# Socioeconomic Status, Food Security, and Dental Caries in US Children: Mediation Analyses of Data From the National Health and Nutrition Examination Survey, 2007–2008

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Tooth decay (dental caries) is the most prevalent disease worldwide and the most common pediatric disease in the United States.<sup>1,2</sup> From 1999 to 2004, the prevalence of untreated tooth decay was 24.5% for children aged 6 to 11 years and 19.6% for adolescents aged 12 to 19 years.<sup>3</sup> Untreated tooth decay can lead to difficulties eating and sleeping, pain, the need for invasive restorative treatment, emergency department visits and inpatient hospitalizations, poor quality of life, systemic health problems, and, in rare cases, death.<sup>4-7</sup> To date, most public health efforts aimed at addressing the pediatric caries epidemic have focused on tooth-level interventions (e.g., topical fluorides, dental sealants). Although disparities in oral health are considered a measure of social injustice,<sup>8</sup> comparatively less research has been conducted on the social determinants of pediatric oral health.<sup>9</sup>

Low socioeconomic status (SES), one of the strongest determinants of caries in children,<sup>10-12</sup> is associated with food insecurity,<sup>10-17</sup> defined as inadequate access to food resulting in food shortages, disrupted eating patterns, and hunger.<sup>18</sup> Food insecurity, in turn, is associated with oral health-related behaviors, including increased fermentable carbohydrate intake,<sup>19,20</sup> a risk factor for dental caries.<sup>21,22</sup> The American Dietetic Association recognizes the link between nutrition and oral health,<sup>23</sup> and numerous studies have drawn associations between dietary factors and disparities in dental caries.<sup>24</sup> Collectively, these studies suggest that food insecurity is related to caries and is a potential mechanism linking SES and caries, but these relationships have not yet been evaluated empirically. We used nationally representative data from the United States to test 3 hypotheses: (1) food insecurity is positively associated with untreated dental caries, (2) food insecurity mediates the *Objectives.* We examined associations of household socioeconomic status (SES) and food security with children's oral health outcomes.

*Methods.* We analyzed 2007 and 2008 US National Health and Nutrition Examination Survey data for children aged 5 to 17 years (n = 2206) to examine the relationship between food security and untreated dental caries and to assess whether food security mediates the SES–caries relationship.

*Results.* About 20.1% of children had untreated caries. Most households had full food security (62%); 13% had marginal, 17% had low, and 8% had very low food security. Higher SES was associated with significantly lower caries prevalence (prevalence ratio [PR] = 0.77; 95% confidence interval = 0.63, 0.94; P = .01). Children from households with low or very low food security had significantly higher caries prevalence (PR = 2.00 and PR = 1.70, respectively) than did children living in fully food-secure households. Caries prevalence did not differ among children from fully and marginally food-secure households (P = .17). Food insecurity did not appear to mediate the SES–caries relationship.

*Conclusions.* Interventions and policies to ensure food security may help address the US pediatric caries epidemic. (*Am J Public Health.* 2014;104:860–864. doi:10.2105/AJPH.2013.301699)

SES-caries relationship, and (3) food insecurity mediates the SES-caries relationship differentially for children from higher- versus lower-SES households.

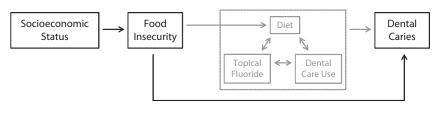
### **METHODS**

We conducted a cross-sectional analysis of US National Health and Nutrition Examination Survey (NHANES) data from 2007 and 2008. NHANES collects interview and physical examination data from about 5000 nationally representative children and adults each year.<sup>25</sup> The 2007 to 2008 NHANES data set includes clinical caries data collected from participants aged 5 years and older. Trained and calibrated oral health examiners screened each child. No dental history or radiographs were taken. The survey collected aggregate data on whether the child had any untreated caries (no or yes). As part of the NHANES oral health protocol, stains, white spots, pitted enamel, and erosion were not classified as untreated caries. The child's primary caregiver or legal guardian

completed a questionnaire that produced demographic, food insecurity, and other self-reported data. Our analyses focused on children aged 5 to 17 years (n = 2206).

