



Published in final edited form as:

*J Pharm Sci.* 2013 February ; 102(2): 352–364. doi:10.1002/jps.23393.

## ***In vivo* efficacy of enabling formulations based on hydroxypropyl- $\beta$ -cyclodextrins, micellar preparation and liposomes for the lipophilic cannabinoid CB2 agonist, MDA7.**

**Fanny Astruc-Diaz<sup>a,b</sup>, Steven W. McDaniel<sup>a</sup>, Jijun J. Xu<sup>c</sup>, Stéphane Parola<sup>b</sup>, David L. Brown<sup>c</sup>, Mohamed Naguib<sup>c</sup>, and Philippe Diaz<sup>a,\*</sup>**

<sup>a</sup> The Department of Biomedical and Pharmaceutical Sciences, Core Laboratory for Neuromolecular Production, The University of Montana, Missoula, MT 59812, USA.

<sup>b</sup> Laboratoire de Chimie, ENS Lyon, CNRS, Université Claude Bernard Lyon 1, Université de Lyon, UMR 5182, 46 allée d'Italie, 69364 Lyon, France

<sup>c</sup> Institute of Anesthesiology, Cleveland Clinic, 9500 Euclid Ave. - E-31, Cleveland, OH 44195, USA.

### **Abstract**

Enabling formulation based on hydroxypropyl- $\beta$ -cyclodextrins (HP $\beta$ CD), micellar preparation and liposomes have been designed to deliver the racemic mixture of a lipophilic CB2 agonist, MDA7. The antiallodynic effects of MDA7 formulated in these three different systems were compared after intravenous administration in rats. Stoichiometry of the inclusion complex formed by MDA7 in HP $\beta$ CD was determined by continuous variation plot, ESI-MS analysis, phase solubility and NMR studies and indicate formation of exclusively 1:1 adduct. Morphology and particle sizes determined by DLS and TEM show the presence of a homogenous population of closed round-shaped oligolamellar MDA7 containing-liposomes, with average size of 117nm [polydispersity index (PDI) <0.1]. Monodisperse micelles exhibited average size of 15 nm (PDI 0.1). HP $\beta$ CD based formulation administrated *in vivo* was composed of two discrete particles populations with a narrow size distribution of 3 nm (PDI<0.1) and 510 nm (PDI<0.1). HP $\beta$ CD based formulation dramatically improved antiallodynic effect of MDA7 in comparison to the liposomes preparation. Through inclusion complexation and possibly formation of aggregates, HP $\beta$ CD can enhance the aqueous solubility of lipophilic drugs thereby improving their bioavailability for i.v. administration.

### **Keywords**

Chirality; Complexation; Cyclodextrins; Micelle; Bioavailability; CNS; Liposomes

### **Introduction**

Following our ongoing project to develop novel cannabinoid type 2 (CB2) receptor agonist for the prevention and treatment of pain<sup>1,2</sup>, we recently reported the synthesis of MDA7, a CB2 selective agonist<sup>3</sup>. However, the racemic mixture of MDA7 showed poor water solubility restricting its evaluation *in vivo*<sup>4</sup>. Different approaches have been described to improve water solubility for lipophilic molecules. Formation of inclusion complexes with functionalized cyclodextrins, micellar systems and liposomes are the most commonly used.

\* Corresponding author. Tel.: +(406) 243-4362. philippe.diaz@umontana.edu (Philippe Diaz).

Cyclodextrins (CDs) are cyclic cone shaped  $\alpha$ -1,4-linked d-glucopyranose units (Fig. 1). The interior of the cavity formed by the glucopyranose units are hydrophobic while the outer surface remains hydrophilic. CDs are one of the most used drug-carriers owing to their ability to form inclusion complexes with a wide variety of small lipophilic molecules. Inclusion complexes are water soluble and furthermore complexation can increase the stability of the guest molecules. Among chemically modified cyclodextrins, FDA-approved HP $\beta$ CD have attracted growing interest based on their low toxicity, water solubility and complexation potential. However, complexation of cyclodextrins with racemic mixtures forms diastereomeric inclusion complexes which can lead to enantiodifferentiation. Due to this property, cyclodextrins have been extensively used as chiral stationary phases in HPLC and capillary electrophoresis. The eutomer of MDA7 has been identified and enantiodifferentiation can be induced by different complexation mode which could impact the solubility of both enantiomers and thereby the *in vivo* activity of MDA7. Nevertheless, considering the advantages of HP $\beta$ CD, we prepared MDA7 inclusion complexes to enhance its aqueous solubility. MDA7:HP $\beta$ CD inclusion complexes were studied to identify a potential enantiodifferentiation of racemic MDA7. For this purpose, MDA7:HP $\beta$ CD inclusion complexes were characterized by phase-solubility diagram, Job's plots and differential scanning calorimetry. Association mode in solution was determined by NMR spectroscopy and ESI-mass spectrometry. HP $\beta$ CD aggregates were characterized by Transmission Electron Microscopy (TEM) and Dynamic Light Scattering (DLS). Even though enantiodifferentiation between the two diastereomeric complexes formed by HP $\beta$ CD and racemic MDA7 were detected by NMR studies and *in silico* modeling of the binding mode, no difference in term of solubility was detected for the two enantiomers. In order to ensure accurate and reproducible *in vivo* exposure, micellar solution and liposomes have been characterized. Finally, MDA7:HP $\beta$ CD inclusion complex, MDA7 containing-liposomes and MDA7 micellar solution were administrated intravenously (i.v.) and compared using a rat model of neuropathic pain, the spinal nerve ligation model. To the best of our knowledge, this constitutes the first characterization of diastereomeric inclusion complexes of a chiral CB2 agonist complexed by HP $\beta$ CD, followed by an *in vivo* comparison with other drug delivery systems.

## Material and methods

MDA7 was synthesized according to the method previously described.<sup>4,5</sup> 2-Hydroxypropyl- $\beta$ -cyclodextrin (HP $\beta$ CD) with an average degree of molar substitution of 4.4 was purchased from CTD Holdings Inc (Alachua, FL, USA). Ethyl Alcohol, 190 Proof, 95%, ACS/USP was purchased from PHARMCO-AAPER (Shelbyville, KY, USA).

Dimyristoylphosphatidylcholine (DMPC) was purchased from Avanti Polar Lipids (Alabaster, AL, USA). Dimethyl sulfoxide was purchased from SIGMA-ALDRICH (St. Louis, MO, USA). Phosphate Buffer Saline (PBS) was purchased from VWR. Deuterium oxide (D<sub>2</sub>O) and Methanol-d<sub>4</sub> (MeOH-d<sub>4</sub>) were purchased from Cambridge Isotope Laboratories, Inc. (Andover, MA, USA). Acrodisc® Syringe Filters with Nylon Membrane were purchased from PALL Corporation (Port Washington, NY, USA). Other reagents and chemicals were obtained as gift samples, N-methyl-2-pyrrolidone (NMP or Pharmasolve™) from ISP, propylene glycol USP and Cremophor™ ELP from BASF (Ludwigshafen, Germany), Super refined™ Polysorbate 20 from CRODA International Inc. (Edison, NJ, USA). All chemicals were used as received. All experiments were carried out using ultrapure water.

### Preparation of complexes

MDA7 and HP $\beta$ CD were mixed in appropriate ratios and solvents (ultrapure water or D<sub>2</sub>O or D<sub>2</sub>O/MeOH-d<sub>4</sub> or PBS according to the study) for six days under constant magnetic stirring (200 rpm) at room temperature and protected from light.

### Preparation of liposomes

MDA7 was dissolved in DMSO to afford a solution at 40 mg/mL and mixed to a solution of dimyristoylphosphatidylcholine (DMPC) at 50 mg/mL in tert-butanol in a molar ratio of 1:10 drug/lipids.<sup>6</sup> Polysorbate 20 was added to the preparation in an amount corresponding to 5% w/w of the total amount of MDA7+lipids. 95 mL of tert-butanol was then added for each 5 mL of MDA7/lipids mixture previously obtained. The resulting solution was freeze-dried (Labconco-freeze dry system/Freezone® 1) for 48H, providing a white and dried powder containing drug, lipids and surfactant. Before administration to animals, the dry drug/lipids powder was hydrated with PBS to obtain the desired volume of administration, and stirred at 100 rpm for 20 min at 30°C, a temperature above the gel-liquid crystal transition temperature of DMPC. This process resulted in homogeneous milky-like oligolamellar vesicles (OLVs) liposomal suspensions.

### Preparation of the micellar system

MDA7 (6 mg/mL) was stirred at 40°C in NMP (30 % v/v) until dissolution. Propylene glycol (30 % v/v), ethanol (10 % v/v) and Cremophor™ ELP (10 % w/v) were added dropwise at 40°C. The micellar system was obtained after dropwise addition of PBS or saline solution (qs 100 % v/v).

