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14-3-3ζ Orchestrates Mammary Tumor Onset and Progression via miR221-Mediated Cell Proliferation

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Abstract

14-3-3ζ is overexpressed in over 40% of breast cancers but its pathophysiological relevance to tumorigenesis has not been established. Here we show that $14-3-3\zeta$ overexpression is sufficient to induce tumorigenesis in a transgenic mouse model of breast cancer. MMTV-LTR promoter driven HA-14-3-3ζ transgenic mice (MMTV-HA-14-3-3ζ) developed mammary tumors whereas control mice did not. Whey acidic protein promoter driven HA-14-3-3 transgenic mice (WAP-HA-14-3-3 ζ) developed hyperplastic lesions and showed increased susceptibility to carcinogeninduced tumorigenesis. When crossed with MMTV-neu transgenic mice, 14-3-3ζ.neu transgenic mice exhibited accelerated mammary tumorigenesis and metastasis compared to MMTV-neu mice. Mechanistically, 14-3-3⁽²⁾ overexpression enhanced MAPK/c-Jun signaling leading to increased miR-221 transcription, which inhibited p27 CDKI translation, and consequently, promoted cell proliferation. Importantly, this 14-3-3ζ/miR-221/p27/proliferation axis is also functioning in patients' breast tumors and associates with high grade cancers. Taken together, our findings show that $14-3-3\zeta$ overexpression has a causal role in mammary tumorigenesis and progression, acting through miR-221 in cooperation with known oncogenic events to drive neoplastic cell proliferation.

Keywords

Breast cancer; 14-3-3ζ; microRNA; transgenic mice

Conflicts of interest: No

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Introduction

The 14-3-3 proteins comprise a family of highly conserved dimeric proteins in eukaryotic organisms and have seven isoforms in humans (1). They play a pivotal role in signal transduction as they interact with target proteins via phospho-serine/threonine-containing motifs and regulate proteins involved in diverse cellular processes (1-3). Importantly, overexpression of the 14-3-3 ζ isoform is an early event in several types of cancers, including more than 40% of breast cancers (4, 5). 14-3-3 ζ protein expression is dramatically increased at early stages of breast disease, the atypical ductal hyperplasia (ADH) and ductal carcinoma *in situ* (DCIS) stages (4). It is also associated with poor prognosis, metastatic disease recurrence and poor patient survival in breast and other cancers (4, 6). Furthermore, 14-3-3 ζ cooperates with ErbB2 overexpression to promote the lethal transition of non-invasive DCIS to invasive ductal carcinoma (IDC) (7). These findings suggest that 14-3-3 ζ plays a critical role in breast cancer initiation and progression.

In vitro studies revealed that 14-3-3 ζ is a key regulator of multiple oncogenic signaling networks. For example, 14-3-3 ζ overexpression promoted epithelial to mesenchymal transition (EMT) in non-transformed mammary epithelial cells (MECs) and severely disrupted acinar architecture of MECs grown in 3D culture, partly due to apoptotic resistance via p53 downregulation (8). In cancer cells, 14-3-3 ζ enhanced Akt phosphorylation through phosphoinositide 3-kinase (PI3K) activation, conferring anchorage independent growth and apoptosis resistance, thus promoting cell survival (9, 10).

p27, a cyclin dependent kinase inhibitor, impedes cell cycle progression and maintains cells in the resting state by regulating the G1/S phase checkpoint. p27 knockout mice manifested multi-organ hyperplasia, pituitary tumors, and increased body size, suggesting that p27 plays a vital role in regulating cell growth (11). Low or absent p27 protein expression is reported in various tumors, including breast, and p27 expression is regulated through multiple mechanisms including microRNAs (miRNAs) (12-16). By binding to the 3'-untranslated region (3'UTR) of protein coding mRNAs, miRNAs control gene expression posttranscriptionally resulting in translation inhibition and, in some cases, transcript destabilization and degradation (17, 18). MiRNAs regulate many cellular processes and their aberrant expression has been observed in every disease studied to date (19).

While studies in patient tumor samples and cell lines imply a correlation between 14-3-3 ζ and tumorigenicity, no direct *in vivo* evidence of 14-3-3 ζ in promoting mammary tumorigenesis and metastasis has been presented to date. Here, we report the generation of two mammary gland-directed 14-3-3 ζ transgenic mouse models and demonstrate for the first time, to our knowledge, that 14-3-3 ζ plays a causal role in tumorigenesis. Mammary gland-directed 14-3-3 ζ overexpressing transgenic mice had late onset of mammary tumors and 14-3-3 ζ overexpression accelerated tumorigenesis induced by chemical carcinogens and other oncogenes. In addition to apoptosis inhibition, 14-3-3 ζ overexpression enhanced tumor cell proliferation partly via the c-Jun/miR-221/p27 signaling axis. Human breast cancer tissue microarray analysis corroborated our *in vivo* findings. In addition, combining the expression information of 14-3-3 ζ , miR-221, p27 and Ki-67 enabled better prediction of the tumor grade and prognosis compared to Ki-67 alone. Our findings establish 14-3-3 ζ overexpression as an initiating and promoting event in breast cancer through deregulation of an integrative signaling network.