#### **Conceptual Model and Variables**

A preliminary conceptual model is presented in Figure  $1.^{10-23}$  The outcome measure was any untreated dental caries (no or yes). Untreated dental caries is a binary variable in the 2007 to 2008 NHANES data set, which precluded an analysis of caries as a continuous variable. We chose untreated caries because it measures disease prevalence at the same time as our predictor variable and mediator were measured. Adopting alternative prevalence measures (e.g., untreated caries + fillings or restorations) would introduce temporality problems. The predictor variable was SES, operationalized as the ratio of household income to poverty, a measure used in previous analyses involving SES.<sup>26</sup> Larger income-to-poverty ratios indicated higher SES.



Note. Gray boxes and arrows indicate portions of model not tested.

FIGURE 1—Preliminary conceptual model of relationship between socioeconomic status, food insecurity, and dental caries: National Health and Nutrition Examination Survey, United States, 2007–2008.

The potential mediator was household-level food security (full, marginal, low, or very low food security), measured with the US Department of Agriculture 18-item Household Food Security Survey.<sup>27</sup> We classified respondents with no affirmative responses to any survey items as living in households with full food security. We classified those with 1 to 2 affirmative responses as having marginal, 3 to 7 affirmative responses as having low, and 8 or more affirmative responses as having very low food security.<sup>28</sup>

#### **Statistical Analyses**

We used log-linear regression models to examine the SES-caries (model 1) and food insecurity-caries (model 2) relationships and to estimate prevalence ratios (PRs).<sup>29,30</sup> In model 3, we used standard mediation methods to examine the relationship between SES and caries after including food insecurity in model 1.<sup>31</sup> We ran similar mediation models stratified on SES (split at the median SES) to identify a potential interaction between SES and food insecurity and age-adjusted models to adjust for age as a potential model confounder. Because findings from the SES-stratified and age-adjusted models were consistent with results from the initial regression models, we only reported the latter findings. We used jackknife methods to estimate standard errors<sup>29</sup> and accounted for the complex survey design with Stata version 12.1 (StataCorp LP, College Station, TX).

### RESULTS

The mean household size was 4.7 (SD = 1.4; Table 1). Thirty-eight percent of children were Hispanic, 31% were White, and 26% were Black. Forty-three percent were privately insured, 26% were enrolled in Medicaid, 17% had other health insurance, and 14% were uninsured. Forty-three percent of children were in excellent health, 50% in very good or good health, 6% in fair health, and 1% in poor health. About 20.1% of children had untreated caries. The mean household income–to–poverty ratio was 2.1 (SD=1.5). Most children lived in households with full food security (62%); 13% lived in households with marginal, 17% with low, and 8% with very low food security.

We detected a significant SES-caries relationship (Table 2). Higher SES was associated with lower untreated caries prevalence (PR =0.77; 95% confidence interval CI = 0.63, 0.94; P=.01). Children from low or very low food security households had significantly higher untreated caries prevalence (PR = 2.00and PR = 1.70, respectively) than children with full food security. We observed no difference in untreated caries between children from fully and marginally food-secure households (P=.17). Results from models 1 and 3 indicated that food insecurity did not partially or fully mediate SES and untreated caries. These findings were similar in the SES-stratified and age-adjusted models (data not shown).

#### DISCUSSION

Ours was the first published study, to our knowledge, that examined the relationship between food insecurity and untreated dental caries in children. Our analyses of nationally representative data from the United States for children aged 5 to 17 years revealed a significant relationship between food insecurity and untreated dental caries. Children living in households with low and very low food security had a greater prevalence of untreated caries than children from fully food-secure households. In addition, food insecurity did not appear to mediate the SES-caries relationship, a finding that was consistent for children from higher- and lower-SES households.

