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# **Cardiolipin-Dependent Formation of Mitochondrial Respiratory Supercomplexes**

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# **Abstract**

The organization of individual respiratory Complexes I, III, and IV (mammalian cells) or III and IV (yeast) of the mitochondria into higher order supercomplexes (SCs) is generally accepted. However, the factors that regulate SC formation and the functional significance of SCs are not well understood. The mitochondrial signature phospholipid cardiolipin (CL) plays a central role in formation and stability of respiratory SCs from yeast to man. Studies in yeast mutants in which the CL level can be regulated displayed a direct correlation between CL levels and SC formation. Disease states in which CL levels are reduced also show defects in SC formation. Threedimensional density maps of yeast and bovine SCs by electron cryo-microscopy show gaps between the transmembrane-localized interfaces of individual complexes consistent with the large excess of CL in SCs over that integrated into the structure of individual respiratory complexes. Finally, the yeast SC composed of Complex III and two Complexes IV was reconstituted in liposomes from purified individual complexes containing integrated CLs. Reconstitution was wholly dependent on inclusion of additional CL in the liposomes. Therefore, non-integral CL molecules play an important role in SC formation and may be involved in regulation of SC stability under metabolic conditions where CL levels fluctuate.

#### **Keywords**

Cardiolipin; respiratory supercomplex; mitochondria; structural analysis; *in vitro* reconstitution

# **1.0 Introduction**

The anionic phospholipid cardiolipin  $(CL<sup>1</sup>)$ , also called diphosphatidylglycerol,  $(1,3-bis)$ (*sn*-3′-phosphatidyl)-*sn*-glycerol), is uniquely localized to energy-transducing membranes, which couple generation of an electrochemical potential with ATP synthesis and substrate transport. In eukaryotes CL is a signature phospholipid of mitochondria. The unique structure of CL is composed of two phosphates, four fatty acids, three chiral centers and a free central hydroxyl (Fig. 1), which has been suggested to serve as a proton sink. In animals

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<sup>&</sup>lt;sup>1</sup>Complex III (CIII) is a structural and functional homodimer of two cytochrome  $bc_1$  monomeric complexes and when in a supercomplex (SC) is referred to as III<sub>2</sub>.

and higher plants the majority of acyl chains of CL contain polyunsaturated fatty acids with 18 carbons, while in *Saccharomyces cerevisiae* (hereafter referred to as yeast) the fatty acids are 16 and 18 carbon monounsaturated chains (Schlame and Ren, 2009). CL interacts with many membrane proteins affecting their activity, stability, level of aggregation, and compartmentalization. In this review we will focus on the specific role CL plays in organization and function of the mitochondrial respiratory chain.

## **2.0 Synthesis of CL**

The function and synthesis of mitochondrial lipids in mammalian cells and yeast are highly homologous. Yeast cells have a distinct research advantage over higher eukaryotes in ease of growth and genetic manipulation coupled with viability in the face of dramatic alterations in mitochondrial phospholipid composition. In yeast, CL and its precursor phosphatidylglycerol (PG) are synthesized from the common precursor phosphatidic acid (Fig. 1) by mitochondrial-localized enzymes that are encoded by nuclear genes, synthesized on cytoplasmic ribosomes and imported into the inner mitochondrial membrane. The fatty acid composition of newly synthesized CL is remodeled to its unique composition by transacylation reactions in which the *CLD1* and *TAZ1* gene products are involved. All genes of yeast involved in biosynthesis of CL have been identified and cloned with mutants available in each step of synthesis.

#### **3.0 Mitochondrial Respiratory Chain Organization and Function**

In mammalian mitochondria the respiratory chain is composed of four multi-subunit electron transfer protein complexes: Complex I (CI, NADH:ubiquinone oxidoreductase), Complex II (succinate:ubiquinone oxidoreductase), Complex  $III<sup>1</sup>$  or cytochrome  $bc<sub>1</sub>$  complex (CIII, ubiqunol:cytochrome *c* oxidoreductase), Complex IV (CIV, cytochrome *c* oxidase) and two small electron carriers, which transfer electrons from CI or Complex II to CIII (lipid-soluble ubiquinone (CoQ)), or from CIII to CIV (water-soluble cytochrome *c*). Yeast lacks CI and utilizes peripheral membrane NADH dehydrogenases lacking proton-pumping ability. Electron transfer is coupled with proton pumping by CI, CIII and CIV from the mitochondrial matrix to the mitochondrial intermembrane space (IMS) resulting in an electrochemical proton gradient across the inner mitochondrial membrane, which  $F_1F_0$ -ATPase (Complex V) uses for ATP synthesis (for most recent review and references see (Sun et al., 2013)

