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## Oxidation state changes of the Mn<sub>4</sub>Ca cluster in Photosystem II

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### Abstract

A detailed electronic structure of the Mn<sub>4</sub>Ca cluster is required before two key questions for understanding the mechanism of photosynthetic water oxidation can be addressed. They are whether all four oxidizing equivalents necessary to oxidize water to O<sub>2</sub> accumulate on the four Mn ions of the oxygen-evolving complex, or do some ligand-centered oxidations take place before the formation and release of O<sub>2</sub> during the S<sub>3</sub> → [S<sub>4</sub>] → S<sub>0</sub> transition, and what are the oxidation state assignments for the Mn during S-state advancement. X-ray absorption and emission spectroscopy of Mn, including the newly introduced resonant inelastic X-ray scattering spectroscopy have been used to address these questions. The present state of understanding of the electronic structure and oxidation state changes of the Mn<sub>4</sub>Ca cluster in all the S-states, particularly in the S<sub>2</sub> to S<sub>3</sub> transition, derived from these techniques is described in this review.

### Keywords

Photosystem II; Water oxidation; Oxygen evolution; Manganese cluster; X-ray spectroscopy

### Introduction

The oxygen-evolving complex (OEC) located in the Photosystem II (PS II) membrane-bound protein complex in plant, algae, and cyanobacteria catalyzes the water-oxidation reaction (Debus 1992; Rutherford et al. 1992; Ort and Yocum 1996; Wydrzynski and Satoh 2005). The OEC couples the 4-electron chemistry of water oxidation with the one-electron photochemistry of the reaction center by sequentially storing oxidizing equivalents through five intermediate S-states (S<sub>i</sub>, i = 0–4), before one molecule of dioxygen is evolved. The OEC contains four Mn atoms and one Ca (and possibly one Cl); the Mn cluster provides a high degree of redox and chemical flexibility so that several oxidizing equivalents can be stored during the S-state cycle. Thus, nature avoids releasing harmful chemical intermediates such as superoxide or peroxide during the water oxidation.

To understand the mechanism of water oxidation in detail, it is crucial to know whether the extracted electrons are directly derived from bound water, or from the Mn atoms, or from any other parts of the OEC accompanying each S-state transition (Messinger 2004). Several spectroscopic methods, such as electron paramagnetic resonance (EPR) (Styring and Rutherford 1988; Brudvig 1995; Peloquin and Britt 2001; Carrell et al. 2002), Fourier-transformed infra-red (FTIR) (Chu et al. 2001, 2004; Debus et al. 2005; Kimura et al. 2005a, b, c; Strickler et al. 2006), X-ray absorption spectroscopy (XAS) (Yachandra et al. 1996; Iuzzolino et al. 1998; Yachandra 2005), and UV spectroscopy (Dekker 1992), have been

used to address the oxidation state of Mn in each S-state (Fig. 1). EPR spectroscopy has shown that the  $S_0$  and  $S_2$  are characterized by spin  $S = 1/2$  ground states, exhibiting multiline EPR signals (MLS) (Dismukes and Siderer 1980; Hansson and Andreacete;sson 1982; Messinger et al. 1997a, b; Åhrling et al. 1998). The  $S_1$  and  $S_3$  are characterized by parallel-polarized EPR signals, indicating integral spin ground states (Dexheimer and Klein 1992; Yamauchi et al. 1997; Campbell et al. 1998; Matsukawa et al. 1999). X-ray absorption near-edge structure (XANES) has been used extensively to investigate the oxidation state of Mn during the S-state transition (Roelofs et al. 1996; Messinger et al. 2001; Haumann et al. 2005). There is a consensus that Mn-centered oxidation occurs during the  $S_0$  to  $S_1$ , and  $S_1$  to  $S_2$  transitions. However, there is still controversy concerning the involvement of Mn oxidation in the  $S_2$  to  $S_3$  transition. Within the context of localized oxidation, the formal oxidation state of the native  $S_1$  state has been assigned to  $Mn_4(III,III,IV,IV)$  and  $S_2$  to  $Mn_4(III,IV,IV,IV)$ . Some groups have proposed  $Mn_4(III,III,III,IV)$  in the  $S_2$  state and therefore  $Mn_4(III,III,III,III)$  for the  $S_1$  state (Kuzek and Pace 2001; Carrell et al. 2002). Uncertainty remains in the case of the  $S_0$  and  $S_3$  states. In the  $S_0$  state, one of the questions is whether Mn(II) is present, in which case the oxidation states are  $Mn_4(II,III,IV,IV)$  (Messinger et al. 1997b), or whether the oxidation states are  $Mn_4(III,III,III,IV)$  (Robblee et al. 2002). In the  $S_3$  state, there is conflict about whether a Mn-centered oxidation occurs (Iuzzolino et al. 1998; Haumann et al. 2005), or whether a ligand-centered oxidation takes place before O–O bond formation and release of molecular oxygen (Styring and Rutherford 1988; Roelofs et al. 1996; Liang et al. 2000; Messinger et al. 2001; Siegbahn 2006).

The conflicts concerning the  $S_2$  to  $S_3$  transition have led to two different types of proposed  $O_2$  evolution mechanisms, with one type incorporating the oxidation of ligand or substrate in the  $S_3$  state, and the other type invoking Mn oxidation during the  $S_2$  to  $S_3$  transition (Messinger 2004). Fundamental differences in the chemistry of O–O bond formation and  $O_2$  evolution exist between these two types of mechanisms.

As mentioned above, formal oxidation states are commonly used to describe the number of electrons in the metal valence orbitals. Although it is convenient for simplifying the redox states, formal oxidation states do not necessarily coincide with the effective number of electrons in the metal valence shells except for very ionic compounds. In many transition-metal systems the formal oxidation state is an incomplete and in many cases an incorrect description of the electronic structure of the system, because of other important factors, especially metal–ligand covalency (Sarangi et al. 2006). In fact, we have recently shown using resonant inelastic X-ray scattering spectroscopy (RIXS) that the valence electrons are strongly delocalized and, therefore, cannot be assigned to just one metal-center in the OEC (Glatzel et al. 2004). It is very likely that bridging ligands or terminal ligands are actively involved in the catalytic process. In other words, uniqueness of metal catalytic centers in biological system compared to inorganic compounds probably arises from the involvement of these ligands.

In this review, we summarize our current understanding of the oxidation state changes of the OEC, in all S-states and particularly from the  $S_2$  to  $S_3$  state, studied using various X-ray spectroscopic techniques, mainly; Mn K-edge XANES (1s–4p absorption),  $K\beta$  XES (3p–1s emission), and the recently introduced RIXS (1s to 3d/4p absorption followed by 2p to 1s  $K\alpha$  emission). The emphasis is on the results obtained by our group over the years. These results inform us about the more subtle and complicated features involved in the biological system; however, some issues still remain as open questions to be resolved in the future.

## Structural or geometric changes in the OEC during S-state transitions

Although the main scope of this review is to focus on the oxidation state changes, geometric and electronic structural changes of the OEC during the S-state transitions are interdependent. Therefore, we briefly summarize the current knowledge of the structural changes of the OEC during the S-state transitions in this section. We have gained much structural information through extensive studies by X-ray crystallography (Zouni et al. 2001; Kamiya and Shen 2003; Ferreira et al. 2004; Loll et al. 2005) and X-ray absorption spectroscopy (Yachandra 2005) over the past several years. Very recently, we have used single-crystal XAS spectroscopy combined with crystallography, to derive a high-resolution structure of the OEC in the dark-stable state ( $S_1$ ) (Yano et al. 2006), under X-ray flux conditions that do not damage the Mn cluster (Yano et al. 2005a). The data suggests that the structure of the  $Mn_4Ca$  cluster is different from previously proposed models from X-ray crystallography and spectroscopy (Pushkar et al. 2007; Yano et al. 2006).

The structural change as detected by EXAFS from the  $S_1$  to  $S_2$  state is very subtle (Yachandra 2005; Yano et al. 2005b). In contrast, dramatic structural changes seem to occur during the  $S_2$  to  $S_3$  transition, as can be observed in the Mn EXAFS spectra of PS II solution samples (Liang et al. 2000). This structural change is also observed from the Ca (or Sr) point of view (unpublished data), suggesting that the  $S_2$  to  $S_3$  structural change involves the entire cluster. Ligand environment around OEC also undergoes some structural or orientational changes during the S-state cycle as observed in the FTIR studies using site-specific mutants and isotopes (Chu et al. 2004; Debus et al. 2005; Kimura et al. 2005a, b, c; Strickler et al. 2006).

## Electronic spin state changes

In terms of the electronic spin, the  $S_0$  and  $S_2$  states are  $S = 1/2$  ground spin states, each characterized by a EPR multiline spectrum at  $g = 2$  (Fig. 1) (Dismukes and Siderer 1980; Hansson and Andréasson 1982; Messinger et al. 1997a, b; Åhrling et al. 1998). Parallel-polarized EPR signals were observed in the  $S_1$  and  $S_3$  states instead of the MLS in the perpendicular mode EPR, suggesting integral ground state spin in these two states (Dexheimer and Klein 1992; Yamauchi et al. 1997; Campbell et al. 1998; Matsukawa et al. 1999).

