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## Exercise reveals impairments in left ventricular systolic function in patients with metabolic syndrome

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### Abstract

MetS is the manifestation of a cluster of cardiovascular (CV) risk factors and is associated with a three-fold increase risk of CV morbidity and mortality, which is suggested to be mediated, in part, by resting left ventricular (LV) systolic dysfunction. However, to what extent resting LV systolic function is impaired in MetS is controversial, and there are no data indicating whether LV systolic function is impaired during exercise. Accordingly, the objective of this study was to comprehensively examine LV and arterial responses to exercise in MetS individuals without diabetes and/or overt CVD compared to a healthy control population. CV function was characterized using Doppler echocardiography and gas exchange in MetS (n=27) vs. healthy controls (n=20) at rest and during peak exercise. At rest, MetS individuals displayed normal LV systolic function but reduced LV diastolic function vs. healthy controls. During peak exercise, individuals with MetS had impaired contractility; pump performance, and vasodilator reserve capacity vs. controls. A blunted contractile reserve response resulted in diminished arterial-ventricular coupling reserve and limited aerobic capacity in MetS vs. controls. These findings possess clinical importance as they provide insight to the pathophysiological changes in MetS that may predispose this population of individuals to an increased risk of CV morbidity and mortality.

### Keywords

metabolic syndrome; systolic function; exercise reserve

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### Author Contributions

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## Introduction

Individuals with the metabolic syndrome (MetS) are at a three-fold increased risk of cardiovascular disease (CVD) mortality than non-MetS individuals (Malik *et al.*, 2004). Alarming, the prevalence of MetS in US adults is 34 percent and is on the rise due, in part, to rising rates of obesity (Mozumdar & Liguori, 2011), which will likely lead to a further increase in CVD incidence. This increased CVD mortality in MetS may be mediated, in part, by impaired left ventricular (LV) systolic function that has been noted at rest in some studies (Azevedo *et al.*, 2007; Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; Pagé *et al.*, 2010) but not all (Chinali *et al.*, 2004; de las Fuentes *et al.*, 2007). However, many of the studies used load-dependent measures of systolic function (endocardial or midwall fractional shortening) or ejection fraction, which is more representative of the interaction between the LV and arterial system (Chantler *et al.*, 2008a), as measures of LV systolic function (Azevedo *et al.*, 2007; Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; de las Fuentes *et al.*, 2007). Further, the populations examined in these studies were confounded by including MetS patients with diabetes and/or overt CVD (Wong *et al.*, 2005; de las Fuentes *et al.*, 2007; Gong *et al.*, 2009), and/or comparing MetS individuals to a control group that contained individuals with CVD (Wong *et al.*, 2005; Azevedo *et al.*, 2007; de las Fuentes *et al.*, 2007; Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; Pagé *et al.*, 2010). Such confounding factors do not allow interpretations as to whether the severity of the changes in cardiovascular (CV) function is representative of MetS or other pathologies.

Importantly, existing evidence of LV dysfunction in MetS is limited to resting situations when the CV system is attempting to optimize chamber pumping efficiency (De Tombe *et al.*, 1993). In contrast, during exercise the CV system is set to prioritize the output of the heart over energetic efficiency by coordinating changes in LV function, arterial tone, endothelial function, venous return, and autonomic signaling. Thus, it could be expected that LV abnormalities undetectable at rest, may emerge with exertion to limit exercise capacity in MetS and contribute to an impaired quality of life (Fletcher *et al.*, 2001). However, to date, the pathophysiological alterations in LV function and the degree to which these changes influence the interaction of the heart with the arterial system during exercise in MetS is unknown. Thus, examining the LV functional changes during exercise may further provide pathophysiological insights into the CVD risk in MetS patients. Accordingly, the aim of this study was to determine the severity of LV and arterial dysfunction during exercise in MetS individuals without diabetes and/or overt CVD compared to a healthy control population. Further, we will examine resting LV systolic and diastolic function between MetS and healthy controls. We hypothesize that MetS individuals will have an impaired LV contractile response (peak end-systolic elastance and pre-load recruitable stroke work) to maximal exercise, leading to a blunted arterial-ventricular coupling response during exercise compared to healthy age-sex matched controls.

## Methods

### Study Population

Twenty-seven subjects with MetS were recruited into the study. MetS was defined according to the updated National Cholesterol Education Program: Adult Treatment Panel III (Huang, 2009) comprised of three out of the following five components: 1) obesity (waist men >102 cm, women >88 cm); 2) low HDL cholesterol (men <40 mg/dL; women <50 mg/dL); 3) hypertriglyceridemia (>150 mg/dL); 4) elevated glucose (>100 mg/dL), and; 5) elevated BP (130/85 mmHg or use of hypertensive medications). The MetS population was compared to 20 healthy controls free from CVD, as determined by a detailed history, physical examination, and a normal resting and exercise electrocardiogram. Exclusion criteria for MetS and healthy controls included abnormal resting and/or exercise electrocardiogram,

diabetes mellitus (HbA1c  $\geq$  6.5 % or use of diabetic medications), pulmonary disease, angina, atrial fibrillation, aortic stenosis (or other types of valve disease), anemia, myocardial infarction, stroke, or coronary revascularization as assessed by a detailed medical history, and physical examination. No evidence of wall motion abnormalities were identified during the maximal exercise test. Subjects who participated in regular exercise, defined as greater than 30 minutes, three times/week were excluded to ensure similar physical activity levels between groups. All subjects provided written informed consent to participate that was approved by the WVU Institutional Review Board.

## Study Design

Physiological assessments were performed between 7:00-10:00 AM, in a quiet, temperature-controlled room, after a 12-hour fast and abstinence from alcohol, caffeine, and vitamins. CV medications were withheld 24 hours prior to assessments. After a minimum 15 minutes of quiet rest subjects underwent supine, resting, non-invasive assessments of arterial and cardiac structure and function. Once supine assessments were completed, subjects moved to a modified monarch bike where upright rest and exercise measures of CV function were obtained.

**Arterial Geometry and stiffness**—In the supine position, B-mode ultrasound (GE Vivid i) 2-D images of the right common carotid artery were obtained 1–2 cm proximal to the carotid bifurcation to measure maximal lumen diameter, and intima-medial thickness (cIMT) following standard procedures (Roman *et al.*, 2006). Cross-sectional area of the carotid artery was calculated as  $[(\text{maximal lumen diameter}/2)^2 \times \pi] - (\text{maximal lumen diameter}/2 - \text{cIMT})^2 \times \pi$ . Carotid circumferential stress was calculated as systolic BP  $\times$  (carotid diameter in diastole/2). Carotid to femoral pulse wave velocity (cfPWV; central arterial stiffness) was measured by applanation tonometry (AtCor Medical, Sydney, Australia) (O'Rourke *et al.*, 2001). ECG-gated waveforms were sequentially recorded. Aortic distance (D) was calculated as the difference in the distances from the carotid to the suprasternal notch and from the suprasternal notch to the femoral artery. Time delay was calculated using a foot-of-the-wave method.

**Exercise Performance**—Subjects underwent upright cycle maximal exercise testing. To optimize acquisition of the echo images, the back support of the cycle was set at approximately 130 degrees. Pedal speed was maintained at 50 rpm, and workloads increased by 25 W every 3 minutes until exhaustion. Oxygen consumed ( $\text{VO}_2$ ), carbon dioxide produced ( $\text{VCO}_2$ ), and the respiratory exchange ratio ( $\text{RER} = \text{VCO}_2/\text{VO}_2$ ) were measured (ParvoMedics) throughout exercise. Subjective symptoms of fatigue (BORG score 6 to 20) (Borg, 1974), and BP's (sphyg-momanometry) were recorded at the end of each workload.

