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## A Power-Efficient Wireless System With Adaptive Supply Control for Deep Brain Stimulation

Hyung-Min Lee [Student Member, IEEE], Hangue Park [Student Member, IEEE], and Maysam Ghovanloo [Senior Member, IEEE]

School of Electrical and Computer Engineering, Georgia Institute of Technology, Atlanta, GA 30308 USA.

## Abstract

A power-efficient wireless stimulating system for a head-mounted deep brain stimulator (DBS) is presented. A new adaptive rectifier generates a variable DC supply voltage from a constant AC power carrier utilizing phase control feedback, while achieving high AC-DC power conversion efficiency (PCE) through active synchronous switching. A current-controlled stimulator adopts closed-loop supply control to automatically adjust the stimulation compliance voltage by detecting stimulation site potentials through a voltage readout channel, and improve the stimulation efficiency. The stimulator also utilizes closed-loop active charge balancing to maintain the residual charge at each site within a safe limit, while receiving the stimulation parameters wirelessly from the amplitude-shift-keyed power carrier. A 4-ch wireless stimulating system prototype was fabricated in a 0.5- $\mu$ m 3M2P standard CMOS process, occupying 2.25 mm<sup>2</sup>. With 5 V peak AC input at 2 MHz, the adaptive rectifier provides an adjustable DC output between 2.5 V and 4.6 V at 2.8 mA loading, resulting in measured PCE of 72 ~ 87%. The adaptive supply control increases the stimulation efficiency up to 30% higher than a fixed supply voltage to 58 ~ 68%. The prototype wireless stimulating system was verified *in vitro*.

## Keywords

Active charge balancing; adaptive rectifier; closed-loop supply control; head-mounted deep brain stimulation; implantable medical devices; inductive power transfer

## I. Introduction

Implantable medical devices (IMDs) with stimulating function have been proven as effective therapies to alleviate neurological diseases or substitute sensory modalities lost due to diseases or injuries [1]–[3]. These implantable stimulators are capable of injecting a designated amount of charge into the human body (often the neuronal tissue) by providing a precise amount of output current or output voltage for a predefined period. Deep brain stimulation (DBS) is one of the most effective examples of such therapies to treat Parkinson's disease, tremor, and dystonia [4], [5]. Today's DBS devices use large primary batteries implanted in the chest area, where there is more space available, and their subcutaneous interconnects pass across the neck to reach the electrodes implanted deep in the brain [6]. Batteries need to be replaced every  $2 \sim 5$  years through surgery, and there is always risk of mechanical failure in interconnects due to head motion. A head-mounted DBS can eliminate hardship imposed by chest-implanted primary batteries and long

interconnects across the neck, replacing them with transcutaneous inductive power transmission from a behind the ear (BTE) rechargeable energy source, similar to cochlear implants and hearing aids [7]–[10].

Fig. 1 shows the conceptual configuration of a head-mounted inductively-powered DBS system. The external processing unit, which includes a rechargeable battery, provides transcutaneous power and data through a pair of loosely coupled coils. The induced AC input across the implanted coil supplies the rest of the DBS implant through an efficient power management unit. The DBS system generates stimulus pulses, which are delivered to the stimulation sites via individual leads that are significantly shorter than those from the chest area, and therefore, less invasive and more suitable for high-density DBS [11]. Like other wirelessly-powered IMDs, high power efficiency is paramount in reducing the risk of tissue damage from overheating [12].

Typically, three types of stimulation mechanism have been utilized depending on the application: voltage-controlled stimulation (VCS), current-controlled stimulation (CCS), and switched-capacitor stimulation (SCS) [13]. While VCS enables power-efficient stimulation, tissue and electrodes impedance needs to be known accurately to control the stimulation charge [13]. Balancing the stimulation charge is quite complicated in VCS because the electrode impedance varies over time and position. If the residual charge, which accumulates in the tissue following stimulation pulses, exceeds a safety limit, electrolysis of extracellular fluid can lead to pH variations, causing both tissue and electrode damage [5]. Conversely, CCS has been widely used because of its precise charge control and safe operation [14]. However, traditional CCS has low power efficiency due to the dropout voltage across the current source, which can result in significant power loss depending on the stimulation site voltage. SCS takes advantages of both high efficiency and safety by using capacitor banks to store and transfer charge to the tissue [13], [15]. However, it requires several off-chip capacitors that may increase the IMD size. In addition, high efficiency capacitor charging circuits from an AC input are needed to improve the overall DBS efficiency.

In order to achieve both safe and power efficient stimulation, we chose CCS with adaptive supply control, *i.e.*, the stimulator supply voltage is automatically adjusted near the required stimulation voltage by detecting the site potential and forming a closed control loop through a power-efficient adaptive rectifier. This mechanism minimizes the voltage drop across the current sources, resulting in high power efficiency in the CCS. Our proposed wireless stimulating system also adopts active charge balancing by sharing the closed-loop path of the adaptive supply control to inject small current pulses in the tissue to keep the residual charges within a safety limit. Section II presents the proposed inductively-powered wireless stimulating system and compares it to prior solutions. Section III describes the circuit details of the proposed adaptive rectifier with phase control feedback, and Section IV depicts the implementation of the wireless DBS with adaptive supply control and active charge balancing through voltage readout channels. Measurement results from bench-top and *in vitro* experiments are depicted in Section V, followed by concluding remarks in Section VI.

## II. Inductively-Powered Wireless Stimulating System

Fig. 2 compares various inductively-powered stimulating structures including the proposed stimulator with adaptive supply control. All structures were assumed to provide bipolar and biphasic stimulation through a similar pair of electrodes. We have assumed that the inductive link can maintain its peak efficiency against reflected impedance variations as stimulator loading changes. This is possible via a multi-coil inductive link or an adaptive resonant load transformation [16], [17]. Here we focus on power efficiency of the

stimulating IMD, which can be defined as the ratio of the AC input power from the secondary coil to the stimulator output power delivered to the tissue.

