# **ORIGINAL ARTICLE**



# Regulation of Hypoxia-induced Pulmonary Hypertension by Vascular Smooth Muscle Hypoxia-Inducible Factor-1 $\alpha$

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### Abstract

**Rationale:** Chronic hypoxia induces pulmonary vascular remodeling, pulmonary hypertension, and right ventricular hypertrophy. At present, little is known about mechanisms driving these responses. Hypoxia-inducible factor- $1\alpha$  (HIF- $1\alpha$ ) is a master regulator of transcription in hypoxic cells, up-regulating genes involved in energy metabolism, proliferation, and extracellular matrix reorganization. Systemic loss of a single HIF- $1\alpha$  allele has been shown to attenuate hypoxic pulmonary hypertension, but the cells contributing to this response have not been identified.

**Objectives:** We sought to determine the contribution of HIF-1 $\alpha$  in smooth muscle on pulmonary vascular and right heart responses to chronic hypoxia.

**Methods:** We used mice with homozygous conditional deletion of HIF-1 $\alpha$  combined with tamoxifen-inducible smooth muscle–specific Cre recombinase expression. Mice received either tamoxifen or

vehicle followed by exposure to either normoxia or chronic hypoxia  $(10\% \text{ O}_2)$  for 30 days before measurement of cardiopulmonary responses.

**Measurements and Main Results:** Tamoxifen-induced smooth muscle–specific deletion of HIF-1 $\alpha$  attenuated pulmonary vascular remodeling and pulmonary hypertension in chronic hypoxia. However, right ventricular hypertrophy was unchanged despite attenuated pulmonary pressures.

**Conclusions:** These results indicate that HIF-1 $\alpha$  in smooth muscle contributes to pulmonary vascular remodeling and pulmonary hypertension in chronic hypoxia. However, loss of HIF-1 function in smooth muscle does not affect hypoxic cardiac remodeling, suggesting that the cardiac hypertrophy response is not directly coupled to the increase in pulmonary artery pressure.

**Keywords:** pulmonary circulation; right ventricular hypertrophy; animal disease models; knock-out mice

Chronic hypoxia (CH) triggers pulmonary vascular remodeling, leading to pulmonary hypertension and right ventricular (RV) hypertrophy with the ultimate risk of right heart failure. Chronic lung diseases, such as chronic obstructive pulmonary disease, cystic fibrosis, and bronchopulmonary dysplasia, can result in diffuse chronic alveolar hypoxia (1–6). The development of pulmonary hypertension is associated with significant morbidity and mortality in these patients (4, 7, 8). Despite this, few clinical therapies exist for the treatment of pulmonary hypertension and prevention strategies remain largely unknown. A better understanding of the mechanisms underlying hypoxia-induced pulmonary vascular remodeling could potentially lead

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### At a Glance Commentary

Scientific Knowledge on the

Subject: Hypoxia-induced pulmonary hypertension is a potentially severe and fatal lung disorder that develops in patients with chronic lung disease including chronic obstructive pulmonary disease. Currently, few therapies exist for the treatment of pulmonary hypertension and prevention strategies remain largely unknown. Previous work suggests that hypoxia-inducible factor-1 (HIF-1) contributes to pulmonary vascular remodeling, but the role of vascular smooth muscle HIF-1 in the pulmonary arterial and cardiac remodeling responses is not known.

What This Study Adds to the Field: This study contributes two important new concepts. First, HIF-1a in smooth muscle contributes significantly to the pulmonary vascular remodeling induced by chronic hypoxia. Second, although HIF-1 $\alpha$  deletion in smooth muscle significantly attenuates the degree of pulmonary hypertension, it does not attenuate the right ventricular remodeling response to hypoxia. These findings implicate the cell-autonomous role of smooth muscle HIF-1 $\alpha$  in the development of hypoxia-induced pulmonary hypertension, and reveal that remodeling of the right ventricle is dissociated from the degree of pulmonary hypertension.

to the identification of novel therapeutic strategies.

Hypoxia-inducible factors (HIF-1 and HIF-2) play dominant roles in regulating the transcriptional responses to hypoxia. HIF is a heterodimeric transcription factor comprised of an oxygen-regulated  $\alpha$  subunit (HIF-1 $\alpha$ ) and a constitutively expressed  $\beta$  subunit (HIF-1 $\beta$ /ARNT) (9). Under normoxic conditions, degradation of HIF-1 $\alpha$  is initiated by hydroxylation of conserved proline residues (10, 11). During hypoxia this process is inhibited allowing HIF-1 $\alpha$  to accumulate, permitting dimerization with HIF-1 $\beta$  and activation of hypoxia-specific genes (12). Consistent with its role in tissue oxygen homeostasis, HIF-dependent genes regulate a wide range of processes *in vivo* including vascular endothelial growth factor (VEGF)-induced vascularization, erythropoiesis, cellular proliferation and migration, and cellular energy and metabolism (13–15). Cells lacking HIF-1 $\alpha$  demonstrate impaired upregulation of cellular proliferation and VEGF expression during hypoxia, and they fail to up-regulate genes involved in glucose transport and energy metabolism (13, 14).