**Rest and Exercise Echocardiography**—Echocardiograms were obtained using a GE Vivid i (GE Healthcare, Chalfont St. Giles, United Kingdom), portable ultrasound imaging system with a 5S-RS (2.0–5.0 MHz) Wideband Phased Array transducer. All echocardiograms were performed by experienced registered diagnostic cardiac sonographers. Adequate acoustic windows were available in 20 of 23 health controls and 27 of the 32 MetS participants. Thus, we only examined those individuals with adequate acoustic windows. At rest, standard 2-dimensional images were obtained in the following acoustic views; parasternal long axis, and apical 4 chamber view. Pulsed wave Doppler tracings of the mitral valve inflow velocity (recorded at the leaflet's tips) were recorded in the apical 4 chamber view. Continuous/pulse wave Doppler tracings of the LV outflow track velocity were obtained in the apical 5 chamber view positioned 5 mm proximal to the aortic valve. Spectral tissue Doppler imaging was performed in the apical 4 chamber view with the gate sample positioned in the lateral corner and septal side of the mitral annulus. During

exercise, the sonographer quickly acquired a 2-dimensional image of the parasternal long axis view to obtain the size of the LV outflow tract diameter (base of the aortic leaflets). The sonographer then focused on capturing: 4-chamber views to obtain cardiac volumes and mitral flow velocities; and 5-chamber views to obtain pulse-and continuous-wave Doppler-flow from the LV outflow track. During exercise the images were acquired approximately 1.5 minutes into each 3-minute exercise workload. If all images were not acquired within the time frame the duration of the exercise stage was extended to acquire those images. In 41 subjects all images were acquired within 90 seconds; however, in 6 individuals (4 MetS and 2 controls) the final exercise stage needed to be extended on average 40 seconds (range: 10–60 seconds) to acquire all images.

**Cardiovascular Measurements**—From the recorded echocardiograms LV structure and function were calculated as described below:

**Left Ventricular Geometry and Remodeling:** In the supine position, LV dimensions, wall thickness, and chamber volumes were determined in triplicate from 2-dimensional, M-mode, and Doppler spectra echocardiography using standard methods (Lang *et al.*, 2006). Sex-specific LV hypertrophy (LVH) and geometry patterns, based on LV mass index and relative wall thickness (RWT) were defined as LV mass index  $>95 \text{ g/m}^2$  for women or  $>115 \text{ g/m}^2$  for men, and LV geometry was classified as normal, concentric remodeling, concentric LVH, or eccentric LVH (Lang *et al.*, 2006).

**Resting Diastolic Function:** In the supine position, the medial mitral annular early diastolic velocity ( $e'$ ) was determined by spectral tissue Doppler imaging (GE Vivid i) using standard methods. The  $e'$  velocity is inversely related to the time constant of isovolumic relaxation ( $\tau$ ), derived from  $\tau = (14.70 - 100e')/0.15$  (Ommen *et al.*, 2000). Early (E) and late (A) transmitral flow velocities, the isovolumetric relaxation time (IVRT), and the deceleration time of early filling velocity (Dec T) were measured by pulsed-wave Doppler (GE Vivid i). End-diastolic pressure was estimated as  $EDP = 11.96 + 0.596 \times E/e'$  (Ommen *et al.*, 2000).

**Left Ventricular Volumes and Contractility:** In the upright seated rest position and during exercise, LV end diastolic (EDV), and end-systolic (ESV) volumes, along with ejection fraction (EF) were determined from Simpson's biplane method; the recommended method for measurement of volumes (Lang *et al.*, 2006). Cardiac index (Ci) was determined from the product of heart rate (HR) and stroke volume index. Load-independent measures of chamber contractility were examined as: 1) pre-load recruitable stroke work (PRSW [calculated from product of peak volumetric ejection rate from LV outflow Doppler and systolic BP, divided by EDV]), determined from the validated single-beat technique (Lee *et al.*, 2003) and; 2) LV end-systolic elastance (Ees [calculated from BP, stroke volume, EF, and pre-ejection and systolic ejection time intervals from LV outflow Doppler]), determined by the validated single-beat technique (Chen *et al.*, 2001) (see below).

$$Ees = [DBP - (ENd \times SBP \times 0.9)] / [SV \times ENd]$$

where SV is stroke volume (determined from the LV outflow dimension and pulse-wave Doppler),  $E_{Nd}$  is the normalized elastance value at the onset of ejection and DBP and SBP are diastolic and systolic pressures, respectively

$$ENd(\text{est}) = 0.0275 - 0.165 \times EF + 0.3656 \times (DBP/ESP) + 0.515 \times ENd(\text{avg})$$

where EF is Ejection fraction (determined from Simpson's biplane method), ESP is end-systolic pressure ( $SBP \times 0.9$ ), and  $E_{Nd(\text{avg})}$  is given by a seven-term polynomial function:

$$ENd(\text{avg}) = \sum_{i=0}^7 a_i \times t^{Ndi}$$

where  $a_i$  are (0.35695, -7.2266, 74.249, -307.39, 684.54, -856.92, 571.95, -159.1) for  $i = 0$  to 7, respectively. The value of  $t_{Nd}$  was determined by the ratio of pre-ejection period (R wave  $\rightarrow$  flow-onset) to total systolic period (R-wave  $\rightarrow$  end-flow), with the time at onset and termination of flow defined noninvasively from the aortic Doppler waveform.

The orientation of the LV outflow Doppler velocity was positioned 5 mm proximal to the aortic valve in the apical five chamber view. The change in each parameter from upright seated rest to peak exercise was used to characterize contractile reserve.

**Arterial Function:** Effective arterial elastance ( $E_a$ ), a measure of the net arterial load, was calculated as end-systolic pressure (ESP)/stroke volume (SV), where ESP is approximated as  $0.9 \times$  systolic blood pressure (SBP) (Chantler *et al.*, 2008a). Systemic vascular resistance index (SVRI) was calculated as mean arterial pressure (MAP)  $\times$  80/Ci. The change in each parameter from upright seated rest to peak exercise was used to characterize global arterial reserve.

**Arterial-Ventricular Coupling:** The LV and the central arteries have bidirectional interactions. These interactions were examined the depiction of the function of the LV and arterial system in terms of elastance i.e., LV chamber elastance ( $E_{es}$ ) and arterial Elastance ( $E_a$ ), and examining their ratio (arterial-ventricular coupling ratio:  $E_a/E_{es}$ ) (Chantler *et al.*, 2008a).

### Scaling for Body Size

Adequate scaling of physiological measures for body size is essential for correct interpretation, and often the relationship between body size and physiological function may not be linear, a major assumption for the ratiometric scaling approach (Chantler *et al.*, 2005). The allometric scaling approach accounts for this potential nonlinear relationship by normalizing physiological measures using exponential powers that linearize the relationship. In our data set, the relationship between body surface area (BSA) and cardiac volumes, cardiac output, and PRSW were adequately scaled for using the ratiometric scaling approach. No relationships were presented between BSA and  $E_{es}$ , or  $E_a$ . To account for differences in chamber size,  $E_{es}$  was normalized to end-diastolic volume (EDV). However, EDV was allometrically related to  $E_{es}$  and thus EDV to the power of 0.45 was used to scale  $E_{es}$ . The relationship between BSA and the CV parameters were examined using Pearson's correlation. Initially, significant correlations were adjusted ratiometrically,  $y = a + bx + \epsilon$ , where  $b$  represents the slope of the line of best fit;  $a$ , the intercept on the  $y$ -axis; and  $\epsilon$ , the additive residual error term. If significant correlations remained between the ratiometrically adjusted CV variable and BSA, then these data were adjusted allometrically,  $y = ax^b\epsilon$ , where  $y$  represents the CV variable,  $x$  represents BSA,  $a$  represents the proportionality coefficient or constant multiplier,  $b$  is the power function exponent, and  $\epsilon$  represents the multiplicative residual error term as described in detail previously (Chantler *et al.*, 2005).

