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Interaction of *APOE* genotype and testosterone on episodic memory in middle-aged men

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Abstract

Age-related changes in testosterone are believed to be a key component of the processes that contribute to cognitive aging in men. The *APOE*- ϵ 4 allele may interact with testosterone and moderate the hormone's association with cognition. The goals of the present study were to examine the degree to which free testosterone is associated with episodic memory in a community-based sample of middle-aged men, and examine the potential interaction between free testosterone and the *APOE*- ϵ 4 allele. Data were utilized from 717 participants in the Vietnam Era Twin Study of Aging (VETSA). Average age was 55.4 years ($SD = 2.5$). Significant positive associations were observed between free testosterone level and verbal episodic memory, as well as a significant interaction between free testosterone and *APOE*- ϵ 4 status. In ϵ 4 carriers free testosterone was positively associated with verbal episodic memory performance (story recall), whereas no association was observed in ϵ 4 non-carriers. Results support the hypothesis that *APOE*- ϵ 4 status increases susceptibility to other risk factors, such as low testosterone, which may ultimately contribute to cognitive decline or dementia.

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1. Introduction

Age-related changes in the hypothalamic-pituitary-gonadal (HPG) axis in men are believed to contribute to many of the common physiological and psychological complaints associated with male aging (Morley, 2001; Vermeulen, 2000). As early as the mid-30s circulating levels of testosterone in men begin to decline at a steady rate, resulting in functional changes in androgen receptor-regulated tissues (Feldman et al., 2002; Ferrini and Barrett-Connor, 1998; Harman et al., 2001; Muller et al., 2003). Animal and human studies have demonstrated that androgen receptor expression is high in the frontal cortex, white matter, and specific subcortical structures such as the hippocampus (Abdelgadir et al., 1999; Beyenburg et al., 2000; Bezdickova et al., 2007; Fernandez-Guasti et al., 2000; Finley and Kritzer, 1999; Garcia-Ovejero et al., 2005; Kerr et al., 1995; Kritzer, 2004; Puy et al., 1995; Sarrieau et al., 1990; Simerly et al., 1990; Tohgi et al., 1995). These brain regions are important for cognitive and brain aging (Buckner, 2004; Head et al., 2005). Thus, age-related changes in testosterone are postulated to be a key component of the processes that contribute to age-related changes in cognition in men (Pike et al., 2006; Veiga et al., 2004).

Numerous studies have examined the relationship between testosterone level and cognitive performance in aging men (Beauchet, 2006; Holland et al., 2011; Maggio et al., 2012). Episodic memory, working memory, processing speed, visual spatial processing, and executive functions have been implicated as being negatively affected by the decline of testosterone with increasing age, as well as responsive to the effects of testosterone supplementation. However, findings have largely been mixed, perhaps due to differences in the types of cognitive assessments used (e.g., verbal versus nonverbal), as well as variable age ranges of the samples (Holland et al., 2011). Results have been more consistent with regard to the association between testosterone and aging-related cognitive disorders such as mild cognitive impairment (MCI) and Alzheimer's disease (AD). For instance, in cross-sectional studies, lower levels of total, bioavailable, and free testosterone have been observed in individuals with MCI and AD when compared against age-matched controls or other clinical groups (Chu et al., 2008; Hogervorst et al., 2004; Hogervorst et al., 2003; Hogervorst et al., 2001; Paoletti et al., 2004; Watanabe et al., 2004). Longitudinal studies have further shown that low bioavailable and free testosterone predicts eventual development of AD, suggesting that age-related changes in testosterone may contribute to AD-related processes (Chu et al., 2010; Moffat et al., 2004). Studies of cultured hippocampal neurons and animal models of AD have further shown that the hormone may help to regulate the accumulation of β -amyloid (Gouras et al., 2000; Nguyen et al., 2010; Pike, 2001; Rosario et al., 2006; Rosario et al., 2009) and tau-related pathology in the brain (Papazozomenos and Shanavas, 2002; Park et al., 2007).