### NMR spectroscopy

One-dimensional <sup>1</sup>H NMR spectra were recorded at room temperature on Bruker Avance III™ spectrometer at 400 MHz using a 5 mm probe and a simple pulse-acquire sequence. Acquisition parameters consisted of spectral width 4000 Hz with an acquisition time 3.98 s, number of scans of 128, and relaxation delay of 1 s. Rotating-frame overhauser effect spectroscopy (ROESY) experiments were acquired in the phase sensitive mode with the same spectrometer and Bruker standard parameters (pulse program roesyphpr). For ROESY spectra, the time domain data was zero filled to 1024 points in F2 and 256 points in F1. The ROESY data was acquired with a spin-lock mixing time of 200 ms, number of scans 8, and a relaxation delay of 2 s. Complexes were prepared as described above in D<sub>2</sub>O.

### Phase solubility studies

The phase solubility method was carried out according to Higuchi and Connors<sup>7</sup> method to study the effect of complexation on MDA7 solubility in water. Complexes were prepared as described above in pure water. Excess amount of MDA7 (10 mg, 29.8  $\mu$ mol) were mixed with increasing concentrations of HP $\beta$ CD (0.5, 1, 2, 3, 4 molar equivalent). After equilibration, undissolved MDA7 was removed from the suspension by filtration using 0.45  $\mu$ m membrane filter (Acrodisc® Syringe Filters). Quantities of MDA7 dissolved were assessed using NMR quantification, with maleic acid in D<sub>2</sub>O as external standard. Molar concentration of solubilized MDA7 ([MDA7]) were plotted versus molar concentration of HP $\beta$ CD ([HP $\beta$ CD]). From the slope of the linear fit, the apparent association constant was determined for MDA7 and HP $\beta$ CD at the linear increase of MDA7 solubility according to equation 1. Complex stoichiometry is assumed to be 1:1 for determining the apparent association constant  $K_{1:1}$ .

$$K_{1:1} = \frac{\text{Slope}}{[MDA7]_0 (1 - \text{Slope})}$$

The complexation efficiency (CE) was calculated as a product of the intrinsic solubility ( $S_0$ ) and the association constant  $K_{1:1}$ .

### Continuous variation method (Job's plots)

Stoichiometry of inclusion complex was determined using continuous variation method. The  $^1\text{H}$  NMR spectrum of MDA7 in  $\text{D}_2\text{O}$  could not be determined due to its very low aqueous solubility. Therefore, plot was determined from NMR chemical shift measurement in  $\text{D}_2\text{O}$ /Methanol- $d_4$  (1:1, v/v). The chemical shifts were referenced relative to the residual peak of MeOH- $d_4$  at 3.310 ppm. The total molar concentration of MDA7 and HP $\beta$ CD was kept constant (6 mM) and their mole fraction ( $r$ ) varied from 0.1 to 0.9. In order to calculate the stoichiometry, the product of molar ratio ( $r$ ) by chemical shift variations ( $\Delta\delta$ ) of the H1, H5 and  $\text{CH}_3$  HP $\beta$ CD protons of HP $\beta$ CD and Ha, Hb and Hc and  $\text{CH}_3$  protons of MDA7 were plotted versus  $r$ .

### ESI-MS analysis

Stoichiometry of the inclusion complex prepared as described above in ultrapure water was confirmed by ESIMS analysis using acetonitrile/water as mobile phase. ESI-MS Experiments were performed on a Waters Micromass LCT Premier<sup>TM</sup> that utilizes a high-resolution time-of-flight (TOF) analyzer equipped with a W-Optics and a regular and nanoelectrospray ionization sources. The sample solutions were directly infused into the ESI source with a flow rate of  $0.4 \text{ ml}\cdot\text{min}^{-1}$ , capillary voltage 2900 V, sample cone voltage 95 V, desolvation temperature  $150^\circ\text{C}$ , source temperature  $100^\circ\text{C}$  in the positive ion mode.

### Differential scanning calorimetry (DSC)

Complexes were prepared by dissolving MDA7 (15 mg,  $44.7 \mu\text{mol}$ ) and HP $\beta$ CD as described above in 1.35 ml of ultrapure water at 1:1, 1:4, 1:6.7 molar ratio. After equilibration undissolved MDA7 was removed from the suspension with one equivalent of HP $\beta$ CD by filtration using  $0.45 \mu\text{m}$  membrane filter (Acrodisc<sup>®</sup> Syringe Filter). MDA7 was soluble at MDA7:HP $\beta$ CD molar ratio of 1:4 and 1:6.7. Solutions were freeze-dried (Labconco-freeze dry system/Freezone<sup>®</sup> 1) for 24 hours under vacuum. The resulting solid inclusion complexes were collected and analyzed. A physical mixture was prepared by thoroughly mixing MDA7 and HP $\beta$ CD at 1:4 molar ratio. An excess of HP $\beta$ CD was employed to reflect the molar ratio used for *in vivo* studies. The samples were placed in hermetically sealed aluminum pans and the experiments run in a calorimeter (Mettler Toledo DSC1 STARe system) at  $10^\circ\text{C}/\text{min}$  heating rate over  $25\text{-}400^\circ\text{C}$ . The instrument was calibrated with indium. Thermograms for inclusion complexes were compared to physical mixture.

### Transmission electron microscopy (TEM)

MDA7, HP $\beta$ CD physical mixture and MDA7:HP $\beta$ CD solid complexes were prepared as described above in ultrapure water.  $1 \mu\text{l}$  aliquot of sample was placed in  $4 \mu\text{l}$  of demineralized water on a formvar-coated 400 mesh copper grid. The sample was kept on the grid for 5 minutes, the liquid was wicked off with filter paper and the grid was air dried. The grid was stained by placing it on a drop of 2% Uranyl acetate for 1 minute. The stain was rinsed off by dipping the grid in demineralized water, the water removed by wicking, and the grid air dried. The grid was imaged in a Hitachi H-7100 TEM at 75kV. Liposomal

preparation samples were placed on single slot formvar coated copper grids treated with poly-L-lysine for 1 hour. Excess samples were blotted with filter paper, and then stained with filtered 1% uranyl acetate for 1 min. Stain was blotted dry from the grids with filter paper and samples were allowed to dry. Samples were then examined in a JEM 1010 transmission electron microscope (JEOL, USA, Inc., Peabody, MA) at an accelerating voltage of 80 Kv. Digital images were obtained using the AMT Imaging System (Advanced Microscopy Techniques Corp., Danvers, MA, USA).

### Dynamic light scattering (DLS)

Particle sizes of complexes prepared as described above in ultrapure water were determined using DLS measurements. The DLS experiments were performed on 132 mM of pure HP $\beta$ CD solution, MDA7-HP $\beta$ CD solutions with concentration of 33 mM MDA7 and 33 mM of HP $\beta$ CD, 33 mM MDA7 and 132 mM of HP $\beta$ CD, 33 mM MDA7 and 221 mM of HP $\beta$ CD (1:1, 1:4, 1:6.7 molar ratio respectively). The samples were run at 25°C using a Zetasizer nano ZS (Malvern Instruments Ltd., Malvern, UK) DLS instrument. Before each DLS scan, the samples were stabilized for 10 min.

### Molecular Modeling

The 2-hydroxypropyl cyclodextrin structure was based on the cyclodextrin crystal structure provided by the Structural Data Base System of the Cambridge crystallographic Data Center.<sup>8</sup> Two 2-hydroxypropyl groups were randomly added at O-6 positions and two at O-2, O-3 positions to the crystal structure of cyclodextrin based on the expected 4 degree of substitution of 2-hydroxypropyl cyclodextrin. The 2-hydroxypropyl groups were added and MDA7 structure was built using ChemDraw 3D. The HP $\beta$ CD and MDA7 structures were individually optimized by energy minimization using a MM2 force field with a convergence criterion of 0.05 kcal/mol. The AutoDock 4.0 software was used to obtain a MDA7/ HP $\beta$ CD complex. Lamarckian genetic algorithm was employed to generate a total population of 100 complexes. An initial population of 100 individuals with a maximal number of energy evaluations of 25,000,000 and a maximal number of generations of 50,000 were the parameters used for the global search. A grid box of 70  $\times$  70  $\times$  70 points was used to calculate the energy maps. The points were separated from each other by 0.375 Å.

### Drug administration

All dosing solutions were prepared within 1 h prior to injection and stored at room temperature until use. MDA7 (10 mg/Kg) dosing solution was administrated as a single bolus injection into the tail vein of the animal.

### Assessment of mechanical withdrawal thresholds

As described in our previous study,<sup>3</sup> six adult male Sprague-Dawley rats (Harlan Sprague Dawley, Indianapolis, IN, USA) weighing 120-150 g and were used in experimental procedures approved by the Animal Care and Use Committee of The University of Texas MD Anderson Cancer Center. Animals were housed 3 per cage on a 12/12-h light/dark cycle with water and food pellets available ad libitum.