On the basis of the EPR and Electron-Nuclear Double Resonance (ENDOR) studies of the  $S_0$  and  $S_2$  states and the observation of the Y-shaped OEC electron density from the crystallographic study, spin coupling schemes have been suggested by several groups (Dismukes et al. 1982; Bonvoisin et al. 1992; Kusunoki 1992; Messinger et al. 1997b; Hasegawa et al. 1999; Peloquin et al. 2000; Kulik et al. 2005). Most of these studies support the oxidation state of  $Mn_4(III,IV_3)$  state in the  $S_2$  state and  $Mn_4(II,III,IV_2)$  or  $Mn_4(III_3,IV)$  in the  $S_0$  state. Summary of the coupling scheme from the  $S_0$  and  $S_2$  EPR and ENDOR studies is described in detail elsewhere (Peloquin et al. 2000; Kulik et al. 2005).

Another interesting observation is the detection of higher-spin states ( $g = 4.1$  and  $g = 6-10$ ) in the  $S_2$  state in addition to the MLS ( $S = 1/2$ ) state (Casey and Sauer 1984; Zimmermann and Rutherford 1984; Boussac et al. 1998a, b). The  $g = 4.1$  state, which is best interpreted as  $S = 5/2$ , can be created either chemically ( $Cl^-$  depletion,  $F^-$  addition, etc.) or by near-infrared (NIR) illumination of native PS II. The  $g = 6-10$  state is also created by NIR illumination and proposed to arise from an  $S = 5/2$  state (Boussac et al. 1998a, b; Horner et al. 1998).

The parallel-polarized EPR signals observed in the  $S_3$  state were best explained as an  $S = 1$  spin state (Matsukawa et al. 1999). This could support a Mn-centered oxidation state change

upon the  $S_2$  to  $S_3$  transition (see below). However, if a redox-active organic residue (for example, protein side chain, bound water, or bridging oxygen) is oxidized, the exchange coupling between the Mn cluster with  $S = 1/2$  and the organic residue with  $S = 1/2$  could result in the disappearance of the  $S_2$  multiline signal. Additionally, other possible coupling schemes in a multi-spin system could lead to a similar EPR silent state. EPR studies on high spin  $S_2$  and  $S_3$  states produced by NIR illumination may support the absence of a Mn-centered oxidation during the  $S_2$  to  $S_3$  transition (Boussac et al. 1996, 2005; Ioannidis et al. 2000, 2002). A similar action spectrum for NIR illumination was observed for the  $S_2$  and  $S_3$  states. This may indicate similar Mn redox states in the  $S_2$  and  $S_3$  states, and the possible presence of Mn(III) in the  $S_3$  state.

## Mn K-edge spectra of PS II

XANES is an element-specific method, and spectra are sensitive to the oxidation state, spin state, and local geometry of the metal site. In general, the rising edge position shifts when the effective number of positive charges (in a simplified way, oxidation state) changes resulting from 1s core hole shielding effects (Yachandra and Klein 1996). In an atom with one electron, for example, the electron experiences the full charge of the positive nucleus. However, in an atom with many electrons, the outer electrons are simultaneously attracted to the positive nucleus and repelled by the negatively charged electrons. The higher the oxidation state of the metal, the more positive the overall charge of the atom, and therefore more energy is required to excite an electron out of an orbital. Conversely, the XANES spectrum shifts to a lower energy when there is more negative charge on the metal.

Figure 2 shows the Mn K-edge spectrum of each S-state of spinach PS II after deconvolution of the spectra obtained from consecutive flash illumination into pure S-state spectra, and their second derivative spectra (Messinger et al. 2001). Traditionally, the inflection point of the rising Mn K main edge (electron 1s to 4p transition) has been used as an indicator of the oxidation states. The edge positions for each of the S-states have been quantitated by measuring the inflection point energy (IPE), given by the zero-crossing of the 2nd derivative. Extensive model compound studies have shown that, when Mn is oxidized by one electron in a set of Mn model compounds with similar ligands, the IPE shifts 1–2 eV to higher energy (Visser et al. 2001).

In PS II, there is a clear edge shift to higher energy during the  $S_0$  to  $S_1$  ( $2.1 \pm 0.15$  eV shift) and  $S_1$  to  $S_2$  transitions ( $1.1 \pm 0.05$  eV shift), indicating that Mn-centered oxidation occurs during these transitions. On the contrary, the  $S_2$  to  $S_3$  state transition shows a much smaller shift ( $0.3 \pm 0.05$  eV), suggesting that the chemistry of the  $S_2$  to  $S_3$  transition is different from that of the  $S_0$  to  $S_1$  or  $S_1$  to  $S_2$  transition (Roelofs et al. 1996; Messinger et al. 2001).

However, one has to be aware that the edge position cannot be simply an indicator of the oxidation state. Due to the size of the metal 4p orbital, this orbital overlaps with p orbitals of the ligands, either through  $\sigma$ - or  $\pi$ -bonding. Consequently, XANES is sensitive not only to the oxidation state but also to the ligand environment of the metal. Additionally, no definite theory is available for calculating main K-edge spectra for transition-metal complexes, owing to several factors that affect the metal p-density of unoccupied orbitals.

## Mn K-edge pre-edge spectra of PS II

In order to obtain a more localized view of the electronic structure at the metal site, it is suitable to probe the lowest unoccupied metal 3d orbitals. The pre-edge spectra arise from excitations of 1s electron into 3d orbitals that are mainly localized around the metal ion. It shows the immediate surrounding of the excited ion through the Coulomb interaction between the core hole and the valence electrons within a short range. This pre-edge feature

is a quadrupole-allowed transition; it occurs at a lower energy than the main edge transitions with approximately 1% of the intensity of the dipole-allowed main edge transition. The transition can gain intensity by the metal 4p mixing when the metal–ligand environment is distorted from a centro-symmetric to a non-centro-symmetric coordination. The spectra reflect coordination number, ligand environment, and oxidation state of metals.

As shown in Fig. 2 inset, the pre-edge spectra of PS II noticeably change during the S-state transition (Messinger et al. 2001). There are mainly three peaks (pre-edge fit data shown only for  $S_1$ ), and the low energy component ( $\sim 6,540$  eV) decreases in intensity during the  $S_0$  to  $S_1$  and  $S_1$  to  $S_2$  transitions, and it is not present in the  $S_3$  spectrum. In the single crystal XANES of PS II  $S_1$  state, these three components show a characteristic dichroism (Yano et al. 2006). In order to understand these pre-edge features and obtain information about the electronic configuration, however, one needs to further investigate the various model compounds and combine experimental data with theoretical calculations based on the ligand field and/or Density-functional theories.

## Mn $K\beta$ X-ray emission spectroscopy

X-ray K emission lines are also sensitive to the chemical environment of metals. K (1s core hole) emission spectra arise from the transition of outer shell electrons to a 1s hole, following the formation of the 1s core hole by X-ray absorption (Fig. 3a). Spectral changes in the  $K\alpha$  and  $K\beta$  main lines reflect the effective number of unpaired metal 3d electrons through the exchange interaction between the core hole (1s or 2p) and the net electron spin in the metal valence shell. The overall spectral shape of  $K\beta$  line is dominated by the (3p, 3d) exchange interaction, while the  $K\alpha$  line is shaped by the 2p spin-orbit splitting. In general, spectral changes for  $K\beta$  lines are more pronounced than for  $K\alpha$ , because the 3p and 3d orbitals interact more with each other than the 2p and 3d orbitals.

$K\beta$  XES monitors the X-ray emission from the relaxation of a 3p electron to a 1s hole (Fig. 3b). For the two  $K\beta$  main lines,  $K\beta_1$  is the emission from  $3p_{3/2}$  and  $K\beta_3$  is from  $3p_{1/2}$ , and these lines cannot be resolved with our spectrometer.  $K\beta$  feature is due to the (3p, 3d) exchange interaction. In a very simplified model, there are two final spin states;  $K\beta_{1,3}$  is a constructive and  $K\beta$  is a destructive spin exchange interaction between the unpaired 3p and 3d electrons. The magnitude of the exchange interaction depends on the number of unpaired electrons in the 3p and 3d orbitals. For example,  $K\beta$  and  $K\beta_{1,3}$  lines move toward each other with decreasing valence spin; i.e., smaller (3p, 3d) interaction. Therefore, the  $K\beta$  spectrum is sensitive to the exchange interaction between the core hole (3p) and the net electron spin in the metal 3d valence shell; i.e., it is indirectly sensitive to the effective number of unpaired metal 3d electrons. Thus, the  $K\beta$  spectrum serves as an indicator of oxidation state that is different from XANES, which monitors oxidation state through 1s core hole shielding effect.

