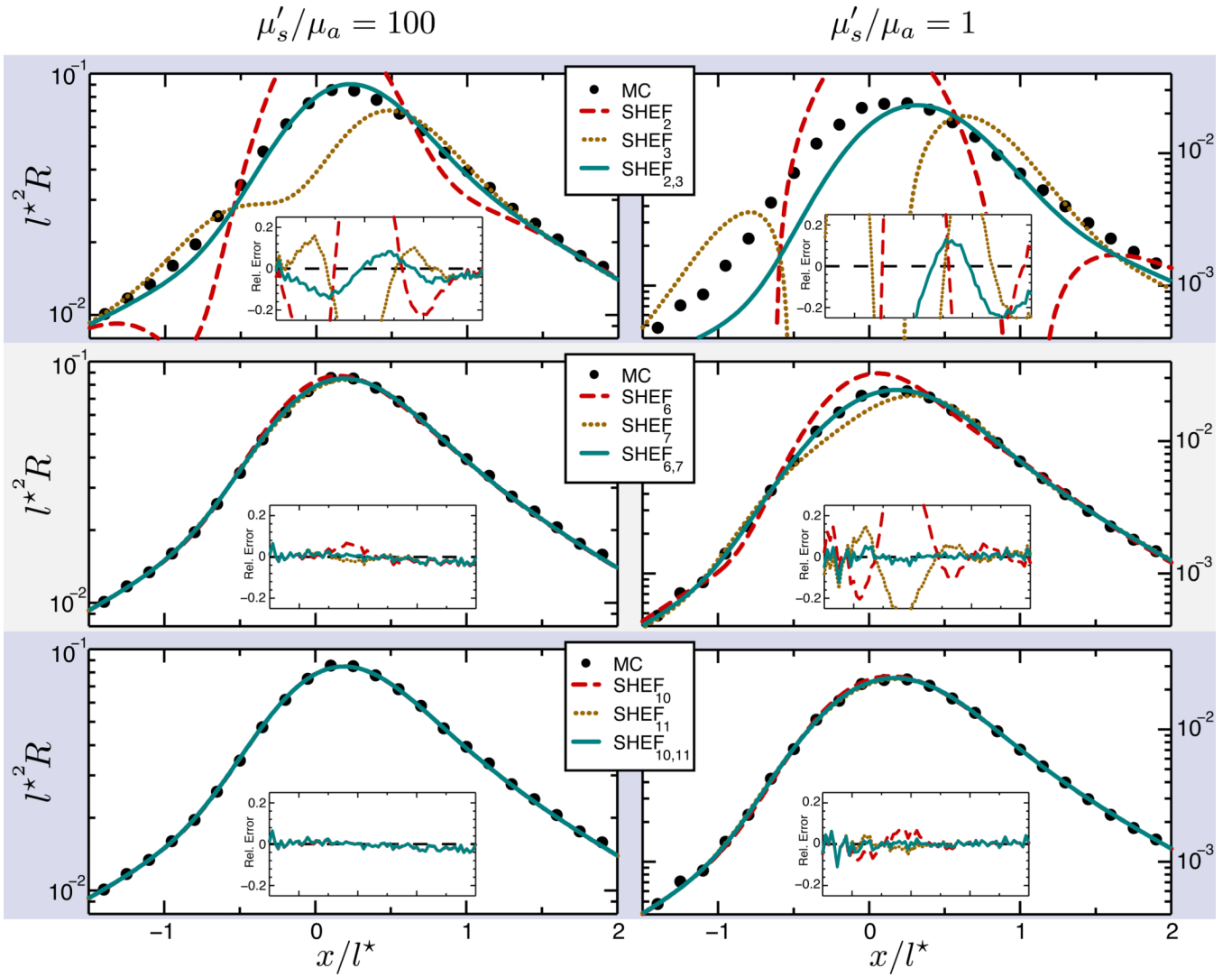
**Figure 2.**  
 (Color online) Contour plots displaying the real and imaginary components of the  $\hat{\psi}_{5,\pm 5}$  TFMs at the surface ( $z = 0$ ), displaying the intra- and inter-moment parity relations on the transverse wave-vector  $\mathbf{k}$ . These plots are provided by SHEF<sub>9</sub> for  $\theta_1 = 45^\circ$  and  $\mu'_s/\mu_a = 100$ .





