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High Early Mortality in Patients with Chronic Acquired Immunodeficiency Syndrome Diarrhea Initiating Antiretroviral Therapy in Haiti: A Case-Control Study

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Abstract

This case-control study examines whether chronic diarrhea at initiation of antiretroviral therapy (ART) affects survival of human immunodeficiency virus–infected patients. Cases (288) were treatment-naïve, non-pregnant, adults with self report of frequent loose stool for > 3 weeks at the time ART was initiated. One-third of patients had an enteric pathogen identified including *Cryptosporidium* spp., *Giardia* spp., *Isospora belli*, *Cyclospora cayetanensis*, and *Entamoeba histolytica*. Control patients (400) did not have diarrhea when initiating ART. At six weeks, mortality was 10% in the patients with diarrhea and 5% in the patients without diarrhea ($P = 0.009$). Chronic diarrhea in patients requesting ART in Haiti is associated with increased early mortality.

INTRODUCTION

Chronic diarrhea is one of the most common symptoms of acquired immunodeficiency syndrome (AIDS) in resource-limited countries.^{1–11} Patients with AIDS and diarrhea have increased mortality rates.^{12,13} Studies of patients in resource-rich countries suggest that highly active antiretroviral therapy (ART) sharply reduces or eliminates chronic diarrhea and improves survival.^{14,15} However, no studies have evaluated patients with chronic diarrhea treated with ART in resource-limited settings where tropical enteric infections, high parasite burden, and severe malnutrition may adversely affect the outcome of ART.

Patients with AIDS in resource-poor countries who are treated with ART have higher rates of mortality in the first few months than patients in wealthier settings receiving comparable regimens.^{16–22} Some studies suggest that chronic tropical diarrhea may decrease the absorption of antiretroviral drugs and therefore could contribute to the excess mortality documented in the first months after initiating ART.^{23–28} Other studies suggest that the wasting associated with chronic diarrhea leads to early mortality.^{29–31} Therefore, we conducted a study in Port au Prince, Haiti to determine whether the presence of chronic diarrhea at the initiation of ART affected clinical outcomes of patients with AIDS.

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METHODS

We conducted a nested case-control study within a cohort of patients with AIDS receiving antiretroviral therapy at the clinic of the Haitian Group for the Study of Kaposi's Sarcoma and Opportunistic Infections (GHESKIO). The GHESKIO Centers in Port au Prince, Haiti provides voluntary counseling, testing, and care for patients with HIV/AIDS, other sexually transmitted diseases, and tuberculosis. The study was reviewed and approved by the institutional review boards at GHESKIO, Weill Cornell Medical College, and the University of Virginia.

All adult patients coming to the GHESKIO clinic with HIV symptoms provide a medical history, are given a physical examination, and have a complete blood count and a CD4 T cell count measured (Becton-Dickinson, Franklin Lakes, NJ). Patients with an AIDS-defining illness or a CD4 T cell count < 200 cells/mm³ are given ART according to World Health Organization (WHO) guidelines. The CD4 counts are measured every six months. GHESKIO maintains an electronic medical record (EMR) that includes clinical, laboratory, and pharmacy data on all patients receiving ART.

All patients coming to GHESKIO with chronic diarrhea are asked to provide a stool specimen. Guaiac testing is performed to evaluate for occult blood. Methylene blue staining is performed on a wet mount for leukocytes. Trained technologists perform a microscopic examination for ova and parasites. Coccidial oocysts are identified with modified Kinyoun acid-fast staining. Studies for viral or bacterial pathogens are not routinely performed. Chronic diarrhea is treated empirically with metronidazole (500 mg three times a day) and/or trimethoprim/sulfamethoxazole (800 mg/160 mg twice a day) at the discretion of the treating physician. Pathogen-specific therapy is provided in the event that a treatable enteric pathogen is identified.