Figure 4a shows the  $K\beta$  emission spectra of a series of Mn oxides,  $Mn(IV)O_2$ ,  $Mn_2(III)O_3$ , and  $Mn(II)O$ , which illustrates the sensitivity of  $K\beta$  spectra to the oxidation state of Mn. The  $K\beta$  and  $K\beta_{1,3}$  peaks appear at  $\sim 6,475$  eV and  $\sim 6,490$  eV, respectively (Bergmann et al. 1998). Separation of these two features is due to the exchange interaction of the unpaired 3d electrons with the 3p hole in the final state of the  $3p \rightarrow 1s$  fluorescence transition (Fig. 4b). As the oxidation state of Mn increases from Mn(II) to Mn(III) to Mn(IV), fewer unpaired 3d valence electrons are available to interact with the 3p hole; concomitantly, the magnitude of the 3p–3d spin exchange interaction becomes smaller. Accordingly, the  $K\beta_{1,3}$  transition shifts to a lower energy, the  $K\beta$  transition shifts to a higher energy, and the  $K\beta$ – $K\beta_{1,3}$  splitting becomes smaller.

Compared to the 4p orbitals, the 3p orbitals have less overlap with the ligand orbitals, because they are smaller and more buried within the electronic shells. For this reason,  $K\beta$  XES is less sensitive to the ligand environment compared to XANES. The  $K\beta_{1,3}$  transition is better resolved than the  $K\beta$  transition due to a difference in relaxation processes. Hence, we have used  $K\beta_{1,3}$  transition as a indicator of the oxidation state of the metal site for PS II.

The  $K\beta$  emission spectra of spinach PS II in  $S_0$  through  $S_3$  and their difference spectra are shown in Fig. 5 (Messinger et al. 2001). The peak shifts are much smaller than for Mn oxides, because maximally only 1 out of 4 Mn atoms in the OEC is oxidized during each S-state transition. However, the derivative shape of the  $S_0$  to  $S_1$  and the  $S_1$  to  $S_2$  difference spectra show that the  $K\beta_{1,3}$  peak shifts to lower energy during these transitions. By contrast, the change is not apparent upon the  $S_2$  to  $S_3$  transition, suggesting that the change in the metal charge density is much less than for the other transitions. Mn reduction occurs during the  $S_3$  to  $S_0$  state transition and the  $K\beta_{1,3}$  peak shifts to a higher energy; the difference spectrum reflects a return to the starting oxidation states.

The peak shift of the  $K\beta_{1,3}$  emission lines were quantitated using a 1st moment analysis. The 1st moments were calculated for each spectrum using the following equation,

$$\text{1st moment} = \frac{\sum E_j I_j}{\sum I_j} \quad (1)$$

where,  $E_j$  and  $I_j$  are the energy and fluorescence intensities of the  $j$ th data point. The method is suited for very small shifts since the statistics from the entire  $K\beta_{1,3}$  (6,485–6,495 eV) peak is considered rather than just the peak energy.

Figure 6 summarizes the IPE from the XANES and the 1st moments of the  $K\beta$  spectra of flash-induced spinach PS II samples. The 1st moment shifts observed in PS II are much smaller than for Mn oxides. As Mn is oxidized from Mn(II), Mn(III) to Mn(IV) in the oxide series, the 1st moments shift to lower energy by  $\sim 0.3$  eV step. For the  $S_1$  to  $S_2$  transitions of PS II, the shift is 0.06 eV, which is approximately three times the value observed for the  $S_2$  to  $S_3$  transition (0.02 eV). The 1st moment shift of 0.06 eV for the  $S_1$  to  $S_2$  transition is only one fourth of that seen for the Mn(III) to Mn(IV) oxides.

One point to consider is whether major structural changes can cause the lack of an energy shift in the XES data, even when there is a Mn-centered oxidation state change. A detailed understanding of  $K\beta$  XES requires a ligand-field multiplet theory that considers symmetry-dependent perturbations such as (1) spin-orbit coupling, (2) ligand-field splitting, (3) Jahn-Teller distortion, and (4) spin-spin interaction between different metal atoms. Each of these perturbations will split the spin states into a multiplet of states, causing an asymmetric broadening of the observed emission peaks, indicating that there is some dependence of the  $K\beta$  XES spectra on the ligand environment. We have compared the XANES and XES spectra of two types of Mn compounds, with very different structures such as the ‘trimers’ (trinuclear complexes) and ‘butterflies’ (tetranuclear), in different oxidation states (Fig. 7) (Pizarro et al. 2004). In the XANES spectra, the Mn oxidation state changes have clear effects on the IPE shifts: the shift is 1.64 eV for  $Mn_3O$  and 2.20 eV for  $Mn_4O_2$ . However, the magnitude of the shift depends on the type of compounds. Also, the absorption profile of each compound is unique, being affected to different degrees upon changing the Mn oxidation state. On the other hand, the  $K\beta$  spectra of each set of compounds have very similar shapes despite differences in core structure and ligand environment. Additionally, the  $K\beta$  energy shifts from one oxidation state to another are more or less the same between these two series; the shifts scale with the fractional change in oxidation state: 0.12 eV for  $Mn_3O$  (1 out of 3) and 0.09 eV for  $Mn_4O_2$  (1 out of 4). This shows that, compared to

XANES,  $K\beta$ XES is more susceptible to changes in oxidation state rather than differences in the overall ligand environment.

In summary, the X-ray absorption and  $K\beta$  emission spectra both indicate Mn-centered oxidation upon  $S_0$  to  $S_1$  and  $S_1$  to  $S_2$  transitions. By contrast, both experiments suggest that there are no clear Mn-centered oxidation state changes during the  $S_2$  to  $S_3$  transition.

## Resonant inelastic X-ray scattering (1s2p or $K\alpha$ RIXS)

The electronic structure of the Mn complex in PS II can be studied in more detail using RIXS (Glatzel et al. 2004; Glatzel and Bergmann 2005). In case of a 3d transition metal ion like Mn, the decay with the highest probability after 1s core hole creation by X-ray absorption is a radiative 2p to 1s transition (therefore, called 1s2p RIXS). This process can be viewed as an inelastic scattering of the incident photon at the Mn atom. In 1s2p RIXS spectroscopy, both the incident X-ray energy ( $\nu$ ) and the emission energy ( $f$ ) are scanned (Fig. 8). The energy difference between the initial state to the intermediate state ( $\nu$ ) is equivalent to the K-edge pre-edge transition, and the difference between the initial state and the final state ( $\nu-f$ ) is comparable to L-edge spectroscopy. While the K-edge spectrum is a measure of the charge density of the metal, L-edge spectrum has information about the spin state of the metal also, due to the strong (2p, 3d) multiplet interaction. Hence, RIXS is sensitive not only to the metal charge density, but also to the metal spin state. In general, L-edge spectroscopy is difficult for biological samples because of severe radiation damage caused by the higher X-ray absorption at lower energy, and additionally experiments need to be carried out under ultra-high vacuum conditions. In RIXS, L-edge-like spectra are obtained, but the excitation energy is the same as for K-edge spectroscopy. It also allows us measurement of spectra at 10 K in the presence of an exchange gas atmosphere. Hence, RIXS spectroscopy can circumvent some difficulties in the L-edge spectroscopy, and the method is suitable for biological samples.

In RIXS spectroscopy, the incident energy is scanned using the beamline double-crystal X-ray monochromator, while the emission energy is scanned using the multi-crystal analyzer mounted on Rowland circles (Fig. 9). By using several single crystal analyzers, we can collect reasonable quality data from a dilute biological sample, such as PS II.

The RIXS spectra are often shown as a surface plot (Fig. 10, top left) or a contour plot (Fig. 10, bottom right). In the surface plot, abscissa is the excitation energy across the 1s–3d energy range of the spectrum and ordinate is the difference between the excitation and emission energy (energy transfer,  $E_B$ , shown in Fig. 8). RIXS spectra are also presented as line plots by integrating the spectral intensity along the incident energy (constant energy transfer (CET) plot) or the energy transfer axis (constant incident energy (CIE) plot). A CET plot is identical to a normal K pre-edge scan (1s to 3d). A CIE plot is similar to a L-edge spectrum if the intensity is integrated over the entire incident energy range of 2p to 3d transitions.

Figure 11 (left) shows RIXS contour plots of two types of Mn compounds: Mn oxides and Mn coordination compounds with formal oxidation states, Mn(II), Mn(III), and Mn(IV) (Glatzel et al. 2004, 2005). The chemical structures of the Mn coordination compounds are shown in Fig. 11 (bottom). The Mn coordination compounds are all six-coordinate, mostly with oxygen ligands. Despite the different ligand environment, similar trends are observed in the Mn oxides and coordination compounds with same oxidation states. The Mn(II)O spectrum shows one broad peak centered at  $\nu \sim 6,540$  eV. The spectrum is well explained by the contribution of the crystal field splitting  $10Dq$  between the  $t_{2g}$  and  $e_g$  orbitals (see Glatzel et al. 2004). For Mn in higher oxidation state (Mn(III) and Mn(IV)), the electron

(3d–3d) interaction becomes dominant. In Mn(III) compounds, for example, the two strong resonances observed in the contour plots ( $\nu = 6,540$  and  $6,543$  eV) are due to the (3d, 3d) multiplet interactions ( $\sim 3$  eV separation). The separation of two strong features is smaller in Mn coordination compounds ( $\sim 2$  eV) due to the reduced magnitude of the (3d–3d) interaction; i.e., a more covalent electron configuration decreases the electron–electron interaction.