Rats were placed in a compartment with a wire mesh bottom and allowed to acclimate for a minimum of 30 min before testing. Sensory thresholds for the development of allodynia to mechanical stimuli were assessed. Mechanical sensitivity was assessed by using a series of Von Frey filaments with logarithmic incremental stiffness (Stoelting Co., Wood Dale, IL, USA), as previously described,<sup>9</sup> and 50% probability paw withdrawal thresholds were calculated with the up-down method.<sup>10</sup> In brief, filaments were applied to the plantar surface of a hind paw for about 6 s in an ascending or descending order after a negative or positive

withdrawal response, respectively. Six consecutive responses after the first change in response were used to calculate the paw withdrawal threshold (in grams). In rats, when response thresholds occurred outside the range of detection, the paw withdrawal threshold was assigned at 15.00 g for continuous negative responses and at 0.25 g for continuous positive responses.<sup>11</sup> Behavioral assessment was performed by one investigator who was blinded to group allocation.

## Results

### Differential scanning calorimetry

DSC curves of the inclusion complexes were compared with physical mixture (Fig. 2). MDA7 showed an endothermic peak at 108°C corresponding to melting point.<sup>4</sup> HP $\beta$ CD exhibited two broad endothermic peaks in the range of 50-150°C and 300-350°C.<sup>12</sup> The first endothermic event assigned to loss of water and the second one to thermal decomposition.<sup>13</sup> The DSC curve of MDA7:HP $\beta$ CD physical mixture showed the endotherms corresponding to HP $\beta$ CD (50-150°C and 300-350°C) and the melting peak of MDA7 at 108°C (see Supplementary Data for DSC of freeze-dried MDA7). The absence of MDA7 melting peak in the inclusion complexes with MDA7:HP $\beta$ CD molar ratio of 1:1, 1:4 and 1:6.7 is a strong indication of inclusion complex formation.<sup>14</sup> The broad endotherm at 50-150°C due to loss of water molecules absorbed and bonded to HP $\beta$ CD is dramatically decreased with 1:1 molar ratio and increased with MDA7:HP $\beta$ CD molar ratio. This effect reflects that higher active substance content of the complex means less water content. This complexation effect correlated with the loss of water indicates that inclusion complex formation results essentially in displacement of water molecules.

### ESI-MS analysis

Electrospray mass spectrometry (ESI-MS) has been used to investigate noncovalent complexes of different classes of compounds.<sup>15</sup> ESI-MS allows detecting non-covalent interactions of supramolecular inclusion host-guest complexes in the gas phase. Electrospray provides a faithful image of the composition of the complex formed in solution and subsequently its stoichiometry in the range of concentrations used for in vivo studies,<sup>16</sup> provided that all species are kinetically stable enough to reach the detector intact.<sup>15</sup> However it has been suggested that to avoid non-specific aggregation occurring upon droplet evaporation, analyzed sample should be diluted and electrospray flow rate decrease.<sup>15,17,18</sup>

The ESI mass spectra were observed for mixtures of uncomplexed HP $\beta$ CD and the supramolecular complexes with MDA7 (see Supplementary Data S1). HP $\beta$ CD is a mixture of several hydroxypropylated CD with a degree of substitution ranging from 1 to 8, which correspond to the m/z peak distribution from 1193 to 1600. The ions ranging from m/z 1645 to 1820 correspond to [HP $\beta$ CDs+MDA7+H]<sup>+</sup> with a degree of hydroxypropyl substitution ranging from 3 to 6: 1309+335+1, 1368+335+1, 1426+335+1, 1484+335+1. Figure S1 shows the presence of MDA7:HP $\beta$ CD complex at a ratio of 1:1. No signal of higher order drug:HP $\beta$ CD have been detected in the range of m/z studied (1900-5000).

### Continuous variation method (Job's plots)

Stoichiometry of the inclusion complex was determined by continuous variation plot (Job's plot) studying <sup>1</sup>H NMR spectra. Continuous variation curves were obtained by monitoring chemical shifts of the outer proton H1, the internal cavity proton H5 and CH<sub>3</sub> HP $\beta$ CD protons located in the hydroxypropyl moieties of the host (HP $\beta$ CD) which were well separated from those of MDA7. HP $\beta$ CD is not a single compound but rather a mixture of randomly hydroxypropylated molecules of  $\beta$ CD resulting in complex NMR spectra. No new peaks

were observed in NMR spectra indicating that free and complexed forms of MDA7 were in a rapid exchange relative to NMR timescale. The plots obtained for H5 reach a minimum at an  $r$  value of 0.5 on the abscissa axis indicating formation of exclusively 1:1 adduct (Fig. 3). As expected largest chemical shift variation was obtained for H5 compared to H1 and  $\text{CH}_3_{\text{HP}\beta\text{CD}}$  of  $\text{HP}\beta\text{CD}$  with an up-field of  $\Delta\delta$  0.041 ppm. Since H5 is located in the cavity of  $\text{HP}\beta\text{CD}$  the chemical shift observed clearly suggests the presence of MDA7 in the cavity. The upfield shielding effect probably results from a diamagnetic anisotropy effect due to the inclusion of  $\pi$ -electrons belonging to MDA7 into the hydrophobic central cavity of the  $\text{HP}\beta\text{CD}$ .<sup>19,20</sup> In addition, the effects of  $\text{HP}\beta\text{CD}$  on the  $^1\text{H}$  NMR chemical shift of MDA7 were investigated (see Supplementary Data S2). Continuous variation curves were obtained by recording chemical shifts of protons located in different regions of the guest, MDA7: Ha,a'; Hb,b'; Hc,c' and  $\text{CH}_3$ . These protons were selected because no peaks overlaps were observed.  $^1\text{H}$  NMR chemical shifts of MDA7 protons upon complexation by  $\text{HP}\beta\text{CD}$  are summarized in table 1. Proton Ha,a'; Hb,b' are probably located close to an oxygen of  $\text{HP}\beta\text{CD}$  since a downfield displacement of the host protons indicates that they are close to an electronegative atom such as oxygen. In contrast, protons Hc,c' and from the  $\text{CH}_3$  are probably located close to a hydrogen atom as indicated by an upfield displacement due to changes in the local polarity due to the inclusion of the drug into the lipophilic central cavity or Van der Waals interactions between MDA7 and hydrogen atoms of  $\text{HP}\beta\text{CD}$  cavity.<sup>21</sup> Magnitude of the shift difference for protons of the guest molecule is dependent on the relative strength of the two types of interaction with oxygen or hydrogen atoms with the  $\text{HP}\beta\text{CD}$  cavity. Shielding effects for Ha,a'; Hb,b' were the most intense compared to Hc,c' and  $\text{CH}_3$  indicating that the phenyl ring of the benzofuran moiety is deeply located in the  $\text{HP}\beta\text{CD}$  cavity .

### Enantiomeric recognition

We decided to formulate and test the racemic mixture *in vivo* even though the eutomer of MDA7 has been isolated and identified as being (S)-MDA7<sup>4</sup>. It was reasonable to expect that  $\text{HP}\beta\text{CD}$  will form diastereomeric inclusion complexes with racemic mixture of MDA7.  $^1\text{H}$  NMR spectra of enantiomerically pure (R)-MDA7 and (S)-MDA7 were compared to MDA7 racemic mixture to infer the  $^1\text{H}$  NMR chemical shifts for each enantiomer. Enantiomeric recognition was observed in equimolar solution of  $\text{D}_2\text{O}$  and methanol- $\text{d}_4$  at the low concentrations which were used for Job's plots studies (Supplementary Data S4) and in the system used for *in vivo* studies in  $\text{D}_2\text{O}$  (Fig.4 and Supplementary Data S3). The Job plots showed a marked difference between the two enantiomers. Chemical shift differences do not vary in the same manner for each enantiomer (e.g. Ha,a'; Hb,b' and Hc,c'). (S)-MDA7: $\text{HP}\beta\text{CD}$  complex appears to have larger  $\Delta\delta$  for Ha' and Hc' than that of (R)-MDA7: $\text{HP}\beta\text{CD}$  complex (Ha and Hc). On the other hand,  $\Delta\delta$  for Hb from (R)-MDA7:  $\text{HP}\beta\text{CD}$  complex is larger than that of Hb' from (S)-MDA7: $\text{HP}\beta\text{CD}$  complex. It is difficult to conclude which enantiomer has the higher affinity for  $\text{HP}\beta\text{CD}$  since the positioning of the two enantiomers in  $\text{HP}\beta\text{CD}$  is different according to chemical shift differences but the  $^1\text{H}$  NMR study is possible only for protons well resolved and not overlapping. As expected, chiral recognition was reduced when the concentration of  $\text{HP}\beta\text{CD}$  was reduced in methanol- $\text{d}_4$  and  $\text{D}_2\text{O}$ . Chiral recognition for the aromatic and furanic protons decreases when 0.5 to 4 equivalents of  $\text{HP}\beta\text{CD}$  were used in  $\text{D}_2\text{O}$  while no discrimination was observed for 4 and 5 equivalents. Surprisingly, enantiomeric recognition was observed for aromatic protons when 6.7 equivalent of  $\text{HP}\beta\text{CD}$  were used. On the other hand, Chiral recognition was observed for the methyl moiety of the benzofuran scaffold at 0.5 to 6.7 equivalents of  $\text{HP}\beta\text{CD}$  were used in  $\text{D}_2\text{O}$ .

