

# Molecular architecture of tailed double-stranded DNA phages

Andrei Fokine\* and Michael G Rossmann\*

Department of Biological Sciences; Purdue University; West Lafayette, IN USA

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The tailed double-stranded DNA bacteriophages, or *Caudovirales*, constitute ~96% of all the known phages. Although these phages come in a great variety of sizes and morphology, their virions are mainly constructed of similar molecular building blocks via similar assembly pathways. Here we review the structure of tailed double-stranded DNA bacteriophages at a molecular level, emphasizing the structural similarity and common evolutionary origin of proteins that constitute these virions.

## Introduction

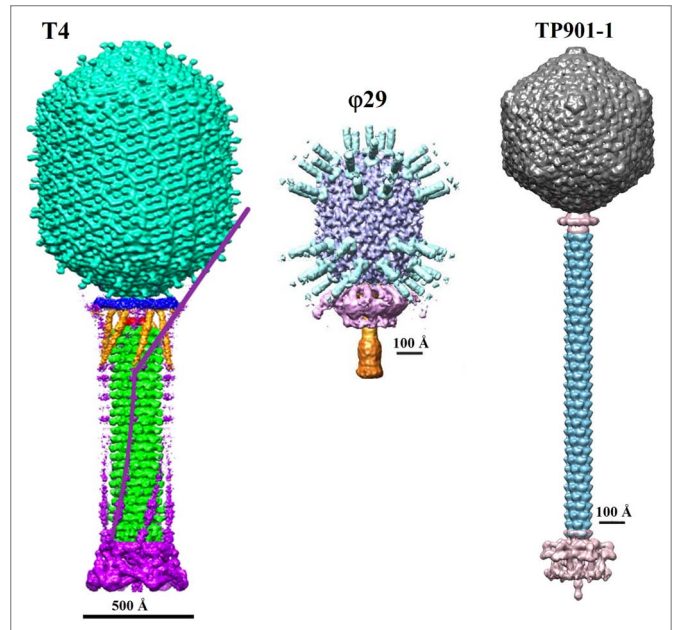
Bacteriophages are probably the most abundant entities in the biosphere. The total number of phage particles is estimated to be in the order of  $10^{31}$ , 10 times larger than the estimated number of bacterial cells on Earth.<sup>1,2</sup> Among ~5500 phages examined by electron microscopy (EM), ~96% have tails,<sup>3</sup> a special organelle designed for the host recognition, cell wall penetration, and genome ejection into the host. The tailed phages constitute the order *Caudovirales*, which is divided into 3 families: *Myoviridae*, *Siphoviridae*, and *Podoviridae*, based on the tail morphology. *Myoviridae* phages (e.g., T4,  $\phi$ 92,  $\phi$ KZ) are characterized by long straight contractile tails, *Siphoviridae* phages (e.g.,  $\lambda$ , HK97, SPP1, p2, TP901-1) possess long flexible non-contractile tails, and *Podoviridae* phages (e.g.,  $\phi$ 29, T7, P22) have short, stubby, non-contractile tails (Fig. 1). Of the tailed phages analyzed with electron microscopy, 61% belong to the *Siphoviridae* family, 25% to the *Myoviridae* family, and 14% to the *Podoviridae* family.<sup>3,7</sup>

During the past decade or so tremendous progress has been made in obtaining 3-dimensional (3D) structures of the phage virions and their protein components. Based on the similar folds of the structural proteins, most likely all *Caudovirales* had a common evolutionary origin, despite large differences in virion sizes and morphology. Their virions are mainly built from similar molecular building blocks, via similar assembly pathways. Here

we describe the structure of *Caudovirales* phages at a molecular level. We emphasize the structural similarity and evolutionary relationships between proteins which form the phage virions and participate in the virus assembly.

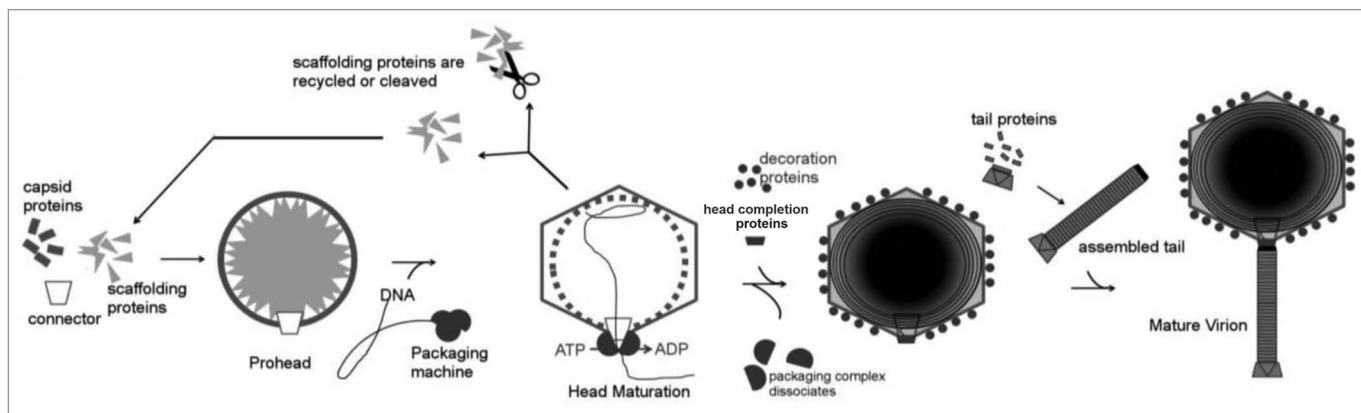
## Structure of the Phage Head

Capsids of the tailed phages come in a large variety of sizes ranging in diameter from about 400 to 1700 Å.<sup>3</sup> Most of *Caudovirales* (75%) examined in the electron microscope<sup>3</sup> have icosahedral (isometric) capsids, while about 15% of *Caudovirales* (e.g.,  $\phi$ 29 and T4) have prolate heads, which are icosahedrons elongated along the 5-fold axis coincident with the axis of the phage tail. The capsid contains linear double-stranded (ds) DNA chromosome which is packaged to a high density of ~500 g/l and exerts internal pressure of tens of atmospheres on the capsid walls.<sup>8-10</sup> The special pentameric vertex of the phage capsids, to which the tail is attached, is occupied by a dodecameric portal



**Figure 1.** Structure of the *Myoviridae* phage T4 (left), *Podoviridae* phage  $\phi$ 29 (middle), and *Siphoviridae* phage TP901-1 (right). The left panel was reproduced from reference 4, the middle panel from reference 5, and the right panel from reference 6.

\*Correspondence to: Andrei Fokine, Email: afokine@purdue.edu; Michael G Rossmann, Email: mr@purdue.edu  
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**Figure 2.** Schematic representation of the bacteriophage assembly. Reproduced from reference 11.

protein, also called a connector, which forms a channel for genome packaging during the virion assembly and exit during the infection process.

### Capsid assembly

*Caudovirales* assemble their capsids with the help of internal scaffolding proteins (Fig. 2).<sup>11-13</sup> The head assembly typically starts at the portal vertex by copolymerization of the scaffolding proteins and the major capsid protein leading to formation of the capsid precursors called prohead (or procapsid). The prohead consists of the portal protein, the internal scaffolding core, and the outer major capsid protein shell surrounding the core. In comparison to the mature capsid, the prohead has a smaller size, a more rounded shape, and a thicker capsid protein shell.<sup>14-17</sup> Most phages encode a separate scaffolding protein which is the main part of the internal core. The scaffolding protein ensures the correct geometry of the capsid. In its absence the capsid protein usually assembles into aberrant structures. Some phages, like HK97 and T5, have N-terminal major capsid protein regions (called  $\Delta$  domains), which act as scaffolding proteins.<sup>14,18,19</sup> The scaffolding proteins show great variability in their sizes and amino-acid sequences. For example, the scaffolding proteins of the bacteriophages  $\phi$ 29 and P22 have 100 and 303 residues, respectively and have no sequence similarity. However, the  $\phi$ 29 scaffolding protein<sup>20</sup> and the C-terminal part of the P22 scaffolding protein<sup>16,21</sup> contain a similar helix-turn-helix motif, which, in the P22 procapsid, interacts with the major capsid protein shell and the portal. Apart from the scaffolding protein the internal procapsid core may contain several extra proteins. For example, the core of the bacteriophage T4 prohead contains, in addition to ~580 copies of the scaffolding protein, 7 extra proteins with copy numbers ranging from 40 to 370.<sup>13,22</sup>

Procapsids of many phages (like T4, HK97,  $\phi$ KZ, and  $\lambda$ ) contain head maturation proteases (serine proteases in case of T4<sup>13,22</sup> and  $\phi$ KZ<sup>23</sup>) which are activated after prohead assembly and degrade the internal core partially or completely. The digestion products exit the prohead, freeing the space for the genomic DNA. The genome is packaged into the procapsid through the portal vertex by a DNA translocation motor, driven by ATP hydrolysis.<sup>24-27</sup> In some phages, like P22 and  $\phi$ 29, the scaffolding protein is not degraded, but removed from the capsid

intact during the DNA packaging. The scaffolding protein is probably pushed out by the entering DNA, and exits the capsid via openings in the prohead shell.<sup>16</sup> As DNA is being packaged the prohead undergoes large structural rearrangement to become the mature capsid. The capsid maturation involves reorientation and partial refolding of the major protein subunits,<sup>14,15</sup> resulting in significant increase (by ~50%) of the capsid volume. In phage  $\lambda$  the expansion occurs when ~30% of the genome has been packaged.<sup>28</sup> In phage T4 the expansion can be decoupled from DNA packaging.<sup>29</sup> Capsids of many phages attach stabilization and/or decoration proteins to their outer surface during the final stage of maturation (see below).

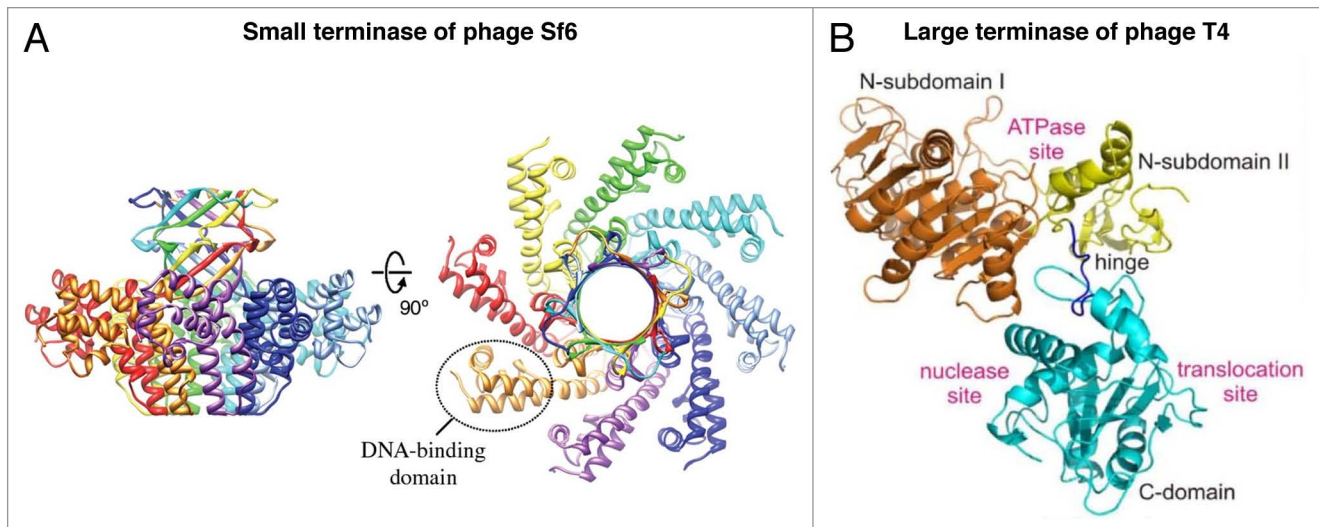
Once the genome has been packaged, the DNA translocation motor is dislodged from the portal vertex. The capsid assembly is then finalized by attachment of the head completion proteins, which seal the portal gate<sup>30</sup> and provide a hub for the tail assembly in podophages or a platform for binding of the preassembled tail in siph- and myophages.

### Genome packaging

Genomes of *Caudovirales* range in size from about 15 to 500 kilo base pairs.<sup>2,31,32</sup> DNA of some phages, contains modified nucleotides, which helps to protect the genomes against nuclease degradation. For instance, chromosomes of T-even phages contain glucosylated hydroxymethylcytosine residues.<sup>33</sup>

DNA of most *Caudovirales*, as well as herpesviruses, is synthesized as long head-to-tail multimers, or concatemers, of genome units.<sup>24,34</sup> The phage chromosomes are cut from the concatemers by the DNA packaging machine, called the terminase complex because it creates the termini of the virion DNA.<sup>24,25,27</sup> The packaging machine of most phages contains 2 proteins termed the large and the small terminases. Subunits of the large terminase, usually contain 2 domains: an ATPase domain and a nuclease domain. The large terminase is responsible for the DNA cleavage and translocation into the procapsid, while the small terminase is involved in the packaging initiation and stimulates the ATPase activity of the large terminase.

The first genome packaging cycle is typically started by the small terminase which specifically recognizes phage DNA and recruits the large terminase to make the initial cut in the DNA concatemer. After the cut, the large and small terminase



**Figure 3.** Structures of the phage terminases. **(A)** Ribbon diagram of the small terminase of phage Sf6. Different chains of the small terminase octamer are shown in a different colors. **(B)** Ribbon diagram of the large terminase protein, gp17, of phage T4. The N-terminal ATPase domain of T4\_gp17 is subdivided into 2 subdomains marked N-subdomain I and II. N-subdomain I is in gold, N-subdomain II is in yellow, and the C-terminal nuclease domain is in cyan. **(A)** was reproduced from reference 42, **(B)** from reference 43.

associated with one end of the cleaved DNA concatemer bind to the portal vertex of a prohead. The large terminase then starts the DNA translocation fueled by ATP hydrolysis. Phage terminases are among the strongest and fastest known molecular machines.<sup>35</sup> The T4 packaging motor has an average translocation speed of ~700 bp/sec, and can achieve rates as high as 2000 bp/sec.<sup>35,36</sup> The motor can exert forces larger than 60 pN to overcome the pressure generated inside the capsid by the packaged DNA.<sup>9,35</sup> At the end of the packaging cycle the large terminase makes another cut to separate the packed phage chromosome from the rest of the DNA concatemer. The motor/DNA complex then dislodges from the filled head and binds to another DNA-free procapsid to start the next packaging cycle.