Figure 11 (right) shows the RIXS contours from the  $S_1$  and  $S_2$  states of PS II (Glatzel et al. 2004). The two types of line plots (CIE and CET) of the  $S_1$  and  $S_2$  states are also shown in Fig. 12 together with those of Mn coordination compounds. In Fig. 11, the  $S_1$  and  $S_2$  spectra show mixed features characteristic of Mn(III) and Mn(IV) compounds, having two main peaks. However, the first peak ( $\sim 6,541$  eV) appears broader than that for the model compounds, and do not have a sharp boundary between the two peaks as in the coordination complexes, owing to the presence of 4 Mn in different oxidation states and different ligand environment.

In RIXS, the 1st moments (Eq. 1) along the incident energy and energy transfer axes define ‘the center of gravity’ energy. As observed in Mn oxides, the 1st moment along the incident energy increases as the Mn oxidation state increases, due to the decrease in charge density on the Mn (Fig. 13a). The interpretation of the 1st moment shift along the energy transfer direction is more complicated, since it is also affected by the final-state interaction. This arises from the (2p, 3d) electron–electron interaction, which is the exchange energy for electrons with parallel spins (see Glatzel et al. 2004). In Fig. 13a, the 1st moment shift for the series of Mn oxides follows the formal oxidation state in a linear manner. This suggests that the effective number of 3d electrons is directly connected to the effective number of unpaired 3d electrons (spins) in these compounds.

For Mn coordination compounds, the 1st moment position shifts more toward lower energy compared to the Mn oxides (Fig. 13b), which indicates that Mn coordination compounds have much stronger covalency compared to the Mn oxides. The changes per oxidation state in the 1st moment positions are more pronounced between the Mn oxides than those between the Mn coordination compounds. It is worth noting that the two Mn(III) complexes do not have similar 1st moment positions. This can be explained by the presence of one counter ion in Mn(III) (Cl-Salp). The  $[\text{Mn(III)(Cl-Salp)(CH}_3\text{OH)}_2]$  is positively charged. When the complex contains a counter ion, it behaves like a more ‘oxidized’ form than its formal oxidation state, and therefore, the energy difference between the Mn(III)(Cl-Salp) and Mn(IV)(sal)<sub>2</sub>(bipy) is much smaller. This in fact confirms that the 1st moment analysis reflects the effective number of electrons on Mn.

The 1st moment of the incident energy for PS II is lower than those for the Mn(IV) coordination complex and larger than or similar to those for the Mn(III) coordination complexes (Fig. 13), which supports the mixed oxidation states of Mn(III) and Mn(IV) in the  $S_1$  and  $S_2$  states assigned earlier by the EPR and XANES studies. As observed in the PS II  $S_1$  and  $S_2$  contour plots and line plots (Figs. 11, 12), the spectral changes during the  $S_1$  to  $S_2$  transition is subtle due to the oxidation state changes of one Mn out of four Mn. The 1st moment shift between  $S_1$  and  $S_2$  states is a factor of 7–8 smaller than those between Mn(III)(acac)<sub>3</sub> and Mn(IV)(Sal)<sub>2</sub>(bipy), and a factor of 3 smaller than those between Mn(III)(Cl-Salp) and Mn(IV)(Sal)<sub>2</sub>(bipy). However, the magnitude of the spectral change “per Mn ion” between Mn(III)(Cl-Salp) and Mn(IV)(Sal)<sub>2</sub>(bipy) is comparable to that between the  $S_1$  to  $S_2$  states.

We thus find that the electron that is extracted from the OEC in PS II between  $S_1$  and  $S_2$  is strongly delocalized, consistent with strong covalency for the electronic configuration in the



OEC. The data collection of the  $S_0$  and  $S_3$  RIXS spectra are currently in progress (unpublished data). The preliminary result indicates that the  $S_0$  spectrum has a weaker peak compared to the  $S_1$  state, having a more pronounced low energy component. In the  $S_2$  to  $S_3$  transition, peak intensity becomes stronger and the spectral feature is altered. The orbital population change  $\Delta n_{3d}^{\text{eff}}$  per change in oxidation state between the  $S_2$  and  $S_3$  states is half as much as that between that between  $S_0$  and  $S_1$ , or  $S_1$  and  $S_2$  transitions, indicating that the electron is removed from a more covalent form or even more delocalized orbital during this transition.

## Summary

The discussion about the oxidation state changes of OEC during the S-state transition has been going on for decades due to its importance in understanding the oxygen-evolving mechanism. The reason for differences in experimental results or in their interpretation, or in the difficulty in the interpretation, particularly in the  $S_2$  to  $S_3$  oxidation state change, arises very likely from the following points:

1. A process of deconvolution is involved, in order to obtain and understand the properties of the  $S_3$  state using the method of flash illumination. Therefore, one needs careful quantitation of the S-state population of each sample by EPR or other independent methods prior to each X-ray experiment.
2. The effect of radiation damage is also a critical issue to be considered, since reduction of Mn also shifts the XANES spectrum to lower energy. Messinger et al. (2001) have reported the S-state dependence of radiation susceptibility, and have shown that the  $S_3$  state is not the most radiation susceptible among the S-states.

Moreover, the following points need to be considered more carefully.

3. XANES is not an absolute (or unique) way of assigning the oxidation state, particularly when structural changes are involved. In addition, there is no clear theory for simulating the XANES spectra of multinuclear complexes.
4. As we have emphasized throughout the article, the use of “formal oxidation state” has limited merit, since electron and spin densities on Mn ion do not necessarily correspond to the numbers derived from the oxidation state.

The oxidation state of each S-state has been studied by several experiments including XAS, EPR, and other spectroscopies, and also from the mechanistic point of view. In addition to the points discussed above, one needs to be careful because each experiment or proposal does not necessarily observe or refer to the same phenomenon.

In the current review, we have summarized several X-ray absorption/emission techniques which we applied to tackle the unsettled issue of oxidation state changes in OEC. XANES spectra show that there is a larger shift in the inflection point energy during the  $S_0$  to  $S_1$  and  $S_1$  to  $S_2$  transitions compared to that occurring during the  $S_2$  to  $S_3$  transition. However, the question regarding the Mn-centered oxidation state change on  $S_2$  to  $S_3$  transition is inconclusive from this method owing to the strong influence of the ligand environment. The  $K\beta$  emission spectra, which are less sensitive to the ligand environment, also showed that a Mn-centered oxidation does not occur during the  $S_2 \rightarrow S_3$  transition. RIXS results are also consistent with the results obtained from XANES and  $K\beta$  XES. On the basis of the overall trend from these three different spectroscopic methods, it is clear that during the  $S_0$  to  $S_1$ , and  $S_1$  to  $S_2$  transitions there is a change in the oxidation state or charge density population centered on Mn. However, the change is much smaller in the  $S_2$  to  $S_3$  transition, showing that the nature of this transition is different from that seen for the advancement of the  $S_0$  to  $S_1$  and  $S_1$  to  $S_2$  states. At the same time it is worth noting that this is not an all-or-none

situation. If Mn is not oxidized, presumably some other species (a protein side-chain ligand or bound water) is oxidized. The delocalization of a small amount of electronic charge from a Mn atom to the ligand could account for the small residual changes seen in the X-ray energies.

We can illustrate the process in an electron density picture by describing an electron cloud that becomes less localized and more distributed between the metal ion and its ligands. As a result, the effective number of 3d electrons and the effective spin state can no longer be derived from the formal oxidation state. These observations do not necessarily conflict with EPR results, in which the data show that the spin state seems to change at each S-state. While EPR probes the spin of the delocalized electron density over the entire OEC cluster, the X-ray spectroscopy sees a superposition of the four local Mn electronic configurations. In other words, Mn X-ray spectroscopy probes a more ‘localized’ aspect of the charge density that is only on Mn.