Material and Methods

Transgenic Mice

Generation of 14-3-3ζ transgenic mice explained in detail in Supplementary Materials and Methods. MMTV-*neu* (MMTV-*neu*-NDL) transgenic mice, previously described (20).

Pituitary Isografting and Carcinogen Treatment

Mice for DMBA (Sigma) treatment (WAP-HA-14-3-3 ζ or nontransgenic B6D2 controls) were given a pituitary isograft for continuous hormonal stimulation of WAP-HA-14-3-3 ζ expression (21). One 6-26-week-old donor pituitary gland was implanted under the kidney capsule of each mouse (5-week-old). Two DMBA doses were given weekly by gavage (0.5mg/dose dissolved in 0.1ml cottonseed oil) for 2 weeks beginning at 8 weeks of age.

Histological analysis

Immunohistochemistry (IHC) was performed as previously described (4, 7). For IHC analysis – expression levels were semi-quantified using immunoreactive scores (IRS range 0-12) (9). Score definitions: 0 (score 0-3), 1+ (score 4-6), 2+ (score 7-9), 3+ (score 10-12). 10 fields were randomly chosen and the average percentage of positive cells determined. Angiogenesis was evaluated by CD34 IHC and counting blood vessels in three areas of the section at 200x magnification, expressed as mean vessel number. *In situ* hybridization (ISH) was performed using miRNA-221 probe (Exiqon, miRCURY LNA 5'-DIG-labelled) and detected as previously described (22).

Tissue microarray (TMA)

Breast cancer TMA, BR208 (US Biomax Inc.) contained normal, premalignant and malignant breast tissues (total 208 cores). 18 cores were omitted from final statistical analysis due to lost cores during staining procedure.

Cell culture

Cell lines were purchased from American Type Culture Collection. Cell lines were authenticated using short tandem repeat profiling by MD Anderson Cancer Center (MDACC; Houston, TX) Cell Line Characterization Core Facility.

Statistical Analyses

Programs used: GraphPad Prism5, IBM SPSS Statistics19 and GNU-R. Tumor latency (time to mammary tumor onset, tumor-free survival) was measured to the date that first mammary tumor was palpable (at approximately 1mm diameter) and was analyzed using the Kaplan-Meier method. Survival differences were determined using log-rank test. Ordered logistic regression models were built and compared using marker combinations of the 14-3-3 ζ /miR-221/p27/Ki-67 axis, or the linear combination of all markers to test association with deviance in tumor grades (23). Support Vector Machine (SVM) model was established as previously described (24). 14-3-3 ζ , miR-221, p27 and Ki-67 expression levels were used as features, and tumor grade was used as the prediction target variable (normal tissue: Grade 0; tumor tissues: Grade 1, Grade 2 or Grade 3). All data: Bars – SD, *, *P* < 0.05, **, *P* < 0.01, ***, *P*<0.001.

Results

To study the role of 14-3-3 ζ overexpression in mammary tumorigenesis, we generated transgenic mice with mammary gland-directed HA-tagged 14-3-3 ζ gene expression. In the B6D2 background, transgene expression was driven by either the rat WAP (rWAP) or the mouse WAP (mWAP) promoter, noted as WAP-HA-14-3-3 ζ (Figure 1A). Founder mice were identified by PCR (Figure S1A). Varying levels of 14-3-3 ζ transgene expression were detected in the different WAP-driven lines by immunohistochemical (IHC) staining and immunoblotting (IB) during lactation (Figures 1B, S1B). Transgene expression was specific to mammary gland epithelial cells and was not different between WAP promoter species. Higher HA-14-3-3 ζ expressing transgenic strains (m26 and r10) were expanded for further investigation.