In  $\lambda$ -like and T7-like phages the packaging starts and stops at specific sites, called *cos* or *pac*.<sup>34</sup> In  $\lambda$ -like phages the large terminase generates 12-base cohesive ends of the phage chromosomes. The T7 chromosomes have blunt ends and are flanked by direct terminal repeats (terminal redundancies), generated in concert with DNA packaging.

Many phages, like P22, SPP1, use the head-full mechanism for packaging termination.<sup>34</sup> The first packaging cycle starts at a specific *pac* site and continues until the capsid is full. These phages encapsidate more than one complete genome length (typically 102–110%), and, therefore, the second DNA cut occurs at a non-specific site (beyond the *pac* sequence). Consequently, the following DNA packaging cycles start and end at different sites, resulting in encapsidation of different circularly-permuted chromosomes with terminal redundancy. Phage T4, which also uses the head-full packaging mechanism, has no strict *pac* sequence. The first cut of its DNA concatemer can be made at different points.<sup>37,38</sup>

Bacteriophage  $\phi$ 29 synthesize monomeric genomes which have covalently linked gp3 proteins attached to the 5'-ends of both DNA strands.<sup>39</sup>  $\phi$ 29 does not have a small terminase, and its

large terminase does not have nuclease activity.<sup>40</sup> Another unusual feature of  $\phi$ 29 is the presence of a viral-encoded structural RNA (called p-RNA) which resides between the portal protein and the terminase during genome packaging.<sup>41</sup>

Crystal structures of the small terminases from podophages Sf6 (**Fig. 3A**)<sup>42,44</sup> and P22,<sup>45</sup> SPP1-like siphophage SF6,<sup>46</sup> and a T4-like myophage 44RR<sup>47</sup> have been determined. Comparison of these structures showed that the small terminases have similar organization. Their subunits assemble into vase-shaped oligomers with 8- to 12-fold rotation symmetry possessing a central pore. The small terminases consist of an N-terminal domain, located on the periphery of the vase, the central oligomerization domain, and the C-terminal domain which form the top of the vase and is responsible for interactions with the large terminase. Studies of the SF6, T4 and Sf6 small terminases<sup>44,46,47</sup> showed that the N-terminal periphery region is responsible for binding to DNA. In the proposed small terminase-DNA binding models, DNA wraps around the side of the small terminase oligomer. An alternative way for DNA binding to the small terminases would be for DNA to go through the central pore. However it is unlikely because the central pores of the SF6 and Sf6 terminases are too narrow to accommodate the double-stranded DNA helix. Moreover, the small terminases of different phages have different oligomeric state suggesting that the number of subunits and consequently the diameter of the central pore is not critical for the small terminase function.<sup>46,47</sup>

Structures of the large terminases of phages T4 (**Fig. 3B**) and Sf6 have been determined using X-ray crystallography.<sup>48,49</sup> In addition crystal structures the large terminase nuclease domains of the phages SPP1, P22, and a T4-like phage RB69 are available.<sup>48,50,51</sup> The N-terminal ATPase domains of large terminases have a classical oligonucleotide-binding fold,<sup>52</sup> whereas their C-terminal nuclease domains have a ribonuclease H-like fold.<sup>53</sup>

Based on the studies of the T4 large (gp17, 70 kDa) and small (gp16, 18 kDa) terminases, and the cryo-EM reconstruction of the T4 capsid complexed with the large terminase, a model for the packaging initiation and DNA translocation was proposed (Figs. 4 and 5).<sup>48</sup> In this model, 2 vase-shaped oligomers of the small terminase and 2 molecules of the large terminase form a packaging initiation complex with the phage DNA concatemer. In the initiation complex, the terminase molecules have a 2-fold-symmetric arrangement that corresponds to the 2-fold symmetry of the DNA molecule at the anticipated cleavage site. The large terminase molecules make cuts on each strand of DNA generating free ends. Then 1 large terminase molecule and 1 small terminase oligomer, associated with 1 end of DNA, bind to a procapsid portal vertex. This process recruits 4 other large terminase molecules to form a pentameric DNA translocation motor. The large terminase molecules attach to the portal vertex by their N-terminal domain in a 5-fold-symmetric fashion, suggesting that they interact not only with the 12-fold symmetric portal protein but also with the 5 major capsid proteins near the portal vertex.

The proposed DNA packaging model<sup>48</sup> assumes that during the translocation process 5 gp17 molecules sequentially bind the DNA and move it into the capsid in 2 base pair steps. Comparison of the gp17 crystal structure with the cryo-EM reconstruction of the prohead-gp17 complex suggested that the gp17 molecules can adopt 2 conformational states: tensed and relaxed (Fig. 5). In the tensed state the ATPase and nuclease domains of gp17 are in close contact, whereas in the relaxed state they are separated by  $\sim 7$  Å. When ATP binds to one of the gp17 molecules in the relaxed state, its affinity to DNA is increased. Then this gp17 molecule binds to DNA via its C-terminal nuclease domain. ATP hydrolysis switches the conformation of the gp17 molecule from the relaxed to the tensed state. As a result the C-terminal domain moves toward the N-terminal domain and the capsid by  $\sim 7$  Å, causing the translocation of 2 base pairs of DNA. As the structure of B-form DNA contains 10 base pairs per turn, the translocation of 2 base pairs by 1 gp17 molecules brings the DNA into register with the adjacent gp17 molecule related by 5-fold symmetry. The neighboring gp17 molecule binds to DNA by its C-terminal domain to start the next translocation step. After release of the ATP hydrolysis products, the gp17 molecules return to their relaxed state.

Although the ATPase and nuclease domains of T4 and Sf6 terminases have similar folds, their relative orientation to each other in the crystal structures is different.<sup>48,49</sup> Based on the crystal structure of the Sf6 terminase, Zhao et al.<sup>49</sup> suggested an alternative mechanism for conversion of the ATP hydrolysis reaction into the physical motion of DNA. Single particle studies of the phage  $\phi 29$  packaging motor suggest that DNA is translocated in 10 base pair bursts of consisting of 4 2.5 base pair steps.<sup>54</sup> Various DNA packaging mechanisms have been proposed to account for non-integer translocation steps for the  $\phi 29$  motor.<sup>40,54,55</sup>

### Structure of the portal protein

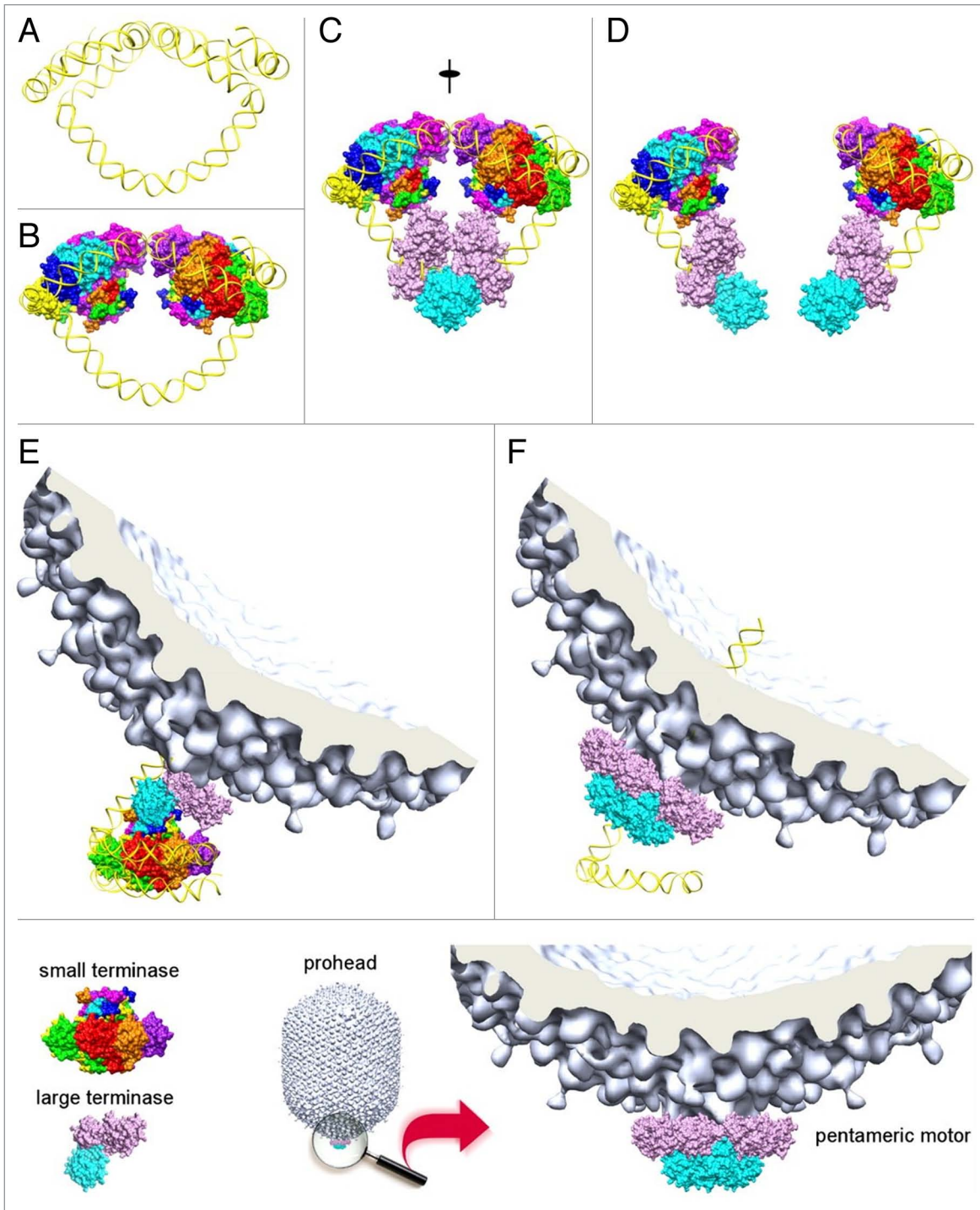
The portal protein forms a conduit through which the DNA enters the capsid, during the virus formation, and exits, during

the infection process. The portal protein is an attachment site for the DNA-packaging motor, and later for the head completion proteins.

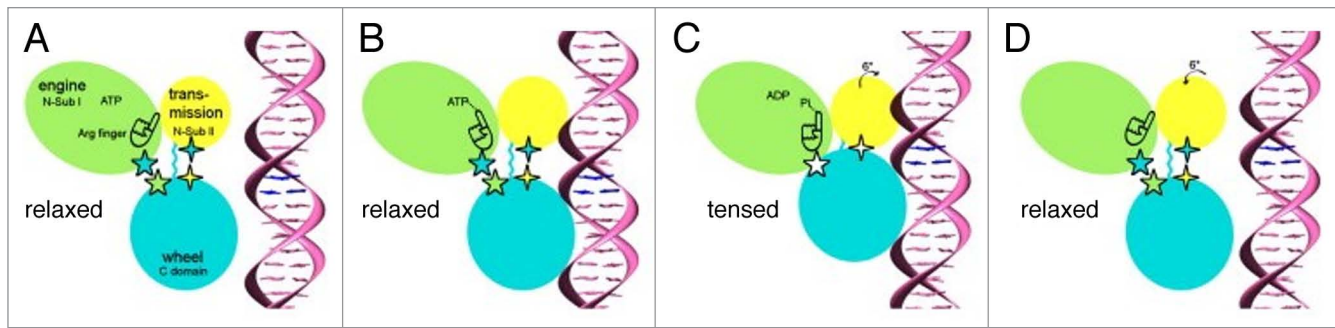
The portals of *Caudovirales* phages and herpesviruses are turbine-shaped molecules (Fig. 6) containing a central channel for DNA passage (for a recent reviews see refs. 30, 40, 56, and 58). When in the head the portal proteins are always dodecamers. However recombinantly produced portals assemble into oligomers of 11 to 14 subunits.<sup>59,60</sup> The portal proteins show large variability in size and amino-acid sequences. For example, the portal subunits of podophages  $\phi 29$  and P22 have molecular weights of 36 and 83 kDa, respectively. The structures of the portal proteins from phages  $\phi 29$ ,<sup>57</sup> SPP1,<sup>59</sup> and P22<sup>61</sup> have been determined using X-ray crystallography. Additionally the crystal structure of a putative portal protein encoded in the *Corynebacterium diphtheriae* genome has been determined (PDB ID: 3KDR). Despite low sequence homology, all these proteins have similar folds, suggesting that they have evolved from a common ancestor. The surface of the central channel of the portals is mainly negatively charged allowing smooth DNA passage. The monomer of the portal protein can be divided into the clip, stem, wing, and crown regions<sup>59</sup> (Fig. 6C). The clip region protrudes to the capsid exterior and is involved in interactions with the large terminase and later with the head closure proteins. The clip is connected to the wing via the stem containing 2 long  $\alpha$  helices. The wing and crown regions are located in the capsid interior and contact the packaged DNA in the mature capsids. The sizes of these regions vary significantly among the portals. The C-terminal part of the phage P22 portal forms a  $\sim 200$  Å-long coil-coiled tube resembling a rifle barrel<sup>57</sup> (Fig. 6D), which protrudes almost to the center of the phage capsid.