There is evidence to suggest that the *APOE*- ϵ 4 allele, the primary genetic risk factor for late onset AD (Saunders et al., 1993), may play a role in the association between age-related declines in testosterone and cognitive aging. Animal studies have found that the affinity of the androgen receptor for testosterone is reduced (in essence the sensitivity to testosterone is decreased) when the ϵ 4 allele is expressed (Raber, 2008). Interactions between the ϵ 4 allele and testosterone have also been observed in mice, such that blocking the binding of testosterone to the androgen receptor in male mice resulted in a significant decline in spatial learning and memory performance for ϵ 4 carriers relative to ϵ 3 carriers (Raber et al., 2002). Spatial learning and memory in female mice with the ϵ 4 allele has also been shown to improve following testosterone treatment, while no improvement was observed in non-carriers (Raber et al., 2002). In human studies, Hogervorst and colleagues (2002) found that individuals with both the ϵ 4 allele and low total testosterone possessed a greater risk for AD compared to individuals with only one of the risk factors. In previous work by our group, we demonstrated a significant interaction between free testosterone and the *APOE*- ϵ 4 allele with

respect to hippocampal volume in healthy (i.e., non-demented) middle-aged men. Individuals with both low free testosterone and at least one copy of the $\epsilon 4$ allele had smaller hippocampal volumes than individuals who possessed none or one of these risk factors (Panizzon et al., 2010).

Given the findings from both animal and human studies, there is reason to speculate that an interaction between *APOE*- $\epsilon 4$ status and testosterone will impact cognition in healthy older individuals. Episodic memory, in particular, with its clear relevance to the cognitive processes that are affected in AD (Bondi et al., 2008), its established association with the *APOE*- $\epsilon 4$ allele in later life (Wisdom et al., 2011), as well as the sizable animal literature showing that cognitive tasks mediated by the hippocampus have been found to be sensitive to testosterone deprivation and testosterone replacement (Edinger and Frye, 2007; Edinger et al., 2004; Kritzer et al., 2001; Spritzer et al., 2011), is the most likely domain in which to identify such an interaction. However, we are aware of only one study to date that has tested for this interaction. In a sample of older adults, Burkhardt and colleagues (2006) found a significant *APOE*-by-free testosterone interaction for general cognitive ability as well as a composite measure of working memory, attention, and executive functions, but not episodic memory. Contrary to what would be predicted based on the prior literature, the combination of lower free testosterone levels and the $\epsilon 4$ allele was associated with better cognitive performance. This study was, however, limited by a small sample size (16 $\epsilon 4+$ and 29 $\epsilon 4-$ subjects).

The goals of the present study were to examine the degree to which free testosterone is associated with episodic memory in a community-based sample of middle-aged men, and to examine the potential interactive effects between free testosterone and the *APOE* allele. We hypothesized that free testosterone would be positively associated with episodic memory performance, consistent with the idea that age-related declines in testosterone negatively impact cognitive performance. Moreover, based on our prior finding for hippocampal volume, we hypothesized that episodic memory performance will be poorest in individuals with both low free testosterone and at least one copy of the $\epsilon 4$ allele. In order to address one of the potential sources of mixed findings in the literature we utilize three commonly used measures of episodic memory that examine both verbal and visual-spatial variants, as well as different methods of verbal memory assessment (i.e., list learning versus story recall).

2. Methods

2.1 Participants

Data were collected as part of the Vietnam Era Twin Study of Aging (VETSA), a longitudinal study of cognitive and brain aging with baseline in midlife (Kremen et al., 2013; Kremen et al., 2006). VETSA participants were selected from the Vietnam Era Twin (VET) Registry, a nationally distributed sample of male monozygotic (MZ) and dizygotic (DZ) twin pairs who served in the United States military at some point between 1965 and 1975 (Goldberg et al., 2002). All VETSA participants are military veterans; however, the majority (~80%) did not experience combat situations during their military careers. In total, 1237 men participated in the VETSA, the average age was 55.4 years ($SD = 2.5$; Range = 51 to 60). Participants were predominantly Caucasian (89.7%), with an average education of 13.8 years ($SD = 2.1$). In comparison to U.S. census data, VETSA participants are similar in demographic and health characteristics to American men in their age range (Centers for Disease Control and Prevention, 2003).

To be eligible for the VETSA both members of a twin pair had to agree to participate and be between the ages of 51 and 59 at the time of recruitment. Participants traveled to either the University of California San Diego or Boston University for a daylong series of physical,

psychosocial, and neurocognitive assessments. In rare cases (2.7% of subjects) project staff traveled to the participants in order to complete the assessments. Beginning in the third year of the project, levels of free testosterone were obtained via saliva (N = 783). Prior to data collection approval from local institutional review boards was obtained for each study site, and all participants provided signed informed consent upon their arrival at the testing site.