Mice exposed to chronic environmental hypoxia develop pulmonary vascular remodeling, pulmonary hypertension, and RV hypertrophy (16-19). Pulmonary vascular remodeling involves both hypertrophy and hyperplasia of the medial layer, in addition to adventitial and intimal changes in the pulmonary arteries (20-22). Although hypertrophy likely represents a larger contribution to remodeling in proximal vessels, hyperplasia is thought to be the more significant contributor in smaller resistance arteries (20, 21). This structural remodeling of pulmonary arteries represents one key component responsible for alterations in pulmonary vascular resistance (PVR), driving the associated increase in pulmonary artery pressure responsible for hypoxia-induced pulmonary hypertension (22).

In smooth muscle cells, hypoxia-induced proliferation is inhibited by HIF-1a knockdown (23), suggesting that HIF-1 may participate in pulmonary vascular remodeling. Because homozygous deletion of HIF-1 $\alpha$  in the mouse induces embryonic lethality arising from abnormal vascular development (14), Shimoda and coworkers (24) studied the effects of CH using heterozygous mice lacking one copy of the gene. Compared with wild-type control animals, heterozygous HIF-1 $\alpha$  mice demonstrated impaired lung vascular remodeling in CH and attenuated RV hypertrophic responses (24-27). This was associated with lessened smooth muscle cell hypertrophy, attenuated up-regulation of transient potential receptor proteins and  $Na^+/H^+$  exchanger-isoform 1, and failure to suppress the expression of plasma membrane K<sup>+</sup> channels during CH (24). Collectively, those findings indicate that HIF-1 plays an important role in mediating the lung vascular and RV responses to prolonged hypoxia.

However, systemic loss of HIF activity affects multiple functions that potentially contribute to cardiopulmonary responses to hypoxia. For example, systemic loss of HIF affects endothelium, epithelium, and

fibroblasts that participate in the vascular remodeling process, and also affects cardiomyocytes that participate in cardiac remodeling. Systemic HIF depletion could also influence the migration of bone marrow-derived progenitor cells that participate in the remodeling response to hypoxia. Finally, heterozygous HIF-1α mice demonstrate delayed increases in hematocrit (26), and attenuated ventilatory responses to hypoxia arising from altered carotid body function that could affect the degree of alveolar hypoxia for a given inspired oxygen level (25). Hence, assessing the cell-autonomous role of HIF-1 in vascular remodeling requires a selective, cell-specific knock-out. A recent study used mice with a homozygous deletion of HIF- $1\alpha$  in smooth muscle cells (28). However, those mice were deficient in HIF-1 $\alpha$  during the critical period of embryologic cardiopulmonary development, which is known to result in compromised vascular development (14).

We hypothesized that HIF-1 $\alpha$  in smooth muscle functions as a key factor underlying the development of hypoxiainduced pulmonary hypertension. To determine the role of HIF-1 $\alpha$  in these cells, we used mice with smooth muscle–specific conditional deletion of HIF-1 $\alpha$  to allow induced deletion in animals with normal cardiopulmonary development and to permit *in vivo* study of its role in pulmonary vascular remodeling.

Some of the results of these studies have been reported previously in abstract form (29).

## Methods

Detailed methods are contained in the online supplement.

### Animals

All animal procedures were approved by the Institutional Animal Care and Use Committee at Northwestern University, Chicago, Illinois.

# Smooth Muscle–Specific Deletion of HIF-1 $\!\alpha$

Mice with LoxP flanking of exon 2 of the HIF-1 $\alpha$  gene (HIF-1 $\alpha$ -fl/fl) (30) were bred with mice expressing tamoxifen-inducible Cre recombinase under the control of a smooth muscle–specific promoter (SMM-Cre) (from Dr. Stefan Offermanns,

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**Figure 1.** Smooth muscle–specific hypoxia-inducible factor (HIF)-1 $\alpha$  deletion. Tamoxifen induction of Cre recombinase activity in smooth muscle; confocal microscopy images of mouse lung tissue slices at ×40 magnification. (*A*) DAPI counterstain. (*B*) Labeled mTmG-reporter constitutively expressing *red* fluorescence in all cell types. (*C*) Tamoxifen treatment causes expression to change from *red* to *green* fluorescence in cells expressing Cre. (*D*) Immunofluorescence labeling of smooth muscle actin (*vellow*) in pulmonary vasculature and airways. (*E*) Colocalization of *yellow* and *green* fluorescence, confirming activation of Cre recombinase in smooth muscle. *Arrows* identify small pulmonary arteries adjacent to a labeled airway. (*F*) HIF-1 $\alpha$  mRNA message by reverse-transcriptase polymerase chain reaction in normoxic smooth muscle cells after *in vivo* tamoxifen treatment, confirming effective tissue-specific knock-out. n = 2–3/group; \**P* < 0.05 versus control. (*G*) HIF-1 $\alpha$  mRNA message by reverse-transcriptase polymerase chain reaction in normoxic right ventricular tissue after *in vivo* tamoxifen treatment, demonstrating that HIF-1 $\alpha$  mRNA expression is retained in cardiomyocytes after HIF-1 $\alpha$  deletion in smooth muscle.