Due to the symmetry mismatch between the 12-fold symmetric portal and the 5-fold vertex it was proposed that the portal would rotate easily with respect to the capsid. In the early models of genome packaging, the DNA translocation was assumed to be coupled with the portal rotation.<sup>59,61</sup> However later single-molecule fluorescence spectroscopy of the  $\phi 29$  portal<sup>62</sup> and studies of the T4 connector non-covalently cross-linked to the capsid shell<sup>63</sup> showed that the portal does not rotate during the DNA translocation.

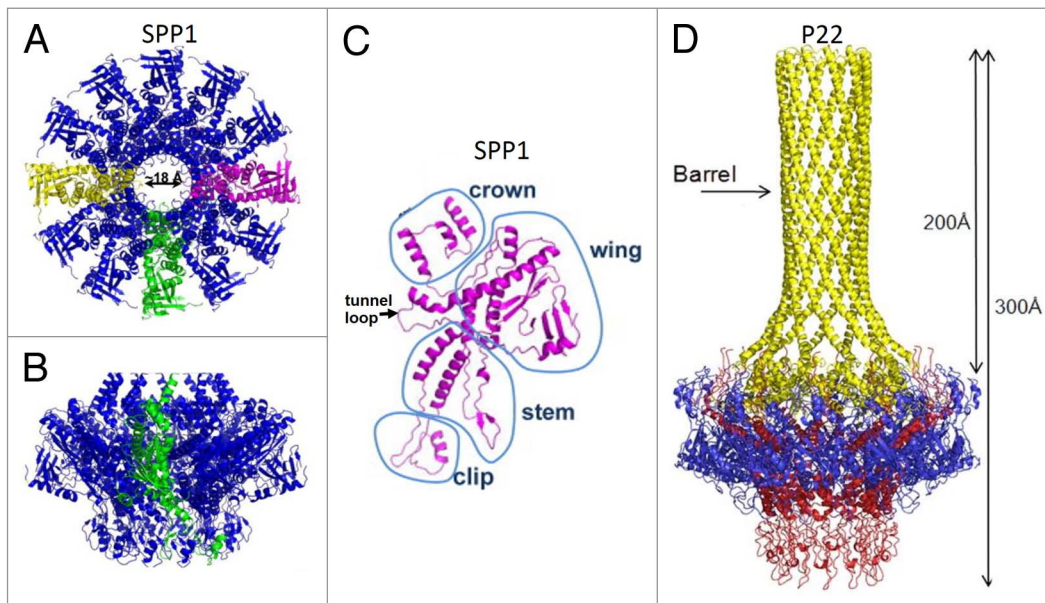
The portal appears to play a more significant role than that of a passive conduit. Point mutations in the SPP1 portal protein can affect the initiation of DNA packaging, ATP hydrolysis by the large terminase, and DNA translocation, suggesting that there is cross-talk between the portal and the terminase subunits during packaging.<sup>56,59,64,65</sup> Covalent cross-linking of the stem helices, belonging to adjacent subunits of the SPP1 portal, abolishes packaging, suggesting that relative motion of subunits is important for the portal function.<sup>66</sup> The SPP1 portal contains long tunnel loops which point into the channel and probably interact with DNA during translocation. Initially it was proposed that these loops grip tightly the DNA and drive it into the capsid.<sup>59</sup> However the analogous loops in the P22 portal are too short to grip the DNA.<sup>57</sup> Moreover, deletion of the tunnel loops in the  $\phi 29$  portal did not disrupt the packaging, but rather affected



**Figure 4.** A model for packaging initiation by the small terminase (reproduced from ref. 47). Framed area: The small terminase subunits are represented in rainbow colors. The N-terminal ATPase domain of the large terminase is colored purple and the C-terminal nuclease domain cyan. **(A)** Packaging initiation sites on the phage genomic DNA. **(B)** Two small terminase oligomers bound to the initiation sites. **(C)** Two large terminase molecules are recruited and cleave the DNA backbones associated with the same base pair. The nuclease domain of the large terminase on the left-hand side is obscured by the 2-fold related nuclease domain of the large terminase on the right-hand side. **(D)** Two free ends are generated on the DNA, and further digestion is prevented by the small terminase. **(E)** The initiation complex binds to an empty procapsid. **(F)** Four more large terminase molecules are recruited to assemble the pentameric motor and rapid DNA translocation causes the dissociation of the small terminase.



**Figure 5.** DNA Packaging by the large T4 terminase (reproduced from ref. 48). The figure shows the sequence of events that occur in a single T4 gp17 molecule during packaging. The gp17 N-terminal subdomain I, subdomain II, and C-terminal domain are represented as green, yellow, and cyan ovals, respectively. The 5-pointed stars show the charge interactions between the N-terminal subdomain I and the C-terminal domain. The 4-pointed stars show the charge interaction between the N-terminal subdomain II and the C-terminal domain. The flexible linker between N- and C-terminal domains is represented by a wiggly cyan line. (A) The gp17 C-terminal domain is ready to bind DNA. (B) The C-terminal domain, when bound to the DNA, brings the DNA closer to the N-terminal domain of the same subunit. Conformational change in the N-terminal domain causes Arg162 to be placed into the ATPase active center in preparation for hydrolysis. (C) Hydrolysis of ATP has rotated the N-terminal subdomain II by about 6°, thereby aligning the charge pairs resulting in an electrostatic attraction that moves the C-terminal domain and the DNA 6.8 Å (equivalent to the distance between 2 base pairs) closer to the N-terminal domain and into the capsid. (D) ADP and Pi are released and the C-terminal domain returns to its original position. DNA is released and is aligned to bind the C-terminal domain of the neighboring gp17 subunit.



**Figure 6.** Structures of the portal proteins of phages SPP1 and P22. (A and B) display the top and side views of the SPP1 portal protein dodecamer, respectively. (C) Structure of the SPP1 portal protein monomer. (D) Side view of the P22 portal protein dodecamer. (A–C) were reproduced from reference 56, (D) from reference 57.

the ability of the phage to retain the encapsidated DNA.<sup>67</sup> The potential role of the tunnel loops in retaining the packaged DNA was also observed in the biochemical/bioinformatics study of the phage T4 portal.<sup>68</sup>

Once the phage chromosome has been encapsidated, a signal is sent to the motor causing the termination of packaging and dissociation of the motor from the portal vertex. In phages that use the head-full packaging mechanism (e.g., P22 and SPP1), the tightly packed DNA exerts a force onto the portal inducing conformational changes which are then transmitted to the motor.<sup>69,70</sup>

After the motor is jettisoned and before the closure of the vertex by the head completion proteins, the genome is retained in the capsid by the portal protein, which probably acts as a valve preventing leakage of DNA.<sup>59,67</sup> In the  $\phi$ 29 and SPP1 portals the tunnel loops might be involved in the DNA retention. In the P22 portal the barrel is probably responsible for both the head-full signaling and the retention of DNA. Indeed, comparison of the cryo-EM reconstruction of the P22 capsid<sup>69</sup> with the crystal structure of the portal<sup>57</sup> showed that the packaged DNA induced significant conformational changes in the portal resulting in compression of the barrel tube. The cryo-EM reconstruction also

showed the terminus of the genomic DNA entrapped inside the compressed barrel tube. The P22 portal barrel probably has extra functions in the packaging and ejection processes. Olia et al.<sup>57</sup> suggested that during packaging, the barrel undulates in a circular motion while spooling the DNA outwards, onto the capsid shell, in a way reminiscent of a water sprinkler. This allows the capsid to be filled with ordered, circumferential layers of DNA. Another proposed function of the barrel is the stabilization of the linear momentum of the genome as it exits the capsid during infection.<sup>57</sup>

#### Organization of the major capsid protein shell

In the mature *Caudovirales* capsids, subunits of the major capsid protein form pentameric and hexameric capsomers which are arranged on the capsid surface in accordance with the quasi-equivalence principle, proposed by Caspar and Klug.<sup>71</sup> However immature phage capsids show significant departure from the quasi-equivalence and have skewed capsid protein hexamers which are 2-fold rather than 6-fold symmetric.<sup>14-17</sup> Distance between the centers of adjacent capsomers in the mature *Caudovirales* heads is about 140 Å. The arrangement of the major capsid protein subunits in the isometric phage heads is determined by the triangulation number  $T$ , which is equal to the number of major capsid protein subunits in the icosahedral asymmetric unit. As the icosahedral symmetry has 60 asymmetric units, the total number of major capsid protein subunits in the phage head equals  $(60T - 5)$ , where the second term accounts for the absence of the major capsid protein molecules in the portal vertex.

The prolate phage capsids are composed of 2 icosahedral caps and an elongated midsection. The symmetry of the major capsid protein shell in a prolate head is described by 2 triangulation numbers:  $T$  for icosahedral caps, and  $Q$  for the capsid midsection (for details see refs. 72 and 73). The total number of the major capsid molecules per a prolate head is defined by  $(30(T + Q) - 5)$ . These formulae assume that the major capsid protein is present in all pentameric vertices, except the portal vertex; however some phages, like T4, may encode special vertex proteins.<sup>74,75</sup>

Consistent with the wide range of genome and capsid sizes, a large variety of triangulation numbers have been found in *Caudovirales* phages studied by electron microscopy. For example, the prolate head of the small podophage  $\phi$ 29 is characterized by the triangulation numbers  $T = 3$  and  $Q = 5$ ,<sup>76,77</sup> whereas the icosahedral capsid of the giant myophage G has  $T = 52$  symmetry (James Conway and Roger Hendrix, unpublished data). However, capsids of many well-studied phages, like HK97,<sup>78</sup> P22,<sup>16</sup> SPP1,<sup>79</sup>  $\lambda$ ,<sup>80</sup> and T7,<sup>81</sup> have  $T = 7$  *laevo* symmetry.

The major capsid proteins of *Caudovirales* have a similar fold, despite of large divergence in their sequences. The fold was first described in the bacteriophage Hong Kong 97 (HK97), for which the structure of the capsid was determined using X-ray crystallography (Fig. 7).<sup>78</sup> Later, the HK97-fold was found all *Caudovirales*, with known 3D structures (for examples see refs. 16, 75, 77, 80, 81, and 83-85), as well as in herpesviruses,<sup>86</sup> and bacterial enzyme-packaging compartments, called encapsulins.<sup>87</sup>

The HK97 major capsid protein, gene product (gp)5, is a 385-residue molecule, which is proteolytically processed during capsid maturation.<sup>14</sup> The 103-residue N-terminal region of gp5, acting as a scaffold for capsid assembly, is subsequently cleaved

in the maturation process by a head protease, resulting in a 282-residue gp5\*, which is present in the mature capsid.

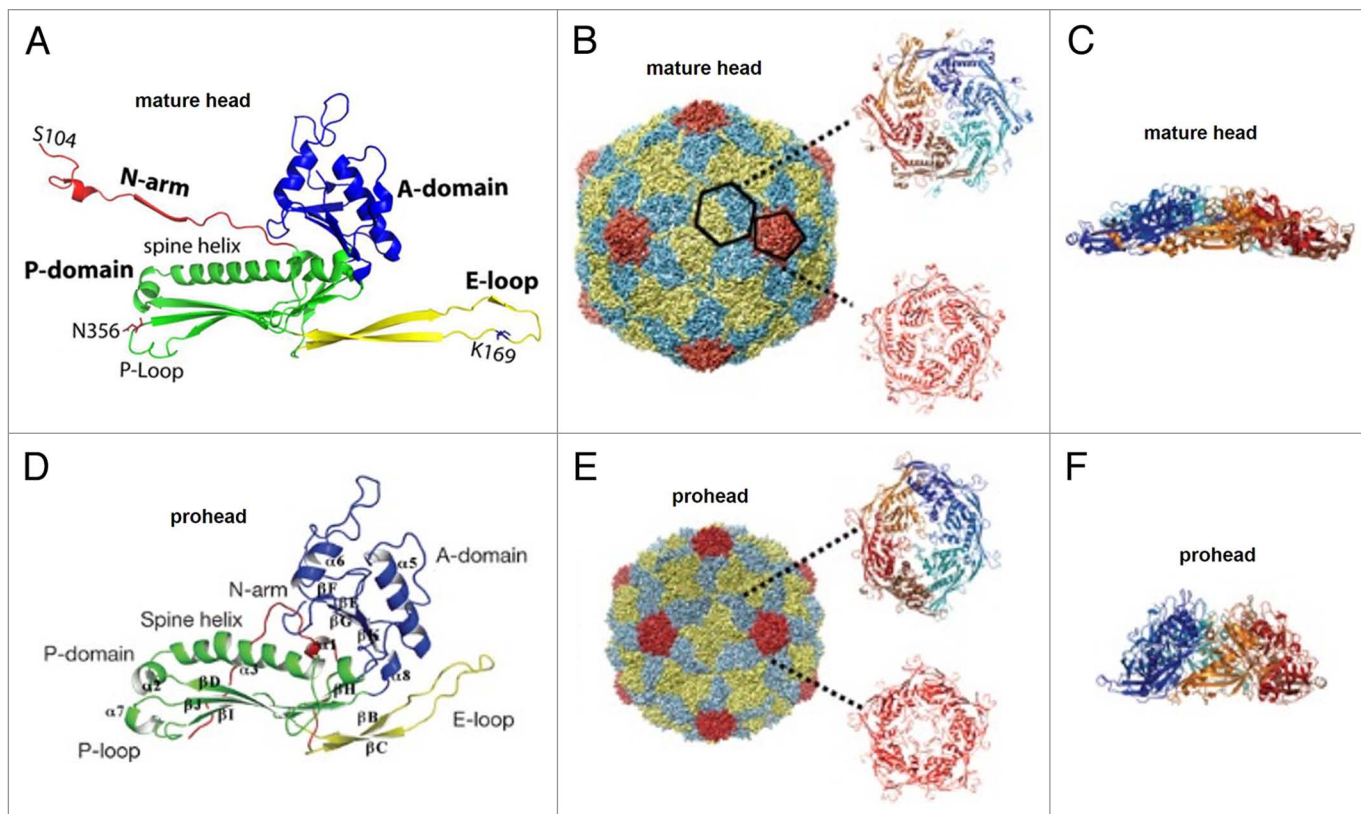
The gp5\* protein, is an L-shaped molecule containing 2 distinct domains (Fig. 7A).<sup>78,88</sup> In the mature capsid, having  $T = 7$  *laevo* symmetry, the protein forms pentameric and hexameric capsomers with the axial (A) domains located near the capsomer's axis, and the peripheral (P) domains located on the capsomer's periphery. The A domain contains a 4-stranded  $\beta$  sheet and 2 helices; and the P domain comprises a 43 Å long "spine" helix packed against a 3-stranded antiparallel  $\beta$  sheet. In addition to the core formed by domains A and P, the gp5\* molecule contains a 50 Å-long extended (E) loop, and a 60 Å-long N-terminal arm.