Clinical definitions

Cases were defined as adult (≥ 18 years of age), non-pregnant patients with no prior exposure to antiretroviral drugs who initiated ART from March 1, 2003, through April 30, 2004, and had chronic diarrhea at the initiation of ART (self report of frequent loose stool for > 3 weeks). Controls included all adult, non-pregnant patients without prior exposure to antiretroviral drugs who initiated ART during the same time period, and had no history of diarrhea in the three-week period prior to starting ART.

Early mortality was defined as death in the first six weeks after initiation of ART.²² An AIDS-defining illness is an opportunistic infection or malignancy that satisfied the WHO definition of stage IV HIV disease. We define low body weight as a weight below the lowest quartile for all patients in the study stratified by sex. We define weight loss at month 3 as a weight that is $> 3\%$ lower than the weight at ART initiation.³¹

Data collection

The EMR was used to generate a list of all patients who had initiated ART at GHESKIO from March 2003 through April 2004. The list included 1,069 patients. Demographics, clinical history, physical examination findings, pregnancy history, antiretroviral drug history, CD4 T cell counts, weights, mortality, and follow-up data through January 2007 were obtained from the EMR for all patients.

All patients at GHESKIO are specifically asked about diarrhea at each clinic visit, and this information is entered into the EMR. The EMR was queried to identify all patients who reported diarrhea as a symptom at the time of ART initiation. The charts of all patients with diarrhea were reviewed by a single author (RAD) to evaluate them for inclusion as case

patients and to collect information from the medical chart using a standardized form about the diagnosis, treatment, and course of the diarrheal illness.

Statistical analysis

Baseline characteristics and clinical outcomes between cases and controls were compared using a chi-square test for categorical variables and an analysis of variance test for continuous variables. Significance was pre-assigned as $P < 0.05$. Survival estimates were calculated using the Kaplan-Meier method with significant differences reported using the log rank probability test. The day of initiation of ART was considered baseline, and patients were censored at death or on the date of last clinic visit up to January 1, 2007. A multivariable Cox regression model was used to evaluate significant univariate Kaplan-Meier analyses. Statistical analyses were performed using SPSS software version 15.0 (SPSS Inc., Chicago, IL).

RESULTS

Patient population

From March 1, 2003, through April 30, 2004 GHESKIO initiated ART in 1,069 treatment-naive patients with either an AIDS-defining illness or a CD4 T cell count < 200 cells/mL. Of these patients, 288 (27%) ART-naive, non-pregnant adults with chronic diarrhea were included in the analysis as cases, and 400 (37%) ART-naive, non-pregnant adults without diarrhea were included as controls. Not included in this analysis were 139 (13%) children, 73 (7%) pregnant women, 80 (7%) adults with prior ART, 41 (4%) adult patients with acute diarrhea for less than three weeks, and 53 (5%) patients with incomplete electronic medical records. The median follow-up of the 288 cases and 400 controls was 36 months.

Baseline characteristics of cases and controls are detailed in Table 1. Seventy percent of patients with chronic diarrhea had an AIDS-defining illness by WHO criteria compared with 22% of patients without diarrhea. Patients with diarrhea weighed approximately 3.5 kg less than controls and were more likely to fall into the lowest quartile for weight by sex than controls. The median CD4 T cell count of patients with diarrhea was 25 CD4 T cells/mm³ lower than that of control patients.

Of the 288 patients with chronic diarrhea, 243 (85%) submitted a stool sample for analysis. Of the 243 patients with a stool examination, 14(6%) had occult fecal blood, 15(6%) had fecal leukocytes, and 80 (33%) had an enteric pathogen identified. The patients with pathogens identified in the 243 patients included 39 patients with *Cryptosporidium* spp. (16%), 14 with *Giardia* spp. (6%), 11 with *Isospora belli* (5%), 8 with *Cyclospora cayetanensis* (3%), and 1 with *Entamoeba histolytica* (0.4%).

Treatment and outcomes

All patients in this study were initiated on three-drug ART according to WHO guidelines. The regimens are described in Table 1. Of note, 109 (38%) of the 288 patients with chronic diarrhea received trimethoprim/sulfamethoxazole prophylaxis prior to initiating ART.