WAP-driven transgenes are expressed in the mammary epithelium during mid-pregnancy through lactation (25). This limits studying the effects of 14-3-3ζ in other developmental stages of the mammary gland. MMTV-LTR promoter drives expression of heterologous genes primarily in the mammary epithelium starting during puberty and continuing throughout mammary gland development (26). Therefore, we generated MMTV–LTR-driven HA-tagged 14-3-3ζ transgenic mice in FVB background (Figure 1C). Founder mice were identified by PCR (Figure S1C) and 14-3-3ζ transgene expression in the mammary gland was detected by IHC and IB (Figures 1D, S1D). Higher HA-14-3-3ζ expressing transgenic strains (MM3-20 and MM2-833) were expanded for further investigation.

14-3-3ζ overexpression results in late-onset mammary tumors

To study the potential effect of 14-3-3 ζ overexpression on mammary tumorigenesis, multiparous (3-4 pregnancies) wild-type, WAP-HA-14-3-3ζ and MMTV-HA-14-3-3ζ female transgenic mice were monitored for tumor development and subjected to histopathological analysis. As expected, wild-type mice had normal breast epithelium histology (Figure 1E). While no mammary tumors were detected in the multiparous WAP-HA-14-3-3 ζ mice, epithelial hyperplastic lesions with the potential to develop into tumors were identified (Figure 1E). Of the 24 multiparous female MMTV-HA-14-3-3 ζ mice monitored for tumor incidence (12 mice each from the MM3-20 and MM2-833 lines), 8 mice (33.3%) developed mammary tumors with a mean tumor latency of 662 days and demonstrated typical adenosquamous carcinoma characteristics (Supplementary Table 1, Figure 1E). MMTV-HA-14-3-3 ζ mice exhibited significantly decreased tumor free survival compared to wild-type mice (Figure 1F). Transgene expression in mammary tumors of MMTV-HA-14-3-3 ζ mice was confirmed by IB (Figure S1E). The tumor incidence was 41.7% for the MM3-20 line with higher 14-3-3 ζ expression and 25% in the MM2-833 transgenic mice with lower 14-3-3 cxpression (Supplementary Table 2), suggesting that tumor development was related to HA-14-3-3^{\zeta} transgene expression level. Mammary tumors were of an invasive nature and lung metastasis was found in one tumor-bearing MMTV-HA-14-3-3^{\zet} mouse at 711 days of age (Supplementary Table 2). Neither mammary tumors nor mammary intraepithelial neoplasia (MIN) were observed in a cohort of 20 FVB/ N wild-type mice. Together, these data demonstrated that MMTV-driven 14-3-3 overexpression in FVB mice can induce late-onset mammary tumors.

14-3-3ζ increases susceptibility to carcinogen-induced mammary tumorigenesis

Since multiparous WAP-HA-14-3-3 ζ transgenic mice did not develop tumors, we tested if the ectopic 14-3-3 ζ expression promoted carcinogen-induced mammary tumorigenesis. After receiving pituitary isografts from donor mice to induce WAP promoter-driven

transgene expression, WAP-HA-14-3-3 ζ and B6D2 non-transgenic female littermates were treated with the chemical carcinogen 7,12 dimethylbenzanthracene (DMBA) and monitored for tumor formation. Resulting tumors were analyzed for transgene expression by IB and IHC (Figures S1F, S1G). WAP-HA-14-3-3 ζ females developed mammary tumors with a significantly (*P*=0.023) shorter median tumor latency (20 weeks) than non-transgenic controls (26 weeks) (Figure 1G). Hence, mammary gland specific 14-3-3 ζ overexpression enhanced susceptibility to carcinogen-induced tumorigenesis.

14-3-3ζ accelerates ErbB2/Neu-mediated mammary tumor development and progression

ErbB2 (*Neu*/HER2) is overexpressed in 25-30% of human breast carcinomas resulting in poor patient survival (27). To investigate whether 14-3-3 ζ cooperates with oncogenes, such as *neu*, to accelerate mammary tumorigenesis *in vivo*, we backcrossed WAP-HA-14-3-3 ζ mice (m26 line) into the FVB/N background and then crossed with MMTV-*neu* transgenic mice, which ectopically overexpress *neu* in the mammary glands and develops tumors with well-characterized incidence and latency (20). Having both strains in the FVB background ensures optimal MMTV-*neu* transgene expression and allows comparisons between nonsiblings. Since WAP-driven transgene expression depends on hormonal stimulation of pregnancy and lactation, WAP- ζ .*neu* female mice and MMTV-*neu* controls were continuously mated to induce and maintain WAP-HA-14-3-3 ζ expression. Multiparous WAP- ζ .*neu* mice developed mammary tumors with a significantly (*P*=0.018) shorter median tumor latency compared to multiparous MMTV-*neu* mice (137 versus 160 days) (Figure 2A).

