

Increasing Fc γ RIIa affinity of an Fc γ RIII-optimized anti-EGFR antibody restores neutrophil-mediated cytotoxicity

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Antibody-dependent cell-mediated cytotoxicity (ADCC) has been suggested as an essential mechanism for the *in vivo* activity of cetuximab, an epidermal growth factor receptor (EGFR)-targeting therapeutic antibody. Thus, enhancing the affinity of human IgG1 antibodies to natural killer (NK) cell-expressed Fc γ RIIIa by glyco- or protein-engineering of their Fc portion has been demonstrated to improve NK cell-mediated ADCC and to represent a promising strategy to improve antibody therapy. However, human polymorphonuclear (PMN) effector cells express the highly homologous Fc γ RIIIb isoform, which is described to be ineffective in triggering ADCC. Here, non-fucosylated or protein-engineered anti-EGFR antibodies with optimized Fc γ RIIIa affinities demonstrated the expected benefit in NK cell-mediated ADCC, but did not mediate ADCC by PMN, which could be restored by Fc γ RIIIb blockade. Furthermore, eosinophils and PMN from paroxysmal nocturnal hemoglobinuria patients that expressed no or low levels of Fc γ RIIIb mediated effective ADCC with Fc γ RIII-optimized anti-EGFR antibody. Additional experiments with double Fc γ RIIa/Fc γ RIII-optimized constructs demonstrated enhanced PMN-mediated ADCC compared with single Fc γ RIII-optimized antibody. In conclusion, our data demonstrate that Fc γ RIIIb engagement impairs PMN-mediated ADCC activity of Fc γ RIII-optimized anti-EGFR antibodies, while further optimization of Fc γ RIIa binding significantly restores PMN recruitment.

Introduction

Tumor therapy with monoclonal antibodies is gaining increasing importance for many cancers, although the therapeutic benefit for individual patients is often limited¹ and the economic burden for health care providers continues to rise.² An improved understanding of the clinically-relevant mechanisms of action for therapeutic antibodies may provide rational approaches to increase their clinical efficacy.³ This understanding, however, is still incomplete for many widely applied antibodies, although progress has been achieved over the years. For example, the monoclonal antibodies rituximab and trastuzumab significantly lost therapeutic activity in mice with genetically disrupted Fc γ receptor expression.⁴ More recent studies demonstrated that intact Fc γ receptor signaling, and not merely Fc γ receptor-mediated antigen crosslinking, is required for therapeutic antibody efficacy in xenogenic models.⁵ Furthermore, syngeneic B cell depletion was critically affected by antibody isotypes and specific Fc γ receptor isoforms.⁶ Further analyses revealed that affinity ratios for activating vs. inhibitory Fc γ receptors determined the therapeutic efficacy of certain tumor-directed antibodies

in mice.⁷ In humans, the strongest evidence for Fc γ receptor-mediated mechanisms of action was derived from clinical studies that demonstrated associations between clinical responses and expression of certain alloforms of activating Fc γ receptors.⁸ For example, expression of the Fc γ RIIa-131H or Fc γ RIIIa-158V alloforms was correlated with increased clinical benefit from trastuzumab or rituximab therapy.^{9,10} Notably, Fc γ receptor polymorphisms were not associated with response to rituximab in chronic lymphocytic leukemia (CLL) patients, suggesting that different mechanisms of action may contribute depending on disease entity.¹¹ Effector mechanisms for antibodies against the epidermal growth factor receptor (EGFR) appear less well defined than for trastuzumab or rituximab, since blockade of ligand binding, inhibition of growth factor signaling and receptor down-modulation appear to contribute.¹² Nevertheless, at least two studies reported associations between distinct Fc γ RIIa (H131R) and Fc γ RIIIa (V158F) allotypes and clinical responses to therapeutic antibodies targeting EGFR.^{13,14} Together, there is considerable evidence to suggest that antibody-dependent cell-mediated cytotoxicity (ADCC) is among the relevant effector mechanisms of many tumor-directed antibodies, including

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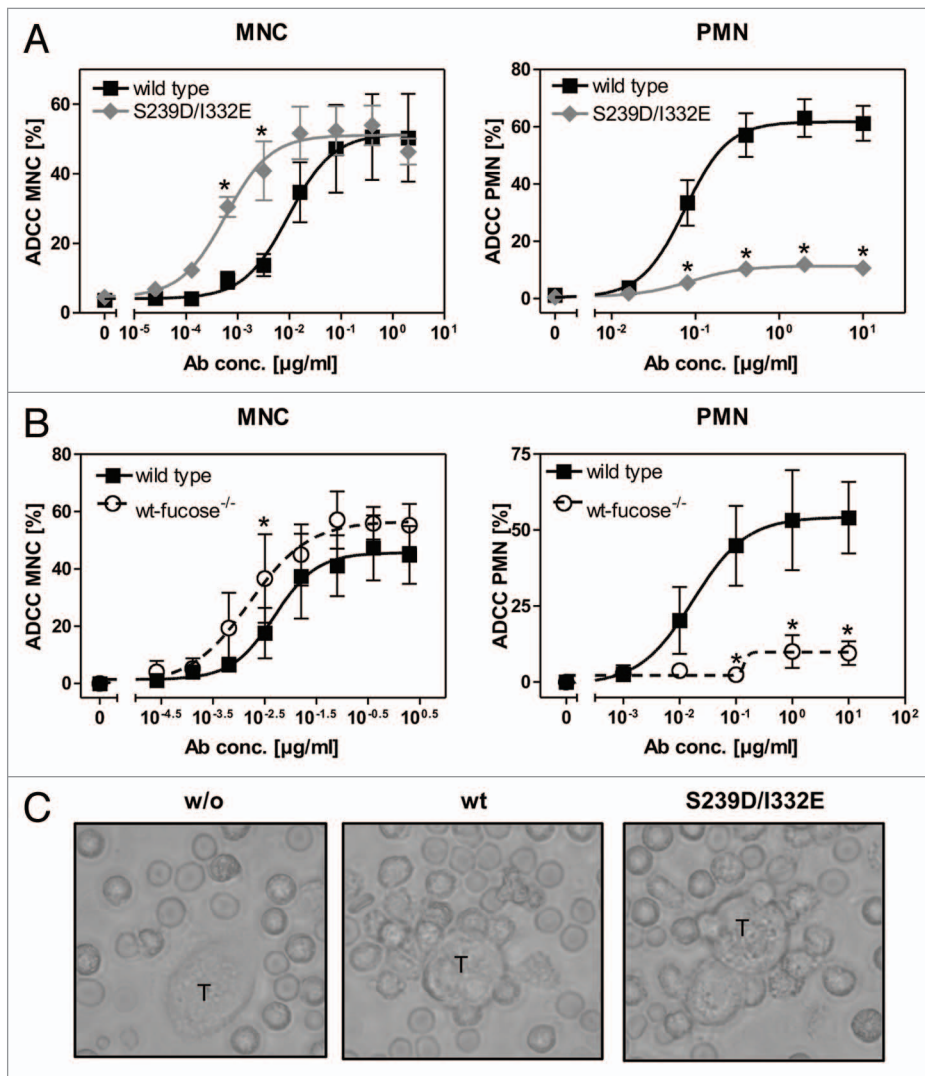


Figure 1. Glyco- or protein-engineered Fc variants optimized for FcγRIII binding mediated enhanced ADCC by MNC, but did not trigger PMN-mediated cytotoxicity. (A, B) ADCC against EGFR-expressing A431 cells was investigated in 3h ⁵¹chromium release assays in the presence of increasing concentrations of (A) protein-engineered or (B) glyco-engineered antibodies. ADCC by MNC was enhanced by protein- or glyco-engineered variants compared with wild type antibody (left panel). PMN triggered significant ADCC with wild type antibody, but were completely ineffective with both types of variants (right panel). Data are presented as mean ± SEM of at least three independent experiments with different donors. * *P* ≤ 0.05 for wild type vs. engineered antibodies. (C) FcγRIII-engineered antibody mediated tumor cell adhesion by PMN. A431 cell were co-incubated with GM-CSF stimulated PMN from healthy donors at an E:T ratio of 80:1 in the presence or absence of EGFR-targeted antibodies. After 3 h, microscopy was performed at 40x magnification (t = tumor target cells).

