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## Differential effects of sorafenib on liver versus tumor fibrosis mediated by SDF1 $\alpha$ /CXCR4 axis and Gr-1+ myeloid cell infiltration in mice

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### Abstract

Sorafenib—a broad kinase inhibitor—is a standard therapy for advanced hepatocellular carcinoma (HCC), and has been shown to exert anti-fibrotic effects in liver cirrhosis, a precursor of HCC. However, the effects of sorafenib on tumor desmoplasia—and its consequences on treatment resistance — remain unknown. We demonstrate that sorafenib has differential effects on tumor fibrosis versus liver fibrosis in orthotopic models of HCC in mice. Sorafenib intensifies tumor hypoxia, which increases stromal-derived factor 1 $\alpha$  (SDF1 $\alpha$ ) expression in cancer and stromal cells, and subsequently Gr-1+ myeloid cell infiltration. The SDF1 $\alpha$ /CXCR4 pathway directly promotes hepatic stellate cell (HSC) differentiation and activation via MAP kinase pathway. This is consistent with the association between SDF1 $\alpha$  expression with fibrotic septa in cirrhotic liver tissues as well as with desmoplastic regions of human HCC samples. We demonstrate that after treatment with sorafenib, SDF1 $\alpha$  increased the survival of HSCs and their  $\alpha$ -SMA and Collagen I expression, thus increasing tumor fibrosis. Finally, we show that Gr-1+ myeloid cells mediate HSC differentiation/activation in a paracrine manner. CXCR4 inhibition using AMD3100 in combination with sorafenib treatment prevents the increase in tumor fibrosis—despite persistently elevated hypoxia—in part by reducing Gr-1+ myeloid cell infiltration, and inhibits HCC growth. Similarly, antibody blockade of Gr-1 reduces tumor fibrosis and inhibited HCC growth when combined with sorafenib treatment.

**Conclusion**—Blocking SDF1 $\alpha$ /CXCR4 or Gr-1+ myeloid cell infiltration may reduce hypoxia-mediated HCC desmoplasia and increase the efficacy of sorafenib treatment.

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## Keywords

hepatocellular carcinoma; hypoxia; collagen I; hepatic stellate cell;  $\alpha$ -smooth muscle actin

## INTRODUCTORY STATEMENT

Hepatocellular carcinoma (HCC) almost exclusively arises in cirrhotic livers, and the preexisting chronic inflammation and fibrosis fuel hepatocarcinogenesis and HCC growth (1–3). Fibrosis is the consequence of hepatic stellate cell (HSC) activation and proliferation, and myofibroblast differentiation leading to increased collagen deposition (4). This dual pathology of the liver contributes to an aggressive and systemic treatment-refractory characteristic in HCCs (1). Recently, the tyrosine kinase inhibitor (TKI) sorafenib has emerged as the first systemic therapy for HCC. Sorafenib is an antiangiogenic drug that has a broad tyrosine kinase inhibition spectrum (5). However, despite this progress, the mortality rate from HCC remains high, making this disease the third leading cause of cancer-related death worldwide (6–10).

Sorafenib is widely considered as an anti-angiogenic/anti-vascular drug through inhibition of VEGF receptors (VEGFRs) and platelet-derived growth factor receptors (PDGFRs). However, more potent and selective anti-VEGF agents or more broad antiangiogenic agents (e.g., VEGFR/FGFR and anti-VEGFR/PDGFR inhibitors) have failed so far to match the efficacy of sorafenib in phase III trials in HCC (10–13). Moreover, anti-angiogenic therapy has not led to tumor regression in patients or in experimental models in mice: The benefit seen with sorafenib in HCC patients is likely due to a transient delay in HCC growth, after which most tumors resume their growth (10). Whereas the mechanisms of acquired resistance to sorafenib and other anti-VEGF inhibitors in HCC remain unknown, it is likely that tumor stroma-mediated survival pathways might play a key role (1, 10). Of these, increased hypoxia has been proposed as a mechanism of resistance to multitargeted TKI therapy (14–17). The challenge is to identify the key molecular pathways regulating stroma-mediated resistance to sorafenib treatment in HCC.

Hypoxia and other cellular stresses can promote the expression of the chemokine stromal-derived factor 1 alpha (SDF1 $\alpha$  or CXCL12) and of its receptor CXCR4 (18–22). In clinical studies, we showed that SDF1 $\alpha$  level increased in plasma circulation in HCC patients after treatment with sunitinib or cediranib (both anti-VEGFR and anti-PDGFR TKIs) (23, 24). Moreover, we showed that elevated circulating levels of SDF1 $\alpha$  correlated with poor treatment outcome in HCC patients after sunitinib treatment (23). Systemic activation of SDF1 $\alpha$ /CXCR4 axis is known to mediate intra-tumoral infiltration of inflammatory cells, including Gr-1+ myeloid (CD11b+) cells (25–28). Gr-1+ myeloid cells can drive tumor recurrence after anti-VEGF therapy in various tumor models (29). Finally, clinical correlative data also strongly suggest that the effects on multi-targeted TKI treatment on tumor vasculature and on myeloid cells may mediate the response and resistance therapy in HCC patients (23, 30). However, a causal role of Gr-1+ myeloid cells in HCC resistance to anti-angiogenic treatment has not been characterized. Furthermore, a mechanistic understanding of the interplay between treatment-induced hypoxia, SDF1 $\alpha$ /CXCR4 pathway activation, and Gr-1+ myeloid cell infiltration and tumor fibrosis in HCC is currently lacking. Here, we examined in orthotopic HCC models whether the SDF1 $\alpha$ /CXCR4 pathway is activated and causally related to Gr-1+ myeloid cell infiltration and tumor-associated fibrosis and, ultimately, to sorafenib resistance.

## EXPERIMENTAL PROCEDURES

### Cells and Materials

We used the C3H mouse-derived HCC cell line HCA-1 (31). Human hepatic stellate cells (HSCs; Catalog #5300) were purchased from ScienCell Research Laboratories (San Diego, CA). These primary HSCs have been previously characterized (32). We purchased AMD3100 and FR180204 from Sigma (St. Louis, MO), AZD6244 and sorafenib from Selleck Chemicals (Houston, TX), recombinant PDGF-B and SDF1 $\alpha$  from R&D system (Minneapolis, MN), and anti-Gr-1 antibody (Leaf<sup>TH</sup> purified anti-mouse Gr-1 antibody) from Biolegend (San Diego, CA). For co-culture experiments, we isolated Gr-1+ myeloid cells from enzymatically digested HCA-1 tumors.

### Animals

To induce liver fibrosis, we treated 5-week-old male C3H or *Mst1*<sup>-/-</sup>*Mst2*<sup>F/-</sup> mice with carbon tetrachloride (CCl<sub>4</sub>, 16 % v/v in olive oil, 100 $\mu$ L gavage, 3 times per week) prior to tumor implantation/induction. HCA-1 cells were orthotopically implanted in mice 2 weeks after the last CCl<sub>4</sub> treatment, as previously described (33). *Mst1*<sup>-/-</sup>*Mst2*<sup>F/-</sup> mice develop spontaneous HCCs after i.v. injection of Ad-Cre (34), and were a kind gift from Dr. Nabeel Bardeesy (MGH). All animals received humane care according to the criteria outlined in the “Guide for the Care and Use of Laboratory Animals” prepared by the National Academy of Sciences and published by the National Institutes of Health (NIH publication 86–23 revised 1985).