University of Heidelberg, Heidelberg, Germany) (31) to generate HIF-1 $\alpha$ -fl/ fl-SMM-Cre (HIF-1 $\alpha$ -SMM-Cre) mice. At 8 weeks, experimental mice received tamoxifen (10 mg/ml in corn oil vehicle), 2  $\mu$ g/g body weight  $\times$  5 days by

Table 1: Endpoint Physiologic Variables

intraperitoneal injection; littermate control animals received vehicle alone. A 2-week period was observed after tamoxifen treatment to permit deletion of the HIF-1 $\alpha$  gene and degradation of residual message.

#### Mean Systemic Arterial BP Body Weight (g) Hematocrit (%) (mm Hg) Control Normoxia $28\,\pm\,0.7$ $43\,\pm\,0.8$ $105 \pm 4$ $23 \pm 0.4^{*}$ 62 ± 1.1\* 101 ± 6 Chronic hypoxia HIF-1α-SMM-Cre $28 \pm 0.7$ $40 \pm 1.0$ $115 \pm 6$ Normoxia 102 ± 9 $23 \pm 0.4^{*}$ 61 ± 1.3\* Chronic hypoxia

*Definition of abbreviations*: BP = blood pressure; HIF = hypoxia-inducible factor; SMM-Cre = Cre recombinase smooth muscle-specific promoter.

\*P < 0.05 versus normoxic control animals.

# Confirmation of Cre Activation by Tamoxifen

To confirm that tamoxifen administration activates Cre recombinase activity in smooth muscle, female reporter mice with a ROSA-targeted LoxP-flanked membranetargeted td-Tomato (mT) cassette and a membrane-targeted enhanced green fluorescent protein (mG) cassette (mTmG reporter mouse; Jackson Laboratory, Bar Harbor, ME) were bred with the SMM-Cre transgenic mice for subsequent immunofluorescence imaging.

# Confirmation of Cell-Specific HIF-1 $\alpha$ Deletion

To confirm smooth muscle–specific HIF-1 $\alpha$  deletion, HIF-1 $\alpha$  mRNA expression in smooth muscle was measured by reversetranscriptase polymerase chain reaction



**Figure 2.** Vascular remodeling in small pulmonary arteries after chronic hypoxia exposure. Representative hematoxylin and eosin–stained mouse lung tissue sections. *Arrows* identify a small pulmonary artery adjacent to a labeled airway. Scale bar = 80  $\mu$ m. (*A*) Normoxic control. (*B*) Normoxic hypoxia-inducible factor (HIF)-1 $\alpha$ –Cre recombinase under the control of a smooth muscle–specific promoter (SMM-Cre). (*C*) Chronic hypoxia. (*D*) Chronic hypoxia HIF-1 $\alpha$ –SMM-Cre. (*E*) Quantification of pulmonary vascular remodeling in chronic hypoxia by wall thickness demonstrates attenuated remodeling in HIF-1 $\alpha$ –SMM-Cre mice. *P* < 0.05 versus normoxic control (\*) or versus hypoxic control (\*). PA = pulmonary artery.

after treatment with tamoxifen or vehicle. To confirm that cardiac HIF-1 $\alpha$  production remained unaltered, HIF-1 $\alpha$  mRNA expression in RV tissue was similarly assessed.

#### **Study Design**

Experiments involved adult male HIF- $1\alpha$ -SMM-Cre littermates. Mice were

housed in normoxia (21%  $O_2$ ) or CH (10%  $O_2$ ) for 30 days (range, 28–35 d). On study completion, mice underwent hemodynamic evaluation before euthanasia for analysis of heart and lung tissue. Pulmonary artery pressure was quantified by two methods: echocardiography (32) using a VisualSonics Vevo-770 echo system

(VisualSonics, Toronto, Ontario, Canada), and right heart catheterization with a micromanometer-tipped catheter (Millar Instruments, Houston, TX) using a modification of a previous technique (33).