## Phase solubility studies

MDA7 is a lipophilic compound showing very limited water solubility (at pH=7: 5.69 µg/mL) with a clog P of 4.54 +/- 0.37 (calculated in silico using ACD PhysChem software, Canada).

The determination of  $K_{1:1}$  between MDA7 and HPβCD was based on the quantitation of the concentration of soluble MDA7 determined by NMR upon inclusion. The increase on MDA7 solubility occurred as a linear function of HPβCD concentration (Fig. 5) corresponding to an  $A_L$ -type profile defined by Higuchi and Connors. This relationship confirmed a first order kinetics on the complex formation between MDA7 and HPβCD and confirmed the formation of a 1:1 complex.

A stability constant ( $K_{1:1}$ ) of 18 200 M<sup>-1</sup> was calculated from the slope and the intrinsic solubility ( $S_0$ ) of the drug in the aqueous complexation medium according to Eq. 1 with intrinsic water solubility of MDA7 = 31.8 µM and slope = 0.367.

$$K_{1:1} = \frac{[MDA7:HP\beta CD]}{[MDA7] \times [HP\beta CD]} = \frac{\text{Slope}}{S_0 (1 - \text{Slope})} \quad (1)$$

Where [MDA7:HPβCD] is the concentration of dissolved complex, [HPβCD] is the concentration of dissolved free HPβCD assuming that [MDA7] is the intrinsic solubility of MDA7 and Slope is the slope of the phase-solubility plot.

Intrinsic solubility ( $S_0$ ) of a drug is expected to be determined by the intercept with Y axe. In the case of MDA7 the Y-intercept is -0.001475 mol/mL. However, an intrinsic solubility of 31.8 µM was previously determined using the shake flask method.<sup>4</sup> In this case, a more accurate method to determine the solubilizing effect of HPβCD is to determine the complexation efficiency (CE). CE is the concentration ratio between free HPβCD and HPβCD complexing MDA7. CE is independent of both  $S_0$  and the intercept and is more reliable for poorly soluble guest. A CE of 0.58 was determined according to Eq. 2.<sup>23,24</sup>

$$CE = S_0 \times K_{1:1} = \frac{[MDA7:HP\beta CD]}{[HP\beta CD]} = \frac{\text{Slope}}{(1 - \text{Slope})} \quad (2)$$

The drug:cyclodextrin molar ratio in water saturated with MDA7 can be determined according to Eq. 3.

$$MDA7:HP-\beta-CD \text{ molar ratio} = \frac{(CE+1)}{CE} \quad (3)$$

MDA7:HPβCD ratio has a value of 1:2.7 indicating that, on a 1:1 MDA7:HPβCD complexation, just one out of approximately 3 HPβCD molecules is forming an inclusion complex with MDA7. Since HPβCD is a mixture of randomly hydroxypropylated CDs with different molecular weights, MDA7:HPβCD ratio is similar to the experimental MDA7:HPβCD ratio determined to solubilized MDA7 with a value between 3 and 4. Both (R)-MDA7 and (S)-MDA7 enantiomers exhibited a comparable water solubility value with the racemic mixture after complexation with HPβCD at a molar ratio of 1:1 (Fig. 5).

## 2D NMR spectroscopy

Two-dimensional rotating-frame NOE spectroscopy (ROESY) has been used widely for the characterization of CD inclusion complex (Fig. 6). NOE cross-peaks resulting from dipolar coupling after complexation indicate a distance smaller than 5 Å between two protons of the host and the guest molecules. As mentioned above HPβCD is a mixture of randomly



hydroxypropylated molecules of  $\beta$ CD and in addition, just one out of 3 HP $\beta$ CD molecules is forming an inclusion complex with MDA7. These parameters are adding complexity on the interpretation of ROESY experiments. 2D ROESY spectra of the complex MDA7:HP $\beta$ CD were acquired at different molar ratio in pure D<sub>2</sub>O. Aromatic protons H<sub>i,i'</sub>, H<sub>d,d'</sub> and H<sub>h,h'</sub> of MDA7 at 1:1 molar ratio exhibited intermolecular cross-peaks with the NMR signals including H5 and H6 of HP $\beta$ CD. These observations suggest that the benzyl moiety of MDA7 is positioned deeply inside the cavity. In this case, cross-peak between MDA7 and H3 should confirm the hypothesis. It was difficult to conclude about possible interactions between CH<sub>3</sub> and the benzylic CH<sub>2</sub> of MDA7 with H3 of HP $\beta$ CD since H3 is overlapping with H<sub>b,b'</sub> protons. (R)-MDA7 protons H<sub>a</sub>, H<sub>j</sub> and H<sub>k</sub> had additional cross-peaks with NMR region including H5 and H6 of HP $\beta$ CD compared to (S)-MDA7. A dynamic equilibrium between two different complexes in the NMR timescale can explain these additional interactions. Benzofuran moiety of (R)-MDA7 could interact with HP $\beta$ CD in addition to the benzyl moiety. These two complexes could coexist in solution. (R,S)-MDA7 ROESY studies at 1:1 molar ratio confirm the data obtained with pure enantiomers. (R,S)-MDA7 ROESY studies at 1:4 molar ratio did not show significant differences compared to 1:1 ratio. H<sub>k,k'</sub> cross-peaks were weak in both spectra. Interaction between NMR region including H5 and H6 of HP $\beta$ CD and H<sub>a,a'</sub> indicates that at higher concentration of HP $\beta$ CD, (R)-MDA7 is forming the 2 complexes.

### Morphology and size

Morphology and size of MDA7 liposomal preparation was investigated by TEM 24H after manufacturing (Fig. 7D) and showed the presence of an homogenous population of closed round-shaped oligolamellar (OLVs) MDA7 containing-liposomes, with an average size of approximately 100 nm. No MDA7 crystallization occurred in the liposomal powder. In DLS studies, MDA7 liposomal powder hydrated in PBS yielded to a monodisperse distribution of OLVs with an average mean diameter of 117 nm [polydispersity index (PDI)<0.1] corroborating the TEM results. Monodisperse micelles exhibited an average size of 15nm (PDI 0.1). Hydrodynamic radius of samples containing HP $\beta$ CD at 130 mM, and mixture of MDA7 and HP $\beta$ CD at 1:1, 1:4 and 1:6.7 molar ratio with a concentration of respectively 30 mM, 130 mM and 230 mM were determined by DLS (Fig. 7A and Fig. 7B). The Z-average and the PDI results given by the single mode cumulant couldn't be exploited since different populations of particles are present in the dispersion. However pure HP $\beta$ CD solution is composed of a mixture of two discrete populations with an average diameter of 2 nm (PDI<0.1) and 345nm (PDI<0.1). A hydrodynamic radius of approximately 2 nm is in reasonable agreement with the size expected for a single HP $\beta$ CD<sup>25</sup>.

This population was found in all the samples containing MDA7 and HP $\beta$ CD at different molar ratio. Complexation of HP $\beta$ CD with MDA7 is not expected to dramatically change the hydrodynamic radius of single MDA7:HP $\beta$ CD complex compared to a single HP $\beta$ CD. The second population was composed by particles with a mean hydrodynamic radius of 345 nm corresponding probably of self-aggregated HP $\beta$ CD forming nanoparticles. We observed the same bimodal distribution for the samples containing MDA7 and HP $\beta$ CD at 1:4 and 1:6.7 molar ratios. However, the mean hydrodynamic radii corresponding to self-aggregated HP $\beta$ CD were larger for samples containing MDA7 and HP $\beta$ CD than in the sample containing pure HP $\beta$ CD. MDA7 can affect the size of the self-aggregated HP $\beta$ CD inducing formation of larger aggregates.<sup>24</sup>

Surprisingly, a third population with a mean hydrodynamic radius of 76nm (PDI<0.1) was identified in the sample with MDA7:HP $\beta$ CD at a molar ratio of 1:1, furthermore the mean diameter of the larger population was similar than for pure HP $\beta$ CD. The lower concentration of HP $\beta$ CD in the former sample compared to the sample with pure HP $\beta$ CD could explain the differences in term of distribution and diameter. The aggregation and the size of the

aggregates increase with increasing cyclodextrin concentration.<sup>24</sup> The morphology and the size of self-aggregates of HP $\beta$ CD were also analyzed by TEM. Two populations of spherical aggregates of HP $\beta$ CD with diameter of 5-10 nm and 30 nm were observed (Fig. 7C). These results were not in agreement with DLS experiments but sample preparation can affect the size of the aggregates observed by TEM. Nevertheless, TEM results confirm that spherical aggregates of different sizes are present in aqueous MDA7:HP $\beta$ CD solutions as previously shown with other host molecules.<sup>26</sup>

### Molecular modeling

To further explore the mode of complexation of MDA7 by HP $\beta$ CD we performed molecular modeling studies. We observed that the complexes with the highest score were with the benzyl ring inserted into the HP $\beta$ CD cavity ( $E_{S\text{-MDA}7} = -537.0 \text{ kcal mol}^{-1}$ ,  $E_{R\text{-MDA}7 \text{ pink}} = -627.0 \text{ kcal mol}^{-1}$ ,  $E_{R\text{-MDA}7 \text{ cyan}} = -579.0 \text{ kcal mol}^{-1}$ ) which is in agreement with the results obtained by NMR spectroscopy. In addition the complex with the benzofuran ring into the HP $\beta$ CD cavity for (R)-MDA7 was among the complexes with the highest score ( $E_{R\text{-MDA}7 \text{ purple}} = -614.0 \text{ kcal mol}^{-1}$ ), confirming that the two modes of complexation can coexist (Fig. 11).

