

MicroRNA expression signatures of stage, grade, and progression in clear cell RCC

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Clear cell RCC is the most common, and more likely to metastasize, of the three main histological types of RCC. Pathologic stage is the most important prognostic indicator and nuclear grade can predict outcome within stages of localized RCC. Epithelial tumors are thought to accumulate a series of genetic and epigenetic changes as they progress through well-defined clinical and histopathological changes. MicroRNAs (miRNAs) are involved in the regulation of mRNA expression from many human genes and miRNA expression is dysregulated in cancer. To better understand the contribution of dysregulated miRNA expression to the progression and biology of ccRCC, we examined the differences in expression levels of 723 human miRNAs through a series of analyses by stage, grade, and disease progression status in a large series of 94 ccRCC. We found a consistent signature that included significant upregulation of miR-21-5p, 142-3p, let-7g-5p, let-7i-5p and 424-5p, as well as downregulation of miR-204-5p, to be associated with ccRCC of high stage, or high grade, or progression. Discrete signatures associated with each of stage, grade, or progression were also identified. The let-7 family was significantly downregulated in ccRCC compared with normal renal parenchyma. Expression of the 6 most significantly differentially expressed miRNAs between ccRCC was verified by stem-loop qRT-PCR. Pathways predicted as targets of the most significantly dysregulated miRNAs included signaling, epithelial cancers, metabolism, and epithelial to mesenchymal transition. Our studies help to further elucidate the biology underlying the progression of ccRCC and identify miRNAs for potential translational application.

Introduction

In the United States, approximately 58 000 new cases of, and 13 000 deaths from, RCC are estimated in 2013.¹ Among the subtypes of RCC, clear cell carcinoma (ccRCC) is the most abundant (~75%) and is over-represented (~90%) in series of metastatic RCC.² The vast majority of localized (stage I or II), and a proportion of locally advanced (stage III), ccRCC are curable by surgical resection whereas for metastatic ccRCC (stage IV) available treatment options are of limited effectiveness. Immunotherapy has proven to be effective in a very small subset of patients and tumors invariably develop resistance to the now standard anti-VEGF and anti-mTOR therapeutic agents.³

Prognostic assessment is important for risk stratification to inform management of localized or locally advanced RCC after surgical resection. Pathologic stage, based on the size and extent of invasion by the tumor, is the most accurate indicator of prognosis. For localized RCC, high grade tumors are considered at greater risk of progression. Molecular progression models suggest that most solid neoplasms accumulate a series of genetic and epigenetic alterations as they progress through well-defined clinical and histopathological changes.^{4,5} The precise molecular

events that underlie tumor progression from a lower to higher pathologic stage or grade and from local to metastatic disease are, for the most part, unclear. Tumor alterations reported to be correlated with stage, grade and/or prognosis in RCC include overexpression of CA-IX and survivin, deletion of chromosome 9p and 14q, gene mRNA expression levels,^{6,7} and point mutation of BAP1.⁸ A better understanding of the biology that underlies the progression of ccRCC could lead to more accurate prognosis and also to identification of new therapeutic targets to alter the natural history of metastatic disease.

MicroRNAs (miRNAs) are a class of small (~22 nt) non-coding RNAs that regulate post-transcriptional gene expression through the epigenetic mechanism of RNA interference. miRNAs base pair 2–8 nucleotides of their sequence to the 3'-UTR of complementary mRNA transcripts and facilitate degradation or inhibit translation of multiple target mRNAs. miRNAs are thought to be involved in the regulation of mRNA from most human genes⁹ and are implicated in most biological processes in normal cells. Dysregulation of expression of miRNAs can occur in tumor cells and affect differentiation, proliferation, and apoptosis.¹⁰ miRNAs overexpressed in cancer cells compared with normal cells have been termed oncogenic and miRNAs that

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Table 1. Clinicopathological data for the 94 ccRCC

Gender: Male n = 66, Female n = 28				
Age: median 59 y, range 28–89 y				
Grade and stage at diagnosis				
ccRCC	Stage I	Stage II	Stage III	Stage IV
Grade I	6	0	1	0
Grade II	30	2	2	3
Grade III	15	3	6	10
Grade IV	3	0	2	11

Gender, age at diagnosis, Fuhrman nuclear grade, and clinical stage of tumor are given.

show reduced expression in tumor cells as tumor suppressors. miRNAs demonstrated to have a functional role in cancer have been referred to as oncomirs.¹¹ Global miRNA expression studies have identified miRNAs consistently dysregulated across many types of cancer, and other dysregulated miRNAs more specifically associated with a particular cell type or organ site of cancer, as of potential clinical relevance.¹⁰

In this report, we have performed a global miRNA expression microarray study on a large series of ccRCC grouped by stage, grade, or disease progression in order to identify miRNAs putatively involved in the biology of RCC progression. Our study implicates a number of miRNAs, in particular miR-21-5p, 142-3p, let-7g-5p, let-7i-5p, 424-5p, and 204-5p, in the progression of ccRCC, as these miRNAs are significantly dysregulated irrespective of whether stage alone, grade alone, stage and grade together, or progression are used as criteria to group ccRCC for analysis. Pathway analysis suggests that the significantly dysregulated miRNAs target pathways in signaling, cancer, metabolism, and epithelial to mesenchymal transition (EMT) in clear cell renal carcinoma cells. The miRNA signatures may have utility for prediction of outcome and in the identification of therapeutic targets for ccRCC.

Results

Performance of assay

We analyzed the expression of 723 miRNAs in 95 primary clear cell RCC (ccRCC) and 5 normal renal parenchyma (NRP) specimens by the Agilent v2 human microRNA microarray. We examined the quality of microarray raw data for the 95 ccRCC and 5 NRP samples by performing a pairwise Pearson correlation analysis among all 100 samples. One ccRCC specimen was an obvious outlier in technical quality (Fig. S1) and was removed from further study. There was very little variation seen between the 5 NRP at the bottom right of the heatmap (Fig. S1).

miRNA expression profiles can distinguish early and advanced ccRCC

To more clearly differentiate miRNA expression signatures for biological progression, we first compared typical “early” ccRCC with typical “advanced” ccRCC. We selected 29 tumors of low grade and low stage (grade 1 or 2 and stage 1 or 2) that had no evidence of progression for a median 64.19 mo (mean

67.46, range 25 to 123) from the date of surgery. We also selected 29 tumors of high grade and high stage (grade 3 or 4 and stage 3 or 4) (Table 1) with a median follow-up of 14.49 mo (mean 26.77, range 0.3 to 95.24 mo) from the date of surgery. During follow-up, 28 of 29 HGHS ccRCC patients died. Two HGHS patients had no evidence of disease after surgery including the one patient that is alive and another patient that died of causes other than ccRCC. The HGHS group included 21 stage IV at diagnosis and some patients who received sunitinib. Thirty-six of the total 94 ccRCC in our study were excluded from this analysis: 27 because they were of low stage but high grade or vice versa, 5 with previous history of RCC at the time of diagnosis, and 4 of low grade and stage that progressed.

