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# Liver Autophagy: much more than just taking out the trash

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# Abstract

Studies performed in the liver in the 1960s led to the identification of lysosomes and the discovery of autophagy, the process by which intracellular proteins and organelles are degraded in lysosomes. Early studies in hepatocytes also uncovered how nutritional status regulates autophagy and how various circulating hormones modulate the activity of this catabolic process in the liver. The intensive characterization of hepatic autophagy over the years has revealed that lysosome-mediated degradation is important not only for maintaining liver homeostasis in normal physiological conditions, but also for an adequate response of this organ to stressors such as proteotoxicity, metabolic dysregulation, infection and carcinogenesis. Autophagic malfunction has also been implicated in the pathogenesis of common liver diseases, suggesting that chemical manipulation of this process might hold potential therapeutic value. In this Review—intended as an introduction to the topic of hepatic autophagy for clinical scientists—we describe the different types of hepatic autophagy, their role in maintaining homeostasis in a healthy liver and the contribution of autophagy malfunction to liver disease.

# Introduction

Autophagy is the term (from the Greek words 'auto' meaning 'self' and 'phagy' meaning 'to eat') adopted to describe the series of molecular reactions that ultimately result in the degradation of intracellular components in lysosomes.<sup>1,2</sup> Breakdown of proteins and organelles and the subsequent recycling of their essential constituents occur in all types of cells and organs with different purposes. It is intuitive to infer that degradation is an efficient method of cellular 'cleaning'. Proteins and organelles bearing any type of abnormality due to faulty synthesis, or that become damaged by intracellular or extracellular insults, need to be removed before they become toxic.<sup>3</sup> In this respect, autophagy is one of the most powerful systems for cellular clean-up, as lysosomal hydrolases can degrade all type of autophagy go far beyond the elimination of damaged cellular components and protein quality control. In fact, all intracellular proteins and organelles, even if they are not damaged, undergo some degree of continuous synthesis and degradation. This recycling allows for their renewal and prevents malfunction due to wear and tear. <sup>3</sup>

An additional reason for degradation of macromolecular structures is to utilize their breakdown products as an internally derived source of energy.<sup>5,6</sup> Autophagic degradation of macromolecular structures in lysosomes generates amino acids, free fatty acids and carbohydrates moieties that can be recycled for synthesis of new cellular components or

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further oxidized to generate ATP, mostly in conditions when nutrients are scarce. Both, degradation and recycling are particularly important in highly metabolically active organs such as the liver, in which periods of starvation as short as 4 hours are enough to induce maximal activation of autophagy.<sup>7</sup> Interestingly, this starvation-induced upregulation of autophagy in the liver led to the initial identification of this pathway in mammals.<sup>7</sup> Early studies in hepatocytes also provided detailed descriptions of the vesicles that participate in the sequestration and delivery of cytosolic material to lysosomes. Quantification of the changes in the number and size of these vesicles and in liver protein turnover led to the characterization of the regulatory effect of selected amino acids and hormones such as insulin and glucagon on hepatic autophagy.<sup>7–9</sup> However, identification of the genes

insulin and glucagon on hepatic autophagy.<sup>7–9</sup> However, identification of the genes responsible for autophagy did not begin until 30 years later, when a detailed molecular dissection of this process was performed in yeast.<sup>10</sup> Discovery of the genes controlling autophagy and the fact that most of them are conserved from yeast to mammals <sup>11</sup> has provided momentum for numerous studies connecting malfunctioning of the autophagic system with different human diseases such as cancer, neurodegeneration, myopathies, infectious conditions and metabolic disorders.<sup>1,2</sup> Modulating autophagy for therapeutic purposes holds potential for the treatment of the plethora of disease states in which autophagic processes are dysregulated. In fact, pharmacological manipulation of autophagy has already begun testing in clinical trials for different types of cancers and for some severe neuronal and muscular degenerative diseases.

The liver, the organ in which autophagy research started, has also benefited from this enhanced understanding of autophagy, a process that is tightly connected to hepatic pathophysiology. This Review is intended as an introduction for clinical scientists to the topic of hepatic autophagy, its contribution to liver physiology and to the growing number of liver diseases linked to autophagy malfunction.

# Autophagic pathways in the liver

Hepatocytes, like most cells, have many ways to transport cytosolic material (cargo) to lysosomes for degradation. The identification of the mechanisms behind these different methods of delivery has helped define three co-existing types of autophagy: macroautophagy, microautophagy, and chaperone-mediated autophagy (CMA) (Figure 1). In many of these pathways, the autophagic process can be 'constitutive' (continuously active) or 'inducible' (activated in response to stimuli). In addition, cargo can be delivered to lysosomes in bulk (through random sequestration) or selectively (by individual targeting of each cargo molecule).

### Macroautophagy

The distinctive step of macroautophagy is the formation of a double-membraned vesicle (autophagosome), which sequesters cytosolic material to be degraded by lysosomal hydrolases once the autophagosome fuses with lysosomes. Examples of types of macroautophagy that target specific organelles for degradation are mitophagy (mitochondria), pexophagy (peroxisomes), and lipophagy (lipid droplets). About 30 autophagy-related genes and their protein products (Atgs) organize into functional complexes that act co-ordinately at different steps of this process (reviewed elsewhere<sup>2,12</sup>). The temporal and spatial organization of the Atg complexes initiates autophagosome formation from sites such as the endoplasmic reticulum (ER), mitochondria, Golgi or the plasma membrane or endocytic system. Targeted autophagic degradation is possible owing to a subset of autophagy receptors, which link Atg proteins that initiate autophagosome formation to the surface of the designated cargo.<sup>13</sup> For example, these receptors permit the identification and removal of one damaged mitochondrion from a whole pool of functional mitochondria<sup>14</sup>. Atg proteins also mediate the sealing of the autophagosome limiting

membrane, known as the phagophore or isolation membrane, that encloses the cargo, and finally, Atg proteins also participate in the microtubule-dependent trafficking of the autophagosome and the ultimate fusion between autophagosomes and lysosomes.<sup>1,2</sup> In certain conditions, autophagosomes might fuse instead with endosomes to create an intermediary compartment (amphisome) that then delivers cargo to lysosomes.<sup>15</sup> The final step in macroautophagy, shared with the other autophagic pathways, is the degradation and recycling of breakdown products back into the cytosol. Several lysosomal membrane permeases involved in this recycling pathway have already been characterized in yeast, but the identification of mammalian homologues has just begun.<sup>16</sup> The material freed from the lysosomes (amino acids, sugars and fatty acids) is sensed by regulatory complexes that modulate autophagy activity. For example, the mammalian target of rapamycin (mTOR), the best characterized negative regulator of macroautophagy, sits on the surface of lysosomes and is controlled by the efflux of select amino acids and glucose released from these compartments<sup>17</sup> (Figure 2).

Macroautophagy was originally thought to be activated only in response to stress, such as starvation. However, macroautophagy also has a role in the unstressed liver since genetic blockage of this pathway in hepatocytes leads to accumulation of damaged organelles and altered proteins even under basal conditions.<sup>18</sup> Therefore, maintenance of hepatocyte homeostasis and protein quality control requires constitutive macroautophagy. Both constitutive and inducible macroautophagy share the same core Atg machinery but they are subjected to different regulation. For instance, constitutive macroautophagy is insensitive to the well-characterized inhibitory effects that mTOR, insulin-signalling, and selected amino acids exert on starvation-induced macroautophagy. <sup>19</sup> In most organs, different stimuli (ER stress, hypoxia, oxidative stress, DNA damage or mitochondria dysfunction) can activate macroautophagy through a broad array of signalling pathways that include JNK (c-Jun N-terminal kinase), CaMKK (calcium/calmodulin-dependent protein kinase kinase), LKB (liver kinase B), AKT (protein kinase B), Sirt1 (sirtuin 1), PERK (protein kinase RNA-like endoplasmic reticulum kinase), and p53-mediated signalling (reviewed elsewhere<sup>20</sup>).

