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Human mitochondrial DNA: roles of inherited and somatic mutations

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Abstract

Mutations in the human mitochondrial genome are known to cause an array of diverse disorders, most of which are maternally inherited, and all of which are associated with defects in oxidative energy metabolism. It is now emerging that somatic mutations in mitochondrial DNA (mtDNA) are also linked to other complex traits, including neurodegenerative diseases, ageing and cancer. Here we discuss insights into the roles of mtDNA mutations in a wide variety of diseases, highlighting the interesting genetic characteristics of the mitochondrial genome and challenges in studying its contribution to pathogenesis.

> Mitochondria — from the Greek *mitos* (thread-like) and *khondros* (grain or granule) — are bacterium-sized organelles found in all nucleated cells. They have a myriad of functions, but it is fair to say that what sets them apart is their role in energy production. To reiterate an oft-used cliché, mitochondria are the 'powerhouses of the cell' because they generate more than 90% of a typical cell's ATP, which is the 'energy currency' of the cell. Mitochondria contain their own DNA (mitochondrial DNA (mtDNA)), which is linked to their origin as eukaryotic endosymbionts¹. Unlike nuclear DNA (nDNA), mtDNAs are maternally inherited and are present in multiple copies per cell; the number varies according to the bioenergetic needs of each particular tissue and can range over three orders of magnitude, depending on the cell type². As such, mitochondrial diseases caused by mutations in mtDNA follow the laws of population genetics. This is a crucial distinction from diseases that follow Mendelian genetics and underlies many of the unique, and often perplexing, features of these disorders.

> Over the past 25 years, the identification of pathogenic mtDNA mutations has evolved in parallel with advances in sequencing and cell biology technologies. The first decade was mainly devoted to identifying new pathogenic mutations associated with various syndromes (BOX 1) using standard sequencing techniques. This effort, which is now based on automated microarray-based sequencing and high-throughput sequencing strategies, was aided enormously by the use of cybrid technology (BOX 2). Beginning in 1995, mutations in nuclear genes affecting oxidative phosphorylation (OXPHOS) function were identified at an escalating pace initially on the basis of linkage analysis and homozygosity mapping, then on monochromosomal transfer techniques, gene complementation studies and sequencing of

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candidate genes, and more recently on scanning entire patient genomes through wholeexome sequencing³. These studies have revealed that mitochondrial disorders can result from mutations not only in genes encoding subunits of the OXPHOS complexes but also in genes required for their translation and assembly, for providing the proper lipid milieu and for determining the spatial and temporal relationships of the organelles to their subcellular environment ('mitodynamics'). However, as mtDNA mutations are now being linked to several complex traits, we need to improve our understanding of mitochondrial genetics at the same time as learning more about the nuclear genome.

Box 1

Primary mitochondrial DNA-related diseases

Leber's hereditary optic neuropathy (LHON)

This is the most common mitochondrial DNA (mtDNA)-related disorder, causing subacute loss of central vision in young adults, predominantly men. LHON is usually due to homoplasmic mutations in one of three genes encoding complex I subunits (m. 11778G→A in NADH dehydrogenase 4 (*ND4*), m.3460G→A in *ND1* and m.14484T→C in *ND6*). Although mutations are predominantly homoplasmic, the retinal ganglion cells are affected selectively. The marked predominance of LHON in men, which is not accounted for by differences in mitochondrial genetics between the sexes, has been attributed to the relative abundance of oestrogen receptors¹²⁰ and may be the first example of the role of epigenetics in the expression of mtDNA-related diseases.

Leigh's syndrome

This disease is more commonly due to nuclear DNA (nDNA) mutations than to mtDNA mutations. The mutations are most frequently in subunits of complex I or in assembly factors of complex IV. Despite the striking variety of specific aetiologies, Leigh's syndrome has common clinical features (developmental delay or regression, respiratory abnormalities, recurrent vomiting, nystagmus, ataxia, dystonia and early death) and characteristic radiological changes (bilateral symmetrical lesions affecting mostly basal ganglia and the brainstem), a reflection of the devastating effects of energy shortage on the developing nervous system.

Mitochondrial encephalomyopathy, lactic acidosis and stroke-like episodes (MELAS)

This is a multisystem disorder in which brain, muscle and the endocrine system are predominantly involved and is often fatal in childhood or in young adulthood¹²¹. Unique to MELAS are the transient eponymous stroke-like episodes, which are most often due to infarcts in the temporal and occipital lobes and are associated with hemiplegia and cortical blindness. Although we still do not understand the cause, their occurrence highlights the fact that MELAS is also an angiopathy, making it almost unique among the mitochondrial diseases¹²². Although the most common causal mutation is m.3243A \rightarrow G in tRNALeu(UUR), more than a dozen other mutations have been associated with MELAS, affecting both tRNA and protein-coding genes.

Myoclonus epilepsy and ragged red fibres (MERRF)

For unknown reasons, MERRF is due almost exclusively to mutations in tRNA^{Lys} . It is associated with a rather different set of signs and symptoms from the other diseases, often including cervical lipomas as well as myoclonus and epilepsy123. As in MELAS, the pathogenic cause seems to be a post-transcriptional failure to modify the tRNAs with taurine, thereby affecting translational efficiency¹²⁴. Moreover, in MERRF and MELAS, there is mitochondrial proliferation not only in muscle (that is, the ragged red fibres

(RRFs)) but also in blood vessels (strongly SDH-positive vessels $(SSVs)$ ¹²⁵. Although blood vessel involvement can be found in patients with both disorders, angiopathy is less prevalent in MERRF. Interestingly, both the RRFs and SSVs in MERRF are negative for cytochrome *c* oxidase (COX) histochemical activity, whereas those in MELAS are typically COX-positive^{125,126} (BOX 3). Herein lies a paradox: why is it that MERRF, which has COX-negative SSVs, is not primarily an angiopathy, whereas MELAS, which has ostensibly 'more normal' COX-positive SSVs, is an angiopathy? One possible explanation is that it is the COX positivity that triggers the stroke-like episodes in MELAS, because the absolute amount of COX in these mitochondria-laden SSVs is far greater than normal¹²⁶. As COX is known to bind nitric oxide $(NO)^{127,128}$, which is a key signalling molecule for vasoconstriction (via the conversion of L-arginine to NO by nitric oxide synthase and the subsequent binding of NO to soluble guanylate cyclase¹²⁹), it could be that in MELAS (but not in MERRF), NO is titrated out by supernormal levels of COX after a NO-mediated signal to dilate blood vessels. This could thereby prevent vasodilation and precipitate the strokes¹²⁶. If this possibility is true, agents that increase compensatory NO levels to allow more normal vasodilation might be of therapeutic value. Indeed, treatment of patients with MELAS with L-arginine has been reported to mitigate the frequency and severity of strokes in this disorder 130 .