We also crossed MMTV-HA-14-3-3ζ (MM3-20 line) with MMTV-neu mice to generate MMTV-ζ.neu mice and compared their tumorigenicity with MMTV-neu mice. Both strains of nulliparous mice had 100% mammary tumor incidence. MMTV-ζ.neu bitransgenic female mice exhibited significantly (P < 0.001) shorter median tumor latency compared to MMTV-neu transgenic mice (161 versus 182 days) (Figure 2B). In mammary tumors of WAP-*ζ.neu* mice, weak HA-14-3-3*ζ* transgene expression was detected by IB (Figure 2C, left). After tumor onset, it was difficult to keep the tumor-bearing WAP-ζ.neu bitransgenic female mice lactating, which explains the weak transgene expression. To determine whether the HA-14-3-3 ζ transgene was expressed during tumor initiation, we collected lactating mammary glands one month before expected tumor development and found that HA-14-3-3ζ was expressed, contributing to the accelerated mammary tumorigenesis (Figure 2C, left, mouse no. 73). Transgene expression was readily detectable in mammary tumors of MMTV-ζ.neu bitransgenic mice (Figure 2C, right). Histological assessment revealed that mammary tumors from WAP-ζ.neu and MMTV-ζ.neu mice were more invasive compared to tumors from MMTV-neu mice (Figure 2D), characterized by tumor foci that invaded into the interlobular stroma with loss of the myoepithelial cell layer.

Given the invasive morphology of mammary tumors from bitransgenic mice, we assessed whether 14-3-3 ζ overexpression enhanced *neu*-induced metastasis. Virgin MMTV- ζ ,*neu* mice had a significantly (*P*< 0.05) increased incidence of lung metastases (66.7%) with high HA-14-3-3 ζ expression compared to MMTV-*neu* mice (27.7%), 3-4 weeks after palpable mammary tumor detection (Figures 2E, S2A). Breast carcinomas require angiogenesis to sustain their growth and develop metastasis (28). Surely, increased angiogenesis in MMTV- ζ ,*neu* mammary tumors, indicated by increased CD34 expression marker and blood vessel index, correlated with the increased metastases in MMTV- ζ ,*neu* mice compared to MMTV-*neu* (Figure S2B). Increased angiogenesis results from production of pro-angiogenic factors, such as vascular endothelial growth factor (VEGF) (29). We had identified that 14-3-3 ζ overexpression increases Akt activity (Figure S3C), resulting in p53 loss (Figure S3E) and increased VEGF transcription (8). Indeed, MMTV- ζ .*neu* mice tumors had a significant

(P < 0.001) increase in VEGF expression than MMTV-*neu* mice tumors (Figure S2C). Furthermore, the EMT phenotype acquisition is critical for 14-3-3 ζ overexpressing MECs to gain invasive ability for subsequent metastases (7). Consistently, IHC and IB analysis showed a significant loss of E-cadherin expression (epithelial marker) and an increase in N-cadherin expression (mesenchymal marker) and TGF β R1 in primary tumors of MMTV- ζ .*neu* mice (Figure S2D, S2E). In tumors of MMTV- ζ .*neu* mice, 14-3-3 ζ -mediated increase in angiogenesis, inhibition of apoptosis and EMT facilitates accelerated mammary tumor development and progression.

14-3-3ζ accelerates tumor proliferation via microRNA-221-mediated p27 down-regulation

Apoptosis inhibition and increased proliferation of tumor cells are two hallmarks of cancer (30). Apoptotic cell analysis revealed that MIN lesions and mammary tumors of bitransgenic mice had significantly (P < 0.001) reduced TUNEL positive staining compared to those of MMTV-*neu* mice (Figures S3A, S3B). Mechanistically, 14-3-3 ζ overexpression in the bitransgenic lesions led to increased Akt activity (Figure S3C), that enhanced FKHRL1 (Foxo3A) phosphorylation. Bound by 14-3-3 ζ , phospho-FKHRL1 is retained in the cytosol and inhibited from transcribing pro-apoptotic genes (Figure S3D). Furthermore, decreased p53 expression in 14-3-3 ζ overexpressing bitransgenic lesions contributes to inhibition of apoptosis (Figure S3E). These findings demonstrate the anti-apoptotic role of 14-3-3 ζ as previously reported (8-10).

Interestingly, proliferating cell analysis showed that MIN lesions and mammary tumors from both bitransgenic mice strains had a significant (P < 0.001) increase in Ki-67 staining compared to those from MMTV-*neu* mice (Figures 3A, 3B). Moreover, mammary tumor cells of MMTV-HA-14-3-3 ζ mice showed increased proliferation compared to mammary epithelial cells from age-matched wild type mice (Figure S4A). The data indicates that 14-3-3 ζ overexpression promotes cell proliferation *in vivo*.