anti-EGFR antibodies. Thus, several approaches to increase the ADCC activity of therapeutic antibodies are being actively investigated.¹⁵⁻¹⁷

Protein- and glyco-engineering of antibodies' Fc portion are among the most well-established technologies to increase the binding affinity of therapeutic antibodies to activating Fcγ receptors.^{18,19} The glycosylation profile, especially the presence or absence of fucose in the oligosaccharide attached to the N-glycosylation site at Asn297, and specific protein mutations in the Fc part of antibodies have been demonstrated to affect Fc receptor binding and Fc-mediated effector mechanisms.^{17,20,21}

In contrast to natural killer (NK) cell-mediated ADCC, polymorphonuclear cell (PMN)-mediated ADCC by antibody preparations with 25% reduced fucose content was impaired.²² These observations suggested that Fc glyco-engineering by removal of fucose did not universally enhance ADCC activity, but optimized the ADCC activity for a selected effector population, e.g., NK cells at the expense of another potentially important effector population such as PMN.²³

The relative contribution of individual effector cell types for the therapeutic activity of monoclonal antibodies in vivo is difficult to assess.²⁴ Studies in mice demonstrated the involvement of distinct effector cell populations to antibodies' anti-tumoral activities such as NK cells, monocytes/macrophages and neutrophils, which may also depend on many variables such as the antigen, the antibody isotype or format, as well as the utilized mouse model.^{6,25-30} Involvement of PMN has been suggested, for example, from studies in mouse models in which human colorectal carcinoma cell lines have been transduced to express granulocyte colony-stimulating factor (G-CSF) potentiated tumor infiltration with neutrophils and tumor destruction.³¹ PMN kill tumor cells by different mechanisms, including phagocytosis and ADCC.²³ While phagocytosis of tumor cells has been demonstrated for small CLL cells,³² ADCC may predominate for larger epithelial tumor cells. In patients, the predictive value of different FcγR alleles with FcγRIIIa expressed on NK cells/macrophages and FcγRIIa on monocytes/macrophages and PMN suggest the recruitment of different effector populations for efficient antibody therapy.¹³ Therefore,

it appears important to assess functional consequences of Fc engineering strategies for the cytotoxic potential of different effector cell populations.

In contrast to mice, humans express two isoforms of FcγRIII (CD16), FcγRIIIa and FcγRIIIb, which are highly homologous in their extracellular domains. While both FcγRIII isoforms share low affinities for IgG1, with FcγRIIIb displaying > 8-fold lower affinity for IgG1 compared with FcγRIIIa (Table 1), FcγRIIIa contains a transmembrane region that associates with the Fcγ chain for signaling, while FcγRIIIb is a GPI-linked

Table 1. FcγR binding of analyzed antibodies

	FcγRI ⁵		FcγRIIa H131		FcγRIIa R131		FcγRIIb		FcγRIIIa V158		FcγRIIIa F158		FcγRIIIb	
	K _A	Fold	K _A	Fold	K _A	Fold	K _A	Fold	K _A	Fold	K _A	Fold	K _A	Fold
<i>IgG1 wt</i>	8,333.33	1.0	1.22	1.0	1.25	1.0	0.42	1.0	4.00	1.0	0.77	1.0	0.09	1.0
<i>S239D/I332E</i>	50,000.00	6.0	5.00	4.1	10.99	8.8	7.14	17	76.92	18	24.39	32	1.76	18.7
<i>S239D/I332E/G236A</i>	22,727.27	2.7	27.78	23	66.67	54	6.67	16	45.45	11	21.74	29	1.42	15.0
<i>wt-fucose^{-/-}</i>	8,333.33	1.0	0.91	0.75	2.50	2.0	0.42	1.0	55.56	13.9	10.20	13.3	n.d.	-

K_A's were obtained from global Langmuir fits of Biacore data and are presented in μM⁻¹. Fold = K_A(IgG1 wt) / (K_A(variant)). ⁵ K_A's for FcγRI as well as data for wt-fucose^{-/-} were taken from ref. 47.

molecule.³³ Expression of FcγRIIIb is restricted to human PMN, and is completely absent in mice. Human FcγRIIIa and its murine homolog FcγRIV are expressed, for example, by human NK cells and murine myeloid cells, respectively. On human PMN, FcγRIIIb is the most abundantly expressed FcR, which is thought to act as the pivotal receptor in binding and clearing circulating immune complexes.^{34,35}

Previous studies demonstrated enhanced PMN-mediated tumor cell phagocytosis by FcγRIIIa-enhanced antibodies.^{36,37} Here, we analyzed the effect of enhancing Fc binding to FcγRIII on PMN-mediated ADCC against solid tumor cells. In the case of PMN, improvement of IgG1 affinities for FcγRIII led to impaired ADCC. This impairment was evoked by FcγRIIIb engagement, which did not trigger ADCC. Further studies demonstrate that PMN-mediated ADCC can be restored by enhancing the affinity for the activatory FcγRIIa receptor, suggesting that the FcγRIIa-FcγRIIIb binding ratio of therapeutic antibodies should be considered in the development of next-generation antibodies.

Results

Enhanced FcγRIIIa binding elevates mononuclear cell-mediated but impairs PMN-mediated cytotoxicity

To analyze whether increased FcγRIII affinities of Fc-engineered anti-EGFR antibodies were accompanied by altered cytotoxic activity, ⁵¹chromium release assays were performed with A431 target cells and mononuclear cells (MNC) or PMN as effector cells. In comparison to their wild type counterpart, both protein-engineered (S239D/I332E) (Fig. 1A) and non-fucosylated (Fig. 1B) antibodies displayed enhanced ADCC activity with MNC effector cells as indicated by reduced EC₅₀ values (mean EC_{50wt} = 0.008 μg/ml; EC_{50S239D/I332E} = 0.0006 μg/ml; EC_{50wt-fucose^{-/-}} = 0.0016 μg/ml) (left panels). In clear contrast, PMN-mediated ADCC by both Fc-engineered antibodies was almost completely abolished (mean maximum lysis at 10 μg/ml S239D/I332E = 10.6%; mean maximum lysis at 10 μg/ml wt-fucose^{-/-} = 9.6%, while it was triggered by the wild

type counterpart (mean maximum lysis at 10 μg/ml = 57.7%) (right panels).

Notably, while no accumulation of PMN on the surface of A431 cells was detected in the absence of tumor cell sensitizing antibodies, wild type antibody and the S239D/I332E variant mediated similar PMN attachment to A431 cells (Fig. 1C).

Fc-engineered antibodies effectively recruit FcγRIII-negative granulocytes for ADCC induction

Unstimulated or GM-CSF stimulated human PMN, in contrast to NK cells, express two IgG1 binding FcγRs, FcγRIIa and FcγRIIIb, that may have contributed to the observed inhibitory effects. Since both glyco-engineered and protein-engineered antibodies showed dramatically reduced PMN-mediated ADCC and glyco-engineering has been demonstrated to exclusively affect FcγRIII binding (Table 1 and ref. 20), the contribution of FcγRIIIb expression to PMN-mediated ADCC was assessed. Thus, PMN from healthy donors or from PNH donors, as well as eosinophils, were assayed by flow cytometry analyses with regard to their FcγR expression patterns (Fig. 2A). While all three effector sources displayed similar FcγRII and FcαR expression levels, FcγRIII expression significantly differed between these cell populations. High levels of FcγRIIIb were detected on PMN from healthy donors (Fig. 2A, upper panel), but FcγRIIIb was almost absent on PMN from PNH donors (Fig. 2A, middle panel). Furthermore, isolated eosinophils were demonstrated to characteristically be negative for FcγRIII expression (Fig. 2A, lower panel). These three distinct types of granulocytes were further utilized as effector cells in ⁵¹chromium release experiments against A431 cells. As presented in Figure 2B, wild type, but not FcγRIII-optimized anti-EGFR antibody S239D/I332E, triggered effective ADCC with PMN from healthy donors, while both antibodies were able to initiate ADCC mediated by PMN from PNH donors and by eosinophils (Fig. 2B, upper panels). In control experiments, both wild type and S239D/I332E antibodies recruited MNC from all three donor groups for induction of ADCC, with the S239D/I332E variant displaying higher cytolysis compared with its wild type