### Treatment studies

Mice were treated daily by gavage with sorafenib (40mg/kg) or vehicle (PBS) alone. AMD3100 (10mg/kg/day) was delivered continuously using Alzet micro-osmotic pumps (DURECT Corporation, Cupertino, CA) over 2 weeks.

### Cell viability assays

We assessed cell viability using 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide (MTT) assay (Sigma).

### Western blot analysis

Western blotting was performed using antibodies against collagen I and  $\alpha$ -SMA (Abcam, Cambridge, MA), and phosphorylated (p)-ERK, ERK, p-AKT and AKT (Cell Signaling, Danvers, MA).

### siRNA knockdown

ON-TARGETplus CXCR4 siRNA and non-targeting control siRNA were purchased from Dharmacon (Lafayette, CO) and used for transfection of HCA-1 cells.

### Immunohistochemistry

For murine samples, we used antibodies against  $\alpha$ -SMA, CAIX (Abcam), collagen I (LF-67, kindly provided by Dr. L. Fisher, National Institute of Dental Research), and SDF1 $\alpha$  (BioVision). For apoptosis detection, frozen tumor sections were stained using TACS<sup>TM</sup> TdT Kit (R&D Systems, Minneapolis, MN). To assess expression level, we measured the fluorescently stained area for each marker and normalized it to DAPI area (used as measure of cellularity in viable tumor regions), as previously described (28). In addition, we performed immunostaining for SDF1 $\alpha$  in tumor samples from patients who underwent HCC resection. Hepatic fibrosis in the non-HCC liver area was evaluated according to the

Laennec system as previously described (35). Co-localization between SDF1 $\alpha$  expression and desmoplasia was assessed in 10 randomly selected high-power fields at 4x magnification.

### Flow cytometry

We used fluorescently labeled rat monoclonal antibodies anti-mouse CD45-PE-Cy7, Gr-1-APC and CD11b-APC-Cy7 (BD Biosciences) to perform flow cytometric analysis in digested tumor tissue, as previously described (36).

### Quantitative RT-PCR

We determined the relative gene expression of SDF1 $\alpha$ , CXCR4, MCP-1, 5-LO, TGF- $\alpha$ , TGF- $\beta$ , PDGF- $\alpha$ , PDGF- $\beta$ , MMP9, MMP13, and  $\beta$ -actin in tumor-infiltrating Gr-1+ cells using specific primers (Table S1), Real-Time SYBR Green PCR master mix (Applied Biosystems, Branchburg, NJ) and the Stratagene Mx3000P QPCR System, as previously described (36).

### Statistical analysis

Comparisons between treatment groups were performed using the Mann-Whitney U-test. A p-value of less than 0.05 was considered to denote statistical significance. (*See more details in Supplemental Experimental Methods.*)

## RESULTS

### Sorafenib treatment increases hypoxia and SDF1 $\alpha$ expression in orthotopic HCC models

To model the clinical features of HCC, we first induced liver fibrosis in mice by CCl<sub>4</sub> treatment for 11 weeks (Fig. S1). Then, we generated orthotopic tumors by intrahepatic HCA-1 cell implantation in C3H mice or by inducing spontaneous HCC using Cre-adenovirus (Ad-Cre) i.v. injection in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> mice (Fig. S2). When tumors became established, we treated the mice with sorafenib for 14 days and then measured the changes in tissue oxygenation. Sorafenib treatment significantly increased the hypoxic tissue fraction—measured by carbonic anhydrase IX (CAIX) immunostaining—when compared to control-treated tumors (Fig. 1A, B). In contrast, hypoxic tissue surface area did not increase in the surrounding fibrotic liver tissue after treatment (Fig. 1E).

To examine the consequence of treatment-induced increase in tumor hypoxia, we next evaluated whether the increase in SDF1 $\alpha$  expression—suggested by studies in HCC patients (23, 24)—is recapitulated in these HCC models. Indeed, we found a 2-fold elevation in SDF1 $\alpha$  expression after sorafenib treatment in HCA-1 tumor grafts and in spontaneous HCCs in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> mice (Fig. 1A–D, Fig. S3). In contrast, SDF1 $\alpha$  expression did not significantly increase in the fibrotic liver after sorafenib treatment (Fig. 1F).

To confirm that hypoxia is the driver of SDF1 $\alpha$  expression in cancer and stromal cells, we cultured HCA-1 cells as well as hepatic stellate cells (HSCs) for 48hr in hypoxic (1% O<sub>2</sub>) or normoxic (21% O<sub>2</sub>) conditions and measured SDF1 $\alpha$  expression by qPCR. Exposure to hypoxic conditions increased SDF1 $\alpha$  expression in both HCC cells and HSCs (Fig. S4).

To evaluate the effect of SDF1 $\alpha$ /CXCR4 pathway inhibition on tumor tissue oxygenation and SDF1 $\alpha$  expression after treatment, we combined sorafenib treatment with the CXCR4 inhibitor AMD3100 (Sigma, 10mg/kg/day). Interestingly, the combination therapy further increased hypoxic tissue fraction and SDF1 $\alpha$  expression in orthotopic HCA-1 tumor grafts and spontaneous HCCs in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> mice when compared to sorafenib treatment alone (Fig. 1, Fig. S3).

### Inhibition of CXCR4 prevents the increase in HCC fibrosis after sorafenib *in vivo*

To establish if fibrosis is associated with elevated SDF1 $\alpha$  expression in HCC patients, we examined surgical specimens for HCC patients with cirrhosis. We observed that SDF1 $\alpha$  expression co-localized with extracellular matrix components/fibrotic septa in both HCC and cirrhotic liver tissues (Fig. 2). Thus, we next evaluated the effect of sorafenib treatment with or without the inhibition of SDF1 $\alpha$ /CXCR4 pathway on fibrosis, a hallmark of HCC progression (4), in the mouse models. To this end, we measured the expression of collagen I as well as the number of  $\alpha$  SMA+ myofibroblasts after sorafenib treatment both in the tumor region and in the surrounding liver tissue. Of note, the  $\alpha$  SMA+ myofibroblasts were also positive for GFAP (Fig. S5). IHC analyses revealed that the HCCs growing in the face of sorafenib treatment showed significantly increased tumor desmoplasia. Treatment resulted in increased intra- and peri-tumoral collagen I and  $\alpha$ -SMA+ myofibroblasts infiltration in orthotopic HCA-1 HCCs in mice with CCl<sub>4</sub>-induced liver fibrosis and in spontaneous HCCs in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> mice (Fig. 3A–E). Of note, sorafenib treatment reduced collagen I expression levels in the surrounding liver tissue in mice with CCl<sub>4</sub>-induced liver fibrosis (Fig. 3F).

To assess the effect of SDF1 $\alpha$ /CXCR4 pathway inhibition on tumor-associated fibrosis after sorafenib treatment, we tested the combined administration of sorafenib with the CXCR4 inhibitor AMD3100. This combination therapy prevented the increase in collagen I expression and  $\alpha$  SMA+ myofibroblasts after sorafenib treatment in spontaneous and implanted HCCs, without significantly changing collagen I expression in the fibrotic liver in CCl<sub>4</sub>-treated mice (Fig. 3). Of note, this effect was seen despite a persistent increase in hypoxia and SDF1 $\alpha$  expression in the HCCs treated with sorafenib and AMD3100 (Fig. 1A–D). Thus, CXCR4 inhibition can prevent the pro-fibrotic effects of sorafenib treatment in HCC *in vivo*, despite persistent tumor hypoxia.