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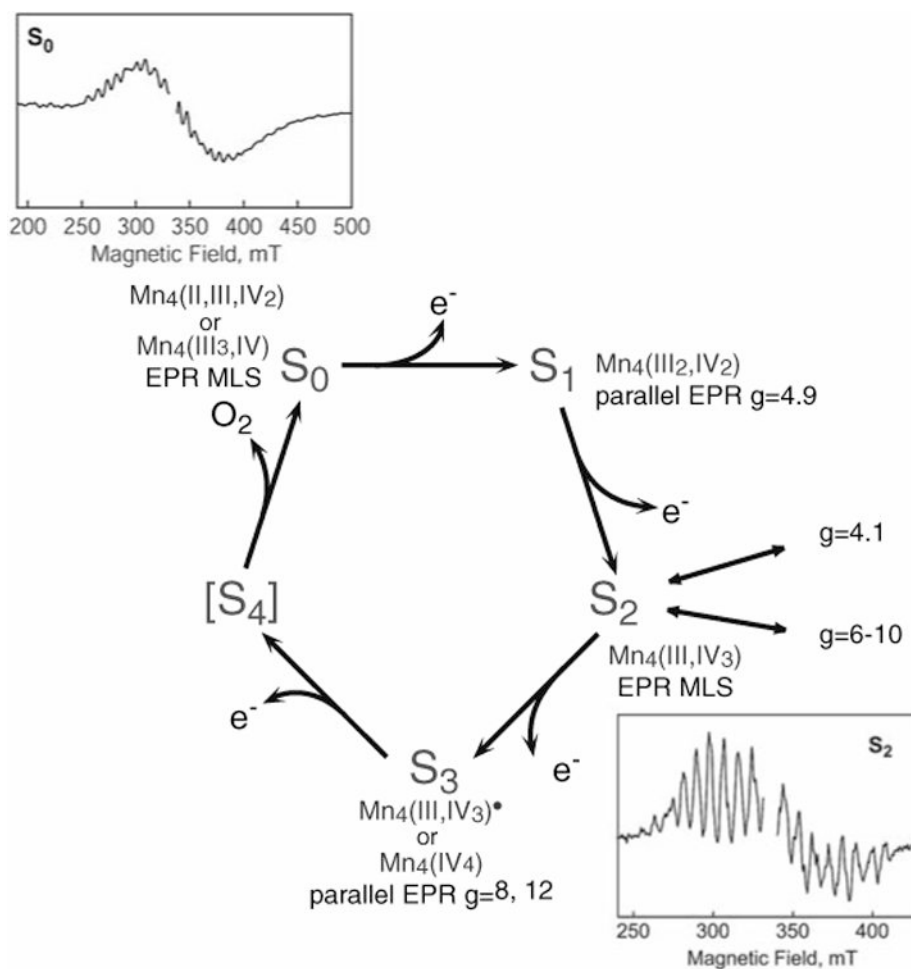
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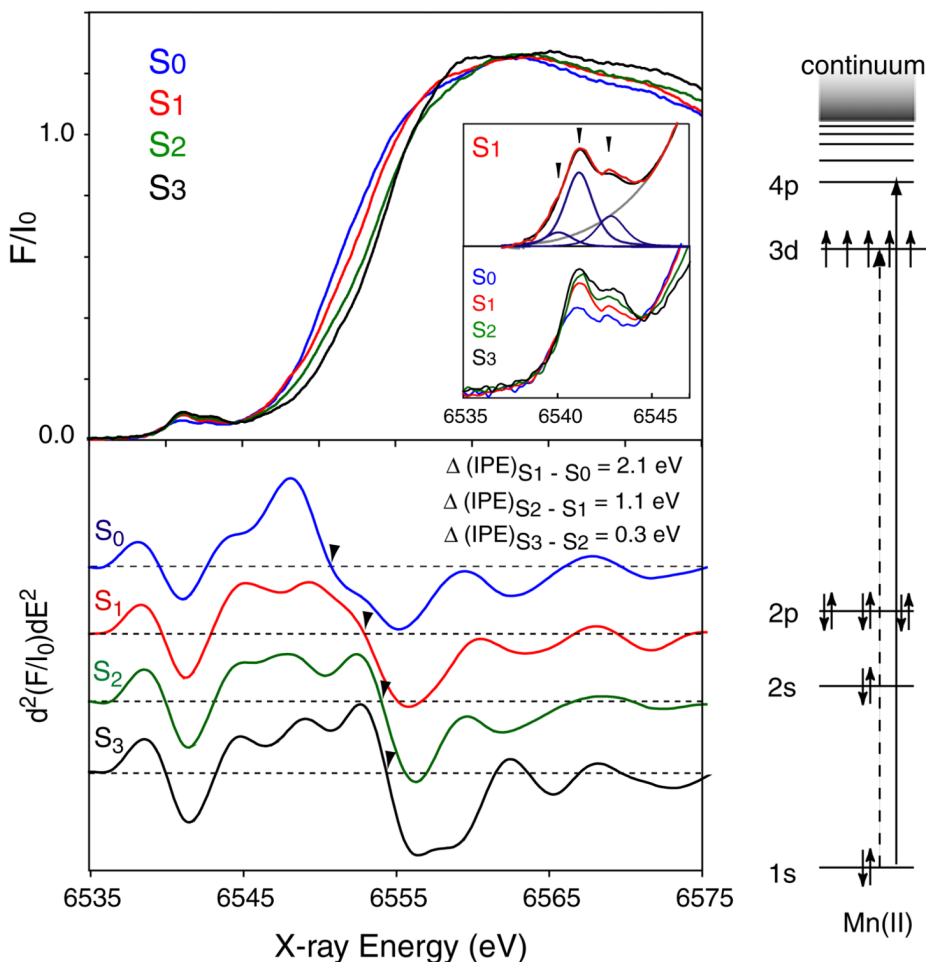
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## Abbreviations

<b>PS II</b>	Photosystem II
<b>OEC</b>	oxygen-evolving complex
<b>EPR</b>	electron paramagnetic resonance
<b>ENDOR</b>	Electron-Nuclear Double Resonance
<b>XAS</b>	X-ray absorption spectroscopy
<b>EXAFS</b>	extended X-ray absorption fine structure
<b>XANES</b>	X-ray absorption near-edge spectroscopy
<b>MLS</b>	multiline EPR signal
<b>NIR</b>	near infra-red
<b>IPE</b>	Inflection point energy
<b>XES</b>	X-ray emission spectroscopy
<b>RIXS</b>	resonant inelastic X-ray scattering
<b>CIE</b>	constant incident energy
<b>CET</b>	constant energy transfer

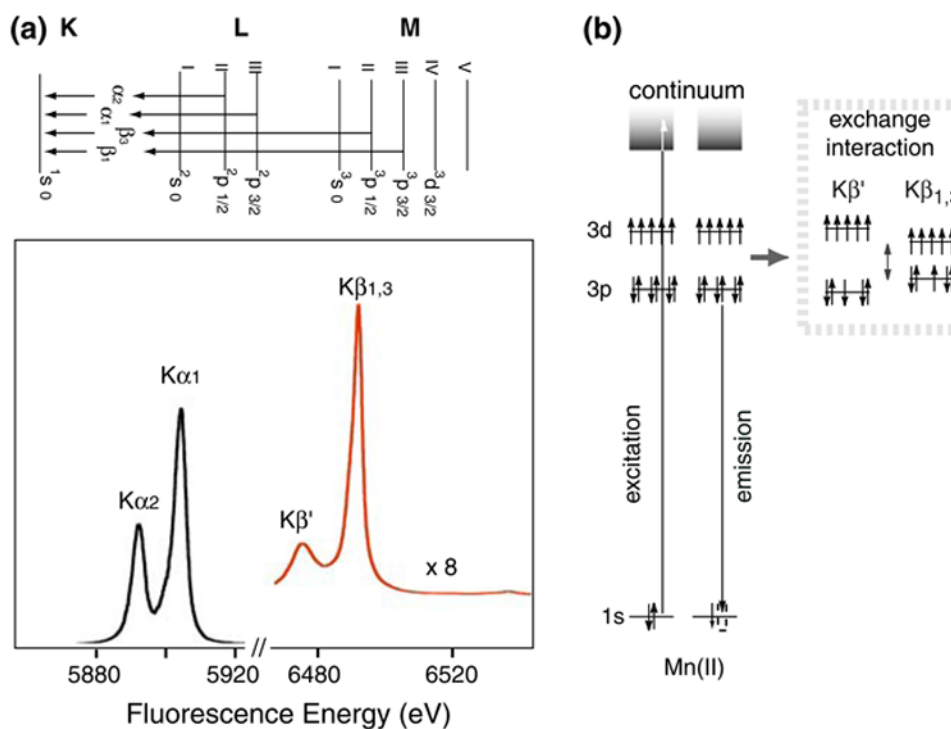


**Fig. 1.** The S-state cycle and the proposed oxidation states of the Mn cluster in the S-states. The multiline EPR signals for the S<sub>0</sub> and S<sub>2</sub> states and the spin states identified with S<sub>0</sub>, S<sub>1</sub>, S<sub>2</sub>, and S<sub>3</sub> (for spinach) are also shown