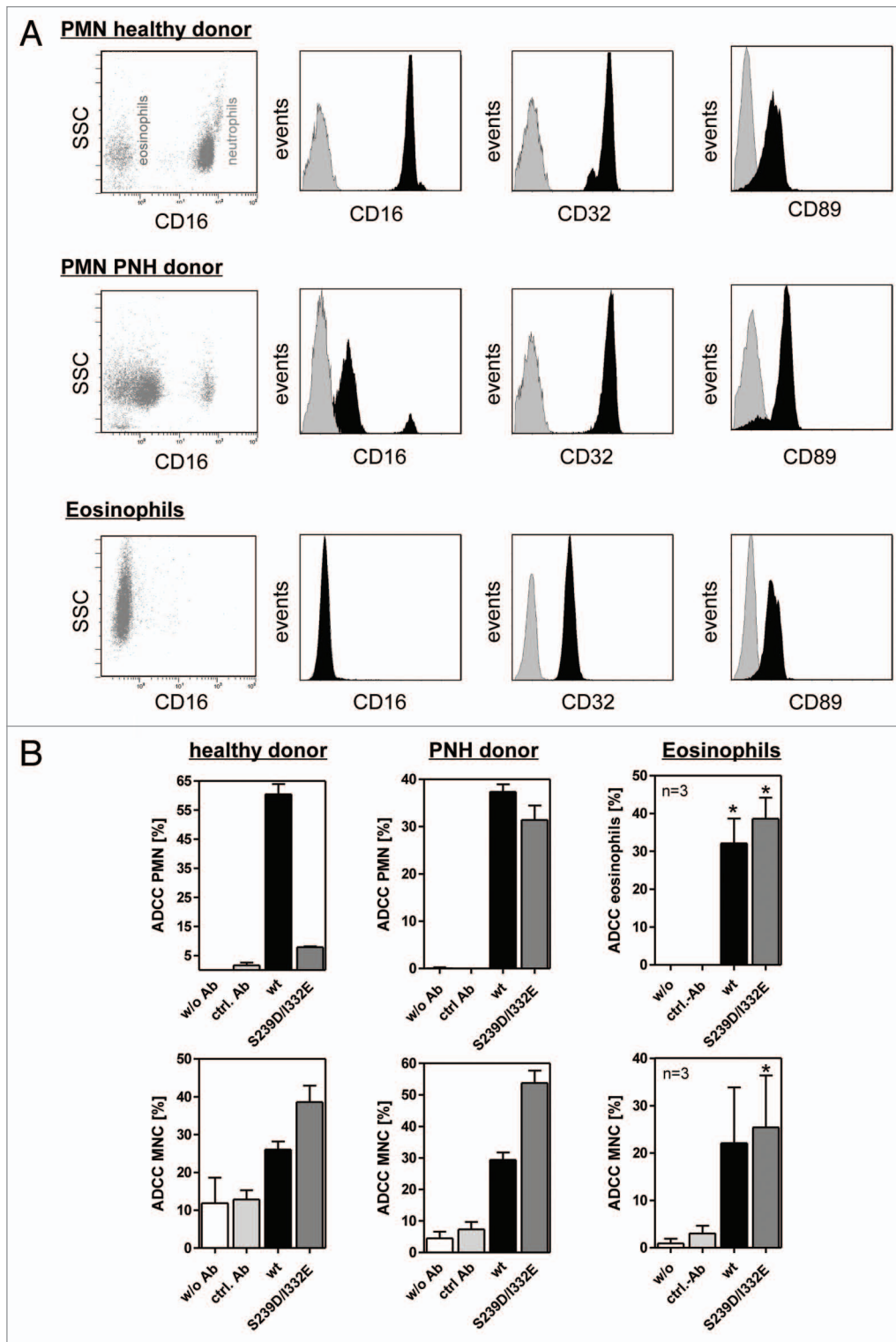


Figure 2. For figure legend, see page 413.

counterpart (Fig. 2B, lower panels). No cytotoxicity was detected when target and effector cells were incubated in the absence of antibodies, or with irrelevant control antibodies. Together, these data suggest that high affinity antibody binding to FcγRIIIb impairs PMN-mediated ADCC.

Blockade of FcγRIII on neutrophils restores PMN-mediated ADCC evoked by FcγRIII-optimized anti-EGFR antibody

To further elucidate whether enhanced binding to FcγRIIIb on PMN by the S239D/I332E variant caused abrogation of ADCC, ⁵¹chromium release experiments against A431 cells were

Figure 2 (See opposite page). Impaired ADCC activity triggered by Fc-engineered antibody is restored using Fc γ RIII negative granulocytes. **(A)** Fc receptor expression was analyzed by indirect immunofluorescence. Unfractionated PMN from healthy donors (upper panel), patients with PNH (middle panel) or eosinophils (lower panel) were collected from freshly drawn peripheral blood. PMN from patients with PNH expressed low levels of the GPI-linked Fc γ RIII (CD16), while Fc γ RII (CD32) expression was similar to PMN from healthy donors. Unfractionated PMN from healthy donors could be divided into Fc γ RIII negative eosinophils and Fc γ RIII positive neutrophils (upper panel). Fc γ RIII negative eosinophils were isolated from the PMN fraction from healthy donors with eosinophilia and analyzed for FcR expression (lower panel). Eosinophils did express Fc γ RII (CD32) and Fc α RI (CD89). **(B)** In ADCC experiments against A431 cells, PMN from healthy donors were effective with wild type but not with S239D/I332E mutated anti-EGFR antibodies (left panel; all 10 μ g/ml). PMN from PNH patients (middle panel) and eosinophils from healthy donors with eosinophilia (right panel) mediated similar ADCC with the S239D/I332E antibody variant and wild type antibody. For the three blood donor types, MNC-mediated killing was enhanced with Fc-engineered antibody (lower panel). In ADCC experiments against A431 cells, MNC-mediated killing was enhanced with Fc-engineered S239D/I332E antibody compared with wild type antibody (left panel). Data are presented as mean \pm SEM from three independent experiments. * $P \leq 0.05$ EGFR-targeted antibody vs. control antibody.

performed in the presence or absence of Fc γ RIII- or Fc γ RII-blocking molecules (Fig. 3A and B). Blockade of Fc γ RIII on PMN significantly enhanced cytotoxic activity elicited by the S239D/I332E variant, but did not affect cytolysis induced by wild type anti-EGFR antibody (Fig. 3A, left panel). As expected, MNC-mediated ADCC triggered by the S239D/I332E variant or by its wild type counterpart was significantly inhibited in the presence of the Fc γ RIII antagonist (Fig. 3A, right panel). However, Fc γ RII blockade significantly inhibited PMN-mediated ADCC, but did not affect MNC-mediated ADCC irrespective of the presence of wild type or S239D/I332E-mutated anti-EGFR antibody (Fig. 3B). No cytotoxic activity against A431 cells was observed without sensitizing or with irrelevant control antibodies.