#### Microautophagy

Microautophagy was also originally described in hepatocytes as the internalization of cytosolic material in lysosomes by invaginations in the lysosomal membrane (Figure 1).<sup>4,21</sup> These invaginations pinch off from the membrane as single-membraned vesicles that undergo degradation in the lysosomal lumen. In contrast to the high conservation of the genes involved in macroautophagy, yeast microautophagy genes are not conserved in mammals.<sup>22</sup> Although this lack of conservation has called into question whether microautophagy occurs in mammals, studies support the idea that a microautophagy-like process takes place at late endosomes in mammalian cells, including hepatocytes<sup>23</sup> (Figure 1). Endosomal microautophagy utilizes components of the ESCRT (endosomal sorting complexes required for transport) complex, known to participate in internalization and recycling of plasma membrane proteins through formation of these endosomal vesicles.<sup>24</sup> Interestingly, ESCRT proteins and functional multivesicular bodies are also required to sustain macroautophagic activity.<sup>25</sup> Both an in bulk and a selective form of this process mediated by the chaperone hsc70 have been described.<sup>23</sup>

## Chaperone-mediated autophagy

The distinctive feature of CMA is that substrate proteins are recognized in the cytosol by a chaperone<sup>26</sup> that brings them to lysosomes where they cross the membrane through a translocation complex instead of being delivered by vesicles (Figure 1).<sup>27</sup> This type of transport requires the unfolding of the substrate protein so it can cross the lysosomal lipid

bilayer, and the presence of chaperones on both sides of the membrane to pull substrate proteins through one by one. The lysosome-associated membrane protein type 2A (LAMP-2A)<sup>28</sup> and the constitutively expressed chaperone hsc70, are essential players in this type of autophagy.<sup>29–31</sup> CMA was initially identified in fibroblasts in culture but most of its molecular characterization has been performed in the liver. Upregulation of CMA occurs as part of the cellular response to various cellular insults such as prolonged nutrient deprivation, oxidative stress, and proteotoxicity.<sup>32</sup>,<sup>33</sup>

# Autophagy in the healthy liver

The number of cellular functions known to be linked to the autophagic process has considerably expanded in the last decade as a result of the many studies using genetic and chemical approaches to block or upregulate autophagy. Comparison of transgenic mouse models with blockage of autophagy in different organs has permitted the identification of a subset of functions of autophagy shared by all cells, as well as organ-specific functions. In this section we describe two of the main general functions attributed to autophagy in the healthy liver.

#### Energetic cellular balance

Autophagy helps maintain a positive energetic balance in the liver through different mechanisms: first, through breakdown of intracellular stores of macromolecules that can be utilized for cellular fuel; second, through control of key regulatory enzymes of cellular metabolism; and third, through preservation of mitochondrial homeostasis by efficient organelle turnover (Figure 3).

**Breakdown of intracellular energy stores**—Hepatic macroautophagy is rapidly activated during the first 4–6 h of starvation. This increase in protein degradation replenishes the pool of intracellular amino acids and thus preserves protein synthesis under these conditions.<sup>21</sup> The amino acids from the lysosomal breakdown can also enter the Krebs cycle and contribute to ATP generation<sup>34–36</sup> or be utilized for gluconeogenesis.<sup>37</sup> This autophagy-mediated adaptation to starvation is essential in neonates during the critical period between interruption of the transplacental supply of nutrients and lactation. In fact, mice lacking essential autophagy genes, although normal at birth, die in the first days of life owing to their inability to sustain high enough concentrations of amino acids to maintain ATP levels and the synthesis of essential proteins.<sup>38</sup> Moreover, mice with specific deletion of essential autophagy genes in the liver have a marked inability to adapt to nutrient deprivation owing to failure of starvation-induced proteolysis and lipolysis.<sup>18</sup> The energetic imbalance in these mice is further aggravated by the fact that mitochondria function, which is essential for beta-oxidation of lipids, is compromised due to the inefficient turnover of these organelles by autophagy.<sup>18</sup>

Activation of liver macroautophagy during starvation is promoted by the increase in circulating glucagon and the concomitant decrease in circulating levels of insulin and amino acids, both potent inhibitors of hepatic macroautophagy.<sup>7,9</sup> Degradation of proteins by macroautophagy in the liver declines by 8 h into the starvation period and is followed by a gradual activation of CMA.<sup>39</sup> Hepatic CMA activity reaches its maximum at 24 h after nutrient deprivation and remains activated for up to 3 days, serving as an internal source of amino acids.<sup>39</sup> The mechanisms that trigger this activation of CMA in response to starvation are for the most part unknown. Signalling through the retinoic acid receptor alpha (RARα) is the only pathway described to modulate CMA activity so far.<sup>40</sup> However, this pathway might not have an important role in the activation of CMA during starvation because the inhibition of CMA by retinoic acid signalling is insensitive to nutritional changes, and antagonists of RARα have an additive effect with starvation on CMA activation.<sup>40</sup> Early

studies analysed the possible stimulatory role of ketone bodies on CMA because ketones are generated in the liver during prolonged starvation.<sup>41</sup> Although increased rates of CMA can be observed upon exposure of cultured cells to ketone bodies, these molecules do not mediate signalling but rather induce oxidation of proteins, which explains the increase in selective degradation through CMA.<sup>41</sup> Given that activation of CMA by starvation does not require protein translation or synthesis, and the increase in the lysosomal levels of the receptor for this pathway during starvation is mainly the result of a decrease in the lysosomal turnover of this receptor,<sup>42</sup> it is likely that starvation. In this respect, it would be interesting to elucidate if, as in the case of macroautophagy, nutrient sensing proteins at the lysosomal membrane are responsible for modulating the levels and organization of the CMA translocation complex.

Macroautophagy also persists in the liver after 8 h of starvation but there is a shift in the type of cargo sequestered by autophagosomes from proteins to lipids<sup>43</sup> (Figure 3). Lipid droplets-cytosolic stores of triglycerides and cholesterol esters-are trapped inside autophagosomes and delivered to lysosomes for breakdown by the resident lysosomal lipases through a selective form of macroautophagy named macrolipophagy.<sup>43–45</sup> Lysosome-mediated lipolysis is independent from the lipolytic process carried out by the cytosolic lipases and depends instead on intralysosomal lipases.<sup>46,47</sup> Studies have shown that a whole transcriptional program is activated in the liver during starvation to prepare the autophagic/lysosomal system (including organelles and their enzymatic content) for the arrival and processing of the lipid cargo. <sup>46,48</sup> Although the relative contribution of lysosomal lipophagy to overall lipolysis under normal conditions might be discrete, lipophagy prevents massive accumulation of hepatic lipids in response to dietary lipid overload.<sup>43–45</sup> Interestingly, mobilization of lipids by macroautophagy in the liver is not limited to hepatocytes. For instance, lipophagy is essential for the activation of hepatic stellate cells, a key event in liver fibrogenesis, 49 which has led to the idea of transient chemical blockage of autophagy as a possible new therapeutic strategy for liver fibrosis 49,50.

Autophagy might also contribute to maintenance of the energetic balance in the liver through catabolism of glycogen, another major hepatic energy store<sup>51,52</sup> (Figure 3). The selectivity of this process, known as glycophagy, has been reinforced by the discovery that the protein Stbd1 (starch-binding domain-containing protein 1) serves as the cargo-recognizing receptor that delivers glycogen to the autophagosome through interaction with the Atg protein GABARAPL1 (gamma-aminobutyric acid receptor-associated protein-like 1). Microautophagy also participates in delivery and degradation of glycogen in the vacuole in yeast,<sup>53</sup> but it is unknown whether a similar process exists in mammals. More studies are also necessary to determine whether glycogenolysis, an essential process that provides glucose to extrahepatic tissues, is attributable to any type of autophagy.