### SDF-1 $\alpha$ /CXCR4 axis directly mediates hepatic stellate cell (HSC) differentiation to myofibroblasts in HCC despite PDGFR blockade by sorafenib

We next examined the role of SDF1 $\alpha$ /CXCR4 axis in selective promotion of tumor fibrosis after sorafenib treatment *in vitro*. To determine if increased SDF1 $\alpha$  expression in the tumor could mediate the increase in tumor fibrosis after sorafenib treatment, we first exposed primary HSCs to recombinant (r)SDF1 $\alpha$  in the presence or absence of sorafenib or rPDGF-B. Consistent with previous reports (37), we found that rPDGF-B stimulated proliferation and  $\alpha$ -SMA expression in HSCs (Fig. 4A, B). Sorafenib treatment prevented the effects of rPDGF-B and led to significant decrease in HSC viability (as evidenced by an increase in cleaved caspase 3 expression and in the number of apoptotic HSCs) and inhibited  $\alpha$ -SMA and collagen I expression (Fig. 4A–C). These results indicate that sorafenib treatment may directly reduce liver fibrosis by blocking PDGFR pathway in HSCs. Exposure to rSDF1 $\alpha$  induced HSC differentiation into myofibroblast, as evidenced by dose-dependent increases in  $\alpha$ -SMA and collagen I expression as well as in ERK and Akt activation (Fig. 4D). We then evaluated whether SDF1 $\alpha$  can drive HSC differentiation and activation of HSCs in the face of PDGFR blockade by sorafenib treatment. We found that SDF1 $\alpha$  increased cell proliferation and viability, and promoted the differentiation of HSCs despite sorafenib treatment (Fig. 4A–C).

Finally, to determine if SDF1 $\alpha$ /CXCR4 axis mediates these effects in HSCs, we used pharmacologic and genetic approaches to inhibit CXCR4. To this end, we cultured HSCs in the presence of rSDF1 $\alpha$  with or without the CXCR4 inhibitor AMD3100 or with or without CXCR4 expression knockdown using siRNA. In both settings, inhibition of CXCR4 prevented the effects of SDF1 $\alpha$  on HSC differentiation and activation (i.e., prevented the increase in  $\alpha$ -SMA and collagen I expression induced by SDF1 $\alpha$  in HSCs) (Fig. 4D, E, Fig.

S6). The inhibitory effects were mediated in part by preventing the activation of the MAPK pathway activation by SDF1 $\alpha$ , as shown by ERK inhibition with FR180204 (2 $\mu$ M) or MEK inhibition with AZD6244 in HSCs treated with rSDF1 $\alpha$  (Fig. 4D–F, Fig. S7).

### **Gr1+ myeloid cell infiltration increases in HCC after sorafenib in an SDF1 $\alpha$ /CXCR4 dependent manner**

We next examined the effects of treatment with sorafenib—with or without inhibition of CXCR4—on inflammatory cell infiltration in HCC. To this end, we evaluated enzymatically digested HCC tissue by flow cytometric analysis. We found that the number of Gr-1<sup>+</sup> myeloid cells increased by over 2-fold in HCA-1 transplanted HCC models and in spontaneous HCCs in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> mice after sorafenib treatment (Fig. 5A, B). In contrast, we found that sorafenib treatment reduced the accumulation of Gr-1<sup>+</sup> myeloid cells in the surrounding fibrotic liver tissue (Fig. 5C). Finally, inhibition of CXCR4 using AMD3100 in combination with sorafenib decreased the number of tumor-infiltrating Gr-1<sup>+</sup> myeloid cells to levels comparable to control-treated spontaneous and transplanted HCCs (Fig. 5A–B).

### **Gr1+ myeloid cell infiltration increases fibrosis in HCC after sorafenib in a SDF1 $\alpha$ /CXCR4 dependent manner**

We next tested whether direct Gr-1<sup>+</sup> myeloid cell blockade could prevent the increase in fibrosis after sorafenib treatment in HCC. To achieve this, we used an anti-Gr-1-blocking antibody with or without sorafenib treatment in mice with CCl<sub>4</sub>-induced liver fibrosis with orthotopically implanted HCA-1 tumors. Gr-1 blockade prevented the increase in Gr-1<sup>+</sup> myeloid cell infiltration in HCC after sorafenib treatment to levels comparable to tumors from control-treated mice (Fig. 6A). Moreover, inhibition of Gr-1<sup>+</sup> myeloid cell infiltration also prevented the increase in HCC-associated fibrosis seen after sorafenib treatment (i.e., decreased the levels of collagen I and  $\alpha$ -SMA expression) (Fig. 6B, C). To examine the mechanisms by which Gr-1<sup>+</sup> myeloid cells promote fibrosis, we isolated HCC-infiltrating Gr-1<sup>+</sup> (Ly-6G/Ly-6C) myeloid cells from HCA-1 digested tumor tissue by way of magnetic separation, and co-cultured them with HSCs – either with or without direct contact. In both co-culture systems, Gr-1<sup>+</sup> cells stimulated collagen I and  $\alpha$ -SMA expression (measured in HSCs) in a dose-dependent manner (Fig. 6D, Fig. S8A). This indicates that soluble factors released by Gr-1<sup>+</sup> myeloid cells promote fibrosis. To determine whether the effects of Gr-1<sup>+</sup> myeloid cells on HSCs can overcome the PDGFR blockade by sorafenib, we repeated the co-culture experiments in the presence or absence of sorafenib and rPDGF-B. We found that Gr-1<sup>+</sup> myeloid cells can drive, in a dose-dependent manner, HSC differentiation and activation despite effective blockade of PDGFR by sorafenib treatment (Fig. 6E, Fig. S8B). To examine the pro-fibrotic factors involved in this paracrine interaction, we sorted Gr1<sup>+</sup> myeloid cells from digested HCC tissue from mice treated with sorafenib or vehicle control and then extracted mRNA. We next performed real-time PCR analysis to measure the expression of pro-fibrotic cytokines (4). Gr-1<sup>+</sup> myeloid cells from sorafenib-treated tumors showed higher expression of several pro-fibrotic factors (5-lipoxygenase (5-LO), PDGF-B and MCP-1 as well as of SDF1 $\alpha$  and CXCR4) compared to Gr-1<sup>+</sup> myeloid cells from control-treated tumors (Table S2). To evaluate if SDF1 $\alpha$ /CXCR4 axis mediates not only Gr-1<sup>+</sup> myeloid cell infiltration in HCC but also their paracrine interaction with HSCs leading to fibrosis, we inhibited CXCR4 with AMD3100 in the co-culture systems described above. AMD3100 treatment prevented the upregulation of collagen I and  $\alpha$ -SMA expression in HSCs co-cultured with tumor-derived Gr-1<sup>+</sup> myeloid cells, in a dose-dependent manner (Fig. 6F). Taken together, these data show that SDF1 $\alpha$ /CXCR4 pathway mediates both the increased infiltration of Gr-1<sup>+</sup> myeloid cells in HCC after by sorafenib treatment as well as their pro-fibrotic effects on HSCs.