To identify differentially expressed miRNAs between the LGLS and HGHS groups we performed statistical analysis by Limma. The full miRNA expression data for this, and the following, comparisons can be found in the **Supplemental Materials**. Table 2 shows the miRNAs differentially expressed between the LGLS and HGHS ccRCC with a FDR less than 0.2. We noted that for several miRNAs more than one (of generally two) probes for each individual miRNA on the array was within the FDR cut-off for significant differential expression. There were 9 upregulated probes from 7 miRNAs (miR-21-5p, 142-3p, 193b-3p, let-7i-5p, let-7g-5p, 223-3p, and 15b-5p) and 10 downregulated probes from 8 miRNAs (miR-204-5p, 145-5p, 30a-3p, 502-3p, 99b-5p, 320a, 30c-2-3p, 151a-3p). Unsupervised two-dimensional hierarchical clustering using a data matrix of the 19 probes from 15 miRNAs with significant differential expression showed a separation into two main groups of ccRCC (Fig. 1A). A majority of LGLS ccRCC occupied the cluster on the left side (24 LGLS of 31 total samples), while the cluster on the right contained mainly HGHS ccRCC (22 HGHS of 27 total). The difference between the proportions of LGLS and HGHS in the two highest-level clusters was significant (the Fisher exact test $P < 0.0001$, two-sided).

To verify the microarray expression data, we performed stem-loop reverse transcription¹² followed by real-time qRT-PCR for the three most upregulated (miR-21-5p, miR-142-3p, and miR-193b-3p) and the three most downregulated (miR-204-5p, miR-145-5p, and miR-30a-3p) miRNAs in HGHS ccRCC identified from the statistical analysis. Real-time qRT-PCR results from the 58 ccRCC (Fig. 1B) showed the same directional trend in miRNA expression and were similar to the microarray data.

miRNA expression separates a majority of high stage from low stage ccRCC

We next examined ccRCC by stage irrespective of nuclear grade but after exclusion of 13 low stage (I–II) ccRCC patients whom later progressed to metastatic (stage IV) disease. We considered the 13 tumors to be biologically more advanced than indicated by the clinical staging of the disease at the time of diagnosis and surgical resection and, therefore, to be potential confounders. We thus had a total of 81 samples for this curated analysis including the 58 samples used for the previous analysis. Among the 81 samples, 46 samples were of low stage (I–II) and 35 samples were of high stage (III–IV). Limma analysis found 11 probes from 8 miRNAs to be significantly upregulated (miR-21-5p,

Table 2. miRNAs with significant differential expression across analyses of stage, grade, and disease progression

Probe ID	miRNA	Chr. location	Early vs. advanced	Low stage vs. high stage (annotated)	Low stage vs. high stage	Low grade vs. high grade	Grade I vs. grade IV	Low grade, low stage vs. high grade, low stage	No progression vs.	Less aggressive vs. more aggressive	Non-metastatic vs. metastatic
A_25_P00010975	hsa-miR-21-5p	17q23.2	3.488	3.197	2.524	2.287	8.775		3.163	3.723	3.202
A_25_P00010976	hsa-miR-21-5p		4.082	3.955	2.972	2.147	7.15		3.588	4.872	4.029
A_25_P00011016	hsa-miR-142-3p	17q22	2.597	2.49		1.748	4.648		3.475	3.099	2.9
A_25_P00011102	hsa-miR-15b-5p	3q25.33	1.632			1.527	2.927		1.864	1.765	1.621
A_25_P00012130	hsa-miR-223-3p	Xq12	1.653	1.589	1.521					1.599	
A_25_P00012141	hsa-let-7g-5p	3p21.1	1.702	1.577		1.514			2.02	1.789	1.697
A_25_P00012145	hsa-let-7i-5p	12q14.1	1.81	1.571		1.565	2.653		1.64	1.602	1.544
A_25_P00012512	hsa-miR-193b-3p	16p13.12	1.869			1.848		1.738		1.67	
A_25_P00013937	hsa-miR-142-3p		2.384	2.203		1.692	4.412		3.168	2.732	2.593
A_25_P00010132	hsa-miR-148a-3p	7p15.2		1.584					1.582	1.858	1.694
A_25_P00011109	hsa-miR-424-5p	Xq26.3		1.754			3.316		1.708	1.743	1.671
A_25_P00011110	hsa-miR-424-5p			1.607					1.56	1.622	1.544
A_25_P00014822	hsa-miR-31-5p	9p21.3		1.506						1.559	
A_25_P00010386	hsa-miR-146b-5p	10q24.32				1.522	2.842		1.852	1.605	1.572
A_25_P00011101	hsa-miR-15b-5p					1.618	3.199				
A_25_P00010078	hsa-miR-146a-5p	5q34					2.613		1.56	1.619	
A_25_P00010630	hsa-miR-28-5p	3q28					1.952				
A_25_P00010797	hsa-miR-27a-3p	19p13.13					1.775				
A_25_P00010839	hsa-miR-301a-3p	17q22					1.565				
A_25_P00010843	hsa-miR-23a-3p	19p13.13					1.867				
A_25_P00011069	hsa-miR-107	10q23.31					1.959				
A_25_P00014820	hsa-miR-23a-3p						2.301			1.511	
A_25_P00014821	hsa-miR-27a-3p						2.165				
A_25_P00014852	hsa-miR-365a-3p	16p13.12					1.711			1.568	
A_25_P00010088	hsa-let-7f-5p	9q22.32, Xp11.22							1.641		
A_25_P00010089	hsa-let-7f-5p								1.967	1.628	1.574
A_25_P00010387	hsa-miR-146b-5p								1.502		
A_25_P00010599	hsa-miR-16-5p	13q14.2, 3q25.33							1.755		
A_25_P00010690	hsa-miR-221-3p	Xp11.3							1.521		
A_25_P00012034	hsa-miR-96-5p	7q32.2							1.639		
A_25_P00012035	hsa-miR-96-5p								1.778		1.507
A_25_P00012181	hsa-miR-142-5p								1.517		
A_25_P00012270	hsa-miR-155-5p	21q21.3							1.675	1.516	
A_25_P00012271	hsa-miR-155-5p								2.062	1.654	1.623
A_25_P00012446	hsa-miR-451a	17q11.2							2.295	1.731	
A_25_P00012447	hsa-miR-451a								2.27	1.843	
A_25_P00014844	hsa-miR-142-5p								1.53		
A_25_P00010131	hsa-miR-148a-3p									1.735	1.598
A_25_P00012131	hsa-miR-223-3p									1.518	

Only miRNAs that are more than 1.5-fold upregulated or more than 0.67-fold downregulated are listed in the table. All miRNAs listed have a FDR < 0.2 and a *P* value < 0.05.

142-3p, 424-5p, 223-3p, 148a-3p, let-7g-5p, let-7i-5p, and 31-5p) and 2 miRNAs significantly downregulated (miR-204-5p and 99a-5p) in high stage (HS) ccRCC. The most upregulated miRNAs, miR-21-5p and miR-142-3p, and the most downregulated miRNA, miR-204-5p, in HS ccRCC (Table 2) were the same as in the prior LGLS vs. HGHS ccRCC analysis. Three miRNAs were significantly upregulated (miR-424-5p, 148a-3p, and miR-31-5p) and a single probe for miR-99a-5p significantly downregulated in HS tumors but not in HGHS tumors from the prior analysis. Two-dimensional unsupervised hierarchical clustering with a data matrix of the 13 probes showed most of the LS

samples (36 LS of 45 total samples) in the cluster at the right side of the heatmap, and many of the HS samples (26 HS of 36 total samples) in the cluster on the left side of the heatmap (Fig. 2). The difference in proportions was significant (the Fisher exact test *P* < 0.0001, two-sided).