2.2 Episodic Memory Assessments

The VETSA neurocognitive battery was administered to all participants on the assessment day. Three instruments were used to assess verbal and visual-spatial episodic memory. Verbal episodic memory was assessed with the California Verbal Learning Test – second edition (word list recall; CVLT-2) (Delis et al., 2000), and the Logical Memory subtest (story recall) of the Wechsler Memory Scale – third edition (WMS-3) (Wechsler, 1997). Visual-spatial episodic memory was assessed with the Visual Reproductions subtest (figure recall) of the WMS-3. Each test was administered according to published instructions, with the exception of the Logical Memory test. In this case, each of the two stories that make up the test was read to the participant only once, whereas the published instructions require two presentations of the second story. For each test we utilized the delayed free recall measure as our indicator of episodic memory performance.

2.3 Testosterone Collection and Assay

Descriptions of our hormone collection and assay methods have been provided in detail elsewhere (Franz et al., 2010; Panizzon et al., 2013). Briefly, saliva samples were obtained on two non-consecutive days at home during a participant's typical week, as well as on the in-lab assessment day. Saliva contains free testosterone only; because free testosterone is not bound to sex hormone binding globulin, it is physiologically active, and is therefore viewed as a better indicator than total testosterone (free + bound) for examining the effects of the hormone on traits of interest (Roy et al., 2002; Stanworth and Jones, 2008). The at-home samples were collected approximately two weeks prior to the assessment day in order to avoid disruption of normal schedules that could be caused by travel to the testing site. Samples were collected at waking, 30 minutes after waking (wake +30), 10:00 a.m., 3:00 p.m., and evening/bedtime on all days. This was done primarily to capture diurnal changes in cortisol levels. Precise times of sample collection were recorded by the participant, and were later confirmed against data from electronic track caps. Once collected, samples were sent via overnight mail to the University of California, Davis for assay.

Prior to assay, saliva samples were centrifuged at 3000 rpm for 20 minutes to separate the aqueous component from mucins and other suspended particles. Concentrations of free testosterone were determined in duplicate using commercial radioimmunoassay kits (Beckman Coulter Inc., formerly Diagnostics Systems Laboratories, Webster, TX). Samples from each participant were assayed together using procedures described by Granger and colleagues (Granger et al., 1999). The least detectable concentration for the assay was 1.3697 pg/ml, and intra-assay and inter-assay coefficients of variation were 3.141 pg/ml and 4.878 pg/ml, respectively. Data from one to three individuals were included in each assay batch, and assays were always performed without knowledge of the zygosity of the twin pairs.

Free testosterone levels greater than three standard deviations above the average waking measurement, the highest value of the day, were set to missing in order to eliminate outliers. Data from participants who reported taking testosterone supplements or other medications known to alter testosterone levels were also excluded (N = 3). Scores for missing data were imputed if a participant had a single missing value on a day. Imputations were made for less than 1% of all available hormone samples. To impute missing data, we calculated the full

samples' mean change in testosterone level between the time-point with the missing value and the adjacent time-point. We then added or subtracted the mean change in testosterone for those two points from the participant's non-missing time-point (Panizzon et al., 2013). For the present study we utilized the average free testosterone level from all time-points across the three assessment days.

2.4 APOE Genotype

APOE genotyping was conducted at the Puget Sound VA Healthcare System using established laboratory methods (Emi et al., 1988; Hixson and Vernier, 1990). The genotype was independently determined twice, and lab personnel were blind to the zygosity of the participant and the genotype of the co-twin. Of the 717 VETSA participants for whom cognitive, testosterone, and *APOE* genotype data were available, 3 (0.4%) had a 2/2 genotype, 99 (13.8%) had a 2/3 genotype, 26 (3.6%) had a 2/4 genotype, 419 (58.4%) had a 3/3 genotype, 153 (21.3%) had a 3/4 genotype, and 17 (2.4%) had a 4/4 genotype. For the present study, participants with at least 1 copy of the $\epsilon 4$ allele were classified as being $\epsilon 4$ positive ($\epsilon 4+$; 27.3%); all other participants were classified as $\epsilon 4$ negative ($\epsilon 4-$; 72.7%).