## Inhibition in CXCR4 or Gr-1 in combination with sorafenib inhibits HCC growth compared to sorafenib alone

Finally, given the SDF1 $\alpha$  mediation of pro-fibrotic and pro-inflammatory effects in HCC after sorafenib treatment, we next evaluated the specific impact of fibrosis on HCC growth after treatment with sorafenib or the CXCR4 inhibitor AMD3100. First, we found that the growth of spontaneous and grafted HCC was accelerated in mice with fibrotic liver compared to mice with normal liver (Fig. 7A, Fig. S2). AMD3100 treatment alone showed no significant inhibition of HCC growth. However, when combined with sorafenib, AMD3100 induced a significant additional tumor growth inhibition of orthotopic HCA-1 tumors in immunocompetent C3H mice with underlying liver fibrosis (Fig. 7A). Moreover, inhibition of CXCR4 with AMD3100 in combination of sorafenib induced a significant increase in cell apoptosis compared to sorafenib or AMD3100 alone in both grafted and spontaneous orthotopic HCC models (up to 20% in HCA-1 growing in fibrotic liver) (Fig. 7B–E). Of note, the liver function parameters (ALT, AST and ALP) remained unchanged after combination treatments (not shown). Finally, combination of anti-Gr-1 antibody with sorafenib also induced a significant delay in HCC growth compared to sorafenib alone (Fig. 7F).

## DISCUSSION

Despite its inhibitory effect on hepatic fibrogenesis, the efficacy of sorafenib treatment in HCC may be thwarted ensuing increase in hypoxia that leads to increased tumor desmoplasia and inflammation. We show that although sorafenib can reduce chemically induced liver fibrosis in mice, its anti-vascular effects in tumors lead to increased hypoxia, inflammation and fibrosis in the tumor tissue. Our results confirm the anti-fibrotic effects seen in cirrhotic livers with sorafenib and other TKIs (vatalanib) (38, 39), but also reveal that tumor-associated fibrosis/desmoplasia is increased after sorafenib treatment. This indicates a potential role of hypoxia-induced tumor fibrosis during development of resistance to sorafenib treatment in HCC.

PDGF-B is a pro-fibrotic growth factor whose signaling is blocked by sorafenib (5, 40, 41). However, the conversion of HSCs to myofibroblasts during hepatic fibrogenesis or the activation of fibroblasts during development of desmoplasia in malignant tumors (such as breast cancer) may be directly mediated by other pro-fibrotic and pro-inflammatory factors such as SDF1 $\alpha$  (42–47). We demonstrate here in mouse models of HCC that increased tumor-associated fibrosis is due to increased myofibroblast infiltration and differentiation mediated by the SDF1 $\alpha$  CXCR4 pathway (Fig. 8). Our data show that SDF1 $\alpha$  can directly induce HSC differentiation and proliferation via MAPK activation after sorafenib treatment. We also show that SDF1 $\alpha$  can counteract the anti-fibrotic effects of sorafenib via PDGFR-inhibition – thus leading to increased tumor-associated fibrosis. This led us to test whether CXCR4 blockade could prevent the increase in fibrosis in HCC after sorafenib treatment. Indeed, addition of CXCR4 to sorafenib treatment prevented the increase in tumor-associated fibrosis in the face of persistent hypoxia. Moreover, this combination therapy significantly inhibited HCC growth compared to sorafenib alone.

Increased SDF1 $\alpha$  expression can also lead to accumulation of tumor-promoting (pro-angiogenic and immune-suppressive) inflammatory cells (18, 25, 48, 49). We previously demonstrated that CXCR4 is critical for myeloid cell infiltration in tumors and can compensate for VEGFR1 inhibition in bone marrow-derived cells by inhibiting CXCR4 with pharmacologic agents and in genetic models (28). Indeed, we detected an increased infiltration in Gr-1 $^+$  myeloid cells in HCC after sorafenib treatment. Paracrine interactions between stellate cells and inflammatory cells leading to liver fibrosis are also critical in viral hepatitis and in pancreatic malignancies (43–45, 50). Here, we demonstrate that Gr-1 $^+$

myeloid cells from sorafenib-treated tumors showed higher expression of multiple profibrotic factors, including SDF1 $\alpha$  and CXCR4, compared to Gr-1+ myeloid cells from control-treated tumors. Furthermore, we show Gr-1+ cells directly stimulated the differentiation of HSCs and the CXCR4 blockade prevented the upregulation of collagen I and  $\alpha$ -SMA expression in HSCs co-cultured with tumor-derived Gr-1+ myeloid cells. It indicates that SDF1 $\alpha$ /CXCR4 axis plays an important role mediating not only Gr-1+ myeloid cell infiltration in HCC but also their paracrine interaction with HSCs leading to fibrosis. Finally, antibody blockade of Gr-1 reduced Gr-1+ myeloid cell infiltration, tumor desmoplasia, and HCC growth.

In conclusion, targeting the SDF1 $\alpha$ /CXCR4 pathway or Gr-1+ myeloid cell infiltration may be an effective approach to block hypoxia-induced HCC desmoplasia and overcome resistance to sorafenib therapy in HCC.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## List of Abbreviations

|                                |   |
|--------------------------------|---|
| <b>HCC</b>                     | hepatocellular carcinoma                    |
| <b>SDF1<math>\alpha</math></b> | stromal-derived factor 1 alpha              |
| <b>CXCR4</b>                   | C-X-C receptor type 4                       |
| <b>HSC</b>                     | hepatic stellate cell                       |
| <b>MAPK</b>                    | mitogen-activated protein kinase            |
| <b>Gr-1</b>                    | myeloid differentiation antigen             |
| <b>TKI</b>                     | tyrosine kinase inhibitor                   |
| <b>VEGFR</b>                   | vascular endothelial growth factor receptor |
| <b>PDGFR</b>                   | platelet-derived growth factor receptor     |
| <b>FGFR</b>                    | basic fibroblast growth factor receptor     |
| <b>CXCL12</b>                  | C-X-C ligand 12                             |
| <b>PBS</b>                     | phosphate buffered solution                 |
| <b>Ad-Cre</b>                  | Cre-expressing adenovirus                   |
| <b>CCl4</b>                    | carbon tetrachloride                        |
| <b><math>\alpha</math>-SMA</b> | alpha smooth muscle actin                   |
| <b>MCP-1</b>                   | monocyte chemoattractant protein-1          |