We then examined all 94 ccRCC divided into 59 low stage or 35 high stage (Table 1) irrespective of nuclear grade or disease progression status. There were noticeably fewer significantly dysregulated miRNAs: two probes for miR-21-5p and a probe for miR-223-3p were significantly upregulated and none downregulated in HS ccRCC (Table 2). This finding strengthened

Table 2. miRNAs with significant differential expression across analyses of stage, grade, and disease progression (continued)

Probe ID	miRNA	Chr. location	Early vs. advanced	Low stage vs. high stage (annotated)	Low stage vs. high stage	Low grade vs. high grade	Grade I vs. grade IV	Low grade, low stage vs. high grade, low stage	No progression vs.	Less aggressive vs. more aggressive	Non-metastatic vs. metastatic
A_25_P00010399	hsa-miR-204-5p	9q21.12	0.484	0.553		0.59			0.471	0.599	0.573
A_25_P00010578	hsa-miR-151a-3p	8q24.3	0.662				0.509		0.611		
A_25_P00010597	hsa-miR-99b-5p	19q13.41	0.616			0.617	0.412	0.604	0.562		
A_25_P00010827	hsa-miR-30a-3p	6q13	0.646								
A_25_P00012192	hsa-miR-145-5p	5q32	0.534			0.544	0.322	0.511	0.571	0.616	0.614
A_25_P00012262	hsa-miR-320a	8p21.3	0.642				0.447		0.528	0.587	0.603
A_25_P00013287	hsa-miR-30c-2-3p	6q13	0.65				0.611				
A_25_P00014849	hsa-miR-99b-5p		0.658				0.485	0.653	0.596		
A_25_P00014884	hsa-miR-502-3p	Xp11.23	0.603				0.503			0.651	
A_25_P00014976	hsa-miR-30a-3p		0.6				0.536		0.648		
A_25_P00010471	hsa-miR-99a-5p	21q21.1		0.62					0.646	0.628	0.662
A_25_P00010680	hsa-miR-30a-5p					0.645		0.656			
A_25_P00010681	hsa-miR-30a-5p					0.58					
A_25_P00012193	hsa-miR-145-5p					0.596		0.53			
A_25_P00014832	hsa-miR-181a-5p	1q32.1				0.665	0.504	0.616			
A_25_P00010502	hsa-miR-500a-3p	Xp11.23					0.593				
A_25_P00010539	hsa-miR-324-3p	17p13.1					0.468		0.66	0.597	0.659
A_25_P00010577	hsa-miR-151a-3p	8q24.3					0.637				
A_25_P00010644	hsa-miR-486-5p	8p11.21					0.285				
A_25_P00010645	hsa-miR-486-5p						0.289				
A_25_P00010999	hsa-miR-19b-3p	13q31.3, Xq26.3					0.602				
A_25_P00012261	hsa-miR-320a	19q13.32					0.482		0.66		
A_25_P00012418	hsa-miR-423-5p	17q11.2					0.556				
A_25_P00012419	hsa-miR-423-5p						0.449				
A_25_P00012641	hsa-miR-501-3p	Xp11.23					0.664				
A_25_P00010285	hsa-miR-181a-5p							0.57			
A_25_P00010070	hsa-let-7b-5p	22q13.31							0.659		
A_25_P00010402	hsa-miR-638	19p13.2							0.587	0.588	0.616
A_25_P00010403	hsa-miR-638								0.58	0.642	0.649
A_25_P00010894	hsa-miR-361-5p	Xq21.2							0.652		
A_25_P00010995	hsa-miR-210	11p15.5							0.562	0.594	0.649
A_25_P00010996	hsa-miR-210								0.589	0.616	
A_25_P00012071	hsa-miR-139-5p	11q13.4							0.614		0.654
A_25_P00012254	hsa-miR-193a-5p	17q11.2							0.64		
A_25_P00012995	hsa-miR-885-3p	3p25.3							0.658		
A_25_P00013319	hsa-miR-181c-3p	19p13.13							0.661		
A_25_P00010540	hsa-miR-324-3p									0.654	

Only miRNAs that are more than 1.5-fold upregulated or more than 0.67-fold downregulated are listed in the table. All miRNAs listed have a FDR < 0.2 and a *P* value < 0.05.

our idea that the clinical stage at the time of surgical resection underestimated the metastatic potential of the subset of low stage ccRCC that subsequently progressed. We include this analysis simply for comparison to the curated stage analysis above. Clustering showed 41 LS of 51 total samples in the left cluster and 25 HS of 43 samples in the right cluster (the Fisher exact test *P* = 0.0002, two-sided) (Fig. S2).

miRNA expression can also differentiate high grade from low grade ccRCC

In a similar manner, we examined miRNA expression by tumor grade. For this analysis, we grouped all 44 low grade (I–II) ccRCC samples (Table 1) together in one group and all 50 high grade (III–IV) samples in the other group, irrespective of clinical stage, for a total of 94 ccRCC. Significant differentially expressed

miRNAs between LG and HG ccRCC are listed in Table 2. Ten probes from 7 miRNAs were significantly upregulated (miR-21-5p, 142-3p, 193-3p, 15b-5p, let-7i-5p, 146b-5p, and let-7g-5p) in the HG ccRCC. Only miR-146b-5p was significantly upregulated in HG but not in HGHS or HS ccRCC. Two miRNAs, 15b-5p and 193b-3p, significantly upregulated in HG and HGHS were not significantly upregulated in HS ccRCC. Seven probes from 5 miRNAs were significantly downregulated (miR-145-5p, 204-5p, 30a-5p, 99b-5p, and 181a-5p) in HG ccRCC. Two of the 5 miRNAs (miR-30a-5p and 181a-5p) were not significantly downregulated in the previous analyses. Only miR-204-5p was significantly downregulated in HGHS and HS. Unsupervised two-dimensional hierarchical clustering of a data matrix of the 17 probes grouped a majority of HG samples (35 HG of 48 total) in