Physicians also provided antimicrobial therapy to 239 (83%) of 288 patients with chronic diarrhea. In addition to antibiotics, patients with diarrhea received a variety of additional therapies on the basis of the clinicians' judgment. These therapies included oral rehydration solution (56%), multivitamins (44%), and food supplements (15%). Diarrhea persisted after the initiation of ART in the case-patients for a mean of 27 days (range = 7–140 days).

The mortality in the first six weeks in patients with diarrhea was twice the mortality in the controls without diarrhea. Kaplan-Meier estimation showed that mortality six weeks after the initiation of ART was 10% in patients with diarrhea and 5% in control patients, ($P = 0.0092$). After six weeks, the survival curves for the cases and controls are nearly parallel. As shown in Figure 1, the Kaplan-Meier estimate of mortality at 36 months was 25% in the patients with chronic diarrhea and 18% in the control patients ($P = 0.0288$).

Multivariable analysis of the 688 patients in this study (288 cases and 400 controls) showed that baseline CD4 T cell counts, low body weight, and the presence of an AIDS-defining illness were predictive of mortality (Table 2). When controlling for these three variables, diarrhea was not an independent risk factor for death. Interaction terms (CD4 cell count and diarrhea, and low body weight and diarrhea) were entered into the model but were not significant.

Among the 243 patients with diarrhea who survived to 3 months, the median weight gain at month 3 was 8.0 kg (interquartile range = 1.2–15 kg). The 323 patients without diarrhea gained a median of 4.0 kilograms at month 3 (interquartile range = 0.5–11.0 kg) ($P = 0.001$). At 3 months, 217 (89%) of 243 patients with diarrhea gained weight and 26 (11%) lost weight. In the controls, 274 (85%) of 323 patients gained weight and 49 (15%) lost weight, ($P = 0.110$). Patients who lost weight at 3 months were significantly more likely to die during the remainder of the follow-up period (hazards ratio = 2.1, 95% confidence interval = 1.2–3.8, $P = 0.02$). Case-patients who lost weight at 3 months had a significantly longer duration of diarrhea (6.2 versus 3.3 weeks; $P < 0.0001$).

Among the 182 patients with diarrhea who survived to 6 months and had baseline and 6 month CD4 counts measured, the median increase in CD4 T cell count was 125 cells/mm³ (interquartile range = 60–199 cells/mm³). Among 259 control patients with diarrhea who survived to 6 months and had baseline and 6 month CD4 count measured, the median increase in CD4 T cell count was 126 cells/mm³ (interquartile range = 62–189 cells/mm³) ($P = 0.7688$).

DISCUSSION

Ten percent of AIDS patients with chronic diarrhea died within six weeks despite the provision of ART, antibiotics for enteric pathogens, and supportive therapy. This mortality rate is twice that of HIV-infected control patients without diarrhea starting ART at the same in the first six weeks of ART.

Several recent reports demonstrate that HIV-infected patients receiving ART in resource-limited settings have higher mortality in the first six weeks compared with patients in wealthier settings.^{19–21} The authors of these studies rightly emphasize that this high early mortality indicates a need to expand HIV testing and to help patients to access therapy earlier. Furthermore, simple clinical markers are needed to identify patients most at risk of early mortality. Our data suggest that self-report of chronic diarrhea is one such indicator.

Chronic diarrhea in our cohort was strongly associated with low body weight. This study and others have identified low body weight as a predictor of mortality.^{22,29} In addition, patients in our study who lost weight in the first three months of therapy were more likely to die, as has also been demonstrated in a U.S. cohort.³¹ Therefore, patients with chronic diarrhea may need closer follow-up to ensure that they are receiving adequate medical therapy and nutritional support to address low body weight and to prevent weight loss.