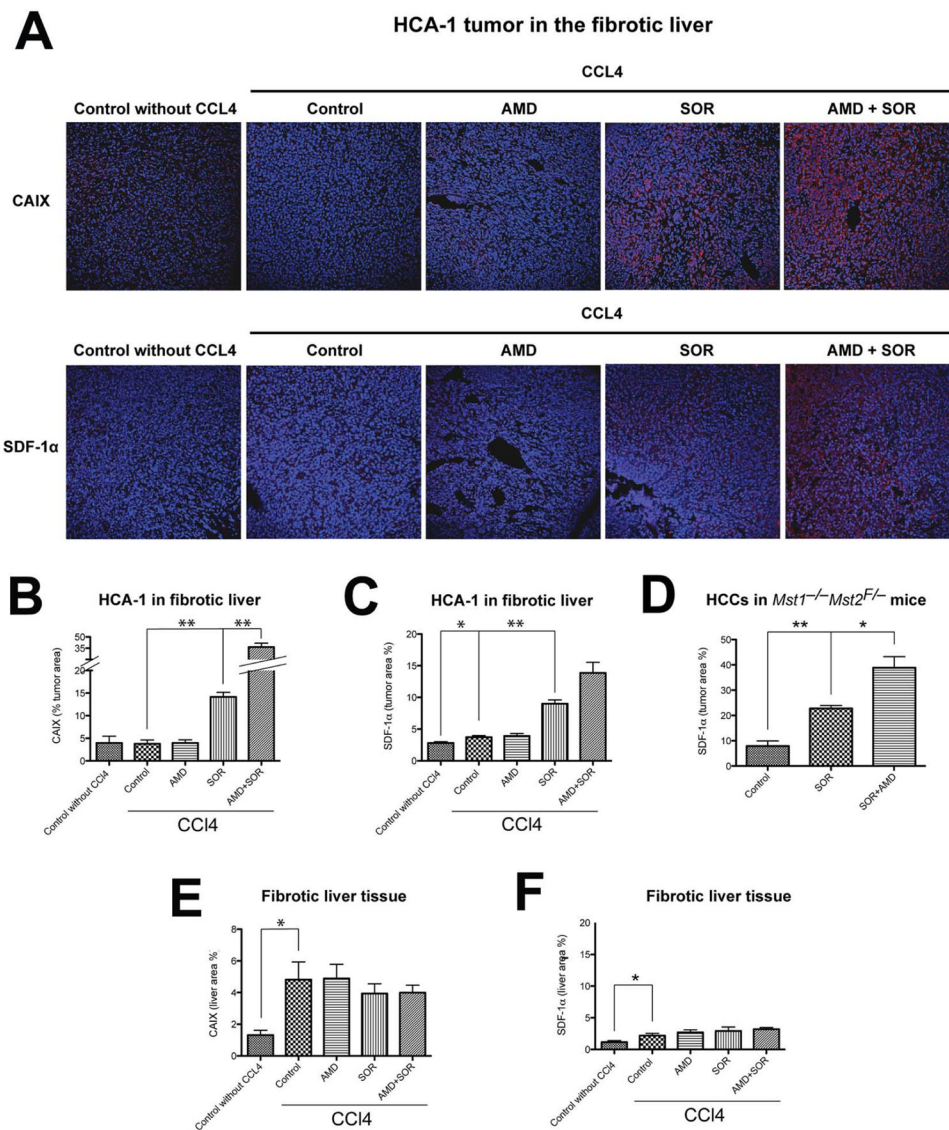
|             |                            |
|-------------|----------------------------|
| <b>5-LO</b> | 5-lipoxygenase             |
| <b>TGF</b>  | transforming growth factor |
| <b>MMP</b>  | matrix metalloproteinase   |
| <b>CAIX</b> | carbonic anhydrase 9       |

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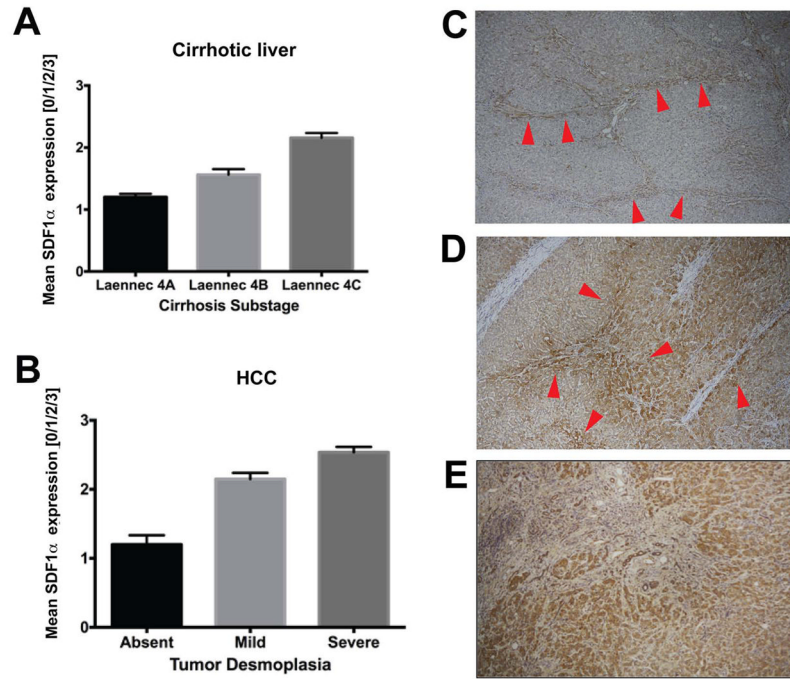
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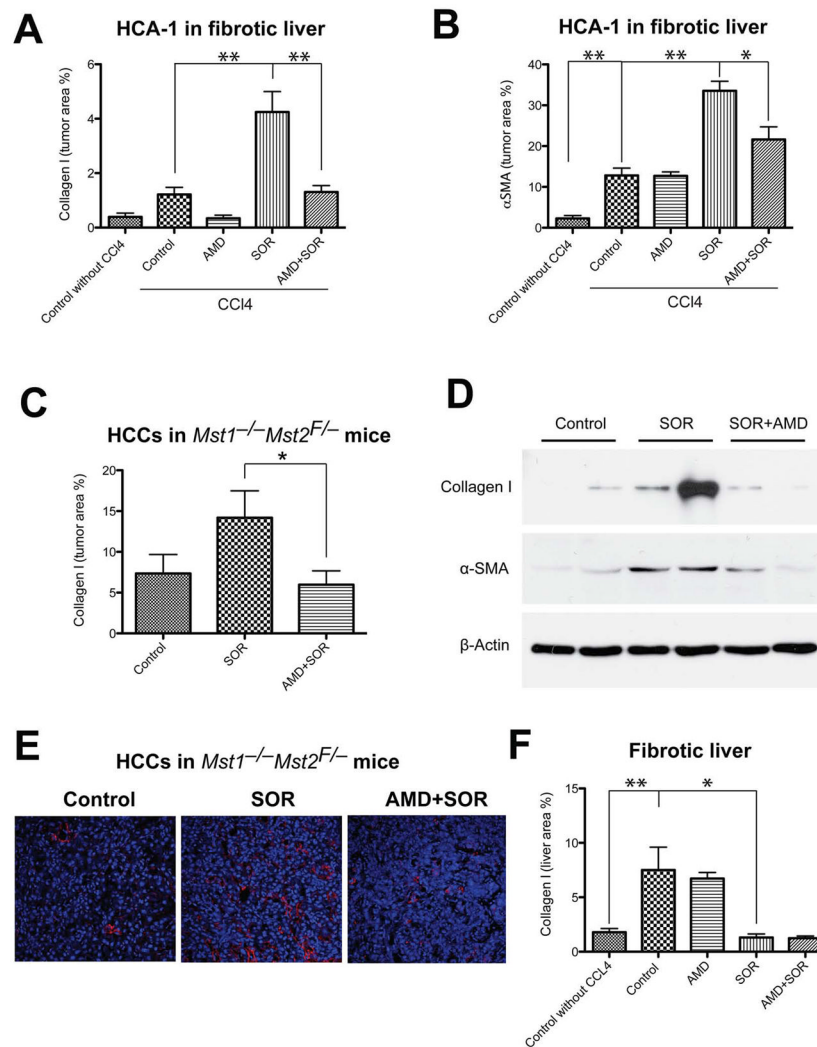