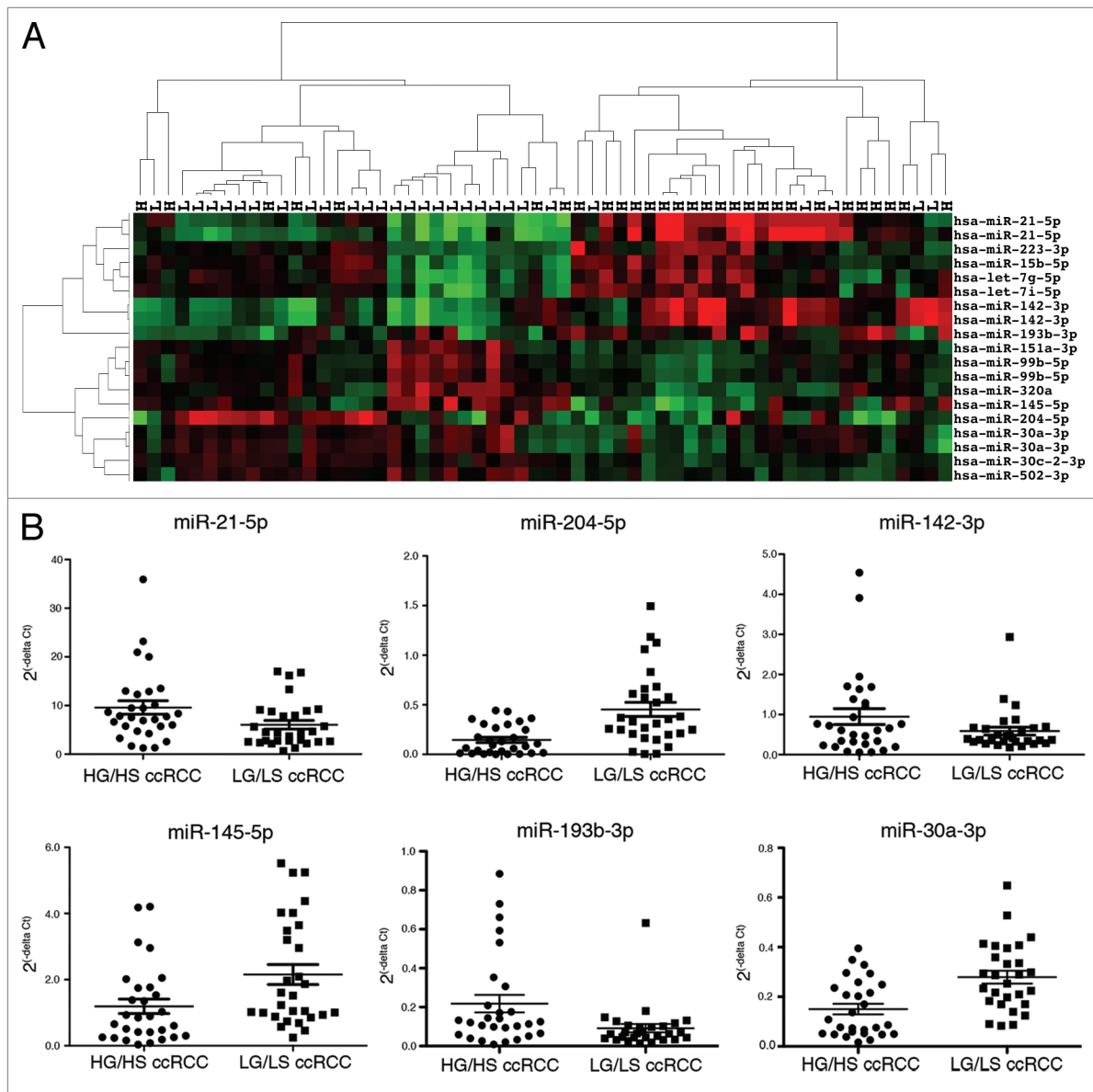


Figure 1. miRNA expression can distinguish early and advanced ccRCC. **(A)** Unsupervised two-dimensional hierarchical clustering of 58 ccRCC samples using a data matrix of the 19 probes with an FDR < 0.2 and $P < 0.05$ and >1.5-fold change of the level of expression between 29 LGLS and 29 HGHS ccRCC. Tumor samples are displayed on the horizontal axis and individual miRNAs on the vertical axis. Tumor samples are designated L for LGLS and H for HGHS. The dendrogram on the horizontal axis indicates the two highest level clusters of tumors. **(B)** Real-time RT-PCR was performed on all the 58 ccRCC samples run on the array to amplify the six most differentially expressed miRNAs indicated by microarray analysis. Relative quantification was achieved by plotting $2^{-(\Delta Ct)}$ values of all the early and advanced groups of samples.

the right side cluster while most of the LG samples (31 LG of 46 total) were in the cluster on the left side (Fig. 3A). The proportion of LG to HG in the two clusters was significant (the Fisher exact test $P = 0.0002$, two-sided).

We next examined differentially expressed miRNAs in the 7 grade I vs. 16 grade IV tumors among the 94 ccRCC as we reasoned that a comparison of the lowest and highest categories of grade would show the clearest differences in expression. Eighteen probes from 15 miRNAs were significantly upregulated and 19 probes from 14 miRNAs were significantly downregulated in grade IV ccRCC (Table 2). Cluster analysis of the 37

probes (Fig. 3B) showed a left side cluster of 13 grade IV ccRCC and a right side cluster of mainly grade I tumors (7 grade I and 3 grade IV). The difference in proportions was significant (the Fisher exact test $P = 0.0005$, two-sided).

Lastly, since in the setting of a clinical trial high grade, low stage ccRCC are considered at greater risk of progression than low grade, low stage ccRCC, we also examined 38 LGLS vs. 21 HGLS ccRCC. miR-193b-3p was significantly upregulated and miR-145, 181a-5p, 99b-5p, and 30a significantly downregulated in HGLS ccRCC (Table 2). Cluster analysis of the 8 probes (Fig. 3C) shows the left cluster with a small majority of HGLS

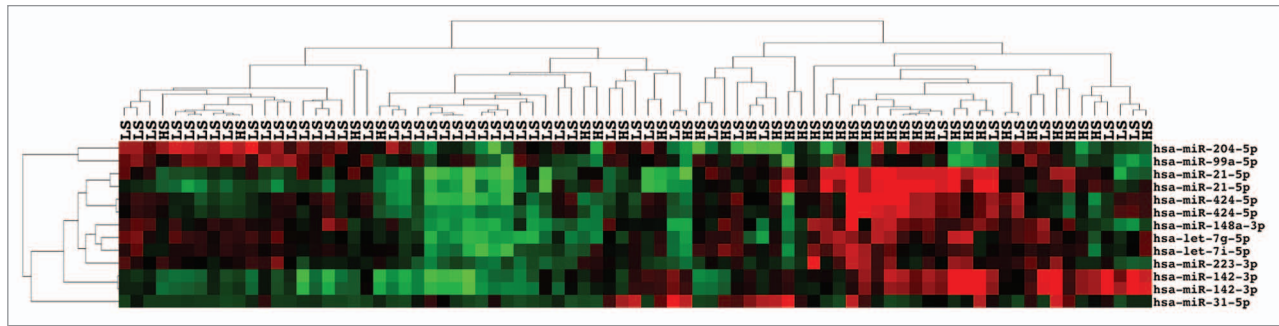


Figure 2. miRNA expression separates a majority of high stage from low stage ccRCC. Unsupervised two-dimensional hierarchical clustering with a data matrix of 13 probes in 81 annotated ccRCC (46 LS and 35 HS).

tumors (15 LH of 24 total) and the right cluster containing mostly LGLS tumors (29 LL of 35 total). The difference in proportions was significant (the Fisher exact test $P = 0.0007$, two-sided).

miRNA expression signatures of biological aggressiveness and progression to metastatic disease