The inter-subunit interactions in the mature HK97 capsid are extremely extensive.<sup>78,88</sup> Each gp5\* molecule is in contact with 9 other molecules. The N-arm and E-loop of gp5\* molecules show remarkable acrobatic quaternary associations spanning across neighboring subunits within the same capsomer and interacting with subunits in adjacent capsomers. The HK97 capsid is further stabilized by formation of covalent bonds between gp5\* subunits belonging to different capsomers. The side chain of Lys169, located in the E loop of 1 gp5\* subunit, forms the isopeptide bond with the side chain of Asn356 located in the P domain of another gp5\* molecule. Thus each gp5\* molecule in the capsid is covalently bound to 2 other molecules belonging to different capsomers, resulting in 420 isopeptide bonds per capsid. The gp5\* cross-linking results in the formation of covalently-linked protein circles, which, in turn, are organized topologically into a chainmail-like structure. Such cross-linking is specific for phage HK97 and is not found in other well-studied phages.

Mature *Caudovirales* capsids have rather thin protein shells because their major capsid protein subunits are oriented roughly tangentially to the capsid surface. For example, the thickness of the HK97 gp5\* shell is only 18 Å.<sup>78</sup> However the prohead shells are thicker than the shell of the mature virus due to roughly radial orientation of the major capsid protein subunits. Interactions between the major capsid protein subunits are much weaker in the prohead compared with the mature capsid. Studies of the capsid assembly intermediates of the HK97<sup>14,15</sup> (Fig. 7) and P22<sup>16</sup> phages showed that capsid proteins in the prohead and mature head have significant differences in their tertiary structure. In the prohead, the capsid proteins are in a strained higher energy state, characterized by the bent spine helix and the twisted P-domain  $\beta$  sheet (Fig. 7D).<sup>15,16</sup>

The mature capsids protein shells are remarkably stable and can sustain high pressure (~60 atm, in case of  $\phi$ 29<sup>9</sup>) created inside the head by the encapsulated DNA. Although the core of the major capsid protein and its organization into the capsid shell is similar in all *Caudovirales*, many phages have additional domains compared with the HK97 core. These domains lying on the capsid surface appear in cryo-EM reconstructions as additional protrusions (or bumps) compared with the rather smooth HK97 capsid surface. The extra domains are often involved in inter-subunit interactions reinforcing the capsid.

For example, the capsid protein of phage P22 has a ~150-residue ED (extra density) domain which is inserted into domain A of the HK97-like core.<sup>16,89</sup> In the capsid this domain is involved in both



**Figure 7.** Structure of the bacteriophage HK97 major capsid protein shell. (A–C) correspond to the mature head. (D–F) correspond to the prohead. (A and D) display structures of the capsid protein subunits. (B and E) show entire heads and top views of capsid protein hexamers and pentamers. (C) and (F) show side views of capsid protein hexamers. (A) was reproduced from reference 82, (B–F) from ref. 15.

inter-capsomer and intra-capsomer interactions. The ED domain is important for the fold of the capsid protein monomer, and also plays an important role during the capsid maturation.<sup>89</sup> The domain possesses an extended D-loop stabilizing the immature and mature capsids through interactions with a neighboring capsomer.<sup>16</sup>

Bacteriophage  $\phi$ 29 capsid protein has an additional C-terminal domain with an immunoglobulin (BIG2-like) fold.<sup>77</sup> This extra domain stabilizes the capsid by bridging neighboring capsomers and also provides the attachment sites for the head fibers.<sup>77,90</sup>

Phage T4 major capsid protein gp23\*, and its special pentameric vertex protein, gp24\*, have a globular (~60-residue) domain inserted through the E-loop. This domain probably interacts with adjacent molecules, located within the same capsomers, thus stabilizing the capsomer structure.<sup>75,91</sup>

The capsid protein of bacteriophage T7 is made in 2 forms: 10A and 10B.<sup>92</sup> The latter form, has 53 additional residues at the C-terminus, and is produced via a translational frameshift. About one tenth of the subunits in the heads are of the longer protein. The frameshift does not provide additional stability to the T7 capsid; however it is conserved in the related phage T3.<sup>92</sup>

Another strategy for capsid reinforcement is the use of special “cement” proteins. An example of such protein is gpD of phage  $\lambda$ .<sup>80,93</sup> GpD (109 residues) is a monomer in solution, but forms trimers when bound to the capsid surface. The gpD trimers sit

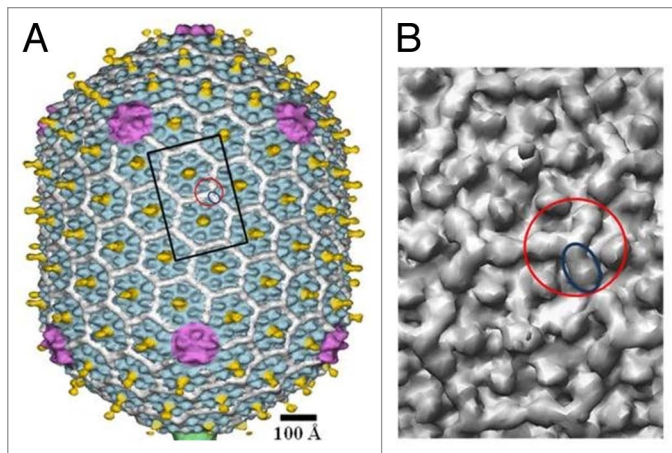
on quasi-3-fold axes reinforcing inter-capsomer interactions. The positions of the gpD trimers correspond to the positions of the covalent cross-links in HK97. Phage  $\lambda$  capsid lacking gpD cannot sustain the pressure of the full-length genome, but can pack 82% of the genomic DNA.<sup>94</sup>

Bacteriophage  $\epsilon$ 15 has a capsid surface protein gp10 (111 residues) which staples adjacent capsomers.<sup>95</sup> Gp10 molecules located near local 2-fold axes form tight dimers, further reinforcing the capsid. Gp10 has the jellyroll-like fold, which is very common for capsids of icosahedral viruses, but was not previously found in *Caudovirales* capsids.

Phage T4 capsid contains the small outer capsid protein, Soc, which binds at the interface between hexameric capsomers and clamps 2 capsomers together (Fig. 8).<sup>96</sup> Further reinforcement of the T4 capsid is provided by trimeric interaction of Soc molecules at the quasi-3-fold axes passing between hexameric capsomers. The Soc protein is dispensable in normal conditions; however it helps to stabilize the capsids against extremes of pH and temperature. Both T4\_Soc and  $\lambda$ \_gpD attach to the capsid surface at the final stage of their maturation. All 3 cement proteins mentioned above reinforce inter-capsomer interactions. However they have different folds and use different sites for capsid attachment.

Some bacteriophages contain capsid decorative proteins which have marginal effect on the capsid stability and protrude far from





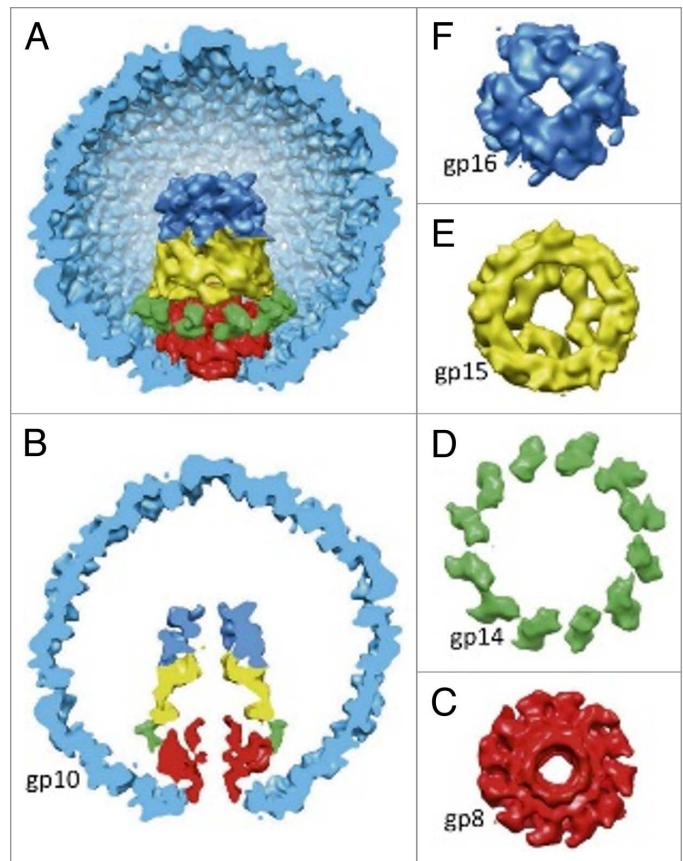
**Figure 8.** Structure of the bacteriophage T4 head ( $T = 13 / Q = 20$  symmetry). (A) Shaded surface representation of the cryo-EM reconstruction<sup>74</sup> viewed perpendicular to the 5-fold axis. The major capsid protein, gp23, is shown in blue, the special vertex protein, gp24, is in magenta, Soc is in white, Hoc is in yellow, and the portal vertex is in green. (B) Close up view of 2 gp23 capsomers corresponding to the rectangle outlined in black in (A). One Soc monomer is outlined by a blue ellipse; one Soc trimer is outlined by a red circle. The figure was modified from reference 96.

the capsid surface. The example of such protein is the highly immunogenic outer capsid protein (Hoc) of T4-like phages (Fig. 8). T4 Hoc monomers (404 residues) attach to the capsid at the center of each hexameric capsomer with a total of 155 molecules per capsid.<sup>74</sup> Each Hoc molecule contains 4 domains, 3 of which have immunoglobulin-like folds.<sup>97-99</sup> Immunoglobulin domains are present on the surfaces of ~25% of Caudovirales<sup>100,101</sup> indicating that these are beneficial for the phage life cycle. The presence of a large number of immunoglobulin domains probably makes the virion surface “sticky” and help the phage to bind reversibly to different surfaces. For example, the phage can attach to bacteria (without infecting it) and use it as a vehicle to travel to different locations. Immunoglobulin domains may also help the virus to bind to surfaces of eukaryotic organisms and therefore be advantageous for phages propagating on symbiotic bacteria.<sup>102</sup> It was recently demonstrated<sup>103</sup> that Hoc helps the T4 virions to adhere to metazoan mucosal surfaces via interactions with mucin glycoproteins. As mucus layers provide favorable habitats for bacteria, such attachment likely benefits the phage via more frequent interactions with its potential hosts.<sup>103</sup> Furthermore, Barr et al.<sup>103</sup> stated that the increased concentration of lytic phages on mucosal surfaces provides a metazoan immune defense affected by phage lysis of incoming bacterial pathogens.

Other examples of lengthy protruding capsid proteins are gp8.5 of phage  $\phi 29$  (280 residues), and gp12 of phage SPP1 (64 residues). Unlike Hoc, these proteins are trimeric. SPP1\_gp12 binds at the centers of capsomers,<sup>79</sup> while  $\phi 29$ \_gp8.5 binds at quasi-3-fold positions.<sup>77,90</sup>

#### Internal capsid proteins

Most *Caudovirales* phages contain internal proteins inside their capsid. The proteins can be dispersed within the capsid interior or form ordered structures which occupy a substantial fraction of the capsid volume.<sup>104</sup> Some of these proteins (“pilot

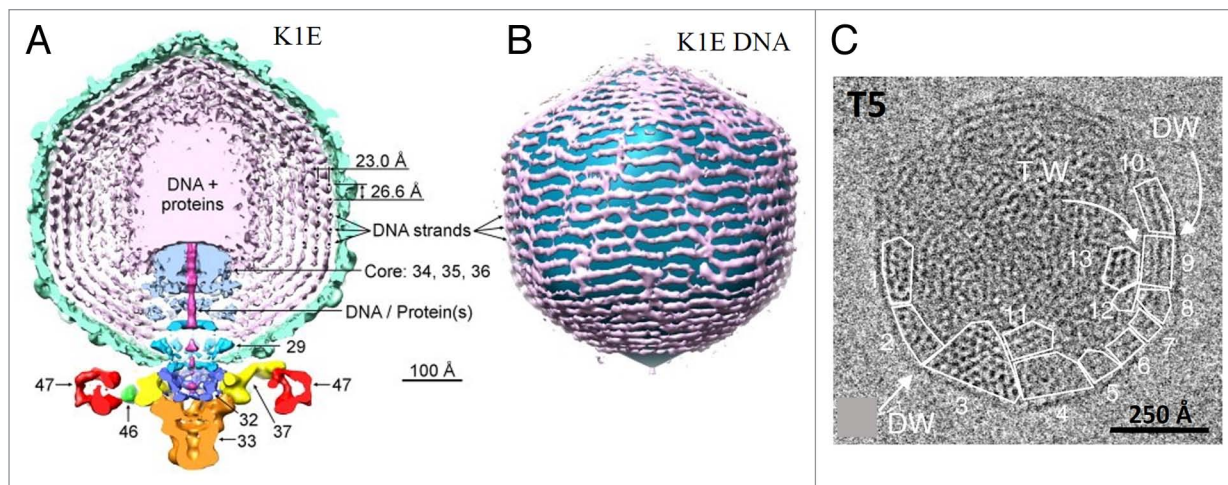


**Figure 9.** Structure of the bacteriophage T7 internal capsid core. The portal protein, gp8, is in red. The core proteins gp14, gp15, and gp16 are in green, yellow, and blue, respectively. (A and B) display side views of the core. (C and D) show top views of the core layers. (E) displays the top view of the portal protein. The figure was reproduced from reference 81.

proteins”) are injected into the host cell during infection prior or along with the phage DNA.