### *In vivo* characterization of MDA7 formulated

**Antinociceptive effect of MDA7 evaluated *in vivo* in three Drug Delivery System (DDS)**—*In vivo* experiments were carried out to study the influence of three different DDS, HP $\beta$ CD, liposomes and micellar preparation of the CB2 agonist MDA7, to modify its efficacy *in vivo* at therapeutic dose. Formulation concepts based on colloidal systems such as micellar solution, liposomes and inclusion complexes were selected because of their common use in intravenous formulations and their high solubilizing ability for lipophilic drugs such as MDA7 thereby improving drug biodistribution and therapeutic efficacy. In the spinal nerve ligation neuropathic pain model, MDA7 (i.v.) in the three different DDS attenuated tactile allodynia (Fig. 9). MDA7 formulated in HP $\beta$ CD produced a significantly ( $P < 0.01$ ) greater antiallodynic effect than that noted with liposomes or with the vehicle. A similar or slightly reduced antiallodynic effect was obtained with MDA7 formulated in micellar preparation. Liposomes used for this study were not coated with hydrophilic polymers and elimination by immune system was expected. This effect can explain the reduced effect of MDA7 prepared in liposomes compared to the two other DDS. A combination of different factors such as the hydrophobic-core-hydrophilic-shell structure of cyclodextrin and micelles -based DDS as well as their smaller size distribution (respectively  $\approx 3 \text{ nm}$  and  $\approx 15 \text{ nm}$ ) compared to liposomes ( $> 100 \text{ nm}$ ) may have contributed in preventing recognition by the mononuclear phagocyte system (MPS) and subsequent preliminary elimination of the drug.

### Discussion

Significant solubility enhancement was obtained for MDA7 owing to suitable solubilizing properties of the three DDS developed for a dose of 10 mg/Kg administered intravenously. Although the solubilizing system should not influence the intrinsic pharmacokinetics (PK) of the drug, evidence indicates that there is an impact of DDS on drugs PK profile by affecting transporters, metabolic enzymes, proteins binding, possibly cytochrome-mediated metabolism, and biodistribution.<sup>27,28,29</sup> MDA7 is a lipophilic molecule with a Log P value of 4.54, poorly water soluble (31.8  $\mu\text{M}$ ) and moderately protein bound (82.8%).<sup>4</sup> Excipients modulate protein binding and cellular partitioning of compounds in blood which is expected to impact the overall drug disposition. Half time life of MDA7 in the plasma is short (0.18 h)<sup>2</sup> and effect of drug-DDS interactions on unbound fraction may result in better antinociceptive effect. Surfactant-cosolvent mixtures yielded to suitable *in vivo* activity,

liposomes resulted in significantly reduced efficacy whereas HP $\beta$ CD dramatically improved MDA7 *in vivo* performance. MDA7 exhibited a high affinity constant ( $K_{1:1} = 18\,200\text{ M}^{-1}$ ) for HP $\beta$ CD. After parental administration and dilution in the biological fluids, drugs appear to be rapidly and quantitatively released from CDs inclusion complexes, particularly for  $K$  value  $< 10^5\text{ M}^{-1}$ . This is a predominant mechanism involved in drug release from the drug:HP $\beta$ CD complex that continuously forms and dissociates with lifetimes in the range of milliseconds or less.<sup>30</sup> An excess of HP $\beta$ CD (6.7 mole equivalents) was used to deliver MDA7. We demonstrated that at this molar ratio HP $\beta$ CD form aggregates which are expected to dissociate with an increased dilution *in vivo*. Complexation by HP $\beta$ CD and aggregates formation can decrease MDA7 metabolism and renal excretion of the complexes, thereby increasing MDA7 plasma concentration.

Micelles represent versatile, easy to prepare and characterize DDS for enabling formulations of lipophilic cannabinoids but may suffer from significant toxicity after parenteral administration with high solvents concentration, when chronic administration is required. However Cremophor<sup>TM</sup>ELP has been shown to reduce protein binding in plasma, thereby increasing the free drug fraction available to exert its efficacy.

Lipid excipients such as phosphatidylcholine used to prepare the liposomes are generally considered as safe and have proven to be minimally toxic even in applications where they have been employed at very high concentrations. Liposomal DDS are usually more stable to dilution in contrast to cosolvent-micelles approaches and have a good potential for preventing *in vivo* drug precipitation. We prepared non sterically stabilized liposomes which are expected to be cleared quite rapidly<sup>31</sup> and may not have been circulating long enough for the drug to exert its therapeutic effect. Steric stabilization of conventional liposomes using inert hydrophilic polymers (often referred as “stealth” liposomes) has been reported to increase their colloidal stability as well as circumventing mononuclear phagocyte system uptake and clearance. However, some authors have reported that conventional liposomes could be more efficient than the pegylated ones<sup>32</sup> or cyclodextrin<sup>33</sup> and address the issue of accelerated clearance of sterically stabilized liposomes upon repeated injection.<sup>34</sup>

## Conclusions

The FDA approved and chiral HP $\beta$ CD appears to be a well-adapted enabling formulation approach for the *in vivo* delivery of lipophilic and chiral molecule such as MDA7. A full characterization of the diastereomeric MDA7:HP $\beta$ CD inclusion complex allowed an accurate and reproducible dosing, a prerequisite for performing pharmacology pre-clinical studies. Our study has shown that using inclusion complexation and possibly formation of aggregates, HP $\beta$ CD can enhance the aqueous solubility of lipophilic drugs without compromising their intrinsic ability to permeate biological membranes. We also demonstrated HP $\beta$ CD could be successfully used for the delivery of chiral drugs such as MDA7 since the same proportion of each enantiomer was solubilized by the formation of a 1:1 complex and no enantiomeric discrimination in term of solubility was observed. Differences in chemical shifts observed for the diastereomeric complexes formed by HP $\beta$ CD and racemic MDA7 may result from differences in equilibrium constants or modes of complexation of the various possible complexes as demonstrated by our molecular modeling study. Rapid exchange occurs between free and complexed forms of MDA7 relative to NMR timescale, minimizing an enantiodifferentiation in term of water solubility for both enantiomers. Enabling formulation using HP $\beta$ CD improved antiallodynic effect of the CB2 agonist MDA7 in comparison to incorporation in liposomes. The use of micellar system may be a suitable strategy when the lipophilic compound has inappropriate geometry, size or physicochemical characteristics to form host/guest complex formation, if chronic administration is not required.

Overall, HP $\beta$ CD present many advantages related to their high safety of use in pre-clinical and clinical studies for racemic mixtures, their good performance *in vivo*, easy characterization of the complexes formed and their versatility, forming easy-to obtain water soluble inclusion complexes with lipophilic drugs.

In addition, HP $\beta$ CD are well adapted DDS for oral administration, a more appropriate route of administration for the treatment of chronic disease. Since Biopharmaceutics Classification System (BCS) Class II (poor solubility, high intestinal permeability) preclinical drug candidates to treat neuropathic pain such as MDA7 could behave as BCS Class I (high solubility, high intestinal permeability) drug candidates by using HP $\beta$ CD - based DDS as an early formulation strategy, their use may result in an improved oral bioavailability and an increased *in vivo* efficacy.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

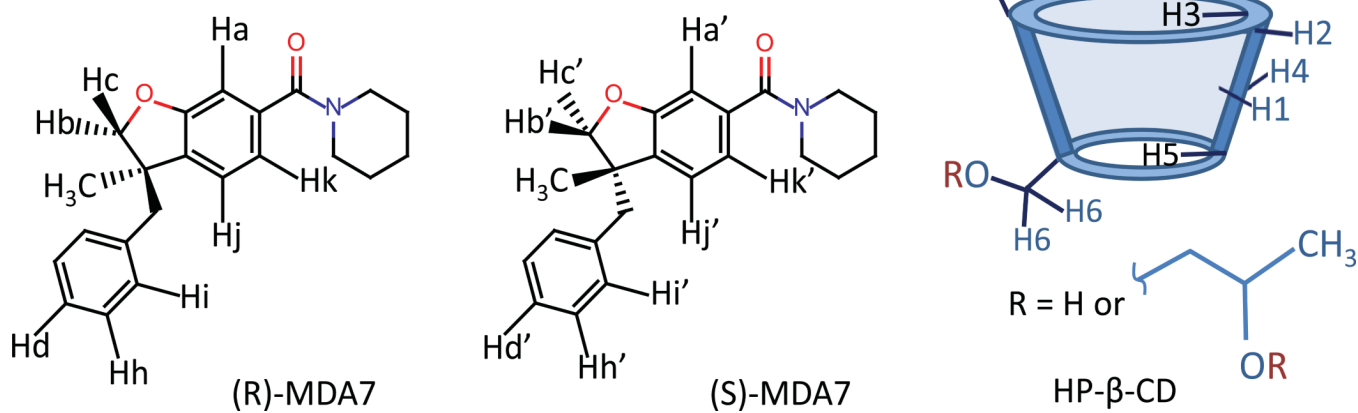
FAD, SWM and PhD acknowledge National Institutes of Health (NIH) grant P30-NS055022. Images, electron microscopy services and resources for liposome were provided by the High Resolution Electron Microscopy Facility at MD Anderson Cancer Center, Institutional Core Grant #CA16672. Images, electron microscopy services and resources for HP $\beta$ CD formulations were provided by the Electron Microscopy Facility, Division of Biological Sciences, University of Montana, Missoula, MT. The EM Facility is supported, in part, by National Center for Research Resources (5P20RR016455-11) and the National Institute of General Medical Sciences (8 P20 GM103474-11). P.D. and M.N are named on 2 patent applications submitted by the University of Texas MD Anderson Cancer Center.