Neuropathy, ataxia and retinitis pigmentosa, and maternally inherited Leigh's syndrome (NARP and MILS, respectively)

These are two possible clinical outcomes of mutations at the same nucleotide (m. 8993T \rightarrow G or, less frequently, m.8993T \rightarrow C). In the family in which these were originally identified, three adults had one or more of the acronymic features, whereas a maternally related child had severe developmental delay, pyramidal signs, retinitis pigmentosa, ataxia and cerebellar and brainstem atrophy, features that are characteristic of Leigh's syndrome. We now know that onset and severity depend on the mutation load: patients with NARP harbour ~70% mutant mtDNAs, whereas those with MILS harbour >90%.

Reversible respiratory chain deficiency

Although it is rare, mutation in another tRNA — a homoplasmic m.14674T→C mutation in the discriminator base of tRNA^{Glu} — deserves to be mentioned. This mutation causes a highly unusual disorder, namely a 'reversible' form of OXPHOS deficiency in which infants are severely ill but, if sustained vigorously during the perinatal period, recover full mitochondrial function spontaneously within 2 years¹³¹. Interestingly, the disease can also be caused by mutations in TRMU¹³², a nucleus-encoded mitochondrial protein that catalyses the 2-thiolation of uridine at the wobble position of three mt-tRNAs¹³³, including tRNA^{Glu}. These mutations may also modify the expression of a deafnessassociated mutation at nucleotide 1,555 in 12S ribosomal RNA¹³⁴.

Sporadic deletions of mtDNA

Kearns–Sayre syndrome $(KSS)^{25}$ is defined by the onset before age 20 of ophthalmoplegia (that is, paralysis of the muscles that move the eyeballs), ptosis (that is, droopy eyelids), pigmentary retinopathy and at least one of the following: complete heart block, cerebrospinal fluid protein levels above 100 mg dL−1 and cerebellar ataxia. In this multisystemic disorder, partially deleted mtDNAs (Δ-mtDNAs) are present in all examined tissues (implying that the deletion event occurred in the germ line or soon after fertilization). In chronic progressive external ophthalmoplegia (CPEO) 26 — a myopathy with the defining features of ophthalmoplegia and ptosis — Δ-mtDNAs are found only in muscle (implying that the deletion event occurred after fertilization in the muscle lineage of mesoderm). In Pearson's syndrome²⁷, which is characterized by sideroblastic anaemia and exocrine pancreas dysfunction, Δ-mtDNAs are initially abundant in haematopoietic

cells. Fascinatingly, patients with Pearson's syndrome who survive their anaemia often develop KSS as teenagers: as the Δ-mtDNA load declines in blood (a rapidly dividing tissue), the Δ-mtDNA load increases in more terminally differentiated tissues (for example, in the muscles or brain). This phenomenon demonstrates two other features of mitochondrial genetics: namely, the different thresholds for dysfunction in various tissues and the autonomy of mitochondrial division (and mtDNA replication) even within terminally differentiated cells.

Box 2

Cybrids

Because there is currently no technology available for introducing exogenous DNA into mammalian mitochondria stably and heritably, the pathogenicity of many primary mtDNA mutations discussed in this Review has been established using cytoplasmic hybrid, or cybrid, technology. In this approach, patient mitochondria and mitochondrial DNAs (mtDNAs) are transferred to a host ρ^0 cell containing mitochondria that are devoid of endogenous mtDNAs135 (see the figure). Thus, cybrids can be assayed for donor mitochondrial function in a 'standard' nuclear background⁹³.

In the most common approach for making ρ^0 cells, a transformed cell line that is deficient in thymidine kinase activity (TK−) is exposed to ethidium bromide (EtBr), which is an inhibitor of mtDNA replication (mitochondria have a high membrane potential and take up EtBr, as it is ionizable). The ρ^0 line is auxotrophic for uridine and pyruvate (U⁻, P⁻). The ρ^0 cells are repopulated by forming hybrids with cytoplasts (cells that lack nuclei but still contain mitochondria) from a patient cell line. After fusion, cells are plated in media containing bromodeoxyuridine (+BrdU) and lacking either pyruvate or uridine (−U or $-$ P), which permits only the growth of ρ^0 cells that have fused with cytoplasts containing functional mitochondria (TK⁺ donor cells are not able to grow in the presence of BrdU). If heteroplasmic cells are used as donors, it is possible to isolate cybrid clones that harbour varying proportions of mutated mtDNAs, ranging from 0% mutant (that is, 100%) wild type) to 100% mutant (that is, 0% wild type). Both the parental ρ^0 line and homoplasmic cybrid lines harbouring nonsense- or frameshift-mutated mtDNAs must be grown in media supplemented with uridine (+U; \sim 200 μ M) and pyruvate (+P; \sim 1 $~\mathrm{mM})^{136}$.

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Biochemical and morphological features of mitochondrial disease

Although mitochondrial oxidative phosphorylation (OXPHOS) disorders are quite varied, they typically display a number of 'canonical' biochemical and morphological features. An obvious feature is respiratory chain deficiency, which typically manifests itself as reduced enzymatic function in one or more respiratory chain complexes, with a concomitant reduction in cellular oxygen consumption and ATP synthesis. In addition, patients often have increased resting lactic acid levels in the blood and cerebrospinal fluid⁹. Under normal conditions, pyruvate — the end product of anaerobic glycolysis is transported into mitochondria for further oxidation in the tricarboxylic acid (TCA) cycle to produce the reducing equivalents (namely, NADH and $FADH₂$) that are required for proton pumping by the respiratory chain. However, if the respiratory chain is compromised, NADH and pyruvate accumulate in the cytosol. Excess cytosolic pyruvate is converted to lactate by lactate dehydrogenase, thereby accounting for the increased lactic acid found in many mitochondrial diseases and especially in those affecting infants or young children⁹.

