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# The Burning Question: Why is Smoking a Risk Factor for Pancreatic Cancer?

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# Abstract

Pancreatic ductal adenocarcinoma (PDAC) is an aggressive disease. The prognosis is poor; less than 5% of those diagnosed are still alive five years after diagnosis, and complete remission is still rare. Tobacco smoking is a major risk factor of pancreatic cancer. However, the mechanism(s) through which it causes the disease remains unknown. Accumulating evidence indicates that carcinogenic compounds in cigarette smoke stimulate pancreatic cancer progression through induction of inflammation and fibrosis which act in concert with genetic factors leading to the inhibition of cell death and stimulation of proliferation resulting in the promotion of the PDAC.

# I. Pancreatic ductal adenocarcinoma: Epidemiology and risk factors

# I.1. Introduction

In the United States, over 40,000 individuals are diagnosed with pancreatic ductal adenocarcinoma (PDAC) and 37,000 die of the disease each year. The prognosis is poor with a 5 year survival of less than 5% (1). Even with combinations of treatment (surgery, chemotherapy, radiotherapy) the outcome for patients with this disease remains dismal. The economic impact of the disease is also of great concern as the total costs of the disease in the US are estimated to be \$4.9 billion annually (2).

Over the past 5 years, there have been 10 negative Phase III trials investigating the effects of systemic treatment of advanced pancreatic cancer with chemotherapeutic agents (3,4). The failure of these trials likely reflects our limited knowledge of pancreatic cancer biology, particularly with regard to the initiation and progression of the disease. Indeed, very little is known about how PDAC risk factors promote carcinogenesis.

Among the important risk factors for PDAC are chronic pancreatitis and diabetes mellitus. Chronic pancreatitis increases the risk of pancreatic cancer by up to 13 times (5,6). Diabetes

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mellitus increases the risk by at least 2 times and the more recent the onset of diabetes the stronger the correlation with pancreatic cancer (7,8). Aging, heavy alcohol drinking, family history of the disease, male gender and African American ethnicity are other risk factors for pancreatic cancer (9).

The major environmental and the strongest avoidable risk factor for pancreatic cancer is tobacco smoking (vide infra for detailed discussion) (10-11). However, the pro-carcinogenic effects of smoking on the pancreas are inadequately studied. The goal of this review is to summarize information available on the pro-carcinogenic effects of smoking and underlying mechanisms; and to outline a research strategy designed to reveal the mechanisms through which smoking predisposes to PDAC.

#### I.2. PDAC characteristics: Role of genetic alterations, inflammation and fibrosis

PDAC presents all classical hallmarks of cancer including sustaining proliferative signaling, evading growth suppressors, resisting cell death, enabling replicative immortality, inducing angiogenesis and activating invasion and metastasis resulting from genetic alterations developed during the progression of the disease from pre-malignant lesions to the PDAC stage (12). The somatic Kras mutation remains the major genetic mutation present in 90% of patients and perhaps the earliest genetic alteration associated with pancreatic cancer (13). Kras mutation leads to the activation of downstream proliferative signaling such as the BRAF/MEK/Erk-mediated proliferation and survival pathway. The pro-oncogenic effects of Kras are enhanced by inactivating somatic mutations of multiple tumor suppressor genes including p53, MADH4, P16, and BRCA2 which are present in the human tumors. These multiple genetic mutations are involved in proliferation, resistance to cell death, and metastasis.

While the role of genetic mutations in carcinogenesis has been a widely accepted concept for several decades, it is only in the recent past that the key influence of the microenvironment on tumor growth and spread has been recognized and acknowledged. (14-16). Thus, inflammation and fibrosis, two major features of the stroma of pancreatic cancer are receiving increasing attention with regard to their effects on tumour behavior. It has been shown that acute necroinflammation of the pancreas speeds up and promotes PDAC formation in mice containing pancreas-specific transgenes expressing Kras (17). Progression to the PDAC stage in Kras mice subjected to pancreatitis is mediated at least, in part, by prosurvival transcription factor STAT3 which induces matrix metalloproteinase 7 (MMP7) expression (18). STAT3 binding activity is increased by inflammatory cytokines known to be up-regulated during acute pancreatitis. Matrix metalloproteinases (MMPs) are important players in local invasion of cancer as well as angiogenesis and metastasis.