The conventional inductively-powered CCS in Fig. 2(a) utilizes a rectifier to convert the AC input to a DC  $V_{REC}$ , followed by a low-dropout regulator (LDO) to generate a fixed supply voltage,  $V_{DD}$  [3]. This simple structure wastes a large portion of the input power across the LDO, which is needed to accommodate  $V_{REC}$  variations, and the current source, while the loss increases as  $V_{STIM}$ , the required voltage to maintain stimulation current constant, becomes smaller. Lee proposed the fixed output rectifier in Fig. 2(b) to generate a predefined constant  $V_{REC}$  without an LDO [18]. Eliminating the LDO reduced the loss, but the CCS loss was still dominant during stimulation, especially when  $V_{STIM} \ll V_{REC}$ 

The stimulator in [19] utilized a dynamic supply,  $V_{IN}$ , from a DC-DC converter as shown in Fig. 2(c). It achieved high efficiency from VCS as well as coarse current controllability. However, it still required constant DC input,  $V_{REC}$ , from the rectifier, which loss should be added to that of the DC-DC converter ( $\eta_{DCDC}$ ). In Fig. 2(d), the inductive power delivered to the stimulator was adjusted through an external closed loop, changing  $V_{REC}$  to be near the peak voltage of  $V_{STIM}$ , and leading to small power loss in CCS current sources [20], [21]. However, the external control loop via load-shift-keying (LSK), which adjusts the inductive power transmission, is prone to interference and can even be interrupted in a loosely-coupled inductive link, while increasing the system complexity. The passive rectifier also induced large AC-DC loss, which decreased the overall power efficiency [22]. While individually they suffer from their limitations, the methods used in these inductively-powered stimulating structures may be used together to further improve the power efficiency.

In the proposed inductively-powered stimulator, the adaptive rectifier with active switching is capable of generating a multilevel DC voltage,  $V_{REC}$ , directly from the AC input voltage across  $L_2$  through an internal closed-loop control mechanism, shown in Fig. 2(e). Adjusting  $V_{REC}$  changes the power consumption in the IMD, leading to Tx output power variation. Therefore,  $V_{REC}$ , which directly supplies the CCS without an LDO, is adaptively adjusted close to the peak of  $V_{STIM}$ , resulting in small loss while benefiting from the advantages of the CCS. Moreover, the adaptive rectifier achieves high AC-DC power conversion efficiency (PCE) by adopting the phase control feedback and active synchronous rectification to improve the overall power efficiency of the inductively-powered stimulator.

The overall architecture of the proposed inductively-powered head-mounted DBS system is shown in Fig. 3. The power management block receives AC input through the inductive link, and converts it to the adjustable  $V_{REC}$  depending on the rectifier phase control bits, which are defined by the peak voltage at the stimulation sites that sets  $V_{REF}$  through the 3-bit resistor DAC (RDAC). The LDO generates the digital supply voltage,  $V_{DIG}$ , for the low voltage digital blocks. The overvoltage protection (OVP) circuit monitors the peak of  $V_{INP,N}$ and connects a de-tuning capacitor across the AC input to suppress AC voltages larger than a certain limit.

Two stimulus current drivers,  $CCS_1$  and  $CCS_2$ , which are adaptively supplied from  $V_{REC}$ , drive four stimulating sites in a complementary fashion with high compliance voltage, increasing the stimulation power efficiency. The voltage readout channel reports the relative voltage difference between active sites to the off-chip microcontroller (MCU), closing the feedback loop that adjusts  $V_{REC}$ . The same loop also manages active charge balancing via on-chip controllers, which inject additional current pulses into the tissue to bring the voltage difference between sites within a certain limit to guarantee safe stimulation. Forward data from the external Tx coil is recovered via amplitude-shift-keying (ASK) demodulation,

setting the stimulation parameters and active channels. The back telemetry link utilizes LSK modulation by closing the short-coil (SC) switches across *L*2.

## **III. Adaptive Rectifier With Phase Control Feedback**

#### A. Rectifier Phase Control

In order for the adaptive rectifier to generate the desired multilevel  $V_{REC}$ , the rectifier turnon time needs to be adjusted to limit the forward current, while achieving high PCE. Fig. 4 shows the simplified voltage waveforms of the rectifier depending on the turn-on time. Conventional rectifiers aim to generate the maximum  $V_{REC}$  from  $V_{IN(AC)}$  at high PCE. Thus they turn on as long as  $V_{IN(AC)} > V_{REC}$ , as shown in Fig. 4(a) [22]. Consequently,  $V_{REC}$ becomes dependent on the  $V_{IN(AC)}$  amplitude, and it is not internally adjustable. In Fig. 4(b),  $V_{REC}$  can be adjusted by controlling the turn-on time around the peak of  $V_{IN(AC)}$ . If the turnon period is reduced, the lower forward current reduces  $V_{REC}$  as well. However, the large voltage drop between  $V_{IN(AC)}$  and  $V_{REC}$  during the turn-on period results in large power loss across the rectifying transistors, resulting in low PCE. To adjust  $V_{REC}$  while maintaining high PCE, we controlled the rectifier turn-on phase as shown in Fig. 4(c). In this method, the rectifier turns on when  $V_{IN(AC)} > V_{REC}$ , similar to the conventional rectifiers. However, its turn-off timing is controlled to limit the forward current. Therefore,  $V_{REC}$  is adjustable depending on the rectifier turn-on phase, while the small dropout voltage between  $V_{IN(AC)}$ and  $V_{REC}$  during the on period provides high PCE.

Fig. 5 shows the adaptive rectifier feedback model with the phase control mechanism. The threshold crossing detector sends a turn-on signal at phase  $\theta$  to the synchronous rectifier when  $V_{IN(AC)} > V_{REC(DC)}$  to initiate the forward conduction. The phase control feedback compares  $V_{REC(DC)}/3$  with a reference voltage,  $V_{REF}$ , which indicates the desired  $V_{REC}$  level, and generates an error signal, *e*, that is amplified and converted to a time delay,  $T_D$ .  $T_D$  is then applied to the turn-on signal at phase  $\theta$  to generate the delayed signal at phase  $\theta_D$  using which the turn-off controller turns the rectifier off after  $T_D$ . In other words, the rectifier conducts for  $T_D$  from the onset of  $V_{IN(AC)} > V_{REC(DC)}$  at the turn-on phase of  $\theta$  to adjust  $V_{REC}$ , as shown in Fig. 4(c).