**Figure 1. Tumor hypoxia and SDF1 $\alpha$  expression are increased after sorafenib treatment in orthotopic HCC**

**A**, Representative immunofluorescence staining of CAIX and SDF1 $\alpha$  in HCA-1 tumor implanted in mice with liver fibrosis. Images are 636 $\mu$ m across. **B,C**, The hypoxic tumor tissue fraction (**B**) and SDF1 $\alpha$  expression (**C**) increased significantly after sorafenib treatment in orthotopic HCA-1 tumors in mice (n=5–9). **D**, Immunofluorescence imaging analysis showed that SDF1 $\alpha$  expression is increased after 14 days of sorafenib treatment in spontaneous HCCs in *Mst1<sup>-/-</sup>Mst2<sup>F/-</sup>* transgenic mice. The increased hypoxia and SDF1 $\alpha$  expression persisted when sorafenib treatment was combined with AMD3100 (n=5–9). **E,F**, Expression of CAIX (**E**) and SDF1 $\alpha$  (**F**) in the liver was not significantly changed after sorafenib, AMD3100 or combination treatment (n=4–7). The number of random regions of interest used for quantification is shown in parentheses. Data are presented as mean  $\pm$  SEM; \*P<0.05, \*\*P<0.01.

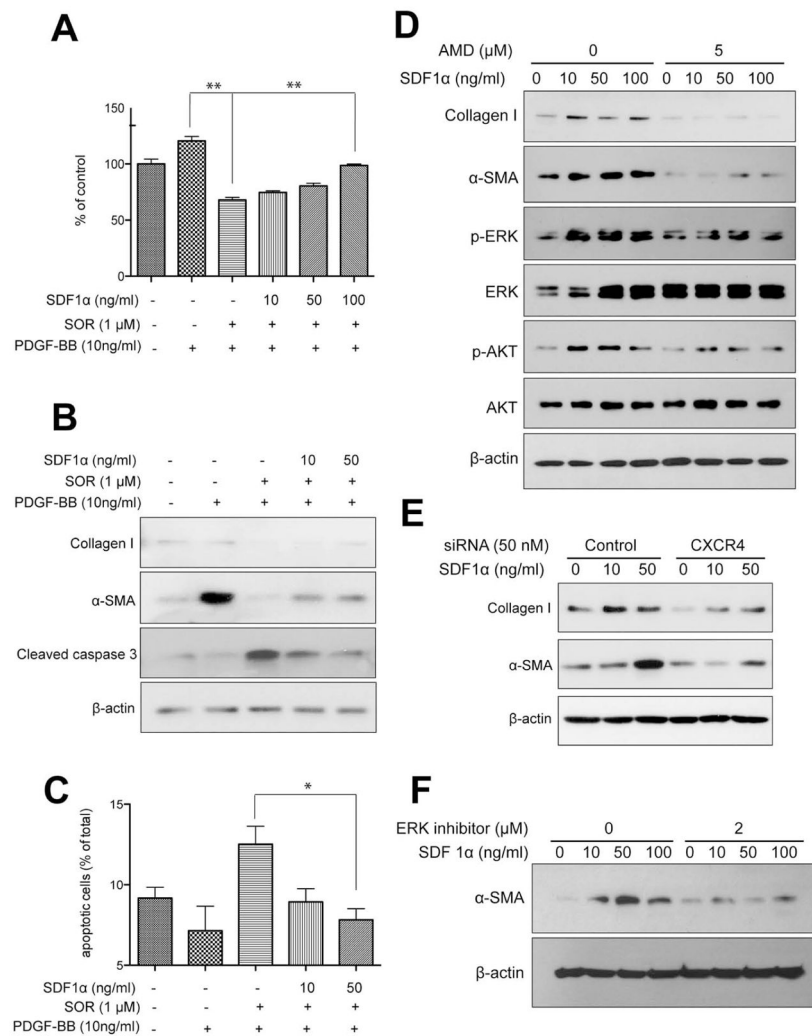


**Figure 2. Immunostaining for SDF1 $\alpha$  in liver tissue samples from HCC patients**  
 Paraffin embedded tissue sections were stained for SDF1 $\alpha$  by immunohistochemistry. **A,B** SDF1 $\alpha$  expression in non-malignant liver tissue (**A**) was consistently lower than in malignant HCC tumor area (**B**). Both in cirrhotic liver tissue (**C**) and in HCC nodules (**D**), SDF1 $\alpha$  expression was colocalized with ECM components/fibrous septa supporting the potential pro-fibrotic role of SDF1 $\alpha$  in human hepatic fibrogenesis and HCC desmoplasia. **E**, Specimens of tumors that re-occurred after transcatheter arterial chemoembolization and radiofrequency ablation – both of which are known to cause severe hypoxia – consistently showed high expression of SDF1 $\alpha$ , which was also associated with more pronounced desmoplasia in HCC area. Error bars represent score  $\pm$  SEM (n=10 regions of interest per sample).



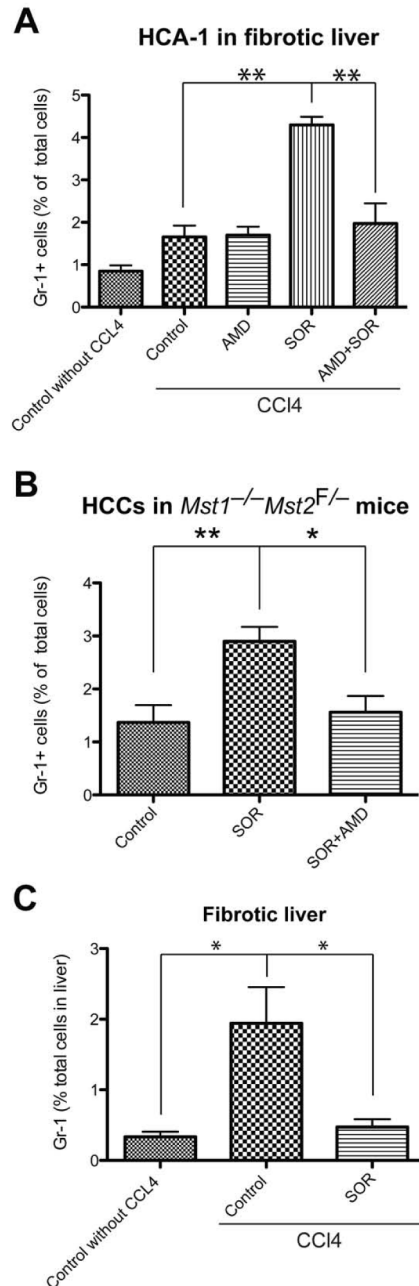
**Figure 3. Sorafenib treatment selectively increases tumor-associated fibrosis in HCC in an SDF1α/CXCR4 pathway-dependent manner**

**A,B**, Sorafenib significantly increased the collagen I content (**A**) and the number of α-SMA<sup>+</sup> myofibroblasts (**B**) in orthotopic HCA-1 tumors implanted in mice with fibrotic livers. The analysis was performed using immunofluorescence in tumor tissue, and maker-positive area was normalized to the area of DAPI (nuclear stain) (N=5–7). **C–D**, Sorafenib treatment significantly increased the collagen I content (**C and D**) and the expression of α-SMA (**D**) (detected by Western blotting) in spontaneously arising HCCs (N=7–8). **E**, Representative images of immunofluorescence of Collagen I staining in spontaneous HCCs. Images are 636μm across. Addition of AMD3100 to sorafenib treatment prevented the increase in collagen I content and the number of α-SMA<sup>+</sup> myofibroblasts in HCCs (**A–E**). **F**, In tumor-bearing mice, sorafenib significantly reduced the collagen I content in the surrounding liver tissue in mice with underlying liver fibrosis to levels comparable to those seen in livers from non-CCl4-treated mice (N=4–8). The number of random regions of interest used for quantification is shown in parentheses. \*p<0.05; \*\*p<0.01; Data are shown as mean ± SEM.