Enhanced Fc γ RIIa binding restores PMN-mediated cytotoxicity triggered by an Fc γ RIII-optimized anti-EGFR antibody

Since enhanced binding to Fc γ RIII impaired, while binding to Fc γ RIIa triggered PMN-mediated ADCC, Fc γ RIIa affinity of the Fc γ RIII-optimized variant was additionally enhanced (Table 1; Fig. 4A, B, C, and D) while retaining similar EGFR binding (Fig. S1). These anti-EGFR antibody variants were then analyzed regarding their affinities for Fc γ RIIIb and their capacity to trigger ADCC mediated by PMN (Fig. 4 and 5). As determined by surface plasmon resonance (SPR) analyses utilizing recombinant human Fc γ RIIIb (Fig. 4C) and flow cytometry analyses with CHO-K1 cells stably transfected with Fc γ RIIIb-NA1 or Fc γ RIIIb-NA2 (Fig. 4D), the Fc γ RIIIa-optimized anti-EGFR antibody was revealed to also display enhanced Fc γ RIIIb binding affinities in comparison to wild type antibody (on average 25-fold enhanced Fc γ RIIIa binding, 19-fold enhanced Fc γ RIIIb binding, 7-fold enhanced Fc γ RIIa binding). Notably, the S239D/I332E variant displayed a higher affinity to Fc γ RIIIb-NA1 ($K_A = 8.53 \text{ nM}^{-1}$) than to Fc γ RIIIb-NA2 ($K_A = 0.07 \text{ nM}^{-1}$), while no differences between both polymorphisms (K_A -NA1 = 0.01 nM^{-1} ; K_A -NA2 = 0.01 nM^{-1}) were detected for the wild type antibody (Fig. 4D). As expected, the S239D/I332E variant almost completely lacked ADCC activity mediated by unstimulated (Fig. 5A) or GM-CSF stimulated PMN (Fig. 5B). However, insertion of the G236A mutation into the EGFR-Ab-S239D/I332E resulted in enhanced Fc γ RIIa binding (EGFR-Ab-S239D/I332E/G236A; compared with double mutant S239D/I332E 6-fold Fc γ RIIa binding, but no alterations in Fc γ RIIIa and Fc γ RIIIb binding, Table 1;

K_A -NA1 = 0.12 nM^{-1} ; K_A -NA2 = 0.22 nM^{-1} , Fig. 4D) and restored PMN-mediated ADCC to the level of the wild type anti-EGFR antibody in the case of unstimulated PMN (Fig. 5A) and to a slightly lower degree of the wild type anti-EGFR antibody in the case of GM-CSF stimulated PMN (Fig. 5B). Notably, results from SPR analyses should be considered in view of the fact that the antibodies' affinities to Fc γ Rs may be affected by the glycosylation patterns of the Fc γ Rs.

Next, we aimed to assess the effect of Fc γ RIII- or Fc γ RIIa-optimization on ADCC activity in human whole blood that has been enriched for the myeloid compartment, especially with neutrophils, by G-CSF treatment of blood donors. As depicted in Figure 5C, G-CSF primed whole blood contained on average 23.3×10^3 neutrophils per μ l. Thus, cytolysis of A431 cells in whole blood samples from G-CSF primed donors was analyzed in the presence or absence of wild type, S239D/I332E or S239D/I332E/G236A anti-EGFR antibody variants (Fig. 5C). While a significant decrease in cytotoxicity was observed for the S239D/I332E variant ($5.5 \pm 2.6\%$) compared with the wild type counterpart ($10.7 \pm 5.2\%$), the S239D/I332E/G236A ($12.8 \pm 6.0\%$) restored ADCC activity to the level of the wild type antibody. No cytotoxic activity was obtained by utilizing irrelevant control antibodies (data not shown). Together, these results demonstrate that the Fc γ RIII-optimized S239D/I332E anti-EGFR antibody variant lacked ADCC activity mediated by G-CSF primed neutrophils. Insertion of the G236A mutation into this antibody variant significantly restored cytotoxic activity albeit the Fc γ RI affinity of this S239D/I332E/G236 variant is half of that detected for the S239D/I332E variant (Table 1), leading to the conclusion that tumor cell destruction in whole blood was mostly promoted through Fc γ RIIa engagement.

Restoring of PMN-mediated ADCC by improved Fc γ RIIa binding is independent from Fc γ RIIIb and Fc γ RIIa polymorphisms

In the next set of experiments, we tested whether results received from PMN-mediated ADCC experiments utilizing anti-EGFR antibody variants S239D/I332E or S239D/I332E/G236A differed between blood donors harboring distinct Fc γ RIIIb (Fig. 6A and B) or Fc γ RIIa (Fig. 6C and D) polymorphisms. In the case of unstimulated PMN, the lowest PMN-ADCC activity by wild type antibody was detected for a homozygous Fc γ RIIIb-NA1/NA1 blood donor ($7.0 \pm 0.7\%$), followed by heterozygous Fc γ RIIIb-NA1/NA2 blood donors ($9.5 \pm 4.9\%$), while highest ADCC was reached with homozygous

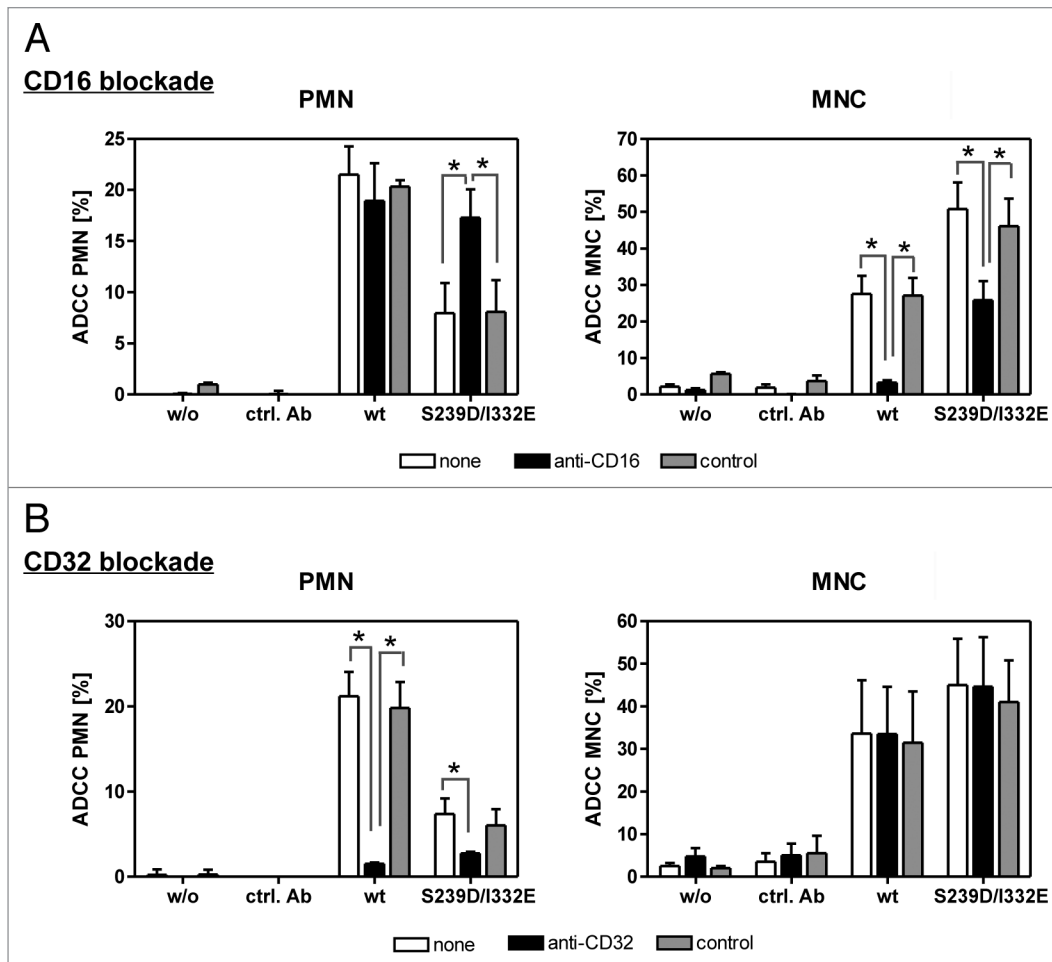


Figure 3. PMN-mediated ADCC is restored by blockade of Fc γ RIII. In ADCC experiments against A431 cells, PMN from healthy donors were effective with wild type, but not with S239D/I332E mutated anti-EGFR antibody (left panels), while MNC-mediated killing was enhanced with Fc-engineered compared with wild type antibodies (right panels). **(A)** Fc γ RIII blockade by anti-CD16 but not by the control antibody restored PMN-mediated ADCC activity (left panel) but impaired MNC-mediated ADCC activity (right panel) triggered by both antibody variants. **(B)** Fc γ RIII blockade by anti-CD32 almost completely abolished PMN-mediated ADCC activity (left panel) for both antibody variants but did not affect MNC-mediated ADCC activity (right panel). Data are presented as mean \pm SEM from three independent experiments with different blood donors. * $P \leq 0.05$