### Sample Size and Statistical analysis

Measurements of CV function were performed offline, by a single investigator who was blinded to group allocation. The intra-class correlation coefficient (ICC) for all echocardiographic variables was derived in a subset of subjects ( $n=8$ ). At rest, the ICC for all variables, collected on two separate days, was  $>0.80$ . Similar results were obtained for echocardiographic variables evaluated during peak exercise with all variables having an  $ICC > 0.75$  with the exception of the arterial-ventricular coupling ratio ( $ICC=0.63$ ). Specifically, at peak exercise the ICCs for the following CV variables are: EDV = 0.83; SV = 0.80; ICT = 0.75; LV ejection time = 0.84; EF = 0.83; SBP = 0.94; DBP = 0.86;  $E_{es}$  = 0.87;  $E_a$  = 0.90.



Based on previous data identifying differences in peak exercise Ees ( $\approx 4$  mmHg/ml/m<sup>2</sup>) and the Ea/Ees ratio ( $\approx 0.10$ ) between young and older healthy individuals (Najjar et al., 2004; Chantler et al., 2008b; Chantler et al., 2010) sample size was calculated as follows using G\*Power 3.1: Assuming independence among subjects and a SD estimate of 6.5 mmHg/ml/m<sup>2</sup> for peak Ees and 0.11 for the Ea/Ees ratio at peak exercise, eighteen subjects per group would provide us with an 80 percent power (2-sided  $\alpha=0.05$ ), to detect a difference of 4 mmHg/ml/m<sup>2</sup> for peak Ees and 0.10 for peak Ea/Ees between any two groups.

All analyses were performed using SPSS version 20 (SPSS Inc, Chicago, Illinois). A two-tailed  $p < 0.05$  was required for significance. Data are reported as mean  $\pm$  SEM unless otherwise stated. Normality was evaluated by the Kolmogorov-Smirnov test. Categorical variables were compared by the chi-square test. Continuous variables were log transformed as necessary and compared between groups through ANCOVA adjusting for sex. The change from rest to peak exercise in CV parameters was calculated as a delta (max-rest) and this CV delta was then compared between groups using general linear models adjusted for sex as a covariate. The relationship between CV function and increasing exercise workloads was assessed by a two-way repeated-measures ANOVA with a time (exercise workloads) by group (MetS vs. healthy controls) interaction term. To account for structural differences (LV Mass, cfPWV, cIMT) between MetS and controls we performed a repeated-measures ANCOVA to examine the time by group interaction, adjusting for LV Mass, cfPWV, and cIMT as covariates. Lastly, in a subset of the population, individuals (MetS vs. controls) were matched by age, LV Mass, cfPWV, cIMT, peak HR, and respiratory exchange ratio, and analyzed using a repeated-measures ANOVA (see supplemental data).

## Results

### Subject Characteristics

As expected, baseline CVD risk factors were significantly different between MetS and controls but not for age or sex as summarized in Table 1.

### Cardiovascular Differences at Rest

**Arterial Structure and Function:** In MetS subjects, carotid diameter, carotid CSA and cIMT were larger ( $p < 0.05$ ) compared to controls. As such, carotid circumferential stress was larger in MetS (Table 2). Although net arterial load (Ea) and vascular resistance (SVR<sub>i</sub>) did not significantly differ between groups (Table 3), cfPWV was moderately increased (23%,  $p < 0.01$ ) in MetS vs. controls and remained significant after adjusting for MAP between groups ( $p = 0.01$ ), indicating an increase in arterial stiffness in MetS.

**Left Ventricular Structure and Function:** LV Mass was 37 percent larger in MetS, but RWT was similar compared to controls. Thus, LVH was 18 percent greater in MetS than controls, with 33 percent of MetS presenting with concentric remodeling and 7 percent presenting with concentric and eccentric LVH (Table 2). In addition to these remodeling differences between groups; the MetS group tended to present with reduced supine LV diastolic function evident by a greater impaired LV relaxation (lower  $e'$  and longer  $\tau$ ), a higher A-wave (thus the E/A ratio was reversed  $p < 0.01$ ), and a higher E/ $e'$  (a predictor of elevated LV filling pressure), and EDP vs. controls (Table 3).

Comparisons of EDV, ESV, and SV were significantly elevated in MetS however, after adjusting to BSA, cardiac volume no longer differed between groups (Table 4). Cardiac index (Ci) and HR were also similar between groups. LV load-independent contractility as assessed by Ees, Ees-EDV<sup>0.45</sup>, and PRSW did not differ between MetS and controls at rest. The similarity between groups in Ees and Ea guaranteed that Ea/Ees (a measure of net

cardiovascular performance) was also comparable between groups. Further, no differences in pre-ejection or total systolic ejection time were found between groups.

### Cardiovascular Responses to Upright Exercise

**Exercise Performance and Cardiac Function:** At peak exercise, in absolute terms, aerobic capacity (L.min) did not differ between MetS and controls; however, after adjusting for the known effect of body mass on  $\text{VO}_2$  peak, peak aerobic capacity (in ml/min/kg of body mass and lean mass) was significantly reduced in MetS vs. controls. Further, MetS individuals had a lower ventilatory threshold than healthy controls, yet they achieved similar rates of perceived exercise exhaustion, exercise duration and peak workload as controls (Table 5).

During exercise there were no significant time by group interactions for components of LV diastolic function, namely IVRT, E-wave, A-wave, and Dec T (Figure 1). No differences were found between controls and MetS in peak exercise pre-ejection rate ( $16 \pm 3$  vs.  $18 \pm 2$  m/s,  $p=0.4$ ) or total systolic ejection period ( $185 \pm 9$  vs.  $200 \pm 7$  m/s,  $p=0.2$ ). Yet, MetS had a greater peak exercise velocity-time integral ( $2.60 \pm 0.13$  vs.  $2.42 \pm 0.14$  cm,  $p=0.01$ ) compared to controls. The change from rest to peak exercise in EDVi ( $p>0.9$ ) and SVi ( $p=0.3$ ) did not significantly differ between MetS and controls. However, there was a significant time by group interaction for the reduction in ESVi during exercise with MetS individuals having a blunted decrease vs. healthy controls (Figure 2). MetS individuals also demonstrated a blunted increase in EF (6%,  $p=0.02$ ), and Ci ( $-24\%$ ,  $p<0.05$ ) compared to controls, which were evident at submaximal workloads (Figure 2); whereas peak HR was lower at peak exercise in MetS. Importantly, LV contractility reserve (change from rest to peak exercise) was impaired in MetS compared to controls, evident by a blunted increase in Ees ( $-35\%$ ,  $p=0.01$ ), and PRSWi ( $-26\%$ ,  $p=0.013$ ) in MetS (Figure 3). Once again these differences were manifested at submaximal exercise workloads. Further, even after adjusting statistically the above parameters individually for either peak HR, LV Mass, cIMT, or cfPWV the results above did not differ significantly. Further, in a sub cohort of this population matched for resting LV Mass, cIMT, cfPWV, peak exercise HR and respiratory exchange ratio, the results above did not differ significantly (see supplemental data).

**Arterial Function and AVC Reserve:** During exercise the change in Ea did not differ between groups ( $p>0.2$ ) (Figure 3B). The combination of an impaired contractile reserve in MetS, but a similar change in Ea between groups during exercise considerably limited the Ea/Ees response in MetS (25% impaired decrease,  $p<0.05$ ) compared to controls (Figure 3A). Submaximal and peak SBP and DBP were higher in MetS than controls (Figure 4A), and a significant time by group interaction was noted for the change in SVRi during exercise. We further examined these data by statistically adjusting the above CV variables for LV Mass, cIMT, or cfPWV, and with the exception of SVRi ( $p=0.1$  time by group interaction), the results above did not differ significantly. Similarly, the results above did not differ significantly from the sub cohort analysis (see supplemental data).

