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# INCREASED INTERLEUKIN-1α & PROSTAGLANDIN E<sub>2</sub> EXPRESSION IN THE SPINAL CORD AT 1 DAY AFTER PAINFUL FACET JOINT INJURY: EVIDENCE OF EARLY SPINAL INFLAMMATION

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# Abstract

**Study Design**—This study used immunohistochemistry and an enzyme immunoassay to quantify interleukin-1 $\alpha$  (IL-1 $\alpha$ ) and prostaglanding E<sub>2</sub> (PGE<sub>2</sub>) levels in the spinal cord of rats at one day after painful cervical facet joint injury.

**Objective**—The objective of this study was to determine to what extent spinal inflammation is initiated early after a painful loading-induced injury of the C6/C7 facet joint in a rat model.

**Summary of Background Data**—A common source of neck pain, the cervical facet joint is susceptible to loading-induced injury, which can lead to persistent pain. IL- $1\alpha$  and PGE<sub>2</sub> are associated with joint inflammation and pain, both locally in the joint and centrally in the spinal cord. Joint inflammation has been shown to contribute to pain after facet joint injury. Although spinal neuronal hyperactivity is evident within one day of painful facet injury, it is unknown if inflammatory mediators, such as IL- $1\alpha$  and PGE<sub>2</sub>, are also induced early after painful injury.

**Methods**—Rats underwent either a painful C6/C7 facet joint distraction or sham procedure. Mechanical sensitivity was assessed, and immunohistochemical and enzyme immunoassay techniques were utilized to quantify IL-1 $\alpha$  and PGE<sub>2</sub> expression in the spinal cord at day 1.

**Results**—Both IL-1 $\alpha$  and PGE<sub>2</sub> were significantly elevated (p 0.04) at day 1 after painful injury. Moreover, although both spinal IL-1 $\alpha$  and PGE<sub>2</sub> levels were correlated with the withdrawal threshold in response to mechanical stimulation of the forepaw, this correlation was only significant (p=0.01) for PGE<sub>2</sub>.

**Conclusions**—The increased expression of two inflammatory markers in the spinal cord at one day after painful joint injury suggest that spinal inflammation may contribute to the initiation of pain after cervical facet joint injury. Further studies will help identify functional roles of both spinal IL-1 $\alpha$  and PGE<sub>2</sub> in loading-induced joint pain.

# Keywords

IL-1; spinal cord; PGE<sub>2</sub>; facet; joint; pain; inflammation

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### INTRODUCTION

Chronic neck pain is a widespread problem that carries a tremendous economic burden [1,2]. The facet joint has been identified as one of the most common sources of neck pain and remains a likely candidate for injury due to its mechanical vulnerability and its innervation by nerve fibers, and nociceptors in particular, in its capsular ligament [3–5]. Painful facet joint injury and inflammation have been reported to upregulate inflammatory cytokines and the prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) receptor, EP2, in primary afferent neurons [6–8], implicating inflammation as a key component of pain from the facet joint. Moreover, loading-induced joint pain can be alleviated through the intra-articular injection of ketorolac, a non-steroidal anti-inflammatory drug [9], supporting the assertion that inflammation contributes to facet joint pain. Further, inflammatory cytokines are upregulated in the spinal cord within one day after mechanical loading of nerve tissue in combination with an inflammatory stimulus that produces pain [10-12]. Numerous studies have demonstrated the role of cytokines and glial activation in the development and maintenance of chronic pain [13–17]. Those studies demonstrate that peripheral neural tissue loading induces an early inflammatory response in the spinal cord, yet despite mounting evidence of an important role for inflammatory cascades in loading-induced joint pain [7,9], it remains unknown if painful mechanical facet joint injury induces a similar early inflammatory response in the spinal cord as is reported for neuropathic pain conditions.