Fc γ RIIIb-NA2/NA2 blood donors ($19.4 \pm 1.7\%$; Fig. 6A). However, PMN-ADCC activity was completely abrogated for all three blood donor groups using the S239D/I332E antibody variant and restored to the level of the wild type antibody by the S239D/I332E/G236A variant (Fc γ RIIIb-NA1/NA1 $6.8 \pm 0.6\%$, Fc γ RIIIb-NA2/NA2 $15.1 \pm 2.4\%$, Fc γ RIIIb-NA1/NA2 $8.5 \pm 4.5\%$). Similar results were received from experiments with GM-CSF stimulated PMN, although higher levels of PMN-mediated ADCC were detected for wild type (Fc γ RIIIb-NA1/NA1 $42.3 \pm 1.5\%$, Fc γ RIIIb-NA2/NA2 $74.2 \pm 6.4\%$, Fc γ RIIIb-NA1/NA2 $34.4 \pm 4.0\%$) and S239D/I332E/G236A (Fc γ RIIIb-NA1/NA1 $19.3 \pm 1.9\%$, Fc γ RIIIb-NA2/NA2 $57.1 \pm 8.6\%$, Fc γ RIIIb-NA1/NA2 $23.0 \pm 1.7\%$) anti-EGFR antibody variants compared with unstimulated PMN (Fig. 6B).

Impairment of PMN-mediated ADCC against A431 cells by Fc γ RIII-optimized anti-EGFR antibody variant S239D/I332E was shown to be independent of the Fc γ RIIIa-131H/R polymorphisms as demonstrated for Fc γ RIIIa-131H/H,

Fc γ RIIIa-131H/R and Fc γ RIIIa-131R/R blood donors (Fig. 6C+D). However, while the additional insertion of the G236A mutation into the S239D/I332E variant (Fc γ RIIIa-131H/H $15.6 \pm 3.9\%$, Fc γ RIIIa-131R/R $10.9 \pm 1.7\%$, Fc γ RIIIa-131H/R $14.1 \pm 5.1\%$) completely restored ADCC mediated by unstimulated PMN to the level of the wild type variant (Fc γ RIIIa-131H/H $19.5 \pm 5.0\%$, Fc γ RIIIa-131R/R $12.3 \pm 2.5\%$, Fc γ RIIIa-131H/R $12.8 \pm 4.7\%$; Fig. 6C), it in fact significantly promoted ADCC mediated by GM-CSF stimulated PMN, but to a lower extent (Fc γ RIIIa-131H/H $44.2 \pm 11.4\%$, Fc γ RIIIa-131R/R $38.7 \pm 8.9\%$, Fc γ RIIIa-131H/R $53.3 \pm 13.7\%$) compared with the wild type antibody (Fc γ RIIIa-131H/H $58.0 \pm 7.9\%$, Fc γ RIIIa-131R/R $60.3 \pm 7.5\%$, Fc γ RIIIa-131H/R $65.2 \pm 11.9\%$).

Discussion

Here, we demonstrate that enhancing the affinity for Fc γ RIII by glyco- or protein-engineering abolished the contribution of

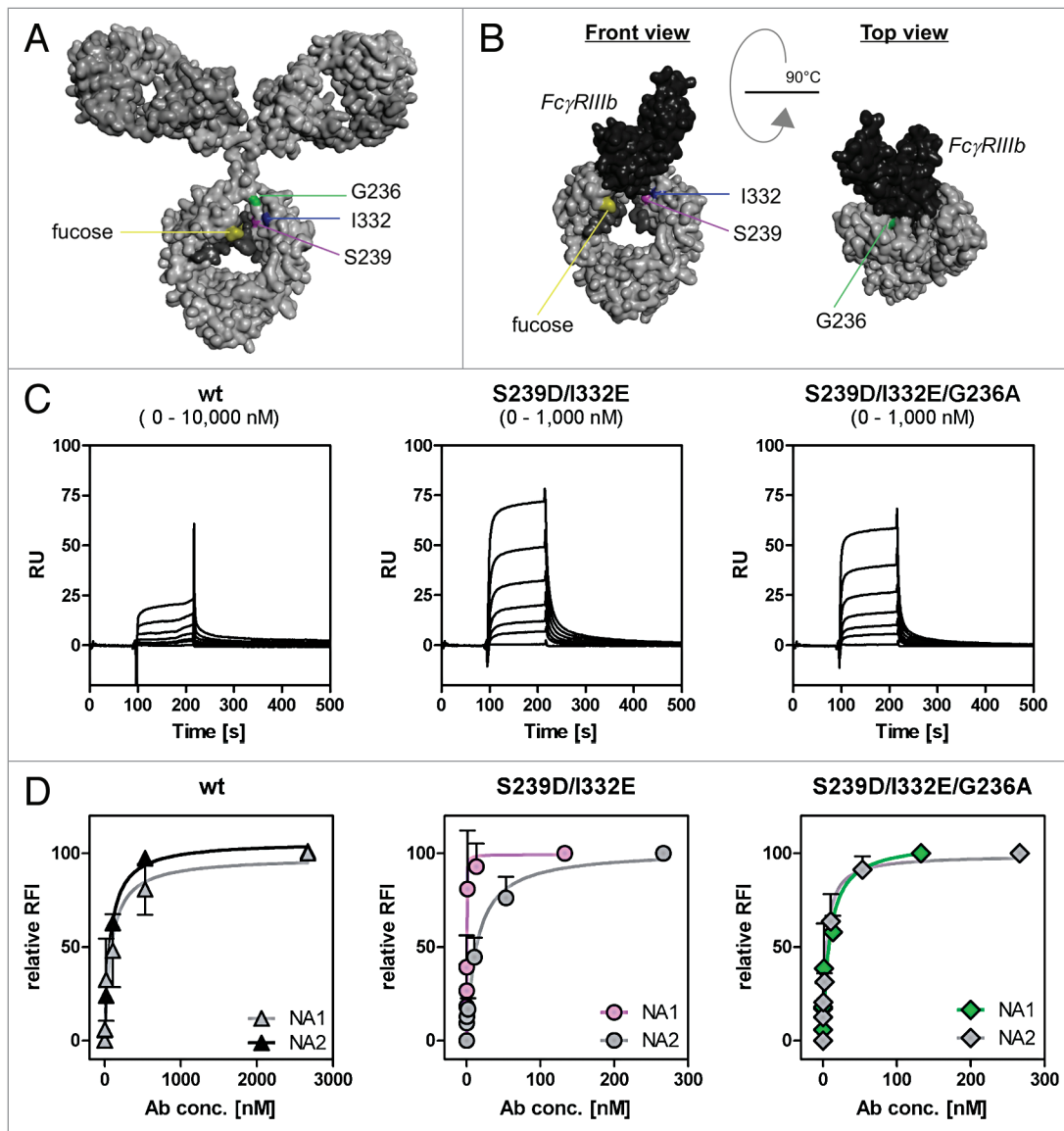


Figure 4. Determination of binding capacities of distinct antibody variants to FcγRIIIb. (A) Structural model of human IgG1 (pdb file from Clark, MR, Chem Immunol 1997; 65:88–110). The presented model illustrates the position of analyzed amino acid substitutions, which are located in the CH2 domain, as well as the fucose residues in IgG1. (B) Crystal structure of FcγRIIIb in complex with an Fc fragment of IgG1 (pdb file 1T89). Pictures in A and B were generated using Discovery Studio 3.5 Client software (Accelrys). (C) SPR analyses were performed to determine binding capacities of distinct analyzed antibody variants to FcγRIIIb. One out of three independent experiments is presented per antibody variant. (D) Dose-response curves were prepared for analyzed antibody variants at indicated concentrations using CHO cell lines, either stably transfected with plasmids encoding for FcγRIIIb-NA1 or FcγRIIIb-NA2. Means ± SEM of at least three independent experiments are presented.