For example, phage T4 capsid contains ~40 copies of the gpalt protein (75 kDa), which are probably located near or on the portal protein.<sup>13,104</sup> Gpalt is an ADP-ribosyltransferase which modifies the host’s RNA polymerase resulting in preferential recognition of phage early promoters.<sup>105</sup> T4 also contains the gp2 protein, which is likely associated with the ends of the phage DNA, protecting them from exonucleotic degradation.<sup>106</sup> In addition, T4 capsid contains more than 1000 molecules of 3 small internal proteins (8–20 kDa), which are dispersed within the DNA.<sup>13,104</sup> One of these proteins (IPI) helps to protect the phage genome from degradation by the host’s endonucleases.<sup>107,108</sup>

Capsids of T7-like phages contain internal cores assembled on the portal protein.<sup>81</sup> The cores have a central channel for DNA passage. In T7, the core is composed of 12 copies of gp14, 8 copies of gp15, and 4 copies of gp16 (Fig. 9). During the infection process these proteins exit the capsid and form the extension of the phage tail that spans the host’s membranes and the periplasmic space.<sup>109</sup> Inside the capsid, the core proteins are arranged in 3 layers with 12-, 8-, and 4-fold symmetry, respectively. In different phage particles these layers have different



**Figure 10.** Structure of the packaged DNA within phage capsids. **(A and B)** Organization of DNA in phage K1E, whose capsid contains an internal protein core. **(A)** displays a 75 Å thick slice containing the central section of the K1E cryo-EM map. Several DNA strands in the outermost layers are marked with black dots to emphasize their hexagonal packing. **(B)** shows the outermost layer of DNA, most proximal to the inner capsid wall. The observed DNA pattern is consistent with the coaxial spool model. **(C)** Organization of DNA in the T5 capsid which does not have the internal core. A cryo-EM image is shown of a T5 capsid. The hexagonal DNA monodomains are underlined, and positions of dislocation walls (DW) and twist walls (TW) are shown. The scale bar represents 250 Å. **(A and B)** were reproduced from reference 84, **(C)** from reference 116.

combinations of relative orientations, resulting in structural polymorphism of T7 virions.<sup>81</sup> Therefore the classical cryo-EM asymmetric reconstruction, which assumes that all particles have identical structures, cannot resolve structural details of the core. However, the structure of the core was determined using the focused asymmetric reconstruction approach (Fig. 9).<sup>81</sup> The axis of the core was found to be slightly tilted relatively to the 5-fold axis of the capsid. Based on this observation, it was suggested<sup>81</sup> that during DNA packaging the core precesses around the capsid axis to assist DNA spooling.

The podophage N4 capsid contains ~4 copies of viral RNA polymerase, gp50 (380 kDa). The gp50 protein is injected into the host during infection. The cryo-EM studies of N4 virion showed that gp50 is localized above the portal protein and forms the base of the internal core.<sup>110</sup>

The capsid of giant bacteriophage  $\phi$ KZ contains a large internal protein body which is ~1050 Å long and ~240 Å wide.<sup>111</sup> The axis of the body is tilted by ~22° relative to the phage axis. The 2 ends of the internal body are anchored on opposing hexameric capsomers on either side of the capsid.<sup>111</sup> The inner body contains 100–200 copies of each of 5 major proteins, as well as a number of minor proteins.<sup>104</sup> The axis of the DNA spool inside the  $\phi$ KZ capsid appears to coincide with the inner body axis,<sup>111,112</sup> suggesting that the body plays a role in the DNA organization during packaging.

#### Structure of the packaged DNA

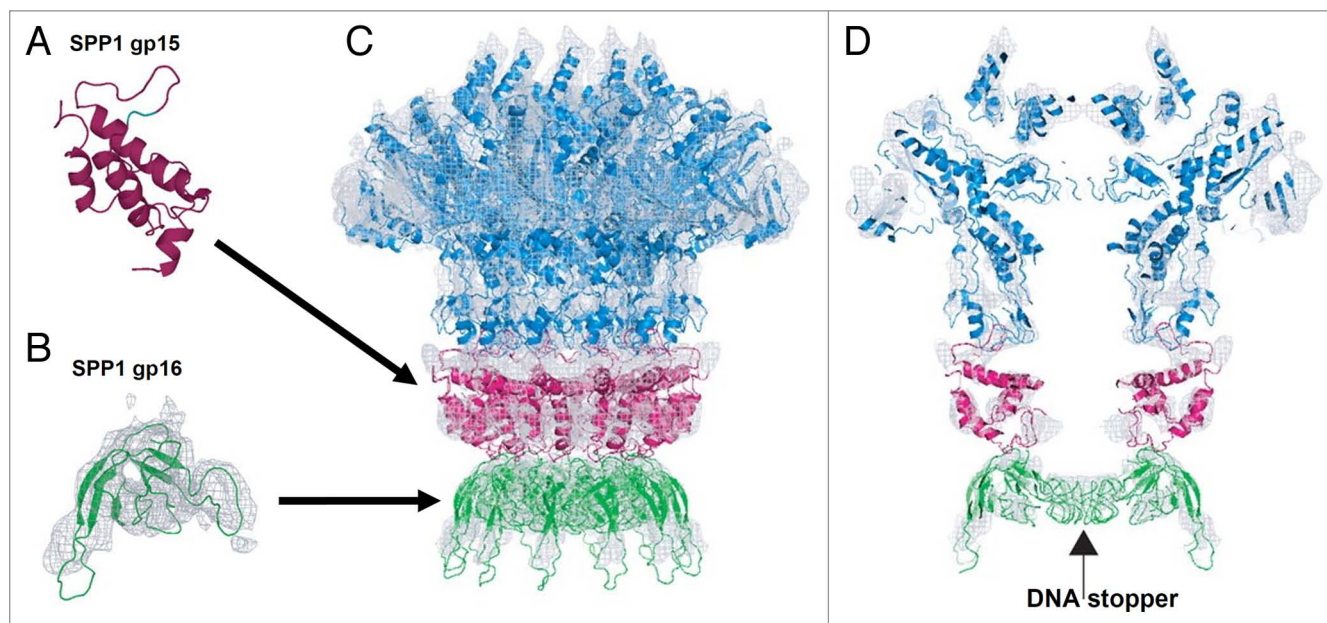
Phage chromosomes are packed to near crystalline density of ~500 g/l. Asymmetric cryo-EM reconstructions of phage capsids are not able to visualize the structure of whole chromosomes, suggesting that the path of DNA molecule inside the capsid varies among individual phage particles. Full phage capsids, show local hexagonal packing of DNA helices with an inter-helix distance of 25–28 Å, depending on phage species.<sup>113</sup> Several models have

been proposed for phage DNA organization.<sup>104</sup> For the phages containing an internal protein core, like T7,<sup>81</sup> K1E,<sup>84</sup> P-SPP7,<sup>114</sup> the coaxial inverse spool model<sup>115</sup> is the most widely accepted (Fig. 10A and B). In this model the part of DNA which enters the capsid first is located near the capsid protein shell while the last portion of DNA is in the central region of the capsid. The axis of the DNA spool is aligned with the axis of the capsid core. The asymmetric reconstruction of the podophage C1, which does not have an internal capsid core, is also consistent with the spool model.<sup>85</sup> A spool model was also proposed for phage T4, although the axis of the spool was perpendicular to the axis of the tail.<sup>117</sup>

The spool model, however, does not always apply to the capsids without internal cores.<sup>113</sup> Cryo-EM analysis of T5 capsids showed that in the full heads the DNA is organized into a set of hexagonal domains separated by defect walls (twist, bent, and dislocation walls) to form a 3D lattice (Fig. 10C).<sup>113,116</sup> In domains, located near the capsid protein shell, the directions of the DNA helices are parallel to the capsid walls. The twist walls, separating the domains, are generally parallel to the capsid walls, whereas the translocation walls are radial to the capsid surface. Analysis of T5 phages which ejected different parts of their genome showed that DNA fills the capsid uniformly irrespective of the length of the genome still present in the capsid. During ejection the structure of the DNA becomes liquid crystalline and then isotropic.<sup>113,116</sup>

#### The head completion proteins

The *Siphoviridae* and *Myoviridae* phages typically contain 2 head completion proteins each of which assembles into a ring below the portal (Fig. 11).<sup>30</sup> In the mature virion the 2 rings are sandwiched between the portal protein and the tail. Mutant capsids lacking the head completion proteins cannot bind tails and lose their DNA. In the *Siphoviridae* phages SPP1 and HK97, the ring closest to the portal is formed by dodecamers of gp15 (102 residues)<sup>118</sup> and gp6 (108 residues),<sup>119</sup> respectively. The



**Figure 11.** Structure of the bacteriophage SPP1 tail completion proteins. (A and B) display ribbon diagrams of SPP1\_gp15 and SPP1\_gp16 proteins, respectively. (C and D) show the portal protein (blue) and 2 rings formed by the tail completion proteins below the portal (magenta and green). Reproduced from reference 118.

SPP1\_gp15 (Fig. 11A) and HK97\_gp6 proteins have a similar fold characterized by a 3-helix bundle stabilized by a small hydrophobic core. A similar fold was found in the podophage P22 gp4 protein which also forms dodecameric rings below the portal,<sup>57</sup> and in a putative neck protein YqbG, of a *Myoviridae* prophage found in the *B. subtilis* genome. Hence the helix-bundle fold seems to be very common for the phage neck proteins located immediately below the portal. However the bacteriophage  $\lambda$  protein gpW (68 residues), which had been predicted to bind to the portal, has a different fold.<sup>120</sup>

The family of proteins which form the second ring below the portal, that binds to the phage tail, is represented by gp16 of SPP1 (Fig. 11B, 109 residues),<sup>118</sup> gpFII of phage  $\lambda$  (117 residues),<sup>121</sup> and XkdH of the *Myoviridae* prophage PBSX, found in the *B. subtilis* genome. These proteins have a conserved core formed by a  $\beta$ -sandwich. Similar organization of the core was found in a very large group of phage tail proteins including the tail-tube and the central baseplate proteins (see below), suggesting their common evolutionary origin.<sup>122,123</sup>

The head completion proteins are characterized by the presence of large unstructured regions. These proteins are usually monomers in solution and do not form complexes when mixed with other phage proteins. Oligomerization of these proteins in solution may be detrimental for production of infectious virions.<sup>124</sup> However, the 2 rings of the head completion proteins assemble rapidly on the portal vertex after termination of DNA packaging, to seal the portal and create the interface for tail attachment.<sup>30,118,125</sup> The interaction of proteins with other proteins within the assembling virion results in the folding of unstructured regions. Such conformational switching upon binding to assembly intermediates is a common mechanism for

the control of sequential incorporation of proteins into the phage particle.

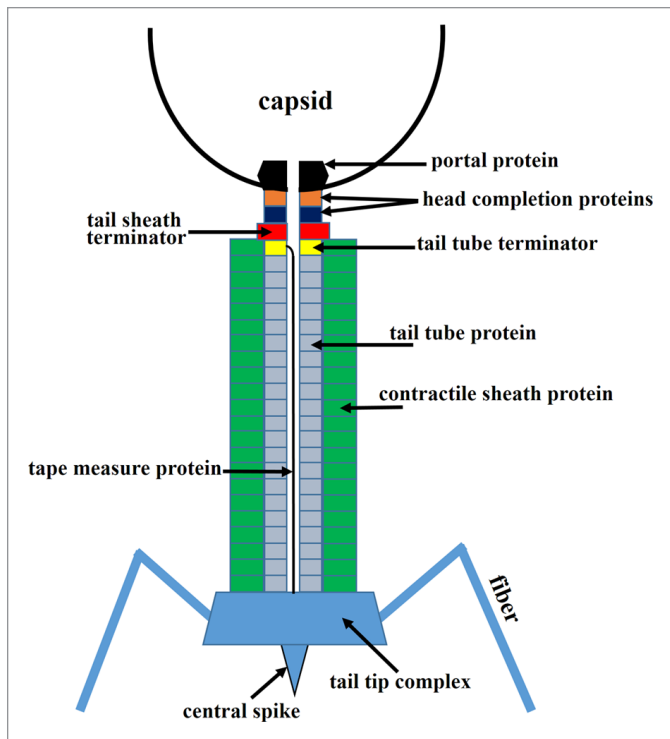
Lhuillier et al.<sup>118</sup> suggested a mechanism for the viral DNA gatekeeping in phage SPP1, in which the premature leakage of DNA is prevented by the stopper protein gp16. The central channel in the gp16 dodecamer is closed by 12 loops coming from different gp16 subunits and forming a parallel inter-subunit  $\beta$ -sheet.

### Structure of the Phage Tails

Bacteriophage tails are fascinating molecular machines created to recognize the host cells, penetrate the cell envelope barrier and deliver DNA into the cytoplasm. The *Caudovirales* tails have very different size and morphology with lengths ranging from  $\sim 100$  Å, in some podophages to  $\sim 8000$  Å in some siphoviruses.<sup>7,126</sup> The *Podoviridae* tails usually assemble on the capsid portal vertex whereas the tails of *Siphoviridae* and *Myoviridae* phages assemble via an independent pathway and associate with the capsid at the final stage of virion assembly.

#### Long tails of the *Siphoviridae* and *Myoviridae* phages

*Siphoviridae* and *Myoviridae* tails consist of the tail tip complex, which is responsible for the host recognition and initiation of the infection process, the tail tube, which makes a conduit for genomic DNA, and the terminator proteins, which terminate the tail assembly and create the binding interface for head attachment (Fig. 12).<sup>127,128</sup> The tail of *Myoviridae* phages also contains a contractile sheath surrounding the tail tube.<sup>128,129</sup> The tail tip complex has different size and morphology in different phages. Phages which use protein receptors for cell binding usually have conical tail tips (e.g., SPP1<sup>130</sup> and  $\lambda$ ), whereas phages,



**Figure 12.** Schematic representation of a *Myoviridae* phage tail and neck. *Siphoviridae* phages would be missing the tail sheath (green) and the tail sheath terminator protein (red).

using polysaccharide receptors usually have elaborate baseplates at the distal end of the tail (e.g., lactococcal phages TP901-1<sup>6,131</sup> and p2<sup>132,133</sup>). Furthermore phages usually have side tail fibers or spikes, attached to the periphery of the tail tip complex, as well as a central tail spike.