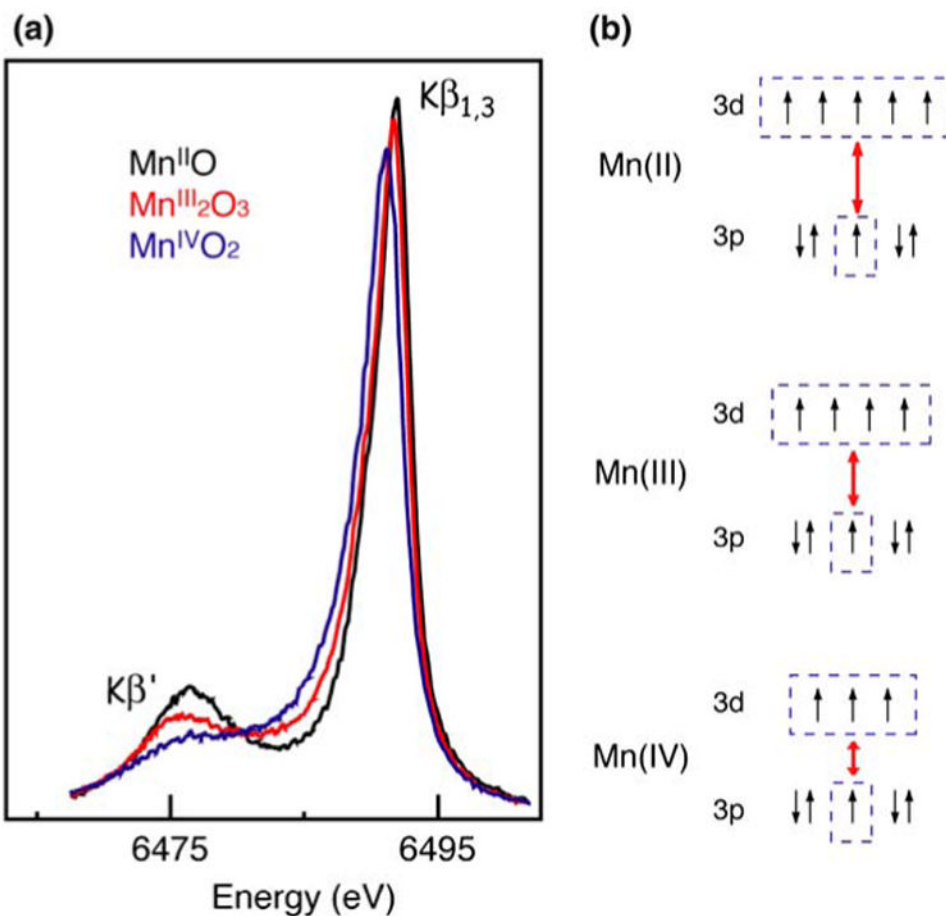


**Fig. 2.** The Mn K-edge spectra of spinach PS II (BBY), from the  $S_0$  through  $S_3$  states (*top left*) and their 2nd derivative spectra (*bottom left*) (Messinger et al. 2001). The magnitude of the inflection point energy shift for the  $S_0$  to  $S_1$  (2.1 eV) and  $S_1$  and  $S_2$  (1.1 eV) is much larger than the shift for the  $S_2$  to  $S_3$  transition (0.3 eV). The pre-edge (1s to 3d transition) from the S-states is enlarged and shown below the Mn K-edge spectra together with the curve fitting result of the  $S_1$  pre-edge spectrum (red, experimental; blue, curve fittings; gray, background). The energy level diagram for Mn K-edge XANES and the relevant transitions is shown on the right

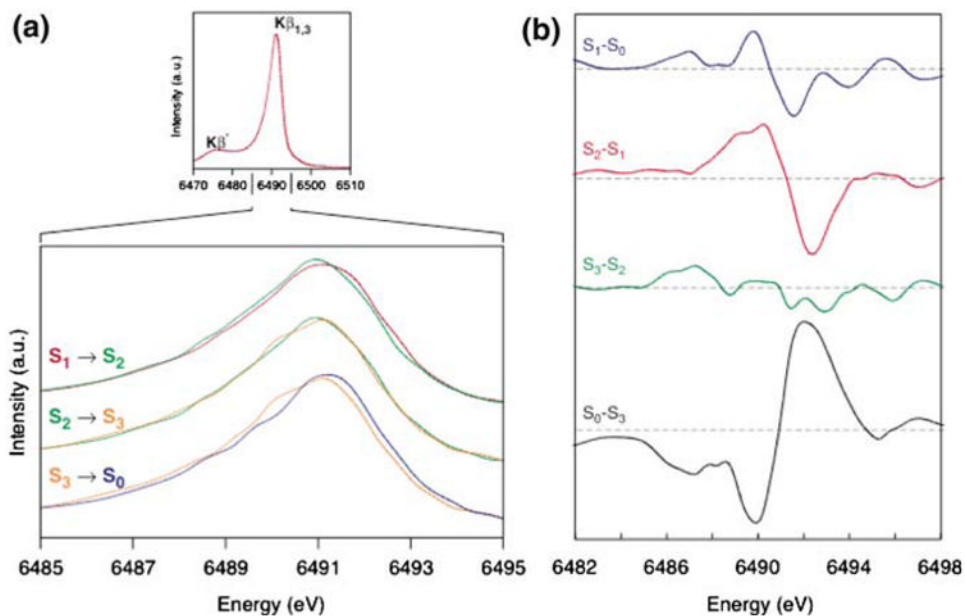




**Fig. 3.** (a) X-ray K-emission from the 2p levels ( $^2p_{3/2}$  and  $^2p_{1/2}$ ), known as  $K\alpha_1$  and  $K\alpha_2$  emission, and from the 3p levels ( $^3p_{3/2}$  and  $^3p_{1/2}$ ) known as  $K\beta_1$  and  $K\beta_3$  emission. The  $K\beta$  lines are approximately 1/8 as intense as the  $K\alpha$  lines. (b) The electronic states and the transitions for Mn  $K\beta$  XES. On the right is the exchange interaction between the 3p and 3d levels that gives rise to the  $K\beta_{1,3}$  and  $K\beta'$  states

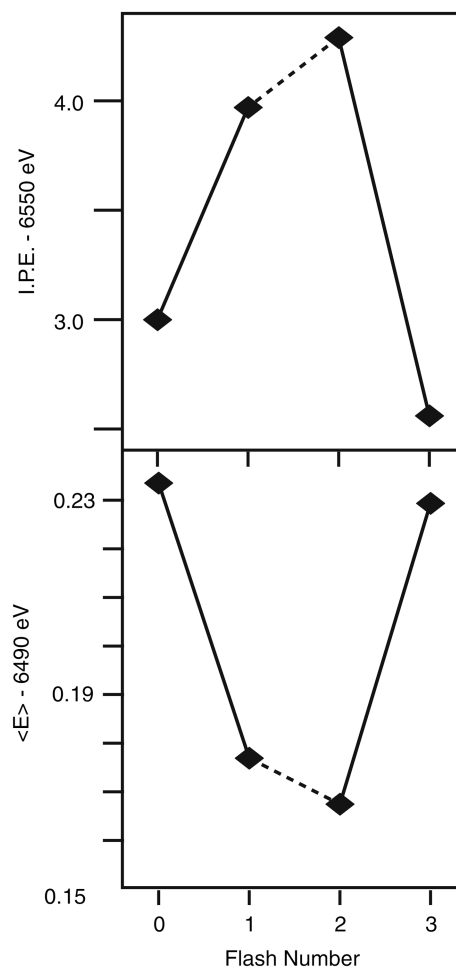


**Fig. 4.** (a) The Mn  $K\beta$  emission spectra of Mn oxides in formal oxidation states II, III, and IV (adapted from Messinger et al. 2001). (b) The exchange coupling for each of oxidation states II, III, and IV, showing how the splitting between the  $K\beta_{1,3}$  and  $K'$  depends on the number of unpaired 3d electrons. The splitting increases as the number of unpaired electrons in the 3d states increases