## Discussion

The present study provides the first comparison of LV and arterial structure and function responses to exercise in non-diabetic MetS individuals without overt CVD. This finding is of significant clinical interest, and a growing public health concern. Our study provides evidence of LV systolic dysfunction during exercise including limitations in peripheral vasodilation accumulating in a blunted arterial-ventricular coupling reserve and impaired peak aerobic capacity in MetS. These data demonstrate that pathophysiological CV alterations occur in the earliest stages of MetS development, prior to any evidence of chronic

disease such as diabetes and/or overt CVD, and that impaired LV systolic function during exercise occurs prior to evidence of LV systolic dysfunction at rest.

### Resting LV and Arterial Structure and Function

Previous studies examining CV alterations in MetS patients (with or without diabetes) have been limited to characterizing differences in LV and arterial structure and function at rest. MetS patients are traditionally characterized as having increased cIMT (range 9–16%), and PWV (range 13–32%) (Scuteri *et al.*, 2004; Lin *et al.*, 2010). The results of the present study are similar to previous findings with MetS individuals presenting with a 21 percent higher PWV, and an 18 percent higher cIMT. They also confirm the presence of increased LV Mass in MetS (in the absence of CVD and/or diabetes) reported by existing studies (Scuteri *et al.*, 2004; de las Fuentes *et al.*, 2007).

Although the LV structural differences in MetS are fairly well agreed upon, consensus is lacking with regard to changes in LV function. Some studies (Chinali *et al.*, 2004; Azevedo *et al.*, 2007; de las Fuentes *et al.*, 2007; Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; Pagé *et al.*, 2010), but not all (Chinali *et al.*, 2004; de las Fuentes *et al.*, 2007), have suggested that MetS individuals have LV systolic dysfunction at rest (Azevedo *et al.*, 2007; Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; Pagé *et al.*, 2010). However, many of these studies used EF as a measure of systolic function, which despite its conventional clinical application, is a rather poor prognostic measure of systolic function as it is potentially influenced by loading conditions and chamber remodeling (Kass *et al.*, 1987). Further, the populations examined in these studies were confounded by the inclusion of MetS patients with diabetes (Wong *et al.*, 2005; de las Fuentes *et al.*, 2007; Aijaz *et al.*, 2008) and moderate stenosis (Pagé *et al.*, 2010), conditions that would exacerbate symptoms of LV dysfunction. In addition, control subjects included people with hypertension (Aijaz *et al.*, 2008; Mahmud *et al.*, 2009; Pagé *et al.*, 2010), obesity (de las Fuentes *et al.*, 2007), diabetes (Wong *et al.*, 2005; Azevedo *et al.*, 2007; Aijaz *et al.*, 2008), and many were taking CV medications (Wong *et al.*, 2005; Pagé *et al.*, 2010). Such confounding factors do not allow interpretation as to whether the changes in LV function are representative of MetS or of other existing pathologies. Using load-independent measures of contractility (Ees, or Ees•EDV, and PRSWi) we found no evidence of LV dysfunction in MetS. The present findings cannot exclude the possibility of cellular abnormalities in the cardiomyocytes of MetS individuals. However, we did identify differences in resting diastolic function in MetS versus healthy controls (Table 4), which has been previously reported in some (Chinali *et al.*, 2004; Mahmud *et al.*, 2009) but not all (Cuspidi *et al.*, 2004; Schillaci *et al.*, 2006) studies. It has been postulated that LV diastolic dysfunction is a pre-cursor of LV systolic dysfunction and heart failure with a preserved EF (HFpEF) (Kitzman *et al.*, 2001; Bella *et al.*, 2002; Schannwell *et al.*, 2002). In addition, abnormalities of LV relaxation, i.e. grade 1 diastolic dysfunction, confer a two-fold increase in all-cause and cardiac mortality (Bella *et al.*, 2002). This statistic highlights the clinical importance of recognizing the early, and/or subclinical, changes in diastolic function at rest in individuals with MetS.

### Aerobic Capacity and Cardiovascular Reserve Function

Exercise provides a powerful tool to examine the response of the LV and arterial systems to stress and to assess functional reserve. If LV function is impaired in MetS individuals it would likely be revealed during exercise, and to promote intolerance to exercise.

Widely regarded as a load-independent measure of LV chamber performance, the change from rest to peak exercise in Ees is blunted with advancing age and may be limited further in the presence of disease (Chantler & Lakatta, 2012). Although at rest Ees is determined by geometric and biochemical properties that regulate LV end-systolic stiffness (i.e. structural



changes from LV hypertrophy or fibrosis) (Borlaug & Kass, 2011), acute changes in Ees, such as those observed during exercise reflect inherent changes in LV contractile function (Chantler *et al.*, 2008a). Using load-independent measures of LV contractility, we identified a blunted Ees, and PRSWi reserve capacity in MetS that manifested at submaximal workloads (Figure 3). Ha *et al.* (Ha *et al.*, 2011) noted a blunted increase in  $s'$  in MetS during exercise; however the extent of the LV function impairment was limited by the load dependence of  $s'$  and the lack of a healthy control for comparison. In the present study, impaired LV contractility was accompanied by altered cardiac pump performance, and an impaired vasodilator reserve capacity in MetS. Importantly, impaired contractile function and vasodilator capacity resulted in a blunted arterial-ventricular coupling response to exercise. Ea/Ees is a key determinant of CV performance, cardiac energetics and exercise capacity (Chantler *et al.*, 2008a). At rest in healthy individuals, Ea/Ees varies from 0.5-1.0 to ensure maximal cardiac power and chamber efficiency (De Tombe *et al.*, 1993). During exercise, Ea/Ees decreases due to an acute mismatch between Ees and Ea to optimize cardiac performance (Chantler *et al.*, 2008a). While resting Ea/Ees did not differ between our groups, the Ea/Ees response to exercise was considerably blunted, which in part contributed to reduced peak aerobic capacity in MetS. Similar impairments in Ea/Ees have been reported during acute maximal exercise with advancing age and have been suggested to explain, in part, diminished CV functional capacity in the elderly (Chantler & Lakatta, 2012).

In addition to the blunted arterial-ventricular coupling response to exercise we, like others (Tjonna *et al.*, 2008; Jae *et al.*, 2010), have shown that peak aerobic capacity is reduced in MetS compared to healthy controls. However, this finding only became evidenced once peak  $\text{VO}_2$  was indexed to body weight or lean mass. It is well known that peak aerobic capacity is positively associated with body size, in particular lean body mass (de Simone *et al.*, 1997; Batterham *et al.*, 1999; Collis *et al.*, 2001), with a larger body mass requiring a greater demand for oxygen. This would suggest that the similar absolute peak  $\text{VO}_2$  (L.min) between MetS and healthy controls is due to MetS having a greater body/lean mass requiring a greater oxygen demand and less likely due to having similar physical fitness levels as controls. Thus, in order to assess CV function independently of the effect of body size, we need to adjust for body size in individuals. Adjusting for differences in body size has been shown to be important for revealing pathophysiological effects of obesity on arterial health (Chirinos *et al.*, 2009), and for a more accurate detection of adverse CV events (de Simone *et al.*, 2005; Chirinos *et al.*, 2010).

Mechanisms limiting CV reserve in MetS individuals remain speculative. But, it is likely that multiple factors are involved, including sympathetic nervous system activity, activation of the renin-angiotensin-aldosterone system, and cardiac metabolism during exercise (Engeli *et al.*, 2000; Peterson *et al.*, 2004; Turhan *et al.*, 2004). Importantly, the blunted CV response is unlikely to be attributed to differences in exercise effort exercise duration, maximal workload, and peak BORG were similar between groups (Table 5). Further, the blunted CV responses in MetS remained evident even after: a) adjusting statistically for peak HR and the respiratory exchange ratio (which were higher in MetS vs. controls); and b) in the subgroup analyses in which subjects were matched for peak HR and the respiratory exchange ratio. Impairments in contractile reserve observed in the present study may be mediated in part by altered calcium handling, as the influx of calcium is a primary determinant of cardiac performance. Specifically, contractile dysfunction as a result of alterations in sarcoplasmic reticulum calcium handling has been implicated in aging and type 2 diabetes (Poirier *et al.*, 2001; Lakatta & Sollott, 2002). Although there was no sign of wall motion abnormalities during exercise in MetS, the use of upright echocardiography may have missed subtle wall motion abnormalities (Ryan *et al.*, 1993). Thus this blunted

systolic function in MetS could, in part, be a manifestation of early myocardial ischemia even in the presence of normal wall motion during exercise.