Less than 15% of patients had an enteric pathogen identified that was susceptible to the two drugs, metronidazole and trimethoprim/sulfamethoxazole, that are used as empiric therapy

for chronic AIDS diarrhea in Haiti and many other resource-poor settings. In past studies conducted in Haiti, approximately 25% of HIV-infected patients with chronic diarrhea had *Cyclospora* spp. or *Isoospora* spp. identified in stool samples.^{32–34} In the current study, we found these two pathogens in less than 10% of patients with chronic diarrhea. This decrease is likely due to increasing use of trimethoprim/ sulfamethoxazole as prophylaxis for patients with HIV in Haiti. In the current study, nearly 40% of case-patients had received trimethoprim/sulfamethoxazole prior to presentation. Eighty-five percent of patients in our study had either *Cryptosporidia* spp., which are not susceptible to the antibiotics used, or no pathogen identified. Given this finding and the strong association between chronic diarrhea and early mortality, we recommend that clinicians in resource-poor settings start ART immediately for HIV-infected patients with chronic AIDS diarrhea.

As noted in the introduction, some authors have suggested that HIV-infected patients with diarrhea may not absorb their ART because of enteropathy. They have then hypothesized that low ART levels may lead to virologic failure and ultimately death. Our data do not support this view. Although we did not measure viral load, the immunologic recovery of case-patients was statistically equivalent to that of control patients. Patients with diarrhea who survived the first few months of antiretroviral therapy did nearly as well as other patients during three years of follow-up. If diarrhea resulted in low drug levels and virologic failure, one would expect diarrhea to be an independent risk for mortality with persistent treatment failures and deaths throughout the follow-up period.

In conclusion, chronic diarrhea in HIV-infected patients presenting for ART in Haiti is associated with increased early mortality. In resource-poor settings, self-report of chronic diarrhea can serve as a simple clinical marker of increased risk for mortality and should prompt aggressive therapy and close monitoring.

Acknowledgments

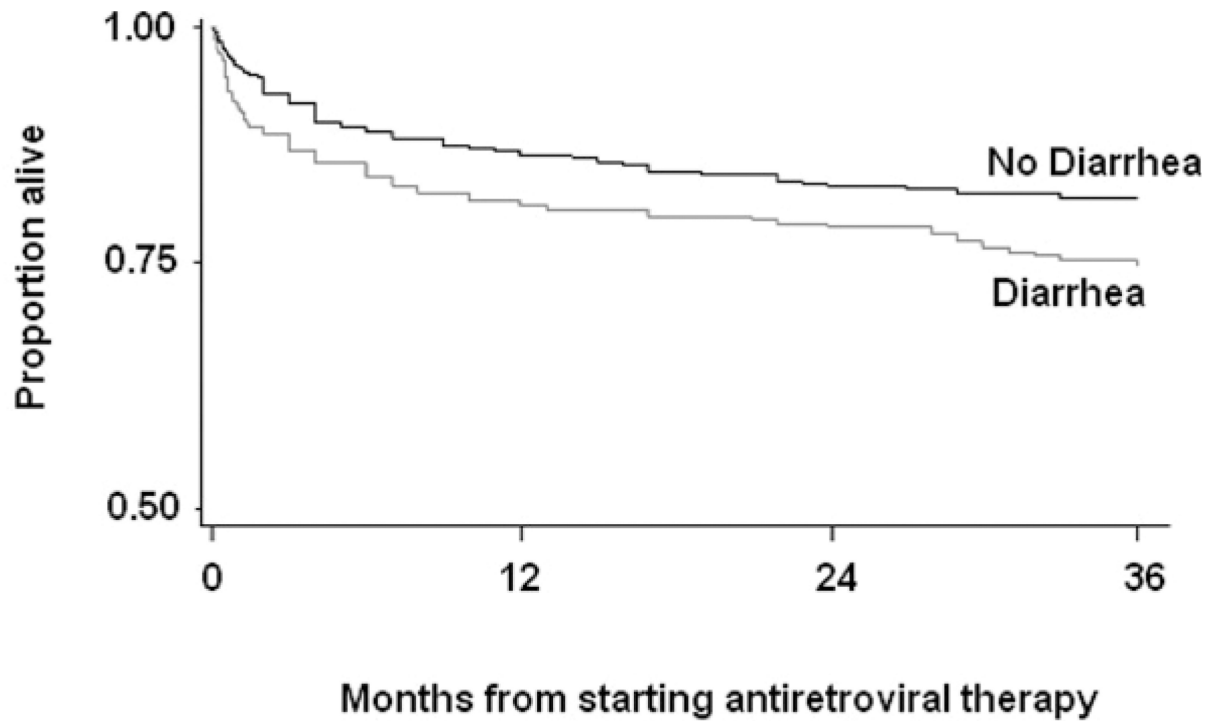
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Number of patients at risk at each time point