Pulmonary artery remodeling was quantified by the wall thickness, defined as vessel cross-sectional area (area external area lumen) divided by the diameter of the lumen (calculated from the perimeter of the lumen; diameter = perimeter/ $\pi$ ). Further assessments of remodeling included the muscularization profile of distal arteries, and a proliferation assay using sections of inflation-fixed lungs (4% formaldehyde; Sigma-Aldrich, St. Louis, MO). RV mass was assessed by echocardiography to measure the RV free wall (RVFW) thickness (34), and by measuring weight ratio of the right ventricle to left ventricle and interventricular septum (RV/[LV+S]). Stained sections of formalin-fixed right ventricle were used to quantify the extent of hypertrophy. All analyses were performed by a single observer masked to the experimental grouping. Unless stated otherwise, experimental groups included 6-13 replicate animals.

#### **Statistical Analysis**

Student *t* test was used for analysis between two groups. One-way analysis of variance was used followed by Newman-Keuls *post hoc* test to identify specific differences among groups. Statistical significance was accepted at *P* less than 0.05. Data are presented as means  $\pm$  SEM. Statistical testing was accomplished using Prism 5.0 (GraphPad Software, La Jolla, CA).

### Results

To confirm the activity of the SMM-Cre in smooth muscle, mTmG-reporter-SMM Cre transgenic mice were treated with tamoxifen and lungs were analyzed after 14 days (Figure 1). Many lung cells exhibited constitutive red fluorescence (Figure 1B). Tamoxifen treatment led to Cre activation, causing the appearance of green fluorescence (Figure 1C). Immunostaining for smooth muscle actin identified pulmonary vessels and airways (Figure 1D), which colocalized with the green fluorescence (Figure 1E). These findings confirm successful activation of Cre

Table 2: Pulmonary Artery Diameter and Cellular Proliferat	tion
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	Lumen Diameter (µm)	Ki67-Positive PAs per Lung Slice (Number)
Control Normoxia Chronic hypoxia	44.2 ± 1.6 38.1 ± 1.7	$\begin{array}{c} 2.9\pm0.6\\ 1.9\pm0.6\end{array}$
Normoxia Chronic hypoxia	$41.5 \pm 1.8$ $46.6 \pm 2.4$	$\begin{array}{c} 2.4  \pm  0.9 \\ 4.0  \pm  0.7 \end{array}$

*Definition of abbreviations*: HIF = hypoxia-inducible factor; PA = pulmonary artery; SMM-Cre = Cre recombinase smooth muscle-specific promoter.



**Figure 3.** Muscularization in distal pulmonary arteries after chronic hypoxia exposure. (*A*–*D*) Representative  $\alpha$ -smooth muscle actin–stained mouse lung tissue. *Arrows* identify a pulmonary artery with diameter less than 50  $\mu$ m. Scale bar = 50  $\mu$ m. (*A*) normoxia control. (*B*) Normoxia hypoxia-inducible factor (HIF)-1 $\alpha$ –Cre recombinase under the control of a smooth muscle–specific promoter (SMM-Cre). (*C*) Chronic hypoxia. (*D*) Chronic hypoxia HIF-1 $\alpha$ –SMM-Cre. (*E*) Hypoxia-induced muscularization of distal pulmonary arteries (<50- $\mu$ m diameter) by characterization as nonmuscularized, partially muscularized, and fully muscularization developed in HIF-1 $\alpha$ –SMM-Cre mice relative to chronic hypoxic controls, indicating fewer vessels with complete circumferential muscularization. *P* < 0.05 versus corresponding group nonmuscularized vessels (\*) or versus corresponding group fully muscularized vessels (\*).

recombinase in smooth muscle in the lung. To assess this further, mRNA message for HIF-1 $\alpha$  was quantified in smooth muscle from the descending aorta of HIF- $1\alpha$ -SMM-Cre mice (Figure 1F). This analysis detected a significant decrease in basal HIF-1 $\alpha$  message, consistent with effective gene deletion in smooth muscle. Next, to confirm the specificity of HIF-1 $\alpha$ deletion, HIF-1a mRNA message was measured in the right ventricle to verify that cardiomyocyte HIF-1 $\alpha$  expression was unaltered in mice undergoing HIF-1 $\alpha$ deletion in smooth muscle (Figure 1G). This analysis revealed that mRNA message for HIF-1 $\alpha$  in the right ventricle did not differ between control animals and HIF- $1\alpha$ -SMM-Cre mice. These data confirm the specificity of smooth muscle knock-out and demonstrate that expression of HIF-1 $\alpha$  in cardiac muscle remains unaffected.

At 8 weeks of age, mice were randomized to tamoxifen or vehicle administration followed by normoxia or CH exposure. All mice survived until study completion. During necropsy, no external or gross anatomic differences were observed between HIF-1α-SMM-Cre mice and littermate control animals subjected to the same treatment. Physiologic measurements obtained at study completion are presented in Table 1. The normoxic groups were indistinguishable with respect to weight and hematocrit. Mice exposed to CH weighed less and exhibited higher hematocrits than their normoxic littermate control animals at the time of euthanasia. However, body weight and polycythemia responses in the control and the SMM-HIF-1 $\alpha$  deletion groups were not different. To assess systemic cardiovascular control, systemic arterial blood pressure was measured in unanesthetized mice under normoxic conditions. Mean arterial pressure did not differ among experimental groups regardless of SMM-HIF-1a status or environmental exposure.