In our set of 94 ccRCC, there were 70 stage I–III ccRCC with a median follow up of 57.39 mo (mean 54.09, range 1.5–123.1 mo). During this period, 19 patients progressed to metastatic disease at a median of 12.02 mo (mean 18.56, range 1.51–56.47 mo). The remaining 51 patients had no evidence of disease at a median follow-up of 72.17 mo (mean 67.33, range 11.4–123.13 mo). We examined miRNA expression in the group of 51 stage I–III with no progression (NP) vs. the 19 stage I–III that progressed (P). Twenty-five probes from 17 miRNAs were significantly upregulated in the P group. The most upregulated miRNAs were miR-21-5p and miR-142-3p. Significantly upregulated miRNAs not identified in previous analyses included miR-451a, 155-5p, let-7f-5p, 96-5p, 16-5p, 142-5p, 221-3p, and 146b-5p (Table 2). Of the 21 probes from 16 miRNAs significantly downregulated in the P group: miR-204-5p, 320a, 145-5p, 99b-5p, and 151a-3p were the most downregulated. miRNAs not identified as significantly downregulated in previous analyses were miR-638, 210, 139-5p, 361-5p, 193a-5p, 885-3p, let-7b-5p, and 181c-3p (Table 2). Two-dimensional hierarchical unsupervised clustering of a data matrix of the 46 probes (Fig. 4A) grouped 45 total tumors in the left cluster, 6 of which were P tumors and 25 total in the cluster on the right, 13 of which were in the P group. This difference in proportions was significant (the Fisher exact test $P = 0.0008$, two-sided).

To further identify miRNAs associated with biological aggressiveness, we divided the 19 cases of progression into 10 cases of progression within 12 mo or 9 cases of progression after 12 mo from the date of surgical resection. We excluded the latter group from analysis. We therefore examined 51 stage I–III ccRCC with no evidence of progression (less aggressive, LA) vs. 34 more aggressive (MA) ccRCC comprised of the 24 stage IV at diagnosis tumors and also the 10 stage I–III at diagnosis tumors that progressed within 1 y as these tumors were likely understaged. All of the 16 significantly upregulated and 8 downregulated miRNAs identified by this analysis had appeared in prior analyses (Table 2). Clustering of the 35 probes (Fig. S3) grouped 23 MA of 53 total in the left cluster and 11 MA of 32 total in the right

cluster but the differences in proportions was not significant (the Fisher exact test $P = 0.4956$, two-sided).

Lastly, we compared non-metastatic (NM) disease (51 stage I–III without progression) vs. 43 ccRCC with either de novo metastasis (24 patients) or metastasis during follow-up (19 patients) of a median 12.02 mo (mean 18.56, range 1.51–56.47 mo). Eleven miRNAs were significantly upregulated and 8 significantly downregulated in the metastatic (M) group (Table 2). All the significantly dysregulated miRNAs had appeared in previous analyses. Clustering of 24 probes (Fig. 4B) grouped most NM ccRCC in the left cluster (39 NM of 54 total) and most M ccRCC in the right cluster (28 M of 40 total). The difference in proportions was significant (the Fisher exact test $P < 0.0001$, two-sided).

Differences in miRNA expression between ccRCC and normal renal parenchyma

Finally, we examined miRNA expression in the 94 ccRCC compared with the 5 NRP. Seventy probes from 44 miRNAs were significantly upregulated in the ccRCC. The most upregulated miRNAs included miR-210, 21-3p, 494, 21-5p, 1225-5p, 194-5p, and 142-3p. One-hundred-and-six probes from 64 miRNAs were significantly downregulated in ccRCC. The most downregulated were miR-141-3p, 200c-3p, 10a-5p, 199a-3p, 10b-5p, and 200b-3p. All members of the let-7 family were significantly downregulated in ccRCC except for let-7b that was downregulated at a level that only approached statistical significance. Unsupervised clustering of the 99 renal specimens (Fig. S4) indicated two highest level groups each with several subgroups. The 5 NRP formed a tight cluster at the side of one of the highest level groups.

Discussion

Pathogenesis of ccRCC

Among the main histological subtypes of RCC, clear cell is the most common and the most likely to metastasize.² Pathologic stage based on the size of the tumor and the extent of invasion is the most important prognostic indicator, followed by grade. However, after surgical removal of the primary RCC, a subset of 10–28% of individuals with organ-confined (pT1 and T2) RCC progress usually within 3–5 y.¹³ Similarly, patients with more advanced disease (pT3) have disparate characteristics such as invasion of the perirenal or sinus fat or vascular invasion that affect therapeutic outcomes. Additionally, there is a growing