In the *Siphoviridae* and *Myoviridae* tails, the tail tip complex assembles first and serves as a platform for polymerization of the tail tube protein subunits. The tail tip complex attaches the tape measure, or ruler, protein, which determines the length of the tail tube.<sup>127,134,135</sup> The tape measure protein is extended through the central channel of the tail tube as it is being built. The polymerizing tail tube protein molecules form a stack of hexameric rings on top of the tail tip complex,<sup>136</sup> which encircle the tape measure protein. When the end of the growing tube approaches the end of the ruler protein, the polymerization is stopped by attachment of the hexameric ring of the tail tube terminator protein to the top of the tube,<sup>136</sup> and, probably, to the N-terminal part of the ruler protein. *Myoviridae* phages possess a contractile tail sheath which assembles around the tail tube. The polymerization of the tail sheath protein also starts at the tail tip complex (the baseplate) and is propagated to the end of the tube. The sheath subunits arrange into a stack of hexameric rings, rotated relative to each other, thus creating a 6-start helix.<sup>129,137</sup> The polymerization of the sheath is terminated by the hexameric sheath terminator protein which binds on top of the tail tube terminator and interacts with the last ring of the sheath (Fig. 12).<sup>4</sup> In the *Myoviridae* phages the sheath terminator protein creates the interface for head attachment, whereas in the

*Siphoviridae* phages, which do not have the sheath, the capsid binding interface is formed by the tube terminator protein.

#### *The tape measure protein*

The tape measure protein is extended along the assembled tail tube. The exact stoichiometry of the tape measure protein is unknown, although the copy number was estimated to be 6–7 for phage  $\lambda$ .<sup>138</sup> Secondary structure predictions suggest that the protein is mostly  $\alpha$ -helical through its entire length. The length of the tail tube in different phage species agrees approximately with the length of the ruler protein if it is modeled as a continuous extended helix. During the infection process a signal should be transmitted from the tail tip complex to the neck proteins to open the portal vertex for DNA exit. As the tape measure protein spans the entire tail tube, it is likely to be involved in the signal transmission. Alternatively the signal may be transmitted through the tail tube protein.<sup>130</sup> The tape measure protein should exit the tail tube during infection before the DNA is ejected. In *Siphoviridae* phages the tape measure protein may be involved in the creation of a channel for DNA translocation through the cell wall.<sup>127</sup>

#### *The tail tube protein*

The tail tube proteins usually do not polymerize until they encounter the tail tip complex. In solution these proteins typically contain many flexible loops, impeding the production of well-diffracting crystals. The structures of the 2 domains of the tail tube protein, gpV, of phage  $\lambda$  were determined using nuclear magnetic resonance (NMR) spectroscopy.<sup>139,140</sup> The N-terminal domain of gpV (gpV<sub>N</sub>, residues 1–160) is necessary and sufficient to make the  $\lambda$  tail tube (Fig. 13A),<sup>139</sup> whereas the C-terminal immunoglobulin-like domain (residues 161–246) plays an accessory role, probably helping the virus to attach reversibly to different surfaces. The N-terminal domain is composed of 2 antiparallel sheets, which form a twisted  $\beta$ -sandwich, flanked by an  $\alpha$ -helix. This structure is similar to the tail tube protein, XkdM, from the PBSX prophage of *B. subtilis*, which encodes *Myoviridae* particles, indicating the common evolutionary origin of the *Siphoviridae* and *Myoviridae* tail tubes. The gpV N-terminal domain is also similar to the tube proteins, Hcps, from the bacterial secretion system VI, which is structurally related to phage tails (Fig. 13B and C).<sup>139,142</sup> The Hcp proteins form hexameric rings of  $\sim 90$  Å external diameter and  $\sim 40$  Å height, with the inner pore having a  $\sim 40$  Å diameter. These dimensions are close to those of the tail tube protein rings in phages, allowing modeling of homologous phage tail tube structures.<sup>139</sup>

The tail tube protein has structural similarity to a large family of *Siphoviridae* and *Myoviridae* proteins, including the tail terminator proteins, the head completion proteins forming the head-tail binding interface, and the proteins located in the center of the phage baseplate (see below, and refs. 122,123, and 142). All these proteins have probably evolved from a common ancestor. In phage genomes the genes, encoding these proteins, are usually located close to each other suggesting that they appeared by gene duplication, followed by mutation and selection.

Unlike most other phages, T5 has a 3-fold- rather than 6-fold-symmetric tail tube.<sup>143</sup> Its tail tube protein is about twice as big as other tail tube proteins. Therefore it is possible that the T5

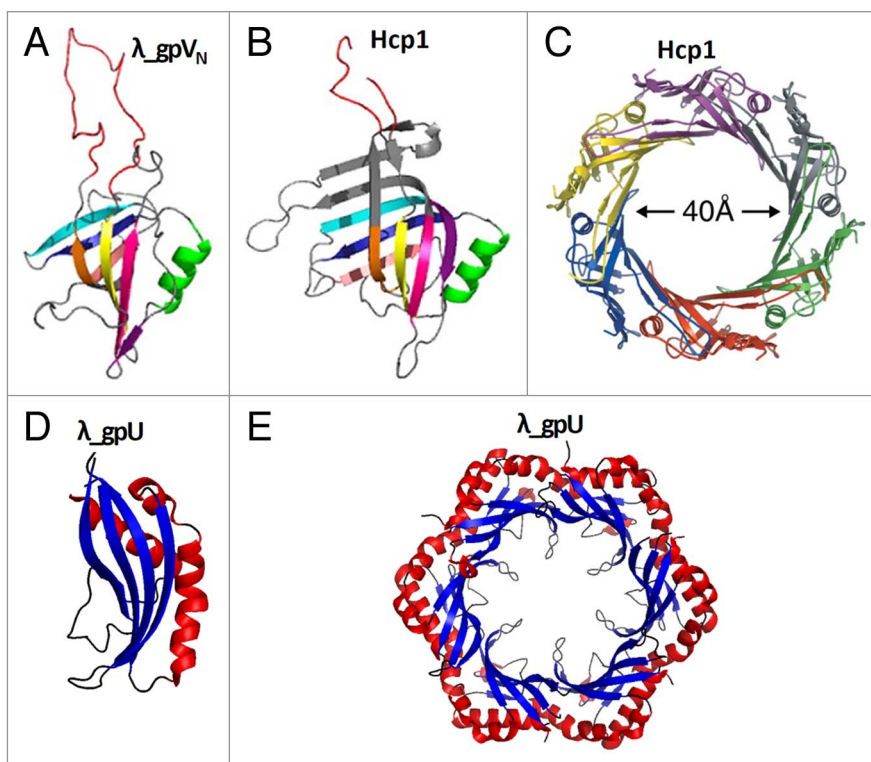
tail tube protein has 2 domains with similar folds, and that 3 copies of the protein form pseudo-hexameric rings in the tail tube.<sup>127,143</sup> Such pseudo-hexameric rings are formed by the baseplate hub proteins (see below).

#### The tail terminator proteins

The tail terminator proteins are critical components for the phage assembly. Tails lacking the terminator proteins cannot attach to the heads. In the absence of the tail terminator proteins the tail tube (and the contractile sheath in *Myoviridae* phages) can polymerize (though at a slower rate) beyond the length determined by the tape measure protein resulting in aberrant tails of different sizes. The structure of tail tube terminator protein, gpU (131 residues), of phage  $\lambda$  has been determined using NMR and X-ray crystallography (Fig. 13D and E).<sup>136,144</sup> In one of the crystal forms gpU forms hexameric rings, as is presumably in the phage particle (Fig. 13E). The fold of gpU is defined by an antiparallel, 4-stranded  $\beta$ -sheet that is decorated on one side by 3  $\alpha$ -helices.<sup>144</sup> Although the overall fold of gpU is somewhat different from that of the tail tube protein, gpV, a similar portion of the tertiary structure was detected in both proteins, suggesting their common evolutionary origin.<sup>122</sup> Myophage T4 has 2 terminator proteins: gp3, which (like gpU of phage  $\lambda$ ) stops the polymerization of the tail tube, and gp15, which terminates the polymerization of the contractile sheath.<sup>145</sup> In the T4 virion, gp3 (175 residues) and gp15 (272 residues) make hexameric rings, with the gp3 ring located on top of the tail tube and the gp15 ring docked on top of gp3. Although the gp15 protein is twice as large as gpU of phage  $\lambda$ , the structure of the central part of the gp15 hexamer is similar to that of gpU.<sup>4</sup> In comparison with gpU, the gp15 hexamer has additional structural elements located in its periphery, designed to interact with the contractile sheath.<sup>4</sup>

#### The contractile sheath structure

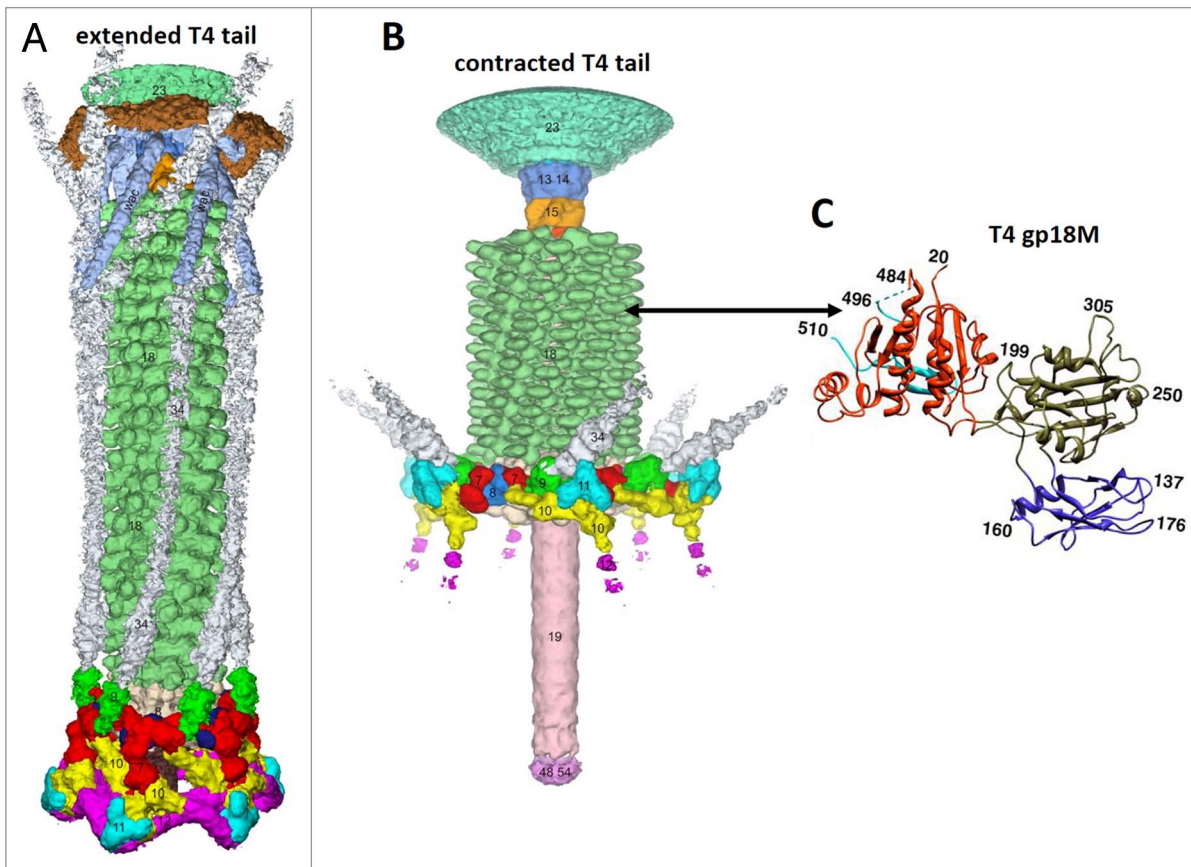
The bacteriophage T4 tail is the most extensively studied contractile system.<sup>128,129,137,146,147</sup> The structure of the T4 tail before and after contraction has been determined using cryo-EM (Fig. 14A and B).<sup>137,147</sup> The T4 tail sheath is composed of 138 subunits of the gp18 protein (659 residues), organized into a 6-start right-handed helix. In the extended tail, the sheath is  $\sim 240$  Å wide and  $\sim 925$  Å long, with the pitch and twist of the helix being 40.6 Å and 17.2°, respectively. Because the tail tube density is smooth in the T4 cryo-EM reconstructions, it is not possible to conclude if it has the same helical symmetry as the sheath. The extended sheath represents a metastable high-energy structure akin to an extended spring. Before and after the contraction, the sheath is attached to the baseplate at one end and to the neck at the other end. As the sheath contracts the tail



**Figure 13.** Tail tube and tail tube terminator proteins. (A) Structure of the N-terminal domain of the bacteriophage  $\lambda$  tail tube protein, gpV. This domain is necessary and sufficient for tail tube formation. (B) Structure of the tube protein Hcp1 from the bacterial secretion system VI. (C) Hexameric ring of Hcp1. (D) Structure of the tail tube terminator protein gpU of phage  $\lambda$ . (E) A hexameric ring of gpU. (A and B) were reproduced from reference 139. (C) was reproduced from reference 141.

tube (with the cell-puncturing tip) is pushed through the center of the baseplate and penetrates into the cell wall. The sheath contraction causes dramatic rearrangement of the gp18 subunits. Each subunit moves by  $\sim 50$  Å away from the tail axis and rotates by  $\sim 45^\circ$ .<sup>128,129,146</sup> The sheath contraction drastically changes the environment of gp18 subunits within the sheath, resulting in stronger inter-subunit interactions. The contracted sheath has a length of 420 Å, and a width of 330 Å. It is also a 6-start helix with the pitch and twist of 16.4 Å and 32.9°, respectively.