As noted earlier, PDAC is characterized by a prominent, dense desmoplastic reaction that surrounds the often scarce cancer cell clusters. One report showed that more extensive fibroblastic cell proliferation in PDAC correlated with poorer disease outcome (19). The key producer of the cancer desmoplasia is the pancreatic stellate cell (PSC), a cell type now established as the central player in pancreatic fibrogenesis. In normal pancreas, PSCs are in an inactive or quiescent state. During pancreatic injury such as chronic pancreatitis and pancreatic cancer, PSCs are activated to a myofibroblastic state (20-21) resulting in synthesis of excessive amounts of extracellular matrix (ECM) proteins causing pathological fibrosis. *In vitro* and *in vivo* studies showed that PSCs play a major role in facilitating local growth and distant spread of pancreatic cancer (22-24). The cells interact closely with cancer cells, inhibiting cancer cell apoptosis but promoting cancer cell proliferation, migration, invasion, and anchorage independent growth (25). In turn, cancer cells induce PSC proliferation, activation and migration. PSCs also interact with endothelial cells to promote angiogenesis (24). Interestingly, PSCs from the primary tumour have also been

shown to travel to distant metastatic sites where they probably facilitate the seeding and growth of circulating cancer cells (24). Recent studies have also implicated PSCs in both chemoresistance (26) and resistance to radiotherapy (27) (both well-known features of pancreatic cancer).

The factors mediating the interactions between PSCs and cancer cells and PSCs and endothelial cells are being increasingly identified. One mechanism through which activated stellate cells support cancer cells is through secretion of ECM proteins (collagen, fibronectin and laminin), MMPs and growth factors such as transforming growth factor beta (TGF- $\beta$ ) and platelet-derived growth factor(PDGF). Both ECM proteins and growth factors promote survival of the cancer cells through activation of intracellular reactive oxygen species (ROS) generating systems in the pancreatic cancer cells such as nicotinamide adenine dinucleotide phosphate (NADPH) oxidase enzymes (28-31). Activation of NADPH oxidase by growth factors also occurs in PSCs and their hepatic counterparts, hepatic stellate cells during the process of stellate cell activation (32-33). Thus, oxidant stress is an important factor in desmoplasia and tumour growth in pancreatic cancer (25,34).

Figure 1 summarizes and illustrates the three major contributors to pancreatic carcinogenesis: genetic mutations, inflammation and fibrosis. They act synergistically. Both cancer and stellate cells stimulate each other through secretion of TGF- $\beta$  and PDGF by the cancer cells; or by secretion of extracellular matrix proteins such as collagen, fibronectin and laminin, growth factors, and MMPs by stellate cells. ECM proteins stimulate NADPH oxidase activity and ROS production, proliferation and resistance to death. MMPs facilitate invasion and metastasis. Cytokines and chemokines produced by the inflammatory cells promote Kras-induced proliferation and stimulate activation of stellate cells resulting in fibrosis. Both cancer and stellate cells interact with endothelial cells to promote new blood vessel formation, thereby facilitating metastasis.

#### I.3. Animal models of PDAC

The development of the genetic mouse models of PDAC has significantly advanced our understanding of the possible mechanisms mediating the initiation of this disease. These models are based for the most part on pancreatic acinar cell-specific activation of Kras. Most of them develop pre-invasive pancreatic neoplastic lesions called pancreatic intraepithelial neoplasms (PanINs) similar to ones found in the human disease (35). However, most models of PanINs do not progress spontaneously to the PDAC stage of invasive and metastatic adenocarcinoma. Only three Kras models: Pdx1-Cre;LSL-Kras model (36), p48Cre;LSL-Kras (36), and EL-CreERT cLGL-Kras, fully replicate advanced PDAC phenotype including invasion, metastasis and fibrosis. Furthermore, a recent study showed that the extent of Kras activation determines PDAC induction, i.e., Kras mutation expression at a low level is not sufficient to trigger PDAC, whereas, higher levels of Kras expression result in advanced PDAC (37). On the other hand, combinations of Kras activating mutation with other genetic alterations characteristic for PDAC, such as p53 inactivating mutations speed up and promote the PDAC development in mice. These data strongly indicate that Kras activation is necessary but not sufficient to develop PDAC; and suggest contribution of other cancer promoting factors acting in concert with Kras.

## II. Tobacco smoking and pancreatic cancer

#### II.1. Epidemiology

More than 400,000 people die each year in the United States alone as a result of past or current cigarette smoking; adult smokers lose an average 13 to 15 years of life-expectancy because of their smoking (38,39). In addition to regular cigarettes and cigars, other forms of tobacco include smokeless tobacco (also called chewing tobacco, snuff and snus), pipes and

hookahs (water-pipes). Although most research has focused on the harms of cigarette smoking, all forms of tobacco are harmful. Importantly, both smokeless tobacco and smoking tobacco are known to cause cancer in humans. Lung cancer is by far the first and most studied tobacco-related cancer. In addition to PDAC, other tobacco-related cancers include, tongue, larynx, stomach and brain cancers (12,13). 80% to 90% of lung cancer patients in the United States involve smoking (40). In general, for people who have already developed cancer, quitting smoking reduces the risk of developing a second cancer (41-43).