#### B. Implementation of the Adaptive Rectifier

Fig. 6 shows the schematic diagrams of the adaptive rectifier with active switches and one of its phase control comparators. In Fig. 6(a), a pair of comparators, CMP<sub>1</sub> and CMP<sub>2</sub>, which are equipped with the phase control feedback, drives the rectifying switches, P<sub>1</sub> and P<sub>2</sub>, respectively, for low dropout voltage and high PCE. The reference voltage,  $V_{REF}$ , which is provided through a 3-bit RDAC, controls the transition times of the comparator output voltages,  $V_{O1}$  and  $V_{O2}$ , in a way that the rectifier turn-off timing can be adjusted to change the turn-on phase and consequently the  $V_{REC}$  level. P<sub>1</sub> and P<sub>2</sub> turn on alternatively depending on  $V_{INP,N}$  polarity, while a cross-coupled NMOS pair, N<sub>1</sub> and N<sub>2</sub>, closes the rectifier current path. PMOS body terminals,  $V_{B1}$  and  $V_{B2}$ , are connected to the highest potential among  $V_{INP,N}$  and  $V_{REC}$  with the dynamic body biasing circuit [23].

In the phase control comparator (CMP<sub>1</sub>), shown in Fig. 6(b), P<sub>4</sub>, P<sub>5</sub>, N<sub>6</sub>, N<sub>7</sub>, P<sub>8</sub>, and N<sub>8</sub> form a common-gate comparator with input voltages,  $V_{REC}$  and  $V_{INP}$ , while the current source, P<sub>7</sub>, injects additional current when  $V_{O1}$  is high and P<sub>6</sub> turns on, forcing  $V_1$  to increase earlier and expedite the turn-on P<sub>1</sub> transition of [24]. The phase control feedback loop consists of inverter chains along with the current-starved inverter, INV<sub>6</sub> and N<sub>10</sub>, which bias current is controlled through AMP<sub>1</sub> by comparing  $V_{REC}/3$  and  $V_{REF}$ , to generate the corresponding time delay. INV<sub>6</sub> output is further delayed before affecting the turn-off control transistor, P<sub>3</sub>, which forces the rectifier to turn off adaptively even before  $V_{INP} < V_{REC}$  to generate the

Fig. 7 shows the timing diagram of the adaptive rectifier depending on the actual  $V_{REC}$  level vs. the target  $V_{REC}$ , which is  $3 \times V_{REF}$ . For example, when  $V_{REC} > 3V_{REF}$  in Fig. 7(a), AMP<sub>1</sub> increases  $V_2$ , decreasing the delay of INV<sub>6</sub>. Once  $V_{O1}$  drops to turn on the rectifier, P<sub>3</sub> also turns on by  $V_{FB1}$  after a small delay,  $T_D$ , limiting the charging period of the load and decreasing  $V_{REC}$ . On the other hand, when  $V_{REC} < 3V_{REF}$  in Fig. 7(b), the delay of INV<sub>6</sub> increases as  $V_2$  decreases, and P<sub>3</sub> turns on after a longer  $T_D$  or even remains off, allowing more forward current to increase  $V_{REC}$ . When  $V_{REC} = 3V_{REF}$  in Fig. 7(c),  $V_2$  results in a  $T_D$  that can maintain  $V_{REC}$  at the desired value. Since the turn-off timing is controlled in every rectifier cycle, the ripple on  $V_{REC}$  can be reduced to that of conventional rectifiers once it is settled on the desired  $V_{REC}$  value.

capable of generating variable supply voltages regardless of the  $V_{INP,N}$  amplitude, thanks to

In Fig. 6(b), a startup circuit with  $R_1$  and N<sub>5</sub> driven by  $V_{INN}$  guarantees the rectifier operation before  $V_{REC}$  is charged up without additional startup circuits used in [24], and without affecting the normal rectifier operation after startup. The reset control circuit on the lower right resets the phase control feedback loop to turn off P<sub>3</sub> and P<sub>6</sub> after P<sub>1</sub> turns off and  $V_{INP}$  goes low. Here, the timing of the reset signal depends on  $V_{INP}$ , which unlike the process-dependent inverter delay in [24], is independent of process variations.

## IV. Wireless Stimulator With Adaptive Supply Control and Active Charge Balancing

#### A. Current Stimulator With Adaptive Supply Control

the phase control feedback.

Each current driver has been equipped with a pair of 5-bit current sources with low dropout voltages, while being supplied from the adaptive  $V_{REC}$ , as shown in Fig. 8. Feedback loops using AMP<sub>2-5</sub> set the drain-source voltages of P<sub>14</sub> ~ P<sub>18</sub> and N<sub>15</sub> ~ N<sub>19</sub> at ~ 60 mV in the triode region. Therefore, the voltage head-room of the output stage,  $V_{Head}$ , can drop down to  $V_{DS,sat}$  + 60 mV, which is smaller than  $2V_{DS,sat}$  of a typical cascode output stage. The two current drivers source and sink at the same time through a pair of 4:1 site selectors, providing a bipolar stimulation compliance voltage of  $V_{REC} - 2V_{Head}$ . The 5-bit current sources with binary-weighted transistors are placed at the output stage directly to reduce the stimulator power loss compared to using current mirrors after a 5-bit current DAC in [20].

Active charge balancing circuits push or pull additional small current pulses to the load after stimulation until the residual site voltage settles within a  $\pm 50$  mV safety window [25]. To prevent the accumulation of unrecoverable charge in the tissue and utilize the residual voltage as a reliable indicator of charge imbalance, the electrode potential needs to be kept within a safe potential window during stimulation as well. This is known as the water window, where irreversible Faradaic reactions do not occur [5]. The active charge balancing scheme, utilized here, is capable of providing the small balancing current pulses and also estimating the required balancing period. Passive charge balancing schemes which short electrodes after stimulation, on the other hand, have difficulty in defining the current and period needed for charge balancing [27].