Our main finding was that food insecurity was significantly associated with dental caries. This finding is consistent with published studies demonstrating negative health outcomes associated with food insecurity.<sup>32-37</sup> We believe there are 4 potential explanations. First, food insecurity may force caregivers and children to make food-purchasing decisions that optimize for

### TABLE 1—Household and Child Characteristics of Children Aged 5–17 Years: National Health and Nutrition Examination Survey, United States, 2007–2008

Characteristic	No. (%) or Mean $\pm {\rm SD}$
Head of household education	
level	
< grade 9	242 (11)
Grade 9-11	416 (19)
High school or GED	523 (24)
Some college	589 (27)
$\geq$ college	361 (16)
Missing	75 (3)
Persons in household	4.7 ±1.4
Child race/ethnicity	
Mexican American	563 (25)
Other Hispanic	278 (13)
Non-Hispanic White	689 (31)
Non-Hispanic Black	575 (26)
Other/multiracial	101 (5)
Child health insurance	
Private	952 (43)
Medicaid only	575 (26)
Other	374 (17)
None	297 (14)
Missing	8 (< 1)
Child health condition	
Excellent	959 (43)
Very good	574 (26)
Good	528 (24)
Fair	125 (6)
Poor	20 (1)

Note. GED = general equivalency diploma. Sample size was n = 2206.

TABLE 2—Log-Linear Regression Model of the Relationship Between Food Security and Untreated Dental Caries in Children and Food Security as a Mediator of Socioeconomic Status and Untreated Dental Caries: National Health and Nutrition Examination Survey, United States, 2007–2008

Variable	Untreated Dental Caries, PR (95% CI)	Р
Model 1: socioeconomic status <sup>a</sup>	0.77 (0.63, 0.94)	.01
Model 2		
Full food security (Ref)	1.00	
Marginal food security	1.42 (0.85, 2.38)	.17
Low food security	2.00 (1.09, 3.65)	.03
Very low food security	1.70 (1.00, 2.90)	.049
Model 3		
Socioeconomic status <sup>a</sup>	0.79 (0.64, 0.97)	.03
Full food security (Ref)	1.00	
Marginal food security	1.07 (0.66, 1.75)	.77
Low food security	1.42 (0.85, 2.38)	.17
Very low food security	1.12 (0.60, 2.12)	.7

Note. CI = confidence interval; PR = prevalence ratio.

<sup>a</sup>Operationalized as the ratio of household income to poverty.

quantity rather than quality.<sup>38-41</sup> For example, sugar-sweetened beverages (e.g., Tang, Hawaiian Punch, Kool-Aid) are inexpensive and easily accessible. But these unhealthy drinks contain high-fructose corn syrup or some combination of sugar, sucrose, and fructose, which are risk factors for dental caries.<sup>22</sup> Second, food-insecure households may live in food deserts, or neighborhoods where purchasing options are limited to convenience stores, corner markets, and fast-food restaurants.<sup>42</sup> These places limit purchasing options to processed foods, snacks, and sugarsweetened beverages and can deprive children of fresh vegetables and fruits, complex carbohydrates, nonprocessed proteins, and dairy products. Third, children living in food-insecure households may take responsibility for managing food resources,<sup>43</sup> for example, by eating smaller amounts more frequently to make food last longer. Constant exposure to carbohydrates can lead to increased caries risk. Fourth, food insecurity may be a proxy for other markers of social inequality and deprivation, including low social capital and biological stress,44,45 both of which are associated with caries in children.<sup>46,47</sup>

Future work should examine possible mechanisms linking food insecurity and dental caries. The knowledge generated from such studies could be used to develop mechanism-specific interventions aimed at improving the oral health of children living in food-insecure households.