Prostaglandins and cytokines, including PGE<sub>2</sub>, interleukin-1 (IL-1), and tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), are implicated in both joint inflammation and pain [8,18–23]. Both IL-1 $\alpha$ and PGE<sub>2</sub> have been reported to increase in the membranes and synovial fluid of painful joints, with increasing PGE<sub>2</sub> levels correlating to increased severity of pathology in the joint [23,24], suggesting a contribution of these molecules to joint pain. Although the role of spinal IL-1 $\alpha$  has not been identified for joint pain, increased spinal IL-1 $\alpha$  is associated with painful mechanical loading of the dorsal nerve root [11], so it may be possible that painful joint loading will also alter its expression in the spinal cord. Further, the attenuation of neuropathic and inflammatory pain by blocking IL-1 signaling demonstrates its potential contribution to pain [11,25,26]. Separately, joint inflammation increases the release of PGE<sub>2</sub> in the spinal cord, and intrathecal injection of PGE<sub>2</sub> by itself induces mechanical hypersensitivity in the paw [18,27]. Together with the likely role of joint inflammation in loading-induced facet pain, studies imply that spinal inflammatory mediators may contribute to pain after mechanical facet joint injury, but this relationship has not been investigated.

We have previously demonstrated that painful cervical facet joint distraction in the rat induces changes in pro-inflammatory cytokine (IL-1 $\beta$  and TNF $\alpha$ ) mRNA in the spinal cord at day 7 after joint injury [7]. Although changes in gene expression of inflammatory cytokines have been quantified at this late time point after injury when pain persists [7], joint inflammation is known to induce molecular responses in the spinal cord within 1 day [18]. Because pain after facet joint distraction is associated with joint inflammation and develops within 1 day of the injury [7,9,28], it is likely that painful joint loading may induce similar changes in inflammatory mediators in the spinal cord as early as 1 day after injury. This study tests the hypothesis that painful facet joint inflammatory mediators are positively correlated with the degree of pain after injury. As such, inflammatory responses were quantified in the spinal cord at day 1 after a painful mechanical facet joint injury in the rat, using IL-1 $\alpha$  and PGE<sub>2</sub> as markers of inflammation, and expression levels of both IL-1 $\alpha$  and PGE<sub>2</sub> were separately correlated with the mechanical withdrawal thresholds for those rats at day 1 after the painful injury.

# MATERIALS AND METHODS

Experiments were performed using male Holtzman rats weighing  $405\pm25g$ . Procedures were approved by the IACUC and carried out according to the guidelines of the Committee for Research and Ethical Issues of the IASP [29]. Studies were performed to define the early spinal inflammatory responses associated with a painful facet joint distraction. Protein levels of IL-1 $\alpha$  and PGE<sub>2</sub> were quantified in the spinal cord at day 1 after a painful facet joint injury. The surgical procedures and behavioral hypersensitivity testing methods were performed as described previously [28,30,31]. Behavioral hypersensitivity was measured in the bilateral forepaws by quantifying the mechanical withdrawal threshold before any surgical procedure and at day 1 after injury or sham, corresponding to the time point when spinal cord tissue was harvested for assessment.

Rats underwent either a C6/C7 facet joint distraction to produce behavioral hypersensitivity (*injury*; n=12) or a *sham* procedure (n=8) as surgical controls, using previously described methods [30,31]. Under inhalation isoflurane anesthesia, a midline incision was made along the back of the neck, and the C6/C7 facet joints and their capsules were exposed. After transecting the interspinous ligaments and ligamentum flavum from C5 to T1, the C6 and C7 laminae were attached to a customized loading device via microforceps. For the *injury* group, the bilateral C6/C7 facet joints were distracted by displacing the C6 vertebra rostrally while holding the C7 vertebra fixed [28,30,31]. A camera mounted to a surgical dissecting scope tracked a grid of markers on the C6/C7 facet joint capsular ligament during injury in order to quantify the joint distraction [30]. An identical *sham* procedure without any joint distraction served as a control. The mechanical withdrawal threshold was evaluated in the forepaws prior to surgery and at postoperative day 1 to confirm the onset or absence of behavioral hypersensitivity in each group. Average withdrawal thresholds were compared between groups using a two-way ANOVA with Tukey's HSD test, with time point and group as factors.