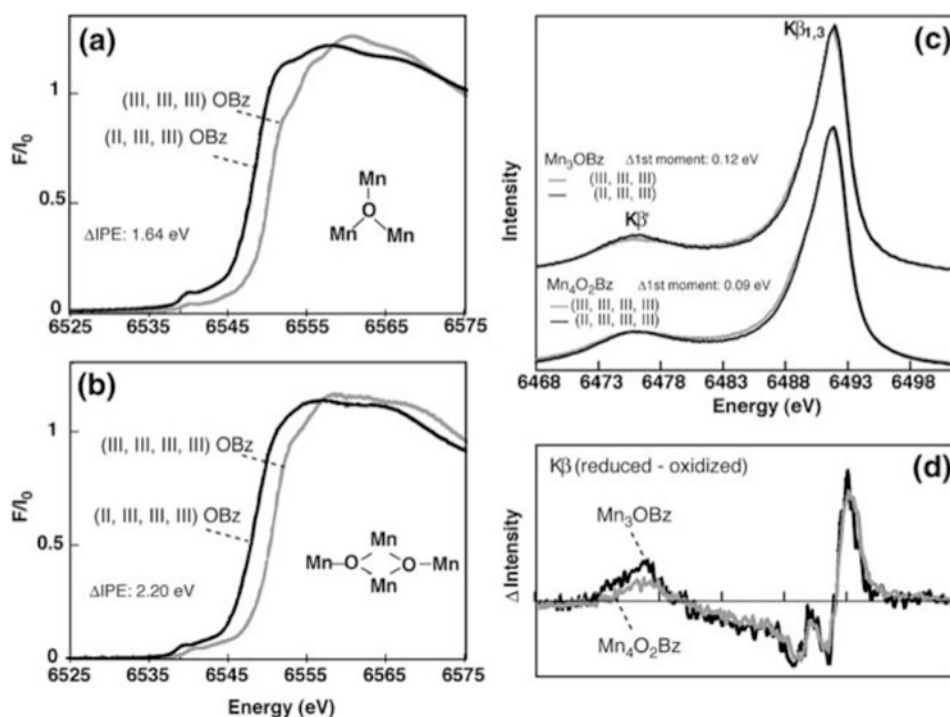


**Fig. 5.**

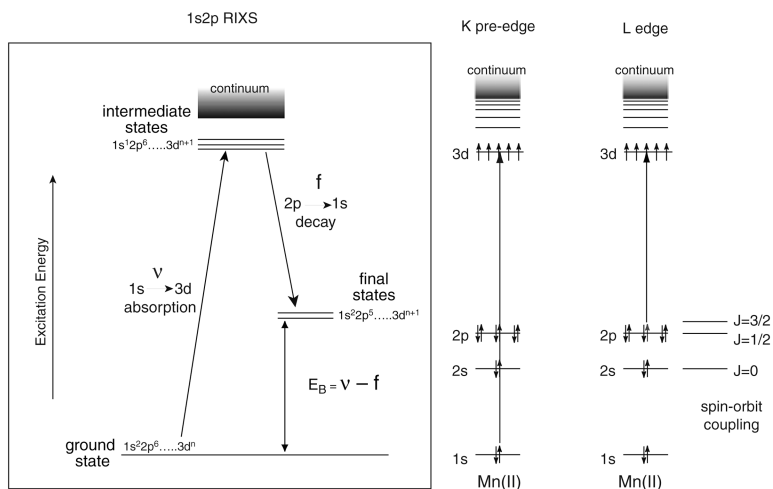
(a) The Mn Kβ emission spectra of the spinach PS II (BBY) S states. (b) The difference spectra between the S<sub>1</sub> and S<sub>0</sub>, S<sub>2</sub> and S<sub>1</sub> and S<sub>0</sub> and S<sub>3</sub> are derivative shaped, indicating that the spectral peaks shift in energy. The derivative shape for the S<sub>1</sub> and S<sub>0</sub>, S<sub>2</sub> and S<sub>1</sub> is the reverse of that for the S<sub>0</sub> and S<sub>3</sub>, indicating oxidation in the first two cases and reduction for the latter case. The difference spectra between the S<sub>3</sub> and S<sub>2</sub> states show the lack of such a derivative shape, indicating the similarities of the S<sub>2</sub> and S<sub>3</sub> state spectra and lack of a predominantly metal-centered oxidation. The figure was adapted from Messinger et al. (2001)



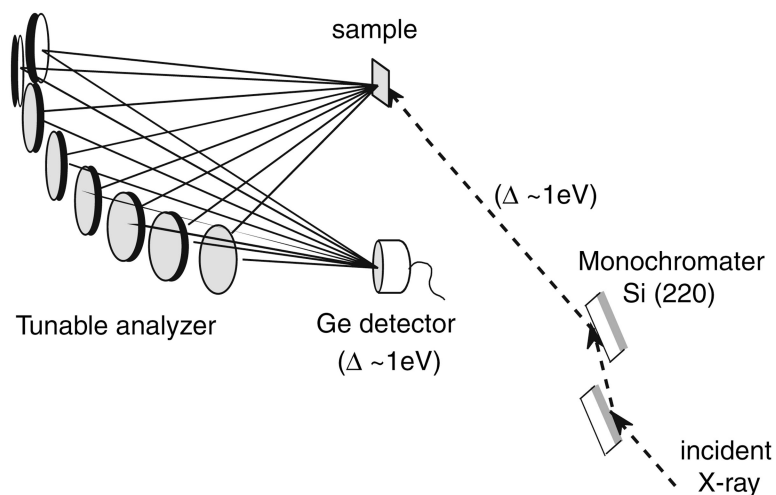
**Fig. 6.** Oscillation of XANES inflection point energies (I.P.E.) (B) and that of first moments ( $E$ ) of the  $K\beta$  emission spectra from the 0F to 3F samples of spinach PS II (BBY). The figure was adapted from Messinger et al. (2001)



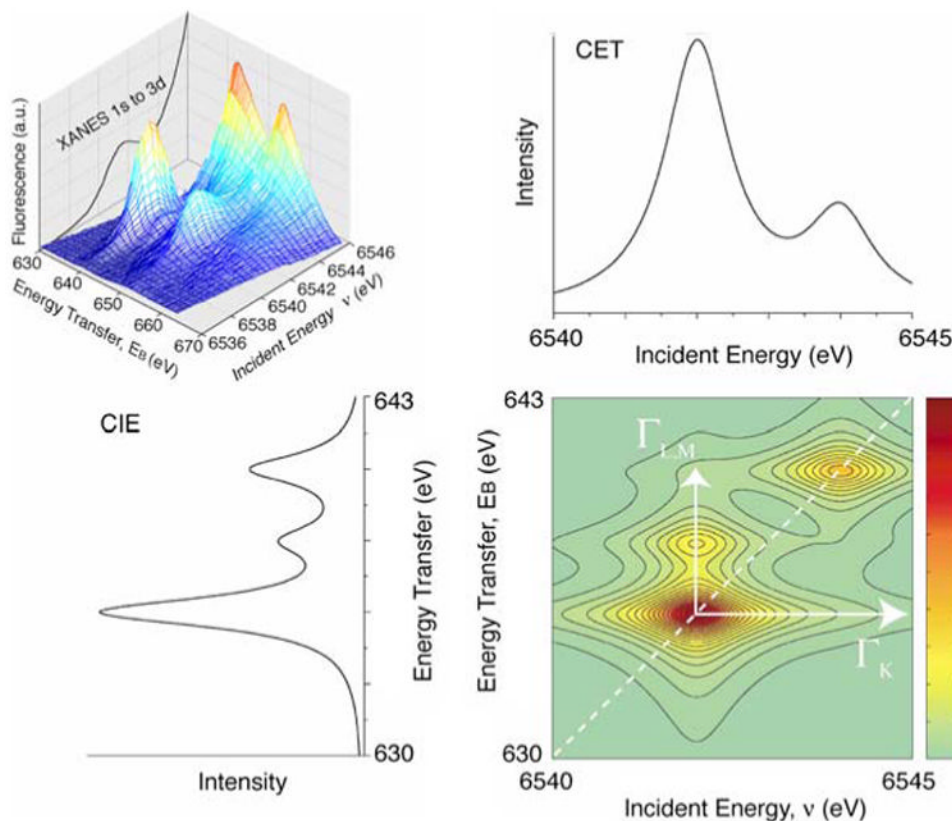
**Fig. 7.** Mn K-edge XANES of the (a) Mn<sub>3</sub>O (trimers, [Mn<sub>3</sub>(III,III,III)O(O<sub>2</sub>Cph)<sub>6</sub>(ImH)<sub>3</sub>](ClO<sub>4</sub>)<sub>2</sub>(NBu<sub>4</sub>) and [Mn<sub>3</sub>(II,III,III)O(O<sub>2</sub>Cph)<sub>6</sub>(py)<sub>2</sub>(H<sub>2</sub>O)]0.5CH<sub>3</sub>CN) and (b) Mn<sub>4</sub>O<sub>2</sub> (butterflies, [Mn<sub>4</sub>(III,III,III,III)O<sub>2</sub>(O<sub>2</sub>Cph)<sub>7</sub>(bipy)<sub>2</sub>](ClO<sub>4</sub>) and [Mn<sub>4</sub>(II,III,III,III)O<sub>2</sub>(O<sub>2</sub>Cph)<sub>7</sub>(bipy)<sub>2</sub>]) compounds. (c) K $\beta$  X-ray emission spectra (XES) for the Mn<sub>3</sub>O and Mn<sub>4</sub>O<sub>2</sub> compounds, and (d) K $\beta$  XES difference spectra, the reduced minus oxidized species in each set of compounds. The figure was adapted from Pizarro et al. (2004)



**Fig. 8.** The energy level diagram for the K-pre edge (1s to 3d) and L-edge (2p to 3d) absorption spectra are shown on the right. On the left is the energy level diagram for the RIXS experiment. The excitation is from a 1s to 3d level, and the emission detected is from a 2p to 1s level. The difference in the energy between the ground and final states is equivalent to the L-edge energy levels. Thus by using K-edge X-ray energies one can collect L-edge-like (2p to 3d) spectra