Organization of the respiratory chain as one structural and functional unit, now termed a respirasome (Schagger and Pfeiffer, 2000), was originally formulated by Chance (Chance and Williams, 1955). Once active individual respiratory complexes were purified and reconstituted into liposomes, this model was substituted by the random collision model (Hackenbrock et al., 1986), in which the respiratory chain is composed of individual complexes independently imbedded in the lipid bilayer and connected by randomly diffusing CoQ and cytochrome *c*. Mild solubilization of mitochondrial membranes with digitonin and development of blue-native and colorless-native polyacrylamide gel electrophoresis (BN-PAGE and CN-PAGE), demonstrated active stoichiometric assemblies of individual complexes in mitochondria from yeast to mammals. Association of CI with CIII  $(I_1III_2)$  or CI with CIII and one to four copies of CIV  $(I_1III_2IV_{n=1-4}$ , termed "respirasome") resulting in multiple supercomplexes (SCs) was demonstrated in mammalian mitochondria. In yeast two SCs, one composed of  $III_2 IV_1$ , and another one of  $III_2 IV_2$ , were found (Schagger, 2001; Schagger and Pfeiffer, 2000; Stuart, 2008).

Flux control analysis of electron transfer through the respiratory chain showed substrate channeling of CoQ in bovine heart mitochondria and of both, CoQ and cytochrome *c*, in

mitochondria of potato tuber, thus demonstrating the existence of functional respiratory SCs (for review and refs see (Genova and Lenaz, 2013; Lenaz and Genova, 2007)). Kinetic measurements with yeast mitochondria were also consistent with substrate channeling of CoQ and cytochrome *c* unless mitochondria were treated with a chaotropic agent, which resulted in dissociation of SCs (Boumans et al., 1998). SCs from mouse cells isolated by BN-PAGE contained the entire respirasome along with associated CoQ and cytochrome *c*, which were capable of oxygen consumption after addition of NADH (Acin-Perez et al., 2008). The purified yeast SC III<sub>2</sub>IV<sub>2</sub> also contained bound cytochrome  $c$  and catalyzed electron transfer from reduced  $CoQ (QH<sub>2</sub>)$  to  $O<sub>2</sub>$  (Mileykovskaya et al., 2012).

In mammalian cells respirasomes composed of CI/CIII/CIV, SCs composed of CI/III and CIII/CIV and free individual respiratory complexes coexist in a dynamic equilibrium. Alteration of the ratios of SCs and individual complexes by genetic manipulation demonstrated that electron transfer occurs by a mixture of direct substrate channeling of CoQ/cytochrome *c* within SCs and through random collision of CoQ/cytochrome *c* and individual respiratory complexes dependent on the metabolic state of the cell and substrate availability (Lapuente-Brun et al., 2013).

Nevertheless, studies in whole yeast cells employing time-resolved oxidation of cytochrome *c* by CIV found that electron transfer between CIII and CIV is a completely random process not involving any compartmentalization of cytochrome *c* (Trouillard et al., 2011). The technique relied on reversible competitive inhibition of reduced CIV by carbon monoxide (CO), in which photo-dissociation of the CO- $a_3$  heme complex allows fast O<sub>2</sub> binding. However the approach, previously used in isolated systems, did not take into account the  $O<sub>2</sub>$ -sensing ability of CIV, signaling systems in the cells sensitive to CO or anoxia (Kwast et al., 1999), and the ability of the electron transfer chain to dynamically reorganize with rapid dissociation of cytochrome *c* from respiratory SCs (Morin et al., 2003). Therefore, additional controls are required for final interpretation of results obtained by this method in the whole cells.