To assess the effect of CH on pulmonary vascular remodeling, wall thickness was measured in small intraparenchymal pulmonary arteries (Figures 2A–2E). The mean lumen diameter of arteries analyzed ranged from 38 to 47  $\mu$ m (Table 2). CH induced remodeling of pulmonary arteries with thickening of the arterial wall (Figures 2A–2E). Pulmonary artery wall thickness was not different between normoxic groups, demonstrating that HIF-1 $\alpha$  deletion in



**Figure 4.** Pulmonary hypertension after exposure to chronic hypoxia. (*A–D*) Pulse-wave Doppler images across the pulmonary outflow tract: (*A*) normoxic control, (*B*) normoxic hypoxia-inducible factor (HIF)-1 $\alpha$ -Cre recombinase under the control of a smooth muscle–specific promoter (SMM-Cre), (*C*) chronic hypoxia, (*D*) chronic hypoxia HIF-1 $\alpha$ -SMM-Cre. The time to peak flow acceleration across the pulmonary valve decreases with increasing pulmonary artery pressures; the ratio of pulmonary acceleration time to total pulmonary ejection time (PAT/ET) varies inversely with pulmonary artery pressure. (*E*) Pulmonary hypertension after exposure to chronic hypoxia. PAT/ET demonstrates pulmonary hypertension after chronic hypoxia, which is attenuated in HIF-1 $\alpha$ -SMM-Cre mice. (*F*) Right ventricular systolic pressure (RVSP) after exposure to chronic hypoxia. *P* < 0.05 versus normoxic control (\*) or versus hypoxic control (\*).

smooth muscle did not induce pulmonary vascular changes under normoxic conditions. During CH, control animals developed a significant increase in pulmonary artery wall thickness, consistent with previous studies (35, 36). By contrast, HIF-1 $\alpha$ -SMM-Cre mice exposed to CH exhibited attenuated vascular remodeling compared with hypoxic littermate control animals (Figure 2E).

Although the lumen diameter was not statistically different across groups, the average diameter was smallest in the control CH mice, suggesting the greatest degree of pulmonary vascular remodeling. Furthermore, the lumen diameter of vessels from HIF-1 $\alpha$ -SMM-Cre mice closely approximated the diameters in the two normoxic groups.

To further evaluate remodeling in more distal pulmonary arteries closer to the level of gas exchange, the muscularization profile of arteries with diameter less than 50 µm was assessed (Figures 3A-3D). In the two normoxic groups, the relative percentages of nonmuscularized, partially muscularized, and fully muscularized vessels were not different. Although CH increased the extent of small vessel muscularization in both groups, the HIF-1α-SMM-Cre mice exposed to CH exhibited fewer vessels with complete circumferential muscularization compared with the CH control mice (Figure 3E). To assess smooth muscle proliferation, lung sections from normoxic and CH groups were stained with Ki67 to detect cells engaged in proliferation. No significant differences between normoxic

and hypoxic lung vessels were detected (Table 2).

The effects of CH on cardiopulmonary hemodynamics were assessed by echocardiography and RV catheterization during normoxia. Pulmonary hypertension developed in all CH mice. Compared with hypoxic control animals, the HIF-1α-SMM-Cre mice subjected to CH exhibited an attenuated increase in pulmonary artery pressures (Figures 4A-4F). During echocardiographic measurements in sedated mice, heart rates averaged 436  $\pm$  9 beats per minute and were not different across groups. In normoxic mice, control and experimental groups exhibited ratios of pulmonary acceleration time to total pulmonary ejection time (PAT/ET) approaching 50%, consistent with published studies and corresponding to a normal pulmonary artery pressure (Figures 4A, 4B, and 4E) (32). Because the time to peak flow acceleration across the pulmonary valve decreases with increasing pulmonary artery pressures, the ratio of PAT/ET varies inversely with pulmonary artery pressure. CH control mice exhibited a decrease in PAT/ET ratio to 34%, indicating the development of pulmonary hypertension. By contrast, HIF-1α-SMM-Cre mice in CH developed milder pulmonary hypertension as detected by PAT/ET ratio (Figures 4C-4E). We then performed RV catheterization to provide a second, independent measure of pulmonary pressure. During this procedure, heart rates averaged 332  $\pm$  11 beats per minute and were not different among groups. RV systolic pressure (RVSP) measurements in normoxic control and HIF-1α-SMM-Cre mice were not different. However, mice exposed to CH demonstrated an increased RVSP, indicating the development of pulmonary hypertension. However, HIF-1a-SMM-Cre mice exposed to CH exhibited significantly lower RVSP than hypoxic control animals, again consistent with attenuated hypoxia-induced pulmonary hypertension (Figure 4F).