**Figure 4. SDF1α/CXCR4 axis promotes HSC to myfibroblast differentiation in the face of PDGFR blockade by sorafenib**

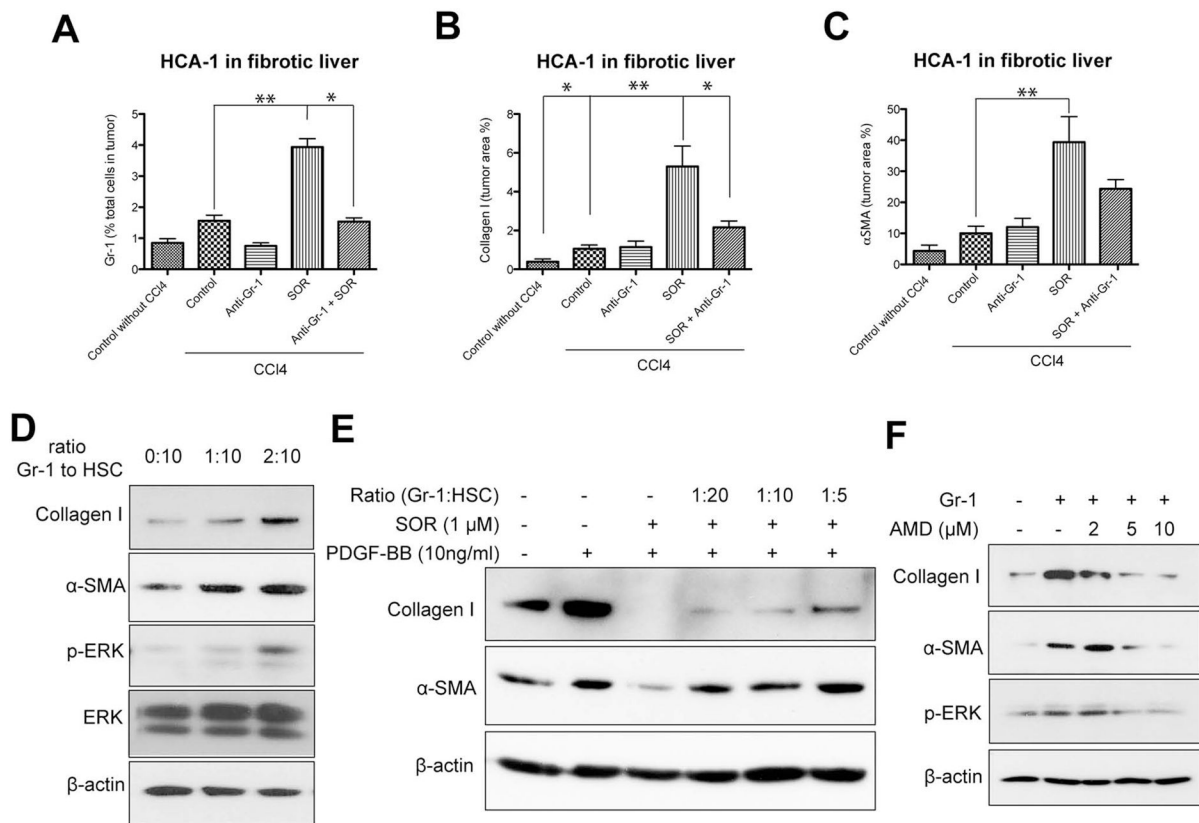
**A**, Exposure to recombinant PDGF-B increased HSC proliferation, while treatment with sorafenib reduced the viability of HSCs. Exposure to recombinant SDF1α increased the viability of HSCs despite PDGFR inhibition using sorafenib treatment, in a dose-dependent manner. HSC viability was measured by MTT assay (N=6 experimental repeats). **B**, Exposure to recombinant SDF1α increased the expression of α-SMA and collagen I and reduced cleaved caspase-3 expression (evaluated by Western blotting) despite sorafenib treatment, in a dose-dependent manner. **C**, Exposure to recombinant SDF1α increased viability of HSCs despite sorafenib treatment (N=3–5 experimental repeats). **D**, Exposure to recombinant SDF1α upregulated collagen I and α-SMA expression levels as well as ERK and AKT activation in HSCs, consistent with their myfibroblast differentiation. Inhibition of CXCR4 with AMD3100 (**D**) or using siRNA (**E**) prevented the effects of SDF1α. **F**, ERK inhibition with FR-180204 (2μM) decreased α-SMA expression in HSCs treated with recombinant SDF1α. Data are presented as mean ± SEM.



**Figure 5. Intratumoral infiltration of Gr1+ myeloid cells is increased after sorafenib (SOR) treatment, and is prevented by CXCR4 inhibition in HCC**

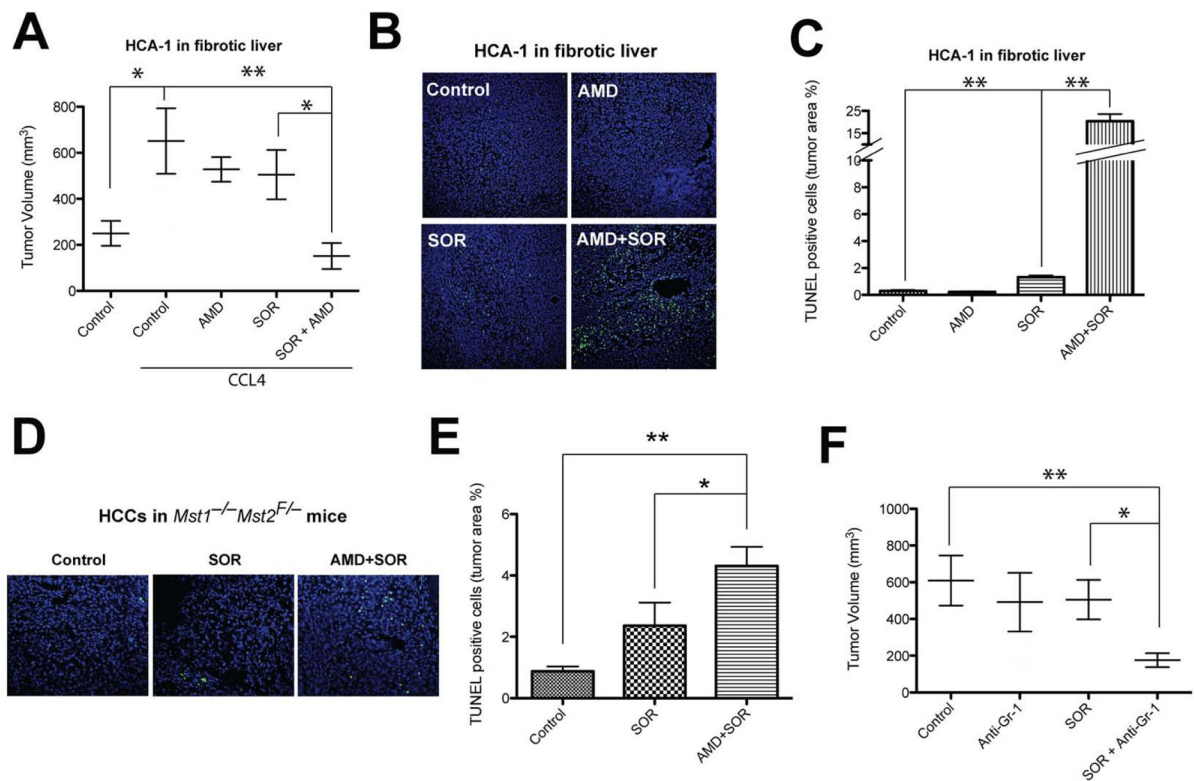
**A–B**, After SOR treatment, the number of 7AAD–CD11b+Gr1+ monocytes (measured by flow cytometry) significantly increased in HCA-1 tumors growing C3H mice with liver fibrosis (**A**) of as well as in spontaneous HCCs in *Mst1*<sup>-/-</sup>*Mst2*<sup>F/-</sup> transgenic mice (**B**). Treatment with the CXCR4 inhibitor AMD3100 prevented this effect (**A–B**). **C**, The number of 7AAD–CD11b+Gr1+ myeloid cells was significantly reduced in the fibrotic liver tissues from sorafenib-treated mice. Data are shown as percentages of the total number of cells evaluated in enzymatically digested tissue. Data are presented as mean ± SEM (N=5–14 mice per group). \*\*P<0.01.