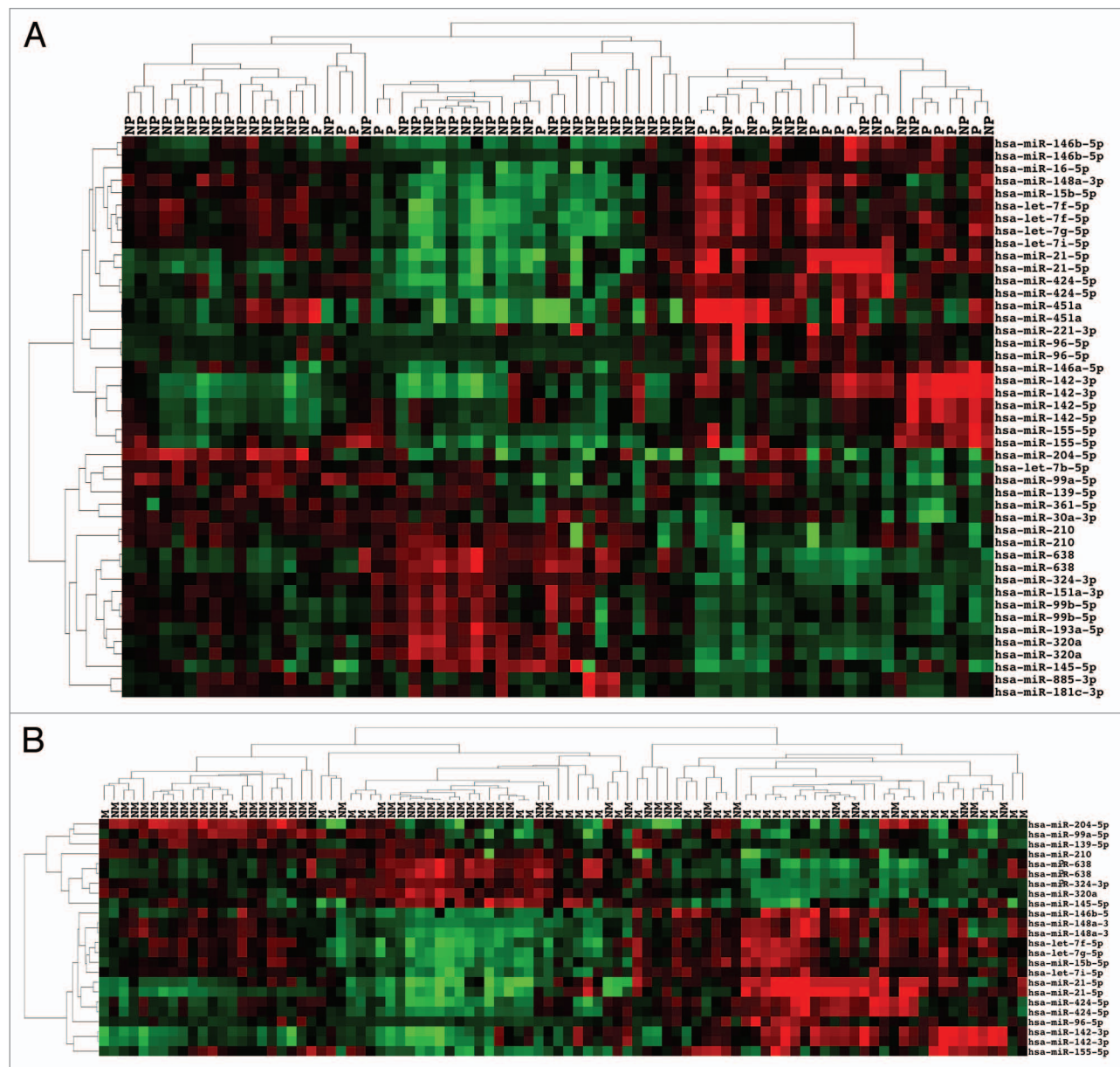


Figure 4. miRNA expression can distinguish *de novo* metastatic ccRCC as well as *de novo* non-metastatic ccRCC that subsequently progressed. (A) Unsupervised two-dimensional hierarchical clustering with a data matrix of 46 probes in 70 ccRCC (51 NP and 19 P). (B) Unsupervised two-dimensional hierarchical clustering with a data matrix of 24 probes in 94 ccRCC (51 NM and 43 M).

movement to manage renal masses more conservatively, including non-operative management. In the population under active surveillance for a small renal mass at present there are no recognized non-pathologic markers that might predict aggressive or indolent tumor biology. Better predictors of tumor behavior are therefore needed to more appropriately guide management of the individual patient. Furthermore, the advent of newer targeted therapies has not benefited all patients with metastatic ccRCC and those tumors that do respond invariably develop resistance. Hence, a better understanding of the biological pathways disrupted in ccRCC and of the genes that regulate these pathways is necessary to develop therapeutics targeted to the disease. Through the epigenetic regulation of gene mRNA expression microRNAs have

an important role in the control of pathways in many crucial cellular processes. We therefore studied the expression of miRNAs to gain insight into the biology and, in particular, progression of ccRCC.

miRNA expression by stage, grade, and progression in ccRCC

We performed a series of analyses of miRNA expression by stage, grade, and/or disease progression on 94 representative ccRCC. A series of analyses could be helpful because each parameter of prognosis, i.e., stage, grade, or disease progression, is imperfect. For example, stage can be confounded by sub-clinical metastasis, nuclear grading is susceptible to measurable inter-observer variability, and propensity to progression might be

masked by time of surgery. Overall, 39 probes from 25 miRNAs were significantly upregulated and 37 probes from 26 miRNAs downregulated in one or more of the nine patient groups of less favorable prognosis or outcome among the 94 ccRCC (Table 2). The direction of the miRNA expression change was consistent across all nine analyses in our study. As might be expected, there was considerable overlap between the miRNAs identified in different analyses since higher stage and higher grade are associated with each other and with progression to metastatic disease. Several particular microRNAs (miR-21-5p, 142-3p, let-7g-5p, let-7i-5p, and 424-5p) were consistently significantly upregulated, while miR-204-5p was consistently significantly downregulated, in ccRCC of high stage, or high grade, or in non-metastatic ccRCC at diagnosis that progressed as well as other of the analyses. Another set of microRNAs (miR-223-3p, 148a-3p, and 31-5p) were upregulated and miR-99a-5p downregulated only in analyses where stage or progression was a parameter but no association with grade was apparent. Conversely, dysregulation of a different group of microRNAs was associated with high grade and sometimes progression but not in analysis by stage alone. This group included upregulation of miR-15b-5p, 193b-3p, 146-5p, 23a-3p, and 27a-3p as well as downregulation of miR-30a-3p, 145-5p, 151a-3p, 99b-5p, and 320a among others. A further set of microRNAs was significantly upregulated (miR-155-5p, 451a, let-7f-5p, 96-5p, 16-5p, 142-5p, and 221-3p) or downregulated (miR-638, 210, and others) in stage I–III ccRCC with subsequent metastasis and/or de novo metastatic ccRCC but not by analyses where the parameter of interest was high stage or high grade.

As can be seen from the chromosomal location, given in Table 2, of the most upregulated and downregulated miRNAs, there does not appear to be a strong association with the chromosomal regions 5q, 7q, 12p, 20q, 8q, and 3q that commonly show gain or 3p, 14q, 8p, 9p, 6q, and 10q that are often lost in ccRCC.^{14,15}

Biological roles of miRNAs with dysregulated expression in ccRCC

miR-21 is reported to be upregulated in RCC compared with normal renal cells and an association between higher expression with higher stage and grade of RCC has been described.¹⁶ miR-21 is well studied as upregulated, thought to function as an oncomir in proliferation, invasion, and apoptosis, and is often associated with advanced disease in many other cancer types including lung, breast, and prostate.¹⁷ miR-21 has been shown to target tumor suppressor genes including the PTEN pathway, the RAS pathway through PDCD4,¹⁸ and the p53 network.¹⁹

miR-142-3p is not as well studied; however, using a mouse model of cancer, Olson et al. found miR-142-3p to be specifically upregulated during angiogenic islets formation, an intermediate stage in cancer metastasis. This suggests a specific role for miR-142-3p in angiogenesis.²⁰ miR-142-3p is reported upregulated in RCC compared with normal renal cells^{21,22} but has not been previously implicated in the progression of ccRCC. miR-142-3p is also upregulated in T-cell acute lymphoblastic leukemia.²³ TargetScanHuman release 6.2 (<http://www.targetscan.org/>) predicts APC as a conserved target of miR-142-3p and the

Wnt signaling pathway is known to be a major target of aberrant hypermethylation in ccRCC and is likely involved in the pathogenesis of ccRCC.³ Another upregulated miRNA in our study, miR 424-5p, has also been reported to promote angiogenesis.²⁴

An interesting finding in our study was upregulation of let-7g-5p and let-7i-5p in ccRCC of high grade or stage, or stage I–III ccRCC that progressed. The let-7 miRNA family is generally considered to be downregulated, and by implication to have a tumor suppressor role, in cancer cells compared with normal cells.^{17,25,26} However there are several reports of upregulation in certain cancer types²⁵ and let-7f is known to promote angiogenesis²⁷ which provides a basis for upregulation in tumor progression. We also found downregulation of all the let-7 family miRNAs in ccRCC compared with NRP but consistent upregulation of let-7g-5p and let-7i-5p in ccRCC of high stage, or of high grade, or with progression, compared with less advanced ccRCC. The let-7 family of miRNAs are very similar in sequence but both the Agilent let-7g-5p and let-7i-5p probes were noted to show extremely high specificity through low cross hybridization in a report on the microarray technology²⁸ we used here. There are examples of miRNAs that show oncogenic effects in one tissue but act as a tumor suppressor in another tissue type e.g., miR-29.²⁶ There are also miRNAs with “opposing activities” in the same normal cell e.g., miR-430, and miRNAs that potentially have rival actions in the same tumor cell, e.g., miR-200, which is known to be involved in inhibition of EMT but also to promote the ability of metastatic breast cancer cells to colonize.²⁶ It will be important to further examine a role for the let-7 miRNAs in the development and progression of ccRCC.