A prominent morphological feature of OXPHOS disease is the ragged red fibre (RRF), which reflects a massive proliferation of OXPHOS-defective mitochondria²⁹ in muscle; this can be visualized with the modified Gomori trichrome stain¹³⁷. The accumulation can also be observed using nitro-blue tetrazolium to detect the activity of succinate dehydrogenase (SDH; that is, complex II)¹³⁸, which contains only nuclear DNA (nDNA)-encoded subunits. In this case, the RRFs appear dark blue (see the figure). The figure shows RRFs and strongly SDH-positive vessels (SSVs) in serial sections of skeletal muscle from patients with mitochondrial encephalomyopathy, lactic acidosis and stroke-like episodes (MELAS) and myoclonus epilepsy and ragged red fibres (MERRF) visualized by histochemistry to detect the activities of SDH (left) and cytochrome *c* oxidase (COX; right). Note that RRFs and SSVs are COX-negative in MERRF, whereas they are COX-positive in MELAS. RRFs are a sufficient but not necessary feature for determining mitochondrial disease (as is increased lactate in the blood or cerebrospinal fluid).

The vast majority of OXPHOS mutations impair the cell's ability to produce ATP in amounts that are sufficient for maintaining viability, but sometimes OXPHOS problems can leave ATP synthesis intact and yet can be deleterious by, for example, stimulating the overproduction of reactive oxygen species $(ROS)^{139}$ or inducing an autoimmune response¹⁴⁰ .

Photographs are provided courtesy of Eduardo Bonilla and Kurenai Tanji, Columbia University, New York, USA.

In addition to being of interest to scientists, mtDNA-related diseases may pose a public health concern. Epidemiological studies of mtDNA mutations in adults have shown a minimum prevalence of approximately 1 in 5,000, making mitochondrial diseases among the most common genetic disorders and a major burden for society⁴.

There have been several recent excellent reviews describing the role of mtDNA mutations in disease^{5–7}, and so we discuss primary pathogenic mtDNA mutations only in broad outline to demonstrate some of the unique aspects of mitochondrial genetics and function. We focus on more speculative roles of mtDNA mutations — both germline and somatic — in complex phenotypes, such as ageing, neurodegenerative diseases and cancer.

Mitochondrial function and dysfunction

The human mitochondrial genome is a tiny 16.6 kb circle containing only 37 genes: 13 of these genes encode proteins and the remaining 24 — consisting of 2 ribosomal RNAs $(rRNAs)$ and 22 tRNAs — are used for translation of those 13 polypeptides⁸ (FIG. 1a). Remarkably, all 13 polypeptides are components of a single metabolic entity: the OXPHOS system (FIG. 1b). Pathogenic mutations resulting in respiratory chain deficiency can arise from mutations in either genome⁹. In addition to these structural subunits, there are more than 50 nDNA-encoded ancillary gene products required for the proper assembly and functioning of the OXPHOS system (FIG. 1b). Although mitochondrial OXPHOS disorders are quite varied, they typically display a number of 'canonical' biochemical and morphological features (BOX 3).

Pathogenic mtDNA mutations: distribution and threshold effects

Primary mtDNA-related diseases may be defined as those disorders that arise directly from a mutation in mtDNA — either a point mutation or a DNA rearrangement (that is, a deletion, duplication or inversion) — that directly compromises OXPHOS function. Since 1988, more than 270 point mutations have been described, affecting every mtDNA gene (examples are provided in TABLE 1). Remarkably, more than half of these mutations are located in tRNA genes, even though tRNAs comprise only about 10% of the total coding capacity of the genome. Conversely, the polypeptide-coding genes, comprising almost 70% of the genome, account for only about 40% of the mutations, and the two rRNA genes (15% of coding capacity) account for only about 2%.

This unexpected distribution is likely to be due to the fact that most patients with mtDNA mutations are heteroplasmic, which means that they harbour a mixture of normal and mutated mtDNAs. Moreover, with one exception¹⁰, all of these heteroplasmic mtDNA mutations are 'recessive': an extremely high amount of mutation is required before a clinical phenotype evinces itself. For unknown reasons, this 'threshold effect' varies among mutation types, and in skeletal muscle the mutation load for any particular tRNA $({\sim}90\%)^{11}$ is typically higher than that for large-scale partial deletions of mtDNA $(\sim 70-80\%)^{12}$. The threshold for mutation load in polypeptide-coding genes can be similarly broad, with low levels of mutation causing one type of clinical presentation and higher levels causing another. An excellent example of this behaviour is the m.8993T→G mutation in the ATP synthase 6 (*ATP6*) gene: at mutation loads above 90%, patients present with maternally inherited Leigh's syndrome (MILS), which is a fatal encephalopathy¹³ (BOX 1), whereas at mutation loads in the range of 70–90% patients present with neuropathy, ataxia and retinitis pigmentosa (NARP) 14 , which is a late-onset, debilitating but non-fatal disorder. By contrast, a patient with 70% mutation in a tRNA will rarely display overt disease. Thus, tRNA mutations are 'tolerated' over a broader range of mutant load than are mutations in polypeptide-coding genes. Of course, after the mutation load has risen above 90–95%, no matter what the type of mutation is, OXPHOS deficiency occurs, usually with devastating results.

These high thresholds for pathogenicity also mask the actual frequency of potentially pathogenic mtDNA mutations in the population. Although the prevalence of mtDNA-related disease is about 1 in 5,000, the population frequency of the ten most common pathogenic mtDNA mutations is much higher — approximately 1 in 200 — suggesting that many normal individuals carry subthreshold levels of potentially harmful mtDNA mutations¹⁵.