At day 1 after surgery, spinal cord tissue at the injury levels (C6-C7) was harvested to evaluate spinal IL-1 $\alpha$  and PGE<sub>2</sub> using immunohistochemistry and enzyme immunoassay (EIA), respectively. The spinal cord was assayed (*injury*, n=5; *sham* n=4) for IL-1a expression using immunofluorescent labeling. Tissue from a naïve unoperated rat was included as a normal control. Rats were deeply anesthetized followed by transcardiac perfusion with PBS and 4% paraformaldehyde. Samples were post-fixed in paraformaldehyde overnight and transferred to 30% sucrose for 3-5 days at  $4^{\circ}$ C. Thin cryosections (16µm, 6 sections per rat) were mounted onto APES-coated slides and blocked with 5% normal donkey serum (Invitrogen; Carlsbad, CA). Sections were then treated with goat anti-IL-1a (1:100; Santa Cruz Biotechnology; Santa Cruz, CA), followed by a secondary incubation with donkey anti-goat Alexa 488 conjugated antibody (1:250; Invitrogen; Carlsbad, CA). Sections were imaged at 10x magnification using a Carl Zeiss LSM 510 microscope and cropped to include the superficial dorsal horn ( $650 \times 200$  pixels). Total IL-1 $\alpha$  immunoreactivity was measured as the percentage of positive pixels above a threshold that was defined based on staining of naïve unoperated tissue. Values were averaged for each group.

In a separate group of rats (*injury*, n=7; *sham*, n=4), spinal cord tissue was harvested with sodium pentobarbital followed by transcardiac perfusion with 300mL of PBS. Tissue from three naïve unoperated rats was included for normalization controls. Tissue was rapidly frozen on dry ice and homogenized in lysis buffer containing 0.1M phosphate (pH 7.4), 1mM EDTA and 10 $\mu$ M indomethacin (Cayman Chemicals; Ann Arbor, MI). Total protein concentration was determined using a BCA assay (Pierce; Rockford, IL), and the PGE<sub>2</sub> concentration was measured using an EIA kit (Cayman Chemicals; Ann Arbor, MI).

Samples were run in duplicate and expressed as the average amount of  $PGE_2$  in total protein relative to normal (pg/mg).

Separate t-tests compared *injury* and *sham* responses at day 1 for IL-1 $\alpha$  expression and PGE<sub>2</sub> levels, with significance at p<0.05. Separate linear regressions were used to evaluate whether or not the mechanical withdrawal threshold at day 1 was correlated with the amount of either IL-1 $\alpha$  or PGE<sub>2</sub> in the spinal cord on day 1, corresponding to the time of tissue harvest. Both *injury* and *sham* rats were included for the correlations. Separate ANOVAs tested the significance of the correlations for both regressions. All statistical analyses were performed using JMP version 8 (SAS Institute; Cary, NC).

# RESULTS

All rats that underwent a C6/C7 facet joint distraction received the same magnitude of injury regardless of whether they were used for the IL-1 $\alpha$  or PGE<sub>2</sub> assays. In the group of rats used to analyze IL-1 $\alpha$  expression, the average capsular ligament distraction was 0.35±0.06mm, which was not different from the distraction applied to the rats for PGE<sub>2</sub> analysis (0.39±0.06mm). Moreover, the mechanical withdrawal threshold for the forepaw at day 1 was significantly lower after *injury* compared to *sham* for the rats in both the IL-1 $\alpha$  study (p=0.01) and those in the PGE<sub>2</sub> study (p<0.01). There was no difference in the withdrawal threshold of the injury rats used in either the IL-1 $\alpha$  or PGE<sub>2</sub> study; nor was there any difference in withdrawal threshold between the *sham* groups used for those two assays.