To evaluate cardiac responses to hypoxia, functional assessments of the right and left ventricle were performed (Table 3). Across all indices, measurements did not differ among groups regardless of SMM–HIF-1 $\alpha$  status or exposure. Importantly, because development of pulmonary hypertension did not result in heart failure, the differences we observed in

### Table 3: Endpoint Cardiac Function

	Left Ventricle		Right Ventricle			
	Fractional Shortening (%)	Ejection Fraction (%)	Fractional Area Change (%)	Tricuspid Annular Plane Systolic Excursion ( <i>mm</i> )	Stroke Volume ( <i>ml</i> )	
Control						
Normoxia	$30.0 \pm 2.2$	$64 \pm 32$	$32.6\pm1.6$	$1.1 \pm 0.05$	$0.056 \pm 0.05$	
Chronic hypoxia	$28.5 \pm 0.7$	$62 \pm 5.3$	$31.9 \pm 0.9$	$1.2 \pm 0.07$	$0.060 \pm 0.07$	
HIF-1α–SMM-Cre						
Normoxia	$30.4 \pm 2.6$	$62 \pm 4.2$	$35.5 \pm 1.8$	$1.2 \pm 0.07$	$0.058 \pm 0.06$	
Chronic hypoxia	$27.5 \pm 2.2$	$60 \pm 3.5$	$30.9 \pm 1.8$	$1.1 \pm 0.05$	$0.042 \pm 0.04$	

Definition of abbreviations: HIF = hypoxia-inducible factor; SMM-Cre = Cre recombinase smooth muscle-specific promoter.

n = 4-5 animals per group. Note that stroke volume is proportional to cardiac output because it is derived from the Doppler pulmonary velocity-time integral  $\times$  pulmonary artery cross-sectional area.

PAT and RVSP were not the result of impaired myocardial function or altered pulmonary blood flow.

To evaluate the effects of CH on the right ventricle, RV mass was calculated as RV/(LV+Septal) weight, and by echocardiographic assessment of RVFW thickness. RV mass in normoxic control and HIF-1α-SMM-Cre mice was not different. In response to CH, control and HIF-1α–SMM-Cre mice exhibited increases in RV mass (Figure 5A). Likewise, the increase in RVFW thickness in response to CH was not different between control and HIF-1α-SMM-Cre mice (Figure 5B). Thus, although less pulmonary hypertension developed in hypoxic mice with HIF-1α-SMM deletion, the RV remodeling response was not different.

To further investigate the characteristics of the hypoxia-induced cardiac remodeling, we evaluated the degree of cardiomyocyte hypertrophy in the right ventricle (Figures 6A–6D). Cardiomyocyte fiber diameters did not differ in normoxic control and HIF-1 $\alpha$ –SMM-Cre mice. During CH, cardiomyocyte diameter increased in control and HIF-1 $\alpha$ –SMM-Cre mice (Figure 6E). However, fiber diameters were not different between HIF-1 $\alpha$ –SMM-Cre mice and CH control animals.

To determine whether the Cre transgene or tamoxifen might independently affect cardiopulmonary responses, a set of HIF-1 $\alpha$ -floxed, Crenegative mice was treated with tamoxifen or vehicle. After 4 weeks in normoxia, these mice underwent cardiopulmonary assessment. Mice lacking Cre that were administered tamoxifen or vehicle exhibited no baseline differences in comparison with the HIF-1 $\alpha$ -SMM-Cre study mice, indicating that tamoxifen and the Cre transgene, by themselves, did not contribute to the observed responses (*see* online supplement).

## Discussion

This study examined the contribution of smooth muscle HIF-1 $\alpha$  in hypoxia-induced pulmonary vascular remodeling, pulmonary hypertension, and RV hypertrophy in a murine model. The data reveal two important new concepts. First, HIF-1α in pulmonary vascular smooth muscle contributes significantly to the remodeling events induced by CH in precapillary pulmonary vessels. Second, the data reveal that RV remodeling in CH is not directly linked to the degree of pulmonary hypertension, because the attenuation of the pulmonary artery pressure response in the smooth muscle HIF-1*a*-deficient animals did not correspond with an attenuation in RV hypertrophy.

HIF-1 $\alpha$  is stabilized in many cell types during systemic hypoxia. Our data reveal that the activation of HIF-1 in smooth muscle plays a key role in the vascular remodeling by promoting increased arterial wall thickness and distal muscularization during prolonged hypoxia. Although the importance of HIF-1 in tissue adaptation to hypoxia has been shown previously (13, 23-26), our study demonstrates the importance of the cell-specific role of HIF in the remodeling response driving the development of pulmonary hypertension. We find that loss of HIF-1 $\alpha$  in smooth muscle cells significantly attenuates the remodeling of pulmonary arteries and the associated pulmonary hypertension induced by CH.