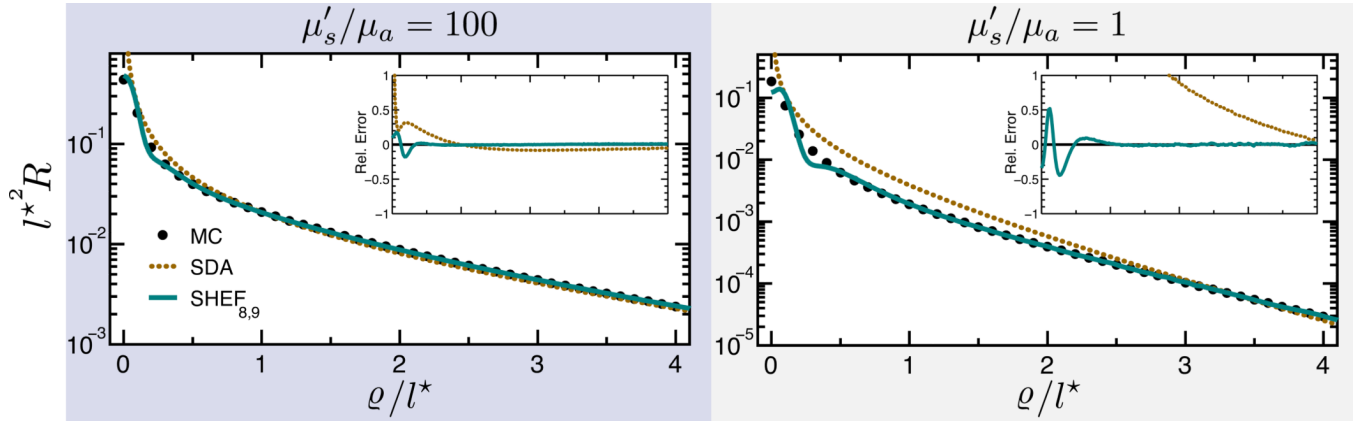
**Figure 3.**

(Color online) Reflectance as a function of  $x$  at  $y = 0$  for illumination with incident angle  $\theta_i = 45^\circ$  and beam radii  $\rho = l^*/2$  (**a, b**) and  $\rho = l^*/10$  (**c, d**). Comparison is provided for SHEF<sub>8</sub>, SHEF<sub>9</sub> with Monte Carlo (MC) for strongly-scattering ( $\mu'_s/\mu_a=100$ ) (**a, c**) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (**b, d**) media. Also shown is the SOS extension SHEF<sub>8,9</sub> for all cases. The insets show the relative errors of SHEF<sub>N</sub> with respect to Monte Carlo.