An additional potential mechanism for the impaired CV response to exercise in MetS may be depressed systemic peripheral vasodilation in the resistance vessels. An impaired SVRi response to exercise is evident in HFpEF and is known to contribute to limited exercise capacity in systolic heart failure, and is thought to be a result of a reduction in NO generation (Borlaug *et al.*, 2006; Abudiab *et al.*, 2013). In accordance with these findings, we observed a blunted reduction in SVRi during exercise in MetS vs. controls. Indeed, endothelial dysfunction is a common denominator linking MetS, type 2 diabetes, and CVD (Diamant & Tushuizen, 2006) suggesting that therapy targeted to promoting the bioavailability and/or stimulating the generation of NO may effectively improve systemic vasodilatation during exercise in MetS.

The MetS is characterized by structural changes to the heart (increase LV Mass and remodeling) and conduit arteries (increased cIMT and cfPWV) (Scuteri *et al.*, 2004; Scuteri *et al.*, 2010). These structural changes are not only predictors of CV events (myocardial or cerebral infarction) (Laurent *et al.*, 2003; Protosaltis *et al.*, 2009) and mortality (Vlachopoulos *et al.*, 2010; Stevens *et al.*, 2013) but they are also predictors of poor aerobic capacity (Hundley *et al.*, 2001; Kokkinos *et al.*, 2007; Jae *et al.*, 2010; Jae *et al.*, 2012). It is plausible that the LV and arterial structural differences noted between MetS and healthy controls could contribute to the blunted CV response during exercise. However, after adjusting for LV mass, cIMT, or cfPWV in the statistical models, the blunted Ees, PRSWi, Ci and EF response from rest to peak exercise in MetS remained. We further examined these relationships by matching healthy controls and MetS by LV mass, cIMT, and cfPWV. Once again, MetS individuals demonstrated a blunted CV response to exercise compared to healthy controls in this sub cohort. These results suggest that the structural CV differences between MetS and healthy controls do not fully account for the impaired exercise CV response in MetS.

Previous literature has suggested a link between LV diastolic dysfunction and exercise intolerance (Poirier *et al.*, 2000; de las Fuentes *et al.*, 2007). Although the focus of this study was to examine the extent of LV systolic dysfunction during exercise (and thus echocardiographic views were optimized to examine systolic function), we were able to compare some components of LV diastolic function during exercise between MetS and controls. No differences in IVRT, and Dec T were evident between groups. Further, the early (E) and late (A) mitral inflow filling velocities, during submaximal workloads, did not differ between groups. At moderate exercise workloads there is a high incidence of fusion of the E and A-wave, thus from 50 W onwards the A-wave dominated and no differences were noted between groups at peak exercise (Figure 2). Unfortunately the acquisition of LV diastolic parameters during exercise is cumbersome, limiting our ability to fully characterize the extent of exercise diastolic function. Therefore we cannot eliminate the possibility that diastolic abnormalities during exercise may have contributed to the CV reserve deficits.

## Limitations

Due to the small sample size and cross-sectional nature of our study, we cannot infer causality between MetS and CV abnormalities. Although pressure and flow were not directly measured, but rather estimated from non-invasive surrogates, these have been previously validated against invasive hemodynamic measurements performed at rest (Chen *et al.*, 2001; Lee *et al.*, 2003). However, the non-invasive measurements of end-systolic elastance and pre-load recruitable stroke work have not been validated during exercise. Further, our cardiac data may be underestimated due to a systematic underestimation of LV volumes from 2-D echocardiography (Tischler & Plehn, 1995; Gottdiener *et al.*, 2004) and

to the challenge of acquiring echocardiographic images during exercise, but this technique has been successfully used by others (Borlaug *et al.*, 2010; Tartière-Kesri *et al.*, 2012), to which we observe similar values and responses in our subjects, suggesting fidelity in our data. Although, it is possible that the lower peak HR and respiratory exchange ratio in MetS may have contributed to their blunted CV response to exercise, this impaired CV response remained evident in a sub cohort of individuals matched for peak HR and the respiratory exchange. The overall significance and strength of our study is that our MetS patient population did not have the disease-related confounders and/or medications seen in most of the existing studies, which allows to report a comprehensive examination of resting and exercise arterial and LV measures in MetS individuals prior to the development of chronic disease.

## Conclusion

This study demonstrates that individuals with MetS display evidence of impaired systolic contractile function, vasodilator, and cardiac pump function reserve capacity during exercise. These deficits contribute to abnormal arterial-ventricular coupling and exercise intolerance in individuals with MetS without diabetes and/or overt CVD. Whether the development of MetS and associated CV changes, and the progression to MetS together with diabetes is along the same pathophysiological pathway to heart failure warrants further investigation.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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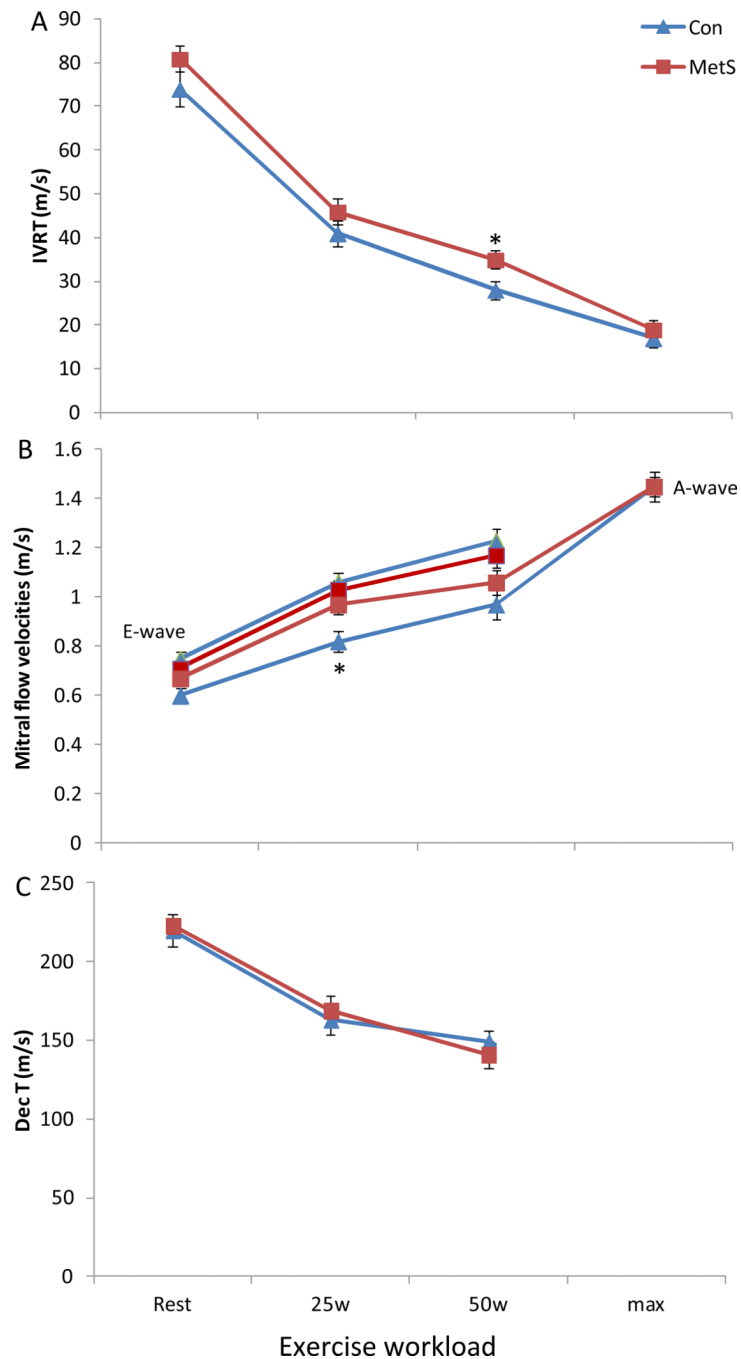


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### New Findings

- What is the central question of this study?  
Metabolic syndrome is associated with a three-fold increased risk of cardiovascular disease mortality, which may be mediated in part, by impaired LV systolic function. The severity of LV and arterial dysfunction during dynamic exercise in MetS individuals without diabetes and/or overt cardiovascular disease has not previously been explored.
- What is the main finding and its importance?  
Cardiovascular function was characterized at rest and during peak exercise using echocardiography and gas exchange. During exercise MetS individuals displayed impaired LV contractility, a blunted arterial-ventricular coupling reserve, and limited aerobic capacity. These findings provide insight to the pathophysiological changes that may occur to predispose MetS to an increased risk of cardiovascular disease.