2.5 Confounders/Covariates

All analyses included age, early adulthood general cognitive ability, symptoms of depression, and overall health status as covariates. Early adulthood general cognitive ability was assessed with the Armed Forces Qualification Test (AFQT, Form 7A), a 50-minute, 100 item, multiple-choice formatted test that was administered to each VETSA participant at the time of military induction, roughly corresponding to age 20. The AFQT has been shown to correlate highly ($r = .84$) with widely used measures of general cognitive ability such as the Wechsler Adult Intelligence Scale (McGrevy et al., 1974), and within the VETSA sample has been shown to correlate .74 across a 35 year time interval (Lyons et al., 2009). Symptoms of depression, which may affect cognition and have been associated with low testosterone levels (Zarrouf et al., 2009), were assessed with the Center for Epidemiologic Studies Depression scale (CES-D), a 20-item questionnaire assessing frequency of moods and behaviors in the past week (Radloff, 1977). Finally, overall health status was assessed through a structured medical history interview administered to each participant on the assessment day. Participants were asked whether a physician had ever diagnosed them any of 49 medical conditions/illnesses. We then created a composite score reflecting 16 chronic major health problems known to negatively influence mortality (e.g., hypertension, cancer, diabetes, peripheral vascular disease) (Charlson et al., 1994; Charlson et al., 1987).

2.6 Statistical Analyses

Although the VETSA consists of both MZ and DZ twin pairs, our goal was to conduct a non-heritability focused analysis in which the individual rather than the twin pair was the unit of analysis. Therefore, analyses were conducted using a multilevel, mixed linear model in SAS (SAS Proc Mixed, SAS version 9.2), which allowed for the use of data from all available participants while correcting for the non-independence of the observations. Due to the natural clustering of participants within dyads, each member of a twin pair was assigned a unique identification number as well as a twin-pair specific number, referred to here as the family ID. Each hormone assay batch was also assigned a unique identification number (referred to as batch ID) so that we could further control for any potential clustering introduced by the laboratory procedures. Both family ID and batch ID were entered into the model as random effects. Analyses were conducted in a step-wise fashion, such that we first tested the independent main effects of testosterone level and *APOE*- $\epsilon 4$ status, as well as all covariates, and then proceeded to test the significance of the interaction between the hormone and the genotype. Significant associations were determined using the type III test

of fixed effects, indicating the unique association of each element of the model independent of the others.

3. Results

Descriptive statistics for the present sample, stratified by *APOE*- ϵ 4 status are presented in Table 1. We observed no significant differences between the ϵ 4- and ϵ 4+ groups with respect to age, education, early adulthood general cognitive ability, symptoms of depression, overall health, or average testosterone level. Even with the narrow age range of the VETSA, a significant negative association was observed between age and testosterone level ($r = -.15$).

3.1 Main effects of testosterone and *APOE*- ϵ 4 status

Mixed model results for the main effects of testosterone and *APOE*- ϵ 4 status are presented in Table 2. Average testosterone level was found to have a significant positive association with CVLT and Logical Memory delayed recall. These effects corresponded to correlations of .09 for both measures. *APOE*- ϵ 4 status did not have a significant main effect on any of the memory measures examined.

3.2 *APOE*-by-Testosterone Interactions

Results of the test of the *APOE*-by-testosterone interaction are also presented in Table 2. A significant interaction was observed for Logical Memory delayed recall (see Figure 1). For the ϵ 4- group, the correlation between testosterone level and performance on the Logical Memory test was small and not statistically significant ($r = .05$). In contrast, a statistically significant correlation ($r = .20$) was observed between testosterone and Logical Memory in the ϵ 4+ group. The relationship between testosterone level and Logical Memory performance is presented separately for each *APOE* group in Supplemental Figure 1.

3.3 Age Equivalency Effects

In order to place these results into a broader, aging-relevant context, we estimated age equivalency effects for testosterone in the full sample, as well as in the *APOE*- ϵ 4 carriers. For each memory measure that was significantly associated with testosterone level, the testosterone parameter estimate from the model (equivalent to the β weight that is obtained in a multiple regression) was multiplied by the testosterone interquartile range, and then divided by the parameter estimate for age (Lee et al., 2007; Schafer et al., 2005). This provided an age equivalent change in the memory measures associated with being at the low (25th percentile) versus high end (75th percentile) of the testosterone range. In the full sample, the delayed recall measures from the CVLT-2 and the Logical Memory subtest were found to have age equivalent effects of -3.24 and -3.40 years, respectively. In other words, the difference between the 25th and 75th percentile for testosterone level corresponded to an increase in age of over three years on these verbal memory measures. In the *APOE*- ϵ 4 carriers, the age equivalency effect for the CVLT-2 delayed recall measure was nearly identical to the estimate from the full sample (-3.60). However, the age equivalency effect for Logical Memory delayed recall, the measure where a significant *APOE*-by-testosterone interaction was observed, was -7.72 years. For the same measure, the age equivalency effect for the non-carrier group was -2.31 years. That is, the difference between the 25th and the 75th percentile for testosterone level corresponded to an increase in age of nearly eight years on Logical Memory in the *APOE*- ϵ 4 carrier group – more than three times that of the non-carrier group.