In order to verify how the adaptive supply voltage,  $V_{REC}$ , in Fig. 8 increases the stimulation power efficiency compared to using the fixed supply voltage,  $V_{DD}$ , we analyzed the efficiency for both cases in Fig. 9. In Fig. 9(a), the electrodes and tissue model is simplified to a series  $R_S$  and  $C_{DL}$ , which represent the solution spreading resistance and the doublelayer capacitance, respectively, while two current drivers across the two sites apply bipolar

stimulation [26], [27]. Fig. 9(b) shows the stimulation current,  $I_{STIM}$ , and voltage,  $V_{STIM}$ , during the biphasic-bipolar stimulation with current amplitude,  $I_S$ , and pulse width,  $T_S$ . The power transferred to the load during cathodic and anodic stimulations can be expressed as the  $R_S$  power loss plus the power charging or discharging  $C_{DL}$  by simply multiplying the instantaneous  $I_{STIM}$  and  $V_{STIM}$ ,

$$\begin{split} P_{Load(cath)} &= \frac{1}{T_S} \int_0^{T_S} - I_S \left( -I_S R_S - \frac{1}{C_{DL}} \mathbf{1}_S t \right) dt \\ &= I_S^{-2} R_S + \frac{1}{2C_{DL}} I_S^{-2} T_S \end{split} \tag{1}$$

$$P_{Load(ano)} = \frac{1}{T_S} \int_{2T_S}^{3T_S} I_S \left( -\frac{1}{C_{DL}} I_S T_S + I_S R_S + \frac{1}{C_{DL}} I_S \left( t - 2T_S \right) \right) dt = I_S^{-2} R_S - \frac{1}{2C_{DL}} I_S^{-2} T_S.$$
<sup>(2)</sup>

Negatively charged  $C_{DL}$  after cathodic phase decreases  $V_{STIM}$ , and results in smaller power delivered to the load during the anodic phase. The stimulation power efficiency with the fixed supply voltage,  $V_{DD}$ , can be defined as the ratio between the power transferred to the load and the power drained from the supply rails,

$$\eta_{STIM(Fixed)} = \frac{P_{Load(cath+ano)}}{P_{Supply}} = \frac{2I_S^2 R_S}{2\left(I_S + I_{Static}\right) V_{DD}} \approx \frac{I_S R_S}{V_{DD}}, \quad \text{if} \quad I_{Static} \ll I_S \quad (3)$$

where  $I_{Static}$  is the static current of the stimulator internal circuitry, which is ~ 14  $\mu$ A in our design, and usually much smaller than the stimulation current.

In the proposed current stimulator, the adaptive supply voltage,  $V_{REC}$ , can be automatically adjusted as,

$$V_{REC} = |V_{STIM,Peak}| + 2V_{Head}$$
$$= |V_{RS} + V_{CDL,Peak}| + 2V_{Head} < V_{DD}$$
(4)

where  $V_{STIM,peak}$  and  $V_{CDL,peak}$  are the peak voltages across the electrode-tissue model and the  $C_{DL}$ , respectively, and  $V_{RS}$  is the voltage drop across  $R_S$ . By replacing  $V_{DD}$  in (3) with  $V_{REC}$  in (4), the stimulation power efficiency with the adaptive supply control can be expressed as,

$$\eta_{STIM(Adap)} = \frac{I_{S}R_{S}}{V_{REC}} = \frac{I_{S}R_{S}}{|V_{RS}+V_{CDL,peak}| + 2V_{Head}} = \frac{1}{1 + \frac{T_{S}}{R_{S}C_{DL}} + \frac{2V_{Head}}{I_{S}R_{S}}}$$
(5)

which is indeed higher than  $\eta_{STIM(Fixed)}$  in (3).

 $\eta_{STIM(Adap)}$  in (5) can be further simplified as,

$$\eta_{STIM(Adap)} \approx \frac{1}{1 + \frac{T_S}{R_S C_{DL}}}, \quad \text{if} \quad 2V_{Head} \ll V_{RS}.$$
 (6)

If  $V_{RS} \gg V_{Head}$  (~ 150 mV in our design),  $\eta_{STIM(Adap)}$  simplifies to a function of the electrode-tissue model parameters,  $R_S$  and  $C_{DL}$ , and stimulus pulse width,  $T_S$ . Large  $R_S$ 

results in more power transferred to the load, while large  $C_{DL}$  or small  $T_S$  decrease the required  $V_{REC}$ , leading to higher stimulation efficiency.

### B. Voltage Readout Channel and Forward/Back Telemetry

Fig. 10 shows the schematic diagram of the voltage readout channel including a capacitive attenuator and a voltage detector.  $V_{STIM1}$  and  $V_{STIM2}$ , from the active sites, which can be as high as 4.6 V depending on the  $V_{REC}$ , are capacitively attenuated by  $C_8/(C_8 + C_9)$  during stimulation and charge balancing periods when EN = 1. After the charge balancing period, the capacitive attenuators are deactivated by disconnecting them from  $V_{STIM1,2}$  (EN = 0) and then discharging  $C_8$  and  $C_9$  ( $EN_B = 1$ ) to attenuate  $V_{STIM1,2}$  accurately in the next stimulation period. The attenuated stimulation voltages,  $V_{S1}$  and  $V_{S2}$ , are applied to the voltage detector, which consists of a fixed-gain differential amplifier followed by a buffer, supplied at  $V_{DIG} = 1.8$  V. As a result, the differential input signals are converted to a single-ended output voltage,  $V_{DET}$ , with a gain of  $R_3/2R_2$ , which is then provided to the MCU to close the loop on adaptive supply control and application of the active charge balancing function.

The proposed wireless stimulating system is capable of communicating with forward and back data telemetry through the inductive link. Fig. 11 shows the schematic diagrams of the clock and data recovery circuits, which are used for setting the stimulation parameters and active channels through the MCU. The clock recovery in Fig. 11(a) adopts the latch comparator with cross-coupled P<sub>23</sub> and P<sub>24</sub> followed by inverters to generate the clock signal from the power carrier,  $V_{INP,N}$ , with low power consumption. The data recovery consists of an envelope detector and an amplitude shift keying (ASK) demodulator, as shown in Fig. 11(b) and (c), respectively.