miR-204 is identified as one of the most downregulated miRNAs in ccRCC by several studies.^{21,22,29} Functional studies in ccRCC cells demonstrated that miR-204 is a VHL-regulated tumor suppressor that acts by inhibiting macroautophagy, with MAP1LC3B as a direct functional target. Of note, higher tumor grade of human ccRCC was correlated with a concomitant decrease in miR-204 expression and an increase in MAP1LC3B levels, indicating that MAP1LC3B-mediated macroautophagy is necessary for RCC progression.³⁰ A study of glioma reported that miR-204 was downregulated and provided evidence that miR-204 targeted the SOX4 transcription factor leading to suppression of the self-renewal capacity of stem cells, and also targeted EphB2 resulting in inhibition of cell migration.³¹

miR-145-5p is downregulated in general in cancer, is considered a tumor suppressor, and inhibits angiogenesis, growth, and invasion by targeting VEGF.³² miR-145-5p was implicated in prognosis of RCC by Slaby et al.³³ miR-30a-3p appears to be downregulated in cancer and to be a tumor suppressor by inhibition of EMT through regulation of Snail1.³⁴ Wang et al. suggest caution in any assessment of miR-30a-3p expression by the probes on the Agilent array.²⁸ Since we verified the downregulation of miR-30a-3p by stem-loop RT-PCR in the same ccRCC, we included the data. The Mir-193b-365 cluster is essential for brown fat differentiation³⁵ which may indicate a role for miR-193b in lipid metabolism. miR-99b-5p was reported to be downregulated in prostate cancer and overexpression by transfection to inhibit growth of prostate tumor cells.³⁶

Analysis by DIANA mirpath³⁷ (<http://diana.cslab.ece.ntua.gr/?sec=homeof>) identified KEGG pathways significantly ($P < 0.05$) overrepresented as targets of the 51 dysregulated miRNAs. These pathways included the Wnt, TGF- β , MAPK, Hedgehog, and mTOR signaling pathways, various pathways of metabolism, epithelial to mesenchymal transition (EMT), apoptosis, pathways in cancer, renal cell carcinoma, and other cancers (Sup. Materials).

miRNA expression in ccRCC and normal renal cells

We also examined differences in miRNA expression levels between the 94 ccRCC and 5 NRP since there are only two reports of analysis of ccRCC by the Agilent v2 array^{38,39}. As noted by other investigators in studies of different types of cancer,²⁶ we also found more miRNAs to be downregulated than upregulated in ccRCC. Furthermore, the level of downregulation was greater than of upregulation. Our analysis implicated several miRNAs as dysregulated in ccRCC for the first time including upregulation of miR-494 reported to target PTEN,⁴⁰ 142-3p implicated in angiogenesis²⁰ as discussed above, 22-3p involved in the regulation of differentiation of mesenchymal stem cells⁴¹ and also known to be a repressor of PTEN⁴² as well as other miRNAs such as miR-1225-5p and 342-3p of which little or nothing is known of targets and functional role. We also identified downregulation of the let-7 family involved in the inhibition of cell growth and proliferation,¹⁷ miR-200a-3p and 429 which are both members of the miR-200 family that target the ZEB1 and ZEB2 transcriptional repressors of E-cadherin and so are implicated in EMT and tumor invasion,¹⁷ 199a-5p involved in the regulation of the IKK β /NF κ B and PTEN/AKT pathways⁴³ and other miRNAs such as miR-30c-5p of unknown function. Analysis by DIANA mirpath on a set of the 20 most upregulated and 30 most downregulated miRNAs identified the PI3K-Akt, MAPK, Wnt, TGF- β , p53, mTOR, Hedgehog, and VEGF signaling pathways, various pathways of metabolism, EMT, apoptosis, pathways in cancer, renal cell carcinoma, and other cancers as over-represented ($P < 0.05$) (Sup. Materials).

Studies of miRNA expression and prognosis in RCC

There have been only two prior studies of ccRCC using the Agilent v2 miRNA expression array of 723 human miRNAs. Weng et al. studied 3 pairs of benign kidney and ccRCC³⁸ and Wu et al. examined 13 localized and 15 metastatic ccRCC as well as 10 benign kidney specimens.³⁹ Another two studies used the Agilent v1 array with 470 miRNAs. Jung et al. examined 12 ccRCC of mainly higher stage and matched normal²⁹ while Nakada et al.⁴⁴ looked at 16 mostly low stage ccRCC and 6 normal specimens. Our ccRCC vs. NRP data are in good agreement with all four studies demonstrating a degree of consistency with the same platform technology. The miRNAs identified here by microarray as differentially expressed between NRP and ccRCC also agree well with a recent study by RNA sequencing on 11 ccRCC and 11 patient matched adjacent normal specimens.²²

The same RNA sequencing study examined miRNA expression in 22 ccRCC divided into prognostic subgroups.²² Among the miRNAs associated with worse prognosis were upregulation of miR-193b-3p, 221-3p, and 146a-5p as well as downregulation of miR-204-5p and 139-5p: all of which were also significantly

dysregulated in the same direction in our study. In another study that used Taqman low density arrays of 754 miRNAs to examine prognosis, Slaby et al. found downregulation of miR-145-5p³³ as we did also. From a comparison of 13 localized and 15 metastatic ccRCC, Wu et al. validated a panel of 4 miRNAs³⁹ one of which, miR-139-5p, was also identified in Osanto et al.²² and in our study here. White et al. used microparaffin microfluidic technology to assess 875 miRNAs in an initial 18 primary and 10 unmatched metastatic fresh-frozen ccRCC.⁴⁵ The miRNAs identified in this study as downregulated in metastatic ccRCC correspond well to our findings but the upregulated miRNAs do not. Recently, TCGA reported that unsupervised clustering of RNA sequencing data identified upregulation of miR-21 and downregulation of miR-204 as distinguishing a group of ccRCC with poor overall survival from other ccRCC.¹⁴ These two miRNAs showed the most dysregulated expression in advanced ccRCC in our study also. Disparities between the set of miRNAs identified in our data with other studies are likely due to differences in: the histopathology of tumor specimens examined, technology platform used, changes in nomenclature, filtering of probes, and statistical analysis.