3.4 Effects of Low Testosterone

Secondary analyses were conducted in order to determine if individuals with low testosterone (Low-T) and at least one copy of the $\epsilon 4$ allele demonstrated poorer memory performance relative to individuals with only one or none of these risk factors. The continuous measure of average free testosterone was divided into three categories: Low-T (1 SD or more below the mean), Average-T (greater than 1 SD below the mean but less than 1 SD above the mean), and Elevated-T (1 SD or more above the mean). A statistical definition for Low-T was used since definitive clinical cut-offs for salivary based free testosterone have yet to be established. Analyses were conducted in the same fashion as previously described. There were no significant main effects of the new testosterone variable for any of the episodic memory measures, and no significant interactions with *APOE*- $\epsilon 4$ status. Post-hoc analyses revealed that for performance on the logical memory test there was a significant difference between $\epsilon 4$ carriers with Low-T and $\epsilon 4$ carriers with Elevated-T. No other significant group differences were observed.

4. Discussion

In the present study we found significant positive associations between free testosterone level and performance on two commonly used measures of verbal episodic memory. There was no significant association between testosterone and visual-spatial episodic memory performance. The associations with verbal episodic memory were small, corresponding to correlations of .09, but were nevertheless consistent in both the magnitude and direction of effects that have been observed in previous studies (Barrett-Connor et al., 1999; Moffat et al., 2002; Thilers et al., 2006). In addition, consistent with our prior report of an *APOE*-by-testosterone interaction for hippocampal volume (Panizzon et al., 2010), we observed a significant *APOE*-by-testosterone interaction for one of our verbal memory measures. In $\epsilon 4$ carriers free testosterone was positively associated with performance on the Logical Memory subtest ($r = .20$), whereas in the non-carriers essentially no association was observed ($r = .05$). This finding provides added support for a gene-by-hormone interaction between testosterone and *APOE*, one that is highly relevant to normal cognitive aging, as well as the potential for developing MCI and AD.

We did not observe a significant main effect of *APOE*- $\epsilon 4$ status on any of our measures of episodic memory, either before or after accounting for the gene-by-hormone interaction. Across studies, the effect size for differences in memory between adults with and without the $\epsilon 4$ allele, especially within this age range, has been found to be relatively small ($d = -.14$) (Wisdom et al., 2011). Thus, the lack of a significant main effect for *APOE* is not necessarily inconsistent with the literature. However, the fact that despite the absence of a main effect of *APOE*- $\epsilon 4$ status, a significant interaction with testosterone was nevertheless observed suggests that the impact of *APOE* genotype – particularly prior to older age – should be considered in conjunction with other factors rather than in isolation.

The present study contributes to a growing number of studies in which the interaction between *APOE*- $\epsilon 4$ status and other known risk factors for cognitive decline has been examined (Bender and Raz, 2012; de Frias et al., 2007; Gerritsen et al., 2011; Haan et al., 1999; Lee et al., 2011; Lee et al., 2008; Lyons et al., 2013; Panizzon et al., 2010; Peavy et al., 2007; Zade et al., 2010). Included among these is our previous finding regarding hippocampal volume (Panizzon et al. 2010), along with additional work by our group in which we observed an interaction between *APOE* genotype and stress-responsivity (Lyons et al., 2013). We initially hypothesized that individuals with low testosterone and at least one copy of the *APOE*- $\epsilon 4$ allele would demonstrate poorer memory performance relative to individuals with only one or neither of these risk factors; however, this proved not to be the case. Instead, the interaction between *APOE*- $\epsilon 4$ status and testosterone is suggestive of a

differential susceptibility effect rather than increased vulnerability in the presence of low testosterone and the at-risk allele.