In Fig. 11(b), the diode-connected passive rectifiers,  $P_{25}$  and  $P_{26}$ , extract the envelope voltage,  $V_{ENV}$ , from the amplitude shift keyed power carrier,  $V_{INP,N}$ .  $V_{ENV}$  is applied to the demodulator in Fig. 11(c), which includes a level shifter, a preamplifier, and a hysteresis comparator, to recover the data signal. The level shifter provides bias voltage to the rest of the circuit through  $P_{27}$ , while shifting  $V_{ENV}$  down through  $P_{27}$ - $P_{28}$  to the preamplifier input range. The preamplifier has unbalanced delays, via  $R_5$  and  $C_{11}$ , at its inputs,  $V_{IN1}$  and  $V_{IN2}$ , to detect and amplify the amplitude variations of  $V_{ENV}$ . Finally, the hysteresis comparator, which utilizes the size mismatch of its current mirror, converts the preamplifier outputs to the recovered serial data bit stream at  $V_{DIG}$  level through several inverters. The serial data is then oversampled by the clock signal in the MCU and saved in its registers. The back telemetry link utilizes the SC switches across L2,  $N_3$  and  $N_4$  in Fig. 6(a), to provide LSK modulation [22].

## V. Measurement Results

The inductively-powered wireless stimulating system was fabricated in the ON-Semiconductor 0.5- $\mu$ m 3M2P n-well standard CMOS process. Fig. 12 shows a chip micrograph and floor plan of the proposed wireless adaptive stimulating system, occupying 2.25 mm<sup>2</sup> including pads. In our test setup, a class-E power amplifier drives the inductive link, which specifications are shown in Table I, to provide the wireless stimulating system with a 2 MHz sinusoidal input. The off-chip MCU (MSP430) from Texas Instruments (Dallas, TX) was chosen for its versatility and ultra-low power consumption [28].

#### A. Adaptive Rectifier With Adjustable

Measured waveforms in Fig. 13 show how the adaptive rectifier controls its turn-on phase depending on the 3-bit phase control input, CTL, to adjust  $V_{REC}$  when  $V_{INP,N}$  peak is

constant at 5 V, load current is set to 2 mA, and  $f_C = 2$  MHz. When CTL = 000 and  $V_{REF} = 0.83$  V, the adaptive rectifier turns on within 50 ns of the beginning of the carrier cycle ( $\theta = 36^\circ$ ), once  $V_{INP,N} > V_{REC}$ , and turns off after only 20 ns because the amount of delivered power is sufficient to increase  $V_{REC}$  to the desired level of 2.5 V. When CTL = 011 and  $V_{REF} = 1.13$  V, the onset of rectifier turn-on shifts to 66 ns from the beginning of the carrier cycle ( $\theta = 47.5^\circ$ ) and the on period adaptively increases to 28 ns to generate a higher  $V_{REC} = 3.4$  V. When CTL = 111 and  $V_{REF} = 1.53$  V, the adaptive rectifier operates almost like a regular active synchronous rectifier with  $\theta = 68.4^\circ$  and the on-time of 65 ns until  $V_{INP,N}$  goes below  $V_{REC}$ , while delivering more power to achieve the highest possible  $V_{REC} = 4.6$  V. In addition, when  $V_{REC} = 2.5$  V with  $I_{OUT} = 2$  mA, the adaptive rectifier results in a small  $\Delta V_{REC} < 3$  mV against  $V_{IN,peak}$  variations within 3 V to 5 V. This rapid line regulation capability is an additional benefit of the phase control feedback mechanism.

Fig. 14 shows the adaptive rectifier PCE vs.  $V_{REC}$  with  $V_{INP,N}$  peak and load current kept constant at 5 V and 2.8 mA (the highest  $I_{STIM} = 2.48$  mA for this stimulator), respectively. The adaptive rectifier achieves competitive PCEs of 78 ~ 94% and 72 ~ 87% in simulation and measurement, respectively, while providing unique multilevel adaptive  $V_{REC}$  output between 2.5 ~ 4.6 V, controlled by its 3-bit input. The PCE slightly decreases with lower  $V_{REC}$  because the rectifier dropout voltage becomes a larger percentage of  $V_{REC}$ , and the onresistance of the rectifying switches increases at lower voltages. Nonetheless, the adaptive rectifier still achieves considerably higher PCE than using a conventional rectifier followed by an adjustable regulator to generate the desired DC voltage. The difference between simulated and measured PCEs may be the result of mismatches between rectifying switches and their phase control comparators, as well as the effects of parasitic inductance and capacitance of the measurement setup, as explained in [22].

## B. Wireless Stimulator With Adaptive Supply Control and Active Charge Balancing

Measured waveforms of the stimulator outputs,  $V_{STIM1,2}$ , and the voltage detector output,  $V_{DET}$ , are shown in Fig. 15 when ±1.04 mA biphasic-bipolar stimulus currents at  $T_S = 400$   $\mu$ s flow between  $V_{STIM1,2}$  through a series  $R_SC_{DL}$  load, which was chosen to be 2 k $\Omega$  and 500 nF for the DBS application [19], [29]. For closed-loop adaptive supply control, the MCU samples  $V_{DET}$  at the end of the cathodic phase to measure  $V_{STIM,peak}$  in Fig. 9. The adaptive rectifier receives the phase control signals and automatically adjusts  $V_{REC}$  to be 0.2 ~ 0.5 V higher than  $V_{STIM,peak}$ , to keep a small voltage drop across the stimulating current source,  $\Delta V$ , for high stimulation efficiency. The MCU samples  $V_{DET}$  again at the end of stimulation (anodic phase) to check the residual voltage between electrodes. If the voltage falls outside a safe window, set to ±50 mV, the active charge balancing circuit injects either a small positive or a negative current pulse (adjustable ±20  $\mu$ A for 20  $\mu$ s), and repeats the sampling procedure via the MCU until the residual charge is neutralized.

Fig. 16 compares the stimulator supply voltage and PCE vs.  $I_{STIM}$  graphs between adaptive,  $V_{REC}$ , and fixed,  $V_{DD}$ , supplies when  $R_S = 2 \text{ k}\Omega$ ,  $C_{DL} = 500 \text{ nF}$ , and  $T_S = 400 \mu \text{s}$ . In Fig. 16(a), the adaptive  $V_{REC}$  was measured with 0.3 V increments between 2.5 V and 4.6 V vs.  $I_{STIM}$ . In these measurements,  $V_{REC} - V_{STIM,peak} < 0.2 \text{ V}$ , while the fixed  $V_{DD}$  was measured at 4.6 V. Fig. 16(b) compares the stimulation power efficiencies vs.  $I_{STIM}$  between the fixed and adaptive mechanisms, using the measured supply voltages in Fig. 16(a) as well as (3) and (5), respectively, while including the stimulator  $I_{Static} = 14 \mu \text{A}$ .