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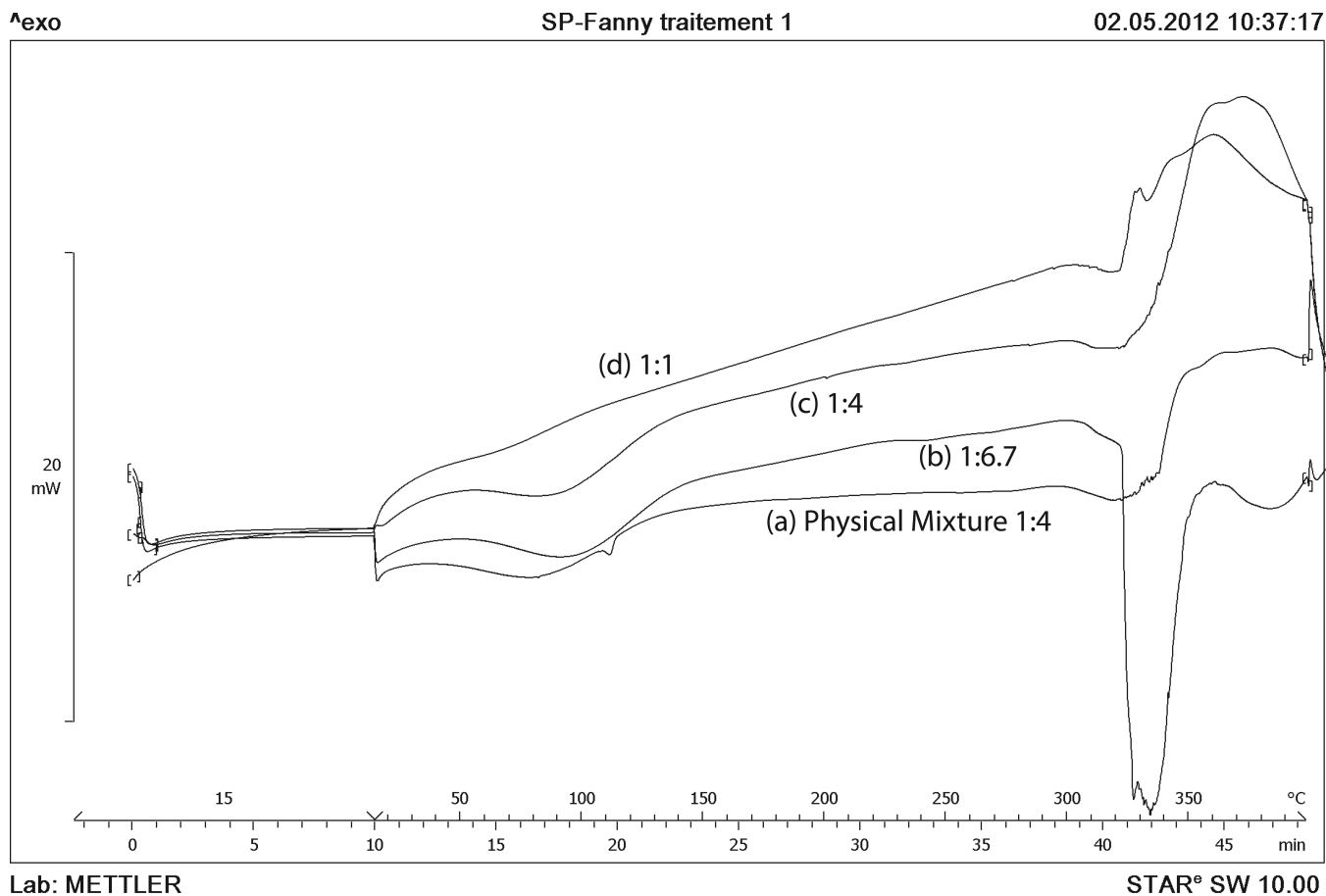
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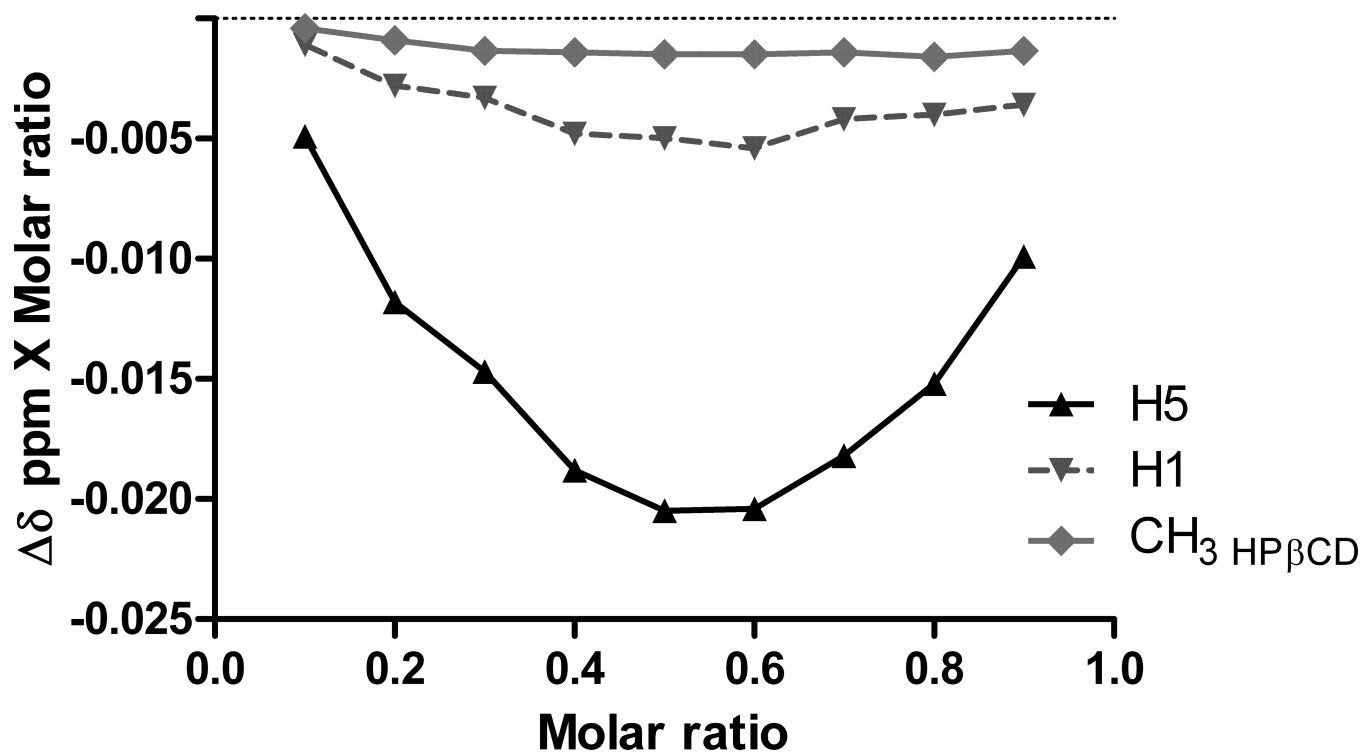