Although the direct in vivo measurement of PVR is limited by the technical difficulty in measuring left atrial pressure in the mouse, our data strongly suggest that increases in PVR represent an important contribution to the increase in pulmonary artery pressure during CH. First, wall thickness was increased in approximately 40-µm diameter pulmonary arteries from CH mice and lumen diameter tended to be less. This response was attenuated in mice with HIF-1 $\alpha$  deletion in smooth muscle. Second, CH increased the degree of muscularization of pulmonary arteries smaller than 50  $\mu$ m; the degree of complete muscularization was attenuated in the smooth muscle HIF-1 $\alpha$ -deleted mice. CH increased both RVSP and the echocardiographic evidence of pulmonary hypertension under normoxic conditions, but this was mitigated by HIF-1 $\alpha$  deletion in smooth muscle. Importantly, cardiac function was not significantly affected by CH or by HIF-1 $\alpha$  deletion, and the calculated pulmonary blood flow did not change. Collectively, these observations support the conclusion that pulmonary hypertension is attenuated by smooth muscle HIF-1 $\alpha$  deletion because the loss of HIF-1 attenuates the increase in PVR during CH.

However, although deletion of HIF-1 $\alpha$ in smooth muscle attenuates CH-induced vascular remodeling, its deletion does not completely block this response. Therefore, HIF-1 $\alpha$  in smooth muscle is not the sole determinant of vascular remodeling, and other cellular pathways and cell types likely contribute to that response. For example, previous studies have implicated important contributions from endothelial cells and fibroblasts in the pulmonary artery (21, 37, 38). Evidence also suggests that paracrine



**Figure 5.** Cardiac remodeling in chronic hypoxia. (*A*) Fulton index (RV/[LV+S]) measurement of right ventricular mass. Mice exposed to chronic hypoxia develop increased right heart mass compared with normoxic control littermates. (*B*) Echocardiographic measurement of right ventricular free wall (RVFW) thickness additionally demonstrates development of right ventricular hypertrophy in all mice after chronic hypoxia. \**P* < 0.05 versus normoxic control. HIF = hypoxia-inducible factor; LV = left ventricle; RV = right ventricle; S = interventricular septum; SMM-Cre = Cre recombinase under the control of a smooth muscle–specific promoter.

effects from fibroblasts may promote proliferation in adjacent smooth muscle cells (39–41). It is also possible that HIF activation in migratory bone marrow progenitor cells (41) or other cell types contributes to the remodeling response, or that HIF-independent pathways are also involved (40). Finally, HIF-2 could also be contributing to the remodeling response. In that regard, Hickey and coworkers (42) demonstrated that HIF-2 heterozygosity conferred protection against the development of pulmonary hypertension in a murine model of Chuvash polycythemia.

Pulmonary arteries remodel during CH, leading to the development of pulmonary hypertension that is sustained even if the hypoxic stimulus is discontinued. One mechanism of remodeling involves hypertrophy of arterial smooth muscle, with an associated narrowing of the lumen diameter that augments PVR. A second remodeling mechanism begins with prolonged hypoxic pulmonary vasoconstriction, followed by remodeling of the extracellular matrix such that the arteries become "locked" in a narrowed state. In that case the arteries lose the ability to dilate in response to acute normoxia or vasodilators, even though these might abolish smooth muscle tone. Either of these mechanisms would manifest as an increase in PVR, and possibly as an increase in pulmonary artery wall thickness. Both mechanisms cause a chronic narrowing of pulmonary arteries and an increase in PVR.

Our study demonstrates that HIF-1α deletion in smooth muscle attenuates the increase in PVR during CH. It is possible that HIF-1 is required for smooth muscle hypertrophy and the associated narrowing of lumen diameter in resistance vessels. However, an alternative possibility is that loss of HIF-1 in smooth muscle attenuates the sustained vasoconstrictor response to hypoxia (28, 43, 44). In that case, any extracellular matrix remodeling would have developed while the vessels were less constricted than in the wild-type control animals. Our RVSP and echocardiographic assessments of pulmonary hypertension were made under normoxic conditions, which should have abolished any hypoxiainduced active vascular tone. However, loss of smooth muscle tone after the remodeling was complete would be unlikely to reverse the increase in vascular resistance. Although the decrease in pulmonary artery wall thickness observed in the HIF-1 $\alpha$  deletion animals suggests that HIF-1-dependent smooth muscle hypertrophy had occurred, our data do not allow us to definitively identify which mechanism was involved, or whether both contributed.