To decipher the mechanism of 14-3-3 ζ -mediated proliferation, we examined expression of cell cycle regulators. While only a moderate decrease in p21 expression was detected (Figures S4B, S4C), p27 expression was significantly (*P*< 0.001) decreased in MIN lesions and mammary tumors of bitransgenic mice relative to MMTV-*neu* mice (Figures 3C, 3D). Since low p27 expression has been associated with aggressive tumor behavior (15), it is conceivable that p27 down-regulation contributes to 14-3-3 ζ -mediated tumorigenesis.

Expression of p27 is subjected to transcriptional and post-transcriptional regulation (31-33). We compared p27 protein and mRNA levels in MMTV- ζ .*neu* and MMTV-*neu* tumors. A notable decrease in p27 protein expression was detected in MMTV- ζ .*neu* tumors compared to MMTV-*neu* tumors with no significant (*P*>0.05) change in p27 mRNA expression (Figures 4A, S5A). To decipher mechanisms of 14-3-3 ζ -induced p27 downregulation in breast cancer cells, we modulated 14-3-3 ζ expression in two human breast cancer cell lines, MCF7 and DCIS.com, and a murine mammary tumor cell line, McNeuA. Stable HA-14-3-3 ζ overexpression in these cell lines (MCF7- ζ , DCIS.com- ζ and McNeuA- ζ) led to decreased p27 protein expression while p27 mRNA expression remained unchanged (Figures 4B, S5B). Conversely, 14-3-3 ζ knockdown by two independent shRNAs in MCF7 cells increased p27 protein expression with no impact on p27 mRNA expression (Figures 4C, S5C). Together, the results indicated an intriguing 14-3-3 ζ -mediated post-transcriptional repression of p27.

Recently, it was reported that p27 could be regulated by miRNAs, miR-221, miR-222 and miR-181a (16, 34). We analyzed the expression of these miRNAs by qRT-PCR in MCF7- ζ , DCIS.com- ζ and McNeuA- ζ cells and found a consistent increase in miR-221 expression with 14-3-3 ζ overexpression (Figure 4D). MiR-222 was not consistently increased and

miR-181a remained unchanged (Figure S5D). Conversely, 14-3-3 ζ knockdown in MCF7 cells resulted in a significant miR-221 expression decrease (Figure 4E) affirming that miR-221 expression is regulated by 14-3-3 ζ .

To investigate whether miR-221 is critical in mediating 14-3-3 ζ -induced p27 protein downregulation, we examined p27 protein expression upon miR-221 modulating. MiR-221 knockdown in MCF7- ζ cells by antagomiR (AS-miR-221) rescued p27 protein expression (Figures 4F, S5E). Ectopic miR-221 expression by transfecting precursor miRNA-221 (premiR-221) into MCF7 or MCF7.sh ζ cells, resulted in a dramatic downregulation of p27 protein (Figure 4G). *In vivo*, miR-221 expression was significantly upregulated in mammary tumors of DMBA-treated WAP-HA-14-3-3 ζ mice compared to those of wildtype mice (Figure S5F). Consistently, miR-221 expression, but not miR-222 and miR-181a, was increased in MMTV- ζ .*neu* tumors compared to MMTV-*neu* tumors by qRT-PCR (Figures 4H, S5G, S5H). Indeed, in 14-3-3 ζ overexpressing mammary tumors, increased miR-221 expression by *in situ* hybridization (ISH) correlated with reduced p27 expression and increased Ki67 expression (Figure 5G). These data indicate that 14-3-3 ζ -overexpression promotes tumor proliferation partly through miR-221-mediated p27 down-regulation.

14-3-3ζ upregulates microRNA-221 transcription via c-Jun

Several studies reported that miR-221 is transcriptionally regulated (35-37). To elucidate whether and how miR-221 transcription is regulated by 14-3-3 ζ , we analyzed the expression of the primary transcripts (pri-miR-221), the intermediate product (pre-miR-221) and the mature miR-221 in MCF7- ζ cells compared to control cells. MCF7- ζ cells had significantly increased pri-miR-221 expression, consistent with increased mature-miR-221 expression (Figure 5A), suggesting that 14-3-3 ζ transcriptionally upregulates miR-221. Conversely, pri-miR-221 expression was significantly decreased in MCF7.sh ζ cells compared to control (Figure 5B).