**Figure 1.**  
Numbered chemical structures of the two enantiomers of MDA7 and of HPβCD.

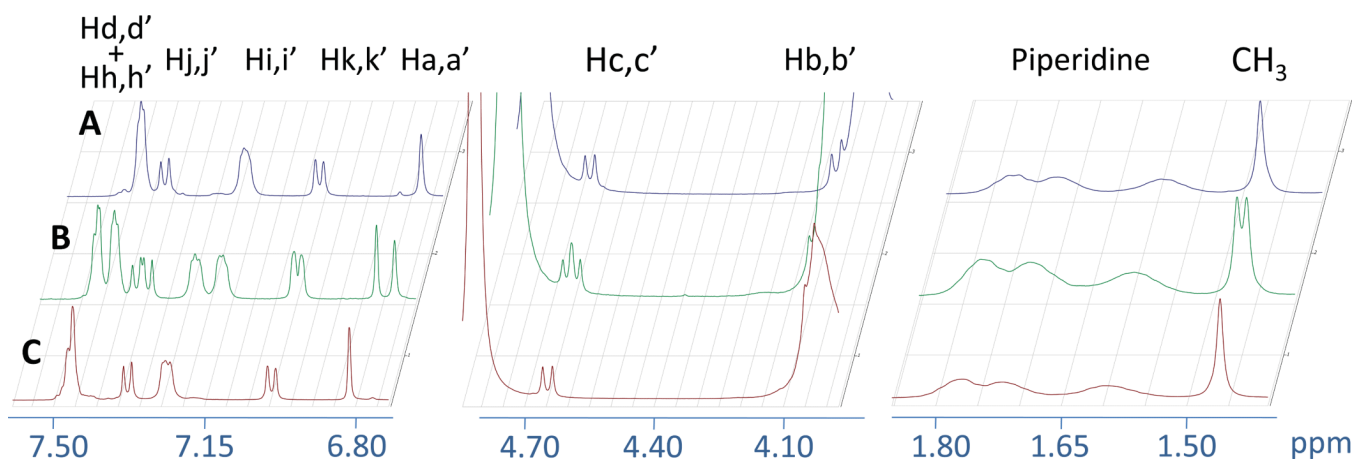


**Figure 2.**  
DSC thermograms of (a) HP $\beta$ CD:MDA7 physical mixture molar ratio of 1:4; (b) HP $\beta$ CD:MDA7 inclusion complex molar ratio 1:6.7; (c) HP $\beta$ CD:MDA7 inclusion complex molar ratio 1:4 and (d) HP $\beta$ CD:MDA7 inclusion complex molar ratio 1:1.

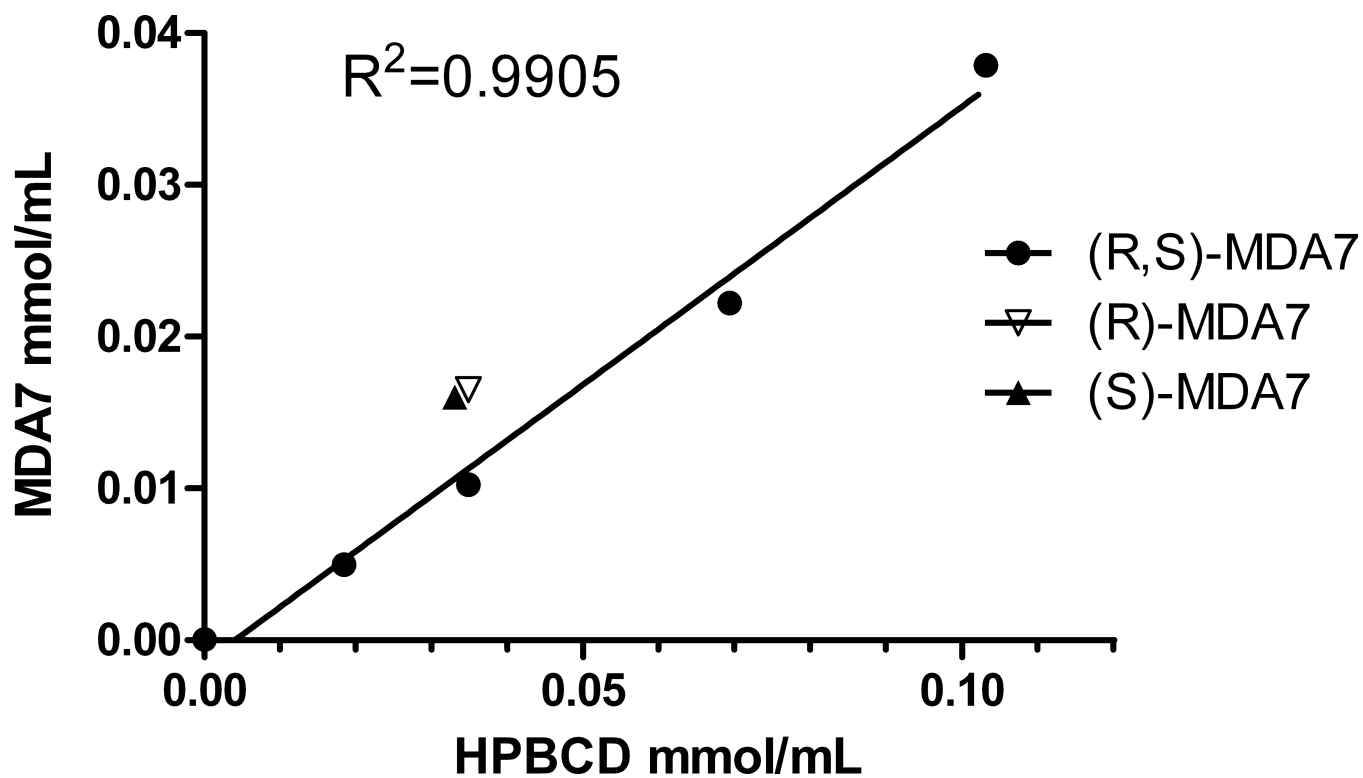




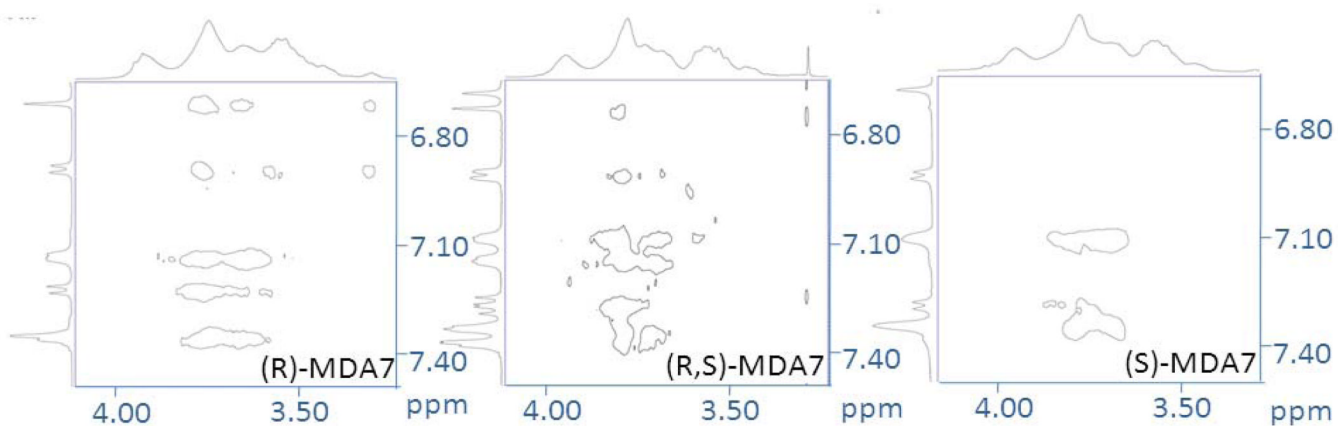
**Figure 3.** Continuous variation plot corresponding to the chemical shift induced displacement of HPβCD protons, H5, H1 and CH<sub>3</sub> HPβCD in an equimolar solution of D<sub>2</sub>O and methanol-d<sub>4</sub> at 300 K.



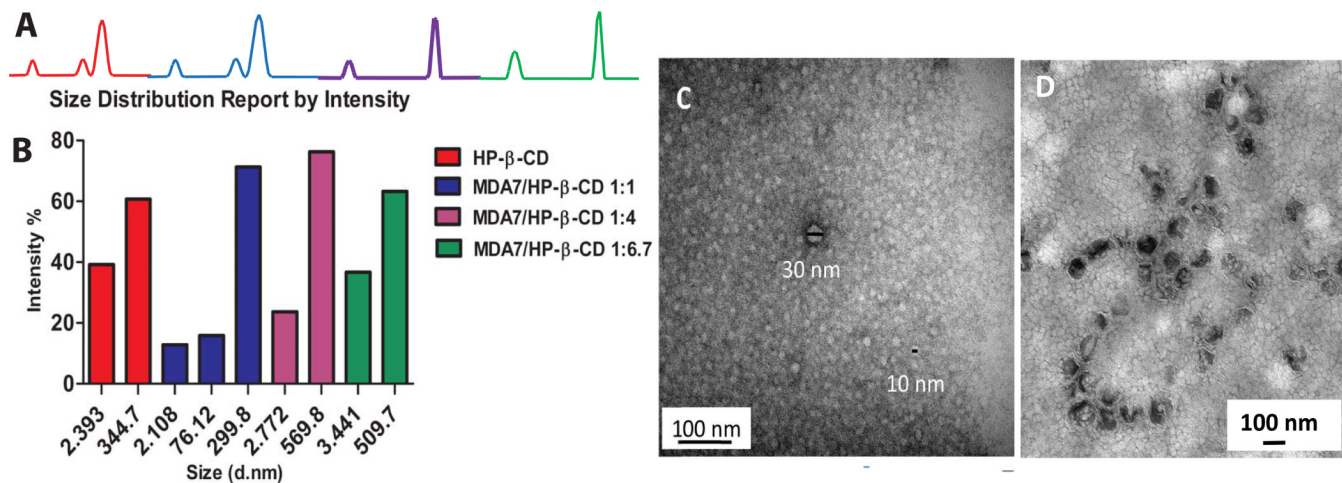
**Figure 4.** Portions of NMR spectra of an equimolar mixture of (A) (R)-MDA7, of (B) (R,S)-MDA7 and of (C) (S)-MDA7 upon complexation by HPβCD at a concentration of 33 mM in D<sub>2</sub>O at 300 K.