Several factors have been identified, which are necessary for individual respiratory complex assembly with some evidence of involvement in either formation or stability of SCs in yeast and higher eukaryotes. Respiratory SC factor (Rcf) 1 or 2 is required for late-stage assembly of the yeast Cox12p and Cox13p subunits and for cytochrome *c* oxidase activity in yeast; human orthologs of Rcf1p complement yeast mutants (Chen et al., 2012; Strogolova et al., 2012; Vukotic et al., 2012). However, purified yeast SC  $III<sub>2</sub>IV<sub>2</sub>$  contained much less than stoichiometric amounts of Rcf1p (Bázan, S., Mileykovskaya, E. and Dowhan, W., unpublished results), suggesting a role in proper assembly of individual complexes for SC formation rather than stability of SCs. Mammalian mitochondria contain additional factors (Cox7a-related proteins in mice) that are required for regulation of CIV activity and SC formation (Ikeda et al., 2013; Lapuente-Brun et al., 2013).

Therefore, the preponderance of evidence indicates higher order organization of mitochondrial respiratory complexes into SCs, which may involve additional protein factors. However, even in the presence of these additional protein factors, the phospholipid CL is still required (Bázan et al., 2013; Chen et al., 2012). As will be outlined below, CL is directly involved in SC formation and stability.

#### **4.0 Direct Involvement of CL in SC Formation and Pathological**

#### **Consequences**

The role of CL was first demonstrated in the formation of the yeast tetrameric SC ( $III_2$ IV<sub>2</sub>) using BN- and CN-PAGE of digitonin extracts of mitochondria and kinetic analysis of

substrate oxidation by the respiratory chain in intact mitochondria. In yeast Δ*crd1* null mutants lacking CL, but containing elevated amounts of its precursor PG, there was no formation of the stable  $III_2$ IV<sub>2</sub> SC or substrate channeling of cytochrome *c* in contrast to studies with the wild type strain containing CL (Mileykovskaya et al., 2005; Pfeiffer et al., 2003; Zhang et al., 2002, 2005). Importantly, a strain in which CL content could be exogenously regulated *in vivo* showed a direct correlation between CL levels, the ratio of SC to individual complexes and growth-rate on non-fermentable carbon sources demonstrating dependence of energetic efficiency on CL levels.

In Barth syndrome (an X-linked mutation in the *TAZ* gene characterized by cardiomyopathy, skeletal myopathy, neutropenia, and growth retardation) patient mitochondria also display CL-dependent respirasome organization. Patient mitochondria also display lower CL content and a polydispersity in acyl chain composition of CL (Schlame and Ren, 2006). BN-PAGE revealed an increase in free CIV monomer and a decrease in the  $I_1III_2IV_1$  SC in patient lymphocytes (McKenzie et al., 2006). Dramatic changes in SC organization were observed in a pluripotent stem cell model system of this disorder (Dudek et al., 2013). An increase in mitochondrial content, which compensates for the decrease in the level of respiratory complexes and SCs, was found in immortalized patient lymphoblasts (Gonzalvez et al., 2013). Mutants in the yeast *TAZ1* gene (Ma et al., 2004) also have reduced CIV and SC (Brandner et al., 2005; Li et al., 2007). Reduced formation of individual complexes and SCs was correlated with lowered CL levels due to oxidative stress and CL peroxidation in aging (Gomez and Hagen, 2012), neurodegenerative diseases (Paradies et al., 2011), cancer (Gasparre et al., 2013). For reviews see (Bogdanov et al., 2008; Joshi et al., 2009; Lenaz, 2012; Mileykovskaya and Dowhan, 2009; Schlame and Ren, 2006). Therefore, evidence from yeast to humans supports a role for CL in higher order organization of respiratory complexes.

#### **5.0 Insights from Structural Analysis of SCs**

Analysis of the structural organization of respiratory SCs can identify domains of individual complexes oriented towards each other, estimate distances between these domains, examine sites of protein contact, identify positions of tightly bound CL, and predict positions of loosely bound CLs. Three-dimensional (3D) density maps of the bovine heart respirasome  $(I_1III_2IV_1)$  were obtained by cryo-electron tomography (Dudkina et al., 2011) of digitoninsolubilized SC and by cryo-electron microscopy (EM) and single particle analysis of the amphipol-solubilized SC (Althoff et al., 2011). Docking of available crystal structures of the individual complexes into both 3D density maps using the UCSF Chimera program (Pettersen et al., 2004) generated very similar pseudo-atomic models; see (Dudkina et al., 2010), (Althoff et al., 2011) and Fig. 2 with PDB 2YBB. Interestingly, mutual orientation of CIV and CIII in the bovine SC differs significantly from their orientation in the structure of the yeast SC ( $III<sub>2</sub>IV<sub>2</sub>$ ) suggested from 2D projection averages obtained by negative stain single particle EM (Heinemeyer et al., 2007). A subsequent pseudo-atomic model derived by single particle cryo-EM and docking of the crystal structures of the yeast CIII and bovine CIV into the reconstituted yeast 3D density map demonstrated the same significant differences in the mutual orientation of CIII and CIVs in the yeast versus the bovine SC (Fig. 2 and Fig. 3; for detailed explanation see (Mileykovskaya et al., 2012)).