Painful facet joint distraction significantly increased the levels of IL-1 $\alpha$  expression in the superficial dorsal horn of the spinal cord (Figure 1). Specifically, spinal IL-1 $\alpha$  expression after *injury* exhibited a higher intensity of staining in the spinal dorsal horn compared to *sham* (Figure 1). Quantification using densitometry showed a significant nearly 2-fold increase (p=0.03) following *injury* relative to *sham* (Figure 1D). Similarly, spinal PGE<sub>2</sub> at day 1 was also significantly increased (p=0.04) after an *injury* to more than twice the levels in the spinal cord after a *sham* procedure (Figure 2).

Although IL-1 $\alpha$  expression was increased in the spinal cord after a painful *injury* and exhibited a slight trend with increased behavioral sensitivity, the correlation between paw withdrawal threshold and IL-1 $\alpha$  expression was weak and not significant (R<sup>2</sup>=0.13, p=0.35) (Figure 3A). However, behavioral sensitivity was found to be significantly correlated (R<sup>2</sup>=0.54, p=0.01) with spinal PGE<sub>2</sub> at day 1 (Figure 3B), with a correlation coefficient of 0.74.

### DISCUSSION

The pro-inflammatory cytokine, IL-1 $\alpha$ , and prostaglandin E<sub>2</sub> are both significantly increased in the spinal cord at day 1 after a painful facet joint injury (Figures 1 & 2). Further, spinal PGE<sub>2</sub> levels are also significantly correlated with the degree of behavioral sensitivity at day 1 (Figure 3B), suggesting spinal PGE<sub>2</sub> to have a potentially important role in regulating the early pain response after mechanical facet injury. Yet, IL-1 $\alpha$  expression does not correlate with behavioral sensitivity despite its increase after injury (Figures 1 & 3A). Prostaglandins and cytokines increase within arthritic and inflamed joints [23,24,32], but this is the first study to document increased expression of both IL-1 $\alpha$  and PGE<sub>2</sub> in the spinal cord at a time when pain symptoms are first evident after a mechanical joint injury. Although the role of spinal PGE<sub>2</sub> in joint inflammation is well-known [18,33,34] and IL-1 $\alpha$  has been shown to be a regulator of nociceptive cascades in other pain states [11,17,25], the possible contribution of IL-1 $\alpha$  to the onset of joint pain has not been well-characterized. Our study demonstrates that painful joint injury is associated with an immediate increase in spinal IL-1 $\alpha$  and PGE<sub>2</sub>

expression (Figures 1 & 2), demonstrating a potential role for spinal inflammation in mechanical joint pain. Although these increases in IL-1 $\alpha$  and PGE<sub>2</sub> were significant (p 0.04) (Figure 1 & 2), the degree of variability in the IL-1 $\alpha$  and PGE<sub>2</sub> responses after a sham procedure (Figure 3), together with our limitation in using only a single naïve rat for analysis of IL-1 $\alpha$ , suggests fully defining the normal level of these and other inflammatory mediators in naïve tissue would certainly provide improved context for the current findings.

Although both IL-1 $\alpha$  and IL-1 $\beta$  share comparable biological activities, their spinal effects can be distinctly different [11,35,36]. Mika et al. (2008) reported that IL-1 $\alpha$ , but not IL-1 $\beta$ , attenuated neuropathic pain in a dose-dependent manner [25]. In contrast, systemic administration of IL-1 $\beta$  is approximately 3,000 times more potent than IL-1 $\alpha$  in eliciting hyperalgesia in naïve rats [19]. Although a significant increase in spinal IL-1 $\alpha$  was observed 1 day after painful injury (Figure 1), the increased expression was not correlated with behavioral sensitivity (Figure 3A). IL-1 $\beta$  was not evaluated at that time point; its contribution could be equally important in the pathogenicity of facet pain [16,19]. Both IL-1 $\alpha$  and IL-1 $\beta$  separately increase expression of the pain-associated neuropeptide substance P in primary afferents in vitro, but when applied in combination, substance P expression remains at control levels, possibly a result of competitive inhibition [37]. Further, a single intra-peritoneal dose of IL-1 $\alpha$  increases substance P release in the central nervous system within two hours [38]. Despite our finding of increased spinal IL-1a expression immediately after a painful joint distraction, a previous study using this same model found that substance P actually *decreased* in the spinal cord at day 1 [39]. Taken together, the finding of increased IL-1 $\alpha$  at a time point after joint injury when substance P expression is decreased suggests that additional factors may inhibit the ability of IL-1 $\alpha$  to upregulate substance P. Additional studies evaluating the timing and extent of both IL-1 $\alpha$  and IL-1 $\beta$ expression in the spinal cord are needed to fully understand their roles in facet pain.