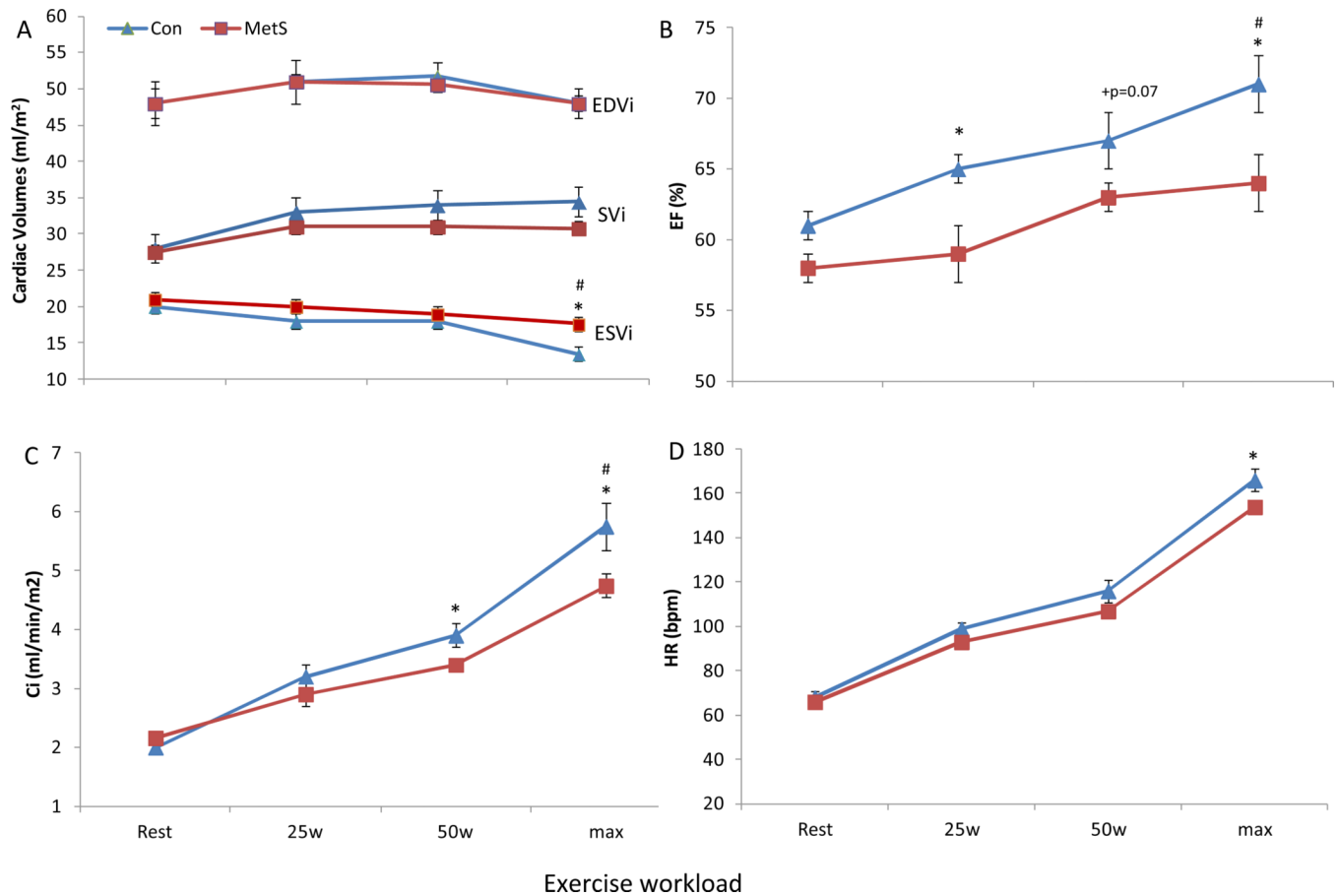


### Figure 1. LV Diastolic function at rest and during exercise

The change from rest to max exercise in (A) isovolumetric relaxation time (IVRT), (B) early (E) and late (A) mitral inflow velocities, and (C), the deceleration time of early filling velocity (Dec T) in MetS and controls. No significant time by group interactions for IVRT or Dec T were evident between MetS and controls during exercise. Further at peak exercise no differences were observed between groups for the early (E) and late (A) mitral inflow filling velocities, however, at moderate workloads the E- and A-waves become superimposed at extreme workloads the A-wave dominates over the E-wave. Further, once the E- and A-waves become superimposed we are not able to calculate the Dec T and thus

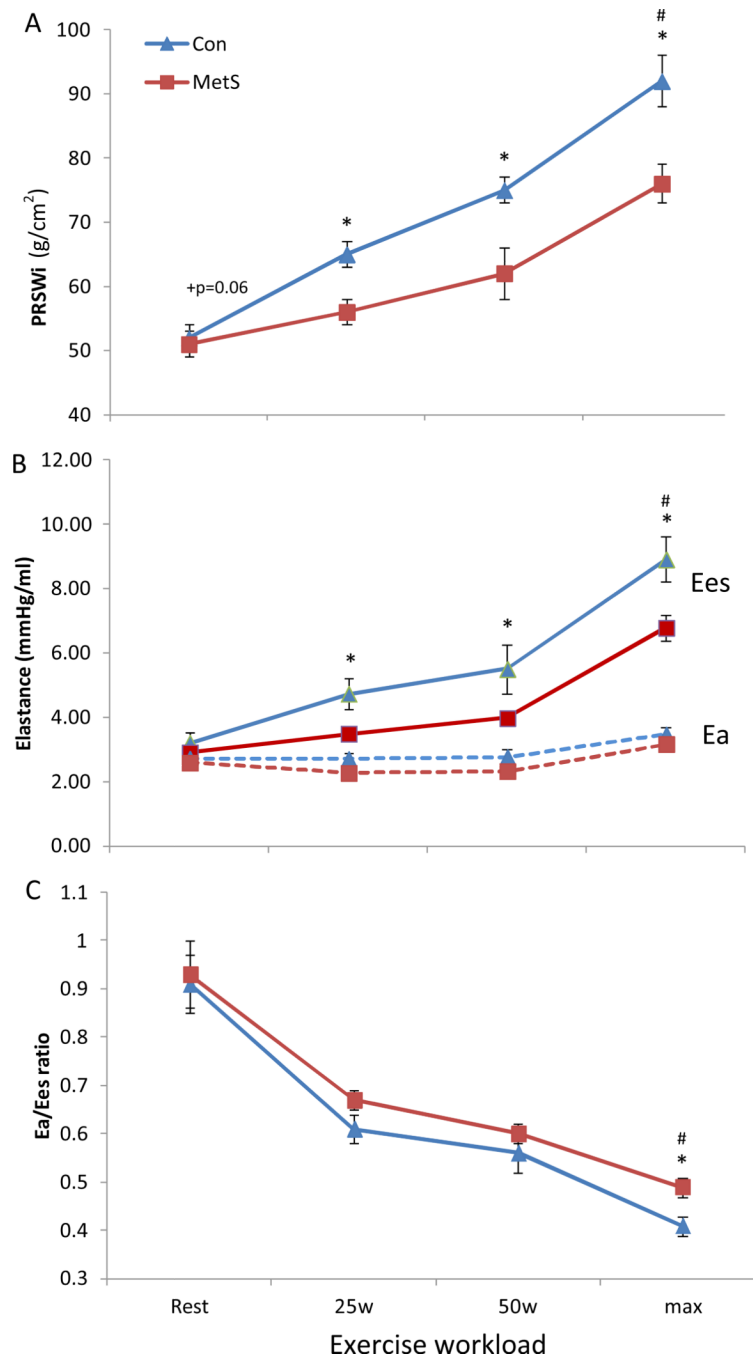
we have only reported the Dec T for rest, 25 and 50w time points. \* $p < 0.05$  MetS vs. controls; #  $p < 0.05$  time by group interaction. Data presented as means  $\pm$  SEM.