The difference in arrangement of CIII and CIV in the bovine respirasome and yeast SC most likely stems from the necessity for bovine CIII to also interact with CI as shown in Fig. 2. In the yeast structure, dimeric CIII is flanked by two monomers of CIV. CIV faces the side of CIII with an external cavity formed by transmembrane domain helices of cytochrome  $c<sub>1</sub>$  and cytochrome *b* (Fig. 3). This cavity contains tightly bound CL (denoted in crystal structures as L5 in (Lange et al., 2001) or CLD in PDB 1KB9; or CN3 in PDB 3CX5). The close

proximity to the ubiquinone reduction site (Qi) suggests involvement of this CL in proton uptake pathway in CIII (Hunte et al., 2003).

In yeast the role of the above CL in SC stability was suggested (Pfeiffer et al., 2003) and investigated by constructing single, double and triple replacement mutants (K288L, K289L and K296L) at the site in cytochrome  $c_1$  important for CL binding (Wenz et al., 2009). The double (K288L, K289L) and triple mutants still formed CIII/CIV SCs even when expressed in the Δ*crd1* background lacking CL suggesting the role of CL is in neutralization of the positive charges at this site in CIII important for interaction of CIII with CIV and stabilization of SC. However, as authors noted, the presence of high levels of PG in the Δ*crd1* mutant complicated the interpretation of their results. Indeed, the results do not establish that this is the only site important for SC stabilization and direct interaction with CIV. For example, binding of PG in place of CL to other sites of CIII in the combined Δ*crd1* K-mutants could be involved.

In the bovine respirasome the interface of CIII with CIV is not at the site homologous with the CL-binding site in the yeast CIII external cavity (Fig. 2 A and B). In bovine CIII this cavity contains two CLs, which appear to be stabilized by a helical belt formed by chain G (SU7 or ubiquinone-binding protein (PDB 1PP9) corresponding to Qcr8p or chain H in yeast, PDB 3CX2, Fig. 3). This belt contributes additional positive charges and closes the cavity thus more firmly stabilizing CL association. However, in yeast the belt contains fewer positive charges resulting in only one bound CL, which is probably less tightly associated (Dibrova et al., 2013).

The hydrophobic cleft close to the CIII homodimer interface also contains a conserved tightly bound CL (L7 (Palsdottir and Hunte, 2004), or CN5 in PDB 3CX5). Two CLs were resolved in the crystal structure of bovine CIV ((Shinzawa-Itoh et al., 2007); (PDB 2DYR). One of them stabilizes the CIV dimer in the crystal structure. A third CL was found by labeling with photo-reactive CL analogues near the entrance to the putative proton-pumping channel and might facilitate proton entry (Sedlak et al., 2006).

Structural studies revealed spaces between transmembrane domains at the interface between individual complexes within the bovine respirasome (Althoff et al., 2011; Dudkina et al., 2011) (Fig. 2 B) and yeast SC (Mileykovskaya et al., 2012) (Fig. 3), which may be filled with lipids. Protein contact points are outside the lipid bilayer. Consistent with these observations, about 50 CL molecules were determined in the  $III<sub>2</sub>IV<sub>2</sub> SC$  from yeast (Mileykovskaya et al., 2012) and about 200 CL molecules were estimated to be present in the purified bovine respirasome  $(I_1III_2IV_1)$  (Althoff et al., 2011), which is much larger due to CI presence and larger space between the three individual complexes (Fig. 2 B). In yeast the CL associated with the purified SC showed the same proportion between the five major CL species with acyl chains  $(16:1)_4$ ,  $(16:1)_3(18:1)_1$ ,  $(16:1)_2$   $(18:1)_2$  and  $(18:1)_4$  as CL found in the inner mitochondrial membrane as revealed by quantitative electrospray ionization mass spectrometry (ESI-MS) (Mileykovskaya et al., 2012).