Caveats to our study

Since our study began, more human miRNAs than the 723 on the Agilent v2 microarray have been discovered (<http://www.mirbase.org/>) and will need to be examined for a more comprehensive survey of miRNA expression in ccRCC. We observed relatively modest fold changes in miRNA expression between groups of ccRCC of different stage, grade or biological behavior. It seems likely that fold changes between one clear cell tumor to another might be less pronounced than between normal and tumor or between different histological cell subtypes of RCC. A related point concerns the tumor cell content of a primary ccRCC specimen. It should be noted that while RCC is encapsulated so that contamination by surrounding normal renal parenchyma is not an issue, all ccRCC contain lymphocytes, endothelial cells, and connective tissue cells that will dilute the tumor cell miRNA expression profile. In our experience, a cut-off of $\geq 70\%$ tumor cell content allows the inclusion of any ccRCC thereby avoiding bias in tumor selection. The use of laser capture microdissection could increase the tumor cell content but invariably will facilitate RNA degradation to a greater or lesser degree.⁴⁶

Summary

Our study has examined genome-wide miRNA expression in a large number of ccRCC, broadly representative of the disease as it presents by stage and grade,⁴⁷ from a single institution, and re-reviewed by a single uropathologist for cell type and grade. miRNA expression was determined from fresh-frozen specimens, with a tumor cell content assessed as $\geq 70\%$, by the Agilent microarray platform that utilizes direct labeling less prone to amplification bias and that is both sequence and size selective and so specific for the mature form of miRNAs,²⁸ and is reported to have performed well in comparative studies of genome-wide miRNA expression technologies.^{48,49} That, after statistical analysis, several of the miRNAs with significant differential expression were represented by more than one probe for an individual miRNA strengthens confidence, as does the verification of a

subset of the most dysregulated miRNAs by a quantitative independent technology, and that the miRNAs identified as most significantly dysregulated in our study agree well with recent RNA sequencing studies.^{14,22}

We found a signature of miRNA expression that was consistently present in ccRCC of high stage, or of high grade, or with progression as well as signatures that associated with each of these parameters of more advanced disease. The signatures include many miRNAs novel to ccRCC or to progression in ccRCC that target pathways of clear biological relevance to this disease. Further study of the mRNA targets of the miRNAs we have identified may provide additional insight into the pathogenesis and biology of RCC. The individual miRNAs or signatures may have translational relevance to early detection in body fluids. The miRNA expression signature could also be used to predict prognosis by analysis of body fluids or needle biopsy of patients considered for active surveillance or, more readily, in the tumors from patients having undergone surgical resection. Lastly, the dysregulated miRNAs are candidate targets for therapy and also implicate pathways, such as of metabolism, as therapeutic targets in ccRCC.

Materials and Methods

Specimens

Specimens were collected under a Fox Chase Cancer Center (FCCC) Institutional Review Board (IRB) and all patients provided written consent. Snap-frozen RCC specimens from patients who underwent partial or radical nephrectomy for RCC between 2001 and 2009 were obtained from the FCCC Biospecimen Repository. These tissues were re-examined for histological cell type and Fuhrman nuclear grade⁵⁰ by a single pathologist experienced in RCC, Dr Al-Saleem. Ninety-five ccRCC were selected for this study. Clinicopathological data are given in **Table 1**. Grades 1 and 2 were categorized as low grade carcinoma (LG). Grades 3 and 4 were grouped as high grade carcinoma (HG).⁵⁰ Clinical stages 1 and 2 were categorized as low stage (LS) and stages 3 and 4 as high stage (HS) carcinoma.⁵¹ Normal renal parenchyma specimens were obtained from five FCCC patients (3 male, 2 female) with unifocal ccRCC and of similar age (mean 62.8 y) to RCC patients at diagnosis (median 64 y) (<http://seer.cancer.gov/statfacts/html/kidrp.html>).

Sample preparation

Frozen tumor tissue sections were stained with H&E and examined by the pathologist, Dr Al-Saleem, for selection of an area of $\geq 70\%$ tumor cell content to be used for RNA extraction. The chosen area of tissue was cut into smaller pieces and disrupted using Kimble–Kontes disposable pestles (Sigma-Aldrich Z359947) followed by homogenization with Qiashredder (Qiagen 79656) columns. Total RNA was extracted using Trizol (Invitrogen 15596-026). The RNA quality was measured for all tissues in this study using a Nanodrop (Thermo-Scientific) and an Agilent Bioanalyzer 2100 (Agilent Technologies) to obtain the optical density 260/280 nm and 260/230 nm ratios. We included samples that had a 260/280 nm ratio between 1.8 and 2.0 and a

260/230 nm ratio between 1.8 and 2.2 as well as a discrete peak for the miRNA population as measured by the Small RNA assay.⁵²

miRNA expression microarray

One hundred nanograms of total RNA was labeled with Cy3 and hybridized with a miRNA labeling and hybridization kit (Agilent Technologies 5190-0456) according to the manufacturer's instructions. We used Human miRNA microarray Version 2.0 (Agilent Technologies G4112F), which contains probes for 723 human (and 76 human viral) miRNAs based on Sanger miRBase release 10.1. The arrays were washed then scanned with a laser confocal scanner (Agilent Technologies, G2505B). The fluorescence intensity was calculated by Feature Extraction Software (Agilent Technologies). The raw miRNA microarray data set is available at Gene Expression Omnibus (GEO) (<http://www.ncbi.nlm.nih.gov/geo/>). miRNAs are annotated according to miRBase release 18 (<http://www.mirbase.org/>).

Quantitative RT-PCR verification

Total RNA samples were reverse transcribed using a TaqMan MicroRNA Reverse Transcription kit (Applied Biosystems 4366596) following the manufacturer's protocol. Real-time PCR was performed on an Applied Biosystems 7500 Real Time PCR system for 40 cycles using predesigned TaqMan miRNA Assays (Applied Biosystems). Small nucleolar RNA RNU44 (Applied Biosystems 4427975) was used as a control in qRT-PCR assays. The expression levels of a miRNA relative to RNU44 were measured using the comparative threshold cycle (Ct) method. ΔC_t values were obtained from Ct values of the miRNA probes and that of RNU44 for each sample (in duplicate) and $2^{-\Delta C_t}$ values were calculated.

Statistical analysis

The microarray raw data for all probes was assessed by pairwise Pearson correlation among all samples. One sample showed poor correlation against all other samples (**Fig. S1**) and was removed from further analysis. The raw data were background corrected and normalized using the quantile normalization method. Probes with a coefficient of variation of less than 0.1 were excluded. Probes with maximum expression < 100 on a linear scale, i.e., uniformly low expression, were marked as low expression and not considered for significance. Differential expression analyses were done using Limma.⁵³ Statistical significance was measured by *P* values controlled for the false discovery rate (FDR) using the Benjamini–Hochberg method to account for multiple testing. Probes with a FDR < 0.2 were considered to be statistically significant. Biological significance was measured by a log fold change and then converted to a linear scale with ≥ 1.5 -fold upregulation or downregulation of expression considered as significant.

Pathways targeted by dysregulated miRNA expression were identified using DIANA miRPath v.2.0 that utilizes the data of the Kyoto Encyclopedia of Genes and Genomes (KEGG) (<http://diana.imis.athena-innovation.gr/DianaTools/index.php?r=mirpath/reverse>). The score cutoff used for target prediction was 0.8. Pathways were filtered based on a one-sided Fisher exact test *P* value (< 0.05) adjusted for multiple testing using FDR.³⁷

Disclosure of Potential Conflicts of Interest

The authors declare that there are no potential conflicts of interest.

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Supplemental Materials

Supplemental materials may be found at: www.landesbioscience.com/journals/cbt/article/27314

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