**Figure 5.** Phase-solubility diagram for (R,S)-MDA7 at increasing HP $\beta$ CD concentrations and (R)-MDA7 and (S)-MDA7 solubility values at a MDA7:HP $\beta$ CD molar ratio of 1:1.

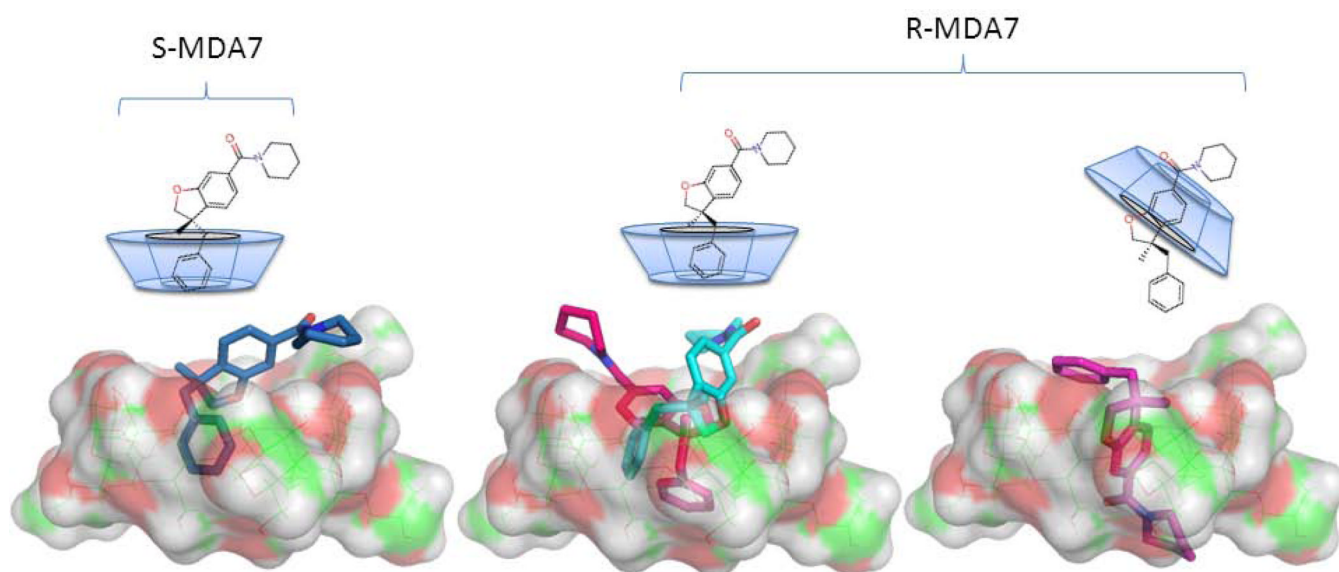


**Figure 6.** Partial contour plots of 2D ROESY spectrum of MDA7:HP $\beta$ CD inclusion complexes in D<sub>2</sub>O at 300 K upon complexation by HP $\beta$ CD at a concentration of 33 mM in D<sub>2</sub>O at 300 K. For the proton labeling see Figure 1.

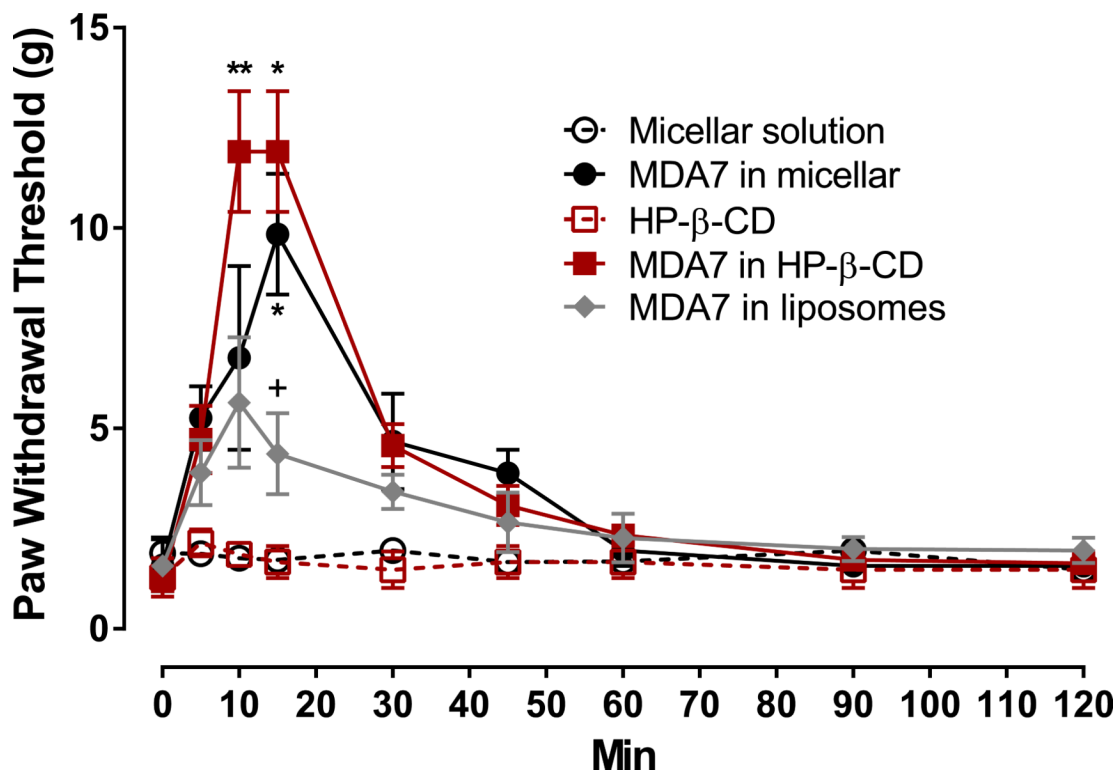


**Figure 7.**

A, DLS results showing size distribution by intensity of the aqueous solutions of HPβCD and MDA7:HPβCD at 1:1, 1:4 and 1:6.7 molar ratio; B, Flow charts corresponding to the size distribution by intensity; C, TEM images of aggregated 230 mM 1:6.7 MDA7:HPβCD; D, TEM images of MDA7 in liposomal dispersions.



**Figure 8.** Schematic structures and lowest energy inclusion complexes of (S)-MDA7 and (R)-MDA7 in HP $\beta$ CD. In Blue (S)-MDA7:HP $\beta$ CD complex with the benzyl ring inserted into the cavity of HP $\beta$ CD, in cyan and pink two conformations of (R)-MDA7:HP $\beta$ CD complexes with the benzyl ring inserted into the cavity of HP $\beta$ CD and, in purple (R)-MDA7:HP $\beta$ CD complex with benzofuran ring inserted into the cavity of HP $\beta$ CD.



**Figure 9.** Effect of MDA7 formulated in HPβCD, liposomes and micellar preparation administered by i.v. injection on tactile allodynia in a spinal nerve ligation neuropathic pain model at a dose of 10 mg/kg. MDA7 effects were greatest in HPβCD > micellar > liposomes preparations at increasing the paw withdrawal threshold of the nerve-injured paw. \*\*P<0.01, \*P<0.05 versus corresponding vehicle. +P<0.05 versus MDA7 in HPβCD or in micellar preparations (two-way analysis of variance followed by Student-Newman-Keuls multiple comparisons test).

**Table 1**

<sup>1</sup>H NMR chemical shifts ( $\delta$  in ppm) and changes ( $\Delta\delta$  in ppm) of HP $\beta$ CD protons upon complexation of MDA7 at 0.5 molar ratio in an equimolar solution of D<sub>2</sub>O and methanol-d<sub>4</sub> at 300 K.

HP $\beta$ CD protons	$\delta$ free (ppm)	$\delta$ complex (ppm)	$\Delta\delta$ (ppm)	MDA7 protons	$\delta$ free (ppm)	$\delta$ complex (ppm)	$\Delta\delta$ (ppm)
H1	5.024	5.014	-0.01	Ha	6.5745	6.6370	0.0625
H5	3.846	3.805	-0.041	Ha'	6.5745	6.6540	0.0795
CH <sub>3</sub> <sub>HP<math>\beta</math>CD</sub>	1.144	1.141	-0.003	Hc	4.1700	4.1270	-0.0430
				Hc'	4.1700	4.1060	-0.0640
				Hb	4.6175	4.6285	0.0110
				Hb'	4.6175	4.6230	0.0055
				CH <sub>3</sub>	1.439	1.430	-0.009