As noted earlier, smoking is a major established risk factor for pancreatic cancer (10,11). Nearly one fourth of all pancreatic cancer deaths are linked to tobacco use (9). A study of 490 patients in Los Angeles County, California, revealed that smoking a pack or more a day was associated with a five-fold to six-fold increased risk of developing pancreatic cancer (44). The risk of developing pancreatic cancer from smoking cigarettes depends on the duration and the intensity of smoking as well as the age at which the smoker began smoking (45). The median age of diagnosis of pancreatic cancer is 15 years earlier in the tobacco smokers (56 years) compared to non-smokers (71 years) (46).

The incidence of pancreatic cancer correlates directly with smoking prevalence. Temporal trends in US cigarette smoking prevalence rates from 1920 to 1978 correlate with temporal trends in US pancreatic cancer mortality in both sexes. In males, increases and decreases in smoking prevalence are associated with increases and decreases in pancreatic cancer rates. A similar association was observed in females; the prevalence of smoking in women was observed to increase at a later time point than in men (1930 compared to 1920) and this was accompanied by an increase in pancreatic cancer incidence. A recent slight decline in smoking prevalence in women has been associated with a slowing of the rise in pancreatic cancer incidence (47).

In a study published in 1983 data were collected from 50,000 male former college students; the records of 126 men who died of pancreatic cancer in a 16-50 yr follow-up period were compared with those of 504 surviving classmates with respect to physical and social characteristics. Strong positive associations were found for cigarette smoking. Smoking 10 or more cigarettes per day during college corresponded to a relative risk of 2.6, and an otherwise positive smoking history yielded a relative risk of 2.4 (48). The meta-analysis by Iodice *et al*, of 82 published studies containing epidemiologic information about smoking and pancreatic cancer showed a slightly lower overall risk of 1.74 in current smokers (49).

#### II.2. Compounds implicated in smoking-induced cancer

Of the more than 7,000 chemicals in tobacco smoke, at least 250 are known to be harmful. Among them, at least 60 are carcinogenic (50,51). These cancer-causing chemicals include arsenic, benzene, ethylene oxide and nickel among others; and importantly, a family of nitrosamines such as: 4-(methylnitrosamine)-1-(3-pyridyl)-1-butanone (NNK), N'-nitrosonornicotine (NNN), 1-(N-methyl-N-nitrosamino)-1-(3-pyridinyl)-4-butanal) (NNAA), 4-(Methylnitrosamino)-1-(3-pyridyl)-1-butanol (NNAL) (51-53). Nicotine is a major component of tobacco smoke. Although by itself nicotine is not carcinogenic; its metabolites including NNK, NNN, and NNAL are highly carcinogenic. NNK is one of the most abundant carcinogens in tobacco smoke (53). It is a component of the smoke of cigarettes and is further produced in the body as a metabolite of nicotine. Cigarettes, cigars, and other tobacco products vary widely in their content of nicotine and carcinogenic nicotine metabolites. For example, in a cigar (which can contain as many as 20 grams of tobacco), the nicotine content can vary between 5.9 and 335.2 milligrams per gram of tobacco (54).

During smoking, nicotine reaches the lungs and is quickly absorbed into the bloodstream (55). Longer exposure to nicotine leads to a higher nicotine retention in esophagus, spleen,

cecum, pancreas, testes, and heart (56). This is important given that nicotine is metabolized into carcinogenic compounds such as NNK and NNAL. Both nicotine and nitrosamine components of cigarette smoke have been shown to reach the pancreas and were found in the pancreatic juice of smokers (57). Nicotine levels in pancreatic juice are 7 times higher in smokers than in non-smokers (57).

# II.3. Lack of animal models as a major obstacle for studying the mechanisms underlying smoking -induced PDAC

For over 30 years, multiple laboratories have attempted to develop animal models of pancreatic cancer induced by exposure to tobacco smoke. The first model was developed in hamsters in 1979 (58). In this model, a smoking condensate was delivered in utero by intraperitoneal (i.p.) injections in pregnant female hamsters. The progeny were observed for 15-25 months and found to develop benign and malignant neoplasms in various organs, including pancreas, sex organs and liver (58).