The T4 tail sheath subunit, gp18, consists of 4 domains. The structure of the gp18 mutant containing domains I–III has been determined using X-ray crystallography (Fig. 14C).<sup>146</sup> The domain organization of gp18 can be described as a series of insertion domains, similar to a set of Russian Dolls. The domain I in gp18 is an insertion of domain II, which is itself an insertion of domain III, which is an insertion of domain IV. Such domain structure suggests that domain IV is the most ancient part of the protein and that other insertion domains were added later during evolution. Domain IV which is mostly composed of the C-terminal part of the protein interacts with the tail tube in the extended tail. Domains II and III and IV are involved in the interaction with other gp18 subunits within the extended and contracted sheath, while domain I is located at the periphery of the sheath and is exposed into the solvent.



**Figure 14.** Structure of the bacteriophage T4 tail in the extended (A) and contracted (B) conformations. Constituent proteins are shown in different colors. The contractile tail sheath is shown in green. (C) Structure of the tail sheath protein mutant, gp18M, containing 3 out of 4 domains of gp18. Domain I is in blue, domain II is in olive green, domain III is in orange red. Residues 454–470 and the last 27 C-terminal residues of gp18M are shown in cyan. (A) was reproduced from reference 137, (B) from reference 147, and (C) from reference 146.

Fitting of the gp18 structure into the cryo-EM reconstructions of the extended and contracted tails showed that gp18 subunits move as rigid bodies during the tail contraction without refolding or significant change in the relative orientations of the domains.

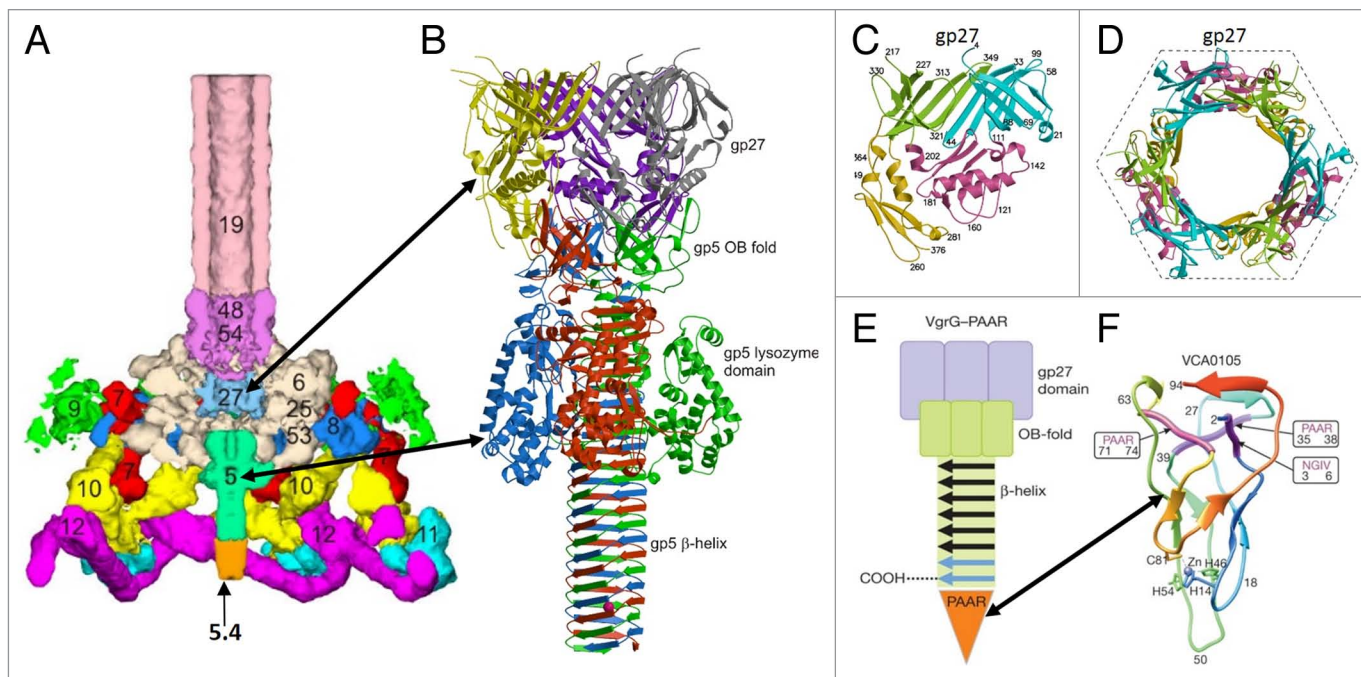
Apart from T4 gp18, structures of 2 putative tail sheath proteins, DSY3957 and LIN1278, encoded by prophages have been determined by a structural genomics consortium.<sup>148</sup> Those structures contain the C-terminal domain IV, absent in the gp18 crystal structure. In addition, a partial structure of the tail sheath protein from the giant bacteriophage  $\phi$ KZ has been determined.<sup>148</sup> Despite the low sequence similarity, these proteins have similar structures, indicating that the sheath proteins of tailed phages have evolved from a common ancestor. In the DSY3957 crystal structure the N-terminal arm (residues 15–25) of the protein is a part of a  $\beta$ -sheet in the C-terminal domain of a symmetry related molecule. Based on this observation, Leiman and Shneider<sup>128</sup> proposed that in the phage sheaths such chain swapping cross-links neighboring subunits stacked on top of each other, thus helping to maintain the sheath integrity.

#### *The phage tail-tip complexes*

Bacteriophage baseplates vary in size and complexity. The most extensively studied *Mycoviridae* baseplate is that of phage T4

(Fig. 15A), which is composed of  $\sim$ 140 polypeptide chains of at least 16 different proteins (for a recent review see ref. 129). The T4 baseplate attaches 6 long tail fibers and 6 short tail fibers to its periphery. The long tail fibers, with a length of  $\sim$ 1450 Å, bind reversibly to the *E.coli* lipopolysaccharide (LPS) and/or OmpC molecules, and serve for primary host recognition.<sup>151</sup> Upon binding of the long tail fibers a signal is sent to the baseplate causing the 6 short tail fibers to extend and bind irreversibly to the LPS. On attachment, the baseplate conformation switches from the dome-shaped to the star-shaped which, in turn, triggers the contraction of the tail sheath. The structures of T4 baseplate in the dome-shaped and star-shaped conformations have been determined using cryo-EM (Fig. 14A and B; Fig. 15A),<sup>137,147</sup> and structures of 9 baseplate proteins have been determined using X-ray crystallography.<sup>129</sup> Comparison of the X-ray structures showed that some proteins, located in the T4 baseplate periphery (gp10, gp11, and gp12) evolved from a common primordial fold via gene duplication,<sup>152</sup> suggesting an evolutionary mechanism for developing complex baseplates.

Most of the T4 baseplate structure, obeys the 6-fold symmetry. However, the central hub of the baseplate is formed by the trimeric gp27 protein. The gp27 molecule (391 residues) consists of 4 domains, 2 of which have a fold resembling that



**Figure 15.** Structure of the bacteriophage T4 baseplate and cell-puncturing device. **(A)** Cross-section view of the T4 baseplate. Constituent proteins are shown in different colors and are identified with their corresponding gene names. **(B)** Structure of the gp5-gp27 trimer is shown as a ribbon diagram in which each chain is shown in a different color. **(C)** Domains of gp27. The 2 homologous domains are colored in light green and cyan. These domains are similar to the tail-tube proteins. **(D)** The pseudo-hexameric ring formed by the tail-tube like domains of the gp27 trimer. **(E)** Schematic representation of a spike from the bacterial secretion system VI. The spike consists of the VgrG and PAAR-repeat proteins. **(F)** Structure of the PAAR-repeat protein. **(A)** was reproduced from reference 149. **(B–D)** were reproduced from reference 129. **(E and F)** were reproduced from reference 150.

of the tail-tube proteins (Fig. 15B–D). In the baseplate these domains form a pseudo-hexameric ring, analogous to the tail-tube protein hexamers. The gp27 homologs, forming pseudo-hexameric rings, were also found in non-contractile *Siphoviridae* tails (see below and ref. 132), suggesting that such a baseplate hub structure is common in phages. The gp27 protein binds the central tail spike made by a trimer of gp5 protein.<sup>153</sup> T4 gp5 (575 residues) has 3 domains (Fig. 15B). The N-terminal domain has an oligosaccharide/oligonucleotide-binding (OB) fold, the central domain has a lysozyme-like fold, and the C-terminal domain forms an intertwined  $\beta$ -helix. The tip of the gp5  $\beta$ -helix is capped by a monomeric protein, recently identified as gp5.4 (97 residues), which sharpens the central spike.<sup>150</sup> During the infection process the (gp5)<sub>3</sub>-gp5.4 spike punctures the cell membrane and the lysozyme domain of gp5 digests the peptidoglycan in the *E. coli* periplasm.

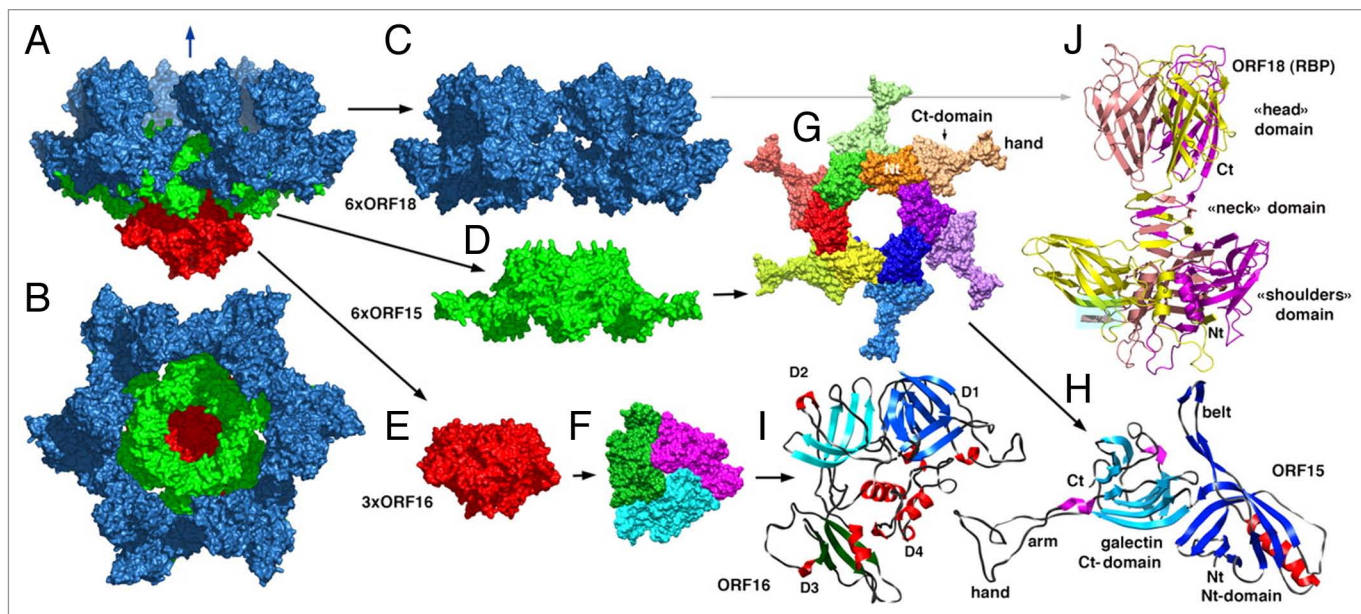
Similar organization of the central tail spike was observed in the bacterial secretion system VI.<sup>142,150</sup> This system is responsible for translocation of toxic effector molecules, allowing predatory bacteria to kill prokaryotic and eukaryotic prey cells. The secretion system VI VgrG proteins correspond to both gp27 and gp5 of T4, although without the lysozyme domain (Fig. 15E). The tip of the VgrG  $\beta$ -helix is capped by a spike sharpening protein, characterized by PAAR sequence repeats, and containing a zinc atom. In the secretion system VI, the VgrG and/or PAAR-repeat proteins can be fused with effector domains which have cytotoxic effects (Fig. 15E and F).<sup>150</sup>

The central cell-puncturing spikes of phages P2 and  $\varphi$ 92<sup>154</sup> have a structure similar to that of gp5 of T4, without the lysozyme domain, although there are differences in how the 3 monomer chains intertwine to form the  $\beta$ -helix. The P2 and  $\varphi$ 92 phages do not encode a separate monomeric protein which binds to the very tip of the spike. Instead their gp5 homologs have a C-terminal tip-sharpening extension characterized by the presence of an iron ion.<sup>154</sup>

The best studied *Siphoviridae* baseplates are those of phages p2 and TP901-1 infecting Gram-positive *Lactococcus lactis*.<sup>131,132</sup> Both these phages use carbohydrate molecules as receptors for attachment to the host. The p2 baseplate (Fig. 16) is composed of 3 different proteins: Dit (ORF15, 298 residues), Tal (ORF16, 398 residues), and the receptor binding protein (ORF18, 264 residues).<sup>132</sup> The Dit protein forms hexameric rings below the tail tube. The central part of the Dit hexamer, made by the N-terminal domains (residues 1–132) of 6 monomers, is very similar to the tail tube protein hexamers (Fig. 16G). The C-terminal domains of 6 Dit molecules, attach trimers of the receptor binding protein, resulting in 18 copies of the receptor binding subunits per phage.

The trimeric Tal protein (ORF16) attaches to the bottom of the Dit ring. The fold of Tal is similar to that of T4 gp27 (Fig. 16E, F, and I). Like gp27, the Tal monomer is composed of 4 domains, 2 of which have the tail-tube-like fold. Contrary to T4, the p2 baseplate does not have any central tail spike attached to the Tal protein.