The skewed distribution of mutations towards tRNA errors initially implies that maternally inherited mutations in structural subunits of the respiratory chain are selected against, presumably during embryogenesis, whereas mutations in tRNAs are somehow less 'severe' during embryogenesis. Indeed, maternally inherited mutations in tRNAs might be under less-stringent purifying selection through the germ line than are mutations in polypeptidecoding genes¹⁶. However, it is easy to imagine that the reverse might also hold true: namely, that mutations in rRNA and tRNA genes that disrupt global protein synthesis would have a greater deleterious effect than mutations in single respiratory chain subunits. The paucity of mutations in rRNA genes supports this alternative view: there is only one known mutation in 16S rRNA associated with a primary mitochondrial disease, and none of the five known mutations in the 12S rRNA gene is lethal, but they do cause non-syndromic deafness¹⁷ for reasons related to an effect on 12S rRNA methylation and subsequent nuclear E2F1 mediated transcription¹⁸ rather than to the role that 12S rRNA has in protein synthesis. Thus, in addition to differential degrees of purifying selection, the predilection of mutations for tRNA genes may be due to other, currently unknown reasons.

Examples of point mutations in mtDNA

Among the point mutations (TABLE 1), the most common are: an $A \rightarrow G$ transition at position 3,243 in the tRNALeu(UUR) gene, which causes mitochondrial encephalopathy, lactic acidosis and stroke-like episodes (MELAS); an A→G transition at position 8,344 in tRNALys, which causes myoclonus epilepsy and ragged red fibres (MERRF) syndrome; and the aforementioned T→G transversion at position 8,993 in *ATP6*, which causes NARP and MILS (BOX 1). These three disorders highlight the diversity of biochemical, morphological and clinical presentations that are a hallmark of mitochondrial disorders and that not only challenge the diagnostic capabilities of clinicians but also pose fundamental questions regarding basic mitochondrial biology.

NARP and MILS highlight additional aspects of mitochondrial disease and behaviour. These disorders are due to mutations in the membrane-spanning (so-called F_0) portion of ATP synthase (FIG. 1b) that compromise ATP synthesis. Whereas all of the mutations described above affect respiratory chain integrity, thereby reducing the mitochondrial transmembrane potential $(\Delta \psi_m)^{19,20}$, ATP synthase mutations typically leave the respiratory chain intact but decouple proton flow from ATP generation²¹. As such, $\Delta \psi_m$ in NARP or MILS is either unchanged or is actually higher than normal 21 . This crucial difference in transmembrane potential will render cells from patients with NARP or MILS refractory to treatment strategies that are predicated on selectively eliminating mitochondria with a low $\Delta\psi_m$ (REFS 19,20).

Examples of large-scale deletions of mtDNA

The most easily observable mtDNA mutations are the large-scale partial deletions: mtDNAs with these deletions are designated Δ -mtDNAs. Discovered in 1988 (REFS 22,23), these mutations remove \sim 2–10 kb of mtDNA, and the deletion breakpoints are often flanked by short (5–13 bp) direct repeats²⁴. The deletions are typically sporadic (that is, only the proband has the mutation; mothers and siblings do not), and although they vary among patients, each patient harbours only a single species of deletion. These facts imply that the deletion event occurred either in the germ line of the mother or in early embryogenesis of the proband; the deletion event arose randomly in a single mtDNA molecule, and this single molecule of Δ-mtDNA was massively amplified during pre- and postnatal development through clonal expansion. The deletions can be located almost anywhere in the mitochondrial genome²⁴, but they all cause one of three pathogenically similar disorders, as distinguished by their tissue proclivities⁹: Kearns– Sayre syndrome (KSS)²⁵; chronic progressive external ophthalmoplegia (CPEO, or simply PEO)²⁶; or Pearson's syndrome²⁷ (BOX 1).

In all three syndromes, the deleted region encompasses at least one tRNA gene. Indeed, this is the basis for the underlying pathogenesis of these syndromes and demonstrates two additional aspects of mitochondrial behaviour. First, the loss of a single tRNA gene is sufficient to compromise the translation of all 13 mtDNA-encoded polypeptides²⁸. Second, this failure to translate mt-mRNAs occurs even in heteroplasmic cells but only if the fraction of Δ-mtDNA within each cell (or muscle segment) is above ~80% and the amount of normal mtDNAs is insufficient to complement the defect by providing the 'missing' tRNAs 20,29,30 . If the mutation load is below this threshold, complementation will occur²⁰, as normal mRNAs and polypeptides can move fairly freely, not only within individual organelles but also as a result of the constant fusion and fission of mitochondria. By contrast, the mtDNAs themselves are more 'confined', as they are bound in mtDNA–protein complexes called nucleoids that are attached to the inner face of the inner membrane and operate as semiautonomous genetic elements²⁰.

Secondary mtDNA defects: multiple deletions and depletion of mtDNA

Secondary mtDNA-related disorders are those in which mtDNA integrity is compromised but not via a 'direct hit' on the mitochondrial genome. Rather, mtDNA mutations in these disorders (secondary mtDNA mutations) are caused 'indirectly' as a consequence of a Mendelian-inherited mutation in a nuclear gene that encodes a protein required for the proper replication and/or maintenance of the mitochondrial genome, ultimately resulting in mtDNA instability. This instability can manifest itself through the generation of multiple point mutations or large-scale Δ -mtDNAs that arise over the lifetime of the patient³¹; loss of the entire mitochondrial genome (that is, mtDNA depletion³²) can also occur. Often, all three types of error coexist within tissues of the same patient³³. Strictly speaking, these syndromes are not considered to be primary mtDNA diseases. However, because at a basic

level these diseases are defects in the dialogue between the nucleus and the mitochondria, they share many of the features of the primary disorders, including their population-genetic behaviour (for example, heteroplasmy and threshold effects).