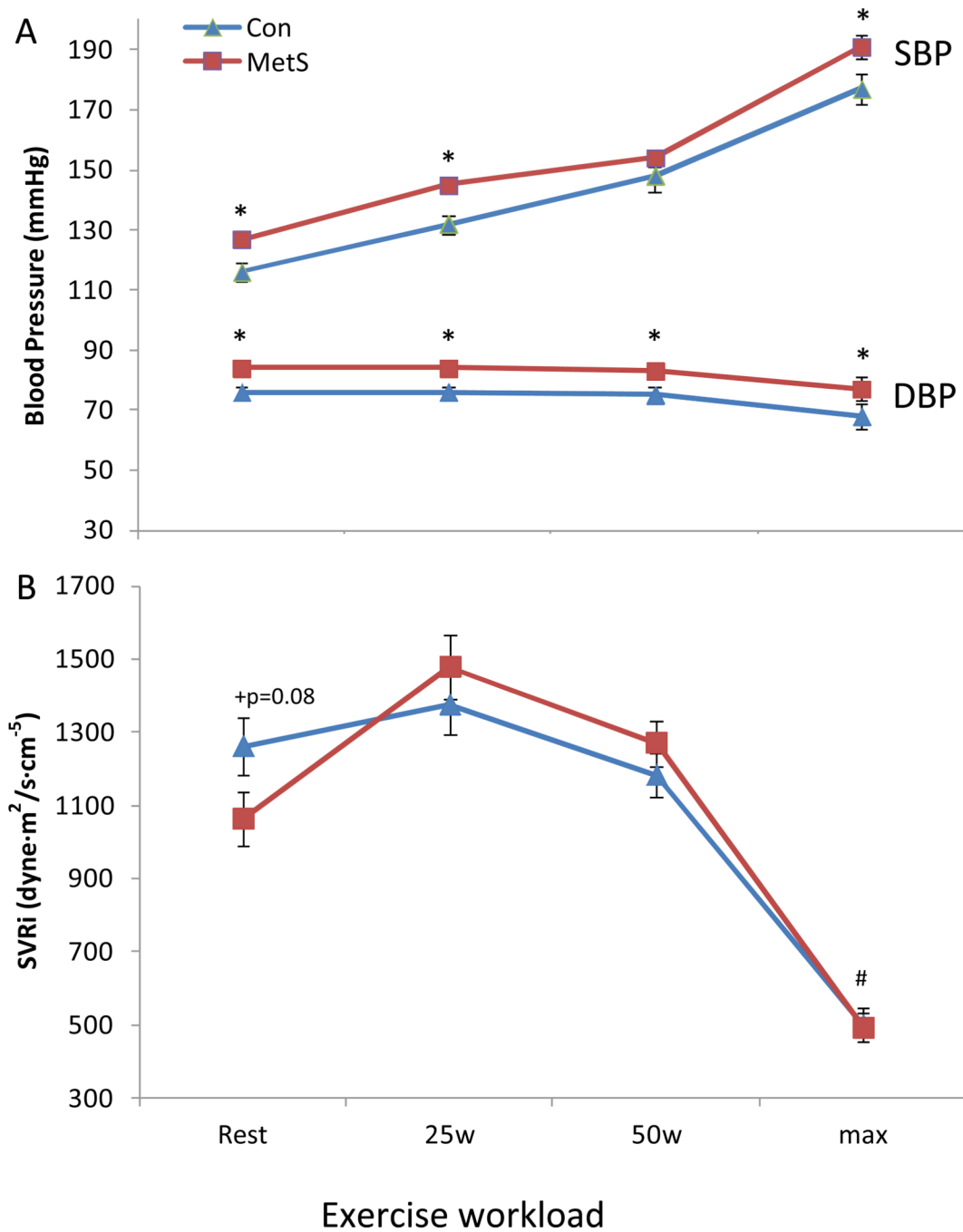


### Figure 2. Cardiac pump performance at rest and during upright exercise

The change from rest to max exercise in (A) cardiac volumes, (B) ejection fraction (EF), (C) cardiac index (Ci), and (D) heart rate (HR) in MetS and controls. During exercise the change from rest to max exercise in EDVi and SVi was similar between MetS and healthy controls. A significant time by group interaction was identified for the reduction of ESVi during exercise with MetS displaying a blunted decrease vs. controls. Compared to controls MetS also demonstrated a blunted increase in EF and Ci at max exercise, which was evident at submaximal workloads. Peak HR was significantly lower in MetS vs. controls. \* $p < 0.05$  MetS vs. controls; # $p < 0.05$  time by group interaction. Data presented as means  $\pm$  SEM.



**Figure 3. Cardiac contractility and arterial-ventricular coupling response to exercise**  
 The change from rest to max exercise in (A) pre-load recruitable stroke work index (PRSWi), (B) end-systolic elastance (Ees) and effective arterial-elastance (Ea), (C) and the arterial-ventricular coupling ratio (Ea/Ees) in MetS and controls. Compared to controls, MetS displayed a blunted increase in Ees and PRSWi at max exercise. During exercise the combination of a blunted increase in Ees and a comparable change in Ea between groups resulted in a blunted Ea/Ees ratio in MetS compared to controls. \* $p < 0.05$  MetS vs. controls; # $p < 0.05$  time by group interaction. Data presented as means  $\pm$  SEM.



**Table 1**

## Clinical Characteristics

	<b>Controls (n=20)</b>	<b>MetS (n=27)</b>	<b>p Value</b>
Age, year	45 ± 2.5	49 ± 1.9	0.24
Sex, female %	65	63	0.57
Height, cm	169 ± 2	169 ± 2	0.92
Weight, kg	71 ± 2	103 ± 4	<0.001
Waist circumference, cm	85 ± 5	119 ± 5	<0.001
Body Fat, %	25 ± 2	39 ± 2	<0.001
Lean mass, kg	53 ± 2	62 ± 2	<0.01
BSA, m <sup>2</sup>	1.80 ± 0.03	2.11 ± 0.04	<0.001
BMI, kg/m <sup>2</sup>	25.0 ± 0.8	36.0 ± 1.0	<0.001
SBP, mmHg*	115 ± 2	134 ± 3	<0.001
DBP, mmHg*	75 ± 1	83 ± 1	<0.001
Ejection Fraction, %	61 ± 1	58 ± 1	0.06
Triglycerides, mg/dL	94 ± 10	170 ± 29	0.036
HDL, mg/dL	55 ± 3	43 ± 2	<0.001
Glucose, mg/dL	93 ± 2	99 ± 1	0.018
HbA1c, %	5.35 ± 0.09	5.60 ± 0.07	0.03
Insulin, µIU/mL	6.1 ± 1	12.1 ± 1	<0.001
HOMA-IR	1.32 ± 0.23	2.98 ± 0.37	<0.001
Hypertensive %	0	56 %	<0.01
Diabetes Mellitus %	0	0	
Medications			
Hypertension	0	56%	<0.05
Cholesterol	0	7%	<0.05

Values are mean ± 1 SEM; BSA: body surface area; BMI: body mass index; SBP: systolic blood pressure; DBP: diastolic blood pressure; HDL: high density lipoprotein; HbA1c: hemoglobin A1c; HOMA-IR: homeostatic model assessment of insulin resistance.