MiR-221 is known to be independently transcribed and is not a by-product of host-gene transcription (37). To identify the transcription factors responsible for miR-221 transcription in 14-3-3ζ overexpressing cells, we examined expression of predicted miR-221 promoterbinding transcription factors (NF-κB and c-Jun) in MCF7-ζ, DCIS.com-ζ and McNeuA-ζ cells. No significant change in NF-KB activation was detected, making it an unlikely mediator of miR-221 transcription (Figure S6A). Interestingly, 14-3-3ζ overexpression lead to an increase in phospho-JNK levels leading to enhanced c-Jun phosphorylation (which stabilizes c-Jun) and nuclear localization compared to control cells (Figures 5C, 5D). c-Jun knockdown in MCF7- ζ cells resulted in pri-miR-221 expression decrease (Figure 5E), indicating that the JNK/c-Jun pathway is esssential in 14-3-3ζ-induced miR-221 transcriptional upregulation. We performed a chromatin immunoprecipitation (ChIP) assay using c-Jun antibody and found a robust enhancement of c-Jun binding to miR-221 promoter in the MCF7- ζ cells compared to MCF7-Vec cells (Figure 5F). Together, our data revealed that 14-3-3ζ-overexpression leads to miR-221 transcriptional upregulation via c-Jun. 14-3-3ζ overexpression in MMTV-*L.neu* mammary tumors correlated with increased phospho-JNK, phospho-c-Jun, total c-Jun, miR-221 expression, Ki-67 positivity and reduced p27 expression compared to MMTV-neu tumors (Figure 5G). These data indicated that c-Jun contributes to 14-3-3ζ-mediated miR-221 transcriptional upregulation and p27 protein downregulation in vivo.

C-Fos is known to partner with c-Jun in transcriptional regulation (38). Notably, 14-3-3 ζ overexpressing cells had different degrees of increased c-Fos phosphorylation and Erk1/2 activation (Figure S6A). Inhibition of Erk1/2 in MCF7- ζ cells by chemical inhibitor (AZD6244) or knockdown of Erk1/2 or c-Fos by siRNAs led to a decrease in pri-miR-221

expression (Figures S6B, S6C, S6D). Hence, the Erk1/2/c-Fos pathway also contributes to miR-221 transcriptional upregulation in 14-3-3ζ-overexpressing breast cancer cells.

14-3-3ζ/miR-221/p27/proliferation axis is functioning in patients' breast tumors and associates with high grade cancers

We examined whether the 14-3-3 ζ /miR-221/p27 axis identified in our mouse models and human breast cancer cell lines is functioning in patients' breast tumor tissues. Using tissue microarrays (TMA) containing 180 breast cancer tissue cores and 27 normal breast tissues cores, we detected 14-3-3 ζ , p27, ErbB2, miR-221 and Ki-67 expression (Figure 6A, Supplementary Tables 3A, 3B, 3C). Notably, 14-3-3 ζ overexpression correlated with increased miR-221 expression, validating that 14-3-3 ζ overexpression is associated with increased miR-221 expression in human breast cancer tissues (*P*<0.01, Supplementary Table 3D).

To test the clinical applicability of our findings, we examined whether the expression of the components of the 14-3-3 ζ /miR-221/p27axis, individually or together, could predict tumor progression, considering tumor grade as the read-out. Ki-67 is a well characterized molecular marker of tumor cell proliferation, known to be associated with tumor grade and poor prognosis (39). Our TMA tumor cohort revealed that increased miR-221 expression levels significantly correlated with increased percentage of Ki-67 positivity (low = <20% positive, high = >20% positive, *P*<0.01, Supplementary Table 3D). We asked if combining markers of the 14-3-3 ζ /miR-221/p27 axis with Ki-67 would better predict tumor grade compared to Ki-67's predictive power as a sole parameter. Specifically, we tested whether the deviance in tumor grades were associated with 14-3-3 ζ , miR-221, p27 expression and percentage of Ki-67 positivity in the TMA cases. We built and compared ordered logistic regression models (23) using single- and double-variable combinations of markers of the 14-3-3 ζ /miR-221/p27/Ki-67 axis, or the linear combination of all four markers. Clearly, using all four markers predicted tumor grades with more than 30% of deviance compared to over 10% by Ki-67 alone (*P*<0.001, Supplementary Figure S7).