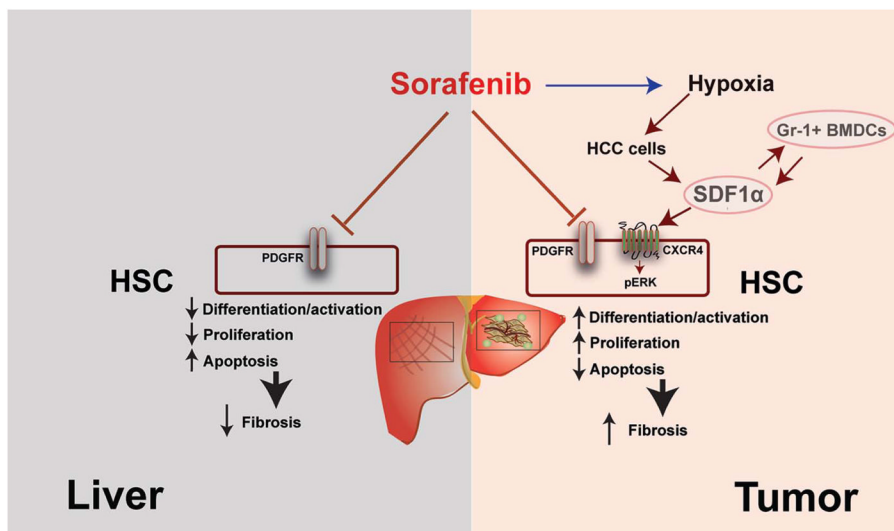
**Figure 6. Gr-1<sup>+</sup> myeloid cell infiltration increases fibrosis in HCC after sorafenib in an SDF1 $\alpha$ /CXCR4 pathway-dependent manner**

**A–C**, Depletion of Gr-1<sup>+</sup> myeloid cells using systemic therapy with anti-Gr-1 blocking antibodies prevents the shift towards a pro-fibrotic environment after sorafenib treatment. Sorafenib significantly increased the number of 7AAD-CD11b+Gr1<sup>+</sup> monocytes (**A**) (N=3–7 mice per group), the collagen I content (**B**) and the number of  $\alpha$ -SMA<sup>+</sup> myofibroblasts (**C**) in orthotopic HCA-1 tumors growing in mice with liver fibrosis, evaluated by immunohistochemistry. Combining anti-Gr-1 antibodies with sorafenib treatment prevented these effects. Quantification was performed in 5–12 confocal microscopy images per mouse. **D–F**, Tumor-infiltrating Gr-1<sup>+</sup> myeloid cells directly mediate HSC differentiation to myofibroblasts via SDF1 $\alpha$ /CXCR4 axis. Co-culture with tumor-tissue isolated Gr1<sup>+</sup> myeloid cells (obtained using magnetic beads) increased collagen I and  $\alpha$ -SMA expression levels as well as ERK activation in HSCs (**D**). Co-culture with Gr1<sup>+</sup> myeloid cells increased collagen I and  $\alpha$ -SMA expression in HSCs despite sorafenib treatment, in a dose-dependent manner (**E**). Recombinant PDGF-B and sorafenib alone were used as positive and negative control, respectively, for HSC differentiation (**E**). Inhibition of CXCR4 with AMD3100 prevented the increase in collagen I,  $\alpha$ -SMA, and p-ERK expression in HSCs co-cultured with Gr-1<sup>+</sup> myeloid cells (**F**). Data are presented as mean  $\pm$  SEM. \* P<0.05.



**Figure 7. Sorafenib induces a modest growth delay in HCC, and CXCR4 inhibition or blockade of Gr-1 synergize with sorafenib treatment by increasing cell apoptosis**

**A**, Sorafenib (SOR) treatment induces a minor but significant delay in orthotopic HCC models after 14 days of treatment. HCA-1 tumor growth was delayed by sorafenib in syngeneic C3H mice with underlying liver fibrosis (N=6–14 mice per group). Whereas treatment with the CXCR4 inhibitor AMD3100 (AMD) had no effect as monotherapy, AMD3100 with sorafenib induces a more significant tumor growth delay. **B**, Representative images of immunofluorescence TUNEL in HCA-1 tumors. Images are 636 $\mu$ m across. **C**, Cell apoptosis, measured by TUNEL, increased after sorafenib treatment in HCA-1 tumors (N=6–11). **D**, Representative images of immunofluorescence of TUNEL in spontaneous HCCs. Images are 636 $\mu$ m across. **E**, Cell apoptosis, measured by TUNEL, increased after sorafenib treatment in spontaneously arising HCCs in *Mst1*<sup>-/-</sup> *Mst2*<sup>F/-</sup> transgenic mice (N=6–11). Cell apoptosis was significantly increased when sorafenib treatment was combined with AMD3100 in both tumor models. **F**, Combining anti-Gr-1 antibodies with sorafenib treatment induced a significant tumor growth delay of orthotopic HCA-1 tumors growing in mice with liver fibrosis (N=7–13 mice per group). Data are presented as mean  $\pm$  SEM; \*P<0.05, \*\*P<0.01.



**Figure 8. Differential impact of sorafenib on liver versus tumor-associated fibrosis mediated by SDF1α/CXCR4 axis and Gr-1+ cells in HCC**

The differential effects of sorafenib are the result of increased intratumoral hypoxia, leading to elevated SDF1α expression and Gr-1+ myeloid cell infiltration. Blocking CXCR4 prevents Gr-1+ myeloid cell infiltration and hepatic stellate cells differentiation and activation, and synergizes with the anti-tumor effects of sorafenib.