\* SBP and DBP taken during screening visit

**Table 2**

## Measures of Cardiovascular Geometry at Supine Rest

	<b>Controls (n=20)</b>	<b>MetS (n=27)</b>	<b>p Value</b>
<b>Arterial Geometry</b>			
cIMT, mm	0.61 ± 0.02	0.72 ± 0.04	0.02
Diastolic diameter, mm	5.78 ± 0.12	6.17 ± 0.13	0.04
Carotid CSA, mm <sup>2</sup> /m <sup>2</sup>	10.3 ± 0.32	12.7 ± 0.68	<0.01
Aortic CSA, cm <sup>2</sup>	2.52 ± 0.14	2.59 ± 0.11	0.68
<b>Cardiac Geometry</b>			
Septal wall thickness, cm	0.79 ± 0.03	0.96 ± 0.03	<0.01
Posterior wall thickness, cm	0.83 ± 0.04	0.94 ± 0.04	0.07
LV internal dimension, cm	4.34 ± 0.11	4.58 ± 0.08	0.09
LV Mass, g	136 ± 7	186 ± 11	<0.01
LV Mass Index, g/m <sup>2</sup>	75 ± 3	88 ± 5	0.046
Relative Wall Thickness	0.39 ± 0.02	0.41 ± 0.02	0.41
LV Hypertrophy %	1	19	0.18
Concentric Remodeling, %	25	33	0.14
Concentric Hypertrophy, %	1	7	0.14
Eccentric Hypertrophy, %	0	7	0.14

Values are mean ± 1 SEM, p-values adjusted for sex; cIMT: carotid intima-medial thickness; CSA: cross-sectional area.



**Table 3**

## Measures of Left Ventricular Diastolic Function at Supine Rest

	Controls (n=20)	MetS(n=27)	p Value
<b>LV Diastolic Function</b>			
E, m/s	0.81 ± 0.04	0.82 ± 0.03	0.65
A, m/s	0.57 ± 0.03	0.73 ± 0.03	<0.01
E/A ratio	1.47 ± 0.08	1.19 ± 0.07	<0.01
IVRT, m/s	71 ± 3	74 ± 3	0.54
Dec T, m/s	220 ± 7	203 ± 7	0.10
e', m/s	0.13 ± 0.01	0.11 ± 0.01	0.03
τ, ms	28 ± 3	41 ± 3	<0.01
E/e'	6.46 ± 0.40	8.19 ± 0.59	0.02
LV EDP, mmHg	15.9 ± 0.24	16.9 ± 0.36	0.02

Values are mean ± 1 SEM, p-values adjusted for sex; E: peak velocity of the early diastolic mitral flow; A: peak velocity of the late diastolic mitral flow; E/A: E divided by A; IVRT: isovolumetric relaxation time; Dec T: mitral flow deceleration time of early filling velocity; e': mitral annular early diastolic velocity; τ: time constant of isovolumetric relaxation; E/e': E divided by e'; LV EDP: left ventricular end-diastolic pressure

**Table 4**

## Measures of Cardiovascular Function at Seated Rest

	Controls (n=20)	MetS (n=27)	p Value
<b>LV Performance</b>			
EDV, ml	87 ± 6	103 ± 5	0.02
EDVi, ml/m <sup>2</sup>	48 ± 3	48 ± 2	0.92
ESV, ml	36 ± 3	49 ± 2	<0.01
ESVi, ml/m <sup>2</sup>	20 ± 1	21 ± 1	0.52
SV, ml	51 ± 3	59 ± 3	0.09
SVi, ml/m <sup>2</sup>	28 ± 2	28 ± 1	0.76
<b>LV Contractility</b>			
Ees, mmHg/ml	3.21 ± 0.33	2.91 ± 0.18	0.45
Ees-EDV <sup>0.45</sup>	85 ± 6	91 ± 25	0.48
PRSW, g/cm <sup>2</sup>	90 ± 4	94 ± 3	0.93
PRSWi, g/cm <sup>2</sup> /m <sup>2</sup>	52 ± 2	51 ± 2	0.66
<b>Integrated indexes</b>			
Heart rate, bpm	68 ± 2	66 ± 2	0.46
Ea/Ees ratio	0.91 ± 0.06	0.93 ± 0.07	0.93
Cardiac Output	3.43 ± 0.22	3.85 ± 0.20	0.18
Cardiac Index, L/m <sup>2</sup> -min	2.03 ± 0.12	2.16 ± 0.08	0.33
Pre-ejection period, m/s	70 ± 4	66 ± 3	0.36
Systolic ejection time, m/s	334 ± 5	337 ± 6	0.67
Velocity-time integral, cm	16.6 ± 0.5	18.8 ± 0.8	0.02
<b>Arterial Function</b>			
SBP, mmHg	116 ± 3	127 ± 3	<0.01
MAP, mmHg	90 ± 2	99 ± 2	<0.01
Ea, mmHg/ml	2.71 ± 0.17	2.58 ± 0.15	0.58
SVRi, dyne-m <sup>2</sup> /s-cm <sup>-5</sup>	1261 ± 78	1063 ± 75	0.08
cf-PWV, m/s	6.6 ± 0.2	8.0 ± 0.2	<0.01
Carotid Circum Stress, mmHg	18.8 ± 0.8	23.4 ± 1.4	0.01

Values are mean ± 1 SEM, p-values adjusted for sex; <sup>a</sup> adjusted for differences in mean arterial pressure; EDV: end-diastolic volume (i=index); SV: stroke volume; Ees: end-systolic elastance; PRSWi: preload recruitable stroke work index; Ea/Ees: arterial-ventricular coupling ratio; Ea: arterial elastance; SVRi: systemic vascular resistance index; cf-PWV: carotid to femoral pulse wave velocity.

**Table 5**

## Metabolic Performance During Exercise

	Controls (n=20)	MetS (n=27)	p Value
Exercise duration, s	869 ± 65	946 ± 49	0.34
Peak respiratory exchange ratio	1.15 ± 0.02	1.09 ± 0.02	0.01
Peak work load, W	113 ± 9	123 ± 5	0.29
Peak Heart rate, bpm	166 ± 4	154 ± 3	0.01
Peak SBP, mmHg	177 ± 8	191 ± 4	0.01
Peak DBP, mmHg	68 ± 4	77 ± 4	0.09
Subjective effort score (6–20)	19 ± 0.3	19 ± 0.3	0.56
VO <sub>2peak</sub> , L/min	1.75 ± 0.13	1.77 ± 0.12	0.98
VO <sub>2peak</sub> indexed to BW, ml/kg/min	24.6 ± 1.4	17.1 ± 0.9	<0.01
VO <sub>2peak</sub> indexed to LM, ml/kg/min	32.7 ± 1.4	28.0 ± 1.0	<0.01
VO <sub>2</sub> at ventilatory threshold, ml/kg/min	16.8 ± 1.1	11.8 ± 0.8	<0.01
Time to ventilatory threshold, s	638 ± 72	628 ± 57	0.91
Ratio VO <sub>2</sub> at ventilatory threshold to VO <sub>2peak</sub> , %	65 ± 2	67 ± 2	0.58
Ratio of observed to predicted VO <sub>2peak</sub> , %	91 ± 5	69 ± 2	<0.01
VE/VO <sub>2</sub> at VT, %	29 ± 1	29 ± 1	0.70
VE/VO <sub>2</sub> at max	41 ± 1	38 ± 1	0.10
VE/VCO <sub>2</sub> at max	35 ± 1	35 ± 1	0.75

Values are mean ± 1 SEM, p-values adjusted for sex; BW, body weight; LM, lean mass.