In another study, rats which consumed drinking water containing NNK and NNAL for a lifetime developed lung and pancreatic cancer. Pancreatic cancers were observed in 9% of rats treated with 1.0 ppm NNK, and in 27% of rats treated with 5.0 ppm NNAL for two years, thus demonstrating a role of these compounds in triggering pancreatic carcinogenesis. The incidence of lung tumors was twice that of pancreatic tumors in these animals (59).

The significant disadvantage of the models described above is that cancer develops only in a small proportion of the animals and that even in these animals, several years of exposure to smoking compounds is required to produce pancreatic cancer. In a more recent model, mice were exposed for 45 days to the combination of carcinogenic chemical 7,12-dimethylbenzanthracene (DMBA) and nicotine. Although this model resulted in more rapid cancer development, the carcinogenic effect of DMBA was not limited to the pancreas. Furthermore, if cigarette smoke extract (CSE) is applied instead of nicotine, animals failed to develop pancreatic cancer (60).

**Our Approach**—Considering the role of Kras in PDAC development discussed above we proposed the hypothesis that smoking acts in cooperation with activating Kras to promote PDAC. To test this hypothesis we applied i.p. injections of NNK (100mg/kg) once per week for 4 weeks to mice with pancreas-specific Kras transgene expressed using the elastase promoter (EL-Kras) which results in Kras expression only in acinar cells of the pancreas. In these mice, treatment with NNK resulted in advanced PanIN lesions that did not develop in control treated mice. These results indicate that NNK acts synergistically with Kras to promote pancreatic carcinogenesis. Of note, the advanced lesions contained stimulated fibrosis, activated stellate cells and inflammation similar to human pancreatic cancer (61).

We now plan to develop a smoking-induced pancreatic cancer model using the PDX-Cre;LSL-Kras mice. These mice develop PanIN lesions which progress to the PDAC stage. Therefore, they are a good tool for studying whether exposure to cigarette smoke accelerates the progression of PanINs to overt cancer. We believe that using these models will advance our understanding of the mechanisms underlying smoking-induced progression of pancreatic cancer.

#### II.4. Signaling pathways activated by tobacco smoking leading to cancer

Pro-tumorigenic signaling pathways activated by smoking have been best studied in lung. A major established smoking-related cause of lung cancer is formation of DNA adducts (i.e. with NNK) resulting in Kras mutation. In lung cancer, smoking-induced Kras mutation is an established cause of the disease.

In addition to inducing DNA mutations, NNK interacts with cells through receptors. Indeed, NNK binding to  $\beta$ -adrenergic receptors triggers increases in cellular cyclic AMP (cAMP) and subsequent activation of protein kinase A which, in turn, activates phospholipase A2 releasing arachidonic acid from cell membrane phospholipids. Arachidonic acid metabolites are known to increase DNA synthesis and cell proliferation to promote lung cancer (62). Another pro-survival pathway activated by NNK in lung cancer cells is trans-activation of the EGF receptor (EGFR) and its downstream Raf1/MEK/ERK pathway (63).

Differently from lung cancer, smoking does not cause genetic alterations of the genes known to be mutated in pancreatic cancer (such as Kras and p53) in the pancreas suggesting that smoking enhances the risk for pancreatic cancer through mechanisms other than genetic mutation (64,65). However, it is worth noting that mutations present at lower frequency in pancreatic cancer (such as TTN gene mutation) were increased in the pancreas of smokers compared to non-smokers (65). Yet, and similarly to lung, NNK stimulates pancreatic cancer cell growth through  $\beta$ -adrenergic receptor-mediated activation of Cox2 (66). Furthermore, NNK, through interacting with  $\beta$ -adrenergic receptors 1 and 2, trans-activates EGFR, increases intracellular cAMP accumulation and stimulates Erk phosphorylation in pancreatic ductal cells (67).

In addition to the adrenergic receptors, smoking compounds such as nicotine and NNK interact with pancreatic cells through nicotinic acethylcholine receptors (nAChR), especially through  $\alpha$ 7-AChR (68,69).

#### II.5. Role of stroma and inflammatory cells in the oncogenic effects of smoking

Exposure to smoking causes direct activation of epithelial and immune cells in the oral and conducting airways, inducing the secretion of pro-inflammatory factors that promote the recruitment and survival of other immune cells, including neutrophils, macrophages, T-cells, and dendritic cells. Simultaneously, cigarette smoking impairs innate host defense mechanisms, subdues innate responses to pathogens, and alters adaptive immune responses to inhaled antigens. The net result of these effects is a state of chronic injury and inflammation in the airway (70).