**Regulation of liver enzyme content**—Besides active breakdown of energy stores, autophagy can contribute to the regulation of liver metabolism through the degradation of limiting enzymes in distinct metabolic pathways. CMA seems well suited for this task due to its ability to target single proteins for lysosomal degradation. In fact, key glycolytic enzymes have been shown to undergo proteolysis through this selective autophagic pathway.<sup>39,54</sup> Degradation of regulators of cellular metabolism, such as p62, via macroautophagy<sup>55</sup> has been proposed to also contribute to the transcriptional control of liver metabolism. In fact, most of the metabolic compromise in the mouse models of defective liver autophagy can be attributed to the accumulation of p62, because loss of autophagy in a p62 null animal presents with a very mild phenotype in comparison.<sup>56</sup>

Mitochondrial turnover—Proper functioning of mitochondria is key for the maintenance of the liver energetic balance. These organelles are most at risk of damage by reactive oxygen species, and often handle it by merging with the rest of the mitochondria network and diluting the burden.<sup>57</sup> However, in cases of severe insult, altered mitochondria are actively excluded from fusing with the network and instead are delivered to lysosomes for complete degradation through a macroautophagy variant known as mitophagy<sup>58,59</sup> (reviewed elsewhere<sup>14, 60</sup>). Changes at the membrane of the mitochondria are detected by cargo-recognizing molecules that bring along the essential components for the formation of the autophagosome<sup>57,59</sup> (Figure 3). The molecular pair PINK and Parkin, the Bnip3-like protein NIX (also known as NIP3-like protein X), p62, and NBR-1 (next to BRCA1 gene 1 protein) have all been shown to contribute to mitophagy, although probably in response to different stimuli.<sup>59,61,62</sup> For example, PINK and Parkin preferentially facilitate the degradation of depolarized mitochondria, but they are dispensable for starvation-induced mitophagy in which Bnip3 has a more prominent role.<sup>62</sup> Mitophagy of damaged mitochondria is initiated through PINK1-dependent recruitment to the mitochondria of the ubiquitin ligase Parkin, which targets the protein mitofusin (involved in mitochondrial dynamics) for proteasome degradation.<sup>59</sup> Parkin-induced degradation of mitofusin prevents accumulation of mitochondrial spheroids-the morphological representation of segregated damaged mitochondria often observed in liver injury.<sup>63</sup> Lysosomes might directly fuse with the mitochondrial spheroids as an alternative mechanism for mitochondria degradation when autophagy is compromised.<sup>63</sup> Although mitophagy is an important process in cellular quality control, it also mediates the normal turnover of healthy mitochondria and regulates the size of the mitochondria pool to accommodate, for example, changes in nutrient status.<sup>64</sup>

#### **Cellular quality control**

Autophagy has an important role in the clearance of abnormal or damaged hepatic proteins and organelles that would otherwise accumulate and lead to hepatotoxicity. Intracellular proteins with abnormal conformations resulting from faulty synthesis or posttranslational damage are frequent cargo of the different autophagic pathways in the liver. For example, although selective degradation of oxidized proteins is achieved in part through the proteasome, CMA is also activated in the liver after oxidative injury to mediate the removal of damaged proteins.<sup>32</sup> Blockage of CMA renders cells more susceptible to oxidative damage,<sup>33</sup> whereas genetic manipulations that prevent the age-dependent decrease of CMA in mouse liver markedly reduce the content of oxidized proteins in this organ.<sup>65</sup> In fact, preservation of normal hepatic CMA activity until late in life in rodents improves overall hepatocyte homeostasis, maintains the ability of the liver to respond to stressors and keeps hepatic function in these old animals at levels comparable to those in young animals.<sup>65</sup>

The high levels of oxidative damage detected in the liver in mouse models of compromised autophagy highlights the importance of macroautophagy in the hepatocyte response against oxidative stress.<sup>18</sup> Interestingly, hepatocytes have an antioxidant transcriptional program in place that is activated when autophagy is compromised to ameliorate the subsequent oxidative stress damage.<sup>66</sup> The main regulator of this program, Nrf2, is under the control of an autophagy substrate, p62. Nfr2 is constitutively trapped by Keap1, which facilitates its degradation by the proteasome and maintains its transcriptional activity at a low level. When autophagy fails, p62 accumulates and its ability to bind Keap1 competitively prevents degradation of Nfr2, leading to the activation of the protective Nrf2- mediated program.<sup>67–69</sup> However, the effect of Nrf2-mediated signalling in the liver might be more complex than initially anticipated because a study has shown that simultaneous elimination of Nrf2, Keap1 and Atg7 in mouse liver reduces the hepatic damage observed in Atg7-deficient animals.<sup>70</sup>

Although both macroautophagy<sup>71</sup> and CMA<sup>32</sup> become activated during oxidative stress, they are not redundant in their protective functions. In fact, the contribution of one pathway or the other becomes more prevalent depending on the target of the oxidative damage. Conditions with predominantly protein damage benefit from the selectivity of CMA, whereas organelle injury and accumulation of protein aggregates are handled for the most part by macroautophagy. Most of the anti-oxidant effect of macroautophagy has been attributed to its role in the removal of damaged or malfunctioning mitochondria through mitophagy, as described in the previous section (Figure 3).

Hepatic autophagy is also important for the maintenance of ER homeostasis. The very high rates of secretory protein synthesis sustained by hepatocytes impose continuous stress in the ER. Cells respond to ER proteotoxicity through the activation of the unfolding protein response (UPR), a local response that upregulates molecular chaperones, attenuates translation, and enhances degradation of misfolded proteins by the proteasome. Protein stress inside the ER lumen can also trigger macroautophagy, <sup>72,73</sup> and this activation is in part mediated by transcription factors such as cJun<sup>74</sup> but also by some of the factors that participate in the UPR<sup>75</sup> (Figure 3). This coordinated activation of UPR and ER stressinduced autophagy might facilitate the disposal of misfolded proteins that cannot be fully degraded by the proteasome, as well as facilitate the selective degradation of portions of the ER to expedite recovery of ER homeostasis. <sup>72,73,76</sup> The ability of macroautophagy to minimize ER stress has been confirmed in vivo using genetic and dietary mouse models of obesity in which, as described in the following sections, severe compromise of autophagy is responsible for elevated ER stress, and restoration of normal levels of autophagy is sufficient to ameliorate this stress.<sup>77</sup> However, considering the important contribution of the ER membrane to autophagosome biogenesis,<sup>78</sup> the protective effect of macroautophagy against ER stress could have some limit, as conditions of chronic uncontrolled ER stress might have a negative effect on autophagic function.

In summary, degradation of hepatocyte organelles through autophagy serves both to eliminate those organelles that malfunction and thus prevent further cellular damage, and also to modulate the size and number of the pool of a particular organelle to adapt to intracellular and extracellular stress. Although the same basic structural autophagic components are shared in both cases, the signalling and modulatory pathways might be different depending on the purpose of the autophagic engagement.

# Autophagy and liver disease

A growing number of liver pathologies have been associated with primary or secondary autophagy dysfunction. In some of these disorders, the consequences of the inadequacy of the autophagic process are closely linked to the role of autophagy in the maintenance of the energetic balance. In other instances, pathology arises because of the inability of hepatic autophagy to eliminate abnormal cellular components or structures damaged as a consequence of the underlying pathology. We have selected examples of liver pathologies in which autophagy malfunction contributes to loss of quality control, metabolic imbalance, or to the inability to carry out a stress response.

## Alpha-1 antitrypsin deficiency

Failure of both proteasomal degradation and autophagy has been described in the liverspecific protein conformational disorder known as  $\alpha$ 1-antitrypsin deficiency. In this hereditary condition, a point mutation in the plasma protease inhibitor  $\alpha$ 1-antitrypsin, normally synthesized and secreted by the liver, causes trapping of the mutant protein (referred to as ATZ), in the ER in the form of insoluble protein inclusions.<sup>79</sup> This proteotoxic insult ultimately progresses to chronic liver injury, hepatic fibrosis, and on

occasion HCC (reviewed elsewhere<sup>80</sup>) (Figure 4a). Proteasomal degradation and autophagy are activated in response to the accumulation of ATZ in the ER and contribute to its degradation. <sup>81,82</sup> In fact, expression of ATZ in mouse models is sufficient to activate autophagy *in vivo* and, conversely, genetic blockage of macroautophagy leads to accumulation of ATZ intracellular inclusions in these animals.<sup>81</sup> Constitutive upregulation of liver macroautophagy in this disease and the subsequent turnover of mutant  $\alpha$ 1-antitrypsin contribute to reduce toxicity, cellular damage and inflammatory responses in hepatocytes.<sup>81</sup> However, liver damage can ultimately occur because the affected hepatocytes cannot further induce autophagy in response to stressors such as starvation, and they also exhibit alterations in mitophagy, which could explain the accumulation of abnormal mitochondria that is characteristic of the disease.<sup>82,83</sup> Individual variability in the autophagic response of patients might explain the diversity of phenotypic expression and severity of liver disease in  $\alpha$ 1-antitrypsin deficiency and perhaps the predisposition to HCC.<sup>80</sup>