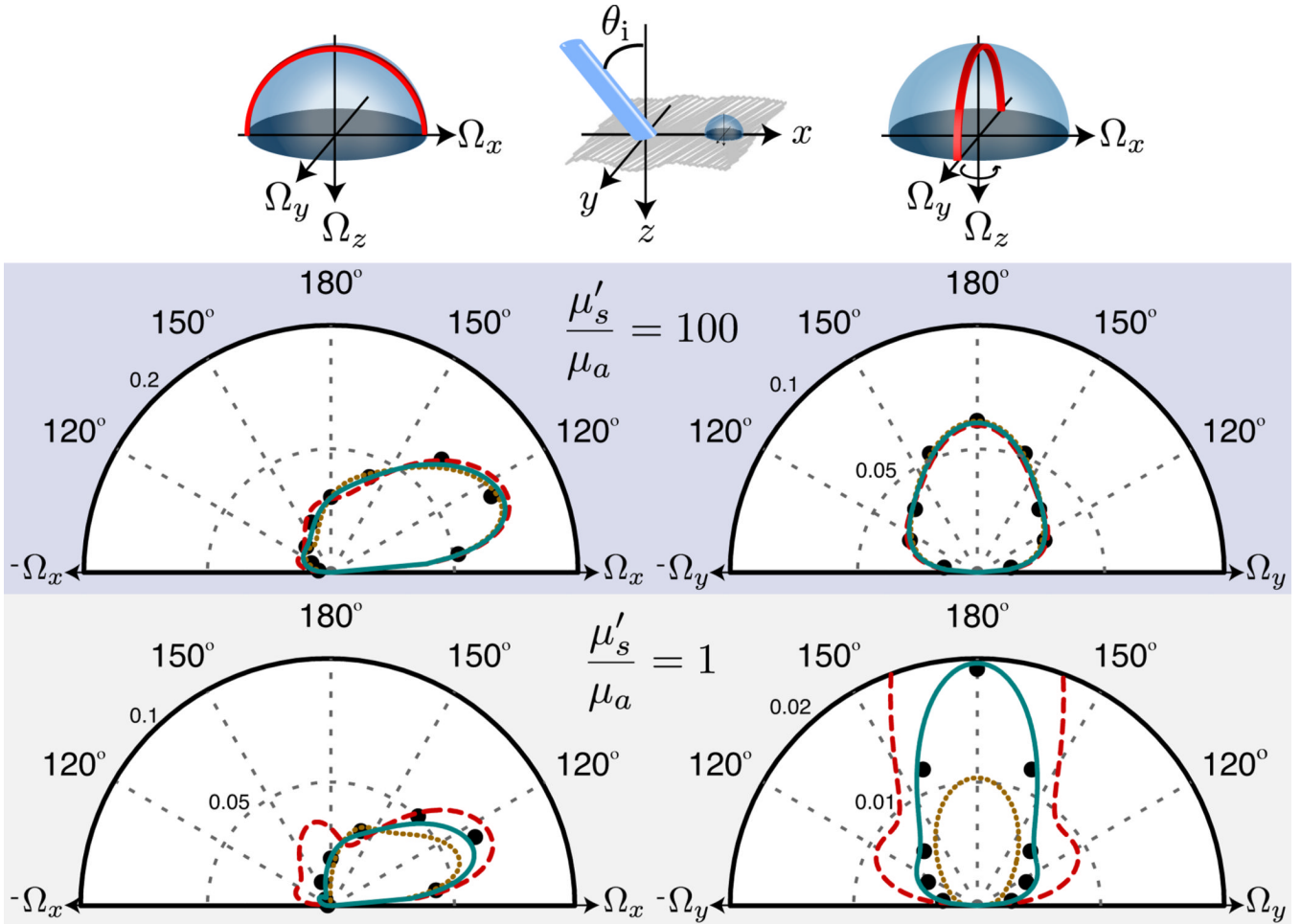
**Figure 4.**

(Color online) Reflectance as a function of  $x$  at  $y = 0$  for illumination with incident angle  $\theta_i = 45^\circ$  and beam radius  $\rho = l^*/2$ . SHEF $_N$  results are provided for expansion order pairs [(2, 3); (6, 7); (10, 11)] and comparison is provided with Monte Carlo (MC) for strongly-scattering ( $\mu'_s/\mu_a=100$ ) (left) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (right) media. Also shown are the SOS solutions for each even-odd expansion order pair, and the insets show the relative errors of SHEF $_N$  with respect to Monte Carlo.