There are various mutations that can cause mtDNA instability, including those in nDNAencoded proteins required for maintenance of mitochondrial nucleotide pools³⁴, nucleotide transport³⁵, DNA replication³⁶ and RNA transcription³⁷. For example, PEO can occur with Mendelian inheritance owing to mutations in a nucleus-encoded mitochondrial DNA helicase called Twinkle³⁷; these mutations result in the generation and accumulation in muscle of multiple large-scale Δ-mtDNAs that are structurally similar to the 'clonal' ΔmtDNAs observed in patients with sporadic PEO and KSS. Depending on the loads of depleted and mutated mtDNAs and their tissue distributions, secondary mtDNA-related disorders can have various presentations. Examples of syndromes include mitochondrial neurogastrointestinal encephalomyopathy (MNGIE)³⁴, Alpers' sydrome³⁸ and PEO in isolation or as a part of syndromes that include PEO plus other features.

Ageing and neurodegeneration

Accumulation of mtDNA mutations in normal ageing

The mutation load of the clonal Δ-mtDNAs found in sporadic KSS, PEO and Pearson's syndrome is extremely high $(>80\%)$ in affected issues)³⁹. Interestingly, these mutations also accumulate during the lifetime of normal people, albeit at extremely low levels that are detectable only by PCR^{40} and with different amounts in different tissues⁴¹. It appears that these Δ-mtDNAs accumulate during our lifetimes, especially in postmitotic tissues such as the brain, heart and muscle^{42–44}. Although they arise spontaneously as the result of somatic mutation, each individual species of Δ -mtDNA has the capacity to expand clonally⁴⁵; this expansion is particularly noticeable in muscle from aged normal individuals⁴⁶ (and in patients with Mendelian PEO)⁴⁷. Although each individual species of Δ -mtDNA may represent less than 0.1% of total mtDNA^{43,44}, sufficient numbers of different Δ-mtDNA species accumulate so that, depending on their tissue distribution and concentration⁴⁸, they can have deleterious consequences⁴⁹.

Although Δ-mtDNAs clearly accumulate in ageing, the evidence that the same holds for point mutations is weaker 43 . There are many ongoing studies aimed at detecting somatic mtDNA mutations, but it still remains uncertain whether these mutations cause overt disease or whether they have a major role in normal ageing. Perhaps studies of experimental models that age more rapidly than humans (for example, flies, worms and even yeast) will shed light on this question.

mtDNA mutations in late-onset neurodegenerative disease

There is a growing interest in the potential role of mitochondrial dysfunction in general, and of mtDNA mutations in particular, in late-onset neurodegenerative diseases, such as Alzheimer's, Parkinson's and Huntington's diseases, amyotrophic lateral sclerosis and hereditary spastic paraplegias⁵⁰. Although there seems to be little doubt of a mitochondrial connection, it seems to relate more to problems of mitochondrial dynamics (for example, fission, fusion, distribution and anchorage) or quality control (for example, autophagy) than to mutations in mtDNA⁵⁰. However, there is one neurodegenerative disorder in which mtDNA mutations may have a role (albeit probably a secondary one), and that is Parkinson's disease. Studies of proteins mutated in familial Parkinson's disease — for example, PINK1, a mitochondrially targeted kinase⁵¹, and parkin, a cytosolic ubiquitin ligase that translocates to low- $\Delta\psi_m$ dysfunctional mitochondria⁵² — have implicated altered mitochondrial quality control as an underlying element in the pathogenesis of both the familial and sporadic forms of the disease. However, it has also been found that the

substantia nigra — the brain region that is most affected in Parkinson's disease (and the main site of dopaminergic neuron degeneration in patients with Parkinson's disease) harbours substantial levels of the types of Δ-mtDNAs found in KSS and in normal ageing^{53,54}. This finding has led to the hypothesis that these somatically produced Δ mtDNAs may eventually cause bioenergetic deficit, leading to the disease. This hypothesis has received indirect support from studies of mice in which mtDNA deficiency was induced specifically in the substantia nigra and that displayed many of the pathological features of Parkinson's disease⁵⁵. We note, however, that patients with KSS who have high levels of Δ mtDNAs do not, as a rule, have features of Parkinson's disease and that only one mutation in mtDNA has been associated with such features⁵⁶. Further analysis in model systems, including mice harbouring clonal57 or multiple⁵⁸ Δ-mtDNAs, or analysis of *PINK1-* or *PARK2* (also known as parkin)-mutated mice for the presence of Δ-mtDNAs, may help to resolve this issue.

Inherited mtDNA variants in complex traits

In addition to the potential roles of somatic mtDNA mutations in ageing and neurodegenerative diseases, are there mtDNA haplotypes or specific polymorphisms that are associated with predisposion to neurodegenerative diseases or that are associated with other complex traits? Different ethnic groups have distinct mitochondrial haplotypes that have resulted from the accumulation of variations from the mtDNA of our ancestral 'mitochondrial Eve'59. Some of these haplotypes may confer vulnerability to, or protection from, various common diseases. In addition, the genetic variation might be responsible for subtle biochemical differences among haplogroups. For example, it has been postulated that mtDNA haplotypes that favour a looser coupling between oxidation and phosphorylation thereby generating a surplus of heat at the expense of ATP — may have favoured the settling of human populations in colder climates 60 ; however, this hypothesis is controversial^{61,62}.

Mitochondrial haplotypes as modifiers

It is clear that bona fide pathogenic mtDNA mutations can cause common disorders such as diabetes mellitus⁶³, hearing loss^{64,65} or exercise intolerance⁶⁶, but such mutations account for less than 2% of patients with these complex traits. What is less clear is the role of mtDNA haplotype variants in such common disorders. Theoretically, an mtDNA variant with mild functional consequences could interact with other polymorphisms in mtDNA or nDNA to produce complex traits synergistically⁶⁷. A well-documented example is the effect of mtDNA haplotype on the expressivity of Leber's hereditary optic neuropathy (*LHON*) mutations. The variable penetrance of these mutations cannot be accounted for by heteroplasmy, because the mtDNA defect is homoplasmic in most patients. Haplotype analyses of *LHON* mutations have revealed an increased risk of vision loss in the setting of haplotypes J1, J2 and K^{68,69}. Analyses *in vitro* of cybrids (BOX 2) and fibroblast cells that harbour either the m.11778G→A or m.14484T→C mutations confirmed that haplogroup J conferred sensitivity to the neurotoxin n -hexane⁷⁰, an environmental toxin that, like smoke and pesticides, has been implicated as an environmental trigger of optic neuropathy in patients with LHON⁷⁰.