Lipids provide a less stable and more flexible interface between the individual respiratory complexes than direct protein-protein interactions. The presence of loosely bound CLs within the spaces between individual complexes provides a means for dynamic formation and dissociation of SCs in response to CL levels either under normal or abnormal physiological states. Therefore, are the tightly bound integral CLs sufficient for SC formation or are additional CLs required?

#### **6.0 In Vitro and In Silico CL-Dependent Reconstitution of SCs**

To further study the role of CL, which may fill the spaces between transmembrane domains of CIII and CIV in the yeast  $\text{IV}_1\text{III}_2\text{IV}_1$  SC, a minimal system for in vitro reconstitution of the SC dependent on added lipids was developed (Bázan et al., 2013). CIII and CIV were purified using dodecyl maltoside, which dissociates the SC and removes all but tightly bound and structurally integrated lipids. The purified individual complexes contained mostly integrated CL, as was determined by quantitative ESI-MS:  $8$  CLs in the dimeric CIII (III<sub>2</sub>) and 2 CLs in the monomeric CIV. For the first time the reconstitution of the trimeric  $(III_2IV_1)$  and tetrameric  $(III_2IV_2)$  SCs from individual CIII and CIV in proteoliposomes was achieved (Bázan et al., 2013). Formation of the trimer was dependent on liposomes containing only phosphatidylethanolamine (PE) and phosphatidylycholine (PC) and not dependent on added CL. Phospholipase treatment of CIV abolished trimer formation, but addition of CL to PE/PC liposomes restored trimer formation. Thus CL tightly bound to CIV is important for association of CIV and CIII into a trimer. Formation of the tetramer was completely dependent on the presence of CL in liposomes, which could not be substituted by other anionic phospholipids. Negative stain EM and single particle analysis of the purified reconstituted tetramer confirmed the native structural arrangement of CIII and CIV in the SC. The individual complexes retained full function and addition of low levels of cytochrome *c* under conditions reported to involve its channeling within the SC (Schagger and Pfeiffer, 2000) supported electrons transfer from  $OH<sub>2</sub>$  to  $O<sub>2</sub>$  (our unpublished results). These experiments clearly demonstrated that the tightly bound CL found in crystal structures of the individual respiratory complexes are not sufficient for organization of CIII and CIV into the  $IV_1III_2IV_1$  SC. Consistent with in situ kinetic data (Zhang et al., 2005), CL is essential for the structural support of whole chain electron transport with substrate channeling within SCs.

Coarse-Grained Molecular Dynamic (CGMD) simulations of CL binding to CIII and CIV also confirmed additional CL derived from the bilayer for SC formation (Arnarez et al., 2013a; Arnarez et al., 2013b). Simulations began with crystal structures containing CL for bovine and yeast CIII as well as for bovine CIV embedded in a mixed PC/CL bilayer. Importantly, the simulations reproduced the known CL binding sites, and in addition, revealed the existence of well-defined CL binding sites enriched in positive amino acids on the membrane-exposed surfaces of CIII (Fig. 4) and CIV. Interestingly, in yeast in contrast to bovine only one CL initially filled the site close to Qi, but a second CL appeared during an extended simulation time scale. Additional simulations demonstrate how CL bound in the several specific sites on the membrane-exposed protein surfaces of bovine CIII and CIV might stabilize interactions between CIII and CIV in the bovine respirasome.

#### **7.0 Summary and Future Directions**

The organization of individual respiratory complexes into higher order structures or SCs to form a functional respirasome has become generally accepted. These SCs contain additional CLs over those previously observed to be integrated into the structure of the individual complexes. 3D density maps of bovine and yeast SCs obtained by cryo-EM reveal spaces between the transmembrane domains of neighboring individual complexes that most likely contain many additional lipid molecules. Kinetic and biochemical evidence from mutants lacking CL coupled with successful reconstitution of SCs in yeast dependent on addition of CL over and above that integral to individual complexes confirms a direct role for CL in the higher order organization of the respiratory chain. Interestingly, yeast mutants in which PE has been depleted display higher order organization of respiratory SCs into "megacomplexes" (Bottinger et al., 2012), but the molecular basis for such organization is not known. The correlation between reduced CL levels in many disease states and disruption

of respiratory SC formation strongly indicates a similar role for CL in mammalian mitochondria. Therefore, changes in CL levels might be a metabolic regulatory signal acting through the low affinity CL binding sites that support SC formation. More precise information on the location and function of CL, as well as other phospholipids within respiratory SCs, will come from a combination of structural studies, biochemical determination of lipid binding sites, predictions of lipid binding sites through molecular simulations, genetic perturbation of lipid binding sites and use of liposome reconstitution systems.