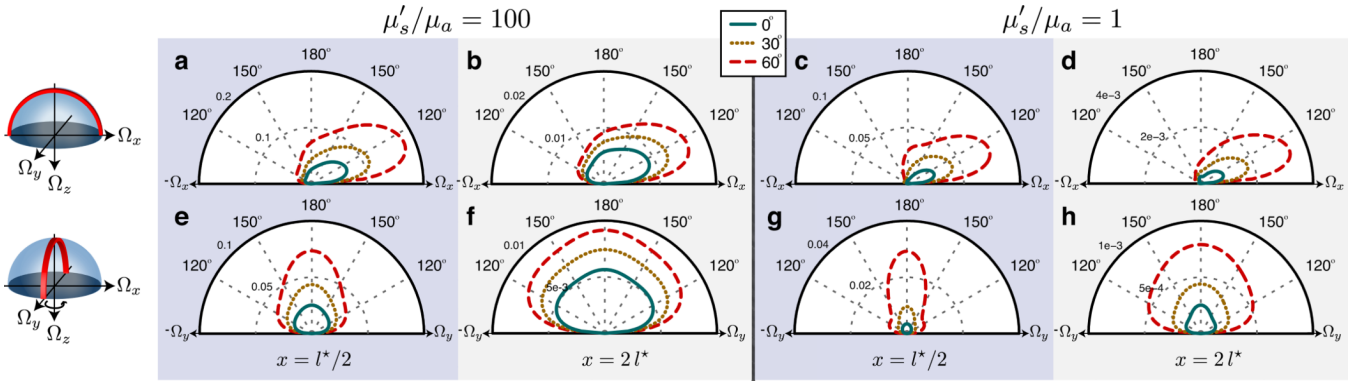


**Figure 5.**

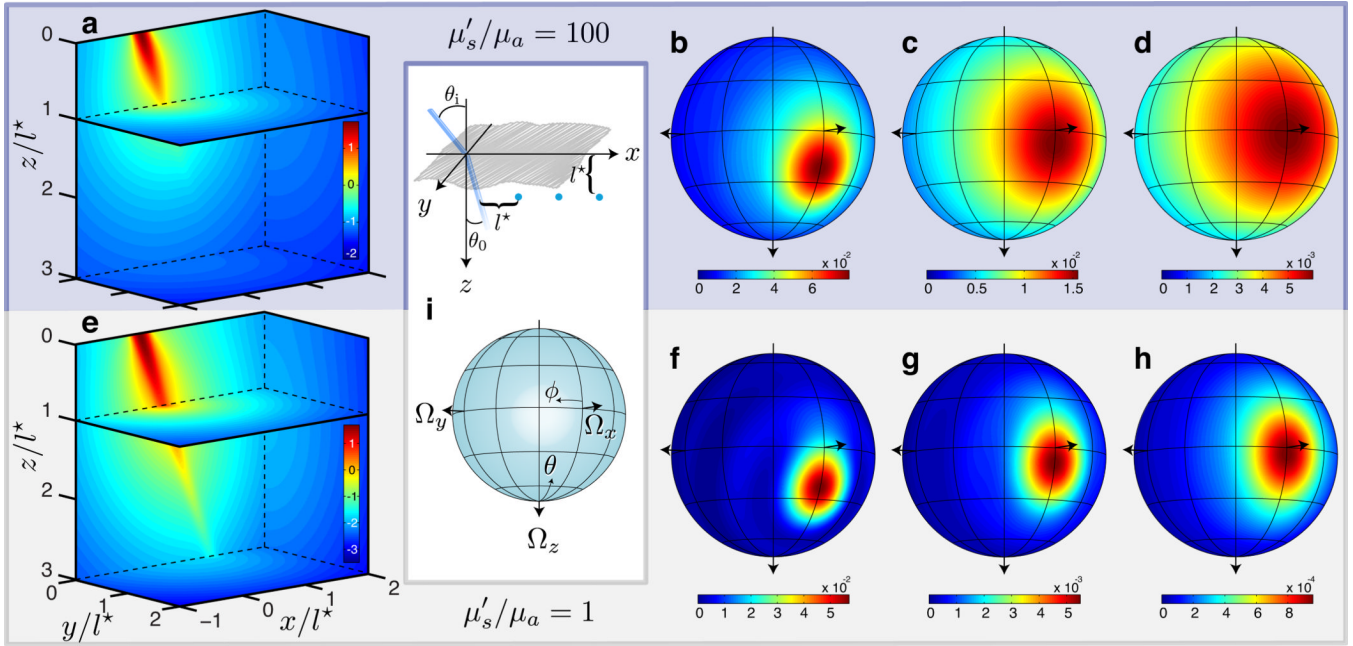
(Color online) Radially-resolved reflectance as a function of  $\varrho = \sqrt{x^2 + y^2}$  for illumination with a normally incident beam with radius  $\rho = l^*/10$ . Comparison is provided for SHEF<sub>8,9</sub>, standard diffusion approximation (SDA), and Monte Carlo (MC) for strongly-scattering ( $\mu'_s/\mu_a=100$ ) (left) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (right) media. The insets show the relative errors with respect to Monte Carlo.