As stated above, inflammation and fibrosis are characteristics of chronic pancreatitis, a known risk factor for pancreatic cancer. However, in contrast to the lung, little is known about the effects of smoking on inflammation and fibrosis in the pancreas, although one recent study has implicated cigarette smoking in the acceleration of chronic pancreatitis (71). Importantly, nicotine has been shown to increase the secretion and accumulation of digestive enzymes in rat pancreas, which likely facilitates premature intracellular activation, thereby leading to pancreatic damage (72-74). The damaging effect of nicotine in the exocrine pancreas can also be attributed to one of its pro-carcinogen metabolites.

The animal model of smoking-induced pancreatic cancer which we described above provides an ideal tool to study the role of fibrosis and inflammation in the pro-carcinogenic effects of smoking. We found that NNK administration increases pancreatic stellate cell numbers and activation, and stimulates fibrosis in neoplastic lesions of our model (61). These findings concur with those of Dr. S. Batra and colleagues who reported that exposure of rats to cigarette smoke stimulated fibrosis and inflammation in the pancreas (75). A recent study has shown that the hepatic counterparts of PSCs, i.e. hepatic stellate cells, express nicotinic acetylcholine receptors and respond to nicotine exposure by increased proliferation and ECM synthesis (76). However, little is known about the direct effects of nicotine and its metabolites on PSCs and this area is very worthy of study. Because activation of PSCs leads to inhibition of cell death pathways, especially apoptosis, and stimulation of proliferation in pancreatic cancer cells, it is likely that PSC activation by smoking compounds and the

subsequent interaction of PSCs with cancer cells play an important role in the procarcinogenic effect of smoking on the pancreas.

In terms of smoking and inflammation, a recent study has reported a significant increase in the infiltration of macrophages into neoplastic lesions in mice treated with NNK. In addition, infiltration of immune cells accompanied by the expression of the inflammatory mediators such as macrophage inflammatory protein 1 alpha (MIP-1 $\alpha$ ), interleukin 1 beta (IL-1 $\beta$ ), and TGF- $\beta$ , has been demonstrated in the pancreas of rats exposed to cigarette smoke (61,75). Notably, macrophage infiltration is also observed in human pancreatic cancer (77).

Figure 2 shows the proposed mediators of the carcinogenic effect of tobacco smoke in the pancreas. Smoking carcinogens act directly on cancer cells and their precursors to stimulate proliferation and inhibit apoptosis. At the same time smoking compounds affect the micro-environment of the tumor cells by activating major components of the desmoplasia, namely stellate cells and immune cells, (leading to secretion of extracellular matrix proteins, growth factors, cytokines and MMPs). In turn, PSCs and immune cells interact with cancer cells to promote disease progression.

#### III. Conclusions and future directions

Our results strongly suggest that smoking is a factor that promotes pancreatic cancer rather than initiates it. Thus, understanding how smoking stimulates the progression of pancreatic cancer should be a key goal for the field. The use of animal-exposure smoking systems and genetically altered mice such as the EL-Kras or PDX-Kras mice are probably the most useful tools currently available to study the mechanisms of smoking-induced pancreatic cancer progression. Determining the mechanisms underlying the effect of smoking compounds on fibrosis and inflammation may provide important insights into the pathogenesis of pancreatic cancer. The dense desmoplastic reaction of pancreatic cancer and the recent data on the key role of stellate cells for providing a pro-carcinogenic environment justify this area of investigation. Thus, a detailed investigation of the effect of smoking compounds on stellate cell activation (ECM, growth factors) and then on the key mediators of the interaction with cancer cells will likely provide novel therapeutic targets.

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#### Abbreviations

cAMP

Cyclic AMP

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EGFR	Epidermal growth factor receptor
ECM	Extracellular matrix protein
IL-1β	interleukin 1 beta
MIP-1a	Macrophage inflammatory protein 1 alpha
MMPs	Matrix metalloproteinases
nAChRs	Nicotinic acetylcholine receptors
NADPH oxidase	nicotinamide adenine dinucleotide phosphate oxidase
NNAA	1-(N-methyl-N-nitrosamino)-1-(3-pyridinyl)-4-butanal)
NNAL	4-(Methylnitrosamino)-1-(3-pyridyl)-1-butanol
NNK	4-(methylnitrosamine)-1-(3-pyridyl)-1-butanone
NNN	N'-nitrosonornicotine
PDAC	Pancreatic ductal adenocarcinoma
PDGF	Platelet-derived growth factor
TGF-β	Transforming growth factor beta

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**Figure 1.** Major contributors to pancreatic carcinogenesis.

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### **Compounds in Tobacco Smoke**



## Figure 2.

Proposed pathways mediating pancreatic cancer progression by tobacco smoking.