As described by Belsky and colleagues (2007, 2009), genetic factors that are assumed to confer vulnerability may instead result in a differential susceptibility to both the positive and negative effects of some other factor (in this case testosterone). Such effects will result in a cross-over interaction, like the one depicted in Figure 1, in which the slope for the susceptible group ($\epsilon 4$ carriers) is significantly greater than the near zero slope of the non-susceptible group ($\epsilon 4$ non-carriers) (Belsky et al, 2007). In other words, $\epsilon 4$ carriers in positive or beneficial circumstances (in this case, exposure to higher levels of testosterone) may actually function better than $\epsilon 4$ non-carriers. Results from several studies that report a significant interaction with *APOE*- $\epsilon 4$ status are indeed consistent with a differential susceptibility effect of the $\epsilon 4$ allele and phenotypes including pulse pressure, cumulative stroke risk, and cortisol level on episodic memory and other cognitive processes (Bender and Raz, 2012; Lee et al., 2008; Zade et al., 2010). Taken together, these findings support the hypothesis that *APOE*- $\epsilon 4$ status may increase susceptibility to both the positive and negative effects of factors – be they external stressors or biomedical conditions – that at one extreme may contribute to cognitive decline or dementia. These interaction effects may precede mean level effects of the gene that are observed in later life.

Correlations between our episodic memory measures ranged from .34 between Logical Memory and Visual Reproductions, to .44 between Logical Memory and CVLT, indicating that while there is clearly overlap between the three measures, each possesses substantial measure-unique variance. Thus, it is not surprising that the main effects of free testosterone, as well as the interaction with *APOE*- $\epsilon 4$ status were not consistent across measures. It is interesting to note that in a study on the effects of *APOE* genotype and prolonged stress on episodic memory performance, a significant interaction effect between the two risk factors was also observed for Logical Memory performance, but not performance on the CVLT (Version1) or Visual Reproductions (Peavy et al., 2007). Performance on the CVLT and Logical Memory has been shown to be differentially impacted by executive functioning deficits in clinical populations, with the CVLT proving to be more sensitive of the two tests (Brooks et al., 2006; Tremont et al., 2000). This has led to speculation that for measures of verbal episodic memory, the Logical Memory test is a more direct indicator of hippocampal integrity (Tremont et al., 2000). The fact that with performance on the Logical Memory test we found an interaction effect similar to what we previously observed in relation to hippocampal volume lends support to this hypothesis; however, whether performance on Logical Memory test is indeed more sensitive than the CVLT to the effects of cognitive aging and other established risk factors remains to be seen.

The precise mechanism underlying the interaction between testosterone and *APOE* has yet to be completely elucidated; however, the androgen receptor is likely to play a central role. The androgen receptor functions as a transcriptional activator, regulating the expression of downstream androgen-responsive genes like *APOE* (Bennett et al., 2010; Dalton and Gao, 2010; Raber, 2004). The relationship between *APOE* and the androgen receptor, however, is not unidirectional. For instance, expression of the $\epsilon 4$ genotype has been shown to affect the binding affinity of the androgen receptor for testosterone (Raber et al., 2002), while there is also evidence to suggest that variation in the androgen receptor can influence the association of $\epsilon 4$ genotype with tasks that are mediated by the hippocampus (Rizk-Jackson et al., 2008). The relationship is further complicated by the fact that the transcriptional effects of the androgen receptor are themselves influenced by the level of testosterone present, as well as the affinity of the androgen receptor for testosterone (Dalton and Gao, 2010).

It is also important to recognize that episodic memory, as well as numerous other cognitive processes, can be influenced by multiple neuroendocrine factors. For example, estradiol levels in men have been positively associated with episodic memory performance, at times in the absence of significant testosterone effects (Cherrier et al., 2005; Zimmerman et al., 2011). Given that estradiol is a derivative of testosterone, such findings suggest that it may not be the level of testosterone per se, but the degree to which it is aromatized into estradiol that is most relevant to cognition. Elevated levels of cortisol have also been found to negatively affect memory performance, as well as associated brain regions like the hippocampus (Lupien et al., 1998). Cortisol has also been found to interact with *APOE*- ϵ 4 status in a fashion similar to what was observed here, suggesting that the differential susceptibility effect is not specific to the relationship between testosterone and cognition (Lee et al., 2008). In the future, more comprehensive examinations of the *APOE*-testosterone interaction will ultimately need to assess not only the *APOE* genotype and testosterone level, but also the genetic and non-genetic aspects of androgen receptor function as well as the interplay of other neuroendocrine factors that could potentially mediate or moderate the effect.