Our secondary findings were that food insecurity did not mediate the SES-caries relationship and that this relationship did not differ among children from lower- and higher-SES households. Although several publications suggest links between SES, food insecurity, and child health outcomes,48-50 our findings suggest that other social factors associated with SES (e.g., food environment)<sup>51</sup> and behaviors (e.g., exposure to fluoride, visits to the dentist, specific types of nutrients and food intake)<sup>52</sup> may be more plausible mediators. That food insecurity failed to mediate SEScaries differentially for lower- and higher-SES households was surprising but points to the complexity of these relationships. Our preliminary conceptual model suggested that behavioral factors might mediate the food insecurity-caries relationship while simultaneously moderating other relevant behavioral factors (Figure 1). It was not possible to test all the pathways from our model. Future studies could use this model as a guide to shed additional light on how household SES, food insecurity, and other social determinants of health influence behaviors relevant in children's oral health.

The main study strength was the use of nationally representative data to test hypotheses

with clinical and policy significance. Our study was a first step in developing nutrition-focused interventions aimed at improving oral health outcomes for children living in socioeconomically vulnerable households. The policy significance was that US food programs such as the Supplemental Nutrition Assistance Program and the Special Supplemental Nutrition Program for Women, Infants, and Children could strengthen implementation of evidence-based education and outreach that help households make better food choices.<sup>53–57</sup> These programs could subsidize the purchase of fresh vegetables, fruits, meats, and dairy58 and implement wireless terminals at farmers' markets,<sup>59,60</sup> which would improve households' access to healthier foods. Another policy solution is limiting purchases funded by these programs of unhealthy items (e.g., sugarsweetened beverages) linked to adverse health outcomes and chronic conditions (e.g., dental caries, obesity, diabetes).58,61,62 However, ethical considerations associated with restrictive approaches need to be weighed in relation to the health benefits.<sup>63</sup> The relevance of these issues to children's oral health is open to further scientific inquiry through observational and experimental study designs.

#### Limitations

The analyses were cross-sectional, and all findings were associations, which do not imply causation. Food security was measured once, making it difficult to assess whether it was transient or persistent.<sup>64</sup> Our outcome measure, untreated dental caries, was a measure of disease prevalence at a single time. Some children who recently received dental restorations could have been misclassified as not having caries, but this likely would not have affected many children because of the relatively low proportion of children who get their teeth restored.<sup>65</sup> These limitations could be addressed through longitudinal study designs.

Our outcome was dichotomous and measured at the tooth level, which did not provide data on caries severity. In addition, the data did not specify whether caries affected primary or permanent teeth. These are limitations of 2007 and 2008 NHANES data and could be addressed with prospective studies that adopt detailed dental caries measurement protocols

or secondary data that include tooth surfacespecific caries measures.

SES and food insecurity were self-reported measures. We measured food security with a validated instrument, but future work could validate household-level income to ensure measure accuracy. Our models did not include other potential mediators and moderators from our conceptual model (e.g., diet, fluoride, dental visits). Additional studies are needed to fully test and refine our preliminary conceptual model.

#### Conclusions

Public health efforts to address food insecurity alone within vulnerable populations are unlikely to solve children's oral health disparities. Identifying potential mediators of food insecurity and caries (e.g., fast foods, sugarsweetened beverages, micronutrients) may allow us to develop specific nutrition-focused social and behavioral interventions for vulnerable populations. Future approaches will involve improving the food environment, quality, and choice for low-income communities; educating socioeconomically vulnerable households on healthy meal and snack preparation; and helping individuals to reduce their frequency of carbohydrate intake. Such interventions can be strengthened by reinforcing preventive oral health behaviors (e.g., fluoride use, dental visits) and are likely to reduce the prevalence of other nutrition-mediated systemic conditions such as obesity, diabetes, and cardiovascular diseases.

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#### Contributors

D. L. Chi conceptualized the study, synthesized the analysis plan, helped interpret the findings, and led the writing of the article. E. E. Masterson managed the data set and helped analyze the data. A. C. Carle helped analyze the data and interpret the results. L. A. Mancl helped synthesize the analysis plan. S. E. Coldwell helped conceptualize the study. All authors helped write the article.

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#### **Human Participant Protection**

This study was exempted from protocol approval by the University of Washington institutional review board because the data were publicly available.

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