As expected, with lower  $I_{STIM}$ , the large voltage difference between  $V_{DD}$  and  $V_{STIM}$  increases the power loss in the stimulator output stage (P<sub>21</sub> and N<sub>22</sub> in Fig. 8), degrading the fixed voltage stimulation power efficiency. On the other hand, the adaptive  $V_{REC}$  keeps the voltage difference across the stimulator output small to minimize the power loss regardless

of the  $I_{STIM}$  variations. As a result, the stimulation power efficiency with the adaptive supply control (58 ~ 68%) is up to 30% higher than the fixed  $V_{DD}$  (31 ~ 63%). In Fig. 16(c), the overall power efficiencies from secondary coil,  $L_2$ , to the load were calculated by multiplying the measured PCE of the adaptive rectifier in Fig. 14 and the stimulation efficiency in Fig. 16(b). Since the adaptive rectifier achieves relatively high PCEs even with lower  $V_{REC}$  levels, adaptive supply control still leads to higher overall power efficiencies (41 ~ 58%) compared with using a fixed supply (27 ~ 55%).

The MCU consumes ~ 19  $\mu$ A in the standby mode and ~ 400  $\mu$ A for running the ADC and generating control signals at  $V_{DIG} = 1.8$  V and CLK = 2 MHz. Power consumption for these functions can be significantly reduced by sampling the peak stimulation voltage periodically, *e.g.*, once every 10 ~ 20 cycles, to occasionally adjust the *CTL*. Moreover, the MCU functions can be integrated on chip by a low-power 3-bit SAR-ADC for generating the 3-bit *CTL* signal and simple control logic, leading to much lower power consumption compared to the off-chip MCU in the current prototype.

INL and DNL of the 5-bit cathodic/anodic stimulus currents,  $I_{STIM1}$  and  $I_{STIM2}$ , for bipolar stimulation were measured and presented in Fig. 17 along with the stimulation current mismatch,  $\Delta I_{STIM} = I_{STIM1} - I_{STIM2}$ . Both  $I_{STIM1}$  and  $I_{STIM2}$  show similar tendencies between 0.08 mA and 2.48 mA with 5-bit resolution, achieving the maximum INL and DNL of 0.43 and 0.17 LSB, respectively. The maximum  $\Delta I_{STIM}$  between  $I_{STIM1}$  and  $I_{STIM2}$  was ~ 4  $\mu$ A.

Fig. 18 shows the measured waveforms of the clock recovery and the ASK-demodulated data recovery blocks for the forward data telemetry. In Fig. 18(a), a 2 MHz clock signal, *CLK*, has been recovered from the 2 MHz carrier signal. In Fig. 18(b), the amplitude variations of the primary coil voltage at 5.8% (= 3.8 V/65.2 V) modulation index, induced across  $L_2$ , have resulted in ~ 100 mV variations in  $V_{ENV}$ . The ASK demodulator has then recovered the serial data bit stream, *DATA*, at 50 kbps.

#### **C. In Vitro Experiments**

The proposed wireless stimulating system was verified through *in vitro* experiments using quartz-platinum/tungsten electrodes (EF8025, Thomas Recording, Giessen, Germany) and saline solution, as shown in Fig. 19. To emulate the DBS stimulation, 4 electrodes were aligned in parallel with 3 mm pitch spacing and soaked in 0.9% NaCl solution, which represents the brain tissue conductivity [30], [31]. The measured average impedance between adjacent electrodes in the solution was ~ 3.8 k $\Omega$  and 80 nF in series at 2.5 kHz. Table I summarizes the specifications of the *in vitro* test setup.

Fig. 20 shows the measured stimulation waveforms from the *in vitro* experiments, focusing on the stimulator's adaptive supply control, active charge balancing, and multi-channel stimulation capabilities. In Fig. 20(a), two different stimulation currents,  $\pm 240 \ \mu$ A and  $\pm 480 \ \mu$ A, were applied to the saline solution through electrodes, and the supply voltage,  $V_{REC}$ , was automatically set to 2.8 V and 3.4 V, respectively, which maximize the stimulation efficiency. At the same time, the active charge balancing mechanism ensured that the residual charge was neutralized following each biphasic stimulation. Fig. 20(b) shows the multi-channel stimulation waveforms among 4 electrodes. The selected channels sourced and sinked  $\pm 560 \ \mu$ A and 400  $\mu$ s stimulus pulses at 250 Hz, while the other channels were floating.

#### **D. Performance Summary and Comparison**

Table II benchmarks the proposed adaptive rectifier that was presented in Section III against several recently published active rectifiers. While being capable of generating multilevel output voltages between 2.5 V and 4.6 V from a constant 5 V peak AC input, the adaptive rectifier maintains high measured PCE of 72 ~ 87%, depending on the  $V_{REC}$  level, when delivering 2.8 mA to the load. The voltage conversion efficiency, VCE (= $V_{REC}/V_{IN,peak}$ ), reaches as high as 92% when  $V_{REC} = 4.6$  V.

Table III summarizes the overall specifications of the proposed wireless stimulating system. The current stimulator achieves  $58 \sim 68\%$  power efficiency regardless of the *I*<sub>STIM</sub> and *V*<sub>STIM</sub> variations thanks to the adaptive supply control mechanism. It should be noted that the stimulation efficiency may also vary depending on the electrode/tissue impedance and the stimulus pulse width, as shown in (6).

In the case of stimulating through multiple electrodes with different peak voltages, the adaptive supply voltage needs to follow the highest site voltage to properly stimulate all sites, limiting the improvement achieved in stimulation efficiency. This is why we recommend this technique for applications, such as DBS, which involve a relatively small number of macro sites that have similar properties. In applications with a large number of sites, such as retinal implants, it is conceivable to divide the sites into smaller subsets and use multiple independent adaptive rectifiers and current drivers, one per subset, at the cost of larger chip area.