neutrophils as effector cells in ADCC. Thus, removal of fucose or protein-engineering with the intention to optimize NK cell-mediated ADCC by high affinity FcγRIIIa binding resulted in a complete loss of PMN-mediated ADCC activity. This dramatic effect was mediated by FcγRIIIb on PMN, which acts as a decoy receptor in ADCC by FcγRIII-optimized antibodies. Notably, previous studies demonstrated enhanced PMN-mediated phagocytosis triggered by FcγRIII-optimized CD20-directed antibody variants.^{36,37} Hence, it might be hypothesized that impaired PMN-mediated ADCC might be balanced by improved PMN-mediated phagocytosis in the case of FcγRIII-optimized CD20 antibodies. However, in the case of FcγRIII-optimized

anti-EGFR antibody variants, phagocytosis may be limited by the size of EGFR-expressing tumor cells (e.g., A431 cells are 15.5 μm in diameter) in relation to neutrophils' cell size (9–12 μm in diameter). Our results furthermore demonstrate that PMN recruitment for ADCC can be restored by enhancing the affinity to the activatory FcγRIIIa receptor, suggesting that the FcγRIIIa/FcγRIIIb binding ratio of therapeutic antibodies should be considered in the development of next-generation antibodies.

Furthermore, we analyzed the effect of distinct FcγRIIIb-NA1/NA2 and FcγRIIIa-131 R/H polymorphisms on PMN-mediated ADCC triggered by FcγRIII- as well as FcγRIII and FcγRIIIa-optimized anti-EGFR antibody variants. Notably, in all cases the

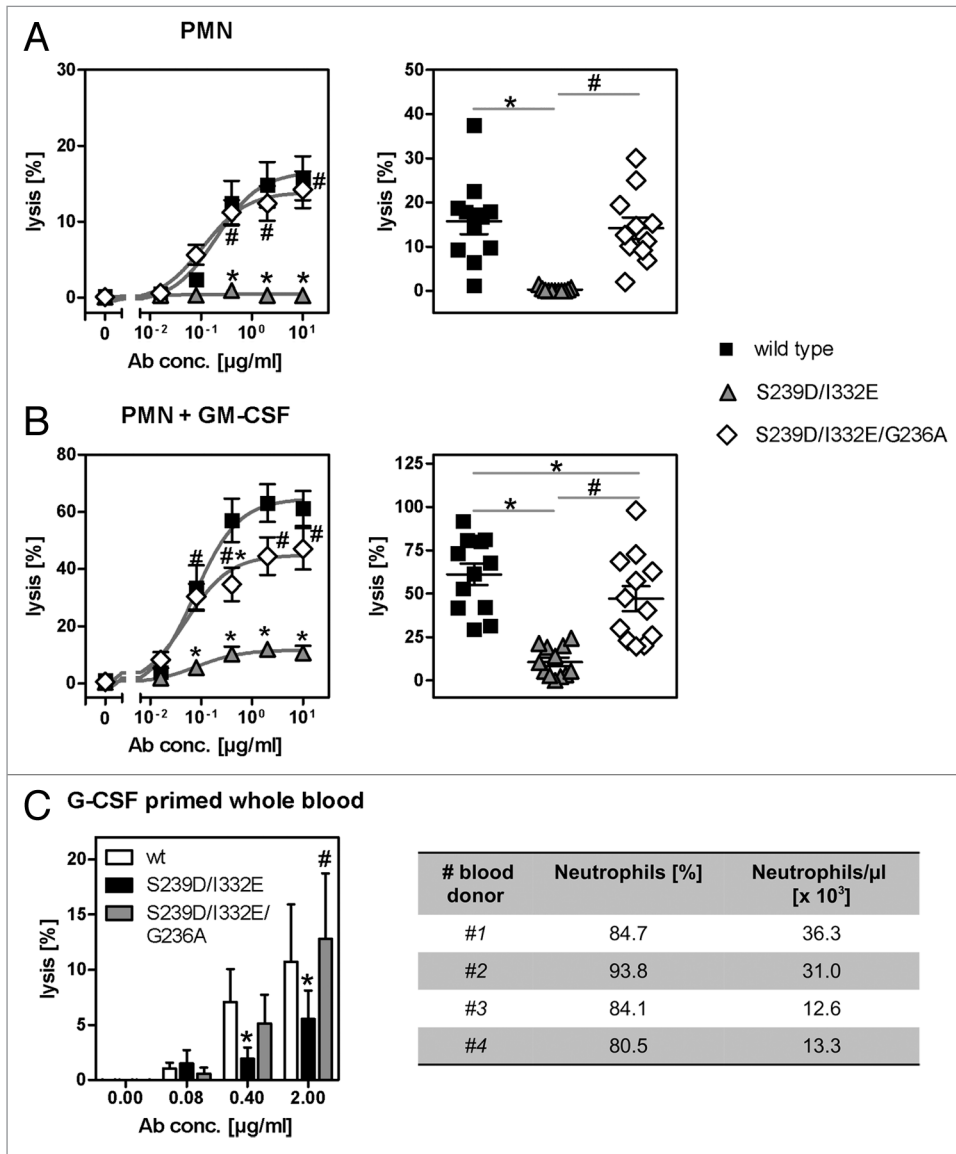


Figure 5. Impairment of PMN-mediated ADCC activity by an Fc γ RIII-optimized anti-EGFR antibody variant is restored by additional improvement of its Fc γ RIIIa affinity. **(A, B)** PMN from healthy blood donors were left untreated **(A)** or were stimulated with GM-CSF **(B)** and subsequently used as effector cells in ADCC experiments utilizing indicated antibodies at increasing concentrations and A431 cells (left panels). Results from different blood donors at highest antibody concentrations were separately depicted (right panels). Data are presented as mean \pm SEM from at least three independent experiments with different blood donors. * $P \leq 0.05$ for wild type antibody vs. antibody variants; # $P \leq 0.05$ for S239D/I332E/G236A vs. S239D/I332E. **(C)** Neutrophil-enriched whole blood samples from G-CSF primed donors were used as effector source in ADCC experiments against A431 cells. Data are presented as mean \pm SEM from three independent experiments with different blood donors. * $P \leq 0.05$ for wild type antibody vs. antibody variants; # $P \leq 0.05$ for S239D/I332E/G236A vs. S239D/I332E.

Fc γ RIII-optimized antibody lacked while additional Fc γ RIIIa-optimization restored PMN-ADCC, suggesting, independently from Fc γ RIIIb-NA1/NA2 and Fc γ RIIIa-131 R/H polymorphisms, an improved cytotoxic potential of Fc-engineered antibodies by further enhancement of their affinity to Fc γ RIIIa. However, one cannot exclude a pivotal role of the interplay between gene copy number variations of Fc γ RIIIb-NA1/NA2 and neutrophil-mediated cytotoxicity triggered by Fc-optimized antibody.^{38,39}

Fc γ RIIIb on human PMN has been described to trigger degranulation,⁴⁰ actin polymerization and priming of phagocytosis via Fc γ RIIIa,⁴¹ as well as binding and phagocytosis of soluble immune complexes.^{42,43} However, conflicting results have been reported in the literature with regard to ADCC induction by Fc γ RIIIb. Some of these inconclusive data are explained by the use of whole Fc γ RIII-targeted antibodies instead of F(ab)₂ fragments to block Fc γ receptor functions. These whole antibodies bind to Fc γ RIII via their Fab regions and can block Fc γ RIIIa via their Fc portion.⁴⁴ Our studies with a non-FcR binding variant of an Fc γ RIII-directed antibody (Fig. 3A), which selectively blocks Fc γ RIII by interfering with its Ig binding region, support these conclusions. Thus, our present results are in agreement with previous data that human PMN mediate efficient ADCC against tumor cells via Fc γ RIIIa, but not via the most abundantly expressed Fc γ RIIIb.⁴⁵ These observations are extended by our findings that the Fc γ RIIIa/Fc γ RIIIb affinity ratio of IgG1 molecules correlates with PMN-mediated ADCC, with high ratios triggering effective tumor cell killing, while lower ratios mediate low levels of cytotoxicity.