The exciting finding that the autophagy-enhancing drug carbamazepine is able to decrease the number of ATZ-containing globules and reduce hepatic injury and fibrosis in mouse models of a1-antitrypsin deficiency supports the idea that pharmacological upregulation of autophagy might be of therapeutic value<sup>84</sup> (Figure 4). Ongoing pilot studies are currently testing the efficacy of this autophagy activator in patients with  $\alpha$ 1-antitrypsin deficiency and end-stage liver failure for which the only current curative treatment is liver transplantation (http://clinicaltrials.gov/show/NCT01379469). The beneficial effect of enhancing autophagy in the a1-antitrypsin deficient liver has been further validated in studies with the transcription factor EB (TFEB), a master regulatory gene that controls a transcriptional program capable of upregulating autophagy and the lysosomal system.<sup>48</sup> Gene transfer of Tfeb rescues the a1-antitrypsin deficient phenotype in mice by increasing the degradation of the oligomeric ATZ protein through autophagy.<sup>85</sup> Although most current efforts are focused on al-antitrypsin deficiency, pharmacologic or genetic activation of autophagy has therapeutic potential for protecting against hepatic damage caused by primary or secondary accumulation of other toxic pathogenic proteins in the liver. For example, autophagy has been shown to mediate the elimination of hepatic Mallory bodies,<sup>86</sup> which are inclusions of keratin intermediate filaments that form in livers affected by chronic conditions such as alcoholic hepatitis, cirrhosis, Wilson's disease, and hepatocellular carcinoma (HCC).

#### Toxic injury to the liver

Autophagy is an essential component of the cellular response to numerous stressors. Of relevance for the liver are the oxidative and hypoxic stress that underlie the basis of common types of hepatic injury such as those inflicted by ischaemia–reperfusion or by hepatotoxic drugs including alcohol. Although autophagy is activated as a protective mechanism in most of these instances, compromised autophagic activity, often as consequence of the effect of the aggressor on autophagy, aggravates the course of disease. Repairing the autophagic defect or enhancing the autophagic capacity of the liver might have curative value in these conditions.

**Ischaemia–reperfusion injury**—Ischaemia–reperfusion injury, a common complication of liver surgery and transplantation, is a result of the insult to hepatocytes during the ischaemic period and the subsequent damage from the activation of pro-inflammatory pathways and elevation of oxidative stress. Initial studies reported contradictory data on the protective or damaging effect of autophagy during ischaemia–reperfusion injury.<sup>87–90</sup> Part of the confusion originates from the fact that read-outs of the autophagic process in studies with animal models *in vivo* and in patients are often limited to electron microscopy analysis or measurement of Atg proteins levels, which provide information on the steady-state of autophagy but functional measurements are lacking. For example, an increase in the number

of autophagosomes observed by electron microscopy or in the levels of integral autophagosome Atg proteins could be a result of enhanced induction of autophagy but could also be the result of compromised clearance of autophagosomes. Thus, general agreement exists that multiple factors have to be taken into consideration in the interplay of autophagy with ischaemia-reperfusion injury. The effect of autophagy blockage or upregulation in ischaemia-reperfusion injury can be influenced by the ischaemic time, temperature, method of organ preservation, previous liver conditions and even aging. In the case of liver surgery, a single ischaemic event does not seem sufficient to induce autophagy, but ischaemic preconditioning (brief periods of vascular occlusion shown to be protective against ischaemia-reperfusion injury) enhances autophagic upregulation and decreases necrosis.87 Autophagy involvement is different in the case of transplants owing to the low temperature utilized to preserve the donor's liver. The switch from cold to warm temperature during reperfusion is associated with an increase in autophagic vacuoles in the transplanted liver,<sup>88</sup> which could be in part triggered by the lack of nutrients in the preserving solution.<sup>89</sup> Claims of the deleterious effect of autophagy under these conditions are based on the hepatic protection observed upon treatment with phosphoinositide (PI) 3-kinase inhibitors or inhibitors of lysosomal proteolysis.<sup>90</sup> However, these compounds have effects beyond autophagy, and in the case of lysosomal proteolysis inhibitors, a study with chloroquine found that although beneficial in the early period, it aggravates liver damage in later ischaemia-reperfusion stages.<sup>91</sup> The idea of a beneficial effect of autophagy in liver transplantation is gaining more support because upregulation of autophagy is a common feature of interventions shown to be protective against ischaemia-reperfusion injury such as pretreatment with proteasome inhibitors,<sup>92</sup> AMPK<sup>93</sup> and CaMKIV (calcium/calmodulindependent protein kinase IV)<sup>94</sup> activators or lithium.<sup>95</sup> In addition, general consensus exists that upregulation of autophagy has a beneficial effect in cases in which autophagy in the donor liver is reduced to start with, for example in steatotic rodent and human liver grafts,<sup>93,96</sup> and in experimental animal models when using livers from old mouse donors,<sup>97</sup> or mouse livers subjected to pretreatments that reduce autophagic activity such as hydrogen sulphide.<sup>98</sup> Although further investigation is required to understand the basis for autophagic protection against hepatic ischaemia-reperfusion injury, the ability of autophagy to contribute amino acids, to remove the damage caused by anoxia and to reduce generation of reactive oxygen species by elimination of mitochondria by mitophagy are all likely mechanisms

Alcohol abuse—Owing to the role of the liver in xenobiotic metabolism, hepatocytes are exposed to many chemicals that at high toxic concentrations often interfere with hepatic lysosomal protein degradation.<sup>99</sup> For example, the liver is the organ that sustains the highest level of damage during alcohol abuse. Hepatocytes have been show to upregulate autophagy in response to alcohol exposure.<sup>100</sup> The autophagic increase is selective for lipophagy and mitophagy, which interestingly target the two organelles most affected by the alcohol intoxication exposure, that is lipid droplets and mitochondria.<sup>100</sup> However, reduced autophagic activity upon exposure to alcohol has also been reported in other studies, 101,102 which suggests that different factors, such as the duration of the alcoholic intoxication, might modulate the effect on autophagy. For example, although autophagic activation might occur in response to the oxidative stress resulting from alcohol catabolism in hepatocytes,<sup>103</sup> primary and secondary oxidants generated during this process have been described to upregulate autophagosome synthesis but prevent their clearance because of their toxic effect on lysosomes.<sup>104</sup> The inhibitory effect of alcohol on autophagy can also be secondary to the inhibition of AMPK after chronic ethanol consumption<sup>105</sup> or to the increase in hepatic lipids that occurs as result of SREBP-induced lipogenesis<sup>106</sup> Considering these inhibitory effects of long-term alcohol consumption on autophagy, chemical upregulation of this pathway might be of use against alcohol-induced liver damage.

**Paracetamol overdose**—Hepatic toxicity caused by overdoses with paracetamol is another clinically relevant condition in which the therapeutic value of modulating autophagy has been explored. Treatment with rapamycin has been shown to reduce the liver injury caused by this drug, suggesting a protective role for autophagy.<sup>44</sup> The severe damage that paracetamol's reactive metabolites cause in mitochondria is probably the trigger for the observed activation of Parkin-dependent mitophagy.<sup>63,107</sup>

#### NAFLD and metabolic syndrome

Multiple levels of interaction have been described between liver autophagic function and NAFLD. The characteristic accumulation of hepatic lipids in this condition is often a consequence of increased lipid influx in this organ, enhanced *de novo* synthesis of hepatic lipids and reduced mobilization and utilization of liver lipid stores.<sup>108</sup> The high prevalence of NAFLD in individuals with type 2 diabetes or with morbid obesity suggests common pathogenic mechanisms for all these conditions. The fact that alterations in autophagy have been described in NAFLD, obesity and diabetes has led to proposals that autophagic failure might underlie the basis of the metabolic syndrome and justifies the current interest in exploring the use of chemical modulators of autophagy in its treatment.<sup>108–109</sup>