It remains to be seen whether the effects observed in the present study will stay consistent over time, and whether the interaction between *APOE* and testosterone will replicate in older cohorts of men. Across multiple studies and varying age ranges the relationship between testosterone and cognitive functioning has primarily been shown to be positive; in other words, higher testosterone levels have generally been associated with improved function (Beauchet, 2006; Holland et al., 2011; Maggio et al., 2012). However, in the only other study to demonstrate a significant interaction between *APOE* and testosterone for cognition, Burkhardt and colleagues (2006) found that testosterone level had a negative effect on cognitive functioning in ϵ 4 carriers, whereas the relationship remained positive in the non-carriers. In that study the participants were substantially older than those in the VETSA (average age was 74.3 for the ϵ 4 carriers, 69.5 for the non-carriers), which raises the question of whether increased rates of neuropathology in the ϵ 4 carriers could have altered the testosterone-cognition relationship. It has been proposed that in women, gonadal hormones such as estrogen are beneficial to neuronal function if those neurons are healthy; however, once neuropathological processes have begun, the hormone becomes detrimental to function (Brinton, 2005). This “healthy cell bias” theory could explain the differences in the interaction effects observed in the present study and that of Burkhardt and colleagues. Although detrimental effects of testosterone on cognition in individuals at greater risk for neuropathology have not been clearly established, the possibility of contrasting effects as predicted by the healthy cell bias theory warrants further investigation as it could have substantial implications for the application of testosterone replacement therapy.

There are some limitations to the present study that warrant consideration. The all male composition of the VETSA limits our ability to generalize these findings to women. Although the change is far less dramatic than what is observed in men, women also experience late life declines in testosterone levels (Bachmann et al., 2002); thus, the presence of a similar gene-by-hormone interaction in women is possible. It is also the case that although we had 717 participants, only 17 possessed an ϵ 4/ ϵ 4 genotype; thus, we were underpowered to determine whether the moderating effect of *APOE*- ϵ 4 status varied based on the number of ϵ 4 alleles. The present results are based on cross-sectional analyses, as a result we are unable to determine whether the observed effects of testosterone or the *APOE*-by-testosterone interaction reflect long standing processes, or are instead the result of age-related changes in testosterone level. Lastly, although we observed significant changes in the correlation between free testosterone level and logical memory performance as a function of *APOE*- ϵ 4 status, it remains to be seen whether this change is due to alterations of the genetic and/or environmental covariance between the two phenotypes. Even though the moderating

effect in this study was a genetic factor ($\epsilon 4$ status), this does not imply that the resulting change in the correlation between testosterone and memory must be genetic in nature. Gene-environment interactions could also produce the observed effect on the relationship. Further investigation into this issue is possible using multivariate applications of the classical twin design; however, such analyses go beyond the scope of the present study.

We believe that our study also possesses several strengths. First, our large sample size meant that we had sufficient power to detect the relatively small effects of testosterone on episodic memory, as well as the interaction between *APOE*- $\epsilon 4$ status and testosterone. It is worth noting that many of the studies that contribute to the mixed findings regarding testosterone and cognition have utilized small samples of fewer than 100 participants (Holland et al., 2011). With 196 $\epsilon 4$ carriers and 521 non-carriers, the present study provides robust estimates of the association between testosterone and memory during mid-life for the general population, as well as *APOE* subgroups. Second, we utilized multiple measures of episodic memory performance. Our results did not generalize across all tests, highlighting the fact that it cannot be assumed that all episodic memory tests assess the same underlying cognitive constructs to an equivalent degree. Third, our measure of average free testosterone is based on multiple samples taken over multiple days, which is likely to be more stable and reliable than a single time-point measurement (Diver et al., 2003; Panizzon et al., 2013). Finally, by examining free testosterone, which is physiologically active, our measure reflects hormone levels that are most likely to influence androgen-responsive systems.