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#### **Abbreviations**



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#### **Highlights**

Organization of supercomplexes (SCs) with integral cardiolipin (CL) is analyzed.

CL content in different purified SCs correlated with spaces inside SCs is discussed.

New in vitro SC reconstitution system using CL-containing liposomes is described.

Importance of exchangeable CL bound on the membrane-exposed SC surfaces is discussed.

Change in CL level as a potential metabolic signal for SC dynamics is postulaed.





#### **Figure 1.**

Biosynthesis and structure of CL. The pathway, genes (*red*) and gene products responsible for CL synthesis in yeast mitochondria are shown (Henry et al., 2012; Tamura et al., 2013). The pathway in higher eukaryotes is essentially the same and is carried out by homologous genes and gene products. The *CLD1* gene product (CL specific deacylase) initiates the remodeling of CL fatty acid chain composition in yeast by forming monolyso-CL (Beranek et al., 2009); higher eukaryotes utilize multiple deacylases (Baile et al., 2013). The *TAZ* gene product in both yeast and mammalian cells is responsible for completing the remodeling of nascent CL by transferring fatty acids to monolyso-CL from other phospholipids (Schlame et al., 2012). The result is highly unsaturated forms of CL as represented by one of the structures found in yeast and mammalian cells. The (\*) in *red* indicates the three chiral centers of naturally occurring CLs; carbon of the central glycerol is only a chiral center if the two adjacent phosphatidyl moieties have different fatty acid compositions. The glycerol backbone (*green*) is indicated. The lower figure depicts the hydrogen-bonding between the central free hydroxyl of CL and the phosphate residues creating a proton sink in the lipid bilayer and raising the pKa of one phosphate near neutrality (Haines, 2009). *DAG* denotes the diacylglycerol lipid domain.



#### **Figure 2.**

Pseudo-atomic model shows the arrangement of CI, CIII and CIV in the bovine respirasome (PDB 2YBB, (Althoff et al., 2011)). (A) Side view and (B) view from IMS. Two CL molecules (in *yellow*) in the external cavity formed by cytochrome *c1*, cytochrome *b* and closed by chain G in each monomer of CIII (PDB 1PP9) are shown. *MA* denotes the mitochondrial matrix.



#### **Figure 3.**

Pseudo-atomic model for the yeast tetrameric SC III<sub>2</sub>IV<sub>2</sub>. Side view (large structure) and view from the IMS (*insert*) showing the arrangement of CIII (PDB 3CX2) and two CIVs (PDB 1OCC) in the SC. One CL molecule (in the external cavity of CIII), cytochrome  $c_1$ , cytochrome *b* and Qcr8 subunit (chain H, homolog of chain G in bovine) are colored in each CIII monomer as indicated. Derived from the structure reported in (Mileykovskaya et al., 2012).



#### **Figure 4.**

CL binding sites of bovine and yeast CIII extracted from CGMD simulation of the complexes embedded in a CL/PC bilayer. CLs bound in the sites I and VI/VIa correspond to tightly bound CLs found in the crystal structures of CIII from yeast and bovine. Site I corresponds to the CL binding site in the external cavity closed by chain H in yeast and its homolog chain G in bovine. Sites VI/VIa corresponds to the conserved site for tightly bound CL in the inner cavity located close to the CIII homodimer interface (CN5, PDB 3CX5 for yeast and CL3 in PDB 1SQP for bovine). Chain K is present in the bovine CIII close to sites VI and VIa. However, there is no homolog for this chain in yeast CIII. Sites II, III, IV and V are the sites on the membrane-exposed surfaces of CIII. The figure was adapted with permission from (Arnarez et al., 2013b). Copyright (2013) American Chemical Society.