As in other diseases, the interplay between NAFLD and autophagy might change as the disease progresses, and the failure of autophagy can be a cause or a consequence of the disease. The role of macroautophagy in the mobilization of hepatic lipids<sup>43</sup> supports a protective role of autophagy against NAFLD and explains why hepatocytes upregulate autophagy in response to dietary lipid challenges as a mechanism of defence against lipotoxicity.<sup>43,110</sup> In fact, studies in mouse models overexpressing TFEB have demonstrated that this factor protects against steatosis induced by a high-fat diet in an autophagy dependent manner.<sup>46–48</sup> In addition to the protective effect of lipid mobilization by lipophagy, autophagy might also be beneficial against the ER-stress associated with lipotoxicity. However, the defensive effect of autophagy in this condition might have limitations as studies have attributed hepatic steatosis and compromised turnover of lipids in models of NAFLD, at least partially, to a reduction of autophagic activity.<sup>77,113</sup> Failure of autophagy in NAFLD might be explained by observations that chronic exposure to a highfat diet or acute exposure to a cholesterol-enriched diet actually inhibits autophagy.<sup>43,110</sup> Upregulation or inhibition of autophagy in response to a lipid challenge might be dependent not only on the intensity and duration of the challenge but also on the types of lipids. For example, hepatocyte exposure to unsaturated fatty acid induces autophagy whereas exposure to saturated fatty acids suppresses autophagy and induces apoptosis.<sup>45</sup> However, the compromise of autophagy in hepatosteatosis might still be reversible, because injection of TFEB in mice being fed a high-fat diet stops the development of obesity in an autophagydependent manner.<sup>48</sup> Furthermore, the same intervention in a genetic mouse model of obesity improves the metabolic syndrome phenotype,<sup>48</sup> which suggests that activation of autophagy under these conditions might not only have preventive value but also could be utilized in the treatment of the metabolic disorder, at least in the case of autophagy induced by TFEB.

Several mechanisms might be responsible for the autophagic failure in NAFLD (Figure. 5). Lipid-enriched diets have been shown to impose changes in the membrane lipid composition of autophagosomes and lysosomes that reduce their fusogenic capacity, thus inhibiting completion of the autophagic process.<sup>110</sup> These diet-induced changes in the lysosomal membrane also have a negative effect on CMA, as they reduce the stability of the CMA receptor in lysosomes.<sup>111</sup> Interestingly, changes in the lipid composition of the lysosomal membrane upon dietary challenges are remarkably similar to those observed during physiological aging, a condition also associated with reduced CMA,<sup>112</sup> which underscores

the importance of environmental influences and nutritional choices on liver autophagic function. Impairment of autophagy in NAFLD might also occur at the level of induction owing to changes in cellular signalling. Autophagy in the liver is normally suppressed by insulin signalling,<sup>9</sup> which intuitively suggests that conditions with insulin resistance, such as NAFLD and obesity, should lead to augmented hepatic autophagy. However, experimental evidence has revealed suppression of liver autophagy and mitophagy in obesity mouse models with insulin resistance and hyperinsulinemia through a FoxO1-dependent mechanism.<sup>113</sup> Reduced autophagy under these conditions is in part a consequence of decreased expression of essential Atgs, which interestingly occurs whether the hyperinsulinemia is normalized or not.<sup>77,113</sup> Surprisingly, restoration of normal hepatic autophagic function in the context of obesity is sufficient to improve hepatic insulin action and restore peripheral glucose tolerance.<sup>77</sup> This unexpected turn of events, by which autophagy modulates sensitivity to its own inhibitor, insulin, seems to originate from the ability of this pathway to attenuate ER stress, which has been proven to contribute to insulin insensitivity.<sup>77</sup>

When considering the course of NAFLD, it is still a matter of debate whether the compromised autophagic function in the liver is a consequence of obesity and changes in insulin sensing or whether hepatic autophagy impairment strikes earlier on in the metabolic syndrome, and is followed by hepatic steatosis, lipotoxicity and metabolic dysregulation. Examples of both scenarios have been documented.<sup>43–45</sup>,<sup>111</sup> As described before, the secondary compromise of autophagy by dietary lipids is now well supported, <sup>43,110</sup>,<sup>111</sup> but it is plausible that, for example in the case of the metabolic syndrome associated with aging, the primary defect in autophagic function associated with age could be the trigger for inadequate lipid handling in the liver and the subsequent metabolic dysfunction. Distinguishing between cause and consequence might not always be possible, but the identification of this bidirectional interplay between autophagy and lipids in the liver supports the notion that breaking this vicious cycle, either by enhancing autophagy function or reducing the inhibitory effect of the metabolic imbalance (lipids and insulin) on this pathway, should help in these situations.

The possible therapeutic value of modulating autophagy in these abnormal metabolic conditions has not been clinically tested yet but drugs such as carbamazepine and rapamycin have shown promising effects in the reduction of liver steatosis induced in rodents by either dietary lipids or alcohol (Figure 5).<sup>114</sup> Whether the therapeutic benefit is in part or fully attributable to their stimulatory effect on autophagy requires future investigation. Furthermore, the improvement of the metabolic conditions in mouse models of diet-induced obesity subjected to caloric-restriction or exercise has been correlated with the positive effect of both interventions on autophagy.<sup>109</sup>

#### Viral hepatitis

Autophagy aids in the removal of pathogens (a process called xenophagy) by working in conjunction with the innate immune system. However, several microorganisms have evolved unique mechanisms to circumvent, suppress, or exploit autophagic machinery to ensure their own survival and replication (reviewed elsewhere<sup>115–117</sup>). For instance, HSV-1 and HIV-1 block autophagy to abrogate their degradation through this pathway by blunting autophagosome formation or interrupting autophagosome–lysosome fusion, respectively<sup>118,119</sup> (Figure 6). By contrast, pathogens such as polio and dengue activate autophagy in order to enhance their own replication.<sup>120</sup>

**HBV infection**—In the case of the liver, considerable attention has been paid to the interplay between autophagic degradation and viral hepatitis. HBV —a hepatotropic DNA

virus associated with acute viral hepatitis and chronic liver diseases such as cirrhosis and HCC<sup>121</sup>—induces autophagy in cells in culture, transgenic mice and in livers from infected patients.<sup>122-124</sup> Paradoxically, despite the increased number of autophagosomes produced, protein breakdown is not augmented, suggesting that HBV induces the early autophagy stages but halts autophagosome maturation and clearance (Figure 6).<sup>122,123</sup> HBV-mediated induction of autophagy has been attributed so far to the action of two different viral proteins, the hepatitis B virus X protein (HBx) and the small surface protein (SHBs).<sup>122,123</sup>.<sup>125</sup> The multifunctional regulatory protein HBx has been shown to activate the kinase activity of the autophagy initiation complex<sup>122</sup> and also to induce the expression of Beclin-1, the most important modulator of that complex.<sup>125</sup> In the case of the SHBs protein, activation of autophagy might be secondary to its ability to induce ER stress.<sup>123</sup> How precisely autophagy contributes to enhancing HBV viral replication is still unclear, but most studies support an effect on DNA replication and viral envelopment <sup>122,123</sup> The inability of HBV to replicate in vivo in mouse models with compromised macroautophagy has provided rationale for the claim that pharmacological inhibition of autophagy might be a reasonable adjuvant therapeutic strategy to treat patients infected with HBV.<sup>124</sup>

**HCV infection**—Autophagic changes have also been observed in rodent liver and human hepatocyte in culture upon infection by the RNA virus HCV, which shares with HBV its hepatotropism and ability to cause acute hepatitis and chronic liver disease.<sup>126</sup> Independent of HCV genotype, a consistent increase in the number of autophagosomes is observed in HCV-infected hepatocytes.<sup>127–129</sup> Although reports are conflicting about whether fusion of autophagosomes with lysosomes is required or not for the beneficial effects of autophagy on viral RNA replication, consensus exists on the capability of HCV to drive autophagic activation. Several HCV non-structural proteins interact with Atg proteins and can directly induce autophagosome formation (Figure 6).<sup>130,131</sup> Interestingly, besides upregulation of core components of the autophagy machinery, HCV infection also induces expression of selective autophagy effectors such as PINK1 and Parkin to specifically drive mitophagy.<sup>132</sup> As with HBV, some HCV proteins can induce autophagy in infected cells through activation of the UPR in the ER.<sup>129,133,134</sup> In fact, suppression of the ER stress signals in this context diminishes HCV replication, demonstrating that the induction of autophagy caused by HCV depends on an intact ER stress response. <sup>129,133</sup>