Susceptibility to complex disorders

Evidence for mtDNA susceptibility polymorphisms and/or haplogroups in complex disorders is highly controversial. There is evidence for and against such links in a number of diseases, including age-related macular degeneration, Alzheimer's disease, amyotrophic lateral sclerosis, frontotemporal dementia, hereditary spastic paraplegia, Huntington's disease, ischaemic stroke, metabolic syndrome, myocardial infarction, psychiatric disorders,

Parkinson's disease, sarcopaenia and type 2 diabetes, among others^{71–83}. Although it has been argued that those studies in which associations were not observed typically had a low statistical power owing to small sample sizes and the genotypic complexity of mtDNA lineages and sublineages, the fact remains that very few 'primary' mtDNA mutations (which have been found on diverse mtDNA haplotypic backgrounds) are associated with these disorders, and they are far fewer in number than are nuclear mutations found in these conditions50. Moreover, most of these studies were either correlative, descriptive or both and were also susceptible to technical difficulties that were unique to the analysis of mtDNA polymorphisms84. These included problems in determining the functional consequences of one haplotype as compared to another⁸⁵ and in the misattribution of mutations to mtDNA resulting from the co-amplification of authentic mtDNA with nucleus-embedded mtDNA pseudogenes (described below). Taken together, we feel that although there may be important contributions of mtDNA haplotypes to complex traits and disorders, the evidence to date is too weak to support such a conclusion.

mtDNA mutations in cancer

Perhaps nowhere is the role of mtDNA mutations in pathology more contentious than in cancer. Moreover, the discovery of mtDNA mutations in tumours has also contributed to the recent resurgence of interest in the Warburg hypothesis of cancer, which states that tumours rely on 'aerobic glycolysis' rather than on OXPHOS in normoxic conditions to survive and even to thrive⁸⁶.

In 1998, Bert Vogelstein's group reported that colorectal cancers harbour numerous mtDNA mutations (typically, point mutations, not rearrangements, with many in the homoplasmic state); adjacent non-cancerous tissues had only normal mtDNAs⁸⁷. Since then, this result has been replicated numerous times⁸⁸ but, given our understanding of mitochondrial behaviour, especially in primary mitochondrial disease, these findings raise many questions. Why are there so many mutations, and why are many of the mutations in *cis* (that is, on the same mtDNA circle)? How do they arise rapidly? Why do some tumours have nonsense mutations, whereas others have synonymous or missense mutations, many of which are apparently functionally neutral? Why is there no apparent difference in tumour biology between those tumours that harbour homoplasmic mutations and those that harbour heteroplasmic mutations (sometimes below the threshold level typical of primary mitochondrial disease)? Fundamentally, these questions are really asking the same thing: what is the relationship of mtDNA mutations and OXPHOS function to cancer biology (BOX 4)?

Box 4

Mitochondrial function in cancer

Mitochondrial function is altered in numerous ways in tumours, allowing them to survive and to circumvent cell-death pathways¹⁴¹. Some of these changes are summarized below.

Loss of p53 function

Among its numerous functions, the tumour suppressor p53 regulates respiratory chain function and glycolysis via positive transcriptional regulation of synthesis of cytochrome oxidase 2 (*SCO2*), which encodes a complex IV assembly protein, and negative regulation of *TP53*-induced glycolysis regulator (*TIGAR*; also known as *C12orf5*). When *TP53* (the gene that encodes p53) is mutated or lost in tumours, glycolysis (and the mitochondrial tricarboxylic acid (TCA) cycle) is stimulated¹⁴² and the respiratory chain is depressed. This phenomenon is known as the Crabtree effect.

Evasion of hypoxia-mediated cell death

Poorly vascularized, rapidly dividing tumour cells become hypoxic, stimulating celldeath pathways mediated by the transcription factor hypoxia-inducible factor 1 (HIF1). Among other effects, this change promotes vascularization, cell survival and invasion. HIF1 subunit-α is normally degraded by the proteasome after hydroxylation by prolyl hydroxylase and subsequent ubiquitylation by the von Hippel–Lindau E3 ubiquitin ligase, but increased levels of TCA-derived succinate in tumours inhibit the hydroxylase, thus stabilizing HIF1. Notably, mutations in nuclear genes encoding two TCA cycle enzymes — namely, succinate dehydrogenase (SDH) and fumarase, both of which result in increased cytosolic succinate levels — can give rise to cancer. These cancers are pheochromocytomas and paragangliomas in the case of SDH143 and leiomyomas in the case of fumarase¹⁴⁴. These observations lend support to the idea that HIF1 α has a role in tumorigenesis and to the conceptual underpinnings of the Warburg hypothesis 145 .

Evasion of apoptosis

Cell death by apoptosis is regulated by a balance between interacting pro-apoptotic factors (for example, BAX and the truncated form of BH3-interacting domain death agonist (tBID)) and anti-apoptotic factors (for example, BCL-2). In cancer, this balance is shifted towards anti-apoptotic factors. For example, the glycolytic enzyme hexokinase, which binds to voltage-dependent anion-selective channel (VDAC1) on the mitochondrial outer membrane, is upregulated in tumours; this prevents the interaction of VDAC1 with $tBD^{146,147}$.

Role in DNA replication

In addition to the requirement of a respiratory chain to support pyrimidine synthesis (see text), it was recently shown that purine biosynthesis in tumours is also mediated by mitochondria via pathways requiring glycine. In mitochondria, glycine is converted to tetrahydrofolate (by the glycine cleavage system), which provides one-carbon units for incorporation into the purine ring. Although glycine is made both in the cytosol (*de novo* from carbon dioxide and ammonia and salvaged by serine and tetrahydrofolate) and in mitochondria (also from serine and tetrahydrofolate), tumours (but not rapidly dividing normal cells) consume glycine that is metabolized specifically by the mitochondrial pathway¹⁴⁸. Thus, DNA replication using both purine and pyrimidine precursors — the *sine qua non* of tumour growth — rely on mitochondrial function.