To test the possibility of predicting tumor grades in a patient cohort with Ki-67 as well as the expression of the 14-3-3 ζ /miR-221/p27 axis, we built a model using Ki-67, 14-3-3 ζ , miR-221 and p27 expression levels as features, and tumor grade as the prediction target variable (normal breast tissue – Grade 0; tumor tissues – Grade 1, Grade 2, Grade 3). We first established the SVM model on 80% of the cases (training set) from the TMA and then used the remaining 20% of the cases (test set) to assess the performance of the prediction model. The four-feature model (Ki-67+/14-3-3 ζ +/miR-221+/p27 low expression) achieved an accuracy of 75.6% (28/37) to predict the tumor grade, compared to 37.8% (14/37) using only Ki-67 as the input variable or 56.7% (21/37) using only 14-3-3 ζ as the input variable (Supplementary Table 4). All single-, double- and triple-variable marker combination analyses showed less accuracy than the four-feature model (Supplementary Table 4). This indicates that the combined marker expression of 14-3-3 ζ , miR-221 and p27 with Ki-67 improves the prediction power of tumor grade and, potentially, of tumor prognosis.

Discussion

Transgenic mouse models are invaluable for studying the roles of various potential oncogenes ("oncomice") *in vivo* (40). Here, we generated two HA-14-3-3 ζ transgenic mouse models and demonstrated that 14-3-3 ζ plays a causal role in mammary tumorigenesis. Thus far, the oncogenic nature of 14-3-3 ζ protein had only been characterized in cell lines and its role in tumorigenesis had never been tested in a transgenic mouse model. Mammary tumors developed in MMTV-HA-14-3-3 ζ transgenic mice but not in wild-type mice, implicating 14-3-3 ζ as an onco-protein. Some oncogenes, such as *neu*, are vigorous and self-sufficient to

induce tumor in transgenic mice (20). However, most transgenic mouse models that overexpress oncogenes in their mammary glands develop mammary tumors in a stochastic fashion with long tumor latencies, such as MMTV-*c*-*myc* transgenic mice (41, 42). In our studies, 14-3-3 ζ presents itself as an oncogene with a long tumor latency. The 14-3-3 ζ transgene overexpression and tumor phenotype seen in our mouse models is similar to that seen in human breast cancer patients, signifying the clinical relevance of these mouse models.

Latent oncogenes can cooperate with chemical carcinogens to induce tumorigenesis. In cooperation with a tumor inducing carcinogen (DMBA), 14-3-3ζ accelerated mammary tumorigenesis. Similarly, oncogenes cooperate to achieve a transformed phenotype (43). We generated MMTV-ζ.*neu* and WAP-ζ.*neu* bitransgenic mice to investigate the effect of 14-3-3ζ overexpression on *neu*-induced mammary tumorigenesis. 14-3-3ζ not only accelerated the initiation of *neu*-induced mammary tumors, but also conferred an invasive histology, indicative of metastases-prone tumors. A higher percentage of MMTV-ζ.*neu* tumor-bearing mice developed lung metastasis compared to MMTV-*neu*, indicating that 14-3-3ζ overexpression promoted *neu*-mediated mammary tumor metastatic progression. Our results corroborate clinical observations that breast cancers overexpressing both ErbB2 and 14-3-3ζ are more aggressive with a higher metastatic potential (7).

The role of 14-3-3 ζ in anti-apoptosis is well characterized, conferring survival advantage upon cancerous cells and contributing to tumor progression (30) as seen in 14-3-3 ζ overexpressing MIN lesions and mammary tumors. However, 14-3-3 ζ overexpression increased tumor cell proliferation in MIN lesions and tumors, indicated by increased Ki-67 staining and p27 protein loss. This is the first report that shows 14-3-3 ζ promotes cell proliferation.

The 14-3-3 family of proteins was reported to inhibit p27 nuclear import to prevent G1/S phase cell cycle checkpoint (12). This was not the case in our models because p27 protein expression was exclusively nuclear in 14-3-3 ζ overexpressing MIN lesions and tumors (Figure 3C, 3D, 6A). Instead, we identified that 14-3-3 ζ promoted proliferation via miRNA-221-mediated p27 post-transcriptional downregulation. MiRNA-221 was transcriptionally regulated by c-Jun, conferring a proliferative advantage in 14-3-3 ζ overexpressing tumors. Consistent with previous reports that the 14-3-3 ζ -Ras-Raf signaling axis activates the MAPK pathway (44), 14-3-3 ζ overexpressing cell lines had different degrees of Erk1/2 and c-Fos activation. Thus, c-Fos may cooperate with c-Jun in transcribing miR-221. To our knowledge, this is the first report of miRNA regulation by 14-3-3 ζ . While miR-221 upregulation has been associated with tamoxifen and fulvestrant resistance in breast cancers, other reports have implicated 14-3-3 ζ could mediate some effects through miR-221, making our transgenic mouse models ideal for studying therapeutic resistance *in vivo*.