The precise mechanism of how autophagy promotes HCV replication remains elusive, but several studies have suggested that autophagic vacuoles might act as a membranous web—a complex of viral proteins, replicating RNA and altered cell membranes—that serves as a transient scaffold for efficient translation of viral RNA<sup>135</sup> (Figure 6). Autophagosomes might also facilitate viral replication by sequestering negative regulators or conversely by attracting viral proteins needed for virion propagation.<sup>128</sup>,<sup>136</sup> For example, pro-viral autophagy proteins Atg5, Atg12, and Beclin-1 are required for delivery of incoming viral RNA to the translation apparatus for initiation of HCV replication.<sup>128</sup> Similarly, Atg5 has been shown to interact with HCV NS5B, a viral protein necessary to establish the membrane-bound replication complex that catalyzes RNA synthesis.<sup>136</sup> Interestingly, this interaction is transient, emphasizing the importance of autophagic involvement during the onset of viral infection but not necessarily to sustain it.<sup>128,136</sup> Lastly, part of the beneficial effect of blockage of autophagosome clearance in viral replication might be accomplished by preventing degradation of lipid droplets, which are known to be a favourite site for assembly and production of HCV virions.<sup>137</sup>

Blockade of liver autophagy could become a therapeutic option for HCV treatment as silencing of different autophagy proteins has been shown experimentally to be effective in inhibiting viral replication, decreasing intracellular HCV levels and extracellular infectivity. <sup>128,136</sup> Furthermore, suppression of autophagy in infected cells induces severe

cytoplasmic vacuolation and cell death, underscoring the importance of autophagy in minimizing toxic vacuole formation.<sup>134</sup> Part of the beneficial effect of suppression of macroautophagy in the context of HCV infection might be attributable to enhancement of the host's innate immune system<sup>133,138</sup> (Figure 6). Inhibition of autophagy in HCV-infected hepatocytes activates interferon signalling and induces apoptosis.<sup>133,138</sup> Overall, given that autophagy seems to be important in the early stages of infection, autophagy blockers might be best-suited for immediate treatment upon HCV exposure.

## Hepatocellular carcinoma

Early studies of macroautophagy in cancer emphasized its tumour-suppressive function on the basis that autophagy was reduced in different types of liver cancer<sup>139</sup> and that in normal cells autophagy helps mitigate damage during genotoxic and proteotoxic stress, assuring chromosomal stability and reducing chances of malignant transformation<sup>140,141</sup> (and reviewed elsewhere<sup>142–145</sup>). The fact that several tumour suppressor proteins induce macroautophagy whereas some oncogenic proteins inhibit this process also reinforced the anti-oncogenic role of autophagy.<sup>143,145</sup> In the case of the liver, the idea that reduced levels of autophagy will favour cell proliferation fitted well with the early findings that autophagy and overall protein catabolism were inhibited in the regenerating liver following partial hepatectomy to promote the switch from a catabolic to an anabolic state.<sup>146</sup> However, later studies have revealed that the role of autophagy in tumorogenesis is context-dependent (Figure 7). Some cancer cells can upregulate autophagic activity to enhance their survival in the hostile tumour microenvironment, thus promoting tumour growth  $^{142-145}$ . This protumorigenic effect occurs in part because macroautophagy efficiently removes the deleterious products caused by endogenous damage (hypoxia-induced reactive oxygen species) or exogenous damage (cytostatic or cytotoxic drugs),<sup>147</sup> and in part because autophagy assists these cells to maintain a positive energetic balance in the face of increasing metabolic demands.55, 148

In the case of HCC, the most prevalent form of liver cancer, most studies support a tumoursuppressive function of autophagy. HCC cell lines and tumour biopsies often exhibit decreased expression of autophagy markers.<sup>149</sup> In fact, there is an inverse correlation between the aggressiveness of the HCC and autophagic activity that links diminished autophagy with poorer prognosis.<sup>149</sup> Likewise, expression of Atgs such as beclin-1 after curative resection has been shown to correlate with disease-free survival in patients.<sup>149</sup> Experimental evidence also supports the protective effect of liver autophagy against carcinogenesis, as mice with heterozygous disruption of *Beclin-1* are prone to developing spontaneous malignancies including HCC as well as accelerated HBV-induced carcinomas.<sup>150</sup> Other studies have demonstrated that autophagy exerts a pro-carcinogenic effect in HCC by protecting hepatic cancer cells from damage resulting, for example, from hypoxic stress,<sup>147,151</sup> or by inducing expression of factors that stimulate cell invasion and metastasis (Figure 7).<sup>152</sup> The precise mechanisms that lead to autophagic alterations in HCC have yet to be uncovered, as are the conditions and/or the timings that determine whether and when tumour cells upregulate or downregulate macroautophagy. However, the fact that some tumour suppressors and oncogenes directly modulate autophagy and that several signalling pathways regulating autophagy closely overlap with those that regulate tumorigenesis (reviewed elsewhere<sup>142,143,153</sup>) supports the existence of continuous feedback between both processes. For example, the signalling adaptor p62 has risen as one of the favourite candidates in the regulation of the interplay between carcinogenesis and autophagy in light of the previously mentioned effect of p62 on the activation of the Nrf2-dependent transcriptional program.<sup>67,154</sup> Increase in p62 levels and induction of Nrf2 have been described in >25% of human HCC biopsies, and experimental blockage of p62 in HCC cell lines is effective at reducing cell growth.<sup>154</sup>

The potential of chemical modulation of macroautophagy as antioncogenic therapy is undergoing testing in clinical trials for several types of cancers (Figure 7). One of the requirements before pharmacologically manipulating autophagy in HCC is to gain a clear understanding of whether autophagy is depressed or upregulated at the time of the intervention. IN additional, current anti-HCC treatments have different effects on macroautophagy, which might determine the selection of inhibitors or activators of this pathway as co-adjuvant therapeutics. For example, sorafenib, common in the treatment of advanced HCC, showed improved efficacy in causing cell death *in vitro* and *in vivo* when used in combination with pharmacological inhibitors of autophagy.<sup>155</sup> In fact, induction of autophagy by this drug has been proposed to contribute to the acquired drug resistance in patients with HCC.<sup>156</sup> Inhibition of autophagy also increases the efficacy of antiangiogenic agents against HCC.<sup>157</sup> However, blockage of autophagy might not be a universal approach as a co-adjuvant agent in HCC therapy given that other drugs, such as histone deacetylase inhibitors, actually stimulate autophagy and depend on its activation to cause cytotoxicity and death in cancer cells.<sup>158</sup>

Other current limitations to the generalized use of macroautophagy modulators in HCC therapeutics is the rather limited number of available compounds. Rapamycin and other derivatives acting on the mTOR complex, the most commonly used activators of autophagy, have shown antitumor efficacy in advanced HCC in a phase II study,<sup>159</sup> but their additional autophagy-independent effects on processes such as protein translation and cellular growth highlights the need for more potent and selective autophagy activators. Similar limitations apply to chloroquine, an agent that disrupts lysosomal acidification, and that is the leading compound used in the clinic to block autophagic flux. An emerging alternative to chemical modulation of autophagy in the treatment of HCC could be gene therapy to target microRNAs described to upregulate or downregulate autophagy.<sup>160</sup>

A role for CMA in cancer cell metabolism has also been identified, in this case by contributing to maintaining high rates of glycolysis in transformed cells.<sup>161</sup> CMA activity has been shown to be constitutively active in different types of cancer, including HCC, regardless of the status of macroautophagy.<sup>161,162</sup> The fact that genetic inhibition of CMA in human cancer cell lines or directly in tumours of human cancer cells in mice effectively reduces tumour growth and metastasis<sup>161</sup> supports the possible antioncogenic therapeutic value of negative modulators of this pathway.

# Conclusions

The relevance of autophagy in liver physiology goes beyond the initially reported role as a supplier of amino acids during starvation. New roles for autophagy encompass a complex array of functions including global regulatory effects on liver metabolism, essential roles in protein and organelle quality control, and active defence against liver pathogens. The complexity of hepatic autophagy stems from not only its diverse roles in physiologic and pathophysiologic processes but also from the multiple mechanisms that contribute to its regulation.