IL-1 $\alpha$  expression was only quantified in the superficial dorsal horn in our study, and did not evaluate the ventral horn of the spinal cord. Although IL-1 $\beta$  expression has been reported to be increased after a painful sciatic nerve chronic constriction injury in both the dorsal and ventral horns, IL-1a expression was not investigated in either spinal location in that study [40]. However, Mika et al. found no change in IL-1a mRNA and was unable to detect any IL-1a protein in both the dorsal and ventral horns after the same injury [25], suggesting that IL-1 $\alpha$  and IL-1 $\beta$  may be differentially regulated in the spinal cord. However, the techniques (RT-PCR & Western Blot) used in that study prohibit the cellular localization of IL-1a expression, and immunolabeling techniques that preserve the cytoarchitecture of the spinal cord may be able to detect regional and/or cell-specific differences in IL-1 $\alpha$  expression in the ventral horn. In fact, DeLeo et al. identified an increase in the number of neurons labeled for IL-1 $\beta$  in the ventral horn after a chronic constriction injury [40]. It may be possible that a similar cell-specific increase in IL-1 $\alpha$  expression may occur in the ventral horn after a painful joint injury. Additional studies are needed to evaluate whether or not IL-1a expression in the ventral horn is modulated by painful facet joint injury in order to more fully characterize the spinal inflammatory responses to joint injury.

Spinal PGE<sub>2</sub> expression increased after painful joint injury and was significantly correlated with increased behavioral sensitivity (Figures 2 & 3B). Increased PGE<sub>2</sub> in the spinal cord at day 1 after injury agrees with previous work with this pain model demonstrating that both behavioral sensitivity and spinal neuronal hyperexcitability are induced by day 1, but not sooner [41]. Intrathecal administration of PGE<sub>2</sub> is sufficient to induce behavioral sensitivity to mechanical stimuli applied to the paw [27]. In a separate study, spinal PGE<sub>2</sub> administration of the knee, ankle, or paw [34]. As such, the increased spinal PGE<sub>2</sub> observed here likely contributes to both the neuronal hyperexcitability and behavioral sensitivity

observed at this same time point [41]. Indirect inhibition of prostaglandin synthesis via intraarticular injection of the non-selective cyclooxygenase inhibitor ketorolac alleviates facet joint-mediated pain [9]. Further, PGE<sub>2</sub> contributes to increased brain-derived neurotrophic factor (BDNF) expression 1-2 weeks after a nerve injury [42]. Although PGE<sub>2</sub> levels were only quantified at day 1 in the current study, painful facet joint distraction also increases spinal BDNF by day 7 [28], suggesting that spinal PGE<sub>2</sub> may contribute to that increase in BDNF expression after painful joint injury. However, characterization of the temporal expression and identification of the functional role of  $PGE_2$  after joint injury is still needed. PGE<sub>2</sub> was not assessed after a non-painful joint distraction, so it is not known if PGE<sub>2</sub> is modulated by joint loading or specifically by *painful* joint loading. Interestingly, spinal substance P is greater after a non-painful joint distraction than after a painful distraction [39], suggesting that even non-painful joint loading can induce spinal responses. However, the significant correlation between withdrawal threshold and spinal PGE<sub>2</sub> expression supports that a non-painful joint distraction would likely have no effect on PGE<sub>2</sub> expression (Figure 3B) since the withdrawal threshold is not different from sham after a non-painful distraction [39,43].