This brief overview vastly understates the complicated interplay between mitochondrial and tumour biology¹⁴¹ but gives some sense of the potential effects of a possible role that mitochondria and mitochondrial DNA mutations might have in cancer.

Although there are dozens of studies documenting mtDNA mutations in cancer, almost none of them has asked whether a specific mtDNA genotype affects mitochondrial function and whether such dysfunction has a role in tumorigenesis. There have been a few reports of tumours that are, for example, cytochrome c oxidase (COX)-deficient^{5,89}, but it is not clear whether the deficiency is due to a mutation in an mtDNA-encoded COX gene, or whether this is the result of a general downregulation of respiratory chain function or even the result of reduced mtDNA copy number. Moreover, it is noteworthy that of the known pathogenic point mutations causing primary mitochondrial disease (TABLE 1), none is unequivocally associated with cancer. Thus, mtDNA mutations seem more likely to be a marker of cancer and/or to arise as a secondary effect of altered tumour biology than to be a cause of cancer.

An alternative idea is that mtDNA mutations result in downregulation of OXPHOS function, and this process somehow promotes tumour survival. One idea in support of this view is that defects in OXPHOS lead to activation of the AKT cell survival pathway, including

downregulation of apoptosis via a mechanism mediated by cellular NADH, which is increased when mitochondrial respiration is blocked 90 . However, defective bioenergetics in tumours, either through mtDNA mutation or reduction in mtDNA copy number 91 (but the issue of copy number is contentious⁹²), raises a conceptual problem: mitochondrial respiratory chain function is indispensable for the synthesis of the pyrimidines that cells especially highly proliferating tumour cells — need to divide, and in the absence of a functioning respiratory chain, cells eventually die93. This is because a key reaction in *de novo* pyrimidine synthesis — the conversion of dihydroorotate to orotate (a precursor of uridine monophosphate (UMP) — is catalysed by the mitochondrial enzyme dihydroorotate dehydrogenase, which depends on an intact respiratory chain for its function⁹⁴; more specifically, the electron acceptor for this redox reaction is reduced ubiquinone (that is, CoQ) and, through complexes III and IV, molecular oxygen (FIG. 1b). If a similar effect operates in tumours that contain homoplasmic loss-of-function mtDNA mutations, it would mitigate against, not for, the generation of mtDNA mutations. We note in this regard that cells that are completely devoid of mtDNA (called ρ^0 cells (BOX 2)) do not form tumours when they are injected into mice subcutaneously $95,96$, but they are tumorigenic when injected intramuscularly96. This is perhaps because the subcutaneous site is less vascularized than the muscle and cannot take up uridine from the circulation (uridine is \sim 5 μ M in plasma97).

Are tumour mtDNA mutations artefacts?

If some baseline level of normal OXPHOS function is required for tumours to be viable, why have so many mtDNA mutations been detected? One possibility is that at least some of these could be technical artefacts. If a low-stringency BLAST of mtDNA is run against the human genome, it is possible to detect ~1,000 nucleus-embedded mitochondrial sequences (NUMTs), ranging in size from ~50 bp to >15 kb (that is, almost full-length)^{98,99}. These NUMTs have been relocalized from the mitochondria to the nucleus in evolutionary time⁹⁸ and have subsequently been subjected to genetic drift, with individual NUMTs picking up polymorphic mutations in different human lineages^{100,101} (that is, they are essentially mtDNA-derived pseudogenes). What is less appreciated is that NUMTs can also enter the nucleus during the lifetime of an individual, especially under conditions of stress. This rapid relocalization of mtDNA sequences has been documented in plants¹⁰², yeast¹⁰³, rodents¹⁰⁴ and humans^{105–107}. Of course, in these cases, the sequences of authentic mtDNA and of recently relocalized NUMTs will be extremely similar or possibly identical.

Chromosomal instability in tumours has the potential to increase the NUMT copy number in several ways: the NUMTs could be located in unstable regions that lead to aneuploidy; they could be located in homogeneously staining regions (HSRs), which are tandemly repeated regions of the genome with increased copy number; and they can be selectively amplified within small regions of the chromosome as double-minute DNAs (dmDNAs)¹⁰⁸. In the cases of HSRs and dmDNAs, the copy number in the repeated regions can vary, ranging from \sim 3 to \sim 30 repeats, with a median value of \sim 10 repeats^{108,109}. Because the typical dmDNA is about 1–3 Mb in size, it will contain on average one NUMT (on the basis of there being \sim 1,000 NUMTs in a human genome) and will be amplified selectively by approximately tenfold over its pre-cancerous level^{110,111}. We therefore speculate that NUMT targets can increase in tumours in ways that vary from individual to individual and from tumour to tumour.

When using PCR of total cellular DNA to analyse mtDNA in normal tissues, the number of authentic mtDNA molecules far exceeds the number of NUMTs detectable by any particular pair of PCR primers, and the PCR preferentially amplifies the normal mtDNA. However, in tumours, these PCR reactions might amplify one or more NUMTs along with (or even in

preference to) authentic mtDNA owing to a reduction in the mtDNA/NUMT target DNA ratio. There are at least three possible reasons for this: a reduction in mtDNA copy number 91 ; the presence of large numbers of potentially highly polymorphic and diverged NUMTs in tumours; and the potential to amplify specific NUMTs selectively in dmDNAs. Therefore, depending on the choice of primers (FIG. 2), mutations in tumour NUMT DNA may be misattributed to those in authentic tumour $mtDNA¹¹²$. We note that when mitochondria were purified before PCR amplification, no pathogenic mtDNA mutations were found in mouse brain tumours¹¹³, and the number of mtDNA mutations in human colorectal tumours was actually lower than that in the surrounding tissue 114 .

These caveats are speculative, and for that reason they should not be allowed to obscure the very real possibility that authentic mtDNAs are indeed mutated in tumours (especially as the rapid cellular division in cancers may allow for the accumulation and fixation of spontaneous mtDNA mutations 115). Moreover, even if the mutated DNAs are artefacts derived from amplified NUMTs¹¹⁶ and are less biologically informative, they are nevertheless still extremely useful as early markers of cancer^{117,118}, and thus they may have clinical use.