Patient breast cancer tissue analysis demonstrated that the $14-3-3\zeta/miR-221/p27/Ki67$ axis we identified in our experimental models is clinically relevant. That $14-3-3\zeta$ and miR-221 correlated positively with Ki-67 and high grade breast cancers supports our findings that $14-3-3\zeta$ overexpression mediates tumor cell proliferation and promotes malignant phenotypes. Furthermore, the combined power of $14-3-3\zeta$, miR-221, p27 and Ki-67 expression in predicting tumor grade is greater than using single marker or varying combinations of these markers. Combined, these markers could serve as an effective prognostic 'signature' to predict clinical outcomes in patients with $14-3-3\zeta$ -overexpressing breast tumors.

We have demonstrated for the first time, that 14-3-3 ζ overexpression plays a causal role in mammary tumors with long tumor latency, and cooperates with chemical carcinogens and other oncogenes to accelerate mammary tumorigenesis. 14-3-3 ζ overexpression increased tumor cell proliferation via miR-221-mediated p27 downregulation (Figure 6B). Together, increased Ki-67 and miR-221 expression and decreased p27 expression can serve as powerful prognostic markers for 14-3-3 ζ overexpressing breast cancer. Overall, our finding of the 14-3-3 ζ /miR-221/p27/proliferation axis is a clinically relevant mechanism of 14-3-3 ζ -overexpression-mediated breast cancer onset and progression.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 3. 14-3-3ζ enhances tumor cell proliferation

Age matched MMTV-*neu*, MMTV-ζ.*neu* and WAP-ζ.*neu* mice. *A*,*B*, Quantitative analysis of Ki-67-positive nuclei (left) and representative Ki-67 IHC (right) showed significant increase in Ki-67 positive staining in bitransgenic MIN lesions (X200, n=13,6,6) and tumors (X400, all n=9) compared to MMTV-*neu*. *C*,*D*, Quantitative analysis of p27-positive nuclei (left) and representative p27 IHC (right, X200) showed significant decrease in p27 positive staining in bitransgenic MIN lesions and tumors compared to MMTV-*neu* (all n=9).



Figure 4. p27 Protein Expression is Post-transcriptionally Regulated by miR-221

A, Representative p27 IB (left) shows significant p27 protein expression decrease in MMTV-ζ.*neu* tumors compared to MMTV-*neu*. Protein quantification is relative to β-actin (right). *B*, 14-3-3-ζ overexpressing cells showed significant decrease in p27 protein by IB. *C*, 14-3-3ζ knockdown in MCF7 cells rescued p27 protein expression by IB. *D*, miR-221 expression was increased in 14-3-3-ζ overexpressing cells versus control cells by qRT-PCR. *E*, 14-3-3ζ knockdown in MCF7 cells led to decreased miR-221 expression analyzed by qRT-PCR. *F*, AntagomiR-221 rescued p27 protein expression in MCF7-ζ cells by IB. *G*, Pre-miR-221 overexpression decreased p27 protein in MCF7 cells with 14-3-3ζ knockdown. *H*, qRT-PCR shows significant increase in miR-221 in MMTV-ζ.*neu* mammary tumors compared to MMTV-*neu* (all n=5).



Figure 5. MiRNA-221 expression is transcriptionally upregulated by 14-3-3ζ-mediated c-Jun activation

A, Pri-miR-221, pre-miR-221 and mature miR-221 qRT-PCR analysis in MCF7-ζ versus control cells. *B*, Pri- and mature miR-221 qRT-PCR in MCF7 cells with 14-3-3ζ knockdown. *C*, IB analysis revealed increased JNK signaling and c-Jun expression in 14-3-3-ζ overexpressing cells versus control cells. *D*, Nucleo-cytoplasmic fractionation IB showed increased nuclear c-Jun localization in 14-3-3-ζ overexpressing cells. *E*, qRT-PCR analysis showed a decrease in pri-miR-221 expression with c-Jun knockdown (IB inset) in MCF7-ζ cells. *F*, ChIP analysis showed increased c-Jun binding to miR-221 promoter in MCF7-ζ cells versus control cells. *G*, Representative IHC of HA-14-3-3ζ, phospho-JNK, phospho-c-Jun, total-c-Jun, p27, Ki-67 and miR-221 ISH in MMTV-*neu* and MMTV-ζ*.neu* tumors (X400).





Figure 6. 14-3-3ζ, miR-221, p27 CDKI and Ki-67 expressions in human breast cancer tissues *A*, Representative 14-3-3ζ, p27 and Ki-67 IHC, and miR-221 ISH in human breast cancer tissues versus normal mammary gland (X400). *B*, Proposed model.