CH induces pulmonary hypertension and RV hypertrophy in wild-type mice (19, 35, 45). RV remodeling presumably represents an adaptive response to pulmonary hypertension, enhancing the ability of the heart to deal with the increase in afterload. In mice with systemic heterozygous HIF-1 $\alpha$  deficiency, Yu and coworkers (26) observed an attenuated RV hypertrophic response to CH in association with an attenuation of pulmonary



**Figure 6.** Hypertrophy of right ventricular cardiomyocytes in chronic hypoxia. Representative periodic acid Schiff-stained mouse right ventricular tissue sections. (*A*) Normoxia control. (*B*) Normoxia hypoxia-inducible factor (HIF)-1 $\alpha$ -Cre recombinase under the control of a smooth muscle–specific promoter (SMM-Cre). (*C*) Hypoxia control. (*D*) Hypoxia HIF-1 $\alpha$ -SMM-Cre. *Scale bar* = 50  $\mu$ m. (*E*) Mice exposed to chronic hypoxia exhibit increased right ventricular fiber diameter compared with normoxic control littermates. Scale bar = 50  $\mu$ m. RV = right ventricle. \**P* < 0.05 versus normoxic control.

hypertension. It is important to note that these mice had global heterozygous deficiency and thus decreased HIF-1 $\alpha$  levels in cardiac tissue. In the present study, mice had normal HIF-1 $\alpha$  production in cardiac tissue. In our mice, pulmonary hypertension was attenuated by HIF-1 $\alpha$ deletion in smooth muscle but the degree of RV hypertrophy was unchanged. Collectively, these results suggest that pulmonary artery pressure is not the sole determinant of RV remodeling, and that HIF-1 in the heart may contribute to the cardiac remodeling response. Other studies also suggest that pulmonary artery pressure is not the sole factor regulating cardiac hypertrophy, and that cardiac hypoxia itself may be a stimulus for RV remodeling (45, 46) through the up-regulation of gene expression (47, 48). Animal models of RV pressure overload induced by pulmonary artery banding are not associated with RV failure (49), whereas pressure overload induced by hypoxia is (50, 51). Bogaard and coworkers (46) compared the RV hypertrophic response to VEGF receptor inhibition (using SU5416) with that evoked by pulmonary artery banding in chronically hypoxic rats. During CH, both SU5416 and pulmonary artery banding elicited similar increases in RVSP, but the SU5416 + hypoxia animals exhibited exaggerated RV hypertrophic responses. Those findings suggest that hypoxia per se may amplify RV remodeling independently from its effects on pulmonary hypertension. Interestingly, the RV levels of HIF-1 $\alpha$  were greater in the SU5416 + hypoxia animals, suggesting that the direct effect of hypoxia on the RV remodeling may be mediated by cardiac HIF-1 $\alpha$  (46). Increased capillary density and sustained VEGF expression that is limited to the right ventricle has also been observed in rats with hypoxia-induced pulmonary hypertension (52), underscoring the importance of HIF-1 $\alpha$  in RV remodeling and indicating that the hypoxic response may be ventricle-specific. Further supporting the role of HIF-1 $\alpha$ in RV remodeling, Bohuslavová and coworkers (45) noted a ventricle-specific pattern of gene expression, with most HIF-1α-regulated pathways increased in the right ventricle. In their study, CH triggered the transcriptional activation of multiple HIF-1a downstream targets specifically in the right ventricle. Finally, Shimoda and coworkers (24, 27) noted that HIF-1 $\alpha$  heterozygous mice exhibited attenuated pulmonary hypertension and attenuated RV hypertrophy during CH, again consistent with the idea that HIF-1 $\alpha$  contributes to pulmonary hypertension and RV remodeling.

Some limitations to this study should be noted. First, our analysis of vessel remodeling was restricted to approximately 40- $\mu$ m pulmonary arteries located adjacent to airways. Smaller intraparenchymal arteries likely contribute to PVR, but these were excluded because of the difficulty distinguishing them from pulmonary veins. Second, we attribute the results of this study to the deletion of HIF-1 $\alpha$  from smooth muscle cells. However, Cre recombinase can exert effects that are independent of the intended genetic recombination event (53), so we cannot exclude the possibility that nonspecific effects of Cre influenced these results.

Collectively, our results demonstrate that HIF-1 $\alpha$  in smooth muscle plays an important role in hypoxia-induced pulmonary vascular remodeling and pulmonary hypertension. Although hypoxia-induced pulmonary hypertension contributes to the development of RV

hypertrophy and potentially to right heart failure, our results suggest that hypoxiainduced factors other than pulmonary hypertension also contribute to the cardiac remodeling. A better understanding of the direct effects of hypoxia on cardiac tissue could guide the development of cardiacspecific interventions aimed to minimize cardiac damage leading to right ventricle failure, the major cause of mortality in this population.  $\blacksquare$ 

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