The p2 baseplate has 2 conformational states: the “rest” state and the “active” state. The switching from the rest to the



**Figure 16.** Crystal structure of the phage p2 baseplate and its components. (A) View of the baseplate surface; ORF15 (Dit) is in green, ORF16 (Tal) is in red, and ORF18 (receptor binding protein) is in blue. The blue arrow indicates the position of the quasi 6-fold axis and points toward the rest of the phage tail and the capsid. (B) The baseplate has been rotated by 90° around the horizontal axis. The central channel formed by ORF15 hexamer is closed by the ORF16 trimeric dome. (C) The arrangement of ORF18 as 6 trimers. (D) Hexameric ORF15 (Dit). (E) Trimeric ORF16 (Tal). (F) View of ORF16 trimer rotated 180° relative to baseplate (B) with a different color for each subunit. (G) ORF15 hexamer is viewed in the same orientation as in (B). Each subunit, as well as the N- and C-terminus domains, have a different color. The central channel is ~40 Å wide. (H) Ribbon view of the ORF15 (Dit) subunit. (I) Ribbon view of ORF16 (Tal) subunit. The 4 domains have been identified by D 1 to 4 and different colors of the β-strands. These domains correspond to those identified in gp27 from phage T4. (J) Ribbon view of the receptor-binding protein ORF18 trimer, with a different color for each chain. Reprinted from reference 132.

active state is triggered by  $\text{Ca}^{2+}$  ions, which are mandatory for p2 infection. In the rest conformation the host-recognition domains of the receptor binding proteins point toward the phage head. In the presence of  $\text{Ca}^{2+}$  the receptor-binding protein trimers rotate by ~200°, and acquire the “down” orientation, presenting their binding sites to the host. The baseplate activation also results in significant conformational changes in the Tal trimer, which opens up to allow the DNA passage.<sup>132</sup>

The baseplate of the phage TP901-1 contains a hexameric ring of Dit which attaches 6 trimers of the baseplate upper protein (BppU) to its periphery.<sup>131</sup> Each BppU monomer, in turn, attaches a trimer of the receptor binding protein, resulting in 54 copies of the receptor binding subunits per phage. Thus BppU serves as an adaptor between Dit and the receptor-binding protein, allowing TP901-1 to expose 3 times more receptor-binding molecules on its baseplate compared with phage p2. Contrary to p2, the TP901-1 baseplate does not require activation by  $\text{Ca}^{2+}$  ions. It permanently stays in the “infection-ready” conformation with the receptor binding proteins suitably oriented for attachment to the host surface.<sup>131</sup> The Dit ring attaches the trimeric Tal protein. The TP901-1 Tal, containing 918 residues, is much bigger, compared with that of phage p2. The N-terminal part of the TP901-1 Tal is similar to that of p2 and to the gp27 protein of phage T4, while its C-terminal part forms the central tail spike and contains a predicted peptidoglycan-degrading domain.

Proteins analogous to Dit were also found in the Gram-positive infecting phage SPP1<sup>155</sup> and in the Gram-negative infecting phage T5,<sup>143,156</sup> which do not possess baseplates, but have tapered tail

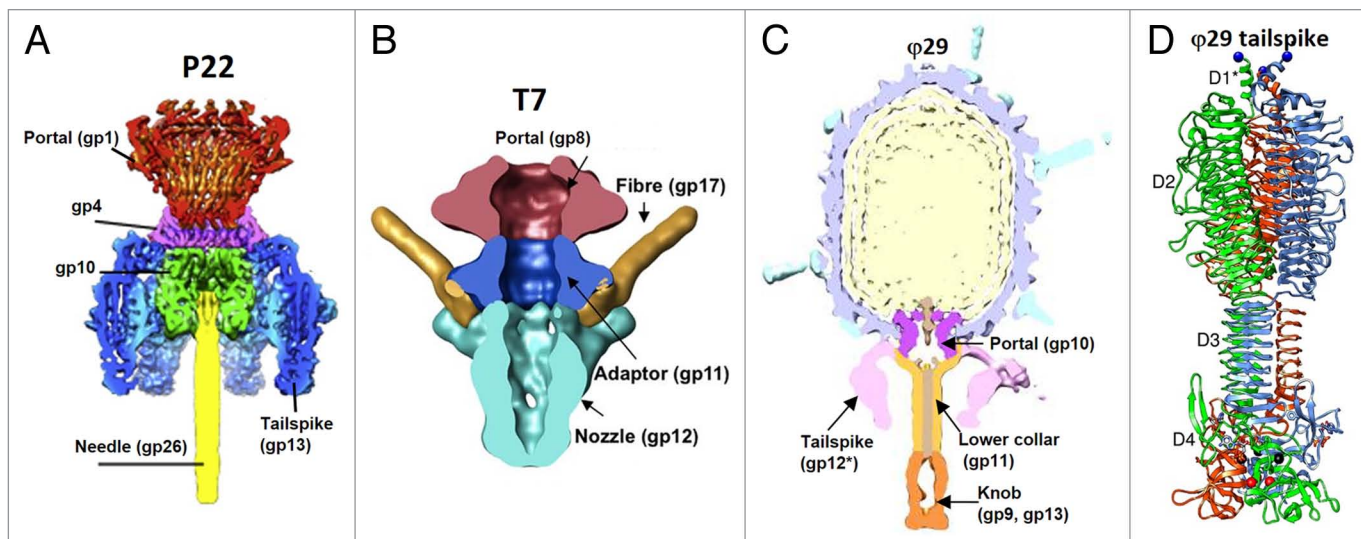
tip complexes. Phage T5 encodes a separate protein analogous to p2\_Tal and T4\_gp27,<sup>143,156</sup> which binds to Dit and attaches a long central tail spike, whereas phage SPP1 has a Tal/gp27-like region at the N-terminus of its central fiber protein.<sup>157</sup>

The central part of the tail tip complex has a common architecture in the long-tailed phages. A hexameric Dit-like protein, attached to the tail tube, serves as a binding site for proteins located at the periphery of the baseplate/tail-tip complex. A Tal/gp27-like trimer attaches below the Dit hexamer. Two domains of Tal/gp27-like protein form a pseudo-hexameric ring, which acts as an adaptor between the 6-fold-symmetric Dit and the 3-fold-symmetric central tail spike.

The accumulated structural data demonstrate that the tail tube-like fold is a crucial building block of *Siphoviridae* and *Myoviridae* virions found in several proteins with different functions. The stack of the tail tube-like rings starts with the Tal/gp27-like protein, continues with the Dit-like protein, then with the tail tube, the tail terminator protein(s), and finishes with the ring of the head completion protein, on top of the tail. All these proteins probably have a common evolutionary origin.<sup>122,123</sup>

Structure of the peripheral part of the baseplate/tail-tip complexes vary in size and complexity, depending on specificity of phage-host interactions. However the receptor binding- proteins of phages, have common features. They are all trimeric and bind the phage through their N-terminal part. The chains of subunits in the trimer are usually intertwined. The phage receptor binding proteins typically have a high β-structure content, and contain β-helical regions.





**Figure 17.** Tails of Podoviridae phages. **(A)** Cross-section view of the bacteriophage P22 tail-portal complex. The portal protein (gp1) is in red, the gp4 dodecamer is in magenta, the gp10 hexamer is in green, the tail spikes (trimers of gp13) are in blue, and the cell-puncturing needle (trimer of gp26) is in yellow. The barrel of the portal protein is not shown. Reproduced from reference 160. **(B)** Cross-section view of the bacteriophage T7 tail-portal complex. The portal, gp8, is in dark pink, the dodecamer of gp11 is in blue, the hexamer of gp12 is in cyan, parts of the fibers (gp17) are in gold. Reproduced from reference 162. **(C)** Central section of the bacteriophage φ29 cryo-EM reconstruction. The portal protein, gp10, is in magenta, the lower collar, gp11, is in yellow, the knob (gp9, gp13) is in orange. Reproduced from reference 5. **(D)** Ribbon diagram of the phage φ29 tail spike, gp12. The 3 polypeptide chains of the trimer are shown in blue, green, and orange, respectively. The structure contains domain 4 which is removed by an autocatalytic mechanism and is not present in the virion. Reproduced from reference 163.

### Organization of the short *Podoviridae* tails

Three-dimensional structures of several *Podoviridae* phages (φ29,<sup>158,159</sup> C1,<sup>85</sup> P22,<sup>16,160</sup> T7,<sup>66,81</sup> ε15,<sup>83,95,161</sup> N4,<sup>110</sup> K1E,<sup>84</sup> K1-5,<sup>84</sup> P-SSP7<sup>114</sup>) were determined using cryo-EM. Despite variation in size and shape, the *Podoviridae* tails have a common structural organization. They contain a central tubular structure to which 6 or 12 appendages or fibers are attached (Fig. 17). The tubular part is typically composed of 2 different proteins. One of these proteins, which is bound to the portal vertex, and forms the upper part of the tube is usually a dodecamer. The example of such protein, for which the X-ray structure is available, is gp4 of phage P22 (166 residues).<sup>57</sup> The core of the protein is formed by a 4-helix bundle and, as mentioned above, is similar to the head completion proteins of the long-tailed phages SPP1 (Fig. 11A), and HK97.<sup>118,119</sup> The dodecamers, located below the portal vary significantly in size and shape. The P22 gp4 and the corresponding protein, gp11 from phage T7 (196-residues) form ring-like structures below the portal (Fig. 17A and B), whereas the analogous protein, gp11 of phage φ29 is bigger (293 residues) and makes a funnel-like structure, termed the lower collar (Fig. 17C). However, the similar position of these proteins in the virions, the dodecameric state, and high predicted α-helical content suggests that the T7\_gp11<sup>162</sup> and φ29\_gp11 proteins may be structurally related to P22\_gp4 and, consequently, to the head completion proteins of the long-tailed phages.

The lower part of the tail tube is usually formed by a hexameric protein. The example of such a protein is gp12 of phage C1, whose structure has been determined by X-ray crystallography.<sup>85</sup> The C1\_gp12 (573 residues) protein is composed of 4 domains,

with the N-terminal domain, binding to the dodecameric upper-tube protein. The N-terminal domain C1\_gp12 (120 residues) has a fold similar to the tail-tube proteins of the *Siphoviridae* and *Myoviridae* phages showing evolutionary ties between the short and long phage tails.<sup>85</sup>

Podophages may contain different proteins bound at the end of their tail tubes. For example, phage φ29 has 2 copies of the peptidoglycan-degrading protein, gp13 (365 residues) at the very tip of its tail.<sup>5</sup> Phage P22 contains a 240-Å-long cell-puncturing needle, attached to its tail tube (Fig. 17A).<sup>164</sup> The needle made by the trimeric protein gp26 (233 residues) is mainly a coiled-coil structure. A part of the needle is inserted into the tube and serves as a plug to prevent a premature DNA leakage.

Trimeric tail fibers or tail spikes attach to the side of the tail tube of Podoviridae phages. For example, phage T7 has 6 tail fibers,<sup>109,162,165</sup> which interact with the 6-fold-symmetric and 12-fold symmetric tube proteins (Fig. 17B), whereas phage φ29 has 12 spindle-shaped tail spikes attached via the 12-fold-symmetric tube protein (Fig. 17C and D). Usually phage infection is initiated by reversible binding of the tail spikes/fibers to specific host molecules. Many phages use long extended glycolpolymers for initial reversible binding. Tail spikes of such phages typically have enzymatic activity allowing digestion of the glycolpolymers, which helps the phage to move closer to the cell membrane. Subsequently the phage binds irreversibly to the cell surface with its tail tube properly positioned for infection. This irreversible binding triggers conformational changes in the virion, eventually resulting in the tail extension and formation of a channel between the capsid and the host cytoplasm through which the viral genome is injected.<sup>109</sup>

Structures of the tail spike from Podophages P22,<sup>166,167</sup>  $\phi$ 29,<sup>163</sup> HK620,<sup>168</sup> K1F,<sup>169,170</sup> have been determined using X-ray crystallography. They are all trimers with the N-terminal part responsible for attachment to the virion. The structures of the spikes are dominated by the  $\beta$ -helical fold. The phage  $\phi$ 29 tail spike protein, gp12, is synthesized as a 854-residue molecule (Fig. 17D). The 163-residue C-terminal domain of  $\phi$ 29\_gp12 acts as a chaperon for trimer assembly, and is subsequently removed by an auto-catalytic mechanism.<sup>163</sup> The cleaved protein consists of 3 domains with the N-terminal domain attached to the virion, the second domain responsible for reversible binding to and degradation of the cell wall teichoic acids, and the third domain responsible for the irreversible attachment to the cell.<sup>163</sup> Binding of the third domains of the tail spikes to cell receptors probably fixes the phage particle on the cell wall, so that the tail can degrade the peptidoglycan layer with the help of the gp13 enzyme, located at the tail tube tip. The tail tip then reaches the cell membrane, and the phage forms a conduit for genome injection.

## Conclusion

Due to progress in cryo-electron microscopy and high-throughput X-ray crystallography, numerous structures of phage virions and their protein components have been determined. These structures show that tailed double-stranded DNA phages have a common evolutionary origin. Their capsids, built of similar

HK97-like capsid protein folds, contain similar dodecameric portals. *Caudovirales* use similar molecular motors to package their genomic DNA. A common fold was also recognized in the phage proteins forming rings below the portal vertex. In *Siphoviridae* and *Myoviridae* phages the tail tube proteins, the proteins forming the central parts of the tail tip complexes or baseplates, the tail terminator proteins, and the head completion proteins that make the tail binding interface, have structural similarity and thus have most likely evolved from a common ancestor.

Although a great deal of structural data has been accumulated on *Caudovirales* phages, dynamic processes of phage absorption to the host and infection are still far from being well understood. Future studies are likely to provide more structural data on phage-receptor interactions, transmission of the infection-initiation signals from the tail tips along the tails, and formation of conduits for the DNA injection into host cells. Hence structural studies of phages is likely to remain a rewarding research topic.

## Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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