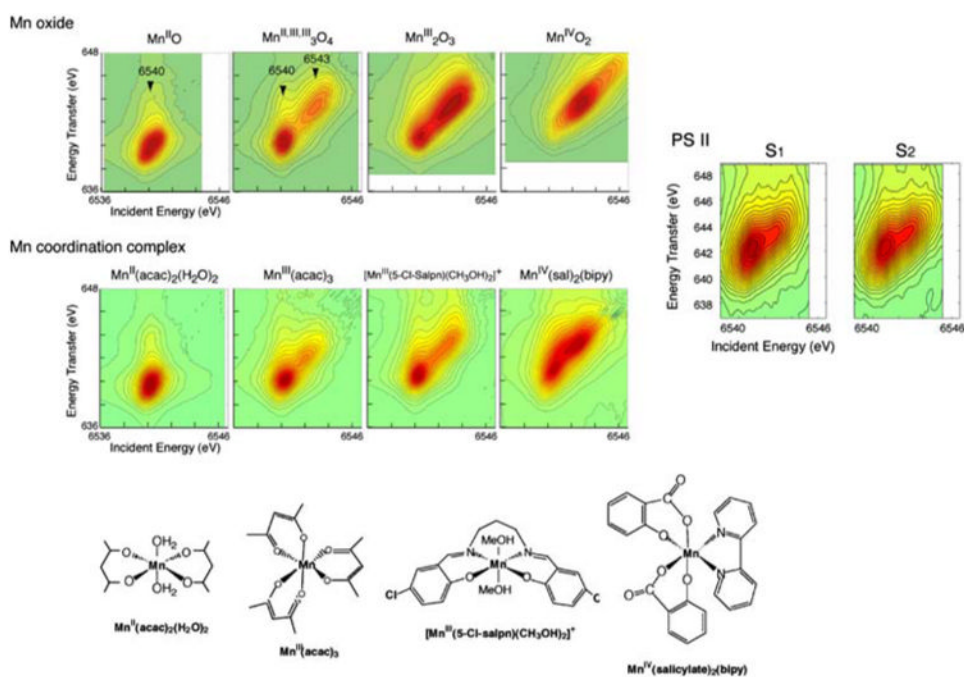


**Fig. 9.** The experimental setup used for the RIXS experiment. In RIXS, which is two-dimensional spectroscopy, both the excitation energy is scanned (1s to 3d and 1s to 4p) using the beamline monochromator and the emission energy is scanned across the  $K\alpha$  lines (2p to 1s). Both monochromator and analyzer have  $\sim 1$  eV bandwidth

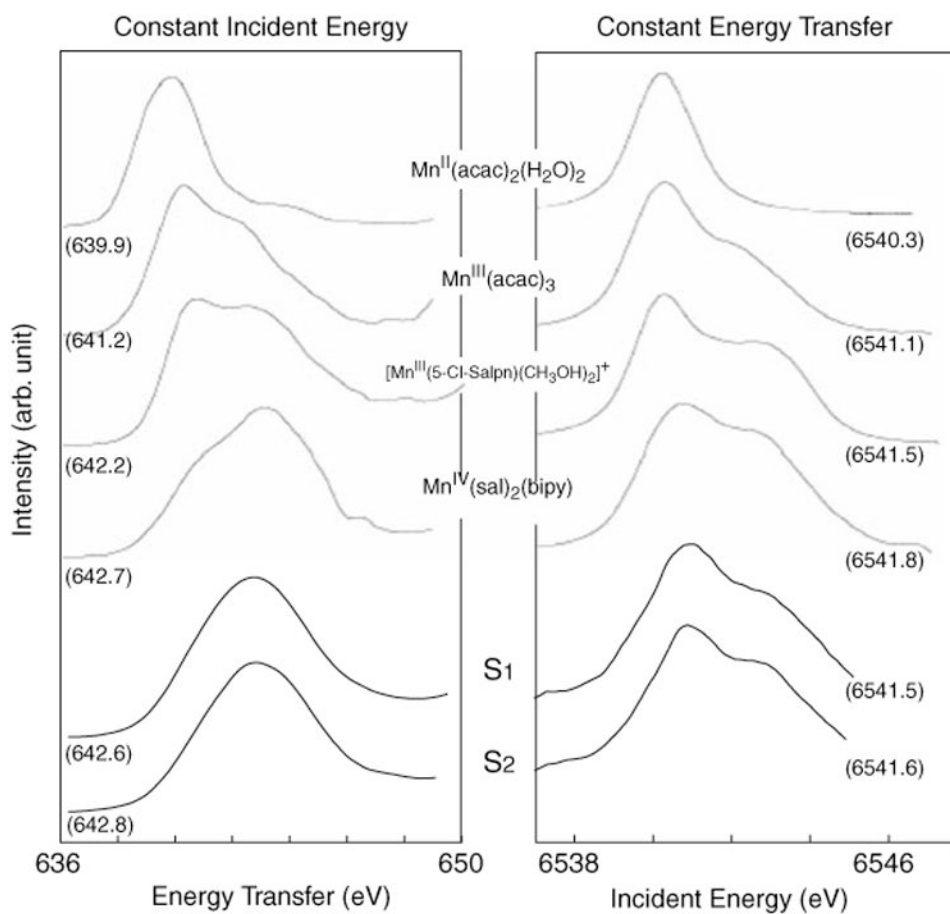


**Fig. 10.** (Top left) A three-dimensional plot showing the theoretical resonant inelastic X-ray spectrum. Abscissa is the excitation energy across the 1s–3d energy range of the spectrum. The 1s–3d K-edge fluorescence excitation spectrum is plotted in the back of the three-dimensional spectrum for reference. Ordinate is the difference between the excitation and emission energy. (Bottom right) The RIXS landscape shown as a contour plot. An integration of the 2D plot parallel to the ordinate yields L-edge like spectra (left bottom), the more intense feature at 640 eV corresponds to transitions to  $J = 3/2$  like states ( $L_3$  edges) and transitions to 655 eV correspond to  $J = 1/2$  final states ( $L_2$  edges). Integrations parallel to the energy transfer axis sort the spectrum according to the final state (right top). The figure was adapted from Glatzel et al. (2004)

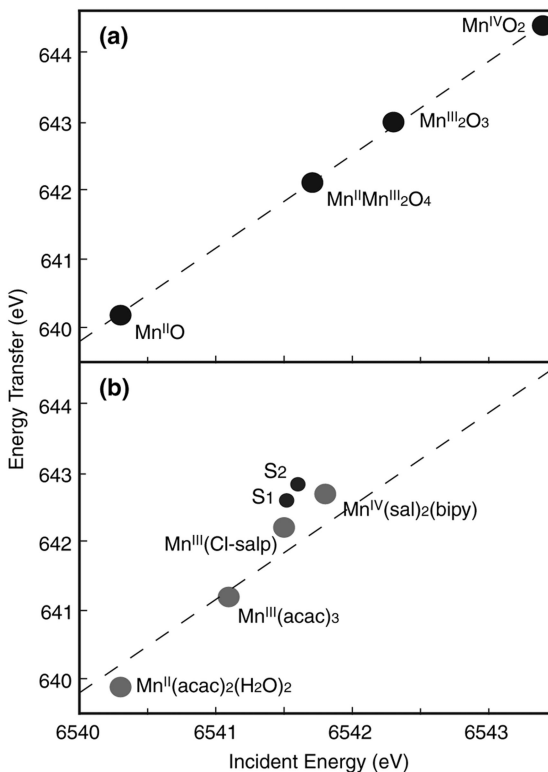




**Fig. 11.** Contour plots of the  $1s2p_{3/2}$  RIXS planes for four Mn oxides in oxidation states II, III, and IV, the four molecular complexes  $Mn^{II}(acac)_2(H_2O)_2$ ,  $Mn^{III}(acac)_3$ ,  $[Mn^{III}(5-Cl-Salpn)(CH_3OH)_2]^+$ , and  $Mn^{IV}(sal)_2(bipy)$ , and the  $S_1$  and  $S_2$  states of PS II. Abscissa is the excitation energy and ordinate is the energy transfer axis. The figure was adapted from Glatzel et al. (2004). Chemical structures of Mn coordination compounds are shown at the bottom



**Fig. 12.** Line plots extracted from the RIXS planes for the four coordination complexes and the S<sub>1</sub> and S<sub>2</sub> states of PS II. First moment value for each compound is also shown



**Fig. 13.**

First moment positions in the 1s2p RIXS plane for (a) the Mn oxides and (b) the coordination complexes and PS II S<sub>1</sub> and S<sub>2</sub> states (spinach BBY). A linear fit for the Mn oxides is shown as a dotted line in (a). The same Mn oxide line is also indicated in (b) for a comparison to the slope of Mn coordination complexes and PS II data. Along the incident energy axis the plot gives an ordering of the systems in terms of the effective number of 3d electrons. The figure was adapted from Glatzel et al. (2004)