PMN-expressed Fc γ RIIIa (CD32a) is an ITAM-containing transmembrane molecule, which, like Fc γ RIIIb, does not have a murine homolog. These differences between mouse and man hamper studies to assess the functional roles of individual Fc γ R on PMN in vivo. To address this issue, human Fc γ RIIIa and Fc γ RIIIb transgenic mice were generated in an Fc γ -chain deficient background. PMN of these mice exclusively expressed the human Fc γ RIIIa and Fc γ RIIIb receptors and were investigated in antibody-mediated inflammatory diseases. Fc γ RIIIa and Fc γ RIIIb were demonstrated to be involved in PMN recruitment to inflammatory sites, while antibody-mediated tissue destruction was solely dependent on Fc γ RIIIa.⁴⁶ These in vivo studies may point to a prominent role of Fc γ RIIIa, but not of Fc γ RIIIb, in antibody-mediated cytotoxicity in the course of

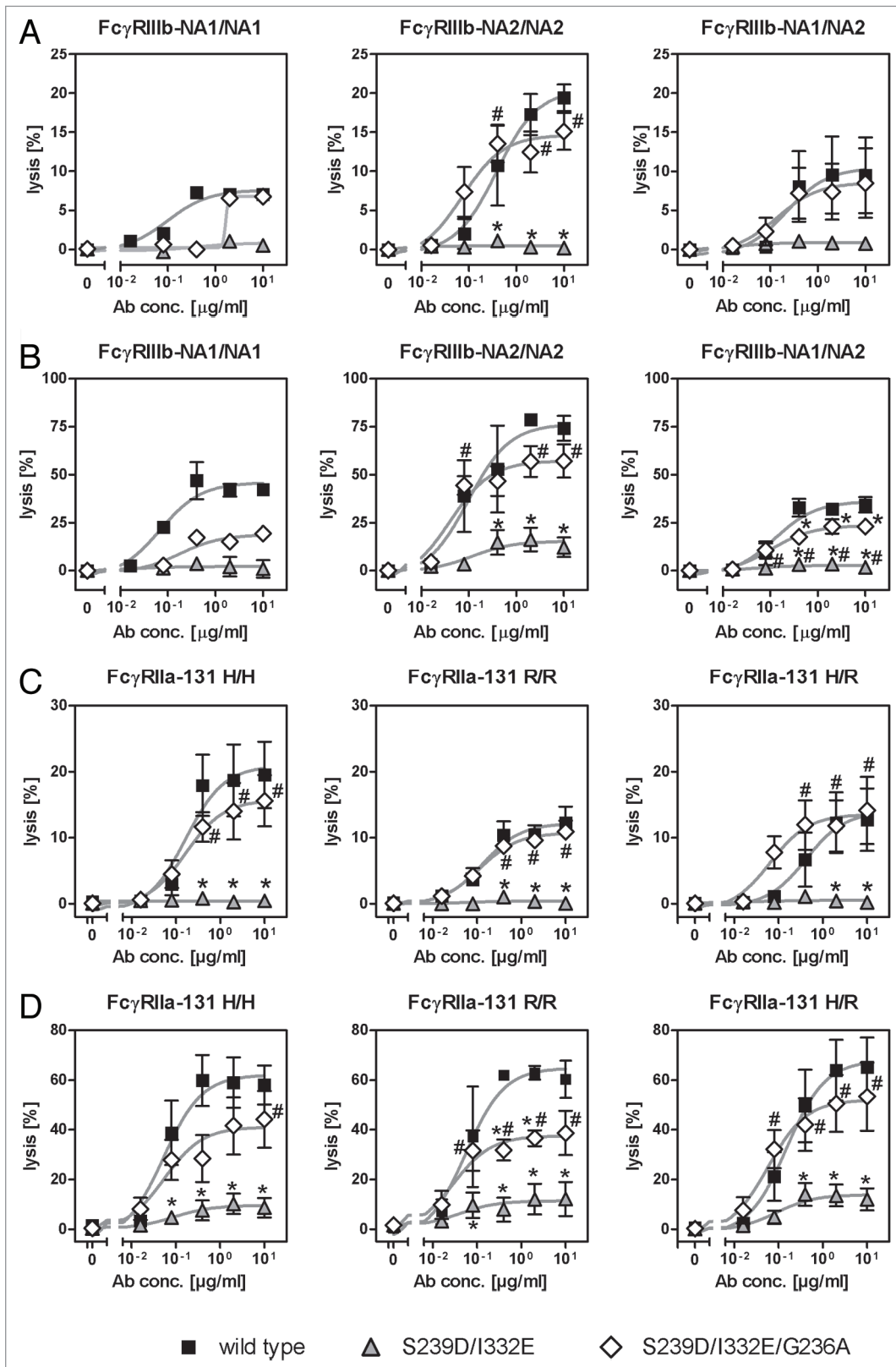


Figure 6. Enhancement of PMN-mediated ADCC by increased Fc γ RIIb binding is not limited to specific Fc γ RIIb or Fc γ RIIa polymorphisms. **(A-D)** The effect of distinct Fc γ RIIb (Fc γ RIIb-NA1/NA2; **A, B**) and Fc γ RIIa (Fc γ RIIa-131H/R; **C, D**) polymorphisms on the extent of PMN-mediated ADCC against A431 cells by Fc-engineered anti-EGFR antibody variants was analyzed by ^{51}Cr chromium release experiments utilizing either untreated PMN (**A, C**) or GM-CSF stimulated PMN (**B, C**) from healthy blood donors. Data are presented as mean \pm SEM from at least three independent experiments with different blood donors, except for Fc γ RIIb-NA1/NA1 ($n = 1$). * $P \leq 0.05$ for wild type antibody vs. antibody variants; # $P \leq 0.05$ for S239D/I332E/G236A vs. S239D/I332E.

inflammation, although, to our knowledge, these gene-modified mice have not been investigated in tumor models.

Based on these findings, it might be hypothesized that Fc γ RIII-optimized antibodies are also able to activate immune effector functions of PMN with the exception of ADCC. In macrophages, which express Fc γ RIIa and Fc γ RIIIa but no Fc γ RIIIb, Fc γ RIII-optimized protein-engineered antibodies have been linked to enhanced ADCC against tumor cells, while Fc γ RIIa-optimized molecules displayed strongest phagocytic activities.⁴⁷ In contrast, a non-fucosylated CD20-directed antibody with higher Fc γ RIII binding affinity has been suggested to increase neutrophil mediated phagocytosis of B cell lymphomas,^{36,37} while no differences could be observed regarding macrophage mediated phagocytosis.⁴⁸

On the basis of promising preclinical data,⁴⁹ Fc-engineered antibodies were moved into clinical studies for oncological indications.⁵⁰ By the end of 2012, 16 Fc-engineered antibodies were evaluated clinically,⁵¹ with glyco-engineered antibodies being more advanced than protein-engineered derivatives. A glyco-engineered EGFR-targeting antibody (GA201) was recently tested in patients with advanced solid tumors, including colorectal cancer, in a Phase 1 clinical study,⁵² but further clinical evaluation of GA201 was stopped in July 2013. Furthermore, the first glyco-engineered antibody against CCR4 (mogamulizumab) was approved in Japan in 2012 for the treatment of adult T-cell leukemia-lymphoma,⁵³ while a hypo-fucosylated antibody against CD20 (obinutuzumab) received breakthrough therapy designation and was approved by the US Food and Drug Administration in November 2013 for the treatment of CLL patients.⁵⁴ However, results from meaningful comparisons between modified and unmodified control antibodies are not currently available, and are not expected to be generated in the near future.⁵⁵ Thus, the clinical potential of Fc-engineered antibodies is difficult to assess.