**Figure 6.** (Color online) Polar plots displaying angular distributions of the remitted radiance  $L_{ex}(\theta_{ex})$  for  $\Omega_x$ - $\Omega_z$  (i.e.  $\varphi = [0^\circ, 180^\circ]$ ) (left) and  $\Omega_y$ - $\Omega_z$  (i.e.  $\varphi = [90^\circ, 270^\circ]$ ) (right) cross-sections at  $(x, y, z) = (l^*/2, 0, 0)$  for an incident beam with radius  $\rho = l^*/10$  and angle  $\theta_i = 45^\circ$ . Results are provided for SHEF<sub>8</sub> (dashed red line), SHEF<sub>9</sub> (dotted gold line), SHEF<sub>8,9</sub> (solid teal line), and Monte Carlo (•) for strongly-scattering ( $\mu'_s/\mu_a=100$ ) (top) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (bottom) media.



**Figure 7.** (Color online) Polar plots displaying angular distributions of the remitted radiance  $L_{ex}(\theta_{ex})$  for  $\Omega_x$ - $\Omega_z$  (i.e.  $\phi = [0^\circ, 180^\circ]$ ) (**a–d**) and  $\Omega_y$ - $\Omega_z$  (i.e.  $\phi = [90^\circ, 270^\circ]$ ) (**e–h**) cross-sections provided by SHEF<sub>8,9</sub>. Results are shown at proximal ( $x = l^*/2$ ) (**a, c, e, g**) and distal ( $x = 2l^*$ ) (**b, d, f, h**) locations along the  $x$ -axis from an incident beam with radius  $\rho = l^*/10$  and illumination angles  $\theta_i = [0^\circ, 30^\circ, 60^\circ]$  for strongly-scattering ( $\mu'_s/\mu_a=100$ ) (**a, b, e, f**) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (**c, d, g, h**) media.



**Figure 8.**

(Color online) Internal radiant field distributions provided by SHEF<sub>8,9</sub> for an incident beam with radius  $\rho = l^*/10$  and illumination angle  $\theta_i = 30^\circ$  on strongly-scattering ( $\mu'_s/\mu_a=100$ ) (a–d) and weakly-scattering ( $\mu'_s/\mu_a=1$ ) (e–h) media. The 3D volumetric radiance distribution is displayed by the Log<sub>10</sub> fluence rate  $\phi(\mathbf{r})$  (a, e) shown by an  $x$ - $z$  slice at  $y = 0$ ,  $x$ - $y$  slices at  $z = [l^*, 3l^*]$ , and a  $y$ - $z$  slice at  $x = 2l^*$ . Angular radiant field distributions are shown at a depth of  $z = l^*$  with  $y = 0$  for  $x$  locations  $[l^*, 2l^*, 3l^*]$  from the propagating collimated beam origin (b–d, f–h). The schematic (i) displays the corresponding spatial locations and the angular/directional coordinate system.