	0 months	12 months	24 months	36 months
No Diarrhea	400	318	289	211
Diarrhea	288	230	208	153

Figure 1. Kaplan-Meier curves demonstrating the difference in survival between cases (patients with diarrhea) and controls (patients without diarrhea) over 36 months of follow-up ($P = 0.03$, by log-rank test).

Table 1Baseline characteristics of AIDS patients with and without chronic diarrhea, Haiti^{*}

Characteristic	Chronic diarrhea (n = 288)	No diarrhea (n = 400)	P
Age, years, no. (%)			
18–29	44 (15)	46 (12)	
30–39	114 (40)	148 (37)	
40–49	88 (31)	148 (37)	0.251
50–59	30 (10)	47 (12)	
> 60	11 (4)	10 (3)	
Female, no. (%)	144 (50)	223 (56)	0.136
Earn < \$1.00/day, no. (%)	155 (44)	223 (56)	0.616
Educational level, no. (%)			
None	53 (18)	60 (15)	
Primary	87 (30)	125 (31)	0.716
Secondary	131 (46)	180 (45)	
University	15 (5)	24 (6)	
AIDS-defining illness, [†] no. (%)	202 (70)	90 (22)	< 0.001
Tuberculosis at ART start, no. (%)	22 (8)	31 (8)	0.748
Tuberculosis after ART start, no. (%)	16 (6)	19 (5)	0.796
Body weight, kg			
Men			
Median	55.2	58.2	0.004
Interquartile range	47.7–61.3	51.9–64.8	
Women			
Median	48.6	52.9	< 0.001
Interquartile range	41.8–53.2	45.5–58.9	
Low body weight, [‡] no. (%)	89 (31)	77 (19)	< 0.001
CD4 T cells/mm ³			
Median	106	131	0.005
Interquartile range	21–161	38–204	
Hemoglobin level, g/dL			
Median	10.5	10.5	0.737
Interquartile range	9.2–11.6	9.3–11.6	
Initial ART regimen, no. (%)			
Zidovudine, lamivudine, efavirenz	145 (51)	195 (49)	
Zidovudine, lamivudine, nevirapine	118 (41)	170 (43)	0.939
Other	24 (8)	35 (8)	

* AIDS = acquired immunodeficiency syndrome; ART = antiretroviral therapy.

[†] Defined by the World Health Organization.

[‡] Defined as a weight below the 25% quartile for all patients in the study stratified by sex.

Table 2

Predictors of death among patients receiving antiretroviral therapy in Haiti*

Variable	Hazards ratio [†]	95% confidence interval	<i>P</i>
Low body weight [‡]	1.6	(1.3–2.0)	< 0.0001
CD4 count < 50 cells/mL	1.6	(1.1–2.4)	0.0196
AIDS-defining illness [§]	1.5	(1.0–2.3)	0.0189

* AIDS = acquired immunodeficiency syndrome.

[†] Hazards ratios are adjusted for other variables in the table.

[‡] Defined as a weight below the 25% quartile for all patients in the study stratified by sex.

[§] As defined by the World Health Organization and included wasting syndrome, candida esophagitis, cryptosporidiosis, and extrapulmonary tuberculosis.