Ferreira et al. showed that spinal PGE<sub>2</sub> induces behavioral sensitivity by sensitizing primary afferent neurons [27]. Prostaglandin receptors are present on both the presynaptic and postsynaptic neurons in the spinal cord [33,44]. The PGE<sub>2</sub> receptors, EP1, EP2, and EP4, have all been shown to contribute to PGE<sub>2</sub>-induced hyperalgesia [45,46]. We previously reported an increase in expression of the PGE<sub>2</sub> receptor EP2 in the DRG after this same joint injury [6]. As such, it is likely that increased EP2 expression in the DRG corresponds to increased EP2 in the presynaptic terminals of the spinal cord; however, spinal expression of any of the PGE<sub>2</sub> receptors was not quantified in our study but would help to identify those receptors through which PGE<sub>2</sub> acts and would more completely define its mechanism of action related to joint pain.

After facet joint distraction, the forepaw withdrawal threshold significantly decreased compared to the sham groups. Because hypersensitivity to mechanical stimulation is a common symptom of whiplash associated disorders in humans [47], our finding of increased spinal PGE<sub>2</sub> correlating with behavioral sensitivity (Figure 3B) suggests that a similar such inflammatory response may also contribute to, or be associated with, injury-induced sensitivity in humans. However, care must be taken when translating results from animal studies to the human condition because a number of confounding factors, including the use of a single gender or measuring evoked pain rather than the more clinically-relevant spontaneous pain, may prevent responses documented in animal studies from predicting those observed in humans. For example, this was the case of NK1 receptor antagonists failing to relieve pain in humans despite their effectiveness in animal models [48,49]. Our current study only included male rats, yet in humans with whiplash associated disorders, the pressure pain threshold is significantly lower in females than in males [47], suggesting that inclusion of female rats in addition to male rats may improve the translatability of our results to human neck pain patients. Nevertheless, studies determining the functional role of spinal PGE<sub>2</sub> in pain after joint injury and the efficacy of targeted interventions in the rat do provide an initial framework for the development of treatment options for human neck pain.

Overall, this study provides the first evidence of an early spinal inflammatory response after painful facet joint distraction. Since  $PGE_2$  and IL-1 $\alpha$  responses parallel each other at day 1 after painful injury, it is possible that they may be modulated by the same initial injury stimulus. Further, since spinal  $PGE_2$  is significantly correlated with behavioral sensitivity (Figure 3),  $PGE_2$  is suggested as having a strong relationship to pain in this model, and blocking spinal prostaglandin signaling may provide a potential treatment approach. Although additional work is needed to determine the functional roles of these inflammatory

mediators, and others, in loading-induced joint pain, this study identifies spinal prostaglandins and cytokines as potential early contributors to the complex cellular response in this pain syndrome.

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#### Figure 1.

IL-1 $\alpha$  expression and quantification in the spinal cord at day 1 after *injury* and *sham* relative to normal expression. IL-1 $\alpha$  immunoreactivity increases after *injury* (**A**) compared to *sham* (**B**) when normalized to normal tissue (**C**). Scale bar (100 $\mu$ m) applies to panels **A–C. (D**) Quantification of IL-1 $\alpha$  in the superficial dorsal horn shows a significant increase (\*p=0.03) in *injury* levels over *sham* levels.



#### Figure 2.

Quantification of spinal PGE<sub>2</sub> levels at day 1 after *sham* and *injury*. Spinal PGE<sub>2</sub> expression in the *injury* group is significantly greater (\*p=0.04) than *sham*.

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#### Figure 3.

Correlations of spinal IL-1 $\alpha$  and PGE<sub>2</sub> with paw withdrawal threshold at day 1. (A) The correlation between paw withdrawal threshold and the IL-1 $\alpha$  expression in the dorsal horn of the spinal cord at day 1 is not significant (p=0.35). (B) The paw withdrawal threshold is significantly (p=0.01) correlated with the spinal PGE<sub>2</sub> levels at day 1 after facet joint injury, with a decrease in the paw withdrawal threshold (more sensitivity) related to greater expression of PGE<sub>2</sub>.