The proposed system dissipates a maximum power of ~ 15 mW, assuming constantly flowing stimulus current, resulting in temperature rise well below the safe 1 °C limit [35]. If the efficiency of the transcutaneous inductive link is 60% at 10 mm coil separation from [36], the necessary Tx power at 2 MHz can be estimated at ~ 25 mW. This is well below the FCC's 100 mW/cm<sup>2</sup> limit for maximum permissible exposure (MPE) within 0.3 ~ 3 MHz [37].

## VI. Conclusion

Current-controlled stimulators (CCS) have been widely used in implantable electrical stimulators because of their precise current control and safe operation. However, CCS suffers from low power efficiency, which mainly results from the large voltage drop across the output current sources, especially when the necessary stimulation voltage is much smaller than the supply voltage. In order to improve the CCS power efficiency, we have proposed an internal closed-loop system for adaptive control of the stimulator supply voltage slightly above the peak of the stimulation voltage.

This mechanism significantly reduces the power loss in the CCS current sources, helping the CCS achieve high stimulation efficiency regardless of the stimulation voltage levels, while taking advantage of its safety features, completed by adopting the active charge balancing mechanism to neutralize the residual charge. The adaptive supply voltage has been generated directly from the inductive link using the proposed adaptive rectifier, which has high measured AC-DC PCE for the multilevel DC output thanks to the phase control feedback. The wireless stimulating system also includes a voltage readout channel to close the on-chip control feedback loop as well as an ASK demodulation block for forward data telemetry.

Stimulation power efficiencies with fixed and adaptive supplies have been derived and compared. Bench-top and *in vitro* measurement results of a fabricated prototype verified that the proposed inductively-powered wireless stimulating system with adaptive supply control

was fully functional and improved the overall power efficiency of wireless stimulators for applications such as DBS and cochlear implants.

## Biographies



**Hyung-Min Lee** (S'06) received the B.S. degree in electrical engineering (*summa cum laude*) from Korea University, Seoul, Korea, and the M.S. degree in electrical engineering from the Korea Advanced Institute of Science and Technology (KAIST), Daejeon, Korea, in 2006 and 2008, respectively. Since 2009, he has been with the GT-Bionics Lab in the Department of Electrical and Computer Engineering, Georgia Institute of Technology, Atlanta, GA, USA, where he is working toward the Ph.D. degree.

His research interests include analog/mixed-signal integrated circuits and power management integrated circuits for biomedical implantable systems.

Mr. Lee received Silver Prizes in the 16th and 18th Human-Tech Thesis Prize contest from Samsung Electronics, Korea, in 2010 and 2012, respectively, and the Commendation Award in the 4th Outstanding Student Research Award from TSMC, Taiwan, in 2010.



**Hangue Park** (S'11) was born in 1980. He received the B.S. and M.S. degrees from Seoul National University, Seoul, Korea, in 2006 and 2008, respectively.

From 2001 to 2004, he was with Bluebird-soft, where he designed circuit and system for industrial personal digital assistants (PDA). From 2008 to 2010, he worked for Samsung Electronics and designed SAW-less transceivers and PLLs for cellphone applications. He joined GT-Bionics Lab at the Georgia Institute of Technology, Atlanta, GA, USA, in 2010, where he is currently pursuing the Ph.D. degree. His research interest is in system and IC design for biomedical applications, especially in tongue-drive systems.

Mr. Park was a co-recipient of the Best Demonstration Award of the 2012 IEEE Biomedical Circuits and Systems Conference.



**Maysam Ghovanloo** (S'00–M'04–SM'10) was born in Tehran, Iran, in 1973. He received the B.S. degree in electrical engineering from the University of Tehran, Tehran, Iran, in 1994, the M.S. degree in biomedical engineering from the Amirkabir University of Technology, Tehran, Iran, in 1997, and the M.S. and Ph.D. degrees in electrical engineering from the University of Michigan, Ann Arbor, MI, USA, in 2003 and 2004, respectively.

From 2004 to 2007, he was an Assistant Professor in the Department of Electrical and Computer Engineering, North Carolina State University, Raleigh, NC, USA. He joined the faculty of the Georgia Institute of Technology, Atlanta, GA, USA, in 2007, where he is currently an Associate Professor and the Founding Director of the Georgia Tech Bionics Lab in the School of Electrical and Computer Engineering. He has authored or coauthored more than 100 conference and journal publications.

Dr. Ghovanloo is an Associate Editor of the IEEE TRANSACTIONS ON BIOMEDICAL CIRCUITS AND SYSTEMS and the IEEE TRANSACTIONS ON BIOMEDICAL ENGINEERING. He has received awards in the 40th and 41st Design Automation Conference (DAC)/International Solid-State Circuits Conference (ISSCC) Student Design Contests. He has organized special sessions and was a member of Technical Review Committees for several major conferences, including ISSCC and ISCAS, in the areas of biomedical circuits, sensors, and systems. He is a member of Tau Beta Pi, Sigma Xi, the IEEE Solid-State Circuits Society, the IEEE Circuits and Systems Society, and the IEEE Engineering in Medicine and Biology Society.

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Conceptual configuration of a head-mounted inductively-powered DBS system in which power and data are transferred through the inductive link.





Various inductively-powered stimulating structures with (a) the conventional rectifier and regulator [3], (b) the fixed output rectifier [18], (c) the dynamic dc-dc converter [19], (d) the external closed-loop supply control [20], [21], and (e) the internal closed-loop supply control with the proposed adaptive rectifier.



#### Fig. 3.

Overall architecture of the proposed inductively-powered head-mounted DBS system equipped with the adaptive supply control and the active charge balancing for both powerefficient and safe current stimulation.



#### Fig. 4.

Simplified voltage waveforms of the rectifier with (a) the maximum turn-on time, (b) the turn-on time control, and (c) the turn-on phase control.





Adaptive rectifier feedback model showing the phase control mechanism.





## Fig. 6.

Schematic diagrams of (a) the proposed adaptive rectifier with active switches, and (b) one of its phase control comparators,  $CMP_1$ .





Timing diagram of the adaptive rectifier when (a)  $V_{REC} > 3V_{REF}$ , (b)  $V_{REC} < 3V_{REF}$ , and (c)  $V_{REC} = 3V_{REF}$ .