Despite the general agreement on the beneficial effects of autophagy activation in liver physiology and in the protection against liver diseases, manipulation of this pathway with therapeutic purposes might not be as clear-cut as anticipated. In almost every liver pathology, blockage of autophagy can have opposite effects depending on differences in the type, duration and intensity of the pathogenic insult and on the way in which hepatocytes react to this insult. When autophagy manipulation is considered as adjuvant to other therapeutic approaches, the effect of these other interventions on the autophagic pathway might also dictate the choice of activators or inhibitors of autophagy. The array of

compounds available to modulate autophagy is still relatively limited and most of them target the same autophagy steps (frequently initiation), whereas trafficking, fusion and degradation are still poor drugable targets. The development of disease-specific autophagy modulators requires a more complete understanding of the different signalling pathways that converge on autophagy and how they differentially regulate basal versus inducible or selective versus nonselective autophagy. In fact, although blockage of autophagy has been shown to be effective in reducing liver damage in different diseases, complete prolonged inhibition of autophagy would eventually have a detrimental effect on this organ, and consequently drugs that target only inducible autophagy but preserve constitutive autophagy would be desirable in those chronic settings. Such drugs would enable us to better target the specific autophagy pathway altered in the disease of interest without perturbing the global autophagic process in hepatocytes.

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Ana Maria Cuervo obtained her medical degree from the U. Valencia, Spain and received training in biochemistry and cell biology with emphasis on autophagy during her PhD and her postdoctoral training under the mentoring of Drs. E. Knecht and J.F. Dice, respectively. She is currently a Professor at the Albert Einstein College of Medicine, where her group continues studies on the molecular characterization of autophagic pathways in mammals and the contribution of autophagy malfunctioning to disease and aging.

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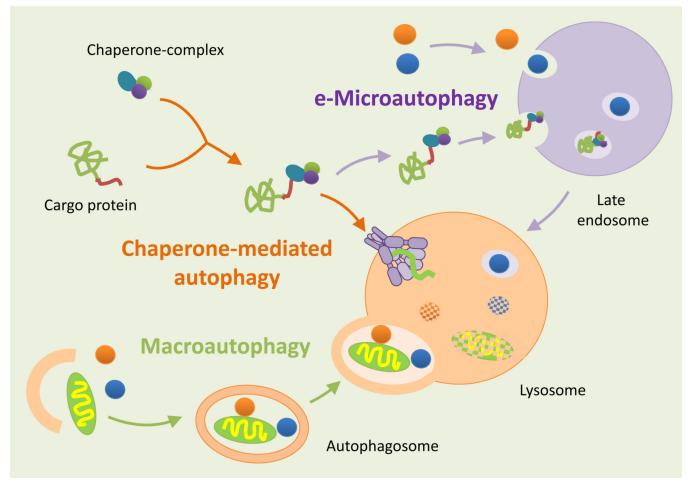
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# **Review Criteria**

A PubMed search of English language papers published between 1960 and 2012 was conducted using the terms: "autophagy" and "liver" in combination with the following terms: "liver disease", "energy", "NAFLD", "transplantation", "hepatitis", "hepatocellular carcinoma", "steatosis", "alcohol", "ischemia", "transplantation", "mitophagy", "alpha-1 antitrypsin", "acetaminophen". Retrieved abstracts were read and relevant publications were acquired as full text. Some of the papers in the current reference list were identified from the reference section of the initial leads and then acquired as abstracts or full text.

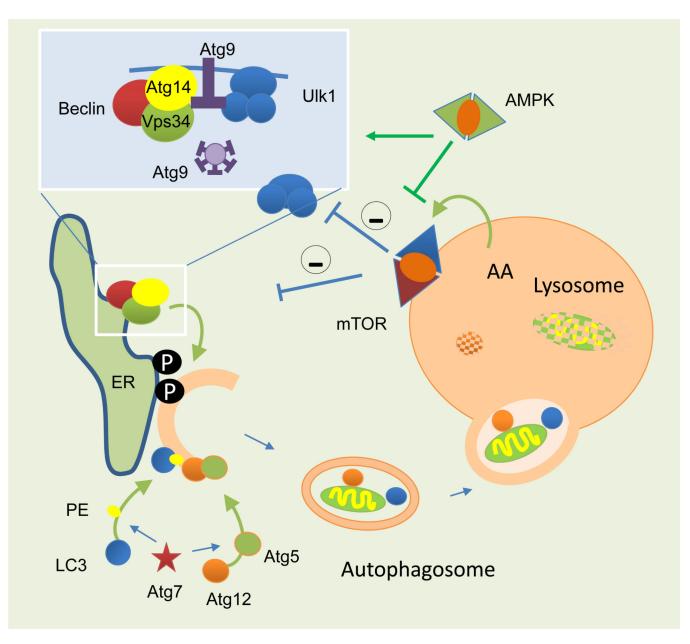
## Key points

- Autophagy contributes to the maintenance of the energetic balance, participates in hepatocyte quality control and aids in the defence against exogenous pathogens and conditions that cause cellular toxicity in the liver
- The different autophagic pathways that coexist in the liver are in dynamic intercommunication with one another, allowing for a coordinated response to maintain homeostasis
- Failure to properly execute the autophagic program renders hepatocytes vulnerable to stressors and unable to accommodate the extreme energetic demands of this organ
- Autophagy malfunction underlies the pathogenesis of common liver diseases including metabolic disorders, protein conformational diseases, viral infection and carcinogenesis
- Chemical modulation of autophagy has proven to be beneficial in certain liver pathologies, although manipulation of autophagy needs to be customized according to the status of the autophagic pathway in each disease state



#### Figure 1. Autophagic pathways in the liver

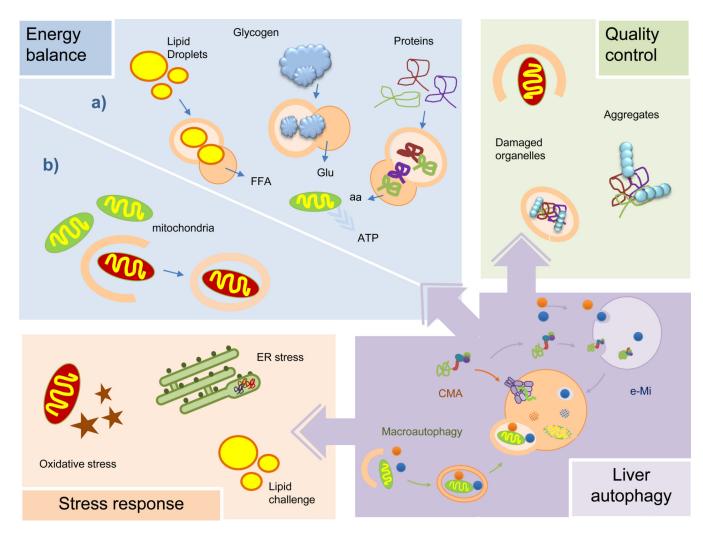
Three different types of autophagy co-exist in the liver. In macroautophagy, proteins, organelles, and cytosolic material are sequestered in a double-membraned vesicle (autophagosome) to be degraded by lysosomal hydrolases once the autophagosome fuses with lysosomes. In microautophagy, small vesicles form on the surface of late endosomes and are pinched off into the lumen to be degraded. By contrast, in CMA the substrate proteins cross the lysosomal membrane through a translocation complex instead of being delivered by vesicles. This type of transport requires the coordinate action of chaperones in the cytosolic side of the membrane that facilitate the unfolding of the substrate protein, and chaperones in the luminal side of the membrane that pull substrate proteins through the translocation complex one by one.