Concluding remarks

The notion that mutations in mtDNA, and the subsequent alterations in mitochondrial oxidative energy metabolism, are confined to a small group of pathologies no longer holds true. Together, primary mtDNA-related diseases, which were previously deemed to be extremely rare, have now been shown to be as frequent as some Mendelian disorders, such as Duchenne's muscular dystrophy or cystic fibrosis. Moreover, mtDNA mutations — either partial deletions or point mutations — that are present at high levels in primary mitochondrial disorders have now been identified at low levels in infant cord blood¹⁵, at somewhat higher levels in the ageing population^{49,54} and at fairly high levels within target tissues in some of the most common pathologies that afflict humankind 53 . Although we have made some progress in using the basic concepts of mitochondrial biology and genetics to deduce the pathogenesis of primary mitochondrial OXPHOS disease, we are only now beginning to identify and to understand the role of secondary mtDNA mutations in ageing, in Parkinson's disease and perhaps in other disorders as well.

Two technological issues will determine the pace of further progress in the field. With respect to understanding the relationship of underlying mtDNA haplotypes to complex traits, the application of recent technological advances — such as whole-exome sequencing, whole-genome sequencing, high-throughput whole-transcriptome shotgun sequencing and various 'omics' approaches (especially proteomics, lipidomics and metabolomics) — to this problem may enable us to understand more clearly the relationship of genotype to phenotype. However, there is currently no proven technology that will allow us to introduce exogenous mtDNA into mammalian mitochondria in a stable and heritable way. Thus, we cannot make targeted mtDNA mutations to study basic issues in mitochondrial biogenesis (for example, dissecting mtDNA elements controlling replication, transcription or translation) nor can we introduce targeted mutations into mitochondria to generate cellular or animal models of mtDNA-based disorders, be they primary, secondary or complex. However, after this major technical hurdle has been overcome, the field will be poised to enter a revolutionary new phase in our understanding of mitochondrial biology and disease. To the question posed in 2000 regarding the phenotypic consequences of mtDNA mutations — 'are we scraping the bottom of the barrel?'¹¹⁹ — the answer is a definite 'no'.

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Glossary

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Figure 1. Mitochondrial DNA and the human respiratory chain/oxidative phosphorylation system

a | The human mitochondrial genome. The 37 mitochondrial DNA (mtDNA)-encoded genes include seven subunits of complex I (ND1, 2, 3, 4, 4L, 5 and 6), one subunit of complex III (cytochrome *b* (Cyt *b*)), three subunits of complex IV (Cyt *c* oxidase (COX) I, II and III), two subunits of complex V (A6 and A8), two rRNAs (12S and 16S) and 22 tRNAs (oneletter code). Also shown are the origins of replication of the heavy strand (O_H) and the light strand (O_L) , and the promoters of transcription of the heavy strand (HSP) and light strand (LSP). **b** | Oxidative phosphorylation (OXPHOS) complexes. The system is comprised of complex I (NADH dehydrogenase-CoQ reductase), complex II (succinate dehydrogenase), complex III (ubiquinone-Cyt *c* oxidoreductase), complex IV (COX) and complex V (ATP synthase; F_0 and F_1 denote the proton-transporting and catalytic subcomplexes, respectively), plus two electron carriers, coenzyme Q (CoQ; also called ubiquinone) and Cyt *c*. Complexes I–IV pump NADH- and FADH2-derived protons (produced by the tricarboxylic acid (TCA) cycle and the β-oxidation 'spiral') from the matrix across the mitochondrial inner membrane to the intermembrane space to generate a proton gradient while at the same time transferring electrons to molecular oxygen to produce water. The proton gradient, which makes up most of the mitochondrial transmembrane potential $(\Delta \psi_m)$, is used to do work by being dissipated across the inner membrane in the opposite direction through the fifth complex (ATP synthase), thereby generating ATP from ADP and free phosphate. Complexes I, III, IV and V contain both mtDNA- and nuclear DNA (nDNA) encoded subunits, whereas complex II, which is also part of the TCA cycle, has only nDNAencoded subunits. Note that CoQ also receives electrons from dihydroorotate dehydrogenase (DHOD), an enzyme of pyrimidine synthesis. Polypeptides encoded by nDNA are in blue (except for DHOD, which is in pink); those encoded by mtDNA are in colours corresponding to the colours of the polypeptide-coding genes (shown in **a**). The 'assembly'

proteins are all nDNA-encoded. IMS, intermembrane space; MIM, mitochondrial inner membrane. Part **a** is modified from REF. 149 © (2006) Wiley.

Figure 2. Example of the potential to amplify inadvertently a nucleus-embedded mitochondrial sequence

Comparison of a region of the *16S* rRNA gene within authentic mitochondrial DNA (mtDNA) (top lines; numbering according to the standard 'Cambridge' mtDNA sequence 8) to that of a nucleus-embedded mitochondrial sequence (NUMT) located on chromosome 17p11.2 (bottom lines; genome numbering according to the February 2009 release of the human genome (GRCh37/hg19)). The primer pairs used (Forward and Reverse 1, and Forward and Reverse $2)^{112}$ have the potential to amplify both mtDNA and the NUMT. Sequence differences between mtDNA (black) and NUMT DNA (red) are shown in bold.

Table 1

mtDNA mutations in human primary respiratory chain disorders

This is a 'minimal' list that was compiled by the authors. A more comprehensive list (>300 mutations) is available at MITOMAP, which contains confirmed pathogenic mutations (including those selected for this table), those that are possibly associated with pathology and those that appear to confer a higher risk of developing disease or are modifiers of disease presentation. COX, cytochrome *c* oxidase; LHON, Leber's hereditary optic neuropathy; MELAS, mitochondrial encephalomyopathy, lactic acidosis and stroke-like episodes; MERRF, myoclonus epilepsy and ragged red fibres; MILS, maternally inherited Leigh's syndrome; mtDNA, mitochondrial DNA; NARP, neuropathy, ataxia and retinitis pigmentosa; ND, NADH-ubiquinone oxidoreductase; PEO, progressive external ophthalmoplegia; rRNA, ribosomal RNA.