To conclude, the present study represents novel findings concerning recruitment of PMN for tumor cell destruction by Fc-engineered antibodies. While PMN-mediated ADCC was completely abolished by Fc γ RIII-optimized antibodies through Fc γ RIIIb binding, it was potentially enhanced by optimization of Fc γ RIIa binding affinity. Importantly, functional analyses unraveled the ratio between Fc γ RIIa and Fc γ RIII binding affinities to determine PMN-mediated ADCC activity, and has therefore revealed new possibilities for the engineering of therapeutic antibodies.

Material and Methods

Study population

Experiments reported here were approved by the Ethics Committee of the Christian-Albrechts-University and the University Hospital Schleswig-Holstein, Kiel, Germany, in accordance with the Declaration of Helsinki. Blood donors were randomly selected from healthy volunteers, who gave written informed consent before analyses. For comparison, PMN from healthy hematopoietic stem cell donors after mobilization with G-CSF, from paroxysmal nocturnal hemoglobinuria

(PNH) and from eosinophilia donors were analyzed. Fc γ RIIa-131R/H, Fc γ RIIIa-158F/V or Fc γ RIIIb-NA1/NA2 genotypes were analyzed by Taqman SNP genotyping assays (Applied Biosystems) according to manufacturers' instructions.

Cell lines and transfectants

EGFR-overexpressing epidermoid carcinoma cell line A431 (ATCC) was kept in RPMI-1640 medium (Invitrogen) supplemented with 10% (v/v) heat-inactivated fetal calf serum, 100 U/ml penicillin and 100 μ g/ml streptomycin (both PAA Laboratories, Pasching, Austria). CHO-K1 cells (Lonza), stably transfected with expression constructs coding either for the human Fc γ RIIIb-NA1 or Fc γ RIIIb-NA2 cDNAs, were cultured in RPMI-1640 medium supplemented with 10% (v/v) heat-inactivated fetal calf serum, 100 U/ml penicillin, 100 μ g/ml streptomycin and 500 μ g/ml geneticin (Invitrogen) to ensure stable surface expression of Fc γ RIIIb-NA1/NA2.

Antibodies

A humanized variant of the approved EGFR-targeting antibody cetuximab (chimeric IgG1; Erbitux[®], Merck), lacking the glycosylation motif in the VH domain originally detected in cetuximab, was generated (patent US 2005/0142133), and produced in HEK293E cells (wild type).⁵⁶ Protein- or glyco-engineered antibody variants of this wild type antibody were constructed, expressed and purified as described,^{17,47} except that expression was performed in HEK293E cells (wild type, S239D/I332E and S239D/I332E/G236A variants) or CHO-Lec13 cells (afucosylated variant) using the pTT5 vector system (NRC-BRI).¹⁷ A human irrelevant IgG1 antibody served as control antibody.

In ADCC experiments, a mouse/human chimeric anti-CD16 IgG1 antibody (1 μ g/ml) whose Fc part has been knocked-out for Fc receptor binding (CD16-IgG1-Ko)⁵⁷ and an anti-CD32-(Fab')₂ fragment (1 μ g/ml; AT10, kindly provided by Martin Glennie, Tenovus Research Laboratory) were used to elucidate the role of Fc γ RIII or Fc γ R II binding in MNC- and PMN-mediated ADCC against tumor cells. An irrelevant ctrl.-IgG1-Ko (1 μ g/ml) and an irrelevant ctrl.-(Fab')₂ fragment were used as control molecules.

Surface plasmon resonance analysis

The antibodies' affinities to Fc γ Rs (Fc γ RI and Fc γ RIIIb, produced in NS0 cells; R&D Systems Inc; Fc γ RIIa and Fc γ RIIIa, constructed as C-terminal 6xHis-GST fusions, expressed in HEK293T cells¹⁷) were measured by SPR analyses as previously described by Richards et al.⁴⁷

For Fc γ RIIIb binding analyses, SPR measurements were performed on a BIAcore 1000 SPR biosensor (GE Healthcare) using a CM5 sensor chip. Recombinant Fc γ RIIIb-NA2 protein (#1597-FC-050/CF; R&D Systems Inc) was immobilized on the CM5 sensor chip at 1,000 response units (RU). 2-fold serial dilutions were prepared for all antibody variants and injected onto the sensor chip (contact time 120 s; dissociation time 900 s) at a flow rate of 30 μ l/min. Due to distinct antibodies' binding capacities to Fc γ RIIIb, concentration ranges for analyzed antibody variants were as follows: wt (0–10,000 nM); S239D/I332E and S239D/I332E/G236A (0–1,000 nM). After each cycle, the surface of the sensor chip was regenerated with glycine

buffer (contact time 60 s; flow rate 10 µl/min). Binding capacities were recorded as response units (RU). K_D values were calculated from the kinetic constants (k_{on} and k_{off}) from three independent experiments and K_A values were calculated as reciprocal values of K_D .

Isolation of human effector cells

MNC or PMN were isolated from freshly drawn peripheral blood as described previously.²² Eosinophils were isolated from the PMN fraction using the human Eosinophil Isolation Kit from Miltenyi Biotec Inc according to the manufacturers' instructions (Miltenyi Biotec Inc).

Antibody-dependent cell-mediated cytotoxicity assays

ADCC assays were performed as described previously²² at an effector to target (E:T) ratio of 80:1. PMN and eosinophils were utilized as effector cells in the presence of 50 U/ml human GM-CSF. Whole blood assays were performed in the presence of 12.5 µg/ml lepirudin (Refludan®, Pharmion). Percentage of cellular cytotoxicity was calculated using the formula “% specific lysis = (exp. cpm - basal cpm) / (maximal cpm - basal cpm) × 100.”

Immunofluorescence analyses

For indirect immunofluorescence, cells were incubated with EGFR-targeted antibodies at various concentrations in PBS supplemented with 0.5% bovine serum albumin (Sigma-Aldrich) and 0.1% sodium-azide (PBS buffer) for 30 min on ice. After washing, cells were stained with FITC-conjugated F(ab)₂ fragments rabbit anti-human IgG (Dako Denmark), respectively.

FcR expression on effector cells was determined by direct immunofluorescence. Cells were incubated with fluorochrome-labeled FcR-directed antibodies (CD16-PC5, CD32-PE, CD89-PE; all from Beckman Coulter) or respective control antibodies at saturating concentrations in the presence of human IgG (Intratect, Biotest) to prevent Fc-mediated binding to Fc receptors.

Immunofluorescence was analyzed on a flow cytometer (Epic Profile; Beckman Coulter).

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Data processing and statistical analyses

Data are displayed graphically and were statistically analyzed using GraphPad Prism 5.0. Curves were fitted using a nonlinear regression model with a sigmoidal dose response. Statistical significance was determined by the one-way or two-way Anova repeated measures tests, respectively, with Bonferroni's post-test. The respective results were displayed as mean ± standard error of the mean (SEM). *P* values were calculated and null hypotheses were rejected when *P* ≤ 0.05.

Disclosure of Potential Conflicts of Interest

Muchhal U and Desjarlais JR are employed by Xencor Inc. Derer S, Glorius P, Schlaeth M, Lohse S, Klausz K, Humpe A, Valerius T, and Peipp M all declare no potential conflict of interest.

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Authorship Contributions

Peipp M, Valerius T, and Derer S designed the study. Humpe A and Lohse S were involved in the recruitment and collection of samples. Derer S, Glorius P, Schlaeth M, and Muchhal U performed all laboratory work. Derer S and Muchhal U performed data analyses. Interpretation of data and writing of the manuscript were done by Derer S, Valerius T, and Peipp M. Desjarlais JR, Humpe A, Klausz K, and Lohse S proofread the manuscript prior to submission.

Supplemental Materials

Supplemental materials may be found here:
www.landesbioscience.com/journals/mabs/article/27457

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