In summary, we found significant positive associations between free testosterone level and verbal episodic memory in a sample of middle-aged men. Moreover, we observed a significant interaction between free testosterone and *APOE*- $\epsilon 4$ status, such that in $\epsilon 4+$ individuals free testosterone was positively associated with one indicator of verbal episodic memory performance, whereas in $\epsilon 4-$ individuals no association was observed. In relatively younger, middle-aged adults, the effects of having an $\epsilon 4$ allele may not yet be strong enough to produce mean level changes in traits of interest; rather, its effect may be observable in interactions with other risk factors.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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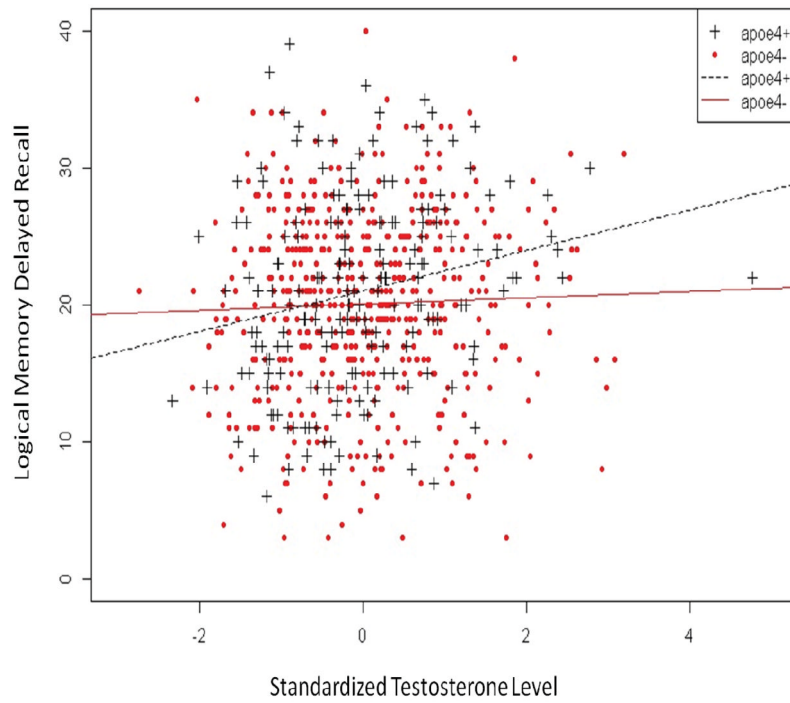


Figure 1. Relationship between free testosterone level and Logical Memory Delayed Recall by *APOE*- $\epsilon 4$ status. $\epsilon 4$ carriers ($\epsilon 4+$) are represented in blue, $\epsilon 4$ non-carriers ($\epsilon 4-$) are represented in red. In $\epsilon 4$ carriers individuals free testosterone was positively correlated with performance ($r = .20$), whereas in non-carriers the correlation was not significant ($r = .05$).

Table 1Descriptive Statistics Stratified by *APOE*- ϵ 4 Status

	<i>APOE</i> ϵ 4- (n = 521)	<i>APOE</i> ϵ 4+ (n = 196)	p
Age (years)	56.0 (2.6)	55.8 (2.7)	.2769
Education (years)	13.8 (2.1)	13.7 (2.3)	.7903
Age 20 General Cognitive Ability (percentile)	60.5 (22.4)	60.9 (23.5)	.3029
CES-D Total Score	8.3 (8.4)	7.8 (7.1)	.3615
Chronic Major Illnesses Score	1.1 (1.2)	1.0 (1.1)	.2836
Average Free Testosterone Level (pg/ml)	100.7 (30.1)	98.2 (30.2)	.2912

Data are reported as mean and standard deviations. Associated p-values are based on mixed models which account for the non-independence of the observations.

Table 2

Main and interaction effects of testosterone level and APOE-ε4 status on measures of episodic memory

	Main Effects Only				Main Effects and Interaction					
	Estimate	SE	F	DF	p	Estimate	SE	F	DF	p
<i>CVLT Delayed Recall</i>										
Testosterone Level	0.0084	0.0036	5.48	229	.0201	0.0122	0.0068	5.65	228	.0183
APOE-ε4 Status	-0.1530	0.2538	0.36	229	.5473	0.3573	0.8286	0.19	228	.6667
Interaction	--	--	--	--	--	-0.0051	0.0079	0.42	228	.5184
<i>Logical Memory Delayed Recall</i>										
Testosterone Level	0.0197	0.0081	5.89	231	.0161	0.0464	0.0156	9.52	230	.0023
APOE-ε4 Status	-0.5278	0.5811	0.83	231	.3647	3.0902	1.9002	2.64	230	.1053
Interaction	--	--	--	--	--	-0.0362	0.0181	4.00	230	.0467
<i>Visual Reproduction Delayed Recall</i>										
Testosterone Level	0.0019	0.0233	0.01	232	.9351	0.0083	0.0450	0.02	231	.8808
APOE-ε4 Status	2.6427	1.6492	2.57	232	.1104	3.5095	5.4593	0.41	231	.5210
Interaction	--	--	--	--	--	-0.0087	0.0522	0.03	231	.8679

F and p values represent the type III test of fixed effects (i.e., controlling for all other elements of the model). Covariates include age, age 20 general cognitive ability, CES-D total score, and the chronic major illnesses score. Significant effects are presented in **bold** font.