#### Fig. 8.

Schematic diagram of the proposed current driver with low dropout 5-bit current sources and the active charge balancing.





Stimulation efficiency analysis using (a) a simplified electrodes and tissue model ( $R_S$  and  $C_{DL}$ ), and (b) stimulation current and voltage waveforms.



## Fig. 10.

Schematic diagram of the voltage readout channel including the capacitive attenuator and voltage detector, which are used for both adaptive supply control and active charge balancing.





Schematic diagrams of (a) the clock recovery, (b) the envelope detector, and (c) the ASK demodulator for the forward data telemetry.





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## Fig. 13.

Measured waveforms of the adaptive rectifier generating the multilevel  $V_{REC}$  from 5 V peak constant  $V_{INP,N}$  depending on the 3-bit *CTL* input. In each case,  $I_{OUT}$  is set at 2 mA and  $f_C = 2$  MHz.



Fig. 14. Measured and simulated PCE vs.  $V_{REC}$  of the adaptive rectifier. Peak of  $V_{INP} = V_{INN} = 5$  V,  $f_C = 2$  MHz, and  $I_{OUT} = 2.8$  mA.



### Fig. 15.

Measured waveforms of the current stimulator with  $R_S = 2 \text{ k}\Omega$  and  $C_{DL} = 500 \text{ nF}$  connected in series between two active sites, as shown in Fig. 8, demonstrating the adaptive  $V_{REC}$ control and active charge balancing operations through the voltage readout channel.

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#### Fig. 16.

(a) Adaptive  $V_{REC}$  and fixed  $V_{DD}$  vs.  $I_{STIM}$ , (b) stimulation power efficiencies vs.  $I_{STIM}$ , and (c) overall power efficiencies, *i.e.*, rectifier + stimulator, vs.  $I_{STIM}$ . Solid line: adaptive supply control, dashed line: fixed supply, electrode-tissue model:  $R_S = 2 \text{ k}\Omega$  and  $C_{DL} = 500$  nF in series, and  $T_S = 400 \mu$ s.





Measured INL and DNL of the 5-bit  $I_{\text{STIM}1}$  for cathodic stimulation and  $I_{\text{STIM}2}$  for anodic stimulation along with the stimulation current mismatch,  $\Delta I_{STIM}$ , between  $I_{\text{STIM}1}$  and  $I_{\text{STIM}2}$ .





Measured waveforms of (a) the 2 MHz clock recovery, and (b) 50 kbps data recovery from the 2 MHz power carrier at 5.8% ASK modulation index.



## Fig. 19.

Test setup for *in vitro* experiments using the wireless adaptive stimulator including an inductive link operating at 2 MHz and 4 platinum/tungsten electrodes soaked in saline solution to emulate the DBS application.



## Fig. 20.

Measured stimulation waveforms from the *in vitro* experiments showing (a) adaptive supply control with different stimulation currents, active charge balancing, and (b) multi-channel stimulation capability.

## TABLE I

## In Vitro Test Setup Specifications

Power transmitter	Class-E PA	
Carrier frequency (fc)	2 MHz	
Primary coil diameter / inductance $(L_I)$	$4.0~cm$ / $6.8~\mu H$	
Secondary coil diameter / inductance $(L_2)$	$1.0 \text{ cm}/1.2 \ \mu\text{H}$	
Distance between $L_1$ and $L_2$	1.5 cm	
Electrodes (4-channel)	Quartz-platinum/tungsten	
Electrode length / diameter / tip	$15$ mm / $80\mu\text{m}$ / $1$ mm	
Electrode spacing (pitch)	3 mm	
Electrodes + saline impedance @ 2.5 kHz	$3.8 \text{ k}\Omega + 80 \text{ nF}$ in series	

## TABLE II

## Adaptive Rectifier Benchmarking.

Publica	ation	[32]	[33]	[34]	[22]	This work
Technology		0.5µm	0.18µm	0.35µm	0.5µm	0.5µm CMOS
AC-DC Structure		Active Rec.	Active Rec.	Active Rec.	Active Rec.	Adaptive Output Active Rectifier
V <sub>IN, peak</sub>	<sub>k</sub> (V)	5	1.25	2.4	3.8	5
V <sub>REC</sub> (	(V)	4.36	0.96	2.08	3.12	2.5 ~ 4.6 (3-bit)
VCE	(%)	87.2	76.8	86.7	82.1	50 ~ 92
$R_L$ (k	Ω)	1	2	0.1	0.5	$I_L = 2.8 \text{ mA}$
$f_C$ (MI	Hz)	1	10	1.5	13.56	2
Area (mm <sup>2</sup> )		0.4	0.86	0.4	0.18	0.3
PCE (%)	Sim.	90.4	N/A	87	87	78 ~ 94
	Meas.	84.8	76	N/A	80.2	72 ~ 87

## TABLE III

## Wireless Stimulating System Specifications.

Overall	System	Current Stimulator		
Process	0.5 µm CMOS	# output ch.	4-ch (DBS)	
ASIC area	2.25 mm <sup>2</sup>	Stim. rate	15.6 ~ 500 Hz <sup>*</sup>	
Power source	Inductive link	Pulse width	16 ~ 512 μs <sup>*</sup>	
Power Ma	nagement	Current range	0.08 ~ 2.48mA (5b)	
Adjustable V <sub>rec</sub>	2.5 ~ 4.6V (3b)	INL / DNL	0.43 / 0.17 LSB	
Measured PCE	72 ~ 87%	Ch. max. $\Delta I$	4 μΑ	
$V_{dig}$	1.8 V	I <sub>Static</sub>	$14\mu^{**}$	
OVP threshold	$V_{IN,peak} > 5.8 V$	$V_{Head}$	150 mV	
Back telemetry	short-coil LSK	Charge balan.	Active pulse inject.	
Forward Telemetry		Stim. PCE	58 ~ 68% ***	
Clock freq.	2 MHz	Voltage Readout Channel		
ASK data rate	50 kbps	In/out range	0 ~ 4.6 V/0.2 ~ 1.6 V	
Modul. index	5.8%	I <sub>Static</sub>	12 μA <sup>**</sup>	

\* Adjustable in MCU

\*\* Simulation

\*\*\* Vary with load model and pulse width