#### Figure 2. Molecular components of macroautophagy

Autophagosome formation starts with the recruitment of autophagy-related proteins (Atg) to specific cellular membranes (ER membrane depicted here) where modification of the membrane lipids allows for recruitment and assembly of additional Atgs. Conjugation of certain Atgs to the lipids and proteins in the forming membrane facilitates its elongation. Ultimately, it seals to form a double-membraned autophagosome vesicle. Complete degradation of the sequestered cargo occurs when lysosomes infuse their hydrolases into autophagosomes through membrane fusion. The amino acids resulting from the breakdown are released into the cytosol through permeases. This amino acid efflux activates the sensor kinase complex (mTOR) that sits on the lysosomal membrane and inhibits autophagosome induction. Other energy sensors such as AMPK repress the inhibitory effect of mTOR on autophagy. AA: amino acids; Atg: autophagy-related proteins; ER: endoplasmic reticulum;

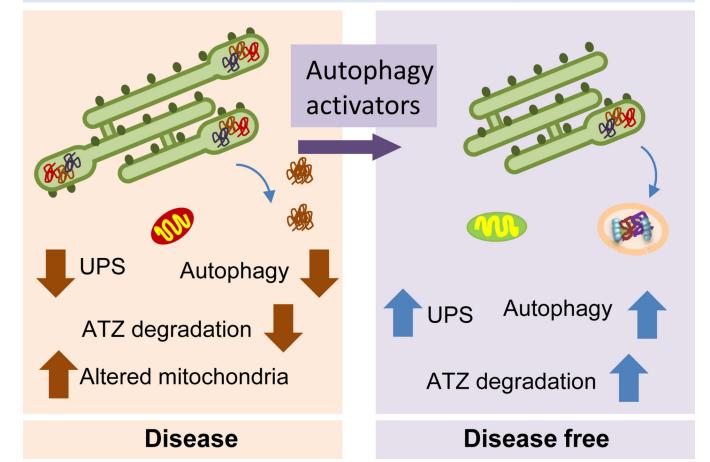
LC3: light chain 3 protein; mTOR: mammalian target of rapamycin; P: phosphorylation; PE: phosphatidylethanolamine.



#### Figure 3. Functions of autophagy in liver physiology

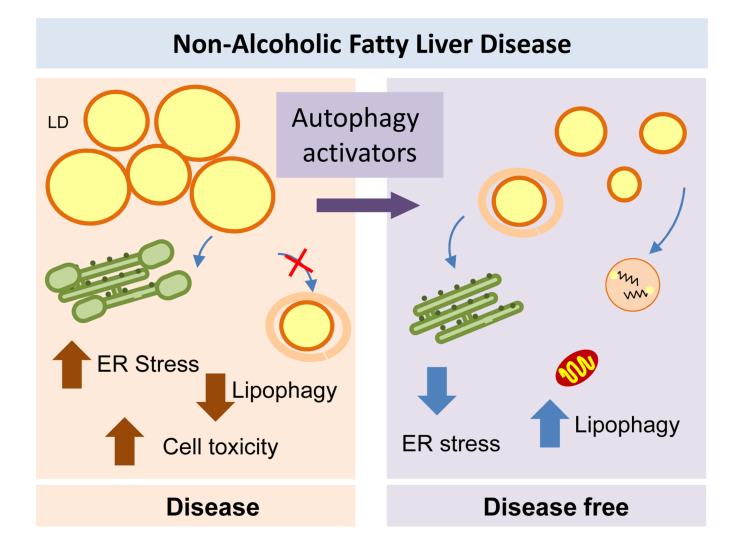
Autophagy maintains the energetic balance of the liver through turnover of different energy stores (a) and elimination of dysfunctional mitochondria (b). Autophagy eliminates altered proteins and organelles, as part of its function in cellular quality control, which otherwise will become toxic for hepatocytes. Liver autophagy is also activated for defense against hepatic damage resulting from oxidative stress, organelle stress (ER stress depicted here) or that induced by massive arrival of nutrients (lipotoxic challenge depicted here). aa: amino acids; ER: endoplasmic reticulum; FFA: free fatty acids; Glu: glucose.

# $\alpha$ 1-antitrypsin deficiency



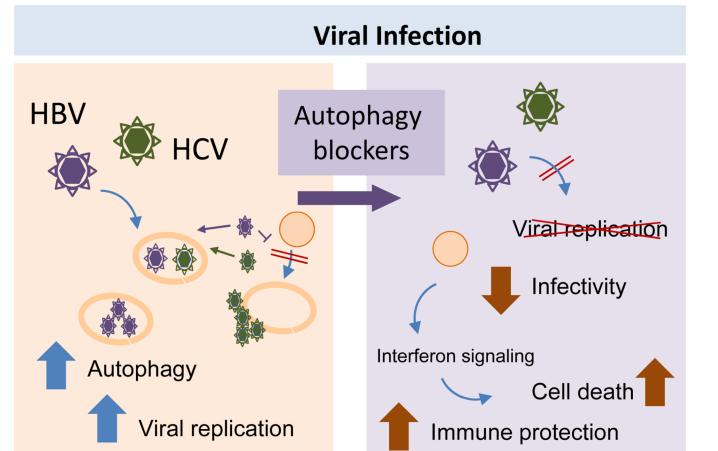
#### Figure 4. Liver autophagy and a-1-antitrypsin deficiency

Left. Autophagy activity is reduced in patients with  $\alpha$ 1-antitrypsin deficiency. Failure to degrade the pathogenic form of the protein  $\alpha$ 1-antitrypsin (ATZ) results in its aggregation and accumulation as intracellular inclusions in hepatocytes. It also reduces turnover of damaged organelles, such as mitochondria, further contributing to hepatic damage. **Right:** Chemical enhancement of autophagy in the mouse model of this disease has been shown effective in reducing liver pathology by promoting the degradation of the accumulated protein, improving removal of the damaged organelles and restoring function of the ubiquitin proteasome system (UPS) also compromised in the disease.



#### Figure 5. Hick-jacking of the autophagic system during liver viral infection

**Left:** Hepatitis B (HBV) and C (HCV) virus induce formation of autophagosomes but prevent the degradation of these compartments by lysosomes. This allows virus to replicate inside or in the surface of autophagosomes. **Right:** Autophagy blockage has proven to be an effective anti-viral treatment against HBV and HCV by limiting viral replication and activating the host immune response.



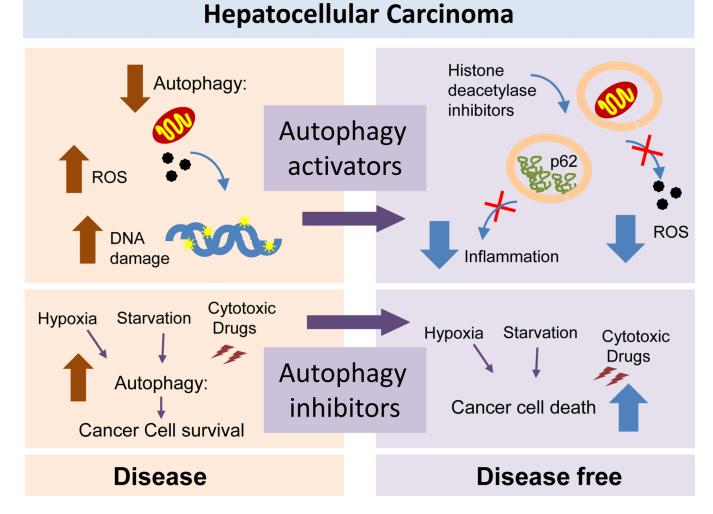
# Disease

# **Disease Free**

#### Figure 6. Autophagy failure in the pathogenesis of NAFLD

**Left:** The failure of autophagy detectable in NAFLD could be the cause for the accumulation of lipids in this organ or a consequence, since although autophagy has an active role in the mobilization of intracellular lipids, abnormal increase in the lipid content has been shown to exert an inhibitory effect on autophagy. Defective autophagy and lipotoxicity may contribute to ER stress. **Right:** Activation of autophagy reduces steatosis in NAFLD by promoting lipid droplets (LD) mobilization and reducing ER stress.

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# Figure 7. The complex interplay between autophagy and hepatic carcinogenesis

**Left:** Autophagy is protective against malignant transformation by reducing the damage generated during genotoxic and proteotoxic stress. However, once carcinogenesis occurs, cancer cells may downregulate or upregulate autophagy in a contex-dependent manner. Thus, in basal conditions most hepatocarcinoma cells have reduced autophagy, which may favour additional DNA damage and increase oxidative stress. In contrast, in response to cytotoxic treatments or as result of the poor nutritional conditions of the center of a solid tumor, cancer cells upregulate autophagy with defensive purposes. **Right:** Both activation and inhibition of autophagy have shown anti-tumoral effect. Activation of autophagy is protective by reducing levels of intracellular damage and improving genome stability, reducing the inflammatory response associated to p62 accumulation and as co-adjuvant of drugs that require activation of autophagy. Autophagy inhibition renders cancer cells more vulnerable to different stressors limiting their proliferation and survival.